

# Does vascular endothelial growth factor (VEGF) predict local relapse and survival in radiotherapy-treated node-negative breast cancer?

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**Summary** The aim of this study was to determine the association of vascular endothelial growth factor (VEGF) content in 302 consecutive node-negative breast cancer (NNBC) patients treated with only locoregional radiotherapy to relapse free- (RFS) and overall survival (OS). VEGF content in tumour cytosols was measured by an enzymatic immunoassay for the major isoform VEGF<sub>165</sub>. The median age was 56 years, the median follow-up time 56 months. A wide range (0.01–144.79 pg µg<sup>-1</sup> DNA) of VEGF content was found (median 1.92). Significant associations were found between VEGF and oestrogen receptor (ER) content, progesterone receptor (PR) and tumour size ( $P = 0.005$ ). Univariate analysis displayed significant reduced RFS and OS for patients with higher VEGF content ( $P = 0.0113$  and  $P = 0.0075$  respectively). A total of 43 recurrences have been found (ten local relapses within the breast, five in the axillary or supraclavicular lymph nodes and 28 distant metastasis). There was no significant correlation between the localization of the relapse and the VEGF content. Multivariate analysis suggested VEGF as the only predictor of OS (relative risk (RR) = 3.6, 95% confidence interval (CI) = 0.97–13.37), and in patients with T1 tumours ( $n = 236$ ) the multivariate analysis clearly displayed VEGF as the only independent predictor of both RFS and OS (RR = 5.1, CI = 1.07–24.59). In the subgroup with ER-positive tumours ( $n = 229$ ), multivariate analysis showed VEGF as the only significant predictor of RFS and OS (RR = 10.44, CI = 1.26–86.38). The results suggest VEGF<sub>165</sub> as a predictor of RFS and OS in NNBC patients treated with locoregional radiotherapy, comprising especially patients with favourable prognosis of T1 tumours, or ER-positive tumours. The high VEGF expression might define a radioresistant phenotype, or indicate an early distant spread which might require adjuvant systemic treatment. © 1999 Cancer Research Campaign

**Keywords:** VEGF; node-negative breast carcinoma; radiotherapy; relapse; survival

Post-operative adjuvant radiotherapy, delivered to patients with localized node-negative breast carcinoma (NNBC), has the aim to eradicate any micrometastases that may still be present in the breast after surgery (Veronesi et al, 1981; Fisher et al, 1989; Liljegren et al, 1994). However, there is a significant number of patients (25–30%) with only locally treated NNBC in which the tumour relapsed (McGuire, 1988). There are also a subgroup of patients that displayed an increased morbidity and even hampered survival after radiotherapy, dependent on suboptimal techniques used (Cuzick et al, 1994). Nevertheless, there is still a need to define more properly the subgroup of patients in whom more extensive therapy could be considered, in order to further optimize the radiotherapy given. Previously, a diversity of various factors related to tumours, such as hormone receptors, have shown to be of value when initiating systemic treatment to patients at risk for recurrence (Sigurdsson et al, 1990). Tumour vascularization, measured as microvessel density, has been proposed to be of independent prognostic value in breast carcinoma patients, with a worse outcome for patients with a higher vascularity in their primary tumours (Weidner et al, 1991; Bosari et al, 1992; Horak et al, 1992; Gasparini et al, 1993, 1994; Toi et al, 1993; Fox et al, 1994; Obermair et al, 1995). On the other hand, radiotherapy

requires adequate blood supply, and is suggested as less efficient in tissues with poor vascularization (Hobson and Denekamp, 1984). As one of the most potent growth factors known, VEGF induces endothelial cell proliferation and migration, increases vascular permeability, and co-function with proteolytic enzymes involved in tumour invasiveness (Ferrara et al, 1989; Lindgren et al, 1997). A high correlation is suggested between microvessel density and the expression of vascular endothelial growth factor (VEGF) (Toi et al, 1996). Recently, we and others proposed VEGF content to be a predictor of overall survival (OS) in primary breast carcinoma (Gasparini et al, 1997; Eppenberg et al, 1998; Linderholm et al, 1998).

This study aimed to determine the predictive value of VEGF for relapse-free survival (RFS) and overall survival (OS) in 302 consecutive node-negative patients treated with locoregional radiotherapy following conservative surgery. As far as we have found, no other controlled evaluation has been undertaken to specifically define the prognostic value of vascularization or VEGF with regard to radiotherapy and survival.

## MATERIALS AND METHODS

### Patient data

Clinical information and tumour samples were collected from 302 consecutive unselected women with invasive node-negative breast carcinoma (NNBC), diagnosed and primarily treated for localized tumour between 1990 and 1995 in the health care region of

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**Table 1** Clinicopathologic characteristics of the patients

Variables	No. of patients	
Patients enrolled	302	
Histological type		
Ductal invasive	258	
Lobular invasive	14	
Others	30	
Tumour size		
T1	236	
T2	66	
Histopathological grading		
I	29	
II	113	
III	95	
Not analysed	65	
Oestrogen receptor (ER)		
pos ( $\geq 0.1$ fmol $\mu\text{g}^{-1}$ DNA)	229	
neg ( $< 0.1$ fmol $\mu\text{g}^{-1}$ DNA)	73	
VEGF levels (pg $\mu\text{g}^{-1}$ DNA)		
All patients (median, range)	302	1.92 (0.01–144.79)

northern Sweden. The median age was 56 years (range 29–74), and the median follow-up time for survivors was 56 months (range 22–91 months). The patients included had histologically verified invasive unilateral breast carcinoma without axillary lymph node involvement, or detectable distant metastasis (T1–2, N0, M0). A total of 236 patients had T1 tumours. Tumour classification and staging was in accordance with the International Union Against Cancer tumour-node-metastasis (UICC-TNM) classification. Primary treatment was given according to the guidelines of the North Swedish Breast Cancer Group. All patients were treated with segmental resection with axillary dissection. In the group with T2 tumours (21–50 mm) ( $n = 66$ ) no upper limit concerning the maximum size of the tumour was set for treatment with sector resection. Surgery was followed by radiotherapy delivered daily at 2-Gy fractions, 5 days per week to a total dose of 56 Gy. Radiotherapy was started within 4–6 weeks after surgery. The axilla were not included in the target volume. No patients received any adjuvant systemic treatment. The end points determined were local relapses, distant metastasis and survival. Patients with concomitant local failure and distant metastasis as first event were classified in the metastasis group. The number of patients for whom data were available varied among different prognostic factors studied, but in all cases tumour size, oestrogen receptor (ER), progesterone receptor (PR) and tumour cytosol VEGF protein were measured (Table 1). The analyses of tumour tissue were performed prior to radiotherapy and blindly to the clinical data.

#### Tumour tissue preparation, VEGF and receptor analysis

During primary surgery, and after pathological examination, representative tumour tissue was cut out and frozen in liquid nitrogen until analysis. Frozen tumour tissue was homogenized in a microdish membrator (Braun, Melsungen, Germany) and suspended in cold standard receptor buffer (10 mM Tris pH 7.4, 1.5 mM EDTA, 10 mM sodium molybdate, 1.0 mM monothioglycerol). Supernatants were collected after 10 min refrigerated centrifugation at 20 000  $g$  and used for analyses of steroid receptor and VEGF protein contents. The pelleted fractions were analysed for DNA content by the method of Burton, in order to evaluate cell

concentrations in samples. A high correlation is observed between DNA content and protein content ( $r > 0.90$ ). The reason to choose DNA content for evaluating tumour cellularity in patients' samples for routine receptor analyses is to avoid measurements of extracellular proteins. DNA quantification has therefore been considered more specific than total protein concentration (Norgren et al, 1986).

VEGF was analysed using a quantitative immunoassay kit for human VEGF<sub>165</sub> (Quantikine, human VEGF, R & D Systems, Minneapolis, MN, USA). VEGF<sub>165</sub> is the most commonly found isoform of VEGF in both normal and transformed cells (Scott et al, 1998).

ER and PR content was determined by an enzyme immunoassay (Abbott Laboratories, Diagnostic Division, Abbott Park, IL, USA). Receptor concentration was expressed as fmol receptor per  $\mu\text{g}$  DNA, and tumours with a value lower than 0.1 fmol ER or PR  $\mu\text{g}^{-1}$  DNA were considered as receptor-negative, those with a value equal to or higher than 0.1 fmol ER or PR  $\mu\text{g}^{-1}$  DNA as receptor-positive.

#### Statistical methods

Association between VEGF<sub>165</sub> content and earlier established prognostic or predictive factors were tested by the Pearson  $\chi^2$  test. Survival was estimated using the Kaplan–Meier method, and comparison between study groups was performed with the log-rank test. The cut-off value used was the median level of VEGF in this group of patients. To evaluate the simultaneous effect on different factors on survival, the Cox proportional hazard model was used. The survival time was measured from date of diagnosis to the date of the first relapse or death. In all tests the significance level was set to 0.05, and all were two-sided tests.

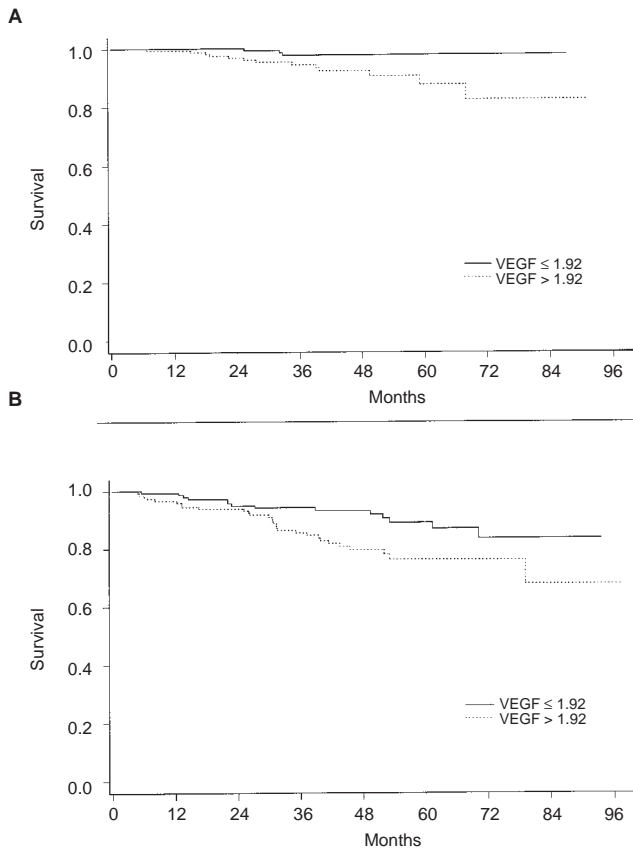
## RESULTS

#### Distribution of VEGF<sub>165</sub>

The median value was 1.92 pg  $\mu\text{g}^{-1}$  DNA; however, a wide quantitative range of cytosolic VEGF<sub>165</sub> protein was found (range 0.01–144.79). Among the other prognostic variables, VEGF ( $\leq 1.92$  vs  $> 1.92$  pg  $\mu\text{g}^{-1}$  DNA) was associated with ER content (ER-positive vs -negative,  $P < 0.002$ ), PR content (PR-positive vs -negative,  $P = 0.006$ ) and tumour size ( $\leq 2$  cm vs 2.1–5.0 cm,  $P = 0.005$ ). A borderline value was seen between VEGF and higher histological grade (grades I + II vs III,  $P = 0.053$ ). No association was found between VEGF and histopathological type (ductal vs lobular and others,  $P = 0.192$ ), or between VEGF and age ( $\leq 56$  years vs  $> 56$  years,  $P = 0.730$ ).

#### Association between the site of first relapse and VEGF content

A total of 43 relapses were found. There were ten in-breast failures, five metastasis in the axillary or supraclavicular lymph nodes and 28 distant metastasis as first events. One patient had both local failure and visceral metastasis as first event and classified in the distant metastasis group. There was no statistically significant correlation between the localization of the first relapse and the VEGF content ( $P = 0.773$ ). In the group with local recurrence within the breast, 70% ( $n = 7$  of 10) had a VEGF content above the median value, compared to 80% ( $n = 4$  of 5) in the group with



**Figure 1** The probability of (A) relapse-free survival ( $P = 0.0113$ ) and (B) overall survival ( $P = 0.0075$ ) for 302 node-negative patients primary treated with conservative surgery followed by radiotherapy according to vascular endothelial growth factor (VEGF). The cut-off value used is the median level in this group ( $1.92 \text{ pg } \mu\text{g}^{-1} \text{ DNA}$ )

lymph node metastasis and 64% ( $n = 18$  of 28) in patients with distant metastasis.

### Univariate analysis

A statistically significant difference in RFS and OS ( $\leq 1.92$  vs  $> 1.92 \text{ pg } \mu\text{g}^{-1} \text{ DNA}$ ), was found, with reduced survival times for

patients with a higher level of cytosolic VEGF ( $P = 0.0113$ , Figure 1A; and  $P = 0.0075$ , Figure 1B respectively). In addition to VEGF, histological grade (I + II vs III) ( $P = 0.0158$ ) and tumour size (T1 vs T2) ( $P = 0.0020$ ) were statistically significant for RFS, while ER status (positive vs negative) ( $P = 0.9579$ ), histological type (ductal vs lobular and others) ( $P = 0.6205$ ) and age ( $\leq 56$  years vs  $> 56$  years) ( $P = 0.1580$ ) were not. Moreover, histological grade and ER status were statistically significant for OS in univariate analyses ( $P = 0.0496$  and  $P = 0.0286$  respectively). Morphological features ( $P = 0.7300$ ), tumour size ( $P = 0.6869$ ) and age ( $P = 0.7738$ ) were not significant for OS in this material. Univariate analysis in the subgroup with T1 tumours ( $n = 236$ ) showed statistically significant reductions in RFS ( $P = 0.0007$ ) and OS ( $P = 0.0065$ ) for patients with VEGF content above the median value  $1.92 \text{ pg } \mu\text{g}^{-1} \text{ DNA}$ . Univariate analysis in the sub-group with ER-positive tumours ( $n = 229$ ) showed VEGF as a predictive factor for RFS ( $P = 0.0012$ ) and OS ( $P = 0.0006$ ), with reduced survival times for patients with VEGF content above the median value ( $1.92 \text{ pg } \mu\text{g}^{-1} \text{ DNA}$ ).

### Multivariate analysis

Analysis of the joint effect of combining VEGF determination with the other prognostic factors, and age in order to avoid an effect of age-related mortality, proposed VEGF as the only valuable predictor of overall survival ( $P = 0.0554$ ; confidence interval (CI) =  $0.97$ – $13.4$ ). Patients with higher VEGF values in tumours ( $> 1.92 \text{ pg } \mu\text{g}^{-1} \text{ DNA}$ ) had a 3.62 times increased risk of death than those with lower VEGF values. The other included factors, tumour size, ER content, histological grade and age failed to retain as independent predictors for OS in multivariate analysis (Table 2).

For RFS, histological grade was the only independent predictive factor ( $P = 0.0289$ ; relative risk (RR) =  $2.29$ , CI =  $1.09$ – $4.81$ ), while VEGF failed as an independent predictor of RFS ( $P = 0.1406$ ), though still with an increased risk for recurrence of 1.77. Tumour size, ER status and age were not significant predictors of RFS.

The results from multivariate analysis in the group with only T1 tumours ( $n = 236$ ), showed VEGF as the only independent predictor of RFS ( $P = 0.0038$ , RR =  $5.18$ , CI =  $1.70$ – $17.78$ ) and OS ( $P = 0.0313$ , RR =  $5.62$ , CI =  $1.17$ – $27.27$ ), while the other factors included failed (Table 3). The results from multivariate analysis in the sub-group with ER-positive tumours ( $n = 229$ ), showed histological grade ( $P = 0.0192$ , RR =  $2.71$ , CI =  $1.18$ – $6.24$ ) and VEGF ( $P < 0.0402$ , RR =  $248$ , CI =  $1.04$ – $5.93$ ) as independent predictors

**Table 2** Multivariate Cox regression analysis on relapse free (RFS) and overall survival (OS) in all 302 node-negative breast cancer patients treated with segmental resection + radiotherapy

Variable	RFS			OS		
	RR	95% CI	P	RR	95% CI	P
VEGF						
$\leq 1.92$ vs $> 1.92 \text{ pg } \mu\text{g}^{-1} \text{ DNA}$	1.77	0.83–3.79	0.1406	3.62	0.97–13.5	0.0554
Estrogen receptor						
ER- vs ER+	1.38	0.59–3.21	0.4533	2.06	0.68–6.17	0.1988
Tumour size						
T1 vs T2	1.99	0.95–4.19	0.0682	1.08	0.27–2.94	0.8692
Histopathological grade						
I+II vs III	2.29	1.09–4.81	0.0289	1.95	0.62–6.13	0.2530
Age, years						
$\leq 56$ vs $> 56$	1.03	0.50–2.12	0.9335	1.03	0.37–2.92	0.9437

**Table 3** Multivariate Cox regression analysis on relapse-free (RFS) and overall survival (OS) in 236 patients with T1 node-negative breast cancer tumours

Variable	RFS			OS		
	RR	95% CI	P	RR	95% CI	P
VEGF ≤ 1.92 vs >1.92 pg µg <sup>-1</sup> DNA	5.18	1.70–17.78	0.0038	5.62	1.17–27.27	0.0313
Estrogen receptor ER– vs ER+	1.44	0.46–4.53	0.5325	1.71	0.45–6.40	0.4254
Histopathological grade I+II vs III	1.89	0.74–4.83	0.1854	1.57	0.41–5.91	0.5075
Age, years ≤ 56 vs > 56	1.97	0.79–4.89	0.1434	2.37	0.68–8.25	0.1727

**Table 4** Multivariate Cox regression analysis on relapse-free survival (RFS) overall survival (OS) in 229 patients with oestrogen receptor-positive node-negative breast cancer tumours

Variable	RFS			OS		
	RR	95% CI	P	RR	95% CI	P
VEGF ≤ 1.92 vs >1.92 pg/µg DNA	2.48	1.04–5.93	0.0402	10.44	1.26–86.4	0.0296
Tumour size T1 vs T2	2.02	0.85–4.80	0.1128	0.56	0.68–4.60	0.5902
Histopathological grade I+II vs III	2.71	1.18–6.24	0.0192	2.67	0.63–11.26	0.1808
Age, years ≤ 56 vs > 56	1.14	0.50–2.62	0.7435	1.30	0.32–5.26	0.7159

of RFS. For OS, VEGF was the only significant predictor ( $P = 0.0296$ , RR = 10.44, CI = 1.26–86.39), while the other factors included failed (Table 4).

## DISCUSSION

The hypothesis to be tested in this study was specifically to explore the possibility that VEGF, as an indicator of the degree of vascular activity, could predict the efficacy of locoregional radiotherapy in breast carcinoma after conservative surgery. Radiotherapy is known to reduce local recurrence, and recently has also been proposed to enhance survival (Overgaard et al, 1997). The present results from 302 consecutively sampled tumours indicate that VEGF<sub>165</sub> could be of value as a predictor of both RFS and OS in women subjected to locoregional therapy for breast carcinoma. The correlation was especially seen in patients in general considered to have a good prognosis, i.e. T1 tumours or ER-positive tumours, with an increased risk of recurrence and death of 5.18 and 2.48 (RFS) and 5.62 and 10.44 (OS) respectively. In these sub-groups we could thus identify patients with a high risk of recurrence or death, and consequently surgery followed by radiotherapy is not sufficient.

However, it has to be emphasized that with regard to RFS, VEGF was found as a non-significant factor, but with an increased risk of recurrence of 1.77. Still, multivariate analysis showed VEGF as an independent predictor of overall survival with a 3.6 times increased risk of death for patients with higher VEGF<sub>165</sub> content. Having in mind that this study had relatively short follow-up (56 months) and few events, 43 recurrences and 16 deaths, prolonged follow-up may change the results. It has to be stressed that other factors such as local or systemic therapy delivered after

relapses could influence the survival times. It has been shown that tumours with a high angiogenic activity, measured by counting vessel density, seem to be less sensitive to endocrine treatment or chemotherapy than tumours with lower vessel density (Gasparini et al, 1995, 1996). Although women with local relapses have an increased risk of distant metastases, the 5-year survival rate has been reported as close to 70%. In a large study consisting of more than 2000 node-negative patients treated with quadrantectomy followed by radiotherapy, the only factor associated with an increased risk of local relapse, not of distant metastasis, was an extensive intraductal component (Veronesi et al, 1995). Moreover, in this study low histological grade was the strongest factor for RFS, but not of significance for OS, which is in accordance with our results.

Due to different splicing, VEGF exists in at least four different isoforms with 121, 189 and 206 amino acids (VEGF<sub>121</sub>, VEGF<sub>165</sub>, VEGF<sub>189</sub> and VEGF<sub>206</sub> respectively), which have different affinities to heparin. VEGF<sub>165</sub> is the predominant isoform secreted by a variety of both normal and transformed cells. A significant proportion remains bound to the cell surface and the extracellular matrix. For those reasons, we chose to measure VEGF<sub>165</sub>. Moreover, VEGF<sub>165</sub> has been reported as the major protein isoform, despite the fact that mRNA from also VEGF<sub>121</sub> and VEGF<sub>189</sub> are present in human breast carcinoma (Scott et al, 1998).

The effects of irradiation are known to be, at least partially, dependent on oxygen tension and thus the vascular supply to tumours (Hobson and Denekamp, 1984; Folkman, 1990). Higher levels of VEGF have been reported in 'normal' tumour-adjacent tissue than in breast tissue in the contralateral breast and this might imply a higher vascularization and subsequently increased radiosensitivity (Schlaeppli et al, 1996). On the other hand,

hypoxia has shown to up-regulate VEGF, both at mRNA and protein level, in tumour cell lines and around the necrotic foci of tumours (Shweiki et al, 1992; Scott et al, 1998). It has also earlier been reported a high correlation between microvessel density and the expression of VEGF (Toi et al, 1996). Nevertheless, paradoxically or not, the present observation in contrary showed that a higher content of VEGF is associated with a reduced RFS and OS following radiotherapy. Patients with a higher VEGF expression seem to be more likely to have a local recurrence or to develop distant metastasis. No significant correlation was found between the increased VEGF content and the site of the first metastasis. However, the number of events were too small for definite conclusions. The results find support in previous studies that showed microvessel density and VEGF content to be a predictor of survival in breast carcinoma patients, regardless of primary and adjuvant systemic treatment (Bosari et al, 1992; Gasparini et al, 1993; Toi et al, 1993; Fox et al, 1994; Obermair et al, 1995; Linderholm et al, 1998).

We thus conclude that VEGF content in the primary tumour might be a predictor of relapse-free and, most important, overall survival in node-negative breast cancer treated by locoregional radiotherapy. The high VEGF content associated to a worse outcome might be a result of a radioresistant phenotype, or an early disseminated disease which requires adjuvant systemic therapy. These issues deserve further studies.

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