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Complement activity and autophagy are dysregulated in the lungs of patients with nonresolvable COVID-19 requiring lung transplantation

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Abstract

The severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2)-induced coronavirus disease 2019 (COVID-19) pandemic has challenged the current understanding of the complement cascade mechanisms of host immune responses during infection-induced nonresolvable lung disease. While the complement system is involved in opsonization and phagocytosis of the invading pathogens, uncontrolled complement activation also leads to aberrant autophagic response and tissue damage. Our recent study revealed unique pathologic and fibrotic signature genes associated with epithelial bronchiolization in the lung tissues of patients with nonresolvable COVID-19 (NR-COVID-19) requiring lung transplantation. However, there is a knowledge gap if complement components are modulated to contribute to tissue damage and the fibrotic phenotype during NR-COVID-19. We, therefore, aimed to study the role of the complement factors and their corresponding regulatory proteins in the pathogenesis of NR-COVID-19. We further examined the association of complement components with mediators of the host autophagic response. We observed significant upregulation of the expression of the classical pathway factor C1qrs and alternative complement factors C3 and C5a, as well as the anaphylatoxin receptor C5aR1, in NR-COVID-19 lung tissues. Of note, complement regulatory protein, decay accelerating factor (DAF; CD55) was significantly downregulated at both transcript and protein levels in the NR-COVID-19 lungs, indicating a dampened host protective response. Furthermore, we observed significantly decreased levels of the autophagy mediators PPARy and LC3a/b, which was corroborated by decreased expression of factor P and the C3b receptor CR1, indicating impaired clearance of damaged cells that may contribute to the fibrotic phenotype in NR-COVID-19 patients. Thus, our study revealed previously unrecognized complement dysregulation associated with impaired cell death and clearance of damaged cells, which may promote NR-COVID-19 in patients, ultimately necessitating lung transplantation. The identified network

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of dysregulated complement cascade activity indicates the interplay of regulatory factors and the receptor-mediated modulation of host immune and autophagic responses as potential therapeutic targets for treating NR-COVID-19.

Keywords Nonresolvable COVID-19, Pulmonary fibrosis, Complement-mediated immunity, Complement activation, Autophagy, C3a and C5a anaphylatoxins, Receptor signaling

Introduction

Virulent human coronaviruses, such as severe acute respiratory syndrome coronavirus (SARS-CoV), which emerged in 2003, Middle East respiratory syndrome coronavirus (MERS-CoV), and the recently emerged SARS-CoV-2 [1], bind to ACE2 receptors on airway and lung epithelial cells and alveolar resident macrophages [2]. COVID-19 pathogenesis primarily affects lung function via acute respiratory distress syndrome (ARDS) and pneumonia, along with diffuse alveolar damage (DAD). Alveolar macrophage-driven endothelial loss, thrombus formation, and cytokine storms evolve into life-threatening hypoxia [3]. The inflammatory and hypoxic state is followed by the development of mucus plugs with fibrinous exudates, resulting in severe COVID-19 in an ageindependent manner and multiple organ dysfunction in some patients [4, 5]. The fibroproliferative phenotype of type II pneumocytes is the next stage that determines epithelial cell fate and regeneration and results in "nonresolvable" clinical conditions in patients, thereby requiring ventilation and necessitating lung transplantation [6, 7]. We recently demonstrated that nonresolvable COVID-19 (NR-COVID-19) lungs significantly express the extracellular matrix proteins periostin (POSTN) and collagen 1 and 3 components (COL1A2 and COL3A1), along with the profibrotic mediator alpha-smooth muscle actin (α-SMA) collagen triple helix-repeat containing 1 (CTHRC1). The fibrotic phenotype also involves high levels of keratin 8 expression, indicating a transitional state of alveolar epithelial cells with basal cells expressing keratin 5, resulting in alveolar bronchiolization. These fibrotic phenotypes demonstrated sustained myofibroblast activation and fulminant lung fibrosis within an average of 119 days (ranging from 55-211 days) of contracting the infection, thereby requiring lung transplantation [8].

However, the heterogeneity of the host immune response observed in respiratory failure patients might lead to unresolved pathologic outcomes in NR-COVID-19 patients, that is, patients requiring lung transplantation. For example, lung autopsies from patients with high viral burdens, including deceased COVID-19 patients, demonstrated increased interferongamma (IFN γ) responses and enrichment of inflammatory macrophage (M1) phenotypes. Moreover, a low viral burden is correlated with patient-specific inflammatory

signatures, mucin production, and expression of surfactant-producing genes [9]. The levels of circulatory complement anaphylatoxins C3a and C5a, upon alternative complement pathway activation, are significantly elevated in different stages of COVID-19, including pneumonia, ARDS, and critical illness, and are positively correlated with in-hospital mortality, not survival [10, 11]. In MERS-CoV-infected individuals, increased C3a and C5a transcript levels in the lungs are also positively correlated with disease severity [12]. In addition, C3 hydrolysis and increased levels of the positive regulatory factors D and P are also correlated with increased disease severity and increased mortality in SARS-CoV-2- and MERS-CoV-infected individuals [12, 13]. However, the role of the complement system in modulating lung tissue inflammation and pathology in end-stage NR-COVID-19 is not clearly understood.

The complement system, comprising more than 30 soluble and surface-bound proteins, lies at the interface of innate and adaptive immune responses in both acute infectious and chronic inflammatory diseases [14-16]. C1q, in the classical complement pathway, serves as an immune checkpoint and triggers complement activation when viral antigens bind to IgM, rapidly appearing within 3-7 days post-infection [17, 18]. A recent study linked IgM glycosylation and increased C1q and C3 deposition with disease severity in COVID-19 patients [19]. Increased C3 levels are also a potential mechanism of pulmonary fibrosis with uncontrolled complement activation in idiopathic pulmonary fibrosis patients with gain-of-function polymorphisms in the mucin 5B gene *MUC5B* [20]. While genetic predisposition to SARS-CoV infection in individuals was associated with C-type lectin gene polymorphisms [21-23], lectin receptors with conserved SARS-CoV-2 glycosylation binding sites were also identified to activate lectin complement pathway signaling [24]. Respiratory failure in SARS-CoV-2-infected individuals was associated with increased MAC (C5b-C9), complement component C4D, and MBL-associated serine protease MASP2 expression with DAD in lung autopsies, and similar activation was observed in purpuric skin lesions in these patients [25]. In a recent study, the SARS-CoV-2-N protein was shown to directly bind MASP2 on the cell surface, resulting in lectin pathway overactivation [26].

As a surveillance mechanism, complement immune factors activate the autophagic response in damaged or microbially infected cells through signaling via damage-associated molecular patterns (DAMPs) and pathogen-associated molecular patterns (PAMPs) [27]. Clusterin is a human apolipoprotein that sequesters circulating C7 and other MAC components (C5b-9) and renders it inactive [28, 29]. Clusterin also facilitates the lipidation of the autophagy mediator LC3 and induces mitophagy via the coordinated function of PPARgamma (PPARγ) [30, 31]. PPARγ activity is also negatively correlated with the levels of complement factors, including C3, C5, and C7 [32]. Interestingly, preclinical studies have shown that alveolar macrophage PPARy suppresses influenza virus-induced long-term fibrotic sequelae. Together, these studies highlight the inverse correlation of the levels of complement factors with autophagy-mediated cell survival mechanisms, which we aimed to investigate in NR-COVID-19 lungs.

During viral pathogenesis, soluble regulatory complement factor H and surface-bound regulatory factors, including CD46, CD55, and CD59, are exploited by viruses to prevent infection-induced cell neutralization and destruction, thereby increasing alternative complement pathway activation via factor B and factor P activity, leading to tissue destruction [33, 34]. In addition, both viral and bacterial molecular patterns interact with CD46, which is sometimes referred to as a "pathogen magnet", and could be linked to xenophagy, the autophagic defense against intracellular pathogens possibly triggered by microbial effectors [35–38]. Paradoxically, viral infections could benefit from the autophagic response to virion packaging by utilizing the small membranous vesicles formed during autophagic degradation [39, 40].

Hence, in this study, we sought to determine whether complement immune cascade activities are altered during the progression of lung fibrosis in NR-COVID-19 patients and whether the membranebound or soluble regulatory factors of the complement system are affected in NR-COVID-19-related fibrosis. Further analysis of the expression levels of the C3a and C5a anaphylatoxin receptors showed significantly increased C5aR1 levels, indicating its role in inflammatory signaling in NR-COVID-19 patients. Finally, we observed that the levels of autophagic mediators PPARy and LC3a/b were significantly downregulated in the NR-COVID-19 lung tissues. Taken together, these findings suggested that activated complement factors may potentially block PAPRy/ LC3a/b -mediated autophagic rescue mechanisms in the end stage of the NR-COVD-19.

Materials and methods

Human lung specimens

The use of human lung explants in this study was approved by the internal review boards of the University of Texas Health Science Center at Houston (HSC-MS-15-1049 and HSC-MS-08-0354), Houston Methodist Hospital (Pro00003392), and Baylor College of Medicine (H-46823) and were performed in adherence to the Declaration of Helsinki. Lung explants from patients with NR-COVID-19 (designated COVID#) were processed within one hour of the lung transplantation procedure. Similarly, this study used discarded lung transplant donor tissues from LifeGift Organ Procurement (Houston, TX) as controls (designated Cont#). All tissues were collected from the middle regions of the upper and lower lobes as detailed previously [8, 41]. The excised tissues were stored in the ice box and transported within one hour. The collection and storage of the tissue explants were performed at the UTHealth Center of Pulmonary Excellence (UTHealth-PCOE) for research studies. Table 1 shows the patients' age, sex, and ethnicity. The patients in the control cohort (41.5 ± 14.1 years old) were agematched with NR-COVID-19 patients (46.0 ± 15.0 years old). Signed informed consent was obtained from all patients for the research study (Clinical trial number: not applicable).

RNA isolation and quantitative RT-PCR assay

Human lung explants were pulverized in liquid nitrogen, and the powder was stored in liquid nitrogen until further use. RNA isolation from the frozen pulverized tissues was carried out via Qiagen miniprep columns following the manufacturer's protocol, and the samples were DNase-treated via ArcticZymes Kits. The RNA concentrations were measured using NanoDrop 2000 Spectrophotometer (Thermo Scientific; Cat# ND-2000C), and 1 μg of the extracted total RNA was reverse transcribed using a High-Capacity cDNA Reverse Transcription Kit (Applied Biosystems; Waltham, MA). The resulting

Table 1 Tissue sample demographic information

	Control	NR-COVID-19
Age (Years ± SD)	41.5 ± 14.1	46.0 ± 15.0
Female sex	3/12	2/12
Male sex	9/12	10/12
Ethnic group	B:1 C:8 H:3	B:0 C:4 H:8
BMI (AU \pm SD)	26.3 ± 3.1	25.6 ± 2.2
N number	12	12

The table shows the demographic information of the human discarded tissues used in this study

 ${\it B}~{\it Black}, {\it C}~{\it Caucasian}, {\it H}~{\it Hispanic}$

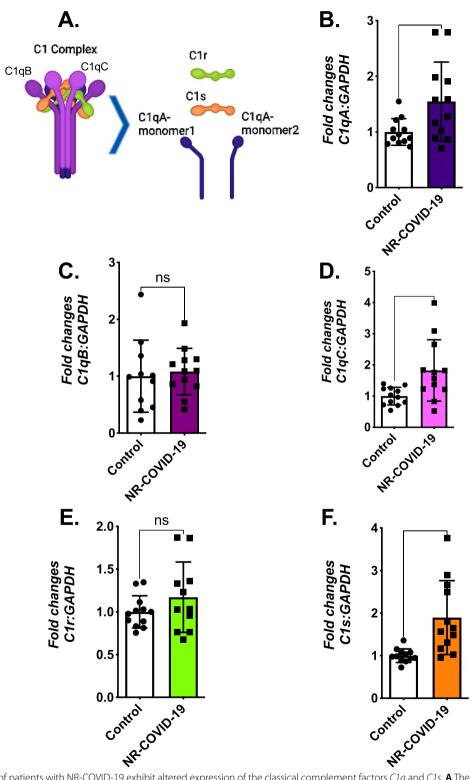


Fig. 1 The lungs of patients with NR-COVID-19 exhibit altered expression of the classical complement factors C1q and C1s. **A** The schematic depicts the complement 1 (C1) complex. The C1 complex is made up of dimers of three C1q subunits, C1qA (blue), C1qB (magenta), and C1qC (pink), and dimers of the serine proteases C1r (green) and C1s (orange). The bar graphs show the mRNA levels of **B** C1qA, **C** C1qB, **D** C1qC, **E** C1r, and **F** C1s measured via RT–qPCR in lung RNA extracts. The values represented in the bar graphs are the means \pm SEs of the fold change in expression in lung explants from NR-COVID-19 patients normalized to that in control lungs (control expression set to 1.00). The expression levels in both cohorts were normalized to those of the internal housekeeping gene GAPDH. Statistical significance was determined at the level of *P < 0.05; ***P < 0.001 (N = 12 for each cohort)

cDNA was stored at $-20\,^{\circ}\text{C}$ until use. Three microliters of the 1:10-diluted cDNA was subjected to quantitative PCR (qPCR) assays using specific primers for each tested transcript and iTaq Universal SYBR Green Supermix (Bio-Rad, Hercules, CA). The delta CT values (Δ CT) (test vs. housekeeping gene *GAPDH*) for each amplicon were normalized to those of the control tissues (Δ Δ CT), and the expression levels were designated as the fold change in expression using Bio-Rad CFX Maestro Software in the lungs of NR-COVID-19 patients compared with those in control lungs. QuantiTect primers from Qiagen (Germantown, MD) were used for the qPCR assays and are listed in Supplemental Table 1.

Western blotting

Total protein from the frozen lung tissue powders was extracted via the use of Invitrogen radioimmunoprecipitation assay buffer supplemented with Halt protease and phosphatase inhibitor cocktail (Thermo Fisher, Waltham, MA). Protein concentrations were measured using bicinchoninic acid (BCA) reagent (Pierce), and 30 µg of total protein was subjected to electrophoretic separation with Bio-Rad gradient gels (4–12%). The separated proteins were transferred onto a PVDF membrane, and immunoblotting for complement proteins was carried out using specific antibodies. Supplemental Table 2 details the antibody specifications and dilutions used in the study. The blots were developed with a West Q Pico-ECL solution (GenDepot, Baker, TX), and the intensity of each band was quantified from the acquired images via Bio-Rad Image Lab 6.1 software. The data are presented as ratios of the band intensities of the test proteins to those of the housekeeping proteins β -actin, GAPDH, or β-tubulin as loading controls. Suitable housekeeping proteins were chosen based on our previous experiments demonstrating transfer efficiency and consistent expression across groups [8].

Statistical analyses

Statistical analyses were carried out using GraphPad Prism 9.3. A two-tailed unpaired t-test with Welch's correction was performed to determine statistical significance. The outliers were identified via the ROUT method, which employs a false discovery rate with nonlinear regression to remove multiple outliers [42]. Differences in transcript and protein expression levels at a P value < 0.05 were considered statistically significant.

Results

Classical complement and lectin pathway factors are differentially expressed in NR-COVID-19 lungs

First, to determine the presence of the classical pathway components of C1qrs in NR-COVID-19 lungs, we investigated the mRNA levels of C1q.r.s in control and NR-COVID-19 lung tissues (Fig. 1). The expression of classical complement Clgrs factors is critical for the initial activation of the complement cascade by pathogen recognition and antigen-antibody interactions (schematic; Fig. 1A). The C1q.r.s (C1qrs) subunits are expressed independently from different genetic loci. While the three subunits C1qA, B, and C are encoded by chromosome 1, the C1r and C1s subunits are encoded by chromosome 12 [43-45]. Therefore, we measured individual transcript levels in both control and NR-COVID-19 lung tissues. We observed a 1.5-fold increase in C1qA expression (p = 0.024; Fig. 1B) and a 1.8-fold increase in C1qC expression (p = 0.015; Fig. 1D) in the lungs of NR-COVID-19 patients compared with those in the lungs of control patients. C1qB expression was not significantly different between the groups (Fig. 1C). Although C1r mRNA levels were not significantly different (Fig. 1E), C1s mRNA levels were significantly increased by 1.9-fold in the lungs of NR-COVID-19 patients compared with those in control lungs (p = 0.004; Fig. 1F). Together, NR-COVID-19 lungs presented differential expression of C1

(See figure on next page.)

Fig. 2 The lungs of patients with NR-COVID-19 show upregulation of the complement factors *C3* and *C4*. **A** A schematic representation of three distinct complement pathways is shown here. The classical pathway is activated by antigen (from pathogens or self-antigens) and antibody (IgM and IgG) interactions. Once hexameric C1q binds to the antigen—antibody complex, the activated C1s cleaves C4 and C2 into C4a and C4b and C2a and C2b, respectively. C4a and C2b bind to form classical C3 convertase (C2aC4b), leading to the cleavage of C3 into C3a and C3b. The lectin pathway independently activates C3 convertase (C2aC4b) via MBL-associated serine proteases (MASP-1 and MASP-2) that cleave C2 and C4, leading to the formation of C3a and C3b. The alternative complement pathway is activated during infections and chronic inflammatory conditions through spontaneous hydrolysis of C3, giving rise to alternative C3b, which binds factor D to cleave factor B into Bb. C3b and Bb binding leads to the generation of alternative C3 (C3bBb) and C5 convertases (C3bBbC3b), which initiate an activation loop for the uncontrolled generation of C3a and C5a anaphylatoxins. The C5b fragments further participate in activating membrane attack complex (MAC, C5b-C9) formation. Regulatory C4-binding proteins (C4bp: 2 subunits, C4bpA and C4bpB) inhibit the lectin pathway and C3 convertase activity to mitigate the generation of C3a and C5a anaphylatoxins and MAC formation. The bar graphs show the mRNA levels of **B** *C2*, **C** *C3*, **D** *C4a*, **E** *C4b*, **F** *C4bpA*, and **G** *C4bpB* measured via RT—qPCR in lung RNA extracts. The values represented in the bar graphs are the means ± SEs of the fold change in expression in lung explants from NR-COVID-19 patients normalized to that in control lungs (control expression set to 1.00). The expression levels in both cohorts were normalized to those of the internal housekeeping gene *GAPDH*. Statistical significance was determined at the level of *P < 0.05; ***P < 0.001 (n = 12 for each cohort)

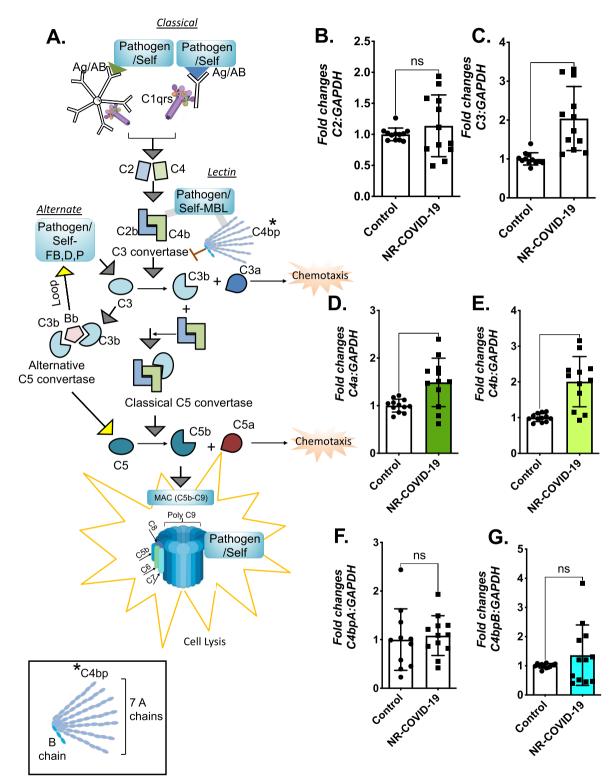


Fig. 2 (See legend on previous page.)

components, suggesting an abnormal classical pathway response in end-stage disease.

We further analyzed the transcript levels of the downstream complement factors C2, C3, and C4, which are subsequently activated by classical C1 and lectin pathway

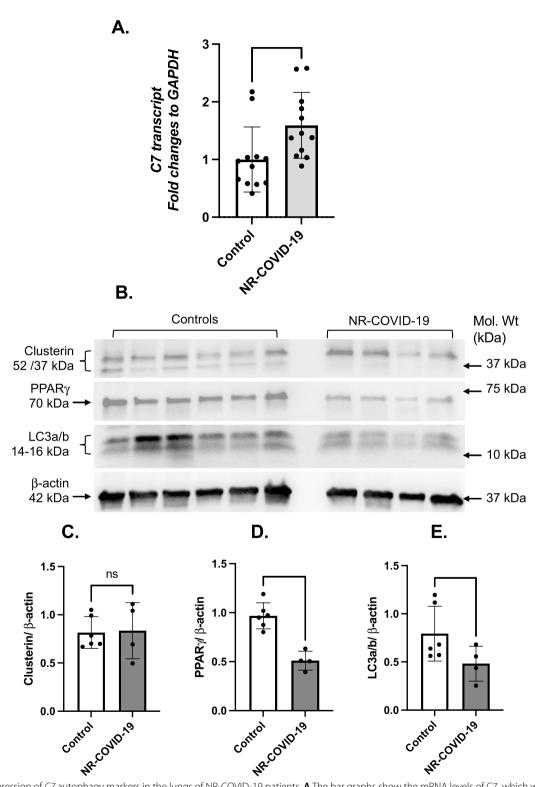


Fig. 3 Expression of *C7* autophagy markers in the lungs of NR-COVID-19 patients. **A** The bar graphs show the mRNA levels of C7, which were measured via RT–qPCR assays, in control lung tissues and lung tissues from NR-COVID-19 patients. The values are presented as the means \pm SEs of the fold change in expression in the lung explants from NR-COVID-19 patients normalized to that in the control lungs (control expression levels set to 1.00). **B** Representative western blots showing the protein levels of clusterin, PPARy, and LC3a/b along with the loading control β-actin in control lung homogenates and lung homogenates from NR-COVID-19 patients. The bar graphs shown below represent the mean densitometric quantification as arbitrary ratios of the protein levels to those in the loading control. Statistical significance was determined at the level of *P<0.05; ***P<0.001 (N=6 for control; N=4 for NR-COVID-19)

components (schematic; Fig. 2A). Although C2 mRNA levels were not significantly different between the groups (Fig. 2B), C3 mRNA levels were considerably greater (2.0fold; p = 0.0011; Fig. 2C) in the lungs of NR-COVID-19 patients than in those of control patients. Furthermore, we measured complement C4 expression via primers specific for C4a and C4b, since these genes are expressed independently, and the proteins complex to form the fulllength C4 protein [46]. We observed a 1.5-fold increase in C4a expression (p=0.007; Fig. 2D) and a 2.0-fold increase in C4b expression (p=0.0004; Fig. 2E) in the lungs of NR-COVID-19 patients compared with those in control samples. Interestingly, the expression levels of the regulatory C4-binding protein (C4BP) subunits, C4bpA and C4bpB (Fig. 2F andG), did not differ between the groups. Furthermore, we observed significant upregulation of MASP2 mRNA to 2.6-fold in NR-COVID-19 lungs (p = 0.0009; Supplemental Fig. 1). Together, the data revealed that the upregulation of C3, C4a, and C4b transcripts may be due to aberrant activation of the upstream C1 and MBL complexes in NR-COVID-19 lungs.

Complement factor 7 and host autophagy pathway components in NR-COVID-19

To determine the expression of complement factors and complement-associated autophagic mediators, we investigated the expression levels of MAC components (C5b-C9) and autophagy markers. Interestingly, we observed a significant increase in C7 transcript levels in the lungs of NR-COVID-19 patients (Fig. 3A). However, the mRNA levels of the MAC components C5, C6, and C8b were not significantly different between the groups (Supplemental Fig. 2). Transcripts for *C8a* and *C9* were not detectable in the lung tissue from either group. The upregulation of C7 mRNA expression may independently contribute to dysregulated inflammatory and apoptotic mechanisms leading to lung fibrosis in NR-COVID-19 patients [47, 48]. To understand the MAC sequestering effect of clusterin in NR-COVID-19 patients, we measured the protein levels of clusterin in control lungs (N=6) and the lungs of NR-COVID-19 patients (N=4). We observed no significant difference in clusterin levels between the two groups (Fig. 3B, C). These findings suggest vascular/endothelial-mediated local C7 expression [48, 49]. Clusterin is also important for the biogenesis of autophagosome formation via LC3 lipidation. It also acts as a molecular chaperone along with PPARy in modulating PPARy levels and the LC3 lipidation facilitator clusterin [30], a human apolipoprotein that sequesters the effects of circulating complement factor C7 and other membrane attack complex components (MAC; C5b-9), resulting in an inactive complex.

Furthermore, the levels of the autophagy markers PPARγ (Fig. 3B, D) and LC3a/b (Fig. 3B, E) were significantly decreased in the lungs of NR-COVID-19 patients. Furthermore, we investigated candidates for the complement-driven antimicrobial innate autophagic response [37], namely, ATG7 and ULK-1 (Supplemental Fig. 3). We found a decreasing trend in the transcript levels of these genes in NR-COVID-19 lungs compared with those in control lungs (Supplemental Fig. 3). Together with unaltered clusterin levels, these data suggest that the autophagic response is also inhibited in NR-COVID-19, which may contribute to defective resolution, enhanced immunopathology, and fibrosis [29, 50, 51].

Differential expression of anaphylatoxin receptors in NR-COVID-19 lung explants

The complement anaphylatoxins C3a and C5a are generated from the cleavage of their respective precursors C3 and C5 and serve as proinflammatory mediators by binding to their respective receptors C3aR and C5aR1 and the decoy receptor of C5a, C5aR2, to promote chemotaxis and induce immune cell activation (schematic; Fig. 4A) [52–54]. C3a and C5a are further cleaved by carboxypeptidase M (CPM), resulting in more stable but less active forms of C3a and C5a known as C3a_{desArg} and C5a_{desArg} [55]. In the NR-COVID-19 lung explants, we observed significant upregulation of *C3aR* mRNA

(See figure on next page.)

Fig. 4 The expression of the C3a and C5a anaphylatoxin receptors in the lungs of NR-COVID-19 patients. **A** Schematic showing how the C3a and C5a anaphylatoxin receptors C3aR, C5aR1 and C5aR2 interact at the cell membrane. The membrane-bound carboxypeptidase M regulates anaphylatoxin receptor-mediated signaling by cleaving the carboxy-terminal arginine from C3a and C5a, resulting in the formation of less active C3a $_{\text{desArg}}$ and C5a $_{\text{desArg}}$. The bar graphs show the mRNA levels of **B** *C3aR*, **C** *C5aR1*, **D** *C5aR2*, and **E** *CPM*, which were measured via RT–qPCR in lung RNA extracts. The values represented in the bar graphs are the means \pm SEs of the fold change in expression in lung explants from NR-COVID-19 patients normalized to that in control lungs (control expression set to 1.00; (N = 12 per group)). The expression levels in both cohorts were normalized to those of the internal housekeeping gene *GAPDH*. Statistical significance was determined at the level of *P* < 0.05 (n = 12 for each cohort). **F** Representative immunoblots showing the protein levels of C3aR, C5aR1, C5aR2, and CPM in control lung tissues and lung tissues from NR-COVID-19 patients. The bar graphs (**G-J**) represent the mean densitometric quantification data given as arbitrary ratios of the intensity of bands for receptors to that of their respective loading controls (N = 6 for each cohort; in the C5aR1 blot, sample 5 ratio in the control group was omitted as an outlier measured by the ROUT method (Q = 1%). Statistical significance was determined at the level of *P < 0.05; ***P < 0.001

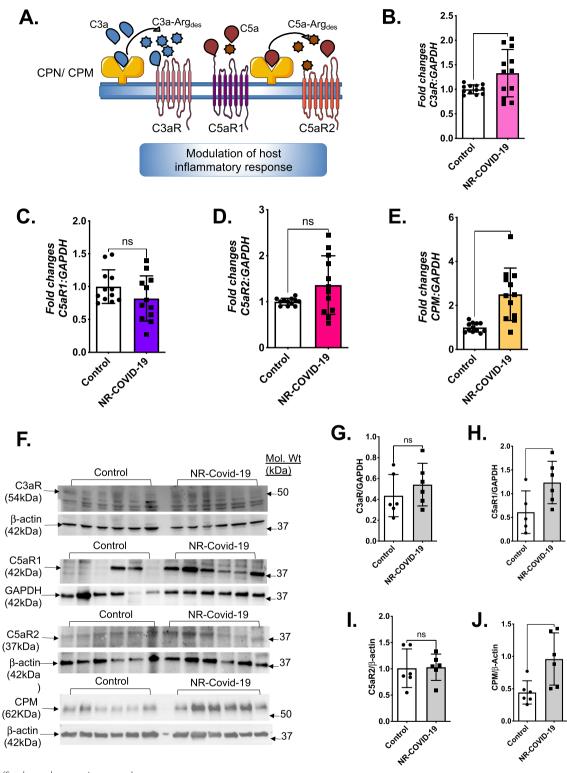


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expression (Fig. 4B). However, *C5aR1* and *C5aR2* (Fig. 4C and D) mRNA levels did not significantly differ between the lungs of NR-COVID-19 patients and control patients.

On the other hand, we observed significant upregulation of *CPM* mRNA levels in the lungs of NR-COVID-19 patients (Fig. 4E). As shown by representative western

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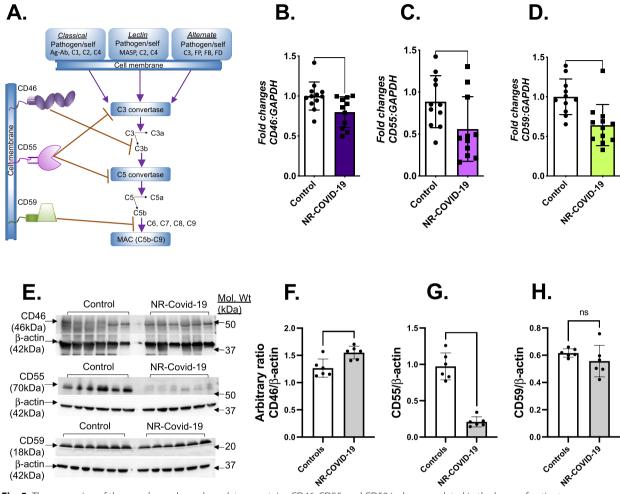


Fig. 5 The expression of the membrane-bound regulatory proteins CD46, CD55, and CD59 is downregulated in the lungs of patients with NR-COVID-19. **A** Schematic representation of the membrane-bound regulatory proteins CD46, CD55, and CD59 that regulate C3 and C5 convertase activity and MAC formation. The soluble factor I coactivates CD46 activity to prevent C3b from forming C5 convertase. The bar graphs show the mRNA levels of **B** *CD46*, **C** *CD55*, and **D** *CD59*, which were measured via RT-QPCR in lung RNA extracts. The values represented in the bar graphs are the means \pm SEs of the fold change in expression in nrCOVID-19-PF lung explants normalized to that in control lungs (control expression set to 1.00; N = 12 for each cohort). The expression levels in both cohorts were normalized to those of the internal housekeeping gene *GAPDH*. **E** Representative immunoblots showing the protein levels of CD46, CD55, and CD59 along with the loading control β-actin in control lung tissues and lung tissues from NR-COVID-19 patients. The bar graphs (**F**-**H**) represent the mean densitometric quantification data given as arbitrary ratios of the intensity of bands for receptors to that of their respective loading controls (N = 6 per group). Statistical significance was determined at the level of *P < 0.05: ***P < 0.00: ***P < 0.05: ***P

blots, we observed no significant difference in the protein levels of C3aR (Fig. 4F and G), of the two C5a binding receptors, C5aR1 protein levels were significantly elevated in the lungs of NR-COVID-19 patients (Fig. 4F and H). Consistent with the mRNA levels, there was no significant difference in the protein levels of the decoy receptor C5aR2 (Fig. 4F and I). These results indicate the discrepancy of synchrony between the mRNA and protein levels due to differential host responses [56–59], particularly with overabundant transcriptional enrichment as observed in severe COVID-19 [58]. Finally, CPM

protein levels were significantly greater in NR-COVID-19 lungs than in control lungs (Fig. 4F and J). These results indicate a positive correlation of C5aR1 levels with increased CPM production as a host response in C5a cleavage and mitigation of C5aR1 signaling.

The membrane-bound regulatory factors of the complement system are differentially expressed in NR-COVID-19 lungs

We further assessed the expression levels of the membrane-bound regulatory factors CD46, CD55, and

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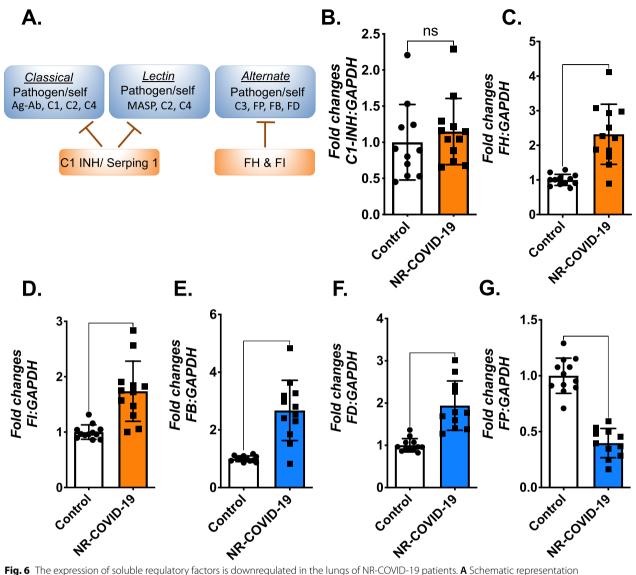


Fig. 6 The expression of soluble regulatory factors is downregulated in the lungs of NR-COVID-19 patients. **A** Schematic representation of how soluble regulatory proteins function in all three complement pathways. The negative regulator C1-INH (also called SerpinG1) inhibits C1s cleavage of C2 and C4, thereby restricting the formation of the C3 convertase. Factor H and Factor I inhibit the alternative complement pathway from establishing an amplification loop. Factor P stabilizes the binding of C3b to the cell surface to induce pathogen phagocytosis and damage cell apoptosis. The bar graphs show the mRNA levels of the negative regulators **B** *C1-INH*, **C** *FH*, and **D** *FI* and the transcript levels of the soluble positive regulators **E** *FB*, **F** *FD*, and **G** *FP*, as measured via RT–qPCR assays of lung RNA extracts. The values represented in the bar graphs are the means \pm SEs of the fold change in expression in lung explants from NR-COVID-19 patients normalized to that in control lungs (control expression set to 1.00). The expression levels in both cohorts were normalized to those of the internal housekeeping gene *GAPDH*. Statistical significance was determined at the level of *P < 0.05; ***P < 0.001 (N = 12 for each cohort)

CD59 (schematic; Fig. 5A), which may be involved in mitigating tissue damage caused by pathologic complement activation during NR-COVID-19-induced lung fibrosis. To our surprise, the levels of *CD46*, *CD55*, and *CD59* expression were significantly lower (Fig. 5B–D) in the lungs of NR-COVID-19 patients than in those of control patients, which could lead to overactivation of the complement system and potential

cell damage and tissue injury. However, the protein levels of these membrane-bound receptors demonstrated a distinct pattern of protein levels. While CD46 protein levels were significantly increased in the lungs of NR-COVID-19 patients, we observed a significant decrease in the CD55 protein levels concordant with the mRNA levels, and the CD59 protein levels remained unchanged (Fig. 4E–H). These data suggest

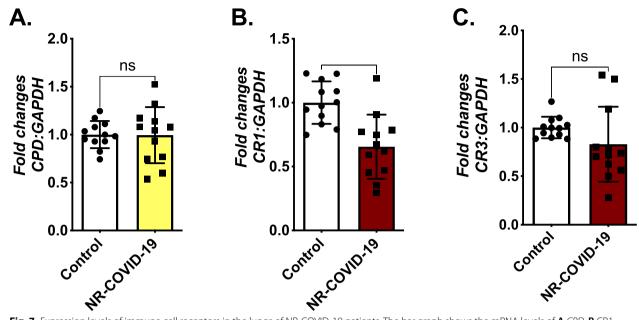


Fig. 7 Expression levels of immune cell receptors in the lungs of NR-COVID-19 patients. The bar graph shows the mRNA levels of **A** *CPD*, **B** CR1, and **C** *CR3*, which were measured via RT–qPCR via lung RNA extracts. The values represented in the bar graphs are the means \pm SEs of the fold change in expression in lung explants from NR-COVID-19 patients normalized to that in control lungs (control expression set to 1.00). The expression levels in both cohorts were normalized to those of the internal housekeeping gene *GAPDH*. Statistical significance was determined at the level of *P<0.05; ***P<0.001 (N=12 for each cohort)

a lack of strong correlation between mRNA and protein levels due to cellular stress-induced inflammatory mechanisms and overwhelmed inflammatory response, particularly in severe COVID-19 disease [56–60].

Soluble regulatory factors of the complement system are differentially expressed in NR-COVID-19 lungs

Regarding soluble complement regulatory proteins, as depicted in the schematic (Fig. 6A), the negative soluble regulator C1-INH (also known as SerpinG1) inhibits both the classical and lectin pathways. FH and FI inhibit the alternative complement pathway. We assessed the expression levels of these negative regulators in the lung tissues of controls and NR-COVID-19 patients. While the transcript levels of C1-INH were similar between the groups (Fig. 6B), both FH and FI mRNA levels were significantly greater in the lungs of NR-COVID-19 patients than in those of control patients (Fig. 6C and D). On the other hand, positive regulators of the alternative complement pathway, FB and FD, were also significantly upregulated in the lungs of NR-COVID-19 patients (Fig. 6E and F), indicating that the amplification loop of C3 cleavage by spontaneous hydrolysis or direct pathogen binding is activated in the lungs of NR-COVID-19 patients. In contrast, the expression of FP, which is involved in stabilizing C3 convertase, was significantly downregulated in the lungs of NR-COVID-19 patients (Fig. 6G). These findings indicate that the host response controls alternative pathway activation.

The expression of the immune cell-specific complement regulatory protein CR1 is downregulated in the lungs of NR-COVID-19 patients

Immune cell-specific carboxypeptidase D cleaves the C-terminal arginine in peptides and proteins and is highly expressed in LPS-exposed macrophages [61, 62]. CR1 and CR3 are both C3b-binding receptors expressed on immune cells and are involved in the apoptotic clearance of damaged cells [63]. Among the three tested immune cell-specific complement regulators in the lungs of NR-COVID-19 patients (Fig. 7A–C), the expression of CR1 (also known as CD35) was significantly downregulated (Fig. 7B). However, the expression of CPD and the other immune cell receptor CR3 was not altered in the lungs of NR-COVID-19 patients. CR1 expression in the erythrocytes is also decreased in COVID-19 ICU patients. It is associated with inhibitory complement factor I, consistent with our observation of significantly upregulated FI mRNA in the lungs of NR-COVID-19 patients (Fig. 6D) [64]. Together with decreased levels of FP mRNA, a significant decrease in CR1 expression indicates delayed apoptotic clearance of damaged cells during the progression of end-stage NR-COVID-19.

Discussion

In this investigation, we report significant dysregulation of complement immune factors in the lungs of NR-COVID-19 patients compared with those in the lungs of the control cohort. We analyzed the mRNA and protein levels of complement factors and the associated autophagic response in uncontrolled complement activation-induced tissue damage in nonresolving COVID-19 lung disease. The complement system is an important component of immunity and is activated by damaged cells and pathogenic stimuli. By examining the transcript levels of the components of all three cascades and the regulators of complement activation, we identified the interplay of these factors in the progression to the nonresolving disease stage in COVID-19 patients. Regarding the classical complement pathway, increased expression of C1qA, C1qC, and C1s mRNAs in NR-COVID-19 lungs suggests independent functionality of C1q and C1s without complexing with the C1r subunits [65–67]. Increased levels of C1q subunits also indicate the complementindependent effects of C1q on its non-IgG ligand C-reactive protein (CRP), the level of which is highly elevated in COVID-19 patients [68, 69]. Moreover, C1q can directly bind to pathogen surfaces and initiate a host humoral response and the formation of a C3 convertase, resulting in anaphylatoxin release and a heightened inflammatory response [16, 33, 70]. Thus, we observed independent and sustained activation of classical complement factors until the end stage of NR-COVID-19.

Increased mRNA levels of the lectin pathway component MASP2 indicate the coordinated activity of C1s and MASP2 in activating downstream effectors, the formation of C3 convertases, and subsequent alternative complement activation [71–76]. Plasma MASP2 concentrations are also significantly elevated in COVID-19 patients and are associated with downstream complement activation [77]. The lectin pathway is regulated by C4BP, which inhibits MASP-2-mediated cleavage of C4 and the generation of the active peptides C4a and C4b [78]. In our study, significantly increased mRNA levels of C4a and C4b in NR-COVID-19 lungs indicate the potential involvement of sustained classical and lectin pathway activation in the progression of lung fibrosis in NR-COVID-19 patients.

The mRNA levels of the central complement factor for all three cascades, C3, were significantly increased in the lungs of NR-COVID-19 patients. This increased C3 message level suggests the involvement of C3 in the tissue injury and fibrosis observed in the lungs of NR-COVID-19 patients, as observed in IPF patients with mucus hypersecretions [20]. The C3-derived ligand C3b binds to the CR1/CD35 receptor on B cells, thereby inducing humoral and cell-mediated/adaptive immune

responses to control viral infectivity and protect the lungs against viral propagation [79-81]. To our surprise, we observed a significant reduction in CR1/CD35 gene expression in the lungs of NR-COVID-19 patients, suggesting potentially dampened humoral and cell-mediated immune responses. The upregulation of C3aR mRNA and C5aR1 protein levels observed in the lungs may further contribute to the proinflammatory state and sustained tissue damage [11]. Therefore, the pathologic complement-mediated inflammation and tissue damage could involve C3, FB, C3aR, and C5aR1. A recent in vitro study on SARS-CoV-2-infected airway epithelial cells revealed that neutralization of C5aR1 suppressed inflammation and maintained epithelial integrity [82]. Although they noted upregulation of C5aR2, we did not find any significant changes in the mRNA or protein levels of C5aR2. Since C5aR2 has a greater affinity for C5a_{desArg} [82], our data suggest that the full-length C5a/ C5aR1 signaling axis may be involved in the progression of NR-COVID-19.

Autophagy is generally considered a homeostatic response that involves the recycling of subcellular organelles instead of complete apoptosis. An inefficient autophagic response is evident in both autoimmune diseases and infectious diseases [83-85]. Influenza viral infection has been shown to down-regulate PPARy function, thereby inducing lung injury [51]. We observed that the levels of the autophagy markers PPARy and LC3a/b were significantly decreased in the lungs of NR-COVID-19 patients. While clusterin facilitates the autophagic response via LC3a/b, our data revealed unaltered clusterin levels, with significant decreases in LC3a/b and PPARy levels in the lungs of NR-COVID-19 patients, thereby contributing to defective resolution and fibrosis [29, 30, 50, 51, 86]. Although complement factor C7 is a component of the MAC, it is also expressed as a membrane protein in endothelial cells [48]. Importantly, endothelial C7 acts as a trap for the assembling terminal complex and mediates anti-inflammatory effects by inhibiting endothelial IL8 production and decreasing the levels of surface adhesion molecules, therefore preventing immune cell infiltration and vascular leakage [49]. Given that clusterin also sequesters circulating MAC components [28], the absence of C8 and C9 transcripts of the MAC components and unchanged clusterin levels suggest that local C7 expression may be contributed by inflamed endothelial cells in the lungs of patients with NR-COVID-19. C7 is also expressed in the stromal cells of both human and mouse prostate and has been shown to suppress prostate cancer growth by inducing cellular apoptosis [47]. These studies support our explanation that the pulmonary vasculature and stroma might contribute to lung tissue expression of C7 during the severity

of COVID-19 and that apoptotic mechanisms might trigger end-stage fibrosis.

We further observed that alternative complement pathway activation during lung fibrosis in NR-COVID-19 patients was accompanied by the downregulation of membrane-bound regulatory factors, such as CD46, CD55, and CD59 messages, which are involved in the apoptotic clearance of damaged cells. Similar downregulation of CD55 and CD59 has been observed in herpes virus infection [87]. Moreover, full-length and the variant forms BC1, BC2, C1, and C2 of CD46 serve as membrane receptors for viruses and bacterial binding [88–90], which could possibly explain the increased CD46 protein levels in NR-COVID-19 lungs. In agreement with the mRNA levels, we observed a significant decrease in CD55 (DAF) protein levels in NR-COVID-19 lungs, suggesting a dampened regulatory response during the pathogenesis of NR-COVID-19. A recent study has demonstrated SARS-CoV2 hijacking of DAF and CD59 as a potential immune escape mechanism to block complement-mediated virolysis [91]. Because the DAF inhibits C3 and C5 convertase activity and inhibits alternative complement activation, a decrease in DAF may be associated with uncontrolled complement activation in NR-COVID-19. Treatment with C5 neutralizing antibody, pozelimab, in DAF deficiency-associated conditions such as angiopathic thrombosis, inhibited complement hyperactivation [92]. Interestingly, histological findings of lung autopsies of COVID-19 patients have shown increased protein levels of all three regulatory proteins [93]. The authors correlated increased C3 production as the inflammatory consequence and the upregulated regulatory proteins as the host protective response.

In the case of soluble factors, FP contributes to the alternative complement pathway by stabilizing alternative C3 convertases [94]. A complement-independent role has been demonstrated for properdin in binding to pathogens. FP deficiency is also associated with sustained tissue damage [38, 95, 96], as we have observed significantly reduced FP expression levels in lung tissues in NR-COVID-19 patients. In contrast, we observed significant upregulation of FB and FD expression in these NR-COVID-19 tissues compared with those in the controls, indicating uncontrolled alternative pathway activation. Significantly elevated serum levels of factor B and its byproducts were measured in COVID-19 patients admitted to the intensive care unit, and FD levels were elevated significantly in deceased patients compared with those who survived, suggesting the potential roles of these positive regulators in sustained amplification of the alternative complement pathway, resulting in exacerbated inflammation and ARDS [97]. Furthermore, the complement-inhibiting factor FH blocks the formation of the alternative complement pathway C3 convertase, and FI interacts with various proteins, including FH, to inhibit the C3 convertase activities of both the classical (C2aC4b) and alternative (C3bBb) pathways, in addition to directly binding to pathogenic viruses [98–100]. The increased expression levels of these soluble regulatory factors in NR-COVID-19 lungs may indicate a sustained host antiviral and anti-inflammatory response.

Finally, our results revealed that the pathogenesis of NR-COVID-19 involves the activation and maintenance of the alternative complement pathway and potentially reduces the apoptotic clearance of damaged cells via a reduction in the expression of CR1/CD35 and FP. Efferocytosis is the process of apoptotic cell clearance. The initial phase of COVID-19-related ARDS, comprising neutrophil infiltration with a cytokine storm, is in part promoted by alternative complement pathway signaling, following which CR3 (C3b-binding receptor; a dimer of CD11b and CD18) induces phagocytosis of damaged/apoptotic neutrophils by macrophages [101]. However, the lungs of NR-COVID-19 patients expressed lower levels of CR3, suggesting that an impaired efferocytosis mechanism may contribute to the NR-COVID-19-related fibrotic phenotype [101]. Thus, our data collectively suggest that the persistence of alternative complement activation with increased C3 production, together with impaired efferocytosis, may lead to the development of NR-COVID-19 in patients, ultimately requiring lung transplantation. Taken together, our data suggest that in addition to aberrant complement activation and impaired regulatory factor functionality, dysregulation of autophagy and its severity may contribute to NR-COVID-19 lung disease.

Concluding remarks

In this study, we analyzed complement activation in NR-COVID-19 lungs and its association with the aberrant host autophagic response. Although there are a few limitations in this study, including the availability of samples, it is the first study, to our knowledge, to comprehensively elucidate the local presence of the components of the three pathways and regulators of complement activation (RCAs). We are also the first to investigate the involvement of autophagic mediators as a potential mechanism underlying dysregulated complement-induced tissue damage leading to endstage respiratory failure. Anti-C5a antibodies, including vilobelimab, have been studied in phase 2 and phase 3 clinical case studies in invasively ventilated COVID-19 patients [102, 103] to address the consequences of acute and sustained complement. Although neutralization of C5a with vilobelimab improved the survival rate

by 32% for all-cause mortality rate, compared with 42% in placebo-treated patients at 28 days, serious treatment-emergent adverse events (TEAE) was observed in 59% of patients, versus 63% in individuals that received the placebo [102]. Clinical intervention with the anti-C5aR1 antibody avdoralimab did not significantly improve the clinical outcome of COVID-19-related ARDS patients (clinical trial # NCT04371367) [104]. Thus, our findings suggest that instead of blocking the activity of alternative complement factors and their receptor-mediated signaling, restoring the impaired functions of the membrane-bound regulatory protein, CD55, and CD59 and the immune-specific receptors CR1/CR3 to increase the phagocytic clearance of damaged cells and to restore the autophagic response and mitigate pathologic progression evident in the lungs of patients with NR-COVID-19, preventing the need for lung transplantation, is more beneficial.

Supplementary Information

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Supplementary Material 1. Supplemental Fig. 1. The bar graphs show the mRNA levels of MASP2, which were measured via RT-qPCR in control lung tissues and lung tissues from NR-COVID-19 patients. The values represent the mean \pm SE of the fold change in expression in lung explants from NR-COVID-19 patients normalized to that in control lungs (control expression set to 1.00). The expression levels in both cohorts were normalized to those of the internal housekeeping gene GAPDH. Statistical significance was determined at the level of *P<0.05; ***P<0.001 (N = 12 for each cohort). Supplemental Fig. 2. A Schematic showing the MAC complex containing C5b, C6, C7, C8 and polymeric C9. The bar graphs show the mRNA levels of B C5, C C6, and D C8b, which were measured via RT-qPCR in lung RNA extracts. The values represented in the bar graphs are the means \pm SEs of the fold change in expression in lung explants from NR-COVID-19 patients normalized to that in control lungs (control expression set to 1.00). The expression levels in both cohorts were normalized to those of the internal housekeeping gene GAPDH. Statistical significance was determined at the levels of *P<0.05 and ***P<0.001 (N = 12 for each cohort). Supplemental Fig. 3. The bar graphs show the mRNA levels of ATG7 and ULK-1 in lung tissue extracts from NR-COVID-19 patients and controls, which were measured via RT-QPCR. The values represented in the bar graphs are the means \pm SEs of the fold change in expression in lung explants from NR-COVID-19 patients normalized to that in control lungs (control expression set to 1.00). The expression levels in both cohorts were normalized to those of the internal housekeeping gene 18S. Statistical significance was determined at the level of *P<0.05; ***P<0.001 (N = 12 for each cohort).

Supplementary Material 2.

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Author contributions

PS designed the study, acquired the data, analyzed and interpreted the results, prepared the figures and drafted the manuscript. SLM-O, AYD, WB, SDC acquired the data, analyzed and interpreted the results. MFD, BA, analyzed and interpreted the results. INLF, SY, HJH provided key material, analyzed and interpreted clinical data. HKQ designed the study, analyzed and interpreted the results, and drafted the manuscript. All authors read and approved the final manuscript.

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Availability of data and materials

No datasets were generated or analysed during the current study.

Declarations

Ethics approval and consent to participate

Study protocols for analyzing deidentified human specimens were approved and waiver of consent was granted by the Institutional Review Board of UTHealth Houston Committee for Protection of Human Subjects and research was performed in accordance to the Declaration of Helsinki.

Consent for publication

Not applicable.

Competing interests

The authors declare no competing interests.

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