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# Optical biosensors for diagnosing neurodegenerative diseases

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Neurodegenerative diseases involve the progressive loss of neurons in the brain and nervous system, leading to functional decline. Early detection is critical for improving outcomes and advancing therapies. Optical biosensors, some of which offer rapid, label-free, and ultra-sensitive detection, have been applied to early diagnosis and drug screening. This review examines the principles and performance of different optical biosensors used for diagnosing neurodegenerative diseases and discusses potential future advancements.

# Overview of neurodegenerative disease

Neurons form the fundamental structural and functional units of the nervous system and are essential for brain function and cognition, encompassing high-level activities such as sensory processing, motor control, memory, emotional formation, learning, adaptation, and complex thinking<sup>1</sup>. These specialized cells are distributed throughout the human body and, like other cellular components, can degrade in both quality and quantity over time due to aging or external factors. Neuron degeneration can significantly impair brain function and overall health, leading to immediate effects such as localized loss of function and long-term consequences that may result in permanent disability of affected brain regions. This progressive neuronal degradation can result in a decline in neurotrophic support and chronic degeneration<sup>2,3</sup>.

Neurodegenerative diseases (NDDs) are conditions characterized by the gradual loss or dysfunction of neurons in specific regions of the brain. These diseases are marked by cognitive decline, motor impairment, behavioral and psychological changes, and a progressive loss of independence NDDs are predominantly observed in older adults due to the correlation between neuronal loss and aging Among these conditions, Alzheimer's disease (AD) and Parkinson's disease (PD) are the most prevalent AD typically arises from the abnormal accumulation of amyloid-beta (A $\beta$ ) and tau proteins around brain cells, leading to brain atrophy. On the other hand, PD is associated with decreased dopamine production in the brainstem and the pathological aggregation of alpha-synuclein. Both AD and PD share underlying mechanisms such as protein misfolding, mitochondrial dysfunction, and chronic inflammation within the nervous system.

As of 2024, it is estimated that nearly 7 million Americans aged 65 or older are living with AD, with approximately 10% of individuals in this age group affected by the disease<sup>7</sup>. Similarly, the incidence of PD continues to rise, with more than 90,000 new cases diagnosed annually in the United States. If current trends persist, the number of Americans with PD is projected to reach approximately 1.2 million by 2030<sup>8</sup>. Although aging is a

major risk factor for NDDs, genetic mutations, such as those in the Huntington gene that lead to Huntington's Disease, and protein misfolding, which contributes to prion diseases, have also been identified as causative factors. Notably, around 5% of AD cases occur in individuals under the age of  $65^{\circ}$ , highlighting that while age is a significant factor, it is not the sole contributor to the development of these diseases.

# Current state of NDD diagnostics

Due to improvements in quality of life and a growing average lifespan, the number of individuals affected by NDDs has increased significantly  $^5$ . This rise, coupled with a rapid annual growth in NDD cases, presents a substantial public health challenge. Approximately 25% of the population carries the APOE e4 gene, which is associated with an elevated risk of AD $^{10}$ . These trends highlight the need for proactive research and early detection strategies for NDDs.

Early studies on NDDs, particularly AD and PD, demonstrated the formation of amyloid beta plaques, tau tangles, and Lewy bodies long before clinical symptoms emerged, highlighting the challenge of early detection. In the present era, neurodegenerative conditions such as AD and PD are often evaluated through imaging techniques like positron emission tomography (PET)<sup>11,12</sup>, optical coherence tomography (OCT)<sup>13</sup>, computed tomography (CT)<sup>14</sup>, and magnetic resonance imaging (MRI)<sup>15,16</sup>, which provide valuable insight on central nervous system structure, function, and degradation. In addition to conventional imaging, miniaturized imaging systems such as portable low-field MRI (LF-MRI) have been used for AD diagnostics<sup>17</sup>, while emerging systems such as head-mounted doppler OCT show potential for NDD diagnostics<sup>18</sup>. Despite these advancements, the criteria for diagnosing NDDs in clinical settings remain complex due to symptom overlap with normal aging and the heterogeneity of disease presentation.

Biomarkers, including protein and molecular markers, are a promising avenue for early screening, risk assessment, and diagnostic accuracy<sup>19</sup>. Protein and molecular biomarkers have demonstrated potential for early

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diagnosis in other diseases, and recent studies have shown that microRNA biomarkers could differentiate mild cognitive impairment from agematched controls with a sensitivity of 84–94%<sup>20</sup>. Other biomarker candidates, such as long non-coding RNA and circular RNA, are also being actively investigated<sup>21</sup>. Nevertheless, the broader clinical adoption of biomarkers faces challenges due to inconsistent correlations with clinical outcomes and a lack of standardization in measurement techniques, assay protocols, and diagnostic thresholds<sup>22</sup>.

Optical biosensors have emerged as a promising solution to these challenges, offering a sensitive and specific method for real-time, non-invasive biomarker detection <sup>23</sup>. These devices transduce biological interactions into optical signals using techniques such as fluorescence, surface plasmon resonance (SPR), interferometry, and colorimetric detection, as well as resonator-based approaches like whispering-gallery mode (WGM) sensors. In this article, we review both the biosensors themselves, as well as their underpinning bioassay technologies. Optical biosensors show significant potential for enhancing diagnostic capabilities in NDDs and other conditions, addressing some of the current limitations in early detection and monitoring.

# Overview of optical biosensors and underlying techniques for NDDs

The concept of a biosensor was introduced in 1956 by Leland C. Clark who developed the oxygen electrode, and later, in 1962 created the first true biosensor for glucose detection<sup>24</sup>. In 1975, Lubbers and Opitz introduced the term optode with their development of a fiber-optic sensor for measuring carbon dioxide or oxygen<sup>24</sup>. Since then, advances in physics, especially in optics—have opened new possibilities for biosensor development<sup>24</sup>. This progress has led to innovative types of optical biosensors, including SPR, fiber-optic, interferometric, fluorescence-based, and optical resonator biosensors. In recent years, technological advancements in materials and integration have improved the sensitivity, specificity, and usability of optical biosensors. These improvements have enabled applications such as point-of-care testing and artificial intelligence-driven diagnostics<sup>25</sup>. Optical biosensors are now recognized as useful tools for disease detection and monitoring, especially for NDDs.

## Colorimetric-based techniques

Colorimetric assays utilize visible color changes triggered by specific analytes, eliminating the need for complex instrumentation. For example, gold nanoparticle (AuNP) aggregation-based assays leverage the plasmonic properties of AuNPs, where their interaction with target analytes or proteins induces aggregation, resulting in color shifts proportional to analyte concentration. These properties have been explored for detecting biomarkers relevant to NDDs<sup>26</sup>.

Similarly, enzyme-linked colorimetric bioassays, such as enzyme-linked immunosorbent assay (ELISA), have been used to detect NDD-related biomarkers<sup>27</sup>. These assays rely on antigen-antibody interactions, with a secondary enzyme-linked antibody and substrate facilitating a quantifiable color change proportional to the biomolecule concentration. While ELISA is not a biosensor, it serves as a foundational bioassay for many optical biosensing technologies.

Due to their simplicity and adaptability, colorimetric assays have been integrated into portable, cost-effective, and user-friendly platforms, such as paper-based biosensors. These systems, which require minimal components and straightforward analysis, hold promise for accessible and decentralized NDD diagnostics<sup>28</sup>.

## Fluorescent-based biosensors

The concept of fluorescence-based biosensors varies across different types of biosensors and assays. However, they all rely on the sensitivity achieved through detecting fluorescence generated by selective interactions, such as antigen-antibody or ligand-receptor binding. One commonly used fluorescence-based bioassay that serves as the basis for some optical biosensing technologies is ELISA. In this method, a selective antibody binds to a

specific antigen immobilized on a coated plate. If the detection antibody is conjugated to an enzyme, adding a suitable chemical substrate triggers a reaction that generates a fluorescent signal, which can then be measured. Alternatively, if the antibody is directly labeled with a fluorophore, fluorescence can be detected without the need for a substrate reaction (Fig. 1a). By quantifying the intensity of the fluorescent signal, researchers can determine the concentration of the target protein in the sample. ELISA is widely regarded as the gold standard and has been extensively used in research on drug efficacy and disease detection<sup>29</sup>.

# Single molecule array (SIMOA)

SIMOA<sup>30</sup> is an advanced digital immunoassay technique that shares similarities with ELISA. Both methods rely on antibodies to capture target proteins and use fluorescence as a detection signal. However, SIMOA uses a plate with over 200,000 microwells, each capable of capturing a single paramagnetic bead. In the SIMOA assay, paramagnetic beads are coated with specific antibodies and then mixed with the target protein and an enzyme (Fig. 1b). These functionalized beads are isolated into individual microwells using oil to seal each well, ensuring that statistically only one bead is present per well. A fluorescent substrate that reacts with the enzyme is then introduced, generating a fluorescent signal within each microwell. This fluorescence is detected and analyzed to quantify the target protein. SIMOA offers significantly improved sensitivity, detecting target concentrations down to the femtomolar range. Additionally, it supports multiplexing, enabling simultaneous detection of multiple analytes in a single assay<sup>31</sup>.

# Clustered regularly interspaced short palindromic repeats (CRISPR)

Another biosensing tool that utilizes fluorescence is based on CRISPR. CRISPR was originally developed for editing DNA sequences in cells and has significant applications in gene therapy and disease treatment. More recently, CRISPR has been adapted for use in biosensing, where it uses fluorescencebased detection to identify and quantify target molecules. In this approach, nucleic acids labeled with fluorescent markers are added to a mixture containing the sample, CRISPR-associated (Cas) proteins, and specific guide RNA (gRNA) sequences. The gRNA directs the Cas enzyme to the target DNA or RNA in the sample. Once bound, the Cas enzyme exhibits trans-cleavage activity, cutting nearby nucleic acids, including the labeled ones. This cleavage releases the fluorescent signal, which can be measured to determine the exact concentration of the target molecule in the sample (Fig. 1c). Due to the high specificity of gRNA for complementary sequences and the signal amplification capabilities of Cas enzymes, CRISPR-based biosensors achieve high sensitivity, detecting target concentrations as low as the attomolar range<sup>32</sup>. Additionally, the short assay time makes these biosensors highly suitable for point-of-care applications.

# Fluorescence resonance energy transfer (FRET)

FRET is another technique used to measure fluorescent emission resulting from protein-binding interactions. Unlike methods that rely on a single fluorescent protein, FRET utilizes two fluorophores: a donor and an acceptor. When the donor and acceptor come into close proximity (typically within 1–10 nm), often as a result of conformational changes or binding between proteins or peptides, non-radiative energy transfer occurs from the donor to the acceptor. This energy transfer results in fluorescent emission from the acceptor (Fig. 1d). The close-range interaction between the donor and acceptor fluorophores enables FRET biosensors to achieve high sensitivity, detecting concentrations as low as the picomolar range, along with specificity for the target analyte<sup>33</sup>.

# Label-free optical biosensing technologies for NDDs SPR

SPR is a label-free spectroscopic technique used to monitor molecular interactions in real time between target analytes, such as chemicals or biological ligands, and a surface immobilized with specific receptors<sup>34</sup>. SPR works by exploiting the resonant oscillation of free electrons at the interface of a

Fig. 1 | Concepts underlying fluorescence-based biosensors. a Schematic representation of ELISA, highlighting antibody-antigen interactions and fluorescent signal generation; b schematic of SIMOA, showing molecular detection using microwells and paramagnetic beads; c schematic of a CRISPR-based fluorescence biosensor, illustrating target recognition and fluorescent signal release through Cas enzyme activity; and d schematic of FRET, demonstrating energy transfer between donor and acceptor fluorophores during close-range protein binding.

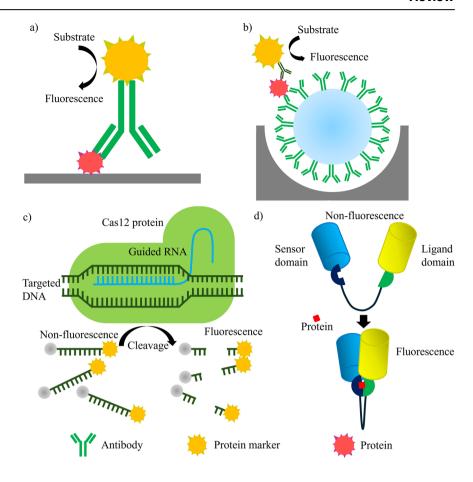
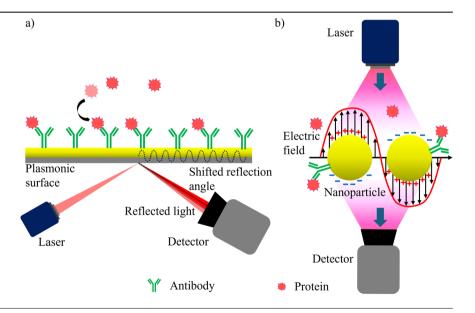


Fig. 2 | Working principles of SPR and localized surface plasmon resonance (LSPR) in biosensing. a SPR uses surface plasmons excited at a metal-dielectric interface to detect refractive index changes from analyte binding. b LSPR relies on localized plasmons in metallic nanoparticles, with analyte binding causing shifts in the resonance peak.



semi-transparent metal film when light interacts with it at a specific angle, causing light to propagate along a metal-dielectric interface. This coupling of free-space light into a mode guided at the metal-dielectric interface only occurs at a specific resonant incidence angle that is highly sensitive to the local refractive index at the interface. This coupling can be identified by a strong dip in light that otherwise would have been reflected. (Fig. 2a).

When a target analyte binds to receptors immobilized on the metal surface, it changes the refractive index at the interface. This refractive index change shifts the angle of resonant coupling into the surface plasmon polariton, allowing tracking of molecular binding events in real time. The total refractive index change  $\Delta n_d$  is described by the equation:

$$n_d = \left(\frac{dn}{dv}\right)_{vol} \frac{\Gamma}{h} \tag{1}$$

where  $(dn/dv)_{\rm vol}$  is the refractive index change per unit volume of the analyte,  $\Delta \Gamma$  is the concentration change of the target molecule at the surface, and h is the thickness of the interaction region.

This direct correlation between refractive index changes and molecular interactions enables SPR to detect binding events. SPR can measure reflective angle shifts as small as 0.1 millidegrees and detect surface-bound protein densities as low as 0.1 pg/mm<sup>235</sup>.

## Localized surface plasmon resonance (LSPR)

LSPR is a related technique to SPR that also relies on surface plasmon excitation but operates on metallic nanoparticles instead of a continuous metal film. In LSPR, the interaction of incident light with nanoparticles smaller than the wavelength of the light excites localized surface plasmons—coherent oscillations of electrons within the nanoparticles. These oscillations occur at a resonant frequency, which enhances light absorption and scattering at the nanoparticle surface, making LSPR extremely sensitive to changes in the local refractive index (Fig. 2b).

When an analyte binds to the surface of a nanoparticle, it causes a shift in the local refractive index, which alters the resonant peak frequency of the localized plasmon. By monitoring this frequency shift in real time, researchers can detect molecular binding events. LSPR has achieved detection limits as low as zeptomolar concentrations by observing color changes caused by the colloidal aggregation of gold nanoparticles during DNA hybridization<sup>36</sup>. LSPR performance, however, is sensitive to variations in the size, shape, and uniformity of plasmonic nanorods, which can lead to inconsistencies in signal reproducibility.

# Surface-enhanced Raman spectroscopy (SERS)

SERS is an advanced form of Raman spectroscopy that enhances the detection of molecules by amplifying their Raman scattering signals. In SERS, the LSPR of metallic nanoparticles is excited by incident light, generating a strong local electromagnetic field near the metal surface. This enhanced field amplifies the Raman scattering signal of nearby molecules, significantly increasing sensitivity, particularly at plasmonic 'hot spots' such as nanogaps and sharp edges (Fig. 3)<sup>37</sup>. This field enhancement increases the Raman scattering signal, making SERS more sensitive than conventional Raman spectroscopy.

The SERS enhancement factor (EF) is calculated using the following equation<sup>38</sup>:

$$EF = \frac{I_{SERS}/N_{surf}}{I_{NRS}/N_{vol}} \tag{2}$$

where  $I_{SERS}$  and  $I_{NRS}$  are the intensities of SERS and the normal Raman signals, respectively, and  $N_{surf}$  and  $N_{vol}$  represent the number of targeted

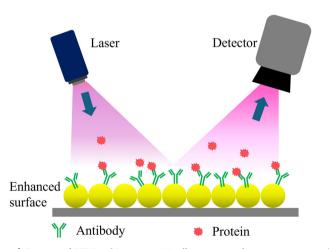


Fig. 3 | Concept of SERS in biosensing. Metallic nanoparticles or nanostructured surfaces enhance the Raman scattering signal of biomolecules through localized surface plasmon resonance (LSPR). Incident light excites the plasmon resonance, generating a strong electromagnetic field near the surface, amplifying the signal from nearby molecules.

molecules on the metallic surface and the total number of molecules in the excitation volume, respectively.

This enhancement can amplify the Raman signal by factors ranging from  $10^4$  to  $10^{839}$ . Such signal amplification enables SERS to achieve detection of AD proteins at concentrations as low as  $138 \text{ fg/mL}^{40}$ .

# Optical whispering gallery mode (WGM) resonator biosensors

The concept of WGMs originated from the behavior of acoustic waves in circular galleries, where sound waves travel along the perimeter with minimal energy loss. This phenomenon was later adapted to optics, where light circulates within closed-loop resonators without requiring end mirrors, resonating at specific wavelengths.

For effective optical resonance, WGM resonators require materials with low optical loss, high thermal and mechanical stability, and compatibility with biosensing applications. Common materials include glass and silicon nitride. In WGM optical resonators, the resonance at specific wavelengths is highly sensitive to particles binding to the resonator's surface. These binding events alter the optical path length and shift the resonant wavelength. Initially attributed to thermo-optic effects, this wavelength shift is now understood to result from changes in the effective refractive index.

Light is confined within the resonator through TIR and constructive interference. When a particle binds to the surface, it perturbs the optical path length, causing a change in the effective refractive index and shifting the resonant wavelength, often toward longer wavelengths. The relationship between the resonance wavelength,  $\lambda$ , and the effective refractive index  $n_{eff}$  is given by:

$$\lambda = \frac{2\pi n_{eff}R}{m} \tag{3}$$

where R is the resonator radius and m is the resonant mode number.

Various types of WGM resonators are used in biosensing, including rings, spheres, microbubbles, and microtoroids<sup>41</sup>. Among these, microspheres<sup>42</sup>, microrings<sup>43</sup>, and microtoroids<sup>44–49</sup> are the most widely utilized (Fig. 4). Microring resonators (Fig. 4a) are particularly advantageous for on-chip integration and use in fluidic systems due to their ease of fabrication and stable waveguide coupling. However, lithography limitations often result in microrings having lower quality factors (Q-factors) and thus shorter photon confinement times compared to microspheres (Fig. 4b) and microtoroids (Fig. 4c), which impacts their sensitivity.

Despite this limitation, microring resonators have achieved sensitivity levels of 73 nm/RIU (nanometers per refractive index unit) when fabricated from silicon 50 and up to 179.7 nm/RIU with silicon nitride 43. In biosensing applications, microrings have demonstrated the ability to detect proteins at concentrations as low as 63.54 ng/mL51 and DNA at nanomolar levels 52. Toroidal resonators, when paired with noise reduction techniques, enable single-molecule detection 53-59 and achieve zeptomolar detection limits 60 in under a minute 61. Typically, light is evanescently coupled into these devices using an optical fiber, but recently it has been shown that light can be free-space coupled into these devices and sensing experiments performed 62. In addition, toroidal devices can generate on-chip frequency combs in water, thus opening up the possibility for a compact identification, as well as detection platform 63,64.

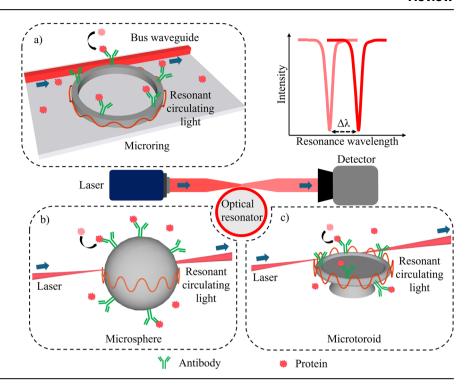
# Optical biosensing techniques in the context of NDD detection

Optical biosensors have emerged as a way for detecting NDDs, offering advantages in sensitivity and non-invasive analysis. An overview of how an optical biosensor can be used for NDD diagnostics is shown in Fig. 5.

### AD detection

AD is characterized by the buildup of  $A\beta$  plaques and tau protein tangles in specific brain regions, leading to neuronal death and brain atrophy. Consequently,  $A\beta$  and tau proteins are key biomarkers for AD detection and are widely used across diverse biosensing platforms.

Fig. 4 | Commonly used label-free WGM optical resonators for biosensing. a Microring resonator. Microrings are compact, chip-integrated, and compatible with microfluidic systems, but have lower sensitivity than microspheres or microtoroids. b Microsphere resonator. Microspheres have ultrahigh Q factors but are difficult to integrate on chip as they are not fabricated in a planar format and c Microtoroid resonators. Microtoroids have both ultra-high-Q factors and are fabricated on a chip, opening up the potential for a high-sensitivity multiplexed assay.



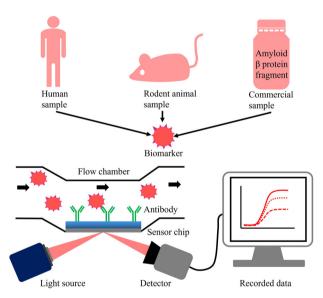


Fig. 5 | Overview of how optical biosensors can be used for neurodegenerative disease diagnostics. Samples may include human-derived fluids, murine models, or commercially available purified protein fragments, such as amyloid  $\beta$  1-42. Optical biosensor platforms can detect, for example, target biomolecules through specific antibody binding, producing measurable optical signals. These signals are analyzed to generate response curves, providing quantitative data for biomarker detection and disease progression assessment.

In terms of colorimetric assays, gold nanoparticle aggregation assays have been used to sense A $\beta$  1–40 in aqueous solution at 0.6 nM $^{65}$ . In addition, colorimetric paper-based ELISA assays have sensed A $\beta$  1–42 in human plasma and buffer at concentrations of 100 pg/mL and 63.04 pg/mL, respectively  $^{28}$ .

ELISA has been widely utilized for detecting biomarkers in vitro  $^{66}$ . Studies using ELISA have demonstrated differences in cerebrospinal fluid (CSF) concentrations of total tau (t-tau), phosphorylated tau at threonine 181 (p-tau181), and A $\beta$ -42 between AD patients and control groups. Specifically, AD patients show elevated levels of t-tau and p-tau181 alongside reduced

levels of A $\beta$ 42. Another biomarker, Visinin-like protein-1 (VILIP-1), associated with neuronal damage, also showed elevated levels in AD patients (506 ± 20 pg/mL) vs controls <sup>67</sup>. Additionally, ELISA enables detection of plasma A $\beta$ -42 at a sensitivity of 1 pg/mL <sup>68</sup>. Although ELISA has been widely used in AD research for detecting biomarkers across various biofluids, AD researchers have raised concerns about its sensitivity and robustness, especially for detecting low-abundance targets <sup>69</sup>. These limitations have led to the exploration of more sensitive techniques, but ELISA remains a tool for biomarker quantification. A summary of detection limits for different optical biosensors used in AD diagnostics is provided in Table 1.

Recent advancements in diagnostic techniques have introduced CRISPR-based fluorescence bioassays that enhance the detection sensitivity for AD biomarkers, particularly A $\beta$ 40 and A $\beta$ 42 in CSF. Notably, a study developed a CRISPR-assisted aptasensor combining the high specificity of aptamers for A $\beta$  biomarkers with CRISPR/Cas12a-based fluorescent detection. This approach achieved a limit of detection (LOD) of 1 pg/mL for A $\beta$ 40 and 0.1 pg/mL for A $\beta$ 42 in CSF samples<sup>70</sup>.

The SIMOA platform exhibits high sensitivity for detecting key AD biomarkers. SIMOA achieves a LOD of: 1.51 pg/mL for  $A\beta1-42$ , 4.08 pg/mL for  $A\beta1-40$ , and 0.338 pg/mL for p-tau181. Additionally, neurofilament light (NfL), a biomarker of neuronal damage found in both blood and CSF, is detectable with an LOD of 1.6 pg/mL with SIMOA<sup>71</sup>.

Other methods, such as FRET-based sensors, provide high sensitivity for a range of AD biomarkers, including A $\beta$  peptides, tau protein, Presenilin 1 (PSEN1), and microRNA-125b (miR-125b). FRET can identify tau protein at femtomolar levels in brain homogenates<sup>72</sup>, and Homogeneous Time-Resolved Fluorescence (HTRF), a FRET-based technique, can detect A $\beta$ 42 at a limit of 9 pg/mL<sup>73</sup>. FRET has also been applied to study Presenilin 1 (PSEN1), a protein associated with early-stage AD, with an LOD of 0.517 pM<sup>74</sup> and can also measure acetylcholine (ACh), a neurotransmitter involved in learning and memory, at a limit of detection of 0.03226 nM<sup>74</sup>. FRET has also been used to detect miR-125b, a microRNA linked to neuron apoptosis and tau phosphorylation, with a limit of detection of 416.42 pM in TRIS-EDTA buffer<sup>75</sup>.

SPR and LSPR biosensors are also effective for detecting AD. For A $\beta$ -42, SPR has achieved a detection limit of 100 pg/mL<sup>76</sup>, 14 fM for tau44<sup>77</sup>, and 34 fM for p-tau181<sup>77</sup>. Apolipoprotein E (ApoE), a genetic factor strongly associated with AD risk, is similarly detectable with SPR at a concentration

Table 1 | Sensitivity of different optical biosensing techniques for detecting AD biomarkers

Biomarker	Method	Sample type	Limit of detection	Reference
Αβ 40	AuNP aggregation colorimetric assay	Aqueous solution/human serum	0.6 nM/not calculated	65
Αβ 42	Paper-based ELISA	Human plasma/buffer system	100 pg/mL/63.04 pg/mL	28
Αβ 40	ELISA (colorimetric)	Human saliva	6 pg/mL	137
Αβ 42	ELISA (chemiluminescent)	PBS	1 pg/mL	68
t-tau	ELISA (colorimetric)	Mouse serum	24 pg/mL	138
Human tau	ELISA (fluorescent)	Human serum	5.08 pg/mL	139
Αβ 40	CRISPR-cas12a aptasensor	Human CSF	1 pg/mL	70
Αβ 42		Human CSF	0.1 pg/mL	
Neurofilament light chain	SIMOA	Calibrator diluent (proprietary): SIMOA LOD values are calculated as 2.5 times the standard deviation of this diluent.	0.0552 pg/mL	140
plasma Aβ 42	_		0.46 pg/mL	141
plasma Aβ 40	<del></del>		0.77 pg/mL	
P-tau181			1.55 pg/mL	142
P-tau217			0.15 pg/mL	
P-tau231	<del></del>		3.95 pg/mL	
Tau protein	FRET	Human CSF	100 attomoles	143
Aβ protein		Human CSF	0.142 nM (641,000 pg/mL)	74
Presenilin 1	<u> </u>	Human CSF	0.517 pM	
Ach	<u></u>	Human CSF	0.03226 nM	
miR-125b		Mouse brain tissue	20.82 pM	75
Αβ 40	SPR	PBS	3.3 pM	144
Αβ 42	<del></del>		3.5 pM	
Tau 441	_	PBS	13.25 fg/mL	145
apoE genes		PBS containing 5 mM MgCl <sub>2</sub>	10 fM	78
Tau protein	LPSR	PBS	10 pg/mL	146
		Human plasma mixed with DPBS	23.6 fM	79
Αβ 40			34.9 fM	
Αβ 42	<del></del>		26 fM	
Αβ 42	SERS	Commercially sourced (buffer: NaOH diluted in fetal bovine serum (FBS))	41.6 pg/mL	81
Αβ 40		Commercially sourced (buffer: phosphate- buffered saline (PBS)	191.2 fg/mL	40
Aβ42 monomers		PBS with FBS	0.0232 ng/mL	82
Aβ42 fibrils		PBS with FBS	0.0192 ng/mL	
Tau protein		Commercially sourced (buffer: PBS with FBS)	8.7 pg/mL	81
Neurofilament light chain		Commercially sourced (buffer: PBS)	309.1 fg/mL	40
Αβ 42	FLOWER	Human CSF	1 pg/mL, Detection level reflects physiological relevance; FLOWER has demonstrated zeptomolar sensitivity for other	83

of 10 fM over a linear range from 10 fM to 1 pM $^{78}$ . For LSPR, a study reported detection limits of 26 fM for A $\beta$ 1-42, 34.9 fM for A $\beta$ 1-40, and 23.6 fM for tau protein using a shape-coded nanoplasmonic biosensor $^{79}$ .

SERS further enables ultra-sensitive detection of AD biomarkers. For A $\beta$ -42 and A $\beta$ -40, SERS has achieved LODs of 40 fM, 191.2 fg/mL respectively  $^{40,80}$ . For tau-441, SERS has demonstrated an LOD of 8.7 pg/mL  $^{81}$ . This high sensitivity allows for the detection of AD biomarkers, such as A $\beta$ -42 monomers and fibrils, at 0.0232 ng/mL and 0.0192 ng/mL, respectively  $^{82}$ , and NfL levels down to 309.1 fg/mL in AD studies  $^{40}$ .

Finally, optical WGM resonators, such as FLOWER (frequency-locked optical whispering evanescent resonator) sensor, offer real-time, highly sensitive monitoring of A $\beta$ -42 in CSF. By combining microtoroid resonators with frequency locking and balanced detection, FLOWER can differentiate between mild cognitive impairment (MCI), AD, and control groups.

FLOWER has a lower LOD than ELISA, with an area under the curve (AUC) of 0.92, compared to 0.82 for  $\rm ELISA^{83}$ .

#### PD detection

Over recent years, several PD biomarkers have been identified and used for early diagnostics. Among these, alpha-synuclein ( $\alpha$ -synuclein) is a key protein that aggregates into Lewy bodies in the brains of PD patients, disrupting cellular function and leading to neuron death. Quantifying  $\alpha$ -synuclein levels has become central to PD detection. Table 2 summarizes the sensitivity of various optical biosensing techniques used for PD biomarkers.

Colorimetric ELISA has been used to sense  $\alpha$ -synuclein oligomers at a concentration of 0.25 ng/mL in PBS<sup>84</sup>. In addition, colorimetric ELISA has been applied to detect proteins associated with PD pathology, such as

Table 2 | Sensitivity of different optical biosensing techniques for detecting PD biomarkers

Biomarker	Method	Sample type	Limit of detection	Reference	
α-Syn oligomers	ELISA (colorimetric-based)	Commercially sourced (buffer: PBS)	0.25 ng/mL	84	
PARK7/DJ	ELISA Commercially sourced (buffer: PBS)		15.6 pg/mL	85	
PARK2	(colorimetric-based)	Commercially sourced (buffer: PBS)	0.156 ng/mL	86	
p-S65-Ub	<del></del>	ELISA blocking buffer Femtomolar to picomolar depending on the chain linkage used		87	
α-Syn	SIMOA	Human plasma	0.955 pg/mL	88	
α-Syn oligomers		Human saliva	6.3 nM	89	
α-Syn oligomers	smFRET	Recombinant protein produced in bacterial expression system (buffer: Tris-HCl 25 mM, NaCl 100 mM, pH 7.4)	picomolar	147	
α-Syn	SPR	Human serum	5.6 fg/mL	93	
DA	GO-SPR	Commercially sourced and dissolved in deionized water	100 fM	92	
DA	SPR	Commercially sourced (buffer: phosphate buffer)	0.1 ng/mL (653 pM)	91	
α-Syn in saliva	LSPR-based colorimetric	Human saliva	10 nM	26	
α-Syn oligomers	MUA-based LSPR	PBS	11 pM	96	
α-Syn fibrils	LSPR	Recombinant protein solution	80 nM	95	
α-Syn		Buffer	70 nM	94	
5-S-Cysteinyl- dopamine	SERS-AgNPs	Synthetic CSF	10 nM	98	
5-S-Cysteinyl- dopamine	SERS-AgNPs	Simulated urine (SUR)	100 nM		
DA	SERS-ZnO	Artificial CSF	10 μM	97	
α-Syn	LoC-SERS	Serum	0.82 pg/mL	99	
p-tau-181			0.88 pg/mL	_	
OPN			0.91 pg/mL		
OCN			0.97 pg/mL	_	

PARK7/DJ1<sup>85</sup> and PARK2<sup>86</sup>, which are linked to oxidative stress and mitochondrial function. Commercially available assays have achieved a LOD of 15.6 pg/mL for PARK7/DJ1<sup>85</sup> and 0.156 ng/mL for PARK2<sup>86</sup>. Using a sandwich ELISA, researchers have also measured ubiquitin phosphory-lated at Ser65 (p-S65-Ub), which plays a role in mitophagy and is involved in mitochondrial dysfunction—a key feature in PD and other NDDs. Mitochondrial dysfunction in PD is intricately linked to α-synuclein, and elevated levels of p-S65-Ub may impact α-synuclein levels indirectly. ELISA assays have achieved sensitivity for p-S65-Ub in the femtomolar to picomolar range, depending on the poly-Ub chain linkage used<sup>87</sup>. The SIMOA platform has demonstrated plasma α-synuclein detection at 0.955 pg/mL<sup>88</sup>.

FRET-based techniques have also been applied to detect  $\alpha$ -synuclein oligomers, with detection limits as low as 6.3 nM and two linear response ranges: from 10 nM to 100 nM and 250 nM to 2  $\mu M^{89}$ . The sensitivity can be extended to picomolar concentrations with single-molecule FRET. FRET assays have also shown that Ser129 phosphorylation (pS129) promotes  $\alpha$ -synuclein oligomer aggregation  $^{90}$ .

Label-free optical biosensors, such as SPR and LSPR, also play critical roles in PD detection. Conventional SPR has been used to quantify dopamine (DA) in CSF with an LOD of 0.1 ng/mL (653 pM) and a linear detection range from  $0.01 \text{ to } 189 \, \mu\text{g/mL}^{91}$ . By enhancing SPR with graphene oxide (GO), GO-SPR has achieved greater sensitivity for DA detection, reaching an LOD of 100 fM with a range from  $100 \, \text{fM}$  to  $1 \, \text{nM}^{92}$ . Beyond DA, a paired antibody and label-free Fe<sub>3</sub>O<sub>4</sub>-enhanced SPR platform has enabled  $\alpha$ -synuclein detection with an LOD of 5.6 fg/mL over a linear range of  $0.01 \, \text{pg/mL}$  to  $100 \, \text{pg/mL}^{93}$ . Typical LSPR systems can detect  $\alpha$ -synuclein fibrils at concentrations of  $70 \, \text{nM}^{94}$  and  $80 \, \text{nM}^{95}$ . With advancements such as gold nanoparticle aggregation, triggered by  $\text{Cu}^{2^+}$  binding to  $\alpha$ -synuclein, LSPR-based detection of  $\alpha$ -synuclein in saliva has achieved a sensitivity of  $10 \, \text{nM}$ , with a dynamic range of  $10 \, \text{to } 200 \, \text{nM}^{26}$ . Other LSPR enhancements,

like mercaptoundecanoic acid (MUA)-capped gold nanorods, allow for  $\alpha$ -synuclein oligomer detection in PBS with an LOD of 11 pM $^{96}$ .

Recent advances in SERS have enhanced PD diagnostic capabilities. Using zinc oxide (ZnO)-enhanced SERS, researchers have detected DA in artificial CSF with a LOD of  $10\,\mu\text{M}^{97}$ . With gold nanoparticle enhancement, SERS enables detection of 5-S-cysteinyl-dopamine, a DA oxidation metabolite, at concentrations as low as  $10\,\text{nM}$  in synthetic CSF and  $100\,\text{nM}$  in simulated urine secret developments in SERS-based lab-on-chip platforms allow simultaneous quantification of PD biomarkers like  $\alpha$ -synuclein, p-tau-181, osteopontin (OPN), and osteocalcin (OCN), each within a range from 1 pg/mL to 1  $\mu$ g/mL oPN and OCN play critical roles in PD pathology: OPN is associated with neuroinflammation, a key process in PD, while OCN has been shown to cross the blood-brain barrier and influence brain function 100.

## Other NDD detection

Beyond AD and PD, NDDs encompass a broad spectrum of disorders, each characterized by unique mechanisms, affected brain regions, progression rates, and clinical symptoms. These include Huntington's Disease (HD), Prion diseases, spinal muscular atrophy (SMA), amyotrophic lateral sclerosis (ALS), frontotemporal dementia (FTD), and spinocerebellar ataxia (SCA)<sup>101</sup>.

These conditions have become key research areas due to their significant disease burden, well-defined genetic bases, and the availability of animal models. Despite their shared feature of progressive neuronal degeneration causing motor and cognitive impairments, each disease arises from distinct molecular and genetic mechanisms. For instance, Huntington's Disease is caused by a mutation in the *HTT* gene, leading to an abnormal huntingtin protein<sup>102</sup>. Spinal Muscular Atrophy (SMA) results from mutations in the *SMN1* gene, affecting the survival motor neuron

Table 3 | Sensitivity of different optical biosensing techniques for detecting NDDs besides AD and PD

NDD	Biomarker	Method	Sample type	Limit of detection (LOD)	Reference
SMA	SMN protein	ELISA (colorimetric)	Human peripheral blood cells and mouse tissue	50 pg/mL	108
ALS	TDP-43	ELISA (chemiluminescence)	PBS	0.49 ng/mL	109
HD	Mutant HTT protein	FRASE	Mouse brain tissue	Femtomolar	115
SCA type 2	Ataxin-2 protein	TR-FRET-based immunoassay	RIPA buffer	7.995 ng/uL	120
Prion disease	PrP <sup>Sc</sup>	SPR	PBS	0.001 ng/mL	123
PD, HD	DA	LSPR	Artificial CSF	1 pM	126
ALS	SOD1	LSPR	Recombinant protein expressed in bacterial systems (buffers: Tris-HCl, 50 mM, pH 8.0; NaCl, 150 mM; PBS, pH 5.4)	1.0 ng/mL	125
Neurological diseases	lgG	LSPR	Commercially sourced (buffer: PBS)	0.017 μg/mL	127
	CRP	_		87 pg/mL	128
Neurodegenerative disorders	DA	ss-SERS	Commercially sourced and dissolved in saline	1.9 aM	134
	Serotonin		Commercially sourced and dissolved in saline	3 aM	
	Ach		Commercially sourced and dissolved in saline	1 aM	
	GABA		Commercially sourced and dissolved in saline	99 aM	
	Glutamate		Commercially sourced and dissolved in saline	39 aM	
ALS	SOD1	GNs-SERS	Human blood	0.564 fg/mL	132
Prion diseases	PrP	SERS	Recombinant expressed in bacterial systems (buffer: PBS, pH 7.4)	250 nM	133
ALS	NfL	SIMOA diluent (proprietary): SIMOA LOD values are		0.085 pg/mL	148
Prion disease	NfL and glial fibrillary acidic protein (GFAP)	_	calculated as 2.5 times the standard deviation of this diluent.	0.085 pg/mL, 0.138 pg/mL	149
FTD	TDP-43				_
SCA	NfL			0.085 pg/mL	_
	GFAP			0.138 pg/mL	

(SMN) protein<sup>103</sup>. Prion Diseases arise from the misfolding of prion proteins (PrP), resulting in infectious neurodegeneration<sup>104</sup>. Optical biosensors have become tools for detecting these diseases, focusing on biomarkers related to protein misfolding and aggregation, providing opportunities for early diagnosis and therapeutic monitoring. Table 3 presents a detailed comparison of biosensor detection limits for a range of NDD biomarkers beyond AD and PD.

ELISA assays have been widely used to detect biomarkers across various NDDs. In FTD, AD, and subjective cognitive decline, ELISA has measured NfL in CSF with a LOD of 100 pg/mL<sup>105</sup>. In Huntington's disease (HD), ELISA is used in combination with Western blotting to detect antibodies against the huntingtin protein (HTT/mHTT) in human plasma, achieving a sensitivity of up to 2.7 fmol per well<sup>106</sup>. For Prion diseases, ELISA can detect abnormal prion protein isoforms (PrP<sup>SC</sup>) in cell cultures across a one log dynamic range<sup>107</sup>. In SMA, ELISA targeting SMN protein has achieved a sensitivity of 50 pg/mL<sup>108</sup>, and in ALS, ELISA can detect TDP-43 protein (TAR NDA-binding protein 43), a key factor for ALS detection due to its aggregation in neurons found in ALS patients, at a level of 0.49 ng/mL<sup>109</sup>.

The SIMOA platform has also been used for other NDD biomarker detection. SIMOA can detect NfL at sub-picogram/mL concentrations, thus enabling detection of ALS<sup>110</sup>, Prion disease<sup>111</sup>, MSA<sup>112</sup>, and SCA<sup>113</sup>. For FTD, SIMOA has achieved sensitivity down to sub-picogram per milliliter when targeting TDP-43<sup>114</sup>.

FRET biosensors have been used to diagnose other NDDs as well. In HD, FRET-based assays, such as the FRET-based protein aggregate seeding

assay (FRASE), detected HTT protein aggregates at femtomolar levels<sup>115</sup>. FRET has also been applied to ALS diagnostics by targeting TDP-43, with integration into glucose sensors to measure glucose flux, showing altered FRET/CFP ratios in TDP-43-expressing neurons, indicative of heightened glucose metabolism<sup>116</sup>. For Prion disease, FRET methods, including live-cell FRET imaging<sup>117</sup> and high-throughput screening<sup>118</sup>, have enabled PrP clustering detection at the cell surface. Additionally, TR-FRET has detected soluble ataxin-3 protein in SCA type 3<sup>119</sup> and was able to capture the decrease in soluble ataxin-3 protein corresponding to disease progression. Quantification of ataxin-2 protein for SCA type 2 using TR-FRET-based immunoassays has demonstrated sensitivity down to 7.995 ng/µL<sup>120</sup>. In addition, commercial kits, such as the HTRF Human and Mouse Ataxin 2 Detection Kit, have been developed for the quantitative measurement of ataxin-2 in human and mouse cell lysates. This kit offers a detection limit of 7 pg/mL and a dynamic range from 21 to 10,000 pg/mL<sup>121</sup>.

Label-free techniques like SPR, LSPR, and SERS have also been applied to other NDD diagnostics. SPR has been effectively used in HD research to study thioredoxin-polyQ fusion proteins, which are associated with mutations in the HTT gene linked to HD. In  $E.\ coli$ , this approach has been used to measure the binding affinity between specific peptides containing an expanded polyQ stretch, which was determined to be  $5.7\ \mu M^{122}$ . SPR has also been utilized in Prion disease studies, detecting  $PrP^{Sc}$  at concentrations as low as  $0.001\ ng/mL^{123}$ . SPR has facilitated the study of age-dependent ataxia and NDDs by targeting mutant mice models,  $\alpha II$  spectrin, a protein playing a crucial role in maintaining the structural integrity and function of neurons,

and found the degradation of  $\alpha II$  spectrin in cerebral tissue correlating with disease  $^{124}$ . LSPR can detect SOD1, a critical ALS biomarker, at 1.0 ng/mL  $^{125}$ . LSPR enables the quantification of dopamine in CSF with a detection limit of 1 pM and a dynamic range of 1 pM to 10 nM  $^{126}$ . This capability makes LSPR a valuable tool for studying NDDs such as PD and HD. For broader neurological diagnostics, LSPR has targeted biomarkers such as Immunoglobulin G (IgG), C-reactive protein (CRP), Tumor Necrosis Factoralpha (TNF- $\alpha$ ), and Interleukin-6 (IL-6). Detection limits have been achieved at approximately 0.017  $\mu g/mL$  for IgG  $^{127}$ , 87 pg/mL for CRP  $^{128}$ , 90 fg/mL for TNF- $\alpha$   $^{129}$ , and 11.29 pg/mL for IL-6  $^{130}$ .

SERS biosensors have also demonstrated applicability across multiple NDDs. In HD, SERS has been used to monitor protein misfolding markers and nucleotide catabolism products in serum  $^{131}$ . ALS diagnostics with SERS have benefitted from the use of gold nanostars, achieving ultra-sensitive detection of SOD1 in blood with an LOD of 0.564 fg/mL and a wide detection range from  $10^{-4}\,\text{ng/mL}$  to  $10^3\,\text{ng/mL}^{132}$ . For Prion diseases, SERS has achieved high performance in detecting PrP protein with an LOD of 250 nM  $^{133}$ . Additionally, spread spectrum SERS (ss-SERS), has enabled the detection of neurotransmitters such as dopamine, serotonin, acetylcholine (ACh),  $\gamma$ -aminobutyric acid (GABA), and glutamate, with attomolar-level sensitivity  $^{134}$ .

# Conclusions and future outlook

This review highlights the critical role of optical biosensors in detecting NDDs, presenting their sensitivity and selectivity across various sample types, including CSF, blood, serum, plasma, simulated urine, and saliva. Beyond diagnostics, these biosensors hold promise for identifying novel biomarkers, addressing the current lack of disease-specific markers, and enabling earlier detection of NDDs. Future advancements in optical biosensors focus on enhancing sensitivity through improved materials for technologies like SERS, SPR, LSPR, and FLOWER. Integrating multiplexing capabilities will enable simultaneous detection of multiple biomarkers, while incorporating artificial intelligence can further enhance accuracy and efficiency. Moreover, optical microcavities can generate onchip frequency combs, enabling spectroscopic analysis to detect and differentiate biomarkers associated with these conditions in complex fluids. In addition to these innovations, transitioning optical biosensors to pointof-care applications and commercialization will facilitate wider clinical use, making diagnostics faster, more accessible, and cost-effective. While this review focuses on optical biosensors, advancements in complementary methodologies like wireless quartz crystal microbalances (QCM) have demonstrated potential in differentiating fibrils from PD and multiple system atrophy by identifying differences in mechanical properties such as stiffness<sup>135,136</sup>. By addressing current challenges and expanding their capabilities, these technologies will significantly improve early detection and monitoring, advancing patient outcomes and our understanding of NDDs.

# **Data availability**

No datasets were generated or analyzed during the current study.

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#### **Author contributions**

K.D.M. conducted the literature review and wrote the initial manuscript draft. J.S. provided input, edited the manuscript, and guided the structure and

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# Competing interests

J.S. owns a financial stake in Femtorays Technologies, which develops label-free molecular sensors. All other authors declare no competing interests.

## **Additional information**

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