

## LETTER TO THE EDITOR

Switch of the mutation type of the *NPM1* gene in acute myeloid leukemia (AML): relapse or secondary AML?

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Mutations in exon 12 of the nucleophosmin (*NPM1*) gene have been described as primary leukemogenic event in up to 35% of adult acute myelogenous leukemia (AML) cases, mainly those with normal karyotype.<sup>1</sup> This type of AML is listed as provisional entity in the World Health Organization classification of tumors of the hematopoietic and lymphoid tissues 2008. *NPM1* mutation status has improved risk stratification and therapy choice of the AML intermediate-risk group with normal karyotype, as *NPM1*-mutated cases without *fms*-related tyrosine kinase 3 (*FLT3*) gene internal tandem duplications have a favorable outcome and no need for bone marrow transplantation first line.<sup>2</sup> Furthermore, mutations in other genes—for example, isocitrate dehydrogenase (*IDH1* and *IDH2* genes)—seem to influence prognosis as patients with both *NPM1* and *IDH* mutations show improved overall 3-year survival, whereas *NPM1*-positive cases without *IDH* mutations have a much less favorable outcome.<sup>3</sup>

In addition to diagnosis and risk stratification, *NPM1* mutation analysis can be used to monitor therapy response.<sup>4</sup> However, although *NPM1* mutations are regarded to be an early event in leukemogenesis, serial analysis of 245 initially *NPM1*-mutated AML cases revealed a loss of the mutation at relapse in 9% of the cases.<sup>5</sup> This phenomenon is well known for genetic events occurring late in AML evolution, for example, *FLT3* mutations.<sup>6,7</sup> For *FLT3*, also switches of the mutation type at progression of AML (initial diagnosis with D835 tyrosine kinase mutation, relapse with internal tandem duplication) but stable *NPM1* mutation at both time points are documented.<sup>8</sup>

Here, we describe for the first time a switch of a *NPM1* mutation type at relapse having profound impact on AML monitoring strategies.

## PATIENT

A 69-year-old female patient presented in 2007 with a peripheral blood leukocyte count of  $140.76 \times 10^9/l$  (91% blasts), a C-reactive protein of 6.6 mg/dl, hemoglobin 9.6 mg/dl and thrombocytopenia ( $84 \times 10^9/l$ ). Bone marrow cytology showed >90% blasts without Auer rods (Figure 1a); >95% of the blasts were peroxidase-positive and esterase staining was positive in 3%, confirming an AML with minimal maturation. The blast cell population was positive for MPO, CD117, CD13, CD33, CD38 and CD68, and negative for HLA-DR, CD11b, CD14, CD15, CD3, CD19, CD36 and TdT (Figure 1c).

Conventional cytogenetics demonstrated a normal karyotype. PCR for *RUNX1RUNX1T1*, *CBFB-MYH11*, *PML-RARA* and *MLL(KMT2A)* rearrangements were negative; no *FLT3*-ITD or *KIT* exon 8 mutation could be detected. Fluorescence *in situ* hybridization excluded an atypical *RARA* rearrangement. Sequencing of the *NPM1* gene revealed a c.959\_960insTGCG mutation in exon 12 (Figure 2a).

The first cycle of chemotherapy (ICE protocol; ifosfamide, carboplatin, etoposide) resulted in a complete remission and the *NPM1* mutation was no longer detectable via sequencing.

A second cycle of ICE and two cycles of A-HAM were administered and a complete remission was documented.

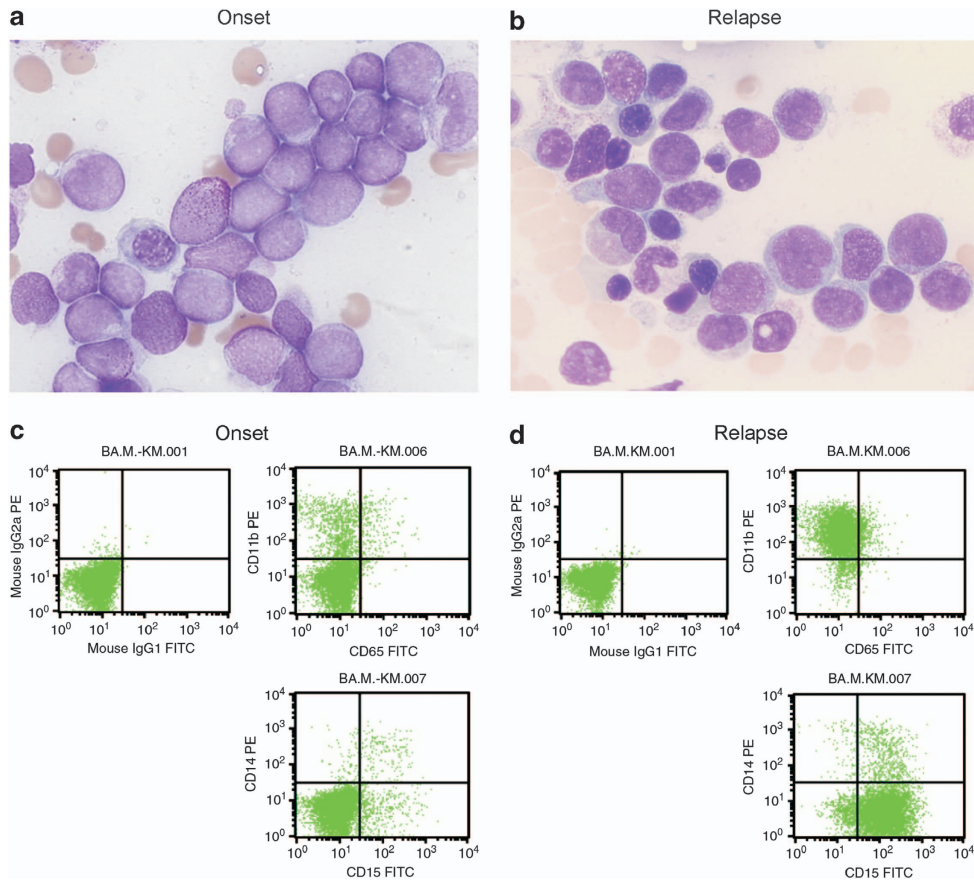
After ~6 years in remission the patient presented with anemia, normal leukocyte and thrombocyte count but some blasts in the peripheral smear. Bone marrow cytology with 40–50% blasts confirmed the first relapse of myeloid acute leukemia (Figure 1b).

Fluorescence-activated cell sorting (FACS) could demonstrate 46% myeloid blasts consisting of two cell populations: 13% of the blasts showed the original marker profile, whereas 87% in contrast to primary diagnosis were now CD117<sup>-</sup>/CD11b<sup>+</sup>/CD15<sup>+</sup>/HLA-DR<sup>+</sup>/CD36<sup>+</sup>/CD4<sup>+</sup> (Figure 1d). Conventional cytogenetics showed a normal karyotype again; a high-resolution single-nucleotide polymorphisms array (CytoScan 750K, Affymetrix, Santa Clara, CA, USA) did not detect any gains or losses >50 kbp in the samples from relapse and primary diagnosis. By sequencing, an *NPM1* mutation could be demonstrated; however, in contrast to primary diagnosis, at relapse a c.956\_959dupTCTG mutation (type A) was detected, whereas the original c.959\_960insTGCG mutation could not be found (Figure 2b). With a more sensitive quantitative PCR (Q-PCR) approach,<sup>4</sup> samples from the primary diagnosis were reanalyzed for an *NPM1* type A mutation, but the mutation was not detectable at diagnosis in contrast to the sample from relapse. Short tandem repeat analysis (PowerPlex 16 HS System, Promega, Mannheim, Germany) of the two samples at diagnosis and relapse excluded a sample mixup. The therapy consisted of two cycles ICE for elderly patients, followed by one cycle high-dose cytarabine, idarubicin, etoposide for elderly.

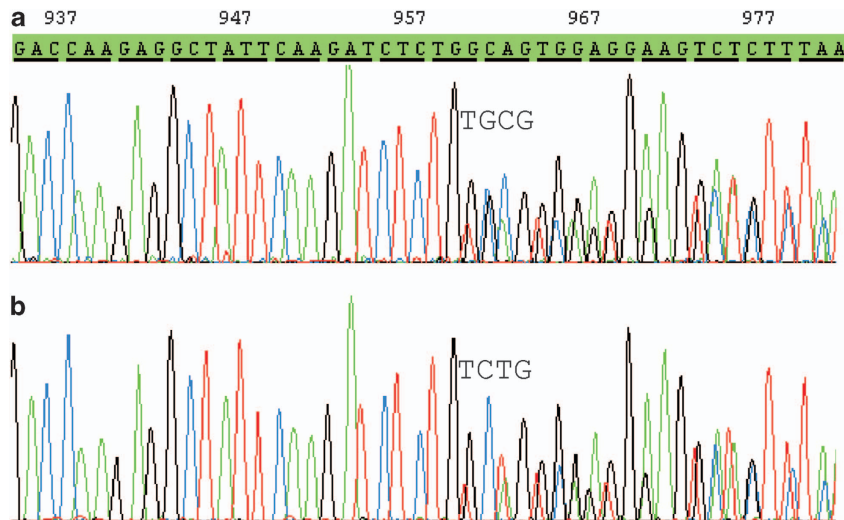
After the first induction cycle, blasts were reduced to 5% in bone marrow without any aberrant profile in flow cytometry. From that time point, no *NPM1* mutation was detectable and the patient is waiting for bone marrow transplantation.

To our knowledge, we describe for the first time a switch of a *NPM1* mutation at relapse, compatible with newer models of AML genesis. Relapses with loss of *NPM1* mutation have been initially interpreted as secondary AML in the literature because the tumor cells gained independent genetic events, like *MLL* partial tandem duplications.<sup>5</sup> According to this, a patient suffering from an acute monocytic leukemia became dramatically negative for the initial *NPM1* mutation after induction therapy although no remission was achieved.<sup>9</sup> Single cell analysis of *FLT3* mutations has demonstrated tumor heterogeneity as minor clones with different *FLT3*-ITD mutations in the same patient were detected; however, *FLT3* mutations are regarded as late events in leukemogenesis, whereas *NPM1* mutations should be early ones.<sup>10</sup> Recently, a next-generation deep-sequencing study has revealed discrepancies regarding *RUNX1* mutations at primary diagnosis and relapse, also showing novel mutations at different regions of the *RUNX1* gene.<sup>11</sup>

The appearance of a novel pathologic marker profile in the majority of blasts at relapse points to the occurrence of a secondary AML rather than the recurrence of the original leukemic clone. This is supported by the fact that the type A mutation of *NPM1* was undetectable at diagnosis, even with a highly sensitive Q-PCR assay. As pointed out before, monitoring of minimal residual disease (MRD) along with therapy by Q-PCR using *NPM1* mutations as marker is increasingly applied. This case demonstrates that it is reasonable to use more than one marker for MRD analysis, for example, Wilms tumor 1 (WT1) Q-PCR or multicolor FACS.



**Figure 1.** Comparison of blasts at onset of leukemia (left) and relapse (right), showing different morphologies (**a**, **b**). Flow profiles demonstrating the shift in CD11b and CD14 expression (**c**, **d**).



**Figure 2.** Mutations detected at onset of leukemia (**a**) and relapse (**b**).

In conclusion, detecting two different *NPM1* mutations at initial diagnosis and relapse in AML supports the model of a primary genetically unstable hematopoietic stem cell with potential for gaining mutations in unique cell clones, eventually expanding in the clinical course. Our case also demonstrates the necessity of a broad genetic rescreening at relapse, not only at the onset of leukemia, as monitoring by *NPM1* mutation type-specific methods would have missed the newly developed mutation at relapse.

#### CONFLICT OF INTEREST

The authors declare no conflict of interest.

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