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Data Availability Statement: All data in our manuscript are fully available without restriction. The sequence files are available from the NCBI database (http://www.ncbi.nlm.nih.gov/), the Genbank accession numbers are KE698600.1, AVPB01102472.1, KE698001.1, KE698031.1, KE697531.1, KE697626.1, KE695978.1, KE697554.1, KE698055.1, KE698335.1, KE698081.1, KE698149.1, AVPB01053098.1, KE698098.1, AVPB01130521.1, KE697644.1, KE698428.1, KE698356.1, KE698585.1, AVPB01143799.1, KE698008.1, AVPB01013186.1, RESEARCH ARTICLE

A Comprehensive Analysis of the Phylogeny, Genomic Organization and Expression of Immunoglobulin Light Chain Genes in *Alligator sinensis*, an Endangered Reptile Species

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Abstract

Crocodilians are evolutionarily distinct reptiles that are distantly related to lizards and are thought to be the closest relatives of birds. Compared with birds and mammals, few studies have investigated the Ig light chain of crocodilians. Here, employing an Alligator sinensis genomic bacterial artificial chromosome (BAC) library and available genome data, we characterized the genomic organization of the Alligator sinensis IgL gene loci. The Alligator sinensis has two IgL isotypes, λ and κ , the same as Anolis carolinensis. The Ig λ locus contains 6 C_{λ} genes, each preceded by a J_{λ} gene, and 86 potentially functional V_{λ} genes upstream of $(J_{\lambda}-C_{\lambda})_{n}$. The Igk locus contains a single C_{k} gene, 6 J_{k} s and 62 functional V_{k} s. All V_L genes are classified into a total of 31 families: $19 V_{\lambda}$ families and $12 V_{\kappa}$ families. Based on an analysis of the chromosomal location of the light chain genes among mammals, birds, lizards and frogs, the data further confirm that there are two IgL isotypes in the Alligator sinensis: Ig λ and Ig κ . By analyzing the cloned Ig λ/κ cDNA, we identified a biased usage pattern of V families in the expressed V_{λ} and V_{κ} . An analysis of the junctions of the recombined VJ revealed the presence of N and P nucleotides in both expressed λ and κ sequences. Phylogenetic analysis of the V genes revealed V families shared by mammals, birds, reptiles and Xenopus, suggesting that these conserved V families are orthologous and have been retained during the evolution of IgL. Our data suggest that the Alligator sinensis IgL gene repertoire is highly diverse and complex and provide insight into immunoglobulin gene evolution in vertebrates.



KE698096.1, KE695928.1. The Genbank accession numbers of the sequence are as follows: KU535866 for Alligator sinensis IgK and KU535867 for Alligator sinensis IgL.

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Introduction

Immunoglobulin (Ig) is one of the most important primary effector molecules in the adaptive immune system of jawed vertebrates [1]. Each immunoglobulin is composed of a heavy (H) chain and one of two light (L) chain types: λ or κ in mammals. Each of these L chains typically covalently links to H by disulfide bonds formed by positionally conserved cysteine residues [2]. As exceptions, shark IgNAR and camelid IgGs are only composed of heavy chains [3, 4]. The Ig light chain is encoded by λ and κ loci, which differ significantly in their genomic organization. At the λ locus, multiple V_{λ} segments are followed by J_{λ}-C_{λ} repeats. In contrast, the cluster of V_{κ} gene segments is followed by a cluster of J_{κ} gene segments and then by a single C_{κ} gene [5-7]. Lymphocytes can generate specific immunoglobulins against diverse antigens by a somatic recombination process, known as V (D) J recombination [8-10]. A pair of recombination signal sequences (RSSs) are composed of conserved heptamer and nonamer sequences and are separated by a relatively non-conserved spacer of either 12 or 23 bp, which is recognized by RAG1 and RAG2. Then, RAG introduces a double-strand break (DSB) between the RSS and the coding segments [11, 12]. Each of the L chains is the result of the imprecise and random combinatorial assembly of several gene fragments by a non-homologous end joining (NHEJ) pathway with the removal or addition of a random number of nucleotides [10, 13]. This imprecision in the coding joint arises from short additions of self-complementary (P) or random (N) nucleotides [9], small deletions, or a combination of these and contributes to the antigen receptor diversity generated by V (D) J joining [14].

IgL genes in cartilaginous fishes belong to four major groups: κ , λ , σ and σ -cart [13]. Among cartilaginous fish, the *Ginglymostoma cirratum* L chain genes have been studied most comprehensively. In a previous study, four L chain isotypes were identified in *Ginglymostoma cirratum*: type I (NS5), type II (NS3), type III (NS4) and type IV. The type III L chain is clearly κ , the type II light chain is somewhat more λ -like, the type I gene is closely related to but distinct from the σ gene [15–17] and is referred to as σ -cart, and type IV is homologous with the L chain isotype σ , found first in *Xenopus* and later in bony fish [13, 17]. The IgL isotypes currently found in teleost belong to κ (L1/G and L3/F), λ and σ (L2). These have been found in a cluster assemblage and, depending on the species, the number of IgL isotypes is different [17–26].

Three types of light chains have been identified in amphibians as well, based on studies of *Xenopus laevis*: ρ , σ and type III [<u>17</u>, <u>27</u>–<u>30</u>]. Qin and colleagues completely characterized all three gene loci in *Xenopus tropicalis* [31] and supported the classification of amphibians in which the ρ gene belongs to the κ gene family and type III appears λ -like [17, 29]. Evolutionarily, mammals express two types of Ig light chain, λ and κ , which are expressed in varying ratios in different species [5, 32-36]. In *Mus musculus* serum, 95% of the light chains are κ and 5% are λ [5], whereas *Bos taurus* exhibit a biased usage pattern of λ chain [32]. Like *Homo sapi*ens, Sus scrofa do not show any preference for the usage of the light chain [36]. Surprisingly, unlike reptiles and mammals, birds possess only one light chain, which is orthologous to the *Homo sapiens/Mus musculus* λ chain [<u>37–41</u>]. The genomic organization of the λ chain is similar to the heavy chain in birds: only one functional V_{λ} and J_{λ} are 1.8 kb apart and are located upstream from the C_{λ} gene in the Gallus gallus [42]. The light chain has evolved an exceptional mechanism of generating diversity due to multiple V_{λ} pseudogenes that modify the functional V_{λ} gene and can act as donors to form intrachromosomal gene conversion [43]. These results suggested that the typical birds IgL was likely already present in the common ancestor and remained unchanged over a long period of evolution [40].

Reptilia can be divided into two main evolutionary lineages: one gave rise to Squamata, while the other gave rise to Testudines, Crocodylia, and birds [44]. Some studies have been

conducted to investigate Ig gene isotypes and their genomic organization in reptilia. Until now, IgM, IgD and IgY encoding genes have been identified in all Squamata species studied to date [45-47]. While it was shown that the *Anolis carolinensis* express two types of light chains: λ and κ [7, 39, 48], snakes lack the Igk light chain isotype [45]. In the Testudines, IgM, IgD, IgY and IgD2 encoding genes were described, and two immunoglobulin domains of IgD2 are shown to be homologous to bird IgA domains, suggesting that they may originate from a common ancestral gene [49-51]. Crocodilians appeared during the Middle Triassic, approximately 240 million years ago (MYA). Although similar in appearance, crocodilians, as reptiles, are only distantly related to lizards and are thought to be the closest relatives of birds and have thus occupied an important position in evolution [52, 53]. According to phylogenetic studies, crocodilians provide a phylogenetic link to other reptiles and birds, and analysis of their Ig genes may provide important clues to understanding Ig evolution. In addition, despite living in poor conditions, crocodilians are rarely subject to infections caused by bacteria and viruses because of their strong immune systems [54, 55]. However, there have been few studies on the crocodilian immune system. Recently, IgH genes of crocodilians were identified; the results indicated that there are multiple μ genes and that IgM subclasses can be expressed through class-switch recombination. The crocodilian α genes are the first IgA-encoding genes identified in reptiles and suggested that reptiles and birds share a common ancestral organization [56, 57].

Crocodilians are the closest phylogenetic group to birds, and they all come from a group known as archosaurs. However, little is known about the IgL locus of crocodilians. Although a previous study suggested that two distinct light chain types were present in alligator [48], the isotypes and the genomic organization of their encoding genes are still not known [39]. In this study, we present the phylogeny, genomic organization and expression of the Ig λ/κ of the *Alligator sinensis* and provide insight into understanding the crocodilian immune system and the evolution of immunoglobulin in vertebrates.

Materials and Methods

Sample collection, DNA and RNA extract

Blood samples of *Alligator sinensis* were collected from the Beijing Zoo. Genomic DNA was extracted from the blood following the standard protocol. Total RNA was extracted from the blood using a TRIzol kit (TIANGEN BIOTECH, Beijing) following the manufacturer's instructions. Our studies were approved by the Animal Care and Use Committee of the China Agricultural University.

BAC library

An *Alligator sinensis* genomic BAC library was constructed using a service provided by Bioestablish Biotechnology Co., Ltd. (Beijing, China) and was stored in our laboratory [56].

BAC screening and sequencing

Based on sequences derived from *Gallus gallus* and other related species, we designed degenerate primers for the Ig κ/λ . We ascertained the identities of the PCR-generated product sequences by BLAST against the NCBI GenBank, and then designed specific primers for the Ig κ/λ genes based on the determined sequences (S1 Table). BAC clones containing Ig κ/λ genes were rescreened from the BAC library using PCR. The positive BAC clones were sequenced from both ends, and the end sequences were used to design primers to determine overlap ping BAC clones and to obtain the extended segments in the next round of screening (S1 Table). The positive BAC clones were then sequenced by shotgun sequencing and assembled with the next generation sequencing platform by BGI (Beijing, China).

Cloning of expressed Alligator sinensis Ig λ and Ig κ light chain genes at the cDNA level

Expressed Alligator sinensis Ig λ and Ig κ chains were amplified using the 5' RACE System kit (Invitrogen, Beijing). The gene-specific primers for the Ig λ chain are as follows: IgLCL338L18, 5' –CAT TAG GGA GAT ACT ACA–3'; IgLCL303L21, 5' –CAG GGA TCC CAG CTC TCT ACT–3'; IgLCL219L21, 5' –AGG GTC TTC TCG ATG CTC TTC–3'; IgLCL129L21, 5' –GCT GGC CAT GTA CTT GTT GTC–3'. The sequences of these primers are conserved in the Alligator sinensis C $_{\lambda}$ gene. Gene-specific primers for the Ig κ chain are as follows: IgLC κ 301L18, 5' – ATA AAG AAA GCA TAA GAA–3'; IgLC κ 236L21, 5' –CGT ACA CTC GGT CCT CTT GAA–3'; IgLC κ 121L21, 5' –CTG CTC TTG CTG TAC GTG TTG–3', which are conserved in the Alligator sinensis C $_{\kappa}$ gene.

All PCR amplifications were performed using a proofreading enzyme Pyrobest DNA polymerase (TaKaRa, Dalian). The PCR products were cloned into the pMD-19 T vector (TaKaRa, Dalian) and sequenced.

Southern blotting

Genomic DNA was digested with restriction endonuclease and was loaded into a 0.9% agarose gel, electrophoresed for 6 h, and transferred to a positively charged nylon membrane (Roche, Germany) for hybridization. The restriction endonucleases *Bgl* II, *Nco* I, *Hind* III and *Sph* I were used to digest genomic DNA to identify Ig λ . Genomic DNA was digested with restriction endonucleases *Kpn* I, *Nde* I and *Xba* I to validate Ig κ . The single exon of the C_{λ}/C_{κ} probe was labeled using a PCR digoxigenin probe synthesis kit (Roche, Germany). The primers used to amplify the C_{λ}/C_{κ} exon probes were as follows: LC-F, 5′ –ACA GCC AAA GGC CTC TCC T–3′; LC-R, 5′ –CGA TCT CTT CAG GGT CTT CTC–3′ ; KC-F, 5′ –AAA GGG GGA AGA GCC ACC–3′ ; KC-R, 5′ –TAC ACT CGG TCC TCT TGA–3′. The hybridization and detection were performed following the manufacturer's instructions.

Construction of phylogenetic trees

The phylogenetic trees were constructed using MrBayes3.1.2 [58] and were viewed in TREE-VIEW [59]. Furthermore, in order to validate the topologies of the phylogenetic trees, we also used MEGA6.0 and Phylip3.695 [60] to build all the phylogenetic trees [59]. Multiple aminoacid alignments for the tree construction were performed using *ClustalW*. Each $V_{\lambda/\kappa}$ subgroup was represented with one family per species chosen at random. The accession numbers of sequences used for variable regions are as follows: *Heterodontus francisci* σ (ABO64185); *Het*erodontus francisci type I (CAA33375); Heterodontus francisci type II (AAA59379); Heterodontus francisci type III (AAA59373); Ginglymostoma cirratum NS5 (AAV34678); Ginglymostoma cirratum σ (ABO64187); Danio rerio type I (AAG31721); Danio rerio type II (AAG31729); Danio rerio type III (AAG31698); X. laevis type III V1 (AAL40100); X. laevis type III V2 (AAL40101); X. laevis type III V3 (AAL40102); X. laevis type III V4 (AAL40103); X. laevis type III V5 (AAL40097); X. laevis type III V6 (AAL40093); X. laevis σ (NP_001087883); X. laevis ρ (AAH68859); Gallus gallus IGλV (BAB71862); Anas platyrhynchos IGλV (AAA03006); Anolis carolinensis IGKV (ACB45832); Anolis carolinensis IGXV1 (XP_008115579); Anolis carolinensis IGAV2 (XP_008115579); Anolis carolinensis IGAV3 (XP_008115579); Anolis carolinensis IGλV4 (XP_008115579); Anolis carolinensis IGλV5 (XP_008115579); Mus musculus IGκV1-132 (CAB46115); Mus musculus IGĸV2-112 (AAA39032); Mus musculus IGĸV3-4

(CAA75909); Mus musculus IGrkV4-61 (CAB46123); Mus musculus IGrkV5-45 (CAB46329); Mus musculus IGĸV6-25 (CAB46320); Mus musculus IGĸV7-33 (AAC04340); Mus musculus IGKV8-30 (CAB46308); Mus musculus IGKV9-120 (CAA24186); Mus musculus IGKV10-95 (AAC14726); Mus musculus IGKV11-125 (CAB51813); Mus musculus IGKV12-38 (CAB46311); Mus musculus IGĸV13-84 (CAB46176); Mus musculus IGĸV14-130 (CAB46155); Mus musculus IGkV15-103 (CAB46175); Mus musculus IGkV16-104 (CAB46298); Mus musculus IGKV17-121 (CAB46168); Mus musculus IGKV18-36 (CAB46323); Mus musculus IGκV19-93 (CAB46297); Mus musculus IGλV1 (AAA39165); *Mus musculus* IG λ V3 (AAA39169); *Mus musculus* IG λ V4 (AAA39434). All other sequences were derived in this study. The accession numbers of sequences used for constant regions are as follows: Gallus gallus λ (AAA48862); Anas platyrhynchos λ (AAA03009); Ornithorhynchus λ (AAO16062); Homo sapiens λ (AAA59107); Mus musculus λ (AAA39089); Bos taurus λ (AAI46273); Didelphimorphia λ (AAL37214); Oryctolagus cuniculus λ (AAA31360); Anolis carolinensis λ (XP_008115579); Sus scrofa λ (AAA03572); Chelonia mydas λ (XP_007055069.1); Chrysemys pictabellii λ (XM_008167097.1); X. laevis type III (AAL40101); X. tropicalis type III (AAI66944); Mus musculus κ (CAA24185); Homo sapiens κ (AAY24201); Bos taurus κ (AAI51501); X. laevis ρ (AAA49880); X. laevis σ (NP_001087883.1]); X. tropicalis σ (AAI67133); X. tropicalis ρ (AAI58339); Oryctolagus cuniculus κ (CAA10920); Sus scrofa κ (AHB17990); Didelphimorphia κ (AAL17618); Ornithorhynchus κ (AAO84649); Chelonia mydas κ (EMP6807.1); Chrysemys pictabellii κ (XM_008169724.1); Ginglymostoma cirratum NS5 (AAV34681); Ginglymostoma cirratum NS4 (A49633); Ginglymostoma cirratum NS3 (Ref.[61]); Ginglymostoma cirratum σ (ABO64188); Danio rerio IGIC1 (AAG31721); Danio rerio IGIC2 (XP_009298120); Heterodontus francisci type I (CAA33376); Heterodontus francisci type II (CAA33375); Heterodontus franciscitype III (AAA59373); Heterodontus francisci σ (ABO64185); Salmo salar IGIC1 (AAG18364); Salmo salar IGIC2 (AAG37201); Salmo salar IGIC3 (AAK97642). All other sequences were derived in this study.

Sequence computations

DNA and protein sequence editing, alignments and comparisons were performed with the MegAlign software (DNASTAR). The EquCab2 assembly in Ensembl database (http://www.ensembl.org/index.html) was used to retrieve the genomic contig that contained the *Alligator sinensis* Ig λ /Ig κ chain sequences. IgBLAST (http://www.ncbi.nlm.nih.gov/igblast/) was used to predict the V $_{\lambda}/_{\kappa}$ segments. Germline V $_{\lambda}$ and V $_{\kappa}$ gene segments were grouped into families using the IMGT numbering system [62]. The RSSs for the V and J gene segments were analyzed using the online program FUZZNUC (http://embossgui.sourceforge.net/demo/fuzznuc.html).

Results

Genomic organization of IgL chain gene loci in Alligator sinensis

According to the IgL chain isotypes in *Anolis carolinensis*, the genomic organization of the Ig λ gene locus in the *Alligator sinensis* was analyzed. An *Alligator sinensis* BAC (bacterial artificial chromosome) genomic library, which was constructed using the peripheral blood leucocytes from an *Alligator sinensis* and stored in our laboratory, was employed. The library is composed of 2.1 × 10⁵ clones with an average insert size of ~100 kb, representing ~9 × genomic coverage (*Alligator sinensis* genome size of ~2.5 Gb). Using a PCR-based approach and sequencing, we identified four Ig λ gene-positive BAC clones (Y210O3, Y47P24, Y147P18 and Y127H24) (S1 Table). An ~331 Kb genomic sequence was obtained and was found to contain four λ chain C genes (C_{λ} 1, C_{λ} 2, C_{λ} 3 and C_{λ} 4) and four λ chain J genes (J_{λ} 1, J_{λ} 2, J_{λ} 3 and J_{λ} 4) in front of each C

gene, spanning approximately 12 kb DNA, there are potentially 37 functional λ chain V genes, 32λ chain V pseudogenes and one ORF. Furthermore, using the available genomic database of the Alligator sinensis (http://www.ncbi.nlm.nih.gov/), a genomic contig (AVPB01119656.1) was identified by BLAST; three λ chain C genes were identified in the contig: one is identical with $C_{\lambda}4$ in the ~331 kb genomic sequence, and one appears to be a pseudogene because it contains an in-frame stop codon. Furthermore, three J genes were found in the contig. There are six λ chain C genes (C $_{\lambda}$ 1, C $_{\lambda}$ 2, C $_{\lambda}$ 3, C $_{\lambda}$ 4, Ψ C $_{\lambda}$ 1 and C $_{\lambda}$ 5) and seven λ chain J genes (J $_{\lambda}$ 1, J $_{\lambda}$ 2, J $_{\lambda}$ 3, $J_{\lambda}4$, $J_{\lambda}5$, $J_{\lambda}6$ and $J_{\lambda}7$) (Fig 1 and S1 Fig). All of the C_{λ} genes share at least 84.1% amino acid sequence identity, of which the amino acid sequence identities between $C_{\lambda}1$ and $C_{\lambda}2$, $C_{\lambda}1$ and $C_{\lambda}4$, $C_{\lambda}2$ and $C_{\lambda}3$, $C_{\lambda}2$ and $C_{\lambda}4$, and $C_{\lambda}3$ and $C_{\lambda}4$ are greater than 90.7%. Each C_{λ} gene was preceded by a single J gene segment that was 5' flanked by conserved RSS (nonamer and heptamer) with a 12 bp nucleotide spacer, resembling the genomic organization of the λ chain gene loci in mammals (S2A Fig). However, a single J segment (J_{λ} 7) was found downstream from $C_{\lambda}5$, but no additional λ chain C genes were identified (Fig 1A and S1 Fig), which implied that there are more C_{λ} genes in the Alligator sinensis Ig λ locus. A protein sequence alignment of the identified C genes with the C_{λ} in lizards, birds and mammals uncovered an identical pattern with regard to the cysteine distribution (S2B Fig). Genomic Southern blotting with the C_{λ} exon as a probe was conducted to verify the numbers of λ chain C genes. In *Bgl* II, *Nco* I and *Sph* I digested DNA, different shades of six bands were detected, and there were more than six bands in *Hind* III digested DNA, which indicated that there are additional C_{λ} genes in the chromosome (Fig 2).

We performed a BLAST search against the *Alligator sinensis* whole-genome shotgun sequence (WGS) assembly deposited in the Ensemble database. Seven genomic contigs (KE698600.1, AVPB01102472.1, KE698001.1, KE698031.1, KE697531.1, KE697626.1 and KE695978.1) were found to contain λ chain V gene segments (S2 Table). Each V_{λ} gene, which is 3' flanked by a conserved RSS (heptamer and nonamer) with 23 bp nucleotide spacer, was identified, resembling the genomic organization of the V_{λ} chain gene loci in mammals. In summary, a total of 86 potentially functional V_{λ} segments (Fig 1B and S1 Appendix), two ORFs and 67 V_{λ} pseudogenes were identified upstream from the (J_{λ}-C_{λ})_n segments (S1 Fig), and 67 V_{λ} that either contain in-frame stop codons or lack a leading peptide appear to be pseudogenes (S2 Appendix). According to the sequence identity (> 75% sequence identity within a single family) and phylogenetic analysis, the potentially functional V_{λ} genes can be classified into at least 19 families (Fig 3; S3 and S4 Figs; S3 Appendix). In addition, there may be more V_{λ} segments unidentified in the *Alligator sinensis* based on the gaps in the contig and incomplete genomic data.

A similar approach was used to identify the C_{κ} from the genome of the *Alligator sinensis*. Using a PCR-based approach and sequencing, we obtained 5 Igk gene-positive BAC clones (Y146M9, Y65C14, Y77E6, Y329F14 and Y146B4) (S1 Table). An ~484 kb genomic sequence was found to contain a single copy of the *Alligator sinensis* C_{κ} gene, which showed homology to several mammalian species, six J_{κ} gene segments and 66 V_{κ} gene segments, including 29 V_{κ} pseudogenes. We performed a BLAST search against the *Alligator sinensis* whole-genome shotgun sequence (WGS) assembly deposited in the Ensemble database. Seventeen DNA contigs (AVPB01013186.1, AVPB01053098.1, AVPB01130521.1, AVPB01143799.1, KE695928.1, KE697554.1, KE697644.1, KE698008.1, KE698055.1, KE698081.1, KE698096.1, KE698098.1, KE698149.1, KE698335.1, KE698356.1, KE698428.1, and KE698585.1) comprise a leash of V_{κ} gene segments (Fig 1B and S4 Appendix); 56 V_{κ} pseudogenes, which either contain in-frame stop codons or lack a leading peptide (S5 Appendix); and 4 partial V_{κ} genes were identified from the *Alligator sinensis* genomic sequence (S5 Fig).



Fig 1. Schematic map of the *Alligator sinensis* **immunoglobulin light chain gene loci.** (A) Schematic map of the *Alligator sinensis* immunoglobulin light chain λ gene loci. (B) Schematic map of the *Alligator sinensis* immunoglobulin light chain κ gene loci. V: variable gene segments; J: joining gene segments; C: constant region gene; pseudo-variable gene segments were not be shown in the figure.

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The C_{κ} gene as a single copy in the genome was subjected to confirmation by Southern blotting. We designed a pair of degenerate primers for the C_{κ} gene based on the conserved C_{κ} sequences of the *Alligator sinensis*. Only a single band was observed in *Kpn* I, *Nde* I and *Xba* I digested genomic DNA, which supported the C_{κ} gene as a single copy present in the genome (Fig 2). Upstream of the single copy of the C_{κ} gene, six functional $J_{\kappa}s$ ($J_{\kappa}1$ - $J_{\kappa}6$) gene segments with RSS interrupted by a 23 bp nucleotide spacer at their 5' ends were identified (S6A Fig). An amino acid sequence alignment of the C_{κ} gene in the *Alligator sinensis* with other species suggested homology to the Ig κ chains of several vertebrates, including the *Homo sapiens*, *Mus musculus*, *Didelphimorphia*, *Ornithorhynchus*, *Anolis carolinensis*, *X. laevis* and *X. tropicalis* (S6B Fig). The C_{κ} protein sequence contained three cysteines, among which the third one at the carboxyl terminal was assumed to link heavy chains (S6B Fig).

Almost all V_{κ} genes were flanked on the 3' end by RSS and were separated by a 12 bp nucleotide spacer to conform the 12–23 rules (<u>S5 Appendix</u>). All V_{κ} genes showed the same transcriptional orientation as $(J_{\kappa})_n$ -C_{κ}, with the exception of pseudogene $V_{\kappa}46$. The 62 potentially functional V_{κ} genes can be integrated into 12 families based on the phylogenetic analysis and the rule that V_{κ} members in one family share at least 75% identity at the nucleotide level (Fig.4; <u>S7</u> and <u>S8</u> Figs; <u>S6 Appendix</u>). Because gaps exist in the contigs and the genomic data are incomplete, it is possible that more V_{κ} genes present in the *Alligator sinensis* genome were not found.

Phylogenetic analysis of the Alligator sinensis Ig light chain gene segments

Using the amino acid sequences of *IGLV*- and *IGLC*- encoded genes from different jawed vertebrates, we constructed V and C phylogenetic trees, respectively. The trees were constructed





Fig 2. Southern blotting detection of the Alligator sinensis Ig light chain C gene segments. Genomic DNA was digested with restriction endonucleases, which are indicated above each lane, and hybridized with probes for C_{λ} and C_{κ} , respectively.

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using protein sequences without CDR3. The phylogenetic trees, based on both the C domains and the V domains, support the fact that there are three major groups of IgL genes in jawed vertebrates: κ , λ and σ (including σ -cart), and *Alligator sinensis* κ and λ clearly fall into their own respective groups, suggesting that the *Alligator sinensis* has only two IgL isotypes: κ and λ



Fig 3. Phylogenetic tree analysis of the 86 Alligator sinensis V_{λ} genes. A phylogenetic tree of the nucleotides of 86 Alligator sinensis V_{λ} segments was constructed. The 19 V_{λ} gene families are labeled with numbers on the right. Phylogenetic trees were constructed using MrBayes3.1.2 [58] and viewed in TREEVIEW [59].





Fig 4. Phylogenetic tree analysis of the 62 Alligator sinensis V_{κ} genes. A phylogenetic tree of the nucleotides of the Alligator sinensis V_{κ} segments was constructed. The 12 V_{κ} gene families are labeled with numbers on the right. Phylogenetic trees were constructed using MrBayes3.1.2 [58] and viewed in TREEVIEW [59].

(Figs 5 and 6; S9, S10, S11 and S12 Figs). The results reveal that the ρ gene of X. tropicalis, teleost L1 and L3 and cartilaginous fish type III/NS4 is located in the κ group, which also includes the κ genes of the Crocodilians, lizards and mammals. Teleost L2, cartilaginous fish type II/ NS3, and X. tropicalis type III all belong to λ groups, including the λ genes of the Crocodilians, lizards, birds and mammals. The σ genes are only found in cartilaginous fish, teleost and amphibians. Taken together with our shared syntemy of the κ and λ locus in the *Alligator sinen*sis and the phylogenetic analysis, these data provide convincing evidence that the Alligator sinensis expresses two IgL isotypes: κ and λ . From the phylogenetic analysis, it is not difficult to obtain the relationships between the Alligator sinensis and other species' V families. Alligator sinensis families V_{κ} 10 and V_{κ} 11 are clustered with Anolis carolinensis V_{κ} ; Alligator sinensis family $V_{\kappa}7$ is clustered with X. *laevis* ρ ; and the same phylogenetic analysis was also performed for V_{λ}. As shown in Fig.6, Alligator sinensis families V_{λ}9 and V_{λ}19 are clustered with X. laevis type III V5 and *Mus musculus* families 1 and 3; *Alligator sinensis* families $V_{\lambda}1-V_{\lambda}8$ are related to the Anolis carolinensis V_{λ} , V_{λ} , Gallus gallus and Anas platyrhynchos V_{λ} and X. laevis type III V4; and Alligator sinensis families $V_{\lambda}11$ is clustered with X. laevis type III V6. The V genes were orthologous in different isotypes of IgL. We found no relations between the remaining V_{λ} genes and other jawed vertebrate species, suggesting that V_{λ} genes exhibit more abundant diversity in the Alligator sinensis.

Syntenic analysis of Ig λ and Ig κ chain loci in tetrapods

To determine the identified genes belonging to the λ lineage, we analyzed the chromosomal location relative to the flanking genes of the available genomic data containing the Ig λ loci in tetrapods. GNZA (guanine nucleotide-binding protein, α z subunit) and RTDR1 (rhabdoid tumor deletion region gene 1), MRPL40 (mitochondrial ribosomal protein L40) and HIRA (histone cell cycle regulation defective homologue A) located on, respectively, the two sides of the λ locus in *Homo sapiens* were selected as markers to provide evidence for the gene. An available genomic contig (NW_005841940) containing the Igλ locus of the Alligator sinensis was used for analysis. The results showed three situations in which the λ genes had the same transcriptional orientation: first, the Ig λ locus was flanked downstream by MRPL40 and HIRA and upstream by GNZA and RTDR1, as in Homo sapiens and X. tropicalis; second, the opposite situation existed, with the Ig λ locus flanked downstream by GNZA and RTDR1 and upstream by MRPL40 and HIRA, as in Gallus gallus, which can occur via intrachromosomal gene conversion; and third, the Ig λ locus was only flanked upstream by MRPL40 and HIRA, as in Mus musculus and Anolis carolinensis. In the third situation, GNZA and RTDR1 were identified on chromosome 10, which does not contain IGL in Mus musculus, and in Anolis carolinensis, the chromosomal position of GNAZ was identified in contig (NW_003341094.1). However, no IGL gene was found in this contig, and the RTDR1 gene was not identified in Anolis carolinensis. In Mus musculus, the chromosome was recombined, leading to GNZA and RTDR1 being separated from the Ig λ locus and located on another chromosome, whereas in Anolis carolinensis, the position of GNAZ could not be confirmed because of limited genomic data. The Ig λ locus of the Alligator sinensis was also flanked upstream by GNZA and RTDR1 (Fig 7), whereas MRPL40 and HIRA were located in another Alligator sinensis genomic contig (NW_005841997.1), which could not be identified as an *IGL* gene. We cannot confirm that the two contigs of Alligator sinensis assembled together due to the preliminary nature of the genome assembly. The results suggested that the position of the Ig λ locus on the chromosome in the Alligator sinensis was syntenic to that in Homo sapiens and X. tropicalis. In the other species, the flanking genes of the Ig λ locus have changed in different ways, including possible intrachromosomal gene conversion (e.g., Gallus gallus), chromosome recombination (e.g., Mus





musculus), and others that are not confirmed because of limited genomic data (*e.g.*, *Anolis carolinensis*). All taxa studied showed the same flanking genes on one side or both sides of the Ig λ locus. These data provide convincing evidence that the identified genes originated from the same ancestral gene as the λ gene in tetrapods and originated from the same ancestral gene as the type III light chain gene in *X. tropicalis*. The position of the Ig λ locus on chromosome in *X. tropicalis* may be the oldest form in tetrapods.

Similarly, to determine the identified κ genes in the *Alligator sinensis* belonging to the κ lineage, we performed a syntenic analysis of the κ genes using the data available for tetrapods,





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Fig 7. Chromosomal locations of the λ genes in different species and type III genes in *X. tropicalis*. Arrows indicate the transcriptional orientation of the genes. Chr: chromosome; IGLC: immunoglobulin λ chain constant region gene; GNAZ: guanine nucleotide-binding protein, α z subunit; HIRA: histone cell cycle regulation defective homologue A; MRPL40: mitochondrial ribosomal protein L40; RTDR1: rhabdoid tumor deletion region gene 1. The figure was modified from Ref. [31].

including Homo sapiens, Mus musculus, Gallus gallus, Anolis carolinensis and X. tropicalis. We used the available long genomic contig (NW_005843366.1) containing the Igk locus of the Alli*gator sinensis* to compare with the chromosomal location relative to the flanking genes of the κ gene in other species. The Igk loci in all analyzed species, except the Gallus gallus, were flanked on the 5' side by RPIA (ribose-5-phosphate isomerase A) and EIF2AK3 (eukaryotic translation initiation factor 2- α kinase 3) encoding genes (Fig.8), revealing that the Igk locus of the Alligator sinensis was syntenic to the Homo sapiens, Mus musculus, Anolis carolinensis and X. tropicalis. We also searched for relevant genes upstream of the Igk locus in the analyzed species and found some gene families that were located far from the Igk locus, including SCL (solute carrier family 4, sodium borate transporter) and RP (ribosomal protein). In the analyzed species, either one or two of these gene families were located in the same chromosome with the Igk locus, except for the Alligator sinensis and X. tropicalis, which lack a complete genomic sequence. Similar to the Ig λ locus, we found intrachromosomal gene conversion, as in *Homo* sapiens, Anolis carolinensis and Gallus gallus, and chromosome recombination leading to lost genes, as in Mus musculus. The preservation of the precise order of genes near the Igk locus on the chromosome suggested that the Igk of the Homo sapiens, Mus musculus, Anolis carolinensis and *Alligator sinensis* and the ρ of *X. tropicalis* was passed down from a common ancestor. However, we did not find any light chain gene located together with the RPIA and EIF2AK3,





Fig 8. Chromosomal locations of the κ genes in different species and ρ genes in *X. tropicalis*. Arrows indicate the transcriptional orientation of the genes. Chr: chromosome; IG κ C: immunoglobulin κ chain constant region gene; RPIA: ribose-5-phosphate isomerase A; EIF2AK3: eukaryotic translation initiation factor 2- α kinase 3; SUCLG1: succinate-CoA ligase, GDP-forming, α subunit; SLC4A11: solute carrier family 4, sodium borate transporter, member 11; SLC9A4; solute carrier family 9, sodium borate transporter, member 4; RPL31: ribosomal protein L31; RPL19-PS: ribosomal protein L19, pseudogene 8; RPL19: ribosomal protein L19. SLC4A1: solute carrier family 4, sodium borate transporter, member 1. The figure was modified from Ref. [31].

but *SUCLG1* (succinate-CoA ligase, GDP-forming, α subunit) was located on the 5' side of *RPIA* and *EIF2AK3* in the *Gallus gallus*. *SUCLG1* was located downstream from the same chromosome and far from *RPIA* and *EIF2AK3* in the *Homo sapiens* (~4.0 Mb) and *Mus musculus* (~2.4 Mb), suggesting intrachromosomal gene conversion, such as Ig λ in the *Gallus gallus*. During this process, the *Gallus gallus* Ig κ locus was lost. In *Anolis carolinensis*, *SUCLG1* is located on chromosome 5 rather than on chromosome 6, on which the Ig κ locus is located. In *X. tropicalis*, gene *EIF2AK3* was not identified with confidence. We also could not identify the gene *SUCLG1* in the *Alligator sinensis*.

IgL loci functionality and V-J junction diversity in Alligator sinensis

Using 5'RACE, we cloned and sequenced 402 amplified cDNA fragments from the blood of Alligator sinensis which was the same Alligator sinensis to construct genomic BAC library, generating 181 clones that exhibited unique V-J junctions. The sequences were somewhat different from the corresponding genome sequence of the EquCab2 assembly. Among these 181 clones, 56 clones contained a C_{λ} 1, 44 clones contained a C_{λ} 2, 32 clones contained a C_{λ} 3, 3 clones contained a $C_{\lambda}4$, and 37 clones contained another C_{λ} chain that slightly differed from the identified $C_{\lambda}4$ gene and shared at least 97.3% sequence identity with $C_{\lambda}4$, suggesting the existence of an allelic variant of $C_{\lambda}4$. In addition, two new C_{λ} genes were found in clones LV6-51 and LV61, which were distinct and shared at least 92.7% sequence identity with $C_{\lambda}1$, $C_{\lambda}2$, $C_{\lambda}3$, $C_{\lambda}4$ and $C_{\lambda}5$. However, in the rest of the C region, clones exhibited chimeras: clone LV2-11 and clone LV6-91 are $C_{\lambda}2-C_{\lambda}1$ chimeras; clone LV2-8 and clone LV-14 are $C_{\lambda}3-C_{\lambda}2$ and $C_{\lambda}4-C_{\lambda}1$ chimeras, respectively; clones LV11, LV2-38 and LV56 are $C_{\lambda}3$ - $C_{\lambda}1$ chimeras (S7 Appendix). All chimeras most likely indicated PCR artifacts. The results of the usage of C_{λ} genes and the genomic organization of the Ig λ chain gene locus suggested the existence of additional C $_{\lambda}$ genes in the Ig λ locus of Alligator sinensis. Furthermore, we could not amplify J $_{\lambda}$ 6-C $_{\lambda}$ 5 in the Alligator sinensis due to its low expression level.

As expected, $J_{\lambda}1$, $J_{\lambda}2$, $J_{\lambda}3$ and $J_{\lambda}4$ were co-expressed with their respective C_{λ} genes in most cases. However, in some cases, J_{λ} segments were not co-expressed with their respective C_{λ} genes, such as one $J_{\lambda}2$ - $C_{\lambda}1$ in clone LV25, one $J_{\lambda}3$ - $C_{\lambda}2$ in clone LV109, one $J_{\lambda}1$ - $C_{\lambda}3$ in clone LV5-51 and one $J_{\lambda}4$ - $C_{\lambda}3$ in clone LV6-82, which were generated by template jumping during PCR amplification. Furthermore, two additional C_{λ} genes were not found in the genome and were co-expressed with $J_{\lambda}1$ and $J_{\lambda}2$ in clones LV6-51 and LV61, respectively. By alignment, the amino acid sequence identities of the two C_{λ} genes were 97.6% and 98.8% with $C_{\lambda}1$ and $C_{\lambda}2$, respectively, suggesting that the two C_{λ} genes in clone LV6-51 and LV61 might be two allelic genes with $C_{\lambda}1$ and $C_{\lambda}2$ genes. All three clones containing $C_{\lambda}4$ and the other 37 clones, which contain an allelic variant of $C_{\lambda}4$, were co-expressed with $J_{\lambda}4$, indicating the existence of a $C_{\lambda}4$ allelic gene. Moreover, we analyzed the J_{λ} genes in 7 chimeras of C_{λ} genes; clones LV2-11 (C2 +C1) and LV6-91 (C2+C1) included $J_{\lambda}2$, clone LV14 (C4+C1) included $J_{\lambda}4$, and clones LV2-8 (C3+C2), LV11 (C3+C1), LV2-38 (C3+C1) and LV56 (C3+C1) contained J₂3. All of these products most likely represented PCR artifacts or were generated by template jumping during PCR amplification. We did not find $J_{\lambda}5$, $J_{\lambda}6$, $J_{\lambda}7$ or any other J_{λ} in the unique 181 clones because of their low expression. We did not find any other C_{λ} genes in our study, although an isolated $J_{\lambda}7$ was located in the present genomic sequence. It is possible that more C_{λ} genes were not found because of the incomplete genomic data for the Alligator sinensis.

Of the 181 cDNA clones described above, 115 had an identifiable V gene, which provided 63 uniquely recombined V-J junctions (S8 Appendix), and were chosen for analysis and revealed a biased usage pattern of V_{λ} (Fig 9). The results showed that V_{λ} segments family 7 was the most frequently used, which accounted for roughly one-third of the expressed V_{λ} repertoire (45/115). Family 1, family 6 and family 9 were more frequently used segments (Fig 9). V_{λ} segments from families 2, 8, 11, 12 and 17 were less frequently used. The V_{λ} segments of other families were not observed in the cDNA clones of the Alligator sinensis. In these 63 uniquely recombined V-J junctions, 30% of the clones (35/115) had insertion of N and P nucleotides, generally one to two nucleotides, but there were some exceptions. For example, clone LV6-73 had seven N and P nucleotides in its junction; clone LV5-13 and clone LV5-34 had six and five N and P nucleotides in their junctions, respectively; clones LV6-51 and LV6-8 had four N and P nucleotides in their junctions; and clone LV2-8 had three N and P nucleotides in its junction. On average, the length of the N + P nucleotides in these clones was 0.6 ± 1.2 nucleotides. More than 85% of the clones (98/115) had exonuclease removals at the 3' end of V_{λ} . Compared with V_{λ} , fewer nucleotides were removed at the 5' end of J_{λ} (67/115) by the exonuclease activity (V_{λ} 3.1 ± 2.2 vs. J_{λ} 1.6 ± 1.8). The average length of the CDR3 in these λ gene clones was 10.6 ± 0.9 (<u>S8 Appendix</u>). The results above demonstrated the abundant diversity of the V_{λ} genes in the Alligator sinensis.

We cloned and sequenced 237 cDNA fragments from the *Alligator sinensis* using 5' RACE to analyze the use of J_{κ} and V_{κ} segments in the expressed κ chain, among which KV-4 has a stop codon in the leading peptide. After the removal of redundant clones, 124 clones that showed unique V-J junctions were obtained for analysis. All six functional J_{κ} segments were used in these clones: 51 clones contained $J_{\kappa}1$; 22 clones contained $J_{\kappa}2$; 18 and 21 clones contained $J_{\kappa}3$ and $J_{\kappa}4$, respectively; 10 clones contained $J_{\kappa}6$; and $J_{\kappa}5$ was only employed in clone KV-47. In addition, another J_{κ} that was not found in the genome occurred only once in clone KV2-67, suggesting the existence of another J_{κ} in the genome or an allelic variant of J_{κ} . The results revealed a preferential J_{κ} segment with $J_{\kappa}1$ as the first preferential usage. The usage frequencies of $J_{\kappa}5$ and $J_{\kappa}6$ were lower, with $J_{\kappa}5$ being the lowest.

We chose 91 clones from the above mentioned 124 clones that had identifiable V_{κ} genes for analysis, revealing a preferential V_{κ} usage pattern (Fig 10). The results showed that V_{κ} segments family 1 and family 5 demonstrated obvious advantages, which accounted for 51% and



40% of the expressed V_{κ} repertoire, respectively. V_{κ} segments from families 2, 3, 6 and 8 were less frequently used. The V_{κ} of other families were not observed in the cDNA clones of the *Alli*gator sinensis probably because these families contained only one or two members and their expression levels were low. These 91 clones represented 59 uniquely recombined V-J junctions





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(S9 Appendix). More functional V_{κ} genes that were not found in the genome were expressed in 33 clones, suggesting more V_{κ} genes in the *Alligator sinensis* that have not been identified because of gaps in contigs and incomplete genomic data. The majority of V-J junctions in uniquely recombined κ chain clones lack N and P nucleotide additions. In 59 uniquely rearranged clones, 10 clones show putative N or P nucleotides, and the number of N and P nucleotides is 1 or 2, with an average of 0.16 ± 0.47 bp per clone. The exonuclease removals at the 3' end of V_{κ} and the 5' end of J_{κ} were 2.1 ± 1.5 and 1.3 ± 1.7 nucleotides. The average length of the CDR3 was 8.8 ± 0.5 nucleotides, and 89% the expressed κ V-J junctions might be formed by microhomology (S9 Appendix).

Discussion

Reptilian is comprised of Aves and non-avian reptilia (Crocodylia, Testudines and Squamata) [63, 64]. Immunoglobulin genes have been studied in non-avian reptilia of Testudines species [50, 51] and Squamata species [45, 46, 65–67]. Crocodilians are thought to be the closest relatives of birds, and they are believed to have strong immune systems [52–55]. Recently, the IgH gene of crocodilians was identified [56, 57]. An interesting feature of the crocodilian IgH constant loci is the presence of a number of duplicated genes encoding five Ig classes [57]. In addition, an investigation of the crocodilian α genes suggested that reptiles and birds share a common ancestral organization [56, 57]. To better understand the immune system of crocodilians, to provide a more complete data of crocodilians Igs, and to obtain more information about immunoglobulin evolution in mammals, birds and reptiles, we identified the *Alligator sinensis* IgL gene repertoire based on the genome sequence and *Alligator sinensis* genomic BAC library.

Previous studies suggested that different IgL genes of jawed vertebrates were classified into four isotype groups: λ , κ , σ and σ -cart. To date, all four isotypes are present only in cartilaginous fishes: type I (NS5), type II (NS3), type III (NS5) and σ [13]. Type III is clearly κ , type II is more similar to λ [15, 16], type I is classified as σ -cart [13], and σ is orthologous to the σ isotype in amphibians [13]. Three IgL isotypes exist in amphibians, including λ , κ , and σ [27–31], whereas most other tetrapods, including reptiles, have two IgL isotypes (λ and κ) [5, 7, 32–36, 44]. Birds and snakes have only the λ isotype [39, 42, 45]. The different IgL isotypes are located in different genomic regions. The genomic organizations of these regions are also different [13]. In the κ locus, multiple J_{κ} genes, which are present in different numbers in different species, are present in a cluster and are generally followed by a single C_{κ} [5]. Because the κ isotype is present in cartilaginous and bony fishes, with a clear phylogenetic relationship, and in tetrapods, with the exception of *Gallus gallus*, it is believed to be the oldest and most evolutionarily conserved isotype [13]. Unlike Ig κ , the λ gene locus often contains several pairs of J $_{\lambda}$ -C $_{\lambda}$, which are also present in different numbers in different species, located downstream from the V segments [34]. Previous studies found that multiple J $_{\lambda}$ -C $_{\lambda}$ were duplicated after speciation [7, 31].

In our recent study, two IgL loci λ and κ were identified in another reptile, the *Alligator* sinensis, using an available genomic database and sequencing of the *Alligator sinensis* genomic BACs, which contain IgL genes. In addition, using the *X. tropicalis* C_{σ} as a template [31], we performed a BLAST search against the *Alligator sinensis* whole-genome shotgun sequence assembly. No similar sequence was identified (data not shown). The results are consistent with those for *Anolis carolinensis*, revealing only λ and κ isotypes in reptiles. We sketched the map of the genomic organization of the Ig λ and Ig κ gene loci of the *Alligator sinensis* (Fig 1; S1 and S5 Figs). As in other species, each C_{λ} gene is preceded by a single J_{λ} gene segment (Fig 1A and S1 Fig), whereas a single C_{κ} gene follows a cluster of J_{κ} gene segments (Fig 1B and S5 Fig). To analyze the structure of the RSS elements flanking the *IGLV* and *IGLJ* genes, the rule of the

heptamer-12 bp spacer-nonamer and the nonamer-23 bp spacer-heptamer, which is a universal rule of *IGLV* and *IGLJ* gene in all species, is demonstrated. The results reveal that the genomic organization of Ig λ in the *Alligator sinensis* is similar to that in *X. tropicalis*, lizards, birds and mammals, whereas Ig κ is similar to that in *X. tropicalis*, lizards and mammals because the κ gene has been lost in birds. We found six C $_{\lambda}$ genes and seven J $_{\lambda}$ genes from the genomic DNA sequence, and the C $_{\lambda}$ 5 gene and J $_{\lambda}$ 5–7 were not found to be expressed, likely because of their low expression levels. Generally, J $_{\lambda}$ -C $_{\lambda}$ pairs are located in the genome. In our study, an isolated J $_{\lambda}$ 7 was located on the 3' end of the Ig λ locus without following a corresponding C $_{\lambda}$ gene. This result suggested that more C $_{\lambda}$ genes might be located in the Ig λ locus in the *Alligator sinensis*, which was supported by the Southern blotting results.

Our study also found multiple germline V_{λ} and V_{κ} in the Alligator sinensis. A total of 155 V_{λ} and 118 V_{κ} gene segments were identified, which contain 69 V_{λ} pseudogenes and 56 V_{κ} pseudogenes, respectively. All V_{λ} genes are oriented in the same transcriptional orientation as the C_{λ} gene and are upstream of the $(J_{\lambda}-C_{\lambda})_n$ or $(J_{\kappa})_n$. The multiple functional V genes can increase the antibody diversity and enhance the immune response of antigen recognition and binding. The ratio of functional V_{λ} and V_{κ} varies significantly in different species [5, 32–36]. It has been proposed that the number of V gene segments may be connected to the preferential use of light chain isotypes at the protein level [68]. The results of the present study indicated that V_{λ} germline genes are more dominant than V_{κ} (86 functional V_{λ} genes vs. 56 functional V_{κ} genes) in the Alligator sinensis. It is possible that the λ isotype in Alligator sinensis serum antibodies is more abundant than the κ isotype. Additionally, there is a large number of pseudogenes in the V_{λ} and V_{κ} loci. We question whether these pseudogenes are functional as those in birds for use as donors of uniquely combined functional V genes in gene conversion [43]. These pseudogenes were likely involved in generating Ig diversity. The diversification of IgLs in the Alligator sinensis is similar to that in most tetrapods but is different from that in the Gallus gallus. A total of 142 potentially functional V_{λ} genes (V_{λ} and V_{κ}) are classified into 31 families in the Alligator sinensis: 19 families in V_{λ} and 12 families in V_{κ} (Figs 3 and 5; S3, S4, S7 and <u>S8</u> Figs, <u>S3</u> and <u>S6</u> Appendixs). For other species, 177 functional V_{λ} genes (V_{λ} and V_{κ}) are classified into 23 families in Mus musculus (http://www.imgt.org/IMGTrepertoire/), 148 functional V_{λ} genes (V_{λ} and V_{κ}) are classified into 23 families in Homo sapiens (<u>http://www.imgt.org/</u> <u>IMGTrepertoire</u>/), 51 functional V_L genes (V_{λ} and V_{κ}) are classified into 11 families in Anolis *carolinensis* [7], and only one V_{λ} gene (or one family) is present in *Gallus gallus* [42]. The diversity of the IgL chain is generated by V-J recombination, somatic hypermutation, and the polymorphism of the V_L genes, including the number of V_L genes and families (classifying family according to the similarity of sequence). Our results reveal that the Alligator sinensis possesses at least 142 functional V_L genes (possibly more) and 31 V_L gene families, although the number of V_L genes in the Alligator sinensis is not the most plentiful in the tetrapods. However, the number of V_L gene families is the greatest. The phylogenetic analyses show that many V_{λ} gene families in the Alligator sinensis are orthologous with other species, but the remaining V_{λ} gene families are characteristic of the Alligator sinensis. The Alligator sinensis also possesses a large number (68) of DH gene segments and multiple μ genes in the IgH locus, suggesting that the DH segments may contribute significantly to antibody diversity in crocodilians and that IgM subclasses can be expressed through class-switch recombination in the IgH gene locus [56]. These results reveal the vast diversity of Ig in the Alligator sinensis, suggesting that crocodilians have a strong immune system.

We compared IgL chains between two reptiles: the *Alligator sinensis* and *Anolis carolinensis*. We found more abundant V_L genes in the *Alligator sinensis* than in *Anolis carolinensis*, including functional V_L genes and pseudogenes. The analysis of the expressed V_λ and V_κ in the *Alligator sinensis* showed that a large number of V genes were employed in both λ and κ ,

suggesting that somatic V-J recombination can contribute to the *Alligator sinensis* antibody diversity, as in *Anolis carolinensis* [7]. Additionally, the occurrence of N or P nucleotide additions at V-J junctions is increased in the *Alligator sinensis* compared to the paucity of N or P nucleotide additions in the V-J junctions in *Anolis carolinensis*, suggesting that crocodilians have more V-J combinatorial diversity than lizards.

We analyzed the preserved co-localization of genes on the Ig λ and Ig κ loci in different species. First, we identified a syntenic relationship between two conserved gene clusters the GNZA and RTDR1 cluster and the MRPL40 and HIRA cluster with the IgA gene on the chromosome in the Alligator sinensis and other species, including Homo sapiens, Mus musculus, Gallus gallus, Anolis carolinensis and X. tropicalis (Fig 7). All species retained either one or two gene clusters beside the Ig λ locus, although two gene clusters reversed their position in Gallus gallus and one gene cluster was lost in *Mus musculus*, suggesting that the location of Ig λ locus was conserved in tetrapods, including *crocodilians*. The oldest form was found in X. tropicalis and *Homo sapiens* and possibly in *Alligator sinensis*. We also found a syntenic relationship of the Igk gene on the chromosome in different species. The results showed that conserved genes RPIA and EIF2AK3 were flanked on the 3' side of Igk in all species, except in Gallus gallus (Fig 8). The two gene families, SCL and RPL, were located far upstream of the Igk locus. The results suggested that likely intrachromosomal gene conversion occurred in Gallus gallus and Homo sapiens or Anolis carolinensis during speciation, leading to Gallus gallus Ig λ and Ig κ loci changes. The flanking genes of Ig λ were reversed and were lost, and the positions of SCL and RPL were reversed in Homo sapiens and Anolis carolinensis. Either Homo sapiens or Anolis carolinensis retained the oldest Igk locus in the genome.

The results of the phylogenetic tree based on the C domain revealed that isotypes were grouped first, and then species were grouped (Fig 5; S9 and S10 Figs). The phylogenetic tree of V genes also showed the same result (Fig 6; S11 and S12 Figs), suggesting that IgL isotypes were individually orthologous. The phylogenetic analyses showed that the σ gene was only present in *cartilaginous* fish, bony fish and amphibians and was absent in reptiles, birds and mammals [13, 24, 31, 39]. The κ gene existed in all vertebrates except birds [13, 39–41]. Therefore, the σ gene was lost in other vertebrates after their divergence from *amphibians* [13, 31], and the κ gene was lost in birds [39–41]. Phylogenetic analysis of the IGLV gene, including all 19 V_{λ} families and 12 V_{κ} families in the Alligator sinensis, Alligator sinensis families V_{λ}1-V_{λ 8} are related to the Anolis carolinensis V_{λ} , V_{λ} , Gallus gallus and Anas platyrhynchos V_{λ} , and X. *laevis* type III V4(Fig 6; S11 and S12 Figs), which suggested that during the evolution of the λ locus, there was an ancestral locus shared by birds, reptilia and Salientia [7]. Alligator sinensis families $V_{\lambda}11$ is clustered with X. laevis type III V6; Alligator sinensis families $V_{\kappa}11$ and $V_{\kappa}10$ are clustered with Anolis carolinensis V_{κ} ; and Alligator sinensis family V_{κ} 7 is clustered with X. *laevis* ρ (Fig 6; S11 and S12 Figs), which indicated that reptilia and amphibians shared some V_{λ} and V_{κ} families and originated from descendants of a common ancestor. Crocodilians possess more V_L families than frogs, lizards and mammals, and there is more abundant diversity of the V gene in crocodilians. Taken together, the results strongly suggest that we have identified two IgL loci in *Alligator sinensis* that belong to the κ and λ lineages. We present evidence that the σ was lost in early reptilians, avian and mammalians after their divergence from amphibians [13, 31], and the κ gene was absent in birds after their divergence from reptilians, similar to the δ gene [39-41].

This study investigated the genomic organization of *Alligator sinensis* IgL genes. The organizations and structures of IgL genes are similar to those of other jawed vertebrates. The study of the *Alligator sinensis* λ and κ loci revealed a diverse and complex repertoire of IgL in *crocodilians*; the information provides key insights into the evolution of IgL genes in jawed vertebrates.

Supporting Information

S1 Appendix. Multiple sequence alignment of Alligator sinensis V_{λ} genes. (DOCX)

S2 Appendix. The Alligator sinensis V_{λ} gene DNA segment in contigs. (DOCX)

S3 Appendix. The alignment of the deduced amino acid sequence of 86 functional V_{λ} genes in the *Alligator sinensis*. (DOCX)

S4 Appendix. Multiple sequence alignment of Alligator sinensis V_{κ} genes. (DOCX)

S5 Appendix. The Alligator sinensis V_{κ} gene DNA segment in contigs. (DOCX)

S6 Appendix. The alignment of the deduced amino acid sequence of 62 functional V_{κ} genes in the *Alligator sinensis*. (DOCX)

S7 Appendix. Sequence of the C region chimeras in the cDNA clones. (DOCX)

S8 Appendix. V-J junctions of the λ **chain genes.** The letter in the middle indicates N/P nucleotides. The column "N+P" indicates the total nucleotide length of the N and P nucleotides, and the column "CDR3" indicates the codon numbers. The column "Deletions in 3' end of V_{λ}" indicates the number of nucleotides deleted by exonuclease activity at the 3' end of V_{λ}, and the column "Deletions in 5' end of V_{λ}" indicates the number of nucleotides the number of nucleotides deleted by exonuclease activity at the 5' end of J_{λ}. Germline sequences of each V_{λ} gene segment are shown above the cDNA clones in bold, and the CDR3 is also underlined. (DOCX)

S9 Appendix. V-J junctions of the κ **chain genes.** The letter in the middle indicates N/P nucleotides. The column "N+P" indicates the total nucleotide length of the N and P nucleotides, and the column "CDR3" indicates the codon numbers. The column "Deletions in 3' end of V_{κ}" indicates the number of nucleotides deleted by exonuclease activity at the 3' end of V_{κ}, and the column "Deletions in 5' end of J_{κ}" indicates the number of nucleotides deleted by exonuclease activity at the 5' end of J_{κ}. Germline sequences of each V_{κ} gene segment are shown above the cDNA clones in bold, and the CDR3 is also underlined. (DOCX)

S1 Fig. The genomic organization of the *Alligator sinensis* immunoglobulin λ gene locus. V: variable gene segments; Ψ V: pseudo-variable gene segments; ORF: variable gene segments with open reading frames but with defects in splicing sites, RSS and/or regulatory elements, and/or changing the conserved amino acids, which have been suggested to lead to incorrect folding [69]; J: joining gene segments; C: constant region gene; Ψ C: pseudo-constant region gene. Gaps between contigs are indicated by a dotted black line, and the sequences from BAC are indicated by a bold line.

(TIF)

S2 Fig. Sequences of the Alligator sinensis J_{λ} and C_{λ} . (A) Nucleotide and amino acid sequences of the seven Alligator sinensis J_{λ} segments. (B) Sequence comparison of the six Alligator sinensis C_{λ} genes with their counterparts in the Homo sapiens, Mus musculus, Gallus gallus, Anas

platyrhynchos and *Anolis carolinensis*. In the alignment, dots indicate identical amino acids and A-G over the lines represent potential IgSF strands. The cysteine (C) and tryptophan (W) residues are shaded.

(TIF)

S3 Fig. Phylogenetic trees based on 1000 bootstraps for the Alligator sinensis V_{λ} gene segments. The phylogenetic tree was constructed using Phylip3.695 [60] and viewed in TREE-VIEW [59].

(TIF)

S4 Fig. Phylogenetic analysis of the *Alligator sinensis* V_{λ} gene segments. The tree is made by Neighbor-joining P-distance and pairwise deletions using MEGA6.0. (TIF)

S5 Fig. Genomic organization of the Alligator sinensis immunoglobulin κ gene locus. V: variable gene segments; Ψ V: pseudo-variable gene segments; ORF: variable gene segments with open reading frames but with defects in splicing sites, RSS and/or regulatory elements, and/or changing the conserved amino acids, which have been suggested to lead to incorrect folding [69]; J: joining gene segments; C: constant region gene. Gaps between contigs are indicated by a dotted black line, and the sequences from BAC are indicated by a bold line. (TIF)

S6 Fig. Sequences of the *Alligator sinensis* J_{κ} and C_{κ} . (A) Nucleotide and amino acid sequences of the six *Alligator sinensis* J_{κ} segments. (B) Sequence comparison of the *Alligator sinensis* C_{κ} genes with their counterparts in *Homo sapiens, Mus musculus, Didelphimorphia, Ornithorhynchus, X. laevis, X. tropicalis* and *Anolis carolinensis*. In the alignment, dots indicate identical amino acids and A-G over the lines represent the potential IgSF strands. The cysteine (C) and tryptophan (W) residues are shaded. (TIF)

S7 Fig. Phylogenetic trees based on 1000 bootstraps for the Alligator sinensis V_{κ} gene segments. The phylogenetic tree was constructed using Phylip3.695 [60] and viewed in TREE-VIEW [59].

(TIF)

S8 Fig. Phylogenetic analysis of the *Alligator sinensis* V_{κ} gene segments. The tree is made by Neighbor-joining P-distance and pairwise deletions using MEGA6.0. (TIF)

S9 Fig. Phylogenetic trees based on 1000 bootstraps for the IgL chain C genes in jawed vertebrates. The phylogenetic tree was constructed using Phylip3.695 [60] and viewed in TREE-VIEW [59].

(TIF)

S10 Fig. Phylogenetic analysis of the IgL chain C genes in jawed vertebrates. The phylogenetic tree was constructed using C domains, and by Neighbor-joining P-distance and pairwise deletions using MEGA6.0. (TIF)

S11 Fig. Phylogenetic trees based on 1000 bootstraps for the IgL chain V genes in jawed vertebrates. The phylogenetic tree was constructed using V domains. Each V subgroup is represented with one sequence per species chosen at random among the functional genes. The scale shown as a bar represents the genetic distance (number of nucleotide changes in the given

scale). The credibility value for each node is shown. The phylogenetic tree was constructed using Phylip3.695 [60] and viewed in TREEVIEW [59]. (TIF)

S12 Fig. Phylogenetic analysis of the IgL chain V genes in jawed vertebrates. The phylogenetic tree was constructed using V domains, and by Neighbor-joining P-distance and pairwise deletions using MEGA6.0.

(TIF)

S1 Table. Primers used for screening BACs. (DOCX)

S2 Table. Summary of the Alligator sinensis germline V_{λ} in contigs. (DOCX)

S3 Table. Summary of the Alligator sinensis germline V_{κ} in contigs. (DOCX)

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Author Contributions

Conceived and designed the experiments: LR YZ HH XW GC. Performed the experiments: XW GC. Analyzed the data: LR XW GC. Contributed reagents/materials/analysis tools: YL CZ XW. Wrote the paper: LR YZ XW GC. Sample collection: YL CZ XW.

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