Flexible modeling of large-scale neural network stimulation: electrical and optical extensions to The Virtual Electrode Recording Tool for EXtracellular Potentials (VERTEX)

Anne F. Pierce 1,2, Larry Shupe 3, Eberhard Fetz 2,3, Azadeh Yazdan-Shahmorad 1,2,4

- ¹ Department of Bioengineering, University of Washington, Seattle, WA 98195, USA
- ² Washington National Primate Research Center, Seattle, WA 98195, USA
- ³ Department of Physiology and Biophysics, University of Washington, Seattle WA 98195, USA
- ⁴ Department of Electrical and Computer Engineering, University of Washington, Seattle, WA 98195, USA

Abstract

Computational models that predict effects of neural stimulation can be used as a preliminary tool to inform in-vivo research, reducing the costs, time, and ethical considerations involved. However, current models do not support the diverse neural stimulation techniques used *in-vivo*, including the expanding selection of electrodes, stimulation modalities, and stimulation paradigms. To develop a more comprehensive software, we created several extensions to The Virtual Electrode Recording Tool for EXtracellular Potentials (VERTEX), the MATLAB-based neural stimulation tool from Newcastle University. VERTEX simulates input currents in a large population of multicompartment neurons within a small cortical slice to model electric field stimulation, while recording local field potentials (LFPs) and spiking activity. Our extensions to its existing electric field stimulation framework include multiple pairs of parametrically defined electrodes and biphasic, bipolar stimulation delivered at programmable delays. To support the growing use of optogenetic approaches for targeted neural stimulation. we introduced a feature that models optogenetic stimulation through an additional VERTEX input function that converts irradiance to currents at optogenetically responsive neurons. Finally, we added extensions to allow complex stimulation protocols including paired-pulse, spatiotemporal patterned, and closed-loop stimulation. We demonstrated our novel features using VERTEX's built-in functionalities, illustrating how these extensions can be used to efficiently and systematically test diverse, targeted, and individualized stimulation patterns.

1. Introduction

Neural stimulation has significant history and promise for treating neurological disorders characterized by damaged or aberrant neural activity, such as movement disorders, epilepsy, and stroke. However, the effectiveness of stimulation-based treatments has variable outcomes across clinical and preclinical trials. This inconsistency is attributed to the use of non-individualized stimulation and diverse methods employed across experiments, including variations in electrode types, spatial and temporal stimulation dynamics, and open versus closed-loop approaches. While it is critical to investigate methods that consistently yield optimal outcomes, *in-vivo* experiments are time-intensive, expensive, and raise ethical considerations regarding the use of humans and animals. Consequently, before conducting *in-vivo* experiments, computational modeling can be used as a fast and cost-effective method to predict effects of stimulation using various methods. The results could inform and reduce the number of subsequent *in-vivo* experiments, and aid in the development of reliable, individualized, and targeted therapeutic treatments.

While existing software can predict effects of neural stimulation, most models simulate neural activity in large populations of neurons lacking realistic biophysical properties, or simulate activity in only a few neurons that possess complex, neurophysiological characteristics. Since in-vivo neural stimulation induces both local and network-wide effects that contribute to its therapeutic outcomes, it is crucial to have a model suited for an extensive network of neurons while maintaining realistic properties. The Virtual Electrode Recording Tool for EXtracellular Potentials (VERTEX) is a MATLAB-based software designed to simulate local field potentials (LFPs) and spike timing in response to electrical stimulation in a large population of neurons within a multi-layer slice of cortex (Tomsett et al 2015; Thornton et al 2019). VERTEX simulates neuron types, compartments, density, and connectivity properties based on empirical research, which lends to realistic neuron properties. Additionally, VERTEX generates network dynamics using imported spike times or using the adaptive exponential integrate and fire (AdEx) model (Brette 2005), which can mimic the firing patterns of many different neuronal cell types. Together these features achieve a balance between complexity and practicality to give rise to realistic spiking patterns and LFP calculations, making VERTEX uniquely suited to efficiently test the effects of electrical stimulation-based approaches in a slice of cortex prior to in-vivo experiments.

However, VERTEX has constraints that hinder its ability to model the wide range of approaches used *in-vivo*. These include a restrictive and cumbersome electrode design process, a suboptimal electrical stimulation waveform, a single stimulation modality, and few stimulation protocols. To overcome these limitations, we developed extensions to VERTEX to broaden its simulation capabilities. We first developed a new script that enables electrical stimulation with biphasic waveforms and facilitates rapid creation and modification of electrode shape, number, and positioning. Next, we created a model to simulate optogenetic stimulation by converting irradiance to input current. This represents a significant advancement since optogenetics has become a highly prevalent

method to deliver targeted stimulation. Finally, we added the capability to deliver three stimulation protocols including paired-pulse stimulation, spatiotemporal patterned stimulation, and closed-loop stimulation. We demonstrate our novel extensions using VERTEX's built-in spiking and LFP recordings. These novel features allow users to test a vast array of stimulation approaches, providing a highly adaptable LFP simulation tool.

2. Methods

For each simulation, we use the 15 neuron-group VERTEX model developed by Tomsett et al (2015), which incorporates the biophysical and connectivity patterns of 15 distinct types of cortical neurons, each characterized by unique features such as compartmental structure, soma location, projecting layer, firing rate, number of synapses and synapse dynamics. Neuronal spiking is driven by synaptic currents as well as stochastic AdEx input currents. The means and standard deviations for the AdEx input currents used in Tomsett et al (2015) result in large gamma oscillations that can mask other evoked potentials. To reduce the model's inherent gamma oscillations to levels low enough to not obscure stimulus-evoked LFPs, we chose to scale the mean and standard deviations of the AdEx input currents by 1.125x and 1.75x. The size of the simulated tissue block is 1.5 x 1.5 x 2.6 mm deep with virtual electrodes for LFP recording sites spaced in a 3 x 3 x 6 grid to capture activity in each cortical layer. VERTEX calculates LFPs by summing the membrane potentials of each compartment, weighted by their distance from the virtual electrodes. The resulting networks contain approximately 224 thousand units and 569 million connections. Simulations were run remotely on the Neuroscience Gateway (Sivagnanam et al 2013) computer cluster or on

a local PC (AMD 7800X3D CPU with 128GB memory) and generally required about 1 hour run time per 1 second of simulated time to complete. A list of added or modified code modules are reported in Supplementary Table 1.

2.1.Electric field stimulation: parametric electrodes and biphasic stimulation

VERTEX has built in support for electric field stimulation with demonstration code for monophasic stimulation through a single pair of differential electrodes positioned horizontally through the model tissue slice. The 3D electrode topology is created in a 3D modeling application and imported into MATLAB. The reliance on an external software requiring multiple cumbersome steps limits rapid modification and

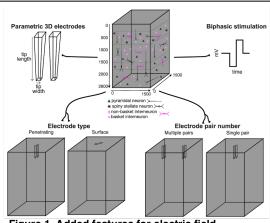


Figure 1. Added features for electric field stimulation. VERTEX defines a tissue volume where a variety of modeled neuron types are placed. We introduce several features to increase flexibility and versatility when defining electrode and stimulation parameters in the tissue volume. Electrode positions, lengths, and widths are parametrically defined using the MATLAB PDE toolbox. The electrode geometry can represent penetrating or surface electrodes in a single pair or multiple pair configuration. Biphasic stimulation is modeled by inverting the electric field halfway through the pulse duration.

parameterization of electrodes. To overcome this limitation, we implemented a new script for electric field stimulation that removes the dependence on an external 3D application. Functions within this script can parametrically create electrode topologies directly in MATLAB, allowing easy modification of the electrode shape, the number of electrode pairs, and the positioning of the electrodes in any orientation within the tissue geometry. We demonstrate the benefit and versatility of this user-friendly feature with single and multiple pairs of tapered tip and surface patch electrodes oriented perpendicular to the ventral surface of the modeled tissue, resembling electrodes in the Utah Array or an Electrocorticography (ECoG) array (Fig 1).

Additionally, in this script we introduce features that significantly expand the range of stimulation options. For example, we added the ability to modify stimulation timing and pulse parameters during an ongoing simulation, a feature particularly beneficial for closed-loop stimulation. Lastly, rather than restricting stimulation to a single pair of differential electrodes, our code accommodates multiple pairs of stimulating electrodes that deliver biphasic, bipolar stimulation. Biphasic stimulation is performed by inverting the electric field halfway through the stimulus duration. While this is constant voltage stimulation, the VERTEX tissue model is purely resistive and the current applied can be estimated from the tissue conductivity, electrode surface area, and the electric field calculated by the Matlab Partial Differential Equation (PDE) Toolbox. These novel features broaden the range of electrode and stimulation settings available, facilitating comprehensive investigations into effective parameters for modulating neural activity.

2.2. Modeling optogenetic stimulation

Optogenetics has become a commonly used technique to rapidly modulate neural activity in neurons expressing exogenous light-sensitive ion channels. By applying light to the targeted region, the light-sensitive ion-channels open and induce a photocurrent in the affected cells. We created a novel script to model optogenetic stimulation using VERTEX's built-in functionality for adding input currents to neuron units. These currents can vary with time and may be turned on and off to model photocurrents. Light-sensitive units are defined in the script, allowing users to specify which cell types or layers to set as light-responsive or expressing the opsin. The light source for optogenetic stimulation is typically a laser which projects light of a specific wavelength through an optical fiber. The laser's radiant power (P in mW) and the fiber's radius (r in mm) control the intensity of the stimulation with the initial irradiance (E₀) at the tissue surface beneath the optic fiber defined by Equation 1.

$$E_0 = \frac{P}{\pi r^2} \tag{1}$$

Irradiance at depth (z) is modeled by fitting both an exponential and geometric decay to data (Yizhar *et al* 2011) for light transmission through unfixed brain tissue where 10% and 1% light transmission contours give the percentage of light remaining at depth and lateral distance. The depth (z) of these contours is measured for both 473 nm and 594

nm light and fit to Equation 2. The ratio (h) of depth to half-width (at half-depth) of the 1% contours are used to calculate a scaled lateral distance (l) to create a 3-dimensional estimate of irradiance at any (x, y, z) coordinate. Parameter fitting values are shown in Table 1 and the irradiance estimate (mW/mm²) is shown in Equation 3. When optical stimulation is initiated, irradiance values for each light source are calculated for each light-sensitive unit at its soma (x, y, z) position.

$$f(z) = \frac{e^{\left(\frac{-z}{\tau}\right)}}{1 + az^2} \tag{2}$$

Parameter	Blue light (473 nm)	Amber light (594 nm)
τ	0.39 mm	0.38 mm
а	92	8.8
h	1.14	1.67

Table 1. Parameters for estimating irradiance at coordinate (x, y, z) given in millimeters.

$$E(x, y, z) = E_0 f(\sqrt{l^2 + z^2}), l = h\sqrt{x^2 + y^2}$$
 (3)

There are several theoretical models for converting irradiance to photocurrents for various opsins. We chose Foutz *et al* (2011) for modeling Channelrhodopsin-2 (ChR2) with 473 nm light, Saran *et al* (2018) for Chronos with 473 nm light, and Gupta *et al* (2019) for vfChrimson with 594 nm light. Peak photocurrent estimates (in picoamps) for irradiance levels Exyz (in mW/mm²) were fit with Equation 4 for ChR2, Equation 5 for vfChrimson, and Equation 6 for Chronos.

$$I_{chr2}(E_{xyz}) = 49.3 E_{xyz}^{0.89}$$
 (4)

$$I_{vfChrimson}(E_{xyz}) = 1279 * (1 - \frac{1}{1 + 1.7E_{xyz}})$$
 (5)

$$I_{chronos}(E_{xyz}) = 2293 * (1 - \frac{1}{1 + 0.73E_{xyz}})$$
 (6)

Photocurrent dynamics are written into a VERTEX input model that handles optogenetic stimulation. This is a step function with exponential on and off dynamics to simulate the rise and fall of an input current to a precalculated value during the application of a light pulse. The time-constants used for the on and off mechanics for ChR2 are $\tau_{on} = 1.5$ ms, $\tau_{off} = 11.6$ ms (Mattis *et al* 2012), for vfChrimson are $\tau_{on} = 1.0$ ms, $\tau_{off} = 2.7$ ms (Gupta *et al* 2019), and for Chronos are $\tau_{on} = 0.65$ ms, $\tau_{off} = 3.6$ ms (Saran *et al* 2018).

2.3. Stimulation paradigms

We created new scripts for three stimulation paradigms: paired-pulse, spatiotemporal patterned, and closed-loop stimulation. Each paradigm can use either electrical or optogenetic stimulation. Paired-pulse and spatiotemporal patterned stimulation both involve delivering stimulation at multiple sites with temporal delays between them. These spatial and temporal properties can induce spike-timing-dependent plasticity (STDP), a biological phenomenon based on spike timing differences between the postsynaptic unit (firing at time t2) and the presynaptic unit (firing at t1) with the spike-timing difference defined as $\Delta t = t2 - t1$. Positive differences strengthen while negative differences weaken connectivity between the pre- and postsynaptic unit. STDP is built into VERTEX synapse models to allow changes in connection strengths between units. In this STDP implementation, each time the pre- or postsynaptic neuron fires, there is an update to synapse connectivity, where two exponential functions (per synapse), each with unique decay times for the pre- and postsynaptic neuron, dictate the degree of synaptic connectivity change.

Although VERTEX demonstrates a form of paired-pulse stimulation with STDP, it currently only supports paired-pulse stimulation using a single pair of electrodes at the same site, whereas paired-pulse stimulation is typically administered at separate sites. Since this paradigm does not represent the typical protocol used *in-vivo*, we created a novel script for paired-pulse stimulation where stimulation is applied at distinct sites. Additionally, we created a new script to deliver spatiotemporal patterned stimulation, where stimulation can be applied to a greater number of sites with varying amplitudes and pulse delays between sites.

The third paradigm we support is closed-loop stimulation, where stimulation is delivered or altered in response to on-going activity. In biophysical experiments, stimulation can be administered in response to behaviors, neural activity such as LFPs or single unit activity, and peripheral activity including signals from electromyography. In VERTEX, closed-loop stimulation is largely limited to recorded LFPs and spike times. We have implemented two forms of closed-loop stimulation, both of which are dependent on LFP measurements. The first is cycle-triggered stimulation where a stimulus pulse is delivered based on the amplitude and phase of the filtered LFP recorded on a single recording electrode. The second closed-loop paradigm is amplitude-adjusted stimulation where the amplitude of stimulation is adjusted to keep the magnitude of an LFP channel within a certain range. Both methods require transferring partial LFP values between the parallel MATLAB processes used to accelerate VERTEX so that each process has a complete copy of the LFP at each recording site.

3. Results

3.1.Optogenetic stimulation

To get an estimate of light penetration through the modeled tissue, we generated contour plots of irradiance at depth and lateral distance for 473 nm and 594 nm light

using a single light source with a radius of 100 μ m (Fig 2A). While 594 nm irradiance fall off is more gradual compared to 473 nm, both contour plots have a dramatic drop off in irradiance in the modeled tissue. The depth of light penetration shown here for 473 nm and 594 nm light is consistent with previous *in-vivo* work (Senova *et al* 2017). Currents induced by a 5ms light pulse with several irradiance values are shown in Fig 2B.

To demonstrate how our extension modeling optogenetic stimulation can be used to compare the effects of different stimulation parameters, we illustrate simulations using various opsins, laser settings, and light-sensitive units in Fig 2C and Fig S1. Each simulation displays the average spiking and LFP response following a 5ms light pulse, averaged across 100 pulses. To calculate tissue maps of spike-rate changes evoked by stimulation, unit spike times were divided spatially into 25 μ m bins based on soma (x, y, z) positions. Baseline spiking rates were calculated for each bin by summing spike counts along either the Y axis (tissue side-view) or Z axis (top-down view) for the 50 ms time-window preceding stimulus onset times. Spike-rate responses were similarly calculated for the 5 ms stimulus duration. Percent increases in spike-rate responses over baseline were plotted on log scales to highlight smaller changes. For each simulation, these maps are shown from top-down and side-view perspectives, along with a stimulus-triggered-average (STA) of the surface LFP (Fig 2C). We quantified the stimulus response strength across simulations using 3 measures - percent spiking increase, LFP peak to peak, and the LFP root mean square (Fig 2D).

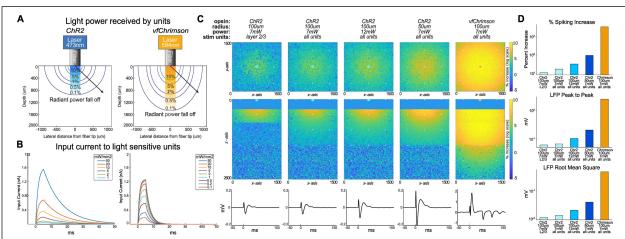


Figure 2. Modeling optical stimulation. A) Blue light (473 nm) and amber light (494 nm) models of light spread through tissue are used respectively for ChR2 and vfChrimson opsin models of photocurrent responses to levels of irradiance. Radiant power falls off due to both light absorption and geometric fall-off with distance. B) Photocurrent rise and decay are modeled as exponential functions and are shown here in response to a 5 ms light pulse for varying irradiance values. C) Stimulus responses under a variety of optogenetic parameters including differing light sensitive units, irradiances, fiber radii, and opsins. The topdown (top row) and side-view (middle row) through the tissue show the percent change in spiking activity on log scales for the 5 ms stimulus duration compared to the 50 ms prior baseline period. The bottom row demonstrates LFPs at the surface-center recording electrode. The left-most column limits light-sensitive units to the units in layer 2/3, whereas other simulations set all units as light-sensitive. The first four columns use the ChR2/473 model, while the last column uses the vfChrimson/594 model. D) Three measures of stimulus response strength shown in 2C: Percent increase in spiking during the stimulus (top), LFP peak to peak (middle), and root mean square (bottom) of the average surface LFP response for 100 ms following the stimulus.

We found that increasing the initial light power or decreasing the light source radius, while maintaining the same light power, both resulted in increased spiking and LFP response. Notably, the largest differences in stimulus response strength were attributed

to the opsin used, with vfChrimson and Chronos producing much larger responses than ChR2. Additionally, in Fig S1 we validated the ability of our model to modulate spiking and LFPs using cell type specific stimulation. When comparing vfChrimson activation in all units, excitatory units only, and inhibitory units only, we observed that excitatory units were primarily driving the maximum LFP response, whereas inhibitory units were regulating post-stimulation oscillations.

3.2. Paired-pulse stimulation with spike-timing-dependent plasticity

In Fig 3, we demonstrate our paired-pulse stimulation paradigm, combined with several of our extensions to electric field stimulation, including biphasic stimulation at multiple electrode pairs with a programmed delay. In this simulation we enabled VERTEX's built in STDP feature that requires using a script where defined STDP parameters govern the temporal dynamics and degree of connectivity change. Based on work shown in Bi and Poo (2001), we set the decay time constants for the exponential curves to 17ms and

34ms for positive and negative Δt , respectively such that small values of Δt give the largest changes and large values of Δt give exponentially smaller changes (Fig 3A). The amplitude for the weakening function was set at 0.53 times that of the curve for the strengthening function to provide slightly more area under the weakening curve. This helps prevent run-away connection strengths from random activity since there is no homeostasis function. The maximum change can be modified but is normally set between 0.001 and 0.005 nanosiemens (nS). Connection magnitudes can be limited and are normally restricted to the range between 0.001 and 4.0 nS.

In Fig 3 we used the original AdEx input-current

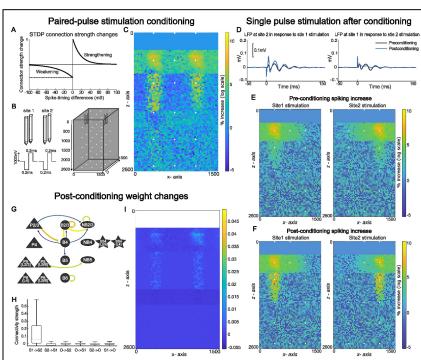


Figure 3. Paired-pulse conditioning. A) Schematic of STDP principle. B) Schematic of paired-pulse electric field stimulation and placement of stimulating (black outline) and recording electrodes (white dots) in the tissue slice. C) Side-view of percent increase in spiking activity in log scale during the 10 ms window after the stimulus onset. D) Stimulus evoked responses of surface LFP at each site for both the preconditioned and post-conditioned network. Increased spiking activity in the 5 ms window after test stimulation for each site in both the E) preconditioned and F) postconditioned networks. G) Neuron groups (arranged vertically by cortical layer) with only the largest mean connection-strength changes shown. Size and color of arrows reflect degree of change with blue to yellow reflecting increasing strength changes. "P" = pyramidal neuron, "B" = basket interneuron, "NB" = non-basket interneuron, "SS" = spiny stellate neuron. Layer abbreviations within parentheses represent the projection layer. H) Mean connection strength from Site1 to Site2 increased while that from Site2 to Site1 decreased. Mean connection strengths involving units outside ("O") of one or both sites remained largely unchanged. I) Side-view of connection strength changes showing that the largest changes occur to units within 100 um radius of the site's (x,y) locations in both layer 2/3 and layer 4.

scalers since plasticity reduces the network's inherent oscillations to levels low enough to not obscure the stimulus-evoked responses. This also allows for larger stimulus responses in deeper layers which results in brief oscillatory activity that dampens out within 100 ms. Network connection strengths were initialized from the results of running a non-stimulating network for 30 seconds with STDP turned on, allowing the paired-pulse conditioning to begin with a more stable distribution of connection strengths and very low LFP oscillations.

Paired-pulse conditioning was simulated using electric field stimulation at two sites separated by 750 μ m in the middle of layer 2/3 (Fig 3B). The electrode tips were modeled after a commonly used microelectrode array with 50 μ m tip lengths and 35 μ m base diameters. The bipolar tips were placed 100 microns apart. 100 paired stimulation events were delivered where stimulation at the second site was delayed 5 ms from the first. 1000 mV biphasic-bipolar stimulation was delivered to each site in brief 0.4 ms pulses (0.2 ms each phase). This produced in an estimated constant current stimulation of 65 μ A at each site since the VERTEX tissue model is purely resistive.

Stimulus times were used to calculate post-stimulus changes in spiking activity and create STAs of resulting LFPs similar to graphs for optical stimulation in Fig 2. To capture effects at both sites in Fig 3C, spike-rate responses were calculated for the 0 - 10 ms window after stimulation at the first site. Network connection strengths were saved before and after paired-pulse conditioning. We compared the response to a single pulse stimulation at Site 1 or Site 2 using the network connection strengths before and after paired-pulse conditioning (Fig 3D-F). After conditioning there was an increased LFP response at Site 2 in response to Site 1 stimulation, and a decreased LFP response at Site 1 in response to Site 2 stimulation (Fig 3D). Some of the LFP changes were due to the increased spiking activity (post-conditioning) in layer 4, which was largely symmetrical for both Site1 and Site2 (Fig 3F). Figure 3G-I shows connection strength changes (post – pre) by unit type, stimulation site, and unit location. Together, these results indicate an increase in connection strength from Site1 to Site2, and a decrease from Site2 to Site1.

3.3. Spatiotemporal patterned stimulation

In Fig 4 we illustrate a simulation using our extensions for spatiotemporal patterned and optogenetic stimulation. Four optogenetic stimulation sites are placed in each of the four surface quadrants of the tissue slice: lower-left, upper-right, lower-right, and upper-left (Fig 4A). These sites were stimulated, in that order, by 5 ms light pulses, each separated by 15 ms between the start of each light pulse. We used the ChR2/473nm model with light sources of 100 μ m radius. The initial light power was 7.2 mW for each

light source and all neuron groups were set as optogenetically responsive (Fig 4B). This train of pulses was repeated every 200 ms for 20 seconds. Stimulus triggered spiking activity and LFP averages were calculated as before. Fig 4A shows the stimulation response centered at each site with refractory responses visible for previous stimulation sites. Spiking activity after the fourth stimulation site is shown from the side-view (Fig 4C) and topdown view for individual layers (Fig 4D). Graphs aggregating activity within individual layers show localized spiking activity during the stimulus to layer 2/3 and 4 with lingering refractory responses from the previous site in layers 4 and 5. The STAs of the LFP show evoked potentials for each light pulse that do not completely decay before the next light pulse is delivered (4E).

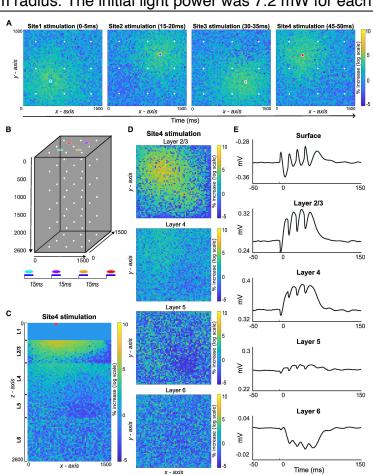


Figure 4. Spatiotemporal patterned stimulation. A) Top-down view of increased spiking activity on log scales in response to four consecutive optical pulses delivered at 15 ms intervals to different sites in the tissue slice. B) Placement of each of the light sources (colored dots) and recording electrodes (white dots) in the tissue slice. Timing of stimulation for each light source shown on bottom. Dark blue bars indicate 5ms light pulse durations. C) Side-view of increased spiking activity from the fourth stimulus site. D) Top-down view for cortical layers 2/3 through 6 of spiking activity aggregated by layer after stimulation at the fourth site. E) LFP averages for the center column of recording electrodes aligned at the first pulse.

3.4. Cycle-triggered closed-loop stimulation

Figure 5 shows our cycle-triggered closed-loop stimulation using our modifications to electric field stimulation at a single pair of differential electrodes in layer 2/3 (Fig 5A). To remove baseline signal-shift and reduce high-frequency noise, a 20-30Hz band-pass filter was applied to the surface recording electrode located above the stimulating electrode. Similar to the paired-pulse conditioning in Fig 3, 1000 mV biphasic-bipolar stimulation was delivered in brief 0.4 ms pulses. Stimulation was triggered by a rising filtered LFP with a magnitude threshold of 5 μ V and a refractory period of 100ms (Fig

5B). The pre-stimulus oscillation appears in the LFP STA with the average evoked LFP response (Fig 5C). The simulation ran for 30 seconds, with stimulation applied only between 5-25 seconds of simulation time. Within these 20 seconds, the filtered LFP met criteria to trigger stimulation 47 times. The location of increased post-stimulus spiking-activity is centered on the stimulation site with activity spreading primarily through layer 2/3 (Fig 5D).

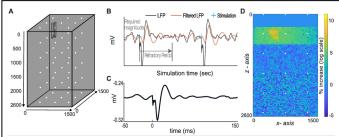


Figure 5. Closed-loop stimulation. A) Placement of stimulating (back outline) and recording electrodes (white dots) in the tissue slice. B) Schematic of stimulation triggered by rising LFP. C) STA of the unfiltered LFP. D) Side-view of the change in spiking activity (0-10 ms post-stimulus) in log scale after stimulation.

4. Discussion

We present novel extensions for VERTEX that enhance the software's ability to model a diverse range of *in-vivo* stimulation approaches. First, we introduce a script that adds several new features for electric field stimulation, including the ability to parametrically create 3D electrodes using built-in MATLAB functions. This eliminates the need for external 3D modeling software and allows users to create and position different electrode shapes, such as patches on the cortical surface or tapered electrodes penetrating the tissue. Additionally, we implemented features that enable biphasic stimulation with complex temporal patterns. These added functionalities enable users to easily test various electrode types and stimulation settings to identify the approaches that produce results most similar to their targeted outcomes.

Another key feature we implemented is the ability to model optogenetic stimulation. Over the past twenty years, optogenetics has become a widely adopted neuroscience technique used to stimulate neural activity with spatial and temporal precision (Deisseroth 2015). While optogenetics is primarily used in preclinical research, experimentalists are beginning to adapt optogenetics for clinical trials (Gao *et al* 2023). Our extension offers extensive parametrization, developed specifically to mimic the technical choices available to experimentalists. For example, we model optogenetic stimulation for three popular opsins - Channelrhodopsin2, vfChrimson, and Chronos - each having their own advantages and limitations. For instance, longer wavelengths of light, such as 594 nm used for vfChrimson activation, can penetrate the brain deeper

than 473 nm used for ChR2 activation. Thus, depending on the desired depth of stimulation, kinetics of each opsin, and available resources, users can select the opsin that best meets their needs. Another method commonly employed *in-vivo* is to select an opsin with a promoter that targets specific cell types. We support this technical approach by allowing specification of which unit groups are light-responsive, thereby enabling stimulation of specific cell types or layers.

Finally, we developed open and closed-loop stimulation protocols that enable users to model stimulation with versatile temporal and spatial properties. Each protocol can be used with electric field stimulation or optogenetic stimulation. Additionally, though we only demonstrate STDP with paired-pulse stimulation, STDP can be enabled for each protocol. Simulations with STPD take much longer to run due to the extra overhead and calculations (e.g. paired-pulse stimulation with STDP takes 3 times longer to run than paired-pulse stimulation without STDP enabled), but they can provide information on how connection strengths could change under specific stimulation interventions. For instance, compared to pre-conditioning, after paired-pulse conditioning, we found that stimulation delivered at Site1 resulted in larger LFP responses at Site2 (Fig 3). These results are congruent with *in-vivo* work showing that paired-pulse stimulation can strengthen connectivity between stimulation sites (Yazdan-Shahmorad et al 2018; Seeman et al 2017). Similar to paired-pulse conditioning, spatiotemporal patterned stimulation can be used to apply stimulation across many sites with differing delays and amplitudes between sites. This type of patterned stimulation might be particularly advantageous for treating neuropathologies, such as stroke and Alzheimer's, that result in aberrant network activity across multiple nodes. (Asp et al 2023; lp et al 2021; Khateeb et al 2019; Khateeb et al 2022; Sato et al 2022; Wang et al 2013; Zhou et al 2022; Zhou et al 2023).

While paired-pulse and spatiotemporal stimulation are open-loop approaches, it is thought that a significant factor contributing to the inconsistent effects of neural stimulation is the variable brain states in which the stimulation is delivered (Bloch *et al* 2019; Bloch *et al* 2022; Zrenner and Ziemann 2024). Advancements in technology for rapidly processing ongoing neural activity have made it possible to deliver closed-loop stimulation during specific neural states. Providing support for cycle-triggered stimulation was motivated by several studies which found that delivering stimulation during a specific LFP phase resulted in larger stimulation evoked responses (Zanos *et al* 2018; Zrenner and Ziemann 2024; Zrenner *et al* 2018, Wischnewski *et al* 2022).

We chose to implement these features within the existing VERTEX software because unlike many other computational models that simulate spiking activity, LFPs, and synaptic plasticity in neurons, VERTEX uniquely does so in a large population of neurons using realistic biophysical properties. LFPy and The NEURON simulator are python-based models that predict spiking activity in highly realistic neuron models with more compartments and complex branching than VERTEX (Hines and Carnevale 1997; Lindén *et al* 2010). However, both are designed to simulate activity in a single neuron or a very small collection of neurons. In contrast, The Brian simulator uses point neurons but can simulate activity in a large population of neurons and has support for synaptic

plasticity including STDP (Goodman and Brette 2009). Similarly, the integrate-and-fire model by Shupe and Fetz (2021) simulates point neuron without physical properties in several hundred neurons. It also incorporates STDP and various open- and closed-loop stimulation protocols. Despite advantageous features in other models, VERTEX's use of realistic neuron morphologies and connectivity, where dendritic and synaptic activity contribute to LFP, generates more realistic LFPs. This is particularly important as it allows users to explore the relationship between spikes and LFPs, an area with limited *in-vivo* research (Yazdan-Shahmorad *et al* 2011; Yazdan-Shahmorad *et al* 2013). By deepening our understanding of the correlations between spiking and LFPs, experimentalists could make greater use of LFP signals, which are obtained through less invasive methods.

5. Conclusions

In sum, our extensions to VERTEX provide a highly adaptable, comprehensive, and realistic platform for users to test and predict the effects of diverse neural stimulation methods on spiking activity and local field potentials. We anticipate that these extensions will be highly valuable in the fields of systems neuroscience and therapeutic neural interfaces. These new features enable the exploration of numerous important questions, such as comparing the effects of optogenetic stimulation to electrical stimulation. At an individual level, for experimentalists, we hope these tools will serve as a preliminary means to predict local and network-level effects of modern stimulation methods before conducting *in-vivo* experiments. Doing so will reduce the number of extraneous hypotheses tested *in-vivo*, thereby saving costs, time, and reducing the use of animals.

While our novel extensions provide comprehensive features to VERTEX, there is potential for further expansion and improvement of these simulations. In particular, our optogenetic stimulation model is based on several theoretical frameworks. More *in-vivo* research could refine these models to more accurately represent light spread through brain tissue, better account for light source parameters such as the optical fiber's numerical aperture, and improve photocurrent dynamics for more realistic onset mechanics and longer duration light pulses to accommodate both peak and plateau currents. Additionally, incorporating modeling of optogenetic inhibition could be valuable for investigating treatments for neurological disorders where dampening neural activity is beneficial.

Acknowledgments

This work was funded by NIH R01NS119593, NSF 2223495, and the American Heart Association. We thank Riya Jain and Julien Bloch for their contributions to coding and parameterization.

Code availability

All software needed to run model simulations and figure generation can be found at this code repository: https://github.com/lshupe/Vertex2_YL

Conflict of interest

The authors declare no conflict of interest.

References

Asp, A.J., Chintaluru, Y., Hillan, S., & Lujan, J.L. (2023). Targeted neuroplasticity in spatiotemporally patterned invasive neuromodulation therapies for improving clinical outcomes. *Front Neuroinform*, 17:1150157. doi: 10.3389/fninf.2023.1150157.

Bi, G. & Poo, M. (2001). Synaptic modification by correlated activity: Hebb's postulate revisited. *Annu Rev Neurosci*, 24:139–166. doi:10.1146/annurev.neuro.24.1.139.

Bloch, J.A., Khateeb, K., Silversmith, D.B., O'Doherty, J.E., P. N. Sabes, P.N., & Yazdan-Shahmorad, A. (2019). Cortical Stimulation Induces Network-Wide Coherence Change In Non-Human Primate Somatosensory Cortex. *EMBC*, 6446-6449. doi: 10.1109/EMBC.2019.8856633.

Bloch, J., Greaves-Tunnell, A., Shea-Brown, E., Harchaoui, Z., Shojaie, A., & Yazdan-Shahmorad, A. (2022). Network structure mediates functional reorganization induced by optogenetic stimulation of non-human primate sensorimotor cortex. *iScience* 25, 104285. https://doi.org/10.1016/J.ISCI. 2022.104285.

Brette, R. and Gerstner, W. (2005). Adaptive Exponential Integrate-and-Fire Model as an Effective Description of Neuronal Activity. *J Neurophysiol* 94(5):3637-3642. doi:10.1152/jn.00686.2005.

Deisseroth, K. (2015). Optogenetics: 10 years of microbial opsins in neuroscience. *Nat Neurosci*, 18,1213–1225. https://doi.org/10.1038/nn.4091.

Foutz, T.J., Arlow, R.L., & McIntyre, C.C. (2012). Theoretical principles underlying optical stimulation of a channelrhodopsin-2 positive pyramidal neuron. *J Neurophysiol*, 107(12):3235-45. doi: 10.1152/jn.00501.2011.

Gao, T.T., Oh, T.J., Mehta, K., Huang, Y.A., Camp, T., Fan, H., Han, J.W., Barnes, C.M., & Zhang, K. (2023). The clinical potential of optogenetic interrogation of pathogenesis. *Clin Transl Med*, 13(5):e1243. doi: 10.1002/ctm2.1243.

Gupta, N., Bansal, H., & Roy, S. (2019). Theoretical optimization of high-frequency optogenetic spiking of red-shifted very fast-Chrimson-expressing neurons. *Neurophotonics*, 6(2):025002. doi: 10.1117/1.NPh.6.2.025002.

Kakusa, Bina., Saluja, S., Tate, W.J., Espil, F.M., Halpern, C.H., & Williams, N.R. (2019). Robust clinical benefit of multi-target deep brain stimulation for treatment of Gilles de la Tourette syndromand its comorbidities. *Brain Stimulation*, *12*(*3*):816-818. https://doi.org/10.1016/j.brs.2019.02.026.

Khateeb, K., Yao, Z., Kharazia, V.N., Burunova, E.P., Song, S., Wang, R., & Yazdan-Shahmorad, A. (2019). A Practical Method for Creating Targeted Focal Ischemic Stroke in the Cortex of Nonhuman Primates. *EMBC*, 3515-3518. doi: 10.1109/EMBC.2019.8857741.

Khateeb, K., Bloch, J., Zhou, J., Rahimi, M., Griggs, DJ., Kharazia, VN., Le, M.N., Wang, R.K., & Yazdan-Shahmorad, A. (2022). A versatile toolbox for studying cortical physiology in primates. *Cell Rep Methods*, 2(3):100183. doi: 10.1016/j.crmeth.2022.100183.

Mattis, J., Tye, K., Ferenczi, E., Ramakrishnam, C., O'Shea, D.J., Prakash, R., Gunaydin, L.A., Hyun, M., Fenno, L.E., Gradinaru, V., Yizhar, O., & Deisseroth, K. (2012). Principles for applying optogenetic tools derived from direct comparative analysis of microbial opsins. *Nat Methods*, 9,159–172. https://doi.org/10.1038/nmeth.1808.

Saran, S., Gupta, N., & Roy, S. (2018). Theoretical analysis of low-power fast optogenetic control of firing of Chronos-expressing neurons. *Neurophotonics*, 5(2), 025009. doi: 10.1117/1.NPh.5.2.025009.

Seeman, S.C., Mogen, B.J., Fetz, E.E., & Perlmutter, S.I. (2017). Paired Stimulation for Spike-Timing-Dependent Plasticity in Primate Sensorimotor Cortex. *J Neurosci*, 37(7):1935-1949. doi: 10.1523/JNEUROSCI.2046-16.2017.

Senova, S., Scisniak, I., Chiang, C.C., Doignon, I., Palfi, S., Chaillet, A., Martin, C., & Pain, F. (2017). Experimental assessment of the safety and potential efficacy of high irradiance photostimulation of brain tissues. *Sci Rep*, 7, 43997. https://doi.org/10.1038/srep43997.

Shupe, L & Fetz, E.E. (2021). An integrate-and-fire spiking neural network model simulating artificially induced cortical plasticity. *ENeuro*, 0333-20.

Sivagnanam, S., Majumdar, A., Yoshimoto, K., Astakhov, V., Bandrowski, A., Martone, M.E. & Carnevale, N.T. (2013). Introducing the Neuroscience Gateway. IWSG 993.

The MathWorks Inc. (2022). MATLAB version: 9.13.0 (R2022b), Natick, Massachusetts: The MathWorks Inc. https://www.mathworks.com.

Thornton, C., Hutchings, F., & Kaiser, M. (2019). The Virtual Electrode Recording Tool for EXtracellular Potentials (VERTEX) Version 2.0: Modelling in vitro electrical

stimulation of brain tissue. [version 1; peer review: 2 approved] *Wellcome Open Research*, 4:20. https://doi.org/10.12688/wellcomeopenres.15058.1.

Tomsett, R.J., Ainsworth, M., Thiele, A., Sanayei, M., Chen, X., Gieselmann, M.A., Whittington, M.A., Cunningham, M.O., & Kaiser, M. (2015). Virtual Electrode Recording Tool for EXtracellular potentials (VERTEX): comparing multi-electrode recordings from simulated and biological mammalian cortical tissue. *Brain Struct Funct*, 220, 2333–2353. https://doi.org/10.1007/s00429-014-0793-x.

Wischnewski, M., Haigh, Z.J., Shirinpour, S., Alekseichuk, I., & Opitz, A. (2022). The phase of sensorimotor mu and beta oscillations has the opposite effect on corticospinal excitability. *Brain Stimulation*, 15(5):1093-1100. https://doi.org/10.1016/j.brs.2022.08.005.

Yazdan-Shahmorad, A., Kipke, D.R., & Lehmkuhle, M.J. (2011). Polarity of cortical electrical stimulation differentially affects neuronal activity of deep and superficial layers of rat motor cortex. *Brain Stimul*, 4(4):228-41. doi: 10.1016/j.brs.2010.11.004.

Yazdan-Shahmorad, A., Kipke, D.R., & Lehmkuhle, M.J. (2013). High γ power in ECoG reflects cortical electrical stimulation effects on unit activity in layers V/VI. *Journal of neural engineering*, *10*(6), 066002. https://doi.org/10.1088/1741-2560/10/6/066002.

Yazdan-Shahmorad, A., Silversmith, D.B., Kharazia, V., & Sabes, P.N. (2018). Targeted cortical reorganization using optogenetics in non-human primates. *eLife*, 7:e31034. https://doi.org/10.7554/eLife.31034.

Yizhar, O., Fenno, L.E., Davidson, T.J., Mogri, M., & Deisseroth, K. (2011). Optogenetics in neural systems. *Neuron*, 71(1):9-34. doi: 10.1016/j.neuron.2011.06.004.

Zanos S., Rembado, I., Chen, D., & Fetz, E.E. (2018). Phase-locked stimulation during cortical beta oscillations produces bidirectional synaptic plasticity in awake monkeys. *Current Biology*, 28, 2515–2526.

Zhou, J., Khateeb, K., Gala, A., Rahimi, M., Griggs, D.J., Ip, Z., & Yazdan-Shahmorad, A. (2022). Neuroprotective effects of electrical stimulation following ischemic stroke in non-human primates. *IEEE*, 3085-3088. doi: 10.1109/EMBC48229.2022.9871335.

Zhou, J., Khateeb, K., & Yazdan-Shahmorad, A. (2023). Early Intervention with Electrical Stimulation Reduces Neural Damage After Stroke in Non-human Primates. *bioRxiv: the preprint server for biology*,12.18.572235. https://doi.org/10.1101/2023.12.18.572235.

Zrenner, C., Desideri, D., Belardinelli, P., & Ziemann, U. (2018). Real-time EEG-defined excitability states determine efficacy of TMS-induces plasticity in human motor cortex. *Brain Stimulation*, 11(2):374-389. https://doi.org/10.1016/j.brs.2017.11.016.

Zrenner, C. & Ziemann, U. (2024). Closed-loop brain Stimulation. *Biological Psychiatry*, 95(6):545-552. https://doi.org/10.1016/j.biopsych.2023.09.014.