



Deep learning network based on high-resolution magnetic resonance vessel wall imaging combined with attention mechanism for predicting stroke recurrence in patients with symptomatic intracranial atherosclerosis

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Background: High-resolution magnetic resonance vessel wall imaging (HR-VWI) offers enhanced visualization of vascular structures, thereby facilitating the deep learning (DL) network's acquisition of more extensive and detailed image information. This study aimed to develop a high-precision integrated model leveraging DL with an attention mechanism based on HR-VWI for predicting recurrent stroke in patients with symptomatic intracranial atherosclerotic stenosis (sICAS).

Methods: A retrospective study was conducted involving 363 sICAS patients who underwent HR-VWI, with data divided into a training set (n=254) from Center 1 (The First Affiliated Hospital of Xinxiang Medical University) and a test set (n=109) from Center 2 (The Sixth People's Hospital of Shanghai Jiao Tong University). Two convolutional neural network (CNN) models, ResNet50 and DenseNet169, were employed as feature extractors to capture image information from culprit plaques in HR-VWI. Integrating the Transformer attention mechanism, an advanced ensemble model, Trans-CNN, was constructed to predict stroke recurrence in sICAS patients. Model performance was evaluated using receiver operating characteristic (ROC) curves, with DeLong's test for comparing models. Additionally, decision curve analysis (DCA) and calibration curves were utilized to assess the model's practical and clinical value.

Results: Trans-CNN demonstrated superior predictive performance, outperforming other models in both the training and test sets. Specifically, in the training set, Trans-CNN achieved an area under the curve (AUC) of 0.951 [95% confidence interval (CI): 0.923–0.974], accuracy of 0.880 (95% CI: 0.797–0.937), sensitivity of 0.900 (95% CI: 0.836–1.000), and specificity of 0.882 (95% CI: 0.757–0.948). Similarly, in the test set,

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it achieved an AUC of 0.912 (95% CI: 0.839–0.969), accuracy of 0.858 (95% CI: 0.743–0.936), sensitivity of 0.880 (95% CI: 0.693–1.000), and specificity of 0.810 (95% CI: 0.690–0.976). The AUC improvement of Trans-CNN over all other models was statistically significant (DeLong's test, $P < 0.05$). Calibration curve analysis revealed good agreement between predicted probabilities and observed outcomes in both sets. DCA further underscored the potential value of Trans-CNN in guiding clinical decision-making.

Conclusions: The integrated model combining DL with an attention mechanism based on HR-VWI exhibits excellent performance in assessing the risk of stroke recurrence in sICAS patients. This advancement holds significant potential in assisting clinicians in diagnosis and developing individualized treatment strategies.

Keywords: Stroke recurrence; deep learning (DL); attention mechanism; high-resolution vessel wall imaging

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Introduction

Stroke, a disease with high morbidity and mortality, poses a growing global healthcare burden due to aging populations (1). Intracranial atherosclerotic stenosis (ICAS) is prevalent worldwide, particularly in Asia, where it significantly elevates stroke recurrence risk (2). In Western countries, ICAS accounts for 10–15% of strokes, compared to 46.6% in Asia, highlighting regional differences. Therapeutic options for ICAS are limited, with extracranial-intracranial bypass surgery and intra-arterial stenting failing to achieve optimal results (3). Early and precise identification of high-risk ICAS patients, followed by timely pharmacological interventions, is crucial. Risk-stratified preventive treatment has reduced stroke recurrence over decades (4). Strengthening clinical research and optimizing patient management are essential for stroke prevention and outcome improvement (5).

Advancements in medical imaging, such as cranial computed tomography (CT), magnetic resonance imaging (MRI), magnetic resonance angiography (MRA), and computed tomography angiography (CTA), have improved stroke diagnosis by depicting lesions and assessing vessel localization and collateral circulation. Perfusion imaging techniques such as computed tomography perfusion (CTP) and perfusion-weighted imaging (PWI), enhance diagnostic efficiency by evaluating infarct cores and ischemic penumbra (6). However, current technologies have limitations in assessing critical plaques. High-resolution magnetic resonance vessel wall imaging (HR-VWI) has emerged as a vital tool for symptomatic ICAS,

offering clear visualization of vessel walls and plaque characteristics, which are linked to stroke progression and prognosis (7,8). HR-VWI enables accurate identification of vulnerable plaques and high-risk patients, facilitating tailored treatment plans and improved outcomes (9,10).

The rapid development of artificial intelligence (AI), particularly deep learning (DL), brings new opportunities to medicine. DL automates feature extraction and pattern recognition from complex medical data through multi-layered neural networks (11). Among the various DL architectures, the Transformer model, with its self-attention mechanism, has emerged as a powerful tool for capturing long-range dependencies and global contextual information in data. This self-attention mechanism allows the model to dynamically adjust its focus, enhancing its ability to process large-scale images and integrate global information, which is particularly advantageous in medical imaging tasks. AI-assisted diagnostic systems based on DL are crucial, alleviating physician workload, enhancing diagnostic and treatment efficiency, and providing patients with more precise therapeutic strategies. Therefore, the present study aimed to leverage HR-VWI images in conjunction with DL, incorporating an attention mechanism to develop a classification network for predicting stroke recurrence in patients with symptomatic intracranial atherosclerotic stenosis (sICAS). Furthermore, the performance of this approach was evaluated by comparing it with traditional radiomics and plaque models. We present this article in accordance with the TRIPOD + AI reporting checklist (available at <https://qims.amegroups.com/article/view/10.21037/qims-24-1723/rc>).

Methods

Eligibility criteria and patients

A retrospective cohort of 363 patients from two centers was recruited, with 254 patients from Center 1 (The First Affiliated Hospital of Xinxiang Medical University), serving as the training set, and 109 patients from Center 2 (The Sixth People's Hospital of Shanghai Jiao Tong University), constituting the test set. This study was conducted in accordance with the Declaration of Helsinki (as revised in 2013). This study was approved by the Ethics Committees of The First Affiliated Hospital of Xinxiang Medical University (No. EC-022-142) and The Sixth People's Hospital of Shanghai Jiao Tong University (No. 2023-217). The requirement for individual consent was waived due to the retrospective nature of the analysis.

This retrospective study enrolled sICAS patients from two hospitals between January 2020 and December 2022 who underwent HR-VWI examination within one week after diagnosis. The inclusion criteria were as follows: (I) sICAS patients: defined according to the American Stroke Association guidelines as ICAS (12), with a stenosis rate of 50–99% that resulted in transient ischemic attack (TIA) or ischemic stroke. (II) HR-VWI examination performed within one week of sICAS diagnosis. (III) Age >18 years. (IV) Receiving conventional medical therapy without endovascular intervention. The exclusion criteria were as follows: (I) carotid stenosis >50% on imaging. (II) Other vascular diseases such as dissection or vasculitis. (III) Evidence of cardiac embolism: high-risk cardioembolic sources identified by transthoracic echocardiography (TTE) and/or transesophageal echocardiography (TEE), including plaques in the ascending aorta and aortic arch (thickness ≥ 4 mm), or the presence of right-to-left shunt detected by TEE contrast echocardiography, TTE contrast echocardiography, or contrast-enhanced transcranial Doppler ultrasound. (IV) Due to the small lesion volume of perforating artery and the poor consistency in regions of interest (ROIs) delineation, patients with perforating artery stenosis were excluded. (V) Poor image quality that impairs plaque evaluation or MRI contraindications. (VI) Missing follow-up information. Please refer to the [Appendix 1](#) for specific inclusion and exclusion criteria.

Stroke recurrence was diagnosed by two neurologists with over 10 years of clinical experience. This study employed face-to-face follow-up (for stroke recurrence) and telephone follow-up (for non-recurrence), with a follow-up duration ranging from 18 to 24 months and a median

follow-up time of 20 months. The diagnostic criteria for stroke recurrence included (13): (I) sudden onset of new focal neurological dysfunction lasting for more than 24 hours. (II) Focal neurological dysfunction lasting for less than 24 hours but confirmed as acute cerebral infarction by imaging. (III) Sudden neurological deterioration with an increase of ≥ 4 points on the National Institutes of Health Stroke Scale (NIHSS) score (14). The typical MRI images of recurrent and non-recurrent patients are shown in *Figure 1*.

HR-VWI imaging method and image preprocessing

The study encompassed two centers, and all patients undergoing HR-VWI were scanned using either a Philips Ingenia Elition 3.0T (Philips Healthcare, Amsterdam, The Netherlands) or a Siemens MAGNETOM Vida MRI machine (Siemens, Erlangen, Germany). Detailed machine parameters are provided in the [Tables S1,S2](#). To account for the impact of different devices, the collected images underwent the following standardized processing: (I) N4 bias field correction using the “SimpleITK” software package was applied to all images. (II) To achieve isotropic voxels, all images were resampled to a uniform voxel size of $0.75 \times 0.75 \times 0.75$ mm³ using cubic b-spline interpolation. (III) To reduce inter-scanner differences in image acquisition, images were normalized to a final gray-scale intensity range of 0 to 600.

Definition and selection of culprit plaque

The construction of all radiomics and DL models is based on the specific “culprit plaque” identified for each patient enrolled in the study. The “culprit plaque” is rigorously defined as the atherosclerotic plaque located within the responsible vessel that directly corresponds to the neurological deficit symptoms, and is ipsilateral to the newly developed stroke lesion clearly visible on diffusion-weighted imaging (DWI) (15). In cases where multiple plaques are detected within the same vascular territory, the lesion causing the most significant stenosis, based on its impact on lumen narrowing, is selected for analysis.

Construction of radiomics model and plaque model

- ❖ ROI delineation: the ROI is outlined based on the T1-weighted enhanced sequence of HR-VWI, as this sequence enhances the contrast between the culprit plaque and surrounding normal vascular

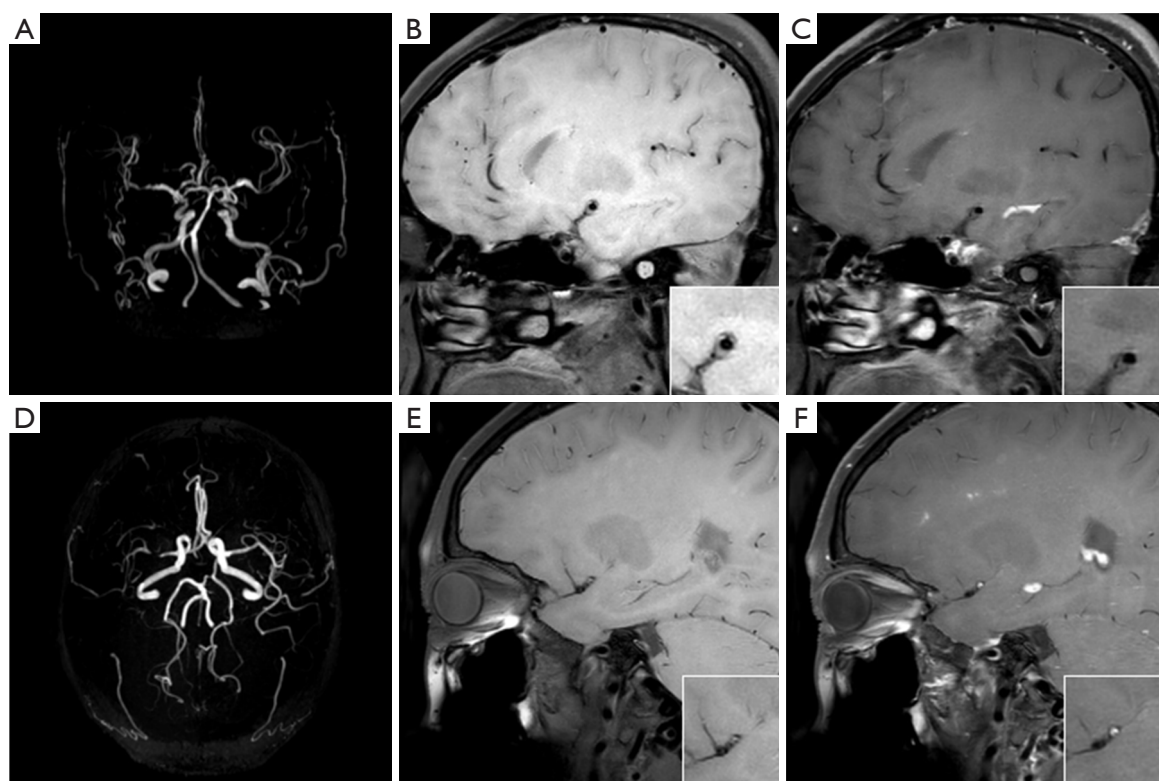


Figure 1 Typical imaging examples of non-recurrent and recurrent stroke patients. (A-C) Pertain to a 59-year-old male patient. (A) Demonstrates moderate stenosis of the M1 segment of the left middle cerebral artery on MRA sequences. (B) Shows a smooth plaque surface on T1 VISTA sequences, and (C) indicates no significant enhancement of the plaque on T1 VISTA C+ sequences, collectively suggesting a stable plaque. Follow-up observations revealed no recurrence of stroke in this patient. (D-F) Depict a 66-year-old male patient. (D) Illustrates severe stenosis of the M1 segment of the left middle cerebral artery on MRA sequences. (E) Displays an HR-VWI image of the plaque within T1 VISTA, revealing an irregular plaque surface and a large lipid core. (F) Shows significant plaque enhancement on T1 VISTA C+ sequences, indicating extensive neovascular infiltration, which is characteristic of an unstable plaque. Follow-up observations indicated that this patient experienced an ischemic stroke event 12 months later. HR-VWI, high-resolution magnetic resonance vessel wall imaging; MRA, magnetic resonance angiography; VISTA, Volume Isotropic Turbo Spin Echo Acquisition.

tissue, significantly facilitating the accuracy of three-dimensional (3D) volumes of interest (3D-VOIs) segmentation. The plaque boundaries are meticulously traced slice-by-slice, strictly excluding interference from normal vascular tissue to ensure the purity of the analysis region. This task is independently performed by two senior neuroradiology experts and reviewed by a physician with 10 years of experience in image diagnosis, utilizing ITK-SNAP 3.6.0 software (<http://www.itksnap.org/pmwiki/pmwiki.php>) to ensure consistency and accuracy.

- ❖ Radiomics feature extraction: utilizing the PyRadiomics 3.0.1 package (<https://pypi.org/project/pyradiomics/>) within the Python 3.6.7 environment (<https://www.python.org/downloads/>), a comprehensive set of radiomics

features, including first-order statistics and various high-order texture features, are systematically extracted from the imaging data. These features encompass gray level size zone matrix (GLSZM), gray level co-occurrence matrix (GLCM), neighborhood gray tone difference matrix (NGTDM), gray level dependence matrix (GLDM), and gray level run length matrix (GLRLM).

- ❖ Radiomics feature selection and model construction: initially, all extracted features undergo z-score normalization to eliminate dimensional differences. Then, a feature selection process is implemented: (I) using the Mann-Whitney *U* test, statistically significant features ($P < 0.05$) are identified, excluding irrelevant or redundant information. (II) The Pearson correlation coefficient is calculated to remove highly

correlated features ($r > 0.95$), reducing multicollinearity among features. (III) Least absolute shrinkage and selection operator (LASSO) combined with 10-fold cross-validation is applied to further optimize the feature set, ultimately determining the critical subset of features for model construction. This process ensures the predictive power, stability, and generalization ability of the model. Subsequently, we incorporated the selected features into the logistic regression machine learning model and evaluated the model performance on the training and test sets separately.

- ❖ **Plaque model construction:** in this study, a predictive model named “Plaque Model” is constructed, which integrates a series of qualitative and quantitative feature parameters within the culprit plaque that are closely related to stroke prognosis. These core parameters include the presence of intraplaque hemorrhage (IPH), enhancement grade, stenosis rate, normalized wall index (NWI), positive remodeling, and maximum wall thickness (MWT). To ensure assessment accuracy and reliability, two experienced senior neuroradiologists independently and meticulously evaluated and precisely calculated these plaque parameters following a standardized operational protocol (detailed in the [Appendix 1](#)). For quantitative data, the average of the two physicians’ results was adopted as the final data to minimize individual bias. For qualitative assessments, if there was a disagreement, both physicians were required to jointly review the imaging data and reach a consensus through thorough discussion, thereby ensuring the objectivity and consistency of the assessment results.

Network details

We devised an advanced architecture grounded on convolutional neural networks (CNNs), specifically utilizing ResNet50 and DenseNet169 as two distinct feature extraction networks, both trained on enhanced T1-weighted images from HR-VWI, which were independently trained in Center 1 (training set) and subsequently validated in Center 2 (test set). We visualized the last convolutional layer of two DL networks using Gradient weighted Class Activation Mapping (Grad-CAM), and found that the attention of the convolutional network was still somewhat insufficient ([Figure S1](#)). To further bolster the predictive prowess of our model, we integrated

the attention mechanism from Transformers, enabling a profound fusion of the output features from both CNN models. We refer to this advanced integrated model as Transformer-convolutional neural network (Trans-CNN). This fusion aimed at precisely forecasting the risk of stroke recurrence in patients with sICAS (16). *Figure 2* illustrates the schematic diagram of this hybrid network architecture. In the HR-VWI image processing stage, ResNet50 and DenseNet169 were carefully tuned into efficient feature encoders, removing their end classification structures and extracting rich and representative deep features in the final global average pooling layer. Subsequently, in order to reduce redundant information and optimize computational efficiency in the future, we will integrate principal component analysis (PCA) behind the global average pooling layer to output compressed important features. During the HR-VWI image processing phase, ResNet50 and DenseNet169 were meticulously adapted as efficient feature encoders by removing their top-level classification structures and retaining only the intermediate layers for feature representation, thereby extracting rich and representative deep features. The reduced features were concatenated along the channel dimension, forming a feature matrix encompassing multivariate information. To further enhance the efficacy of feature representation, we introduced a Transformer-based attention mechanism, as shown in *Figure 2D*. At its core, this mechanism divides the feature matrix into several sub-blocks and employs a multi-head self-attention mechanism to deeply interact and integrate information within these sub-blocks, yielding contextually enriched augmented feature maps. Then, the processed feature map was input into the classifier to output the model’s judgment results. The processed feature maps were then fed into a classifier. *Figure 2B,2C* depict the structural details of these two CNN models. Subsequently, PCA was applied to the features extracted from both CNN models for dimensionality reduction, mitigating redundant information and optimizing computational efficiency. The reduced features were concatenated along the channel dimension, forming a feature matrix encompassing multivariate information. To further enhance the efficacy of feature representation, we introduced a Transformer-based attention mechanism, as shown in *Figure 2D*. At its core, this mechanism divides the feature matrix into several sub-blocks and employs a multi-head self-attention mechanism to deeply interact and integrate information within these sub-blocks, yielding contextually enriched augmented feature maps. The processed feature maps were then fed into a classifier. Specifically, following

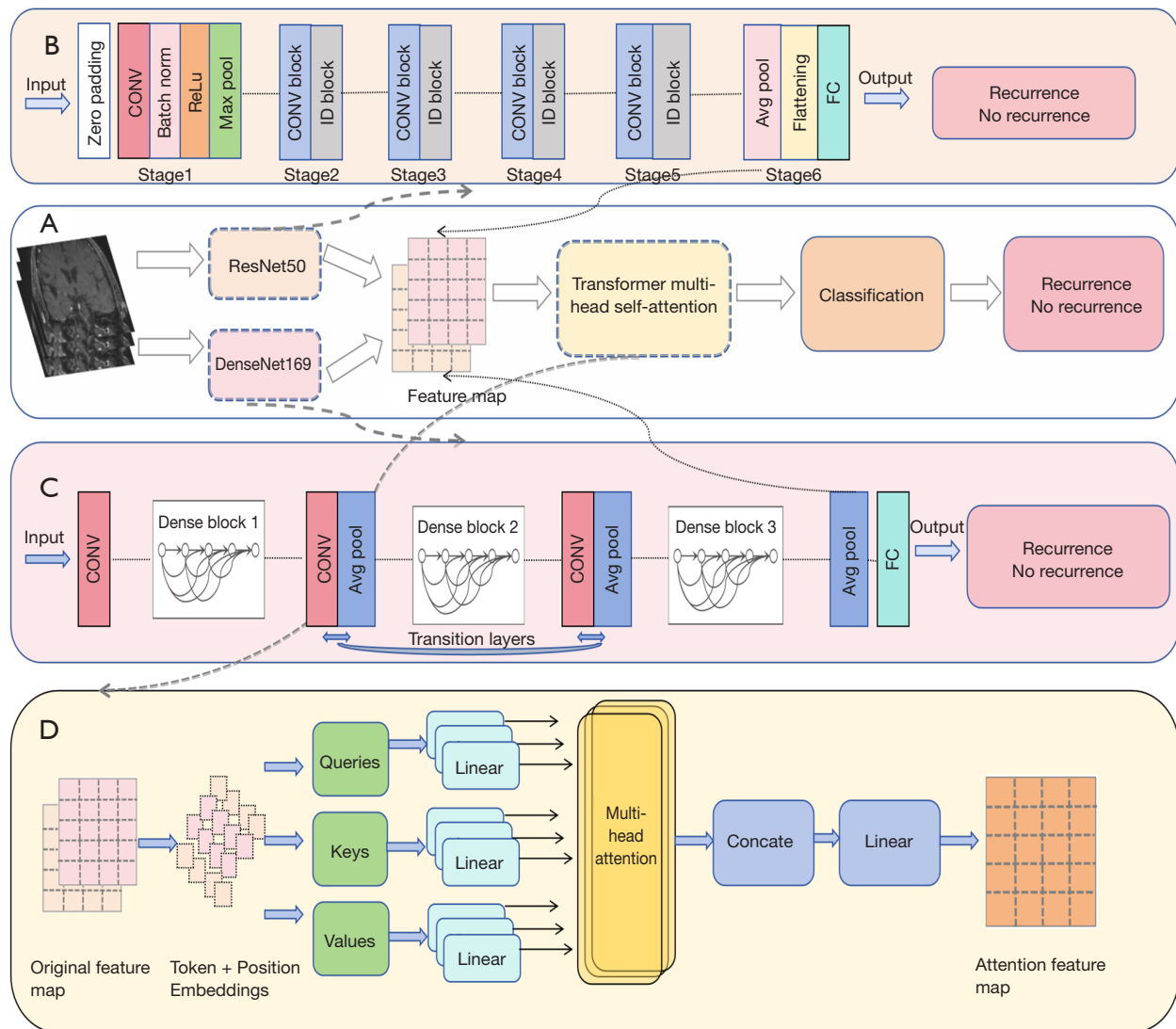


Figure 2 Overview of the Trans-CNN network architecture. (A) The general process of constructing the advanced integrated Trans-CNN model: this framework employs two distinct CNNs, ResNet50 and DenseNet169, to independently extract features from CET1WI sequences of HR-VWI. The resulting feature maps are concatenated and subsequently fused through a spatial attention module, which integrates the complementary information from both CNNs. Ultimately, an MLP classifier is utilized to predict the recurrence status of patients with sICAS. (B) ResNet50 as the core feature extractor and model backbone: ResNet50 serves as the primary feature extractor within the proposed network, leveraging its residual connections to effectively capture hierarchical representations of the CET1WI images, facilitating the prediction model's performance. (C) DenseNet169 as the alternative feature extractor and model backbone: alternatively, DenseNet169 is employed as the feature extractor, leveraging its dense connectivity pattern to encourage feature reuse and improve information flow throughout the network. This configuration also serves as the backbone for the prediction model. (D) Transformer-based multi-head self-attention mechanism: to further enhance feature representation, a Transformer-inspired multi-head self-attention mechanism is incorporated. The concatenated feature maps are partitioned into patches of uniform size, and these patches undergo multi-head self-attention computations. This process enables the model to attend to different regions of the feature maps simultaneously, incorporating positional encoding to preserve spatial information, thereby enriching the feature representation for more accurate recurrence prediction in sICAS patients. CET1WI, contrast-enhanced T1-weighted imaging; CNN, convolutional neural network; FC, fully connected; HR-VWI, high-resolution magnetic resonance vessel wall imaging; MLP, multi-layer perceptron; sICAS, symptomatic intracranial atherosclerotic stenosis.

a pooling layer, a multilayer perceptron (MLP) was utilized to decode the fused information, predicting the stroke recurrence status in sICAS patients. The relevant parameters for DL training are as follows: the network is implemented using Pytorch with a stochastic gradient descent (SGD) optimizer. The batch size is set to 2, the number of training epochs is 100, and the initial learning rate for the units is 0.001. The network has been implemented on an NVIDIA GeForce RTX 4060 Graphics Processing Unit (GPU) (Nvidia, Santa Clara, CA, USA).

Performance and validation of the prediction model

The model's performance was evaluated using the area under the curve (AUC) of the receiver operating characteristic (ROC) curve, sensitivity, and specificity (the calculation method of relevant indicators can be found in the [Appendix 1](#)). The DeLong test was applied to assess differences in ROC curves among various models. Finally, the decision curve analysis (DCA) curve was employed to evaluate the clinical decision-making value of the model. In order to verify the improvement of processing efficiency of DL models, we randomly selected images of 50 patients (30 in the training set and 20 in the test set), and used Trans-CNN model models and a team of radiologists to re-evaluate and record the time consumed by each patient. The team of radiologists consists of three experienced radiologists, and each doctor makes independent judgments and finally calculates the average of the three.

Statistical analysis

Normally distributed quantitative data were presented as mean \pm standard deviation and comparisons between two groups were made using independent *t*-test. Non-normally distributed quantitative data were presented as median (interquartile range) [M (P25, P75)], and comparisons between two groups were made using the Mann-Whitney *U* test. Count data were presented as numbers and percentages, and comparisons between two groups were made using the χ^2 test or Fisher's exact test. $P < 0.05$ was considered statistically significant.

Results

Basic information and plaque characteristics

The baseline data on plaque characteristics are presented in *Table 1*. Center 1 (training set) comprised a total of

254 patients, with 50 (19.7%) experiencing stroke recurrence and 204 (80.3%) remaining non-recurrent. Center 2 (test set) included 109 patients, among which 25 (22.9%) had stroke recurrence, and 84 (77.1%) did not. In the training set, statistically significant differences were observed between the recurrent and non-recurrent groups in terms of IPH ($P=0.012$) and MWT ($P=0.001$). For the test set, statistically significant differences were noted in the degree of stenosis ($P=0.005$), IPH ($P=0.006$), and enhancement level ($P=0.009$). For the baseline characteristics, in the test set, there was a statistically significant difference in whether patients with recurrent stroke and those without recurrence underwent lipid-lowering medications ($P=0.005$).

Predictive performance of the radiomics model and plaque model

All collected plaque parameters were incorporated into the plaque model, which achieved an AUC of 0.715 [95% confidence interval (CI): 0.629–0.797] with a sensitivity of 0.560 (95% CI: 0.402–0.932) and a specificity of 0.794 (95% CI: 0.377–0.921) in the training set. In the test set, the plaque model yielded an AUC of 0.771 (95% CI: 0.659–0.868), a sensitivity of 0.840 (95% CI: 0.646–0.967), and a specificity of 0.667 (95% CI: 0.554–0.825) (*Table 2*).

The radiomics model initially extracted 2,038 features. Following a rigorous feature selection process, including correlation coefficient filtering, *U*-test, Pearson coefficient elimination, and final LASSO regression (*Figure 3A,3B*), 33 features were incorporated into the radiomics model. In the training set (for detailed radiomic feature parameters, please refer to the [Table S3](#)), this model achieved an AUC of 0.773 (95% CI: 0.698–0.836), an accuracy of 0.698 (95% CI: 0.610–0.770), a sensitivity of 0.560 (95% CI: 0.402–0.932), and a specificity of 0.794 (95% CI: 0.377–0.921). In the test set, the radiomics model showed an AUC of 0.698 (95% CI: 0.569–0.808), an accuracy of 0.721 (95% CI: 0.500–0.853), a sensitivity of 0.520 (95% CI: 0.410–0.981), and a specificity of 0.845 (95% CI: 0.390–0.924) (*Figure 4*). In summary, neither the plaque model nor the radiomics model demonstrated exceptionally high performance in both the training and test sets, particularly with regard to sensitivity, indicating room for improvement.

Predictive performance of the DL model and Trans-CNN model

This study employed two DL models, ResNet50

Table 1 Plaque characteristics in training set and test set

Variables	Training set (n=254)			Test set (n=109)		
	No recurrence (n=204)	Recurrence (n=50)	P value	No recurrence (n=84)	Recurrence (n=25)	P value
Male	63 (30.9)	19 (38.0)	0.335	28 (33.3)	7 (28.0)	0.616
Age (years)	58.0 [51.0, 66.0]	58.0 [50.0, 66.0]	0.831	58.0 [51.0, 67.0]	58.0 [47.0, 63.0]	0.403
BMI (kg/m ²)	24.6 [22.7, 26.8]	24.8 [23.0, 28.1]	0.296	25.0 [22.7, 27.6]	25.4 [23.5, 28.3]	0.652
Smoking history	85 (41.7)	26 (52.0)	0.187	31 (36.9)	12 (48.0)	0.319
Drinking history	57 (27.9)	14 (28.0)	0.993	33 (39.3)	8 (32.0)	0.509
Hypertension	113 (55.4)	30 (60.0)	0.556	57 (67.9)	16 (64.0)	0.719
Diabetes	51 (25.0)	14 (28.0)	0.663	27 (32.1)	6 (24.0)	0.437
Stroke or TIA history	86 (42.2)	22 (44.0)	0.813	30 (35.7)	12 (48.0)	0.268
History of using lipid-lowering medications	108 (52.9)	20 (40.0)	0.101	40 (47.6)	4 (16.0)	0.005
Homocysteine	16.6 [12.6, 21.9]	15.2 [11.5, 20.3]	0.274	16.5 [12.6, 21.6]	18.9 [12.3, 20.7]	0.857
NIHSS	1.0 [0.0, 3.0]	1.0 [0.0, 3.0]	0.767	1.0 [0.0, 3.0]	1.0 [0.0, 4.0]	0.930
TC	4.0 [3.3, 4.6]	3.9 [3.2, 4.7]	0.459	4.0 [3.4, 4.8]	3.7 [3.2, 4.3]	0.584
TG	1.2 [0.9, 1.8]	1.1 [0.9, 1.7]	0.998	1.3 [0.9, 1.7]	1.4 [0.9, 1.6]	0.843
LDL	2.3 [1.8, 2.8]	2.3 [1.7, 2.9]	0.481	2.3 [1.8, 2.8]	2.2 [1.7, 2.9]	0.977
HDL	1.1 [0.9, 1.3]	1.1 [0.9, 1.3]	0.920	1.1 [0.9, 1.2]	1.0 [0.9, 1.2]	0.179
ApoA	1.1 [1.0, 1.2]	1.1 [1.0, 1.3]	0.697	1.1 [1.0, 1.3]	1.0 [1.0, 1.2]	0.219
ApoB	0.8 [0.7, 1.0]	0.8 [0.6, 1.0]	0.579	0.9 [0.7, 1.0]	0.9 [0.7, 0.9]	0.727
Degree of stenosis	0.6 [0.4, 0.8]	0.7 [0.4, 0.8]	0.175	0.5 [0.4, 0.7]	0.7 [0.6, 0.8]	0.005
NWI	81.7 [71.9, 87.9]	83.0 [71.7, 87.5]	0.652	79.9 [73.0, 86.6]	84.2 [79.0, 86.3]	0.143
IPH			0.012			0.006
No	144 (70.6)	26 (52.0)		56 (66.7)	9 (36.0)	
Yes	60 (29.4)	24 (48.0)		28 (33.3)	16 (64.0)	
Enhancement level			0.124			0.009
0	45 (22.1)	5 (10.0)		20 (23.8)	1 (4.0)	
1	76 (37.3)	19 (38.0)		34 (40.5)	7 (28.0)	
2	83 (40.7)	26 (52.0)		30 (35.7)	17 (68.0)	
Positive remodeling			0.067			0.112
No	123 (60.3)	23 (46.0)		52 (61.9)	11 (44.0)	
Yes	81 (39.7)	27 (54.0)		32 (38.1)	14 (56.0)	
MWT	1.4 [1.2, 1.7]	1.7 [1.4, 1.9]	0.001	1.4 [1.3, 1.8]	1.6 [1.4, 1.8]	0.296

Continuous variables are presented as median [interquartile range] or mean \pm standard deviation; categorical variables are presented as number (%). History of using lipid-lowering medications, regular consumption of lipid-lowering drugs. ApoA, apolipoprotein A; ApoB, apolipoprotein B; BMI, body mass index; HDL, high-density lipoprotein; IPH, intraplaque hemorrhage; LDL, low-density lipoprotein; MWT, maximum wall thickness; NIHSS, National Institute of Health Stroke Scale; NWI, normal wall index; TC, total cholesterol; TG, triglyceride.

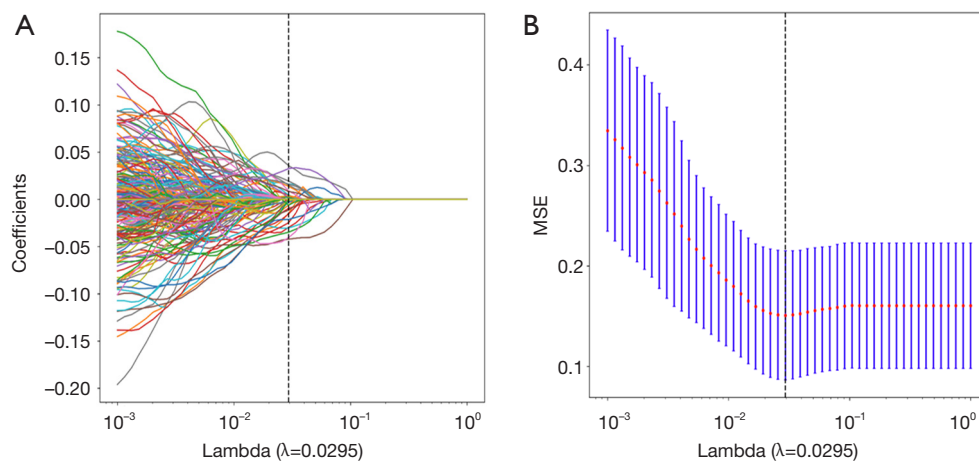


Figure 3 Radiomics model feature screening. A total of 2,038 features were selected by radiomics. After the screening of correlation coefficient, U test, and the elimination of Pearson coefficient, and the final LASSO screening, 33 features were included in the radiomics model. (A) Shows the regression coefficient path diagram. The vertical axis represents the value of the coefficient, and the horizontal axis represents $\log(\lambda)$. Each curve in the graph represents the trajectory of the variation of each independent variable coefficient. (B) Shows the cross validation curve of LASSO regression. The x-axis is the logarithmic $\log(\lambda)$ of the penalty coefficient, and the y-axis is the likelihood deviation. The smaller the y-axis, the better the fitting effect of the equation. We found that when $\lambda=0.0295$, the y-axis is the smallest, and at this time, a total of 33 features are selected, as shown in (A). LASSO, least absolute shrinkage and selection operator; MSE, mean squared error.

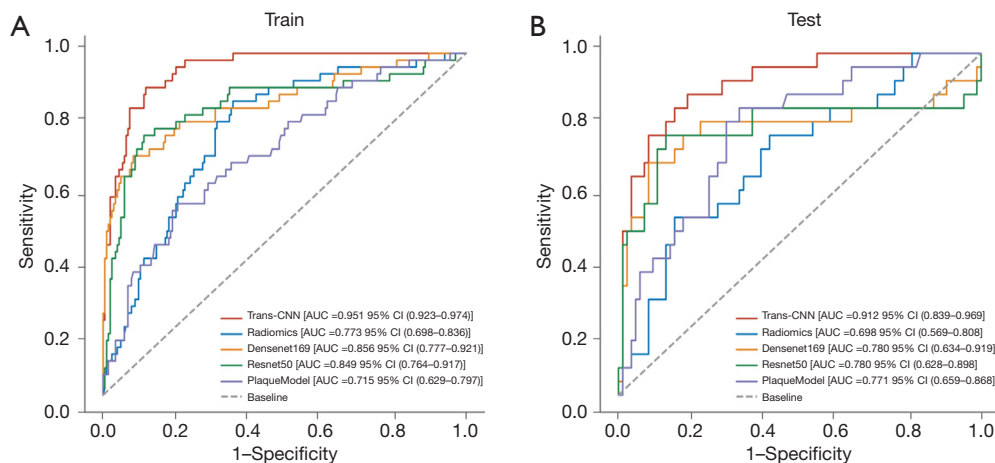


Figure 4 Comparison of ROC curves for all models in the training set (A) and test set (B). The lower right corners of (A) and (B) respectively display the AUC and its 95% CI for each model. AUC, area under the curve; CI, confidence interval; CNN, convolutional neural network; ROC, receiver operating characteristic.

and DenseNet169, and utilized PCA to reduce the dimensionality of the DL features to a common level. Figure S2A,S2B illustrate the feature selection process during dimensionality reduction for both DL models, resulting in the selection of 27 features for DenseNet169 and 18 features for ResNet50. Both ResNet50 and

DenseNet169 demonstrated satisfactory model performance in the training set, as detailed in Table 2 and Figure 4. In the test set, they achieved AUCs of 0.780 (95% CI: 0.628–0.898) and 0.780 (95% CI: 0.634–0.919), with accuracies of 0.850 (95% CI: 0.761–0.917) and 0.845 (95% CI: 0.752–0.927), respectively. The sensitivities were 0.760 (95% CI:

Table 2 Comparison of the performance of the models in predicting sICAS stroke recurrence performance in the training set and test set

Model	AUC (95% CI)	Sensitivity (95% CI)	Specificity (95% CI)	Accuracy (95% CI)
Training set				
Trans-CNN	0.951 (0.923–0.974)	0.900 (0.836–1.000)	0.882 (0.757–0.948)	0.880 (0.797–0.937)
Radiomics	0.773 (0.698–0.836)	0.860 (0.725–0.953)	0.642 (0.547–0.758)	0.698 (0.610–0.770)
Densenet169	0.856 (0.777–0.921)	0.700 (0.588–0.875)	0.917 (0.760–0.975)	0.865 (0.770–0.923)
Resnet50	0.849 (0.764–0.917)	0.760 (0.656–0.913)	0.887 (0.697–0.943)	0.860 (0.742–0.912)
PlaqueModel	0.715 (0.629–0.797)	0.560 (0.402–0.932)	0.794 (0.377–0.921)	0.804 (0.752–0.846)
Test set				
Trans-CNN	0.912 (0.839–0.969)	0.880 (0.693–1.000)	0.810 (0.690–0.976)	0.858 (0.743–0.936)
Radiomics	0.698 (0.569–0.808)	0.520 (0.410–0.981)	0.845 (0.390–0.924)	0.721 (0.500–0.853)
Densenet169	0.780 (0.634–0.919)	0.680 (0.550–0.938)	0.917 (0.741–0.972)	0.845 (0.752–0.927)
Resnet50	0.780 (0.628–0.898)	0.760 (0.561–0.905)	0.869 (0.775–0.964)	0.850 (0.761–0.917)
PlaqueModel	0.771 (0.659–0.868)	0.840 (0.646–0.967)	0.667 (0.554–0.825)	0.767 (0.692–0.835)

AUC, area under the curve; CI, confidence interval; CNN, convolutional neural network; sICAS, symptomatic intracranial atherosclerotic stenosis.

Table 3 DeLong test P value table in train set

Model	Trans-CNN	Radiomics	Densenet169	Resnet50	PlaqueModel
Trans-CNN	–	0.0	0.003	0.001	0.0
Radiomics	0.0	–	0.04	0.05	0.248
Densenet169	0.003	0.04	–	0.837	0.006
Resnet50	0.001	0.05	0.837	–	0.013
PlaqueModel	0.0	0.248	0.006	0.013	–

CNN, convolutional neural network.

Table 4 DeLong test P value table in test set

Name	Trans-CNN	Radiomics	Densenet169	Resnet50	PlaqueModel
Trans-CNN	–	0.0	0.025	0.02	0.03
Radiomics	0.0	–	0.288	0.258	0.371
Densenet169	0.025	0.288	–	0.988	0.926
Resnet50	0.02	0.258	0.988	–	0.93
PlaqueModel	0.03	0.371	0.926	0.93	–

CNN, convolutional neural network.

0.561–0.905) and 0.680 (95% CI: 0.550–0.938), whereas the specificities were 0.869 (95% CI: 0.775–0.964) and 0.917 (95% CI: 0.741–0.972), respectively. Both in the training and test sets, the DL models outperformed the

radiomics model and the plaque model (DeLong test, $P < 0.05$) (Tables 3,4).

The Trans-CNN model exhibited remarkable performance, integrating two CNN architectures with

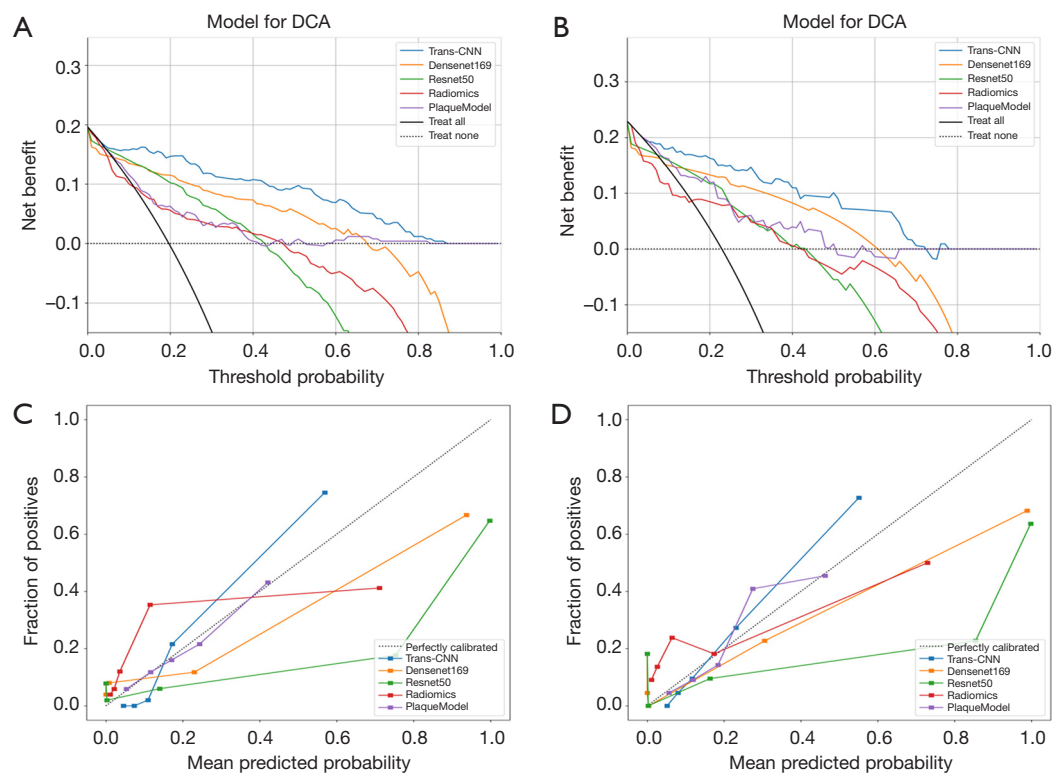


Figure 5 Evaluation of calibration curves and clinical decision curves for all models in the training set (A,C) and test set (B,D). (A,B) DCA curves for all models in the training and testing sets. The gray dashed line represents the assumption that all patients will have a stroke recurrence, while the black solid line represents the assumption that no patients will have a stroke recurrence. The curves of different colors represent the net benefits of different models at different thresholds. The horizontal axis represents the threshold probability, which is the probability predicted by the model for an event to occur in a sample. The y-axis corresponding to the net benefit curve of different models for the probability of patient recurrence threshold is the net benefit obtained from clinical intervention for patients at this recurrence threshold. This will generate both benefits from treating patients and harms from treating non-patients as well as losses from not treating patients. The corresponding vertical axis represents the net benefit achieved at this threshold. (C,D) Calibration curves for all models in the training and testing sets. The x-axis represents the average predicted probability, while the y-axis represents the actual probability of the event occurring. The dashed diagonal line serves as a reference line, and the solid lines represent the fit lines for different models. The closer the fit line is to the reference line, the smaller the value within the parentheses, indicating a more accurate prediction by the model. CNN, convolutional neural network; DCA, decision curve analysis.

the Transformer attention mechanism into an advanced ensemble model. In both the training and test sets, the Trans-CNN model significantly surpassed the other four comparative models. Specifically, in the test set, it achieved an AUC of 0.912 (95% CI: 0.839–0.969), an accuracy of 0.858 (95% CI: 0.743–0.936), a sensitivity of 0.880 (95% CI: 0.693–1.000), and a specificity of 0.810 (95% CI: 0.690–0.976). Statistical analysis (DeLong test) confirmed that the Trans-CNN model demonstrated statistically significant differences in AUC compared to all other models in both the training and test sets ($P < 0.05$) (Tables 3,4). Calibration curve analysis revealed good

agreement between the predicted probabilities and observed outcomes for the Trans-CNN model in both sets, indicating high reliability of the model predictions. Furthermore, clinical DCA underscored the potential value of the Trans-CNN model in guiding clinical decision-making, as its curve characteristics suggested that the model provides useful information across different thresholds, facilitating more rational and scientific decision-making by clinicians (Figure 5). The evaluation time of the Trans-CNN model for each plaque was significantly shorter than that of the team of radiologists ($P < 0.001$), as shown in the Table S4 and Figure S3.

Discussion

The findings of this study demonstrate that the Trans-CNN model, constructed using HR-VWI to characterize culprit plaques, exhibits exceptional predictive capability in assessing the risk of recurrent stroke among patients with sICAS. This model innovatively incorporates the attention mechanism of the Transformer, enabling deep integration of output features from two CNN models. This strategy effectively enhances model performance, surpassing single radiomics models, plaque models, and traditional DL models in predictive accuracy.

sICAS-induced stroke is often accompanied by a high recurrence rate (17), which significantly elevates the risk of disability and mortality, thereby exacerbating the burden on the healthcare system. Although much research has focused on the degree of stenosis in the responsible vessels, real-world data reveal that patients with stenosis $\geq 70\%$ have approximately twice the recurrence risk compared to those with stenosis $< 70\%$ (18). However, with advancements in HR-VWI technology, stroke prognosis assessment has become increasingly precise, with culprit plaque characteristics emerging as a research hotspot. Studies have shown that plaque properties and quantitative parameters are closely related to stroke recurrence. Zhang *et al.* (10) found a significant correlation between plaque enhancement changes and recurrence ($r=0.415$, $P<0.05$), with multivariate regression indicating plaque enhancement as an independent risk factor [odds ratio (OR) =5.797; $P<0.05$]. Sun *et al.* (19) emphasized the importance of plaque burden, which was significantly higher in recurrent stroke patients compared to non-recurrent patients ($P<0.05$). Furthermore, IPH, a critical plaque characteristic, was confirmed by Yuan *et al.* (20) through Cox regression analysis to be significantly associated with ipsilateral stroke recurrence (OR =6.64; 95% CI: 1.23–35.8; $P=0.028$). Nevertheless, the plaque model based on qualitative and quantitative plaque data performed poorly in this study, with an AUC of 0.715 (95% CI: 0.629–0.797) and a sensitivity of 0.560 (95% CI: 0.402–0.932) in the training set. This low sensitivity limits the identification rate of positive patients, thereby diminishing its clinical value. This issue is attributed to the subjectivity of plaque assessment, which, even when performed by two experienced neuroradiologists, cannot fully eliminate inter-observer variability. Therefore, the integration of cutting-edge imaging techniques with innovative image analysis methods is crucial for individualized and precise assessment of culprit plaques.

Radiomics models represent an advanced analytical framework based on medical imaging, utilizing image processing and machine learning techniques to automatically extract a vast array of quantitative features from preprocessed images, encompassing shape, texture, intensity, wavelet transform coefficients, and more. These models aim to capture subtle image differences that are imperceptible to the naked eye, thereby compensating for the limitations of subjective interpretation (21,22). Tang *et al.* (23) pioneered the application of radiomics in analyzing intracranial culprit plaques in sICAS, with a model constructed based on 3D-T1-weighted imaging (T1WI)-Volume Isotropic Turbo Spin Echo Acquisition (VISTA)-enhanced images achieving AUCs of 0.790 (95% CI: 0.669–0.894) and 0.779 (95% CI: 0.620–0.853) in the training and test sets, respectively.

This study also constructed a radiomics model based on T1-weighted-enhanced images, revealing AUCs of 0.773 (95% CI: 0.698–0.836) and 0.698 (95% CI: 0.569–0.808) in the two evaluation groups, respectively, which were slightly inferior to Tang *et al.*'s findings and accompanied by lower sensitivities of 0.560 (95% CI: 0.402–0.932) and 0.520 (95% CI: 0.410–0.981). We speculate that the limited generalization ability of manually delineating 3D-VOIs may be one contributing factor. Additionally, despite image preprocessing, the reproducibility of radiomics features across different imaging protocols and devices cannot be overlooked (24).

DL, as a pivotal branch of machine learning, mimics the neural network mechanisms of the human brain, enabling automatic feature learning and extraction from vast amounts of data for complex pattern recognition and prediction. In medical image processing, DL reduces manual intervention, enhances diagnostic efficiency and accuracy, and demonstrates robust generalization capabilities, addressing images from diverse sources and qualities, thereby compensating for the limitations of manual assessment and radiomics techniques (25,26). Currently, DL in plaque research has primarily focused on the internal carotid artery. For instance, Fu *et al.* (27) demonstrated that a DL model based on head-neck CTA accurately classifies and predicts the severity of internal carotid artery plaques, achieving high agreement with radiologist diagnoses ($\kappa=0.856$; 95% CI: 0.832–0.886).

In this study, two CNN models, ResNet50 and DenseNet169, were employed to analyze plaques and predict stroke recurrence. Both models performed well, with AUCs of 0.780 in the test set (ResNet50: 95% CI: 0.628–0.898;

DenseNet169: 95% CI: 0.634–0.919), and improved sensitivities (ResNet50: 0.760, 95% CI: 0.561–0.905; DenseNet169: 0.680, 95% CI: 0.550–0.938). However, the DeLong test did not reveal statistically significant differences compared to the radiomics and plaque models, suggesting limited gains. This may be attributed to CNNs' difficulty in fully comprehending the hierarchical structure of feature spaces in complex scenarios. To overcome this limitation, our study innovatively fused the feature extraction capabilities of CNNs with the attention mechanism of the Transformer, resulting in the Trans-CNN model.

The Trans-CNN model exhibited exceptional performance in the test set, with an AUC of 0.912 (95% CI: 0.839–0.969), an accuracy of 0.858 (95% CI: 0.743–0.936), a sensitivity-boosted to 0.880 (95% CI: 0.693–1.000), and a specificity of 0.810 (95% CI: 0.690–0.976). The DeLong test confirmed the statistical significance of this AUC improvement. This remarkable leap in performance is attributed to the introduction of the attention mechanism, which mimics human selective attention behavior, dynamically adjusting data focus, and effectively capturing long-range dependencies and complex structures through global correlation modeling of sequence elements. This is particularly advantageous in processing large-scale images and integrating global information (28,29).

Despite adopting a multicenter design, this study faces several critical limitations: Firstly, the overall sample size is relatively limited, necessitating future prospective, larger-scale, multicenter data collection to validate the classifier's generalizability in a broader population. Secondly, to enhance predictive accuracy, there is an urgent need to integrate multi-modal imaging data for comprehensive analysis. Thirdly, although standardized preprocessing of imaging data was performed, inconsistencies in imaging equipment, acquisition parameters, and post-processing workstations among different centers may affect the comparability of results, thus requiring further evaluation of classifier performance in an independent test set.

Conclusions

In summary, this study successfully constructed two CNN DL models based on HR-VWI of culprit plaques—ResNet50 and DenseNet169—both of which effectively predict the risk of stroke recurrence in patients with sICAS. Furthermore, when these CNN models serve as feature extractors and are combined with the attention mechanism of Transformer to form the advanced Trans-

CNN model, even superior performance is demonstrated. This classifier holds promise as an essential tool for aiding in individualized diagnosis and timely clinical intervention for sICAS patients, with vast potential applications.

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Footnote

Reporting Checklist: The authors have completed the TRIPOD + AI reporting checklist. Available at <https://qims.amegroups.com/article/view/10.21037/qims-24-1723/rc>

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Ethical Statement: The authors are accountable for all aspects of the work in ensuring that questions related to the accuracy or integrity of any part of the work are appropriately investigated and resolved. This study was conducted in accordance with the Declaration of Helsinki (as revised in 2013). This study was approved by Ethics Committees of The First Affiliated Hospital of Xinxiang Medical University (No. EC-022-142) and The Sixth People's Hospital of Shanghai Jiao Tong University (No. 2023-217). The requirement for individual consent was waived due to the retrospective nature of the analysis.

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