

# Heart Valve Tissue Engineering: Concepts, Approaches, Progress, and Challenges

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**Abstract**—Potential applications of tissue engineering in regenerative medicine range from structural tissues to organs with complex function. This review focuses on the engineering of heart valve tissue, a goal which involves a unique combination of biological, engineering, and technological hurdles. We emphasize basic concepts, approaches and methods, progress made, and remaining challenges. To provide a framework for understanding the enabling scientific principles, we first examine the elements and features of normal heart valve functional structure, biomechanics, development, maturation, remodeling, and response to injury. Following a discussion of the fundamental principles of tissue engineering applicable to heart valves, we examine three approaches to achieving the goal of an engineered tissue heart valve: (1) cell seeding of biodegradable synthetic scaffolds, (2) cell seeding of processed tissue scaffolds, and (3) *in-vivo* repopulation by circulating endogenous cells of implanted substrates without prior *in-vitro* cell seeding. Lastly, we analyze challenges to the field and suggest future directions for both preclinical and translational (clinical) studies that will be needed to address key regulatory issues for safety and efficacy of the application of tissue engineering and regenerative approaches to heart valves. Although modest progress has been made toward the goal of a clinically useful tissue engineered heart valve, further success and ultimate human benefit will be dependent upon advances in biodegradable polymers and other scaffolds, cellular manipulation, strategies for rebuilding the extracellular matrix, and techniques to characterize and potentially non-invasively assess the speed and quality of tissue healing and remodeling.

**Keywords**—Heart valve remodeling, Engineered tissue heart valves, Biodegradable scaffold, Decellularized scaffold, Circulating stem cells.

## INTRODUCTION

Potential applications of tissue engineering in regenerative medicine range from structural tissues (e.g., skin, cartilage, bone) to complex organs (e.g., heart and other components of the cardiovascular system, liver, kidney, pancreas). In each case, there are limitations to conventional surgical approaches and existing prosthetic devices, serious complications associated with transplantation, and

critical shortages of available donor tissues. Cardiovascular tissue engineering has primarily considered blood vessels,<sup>58,102,103,104,114,178</sup> myocardium,<sup>27,36,74,80,123</sup> and heart valves.<sup>14,119,122,176</sup> This review focuses on the application of tissue engineering technology to heart valves.

Currently, adults who undergo replacement of diseased valves by either mechanical prosthetic or tissue valves (including bioprosthetic valves [porcine aortic valve or bovine pericardial xenograft], cadaveric allograft, or pulmonary-to-aortic autograft valves [Ross procedure]) generally have enhanced survival and quality of life.<sup>127</sup> Nevertheless, each of these valve types has its limitations—in particular, mechanical valves require anticoagulation to control thromboembolism, while bioprosthetic and allograft valves frequently undergo calcification and structural deterioration.<sup>50,64,146</sup>

Advantages of an engineered tissue heart valve would likely include nonthrombogenicity, infection resistance, and cellular viability. The design criteria and characteristics for conventional and tissue engineered replacement heart valves are summarized and compared in Table 1. The most immediate need for heart valve tissue engineering and regeneration technology is in the pediatric and young adult population in which the results of valve replacement are not as favorable as those in older adults.<sup>35,68</sup> Most exciting is the possibility of growth, repair, and remodeling as a child recipient matures, thus eliminating the repetitive surgeries typically necessitated by the inability of a valve substitute to enlarge as an individual grows. Only autografts (such as Ross valves transplanted from the pulmonary-to-aortic position in an individual) presently are viable,<sup>120</sup> but the Ross procedure is technically difficult, risky, only serves a small patient subset, and has controversial results, including uncertainty over whether the grafts will grow commensurate with recipient growth.<sup>67</sup>

The goal to engineer functional heart valve tissue presents a unique combination of challenges. Normal heart valves are vital and dynamic tissues composed of specialized cells and extracellular matrix (ECM) that respond and remodel in response to changes in local mechanical forces.<sup>121,143</sup> Approximately 40 million times a year,

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**TABLE 1. Design objectives for and characteristics of replacement heart valves.**

Feature to optimize	Conventional (Mechanical, bioprosthetic)	Tissue engineered
Closure of leaflets	Rapid and complete	Rapid and complete
Size of orifice area	Less than that of natural valves	Better
Mechanical properties	Stable	Stable
Surgical insertion	Easy and permanent	Easy and permanent
Risk of thrombosis	Yes, especially mechanical valves, which require anticoagulation, causing vulnerability to hemorrhage	No; endothelial surface to inhibit thrombogenesis
Risk of structural dysfunction	Degradation of synthetic materials rare with mechanical valves Tissue degradation and calcification of leaflets with bioprosthetic valves	Resistant to degradation and calcification
Risk of Infection	Ever present	Resistant to infection
Viability	No	Yes, able to repair injury, remodel, and potentially grow with patient

opening and closing of the leaflets induces repetitive changes in the shape, dimensions, and stress of the leaflets and supporting valvular structures (Fig. 1).<sup>147</sup> A successful tissue engineered valve and its components must not only accommodate those deformations but also have ongoing strength, flexibility, and durability, beginning at the instant of implantation and continuing indefinitely thereafter, possibly despite an evolving tissue architecture.

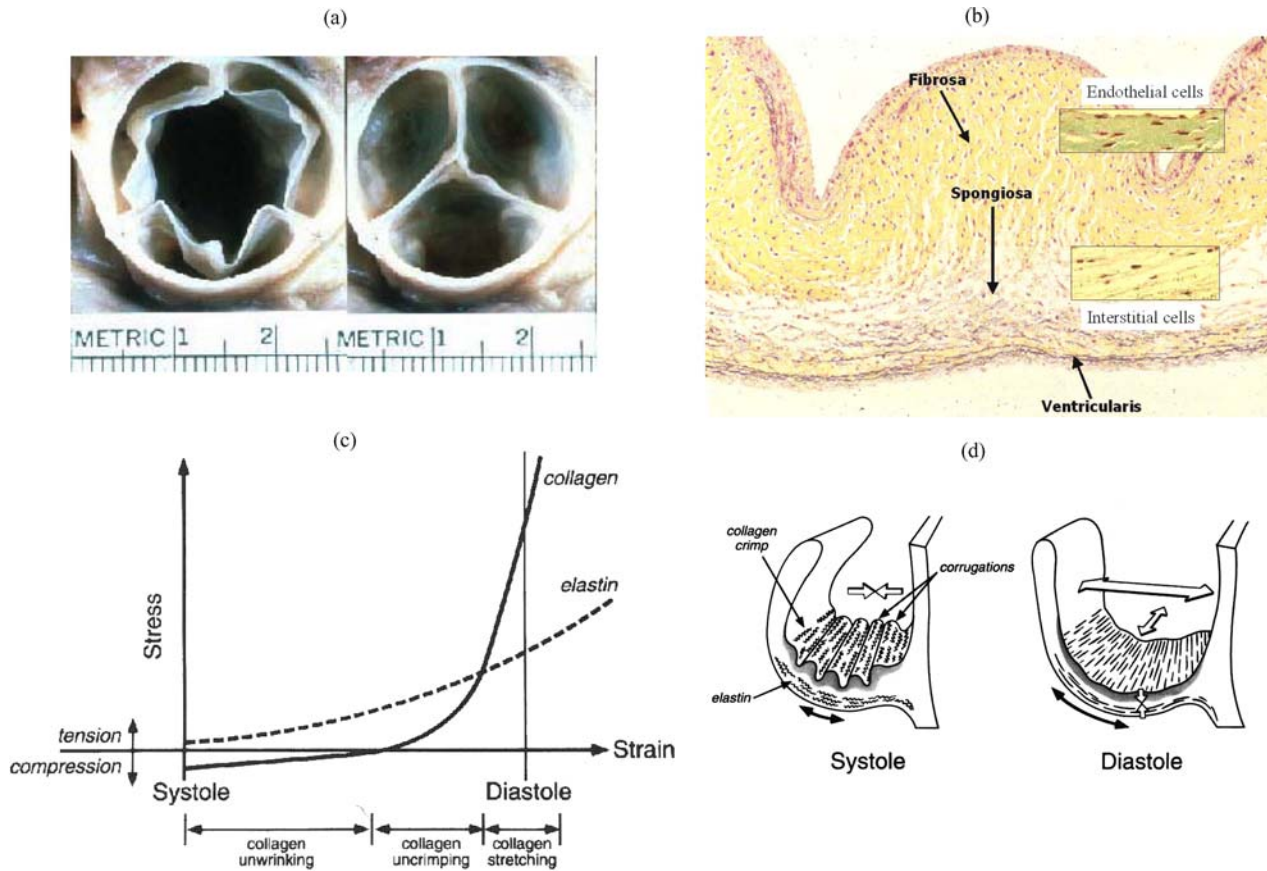
This review provides a contemporary analysis of heart valve tissue engineering and regeneration, emphasizing the evolving understanding of heart valve biology, the promise and difficulties demonstrated by *in-vivo* studies done to date, and the critical challenges that will be encountered in translating the potential of this exciting therapeutic modality from the laboratory to the clinical realm. To put the technology and the evolving enabling science into context, we begin by examining the complex and dynamic structural components that are needed to accomplish normal heart valve function, biomechanics, physiological tissue maintenance, homeostasis, and ongoing health. Our discussion of the basic principles of tissue engineering summarizes current principles of scaffolding and cell sourcing. Subsequently, we examine three potential approaches to the field of tissue-engineered heart valves: (1) cell seeding of biodegradable synthetic scaffolds, (2) cell seeding of processed tissue scaffolds, and (3) *in-vivo* repopulation by circulating endogenous cells of implanted substrates without prior *in-vitro* cell seeding. Finally, we analyze challenges to the field and suggest future directions for both preclinical and translational (clinical) studies that will be needed to address key regulatory issues for safety and efficacy of the application of tissue engineering and regenerative approaches to heart valves. Our primary goal is to stimulate thinking in the field by introducing concepts such as outcome criteria, biomarkers, molecular imaging, product release criteria, questions raised by the integration of engineered heart valves with the host tissues, and uncertain-

ties engendered by patient-to-patient heterogeneity in key biological processes such as tissue remodeling capability. Our discussion in this paper underscores that the development and application of innovative approaches to repair and regenerate damaged or diseased heart valves requires the integration of numerous biological, engineering, and technological principles.

## HEART VALVE FUNCTION AND STRUCTURE

Healthy native heart valves maintain unidirectional blood flow via an extraordinarily dynamic functional structure with several key characteristics: viability, sufficient strength to withstand repetitive and substantial mechanical stress, and ability to adapt and repair injury by connective tissue remodeling. A rational approach to heart valve tissue engineering depends on a thorough understanding of the complex normal functional elements and their coordinated interactions (Table 2).<sup>185</sup> For the trileaflet semilunar valves (aortic and pulmonary), the important structures are the cusps (which avoid prolapse by substantial coaptation), the commissures, and the supporting structures in the aortic and pulmonary roots. For the atrioventricular valves (mitral and tricuspid), the key components are the leaflets, commissures, annulus, chordae tendineae, papillary muscles, and atrial and ventricular myocardium. Valve leaflets and cusps have few and only focal blood vessels (vessels may be present in the proximal portion near the myocardium), likely because valve cusps and leaflets are sufficiently thin to be nourished predominantly by diffusion from the heart's blood. Valve leaflets and cusps also have nerves, but their significance is uncertain.<sup>87</sup>

The four cardiac valves have microstructural similarities; however, the aortic valve best illustrates the essential features and serves as a paradigm for microstructural and cellular adaptation to functional requirements. The aortic valve is the most frequently diseased and also commonly



**FIGURE 1.** Specialized ECM enables dynamic aortic valve function. (a) Photograph of the aortic valve in open and closed position (from the aorta). (b) Aortic valve histology emphasizing trilaminar structure and presence of valvular interstitial and endothelial cells. (c) Biomechanical cooperativity between elastin and collagen during valve motion. (d) Schematic depiction of layered aortic valve cuspal structure and configuration of collagen and elastin during systole and diastole. (a) and (b) reproduced by permission from Schoen FJ. "Valvular heart disease: General principles and stenosis," IN: Cardiovascular Pathology, 3rd Ed, Silver MD, Gotlieb AI, Schoen FJ (eds.), WB Saunders 2001, pp. 402–442; (c) and (d) reproduced by permission from Schoen FJ. Aortic valve structure-function correlations: Role of elastic fibers no longer a stretch of the imagination. J Heart Valve Dis 6: 1–6, 1997.

**TABLE 2.** Key structural elements of heart valves.

Element	Sub-structure	Function
Extracellular matrix	Collagen	Provides strength and stiffness to maintain coaptation during diastole, when cusp has maximal area
	Elastin	Extends in diastole; contracts in systole to minimize cusp area
	Glycosaminoglycans	Accommodates shear of cuspal layers, cushions shock during valve cycle
Cells	Interstitial	Synthesize ECM; express MMPs and TIMPs that mediate matrix remodeling
	Endothelial	Maintain nonthrombogenic blood-tissue interface; regulate immune and inflammatory reactions
Blood vessels		Few and focal; valve cusps and leaflets sufficiently thin to be nourished by diffusion from the heart's blood
Nerves		Present, with uncertain function
Other principles	Corrugations	Accordion-like folds in cusps; allows cuspal shape and dimensions to vary with cardiac cycle
	Crimp	Microscopic collagen folding, allows lengthening at minimal stress
	Anisotropy	Permits differences in radial and circumferential extensibility
	Cords	Macroscopic collagen alignment; transfers forces from cusps to aortic wall

transplanted. The aortic valve cusps undergo substantial changes in shape and dimension during the cardiac cycle (see Fig. 1a). Microscopically, the aortic valve is composed of three distinct layers: (1) the *ventricularis* closest to the inflow surface, rich in elastin, (2) the *fibrosa* closest to the outflow surface, primarily composed of densely packed collagen, and (3) the centrally located *spongiosa*, largely composed of glycosaminoglycans (GAGs). Together, collagen, elastin, and GAGs comprise the valvular ECM (see Fig. 1b). Studies of normal, pathological, and substitute valves have demonstrated that the principal determinant of valve durability is the valvular ECM, whose quantity and quality depend on the viability and function of valvular interstitial cells (VIC).<sup>145</sup> Recent research suggests that cell-matrix interactions in heart valves are highly stress-dependent and likely reciprocal. *In vivo*, heart valve leaflets experience a dynamic and complex mechanical stress state during every cardiac cycle: shear stress due to blood flow (open valve), flexure (opening and closing), and tension (closed valve).<sup>92,93</sup> Forces acting on the valve at the macroscopic level (pressure, shear stress, and tension) are translated into specific biomechanical responses at the tissue level (collagen uncrimping, reorientation, and fiber compaction) which are transduced into a VIC response at the cellular level (with intracellular signaling events leading to changes such as increased alpha smooth muscle actin ( $\alpha$ -SMA) expression, increased VIC stiffness, and increased ECM biosynthesis). Indeed, the higher absolute pressure and transvalvular pressure gradients on the left side of the heart impose larger local tissue stress on the VICs than those on the right side, which leads to higher VIC stiffness (through  $\alpha$ -SMA content) and collagen biosynthesis in the left-sided valves.<sup>94</sup>

Complex microstructural rearrangements and several specializations of collagen accommodate the cyclical pressure fluctuations during the cardiac cycle.<sup>143</sup> Collagen fibers in a planar orientation in the fibrosa comprise the strongest portion of the leaflet that is responsible for bearing diastolic stress. GAGs in the spongiosa probably serve predominantly as a shock and shear absorber. The large cuspal deformation during the cycle between systole and diastole is facilitated by biomechanical cooperativity between collagen and elastin (see Fig. 1c). Collagen fibrils are inelastic and incapable of supporting large strains; they therefore have adaptations (macroscopic corrugations and microscopic crimp) that permit collagen stretching at minimal stress by unfolding (Fig. 1d). During valve opening, elastin stretches during extension of collagen crimp and corrugations. When the valve is closed, the collagen is fully unfolded and the load is shifted from elastin to collagen; elastin restores the contracted configuration of the cusp during systole.

Two types of cells are present in the aortic valve: endothelial cells covering the surface and interstitial cells

with variable properties of fibroblasts, smooth muscle cells, and myofibroblasts in the interior (see Fig. 1b).<sup>1,121,168,169</sup> Like endothelial cells elsewhere in the circulation, valvular endothelial cells (VEC) maintain a nonthrombogenic blood-tissue interface and regulate immune and inflammatory reactions. Nevertheless, endothelial cells isolated from different vascular and valvular sources display differences in gene expression and other properties (endothelial cell heterogeneity).<sup>21</sup> Indeed, heart valve endothelial cells are different from those in the aorta.<sup>16,17</sup> For example, in response to mechanical stress, porcine aortic valve endothelial cells align perpendicular to flow whereas endothelial cells from the aorta align parallel to flow and their gene expression is different from that of aortic endothelial cells exposed to the same mechanical environment. These differences suggest a unique phenotype of VEC not mimicked by vascular cells and could have implications for cardiovascular cell biology and cell-source considerations for tissue-engineered heart valves. Moreover, different transcriptional profiles are expressed by the endothelium on the aortic side versus ventricular side of normal adult pig aortic valves.<sup>155</sup> The reasons for these differences are not yet known.

VIC, the most numerous valvular cell type, synthesize ECM and express matrix degrading enzymes, metalloproteinases (MMPs), and their inhibitors (TIMPs) that mediate matrix remodeling.<sup>1,169</sup> Moreover, VIC comprise a dynamic population of resident cells of diverse and dynamic phenotypes, in a spectrum that ranges from fibroblast-like to myofibroblast-like; their phenotype is regulated by environmental conditions.<sup>1,25,121,168,169</sup> We and others have evaluated VIC phenotypes in normal and diseased human valves. In adult heart valves *in situ*, VIC are quiescent and display a fibroblast-like phenotype, characterized by the presence of vimentin (intermediate filaments), and very low levels of  $\alpha$ -SMA, MMP-13 (proteolytic enzymes), and SMemb (non-muscle myosin heavy chain). Indeed, only 2–5% of normal adult VIC express  $\alpha$ -SMA, which is a marker of myofibroblast-like function.<sup>1,121,142,148</sup> Myofibroblasts are activated fibroblasts that synthesize and remodel the specialized ECM, facilitate tissue remodeling and wound healing, and play a pathological role in fibrotic disease.<sup>99,148</sup> In contrast, as demonstrated by previous *in-vitro* studies using isolated cells cultured from heart valves, 56–78% of cells are  $\alpha$ -SMA-positive.<sup>169</sup> This suggests that removal of cells from the environment of the intact valve (i.e., *in culture*) or their manipulation stimulates VIC. Moreover, treatment of isolated VICs with TGF- $\beta$  strongly activates interstitial cells to the myofibroblast phenotype.<sup>177</sup>

Biomechanical and biochemical factors play an important and potentially synergistic role in determining the local homeostatic environment of the aortic VIC. As demonstrated in *in-vitro* studies, mechanical stimulation (tension) and cytokine stimulation (TGF- $\beta$ 1) were found to



synergistically alter the contractile ( $\alpha$ -SMA) and biosynthetic (heat shock protein, Hsp 47) proteins of aortic VICs to a greater extent than each factor alone.<sup>93</sup> The need for mechanical stimulation and/or cytokines and potentially other soluble factors for the maintenance of appropriate cellular biosynthetic activity will be an important influence on tissue engineering efforts.

### HEART VALVE DEVELOPMENT, MATURATION, ADAPTATION, AND REPAIR

A thorough understanding of developmental biology, physiology, and pathophysiology of heart valves will likely inform tissue engineering. Indeed, some of the processes and regulatory pathways active in valvular development and maturation may be recapitulated in tissue engineered valves. During embryological development, the three germ layers—ectoderm, endoderm, and mesoderm—give rise to cells that differentiate to form the body's tissues and complex organs. The heart develops primarily from the embryonic layer called *mesoderm*.<sup>160</sup> The initial commitment of mesodermal precursor cells to a cardiac lineage depends on complex signaling pathways.<sup>106</sup> Cardiac myocytes become organized into a linear heart tube that subsequently undergoes looping.<sup>174</sup> Growth of the looped heart tube and development of septa leads to the multichambered heart.

During cardiac development, the valve cusps and leaflets originate as outgrowths (known as *endocardial cushions*) from mesodermal derived connective tissue called *mesenchyme*.<sup>30</sup> Endothelial cells lining the inside surface of the cushion forming area undergo an *epithelial-to-mesenchymal transdifferentiation* (EMT) and migrate from the blood-contacting internal heart surface deep into the connective tissue of the subendocardium (called *cardiac jelly*) to become precursors of mature VICs.<sup>5</sup> Widespread in embryological development, epithelial mesenchymal transitions comprise a series of cell–cell and cell–matrix interactions that release epithelial cells from a surface and confer the ability to move through three dimensional ECM and synthesize ECM.<sup>124</sup> The newly formed mesenchymal cells remodel the cushions into leaflets and cusps. Evidence for EMT is provided by mesenchymal cellular expression of  $\alpha$ -SMA, a marker that is not typically expressed by endothelial cells.<sup>31, 110</sup> Numerous signaling pathways, growth and transcriptional factors (including vascular endothelial growth factor [VEGF], nuclear factor in activated T cells [NFATc1], and Notch) regulate the process of heart valve formation.<sup>5, 9, 43, 78</sup>

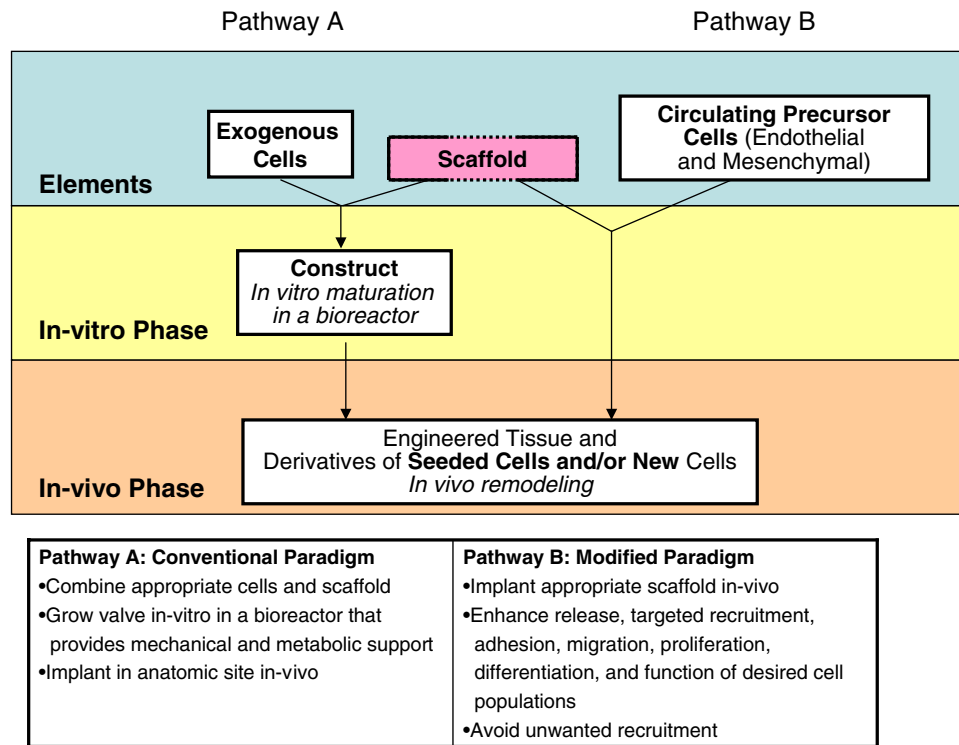
We studied the subsequent maturation and evolution of human semilunar valves in fetuses, neonates, children, and adults.<sup>1</sup> We demonstrated that fetal valves contain immature activated cells and are dynamic and adaptable structures; the architecture, collagen content, and organization were immature compared with that of adult valves. Dur-

ing valve development and maturation, fetal VIC have a myofibroblast-like phenotype, characterized by expression of  $\alpha$ -SMA, MMP-13, and SMemb, and continuously remodel the ECM. The cells become quiescent in the normal valve post-natally, suggesting progressive adaptation to the prevailing hemodynamic environment. Moreover, the cell density progressively decreases (by nearly 90%) throughout life.

The role of myofibroblasts in valvular wound healing, adaptation, and remodeling is best illustrated by comparisons of normal, diseased, autograft, and tissue engineered valves.<sup>121</sup> In conditions of disease (e.g., myxomatous mitral valve),<sup>117</sup> adaptation (early pulmonary-to-aortic autograft),<sup>120</sup> or remodeling (tissue engineered valves *in-vitro* and *in-vivo*),<sup>118</sup> VICs have an activated myofibroblast phenotype, similar to that of fetal valves. Normal and pathological cardiac valves respond to environmental conditions, such as mechanical loading, by cell activation and matrix remodeling. In contrast, following return of a stable equilibrium of mechanical state in development, adaptation, or remodeling, VIC return to their normal quiescent fibroblast phenotype, as exemplified by late pulmonary-to-aortic autografts (>3 years postoperative) and tissue engineered valves implanted *in-vivo*. Therefore, heart valves respond to environmental change via reversible phenotypic modulation of their resident VICs.

### SCAFFOLDS FOR TISSUE ENGINEERING: GENERAL CONCEPTS

A widely accepted paradigm of tissue engineering comprises (1) a *scaffold*, that is pre-seeded with (2) *cells*, followed by (3) an *in-vitro stage* of tissue formation typically conducted in a *bioreactor* (that recapitulates a physiological metabolic and mechanical environment), and subsequently, following implantation of the construct, (4) an *in-vivo stage* of tissue growth and remodeling (Fig. 2, Pathway A).<sup>77</sup> The key pathophysiological processes occurring during the *in-vitro* and *in-vivo* phases are cell proliferation and migration, ECM production and organization, scaffold degradation, and tissue remodeling. The *in-vivo* but not the *in-vitro* phase can involve recruitment of the recipient's inflammatory cells. The resulting tissue engineered construct will likely contain some combination of seeded and/or recipient-derived new cells. An alternative pathway (Fig. 2, Pathway B) utilizes an unseeded scaffold that incorporates biological "information" designed to attract and direct the formation of circulating endogenous precursor cells (potentially both endothelial and mesenchymal) *in-vivo*. Both of these pathways are considered in detail in subsequent sections of this paper. Moreover, host inflammatory cells may play a role in the *in-vivo* phase of either approach. In the following sections we examine the characteristics of biodegradable synthetic scaffolds and natural allograft or xenograft scaffolds; a composite biodegradable polymer



**FIGURE 2. Tissue engineering paradigms. (Pathway A)** The conventional paradigm of tissue engineering comprises a scaffold that is seeded with cells, an *in-vitro* stage of tissue formation typically conducted in a bioreactor, and an *in-vivo* stage of tissue growth and remodeling. The key pathophysiological processes occurring during the *in-vitro* and *in-vivo* phases are cell proliferation, ECM production and organization, scaffold degradation, and tissue remodeling. The resulting tissue engineered construct will contain some combination of seeded and/or new cells. **A modified paradigm (Pathway B)** might utilize an unseeded scaffold that is fabricated with biological “information” designed to attract and provide a suitable substrate for differentiation of circulating precursor cells *in-vivo*.

with collagen or other natural material may also be considered (Table 3).

### Synthetic Scaffolds

Synthetic polymeric scaffolds generally have well controlled and easily reproduced properties. Ideally, a tissue

engineered scaffold should be biocompatible, resorbable, have a highly porous macrostructure (necessary for cell growth, nutrient supply and waste removal) and a surface conducive to cellular attachment and potentially migration, proliferation, differentiation, matrix formation and/or remodeling. A bioresorbable scaffold serves as a temporary matrix until the seeded cells are capable of producing their

**TABLE 3. Comparative analysis of scaffolds.**

	Synthetic scaffolds	Natural scaffolds
Advantages	Control of material structure and properties (e.g. pore size, stability, degradation rate) Easily reproduced	Maintain architecture of the native tissue (potentially valve) Maintain biological information (e.g., reactive sites, growth factors)
Disadvantages	Resorbable Difficulty in controlling cell adhesion and tissue reorganization Inflammation due to incomplete polymer degradation or lack of biocompatibility Space formerly occupied by polymer and its interstices is replaced by fibrosis/scar Limited perfusion to deep cells	Potentially resorbable Decellularization may alter physical properties Difficulty of cell penetration into interior May induce immunologic reaction Potential for calcification

own matrix proteins; the chemical and physical properties and the rate of degradation are generally tailored to the application and the rate of new tissue evolution.<sup>41,53,69,83,100</sup>

*Polyglycolic acid* (PGA) and *polylactic acid* (PLA) and their copolymers are currently the synthetic, biodegradable polymers most widely considered for tissue engineering applications. PGA is a highly crystalline, linear, aliphatic polyester, with a high melting point and low solubility in organic solvents. PGA was used as the first totally synthetic resorbable suture, commercially available under the trade name "Dexon" since 1970. PGA is rapidly resorbable; thus, Dexon sutures tend to lose their mechanical strength rapidly, usually within 2 to 4 weeks after implantation. Copolymers of the hydrophilic PGA with the more hydrophobic PLA increase the range of material properties and hence possible applications; for example, the addition of PLA limits the water uptake and reduces the rate of hydrolysis (the predominant model of degradation) as compared to PGA alone. Considered safe, nontoxic, and biocompatible, these polymers are used successfully in a number of approved medical implants.<sup>48</sup>

The use of a synthetic scaffold introduces challenges in the regulation of cell adhesion and three-dimensional tissue reorganization since such materials are usually isotropic and ECM proteins are not usual constituents of synthetic polymers. For example, PGA, PLA, and their copolymers are generally considered poor substrates for cell growth *in-vitro*.<sup>71</sup> Therefore, bioactive synthetic biomaterials are being developed for use as three-dimensional microenvironments that mimic ECM function.<sup>84,85</sup> Recent advances include nanofibrillar networks formed by self-assembly of small molecules and artificial ECM networks from protein polymers or synthetic polymers that present bioactive ligands and respond to cell-secreted signals to enable remodeling.<sup>115</sup>

An especially attractive concept is that of *smart* biomaterial scaffolds, which carry spatial and chemical information that affects cellular function and/or responds to changes in the environment.<sup>76</sup> Smart scaffolds are exemplified by biodegradable elastic shape-memory polymers that predictably alter their shape with changes in temperature<sup>79</sup> and polymers that transition between hydrophobic and hydrophilic states in response to electric potential.<sup>2,75</sup> Moreover, normal tissue culture dishes grafted with a temperature sensitive polymer PIPAAm (poly *N*-isopropylacrylamide) respond to temperature changes, which alter the adhesion properties of cells to this matrix. At elevated temperatures (above 37°C), the dish surfaces are relatively hydrophobic and cells attach, whereas at lower temperatures (20°C) the polymer surface becomes hydrophilic and swells, forming a hydration layer between the dish surface and the cultured cells. The cells along with their framework ECM spontaneously detach and may be harvested as intact sheets.<sup>186</sup> As the technology develops, smart scaffolds may prove important to applications of stem cells in tissue engineering; for

example, a new three dimensional material with the ability to direct the differentiation of neural progenitor cells into a specific lineage without the help of growth factors has been reported.<sup>154</sup>

Tissue engineered biomaterial constructs must also exhibit mechanical and structural properties comparable to the native tissue they replace, including dynamic anisotropic behaviors optimized for tissue specific function, from the time of implantation of a construct through the lifetime of the recipient. This implies central requirements of relatively constant mechanical properties despite potentially considerable tissue remodeling and scaffold resorption. Moreover, mechanical anisotropy (certainly a characteristic of the natural valves)<sup>92</sup> may be desirable; towards this end, electrospun scaffolds were fabricated which exhibited highly anisotropic mechanical properties that resembled native pulmonary heart valve cusps.<sup>136</sup>

Potential undesirable features of synthetic scaffolds include local tissue inflammation owing to the foreign body reaction and slow and/or incomplete polymer degradation. As the scaffold degrades, the space formerly occupied by a polymer and its interstices is progressively filled by cells and ECM which may eventuate in fibrosis (scar) that poorly resembles specialized native tissue and may contract and distort during maturation. In some cases, cells on the scaffold periphery are healthy and resemble native differentiated parenchymal (i.e., function-specific) tissue whereas cells at the interior become necrotic due to restricted deep delivery of oxygen and nutrients, and removal of wastes.

### *Natural Scaffolds*

Alternative to synthetic scaffolds, natural scaffolds usually comprise pure ECM components (such as collagen or fibrin) or decellularized but otherwise intact allograft or xenograft tissue (such as heart valve or small intestinal submucosa). In cardiovascular tissue engineering, multi-step static seeding methods have been most commonly used; alternatively, efficient single step seeding may be achieved via cellular encapsulation in collagen and fibrin gels. Collagen based constructs seeded with vascular smooth muscle and/or endothelial cells have been utilized to generate tubular blood vessels,<sup>178</sup> one-dimensional strings to serve as a component of composite aortic valve cusps<sup>150</sup> or chordae tendineae<sup>151</sup> and molds for heart valve leaflets.<sup>171</sup> Cells entrapped in collagen gels contract and compact the gels in a process similar to the contraction of a wound during healing, thus increasing their density and enhancing their properties.<sup>170</sup> Alternatively, cells can be encapsulated in an autologous fibrin gel which initially serves to obtain uniform cell distribution and to improve the seeding efficiency.<sup>66,97,134,187</sup> With gel encapsulated cells, newly synthesized ECM accumulates in the immediate extracellular space, rather than diffusing into the surrounding

medium.<sup>187</sup> Reported limitations of the encapsulated cell approach to tissue engineering include excessive shrinkage of the gel/cell construct,<sup>66</sup> and the possibility that the entrapped cells become necrotic or apoptotic.<sup>166</sup> In one *in-vitro* study, cells encapsulated in a fibrin gel were seeded onto a synthetic PGA scaffold to optimize tissue formation and organization. Following fibrin degradation, the underlying synthetic scaffold contributed to the structural integrity of the developing tissue.<sup>97</sup> Additionally, hyaluronan, a viscoelastic and broadly biocompatible material that plays a role during embryonic cardiac development, is also being explored as a potential ECM scaffold material.<sup>88, 129</sup>

Some investigators favor enzymatically decellularized tissue as a natural scaffold. This treatment is done to decrease antigenicity and the risk of calcification (both of which are enhanced by cells and their debris). The hope with a decellularized scaffold is that preseeded or endogenous circulating cells can repopulate such a scaffold. A decellularized allograft or xenograft tissue scaffold may best serve as a template for cellular attachment and retain many of the mechanical and structural properties of native tissue such as tensile strength and unique ECM composition.<sup>54</sup>

Decellularized porcine small intestinal submucosa (SIS) has been studied extensively as a natural resorbable scaffold material that does not require cell seeding.<sup>7</sup> In both animal and human clinical studies, SIS was rapidly remodeled by the host tissue.<sup>133</sup> Useful in implants ranging from particulate material related to the bladder,<sup>182</sup> to sheets that might be used to repair the infarcted left ventricle,<sup>132</sup> SIS has exhibited good vascularization and tissue growth without excessive inflammation and foreign body reaction. The success of SIS has been attributed to its intrinsic ECM proteins, GAGs, cytokines, and growth factors (VEGF and TGF- $\beta$ ).<sup>130</sup>

## CELLS FOR TISSUE ENGINEERING: GENERAL CONCEPTS

While the ultimate goal of tissue engineered heart valves is to recapitulate the matrix and cells found in native tissue, there exists variability in potential strategies and sources of cells. The predominant paradigm provides cell-seeded scaffolds (biodegradable or natural) with the ingredients and environment to form tissue and mature *in-vitro* in a bioreactor, in order to generate a construct which is then implanted *in-vivo* in the desired anatomic location (recall Fig. 2, Pathway A). Potential cellular sources for seeding of scaffolds to fabricate heart valves include differentiated tissue-specific cells (such as endothelial and/or smooth muscle cells<sup>91</sup>) and stem cells that may be autologous or allogenic. In a clinical study, an *in-vitro* endothelialization procedure, in which femoropopliteal arterial grafts composed of expanded polytetrafluoroethylene (ePTFE) were confluent lined with cultured autologous endothelial cells

before implantation, was assessed for its ability to improve the long-term patency of these prosthetic bypass grafts. The results (regrettably not compared with concurrent unseeded control grafts) suggested that autologous endothelial cell lining improved the patency of these small-diameter vascular grafts.<sup>26</sup> Another option is to harness the potential of endogenous cells by utilizing decellularized biological scaffolds that contain intact ECM and other chemical signals necessary to recruit the appropriate cell populations (recall Fig. 2, Pathway B and see below); unseeded scaffolds that could attract endogenous cells *in-vivo* to the site of implantation might permit bypassing the *in-vitro* stage of cell seeding, by facilitating repair by endogenous cells. Nevertheless, presently used tissue heart valve replacements do not endothelialize from circulating blood to a degree sufficient to provide functional benefit. This holds true for both glutaraldehyde-pretreated porcine valves,<sup>59</sup> in which the barrier to endothelialization may result from toxicity induced by residual glutaraldehyde,<sup>37</sup> and cryopreserved allograft heart valves.<sup>95</sup>

The unique properties of stem cells, such as multipotency and capacity for self-renewal, make them attractive cells for tissue engineering.<sup>22, 46</sup> Stem cells are found in the bone marrow of adults, including hematopoietic stem cells (which form the mature blood cells), endothelial stem cells (endothelial progenitor cells, which form components of the cardiovascular system), and mesenchymal stem cells (which form bone, cartilage, muscle, fat, and fibroblasts). Bone marrow-derived adult somatic stem cells are an attractive cell source because they are multipotential cells, in principle capable of differentiation, transformation, and regeneration. We will not discuss stem cells of embryonic origin owing to the complicated scientific ethical and moral issues surrounding their usage. Bone marrow comprises an ideal cell source for tissue engineering because its cells are easily accessible, its primary isolate is a cell suspension that is easier to process and less prone to contamination than solid tissue, and bone marrow will likely be the primary source of cells for endogenous repopulation.<sup>164</sup> Throughout post-natal life, both bone marrow-derived and organ-resident adult stem cells continuously regenerate some tissues (such as skin epithelium, intestinal epithelium, blood cells, and liver when stimulated). Nevertheless, increasing evidence suggests that the heart and the brain can regenerate some of their mass, defying the conventional wisdom that these organs cannot replenish cells lost as a result of maturation, senescence, and injury.<sup>33, 81</sup>

Endothelial progenitor cells (EPCs) are bone marrow-derived hematopoietic stem cells capable of differentiating into the endothelial cells that line the blood vessels and cardiac valves.<sup>56, 61, 125, 173</sup> EPCs maintain vascular homeostasis by promoting reendothelialization after endothelial injury and neovascularization after tissue ischemia. Endothelial progenitor-derived cells obtained from peripheral blood have been expanded *in-vitro* and seeded on a three



dimensional biodegradable PGA-PLLA scaffold. When seeded alone, they maintained an endothelial phenotype for the entire six-week duration of implantation and when co-seeded with smooth muscle cells, the endothelial progenitor derived endothelial cells formed microvessels on the scaffold.<sup>184</sup> EPCs obtained from peripheral blood have also been used to line small diameter vascular grafts.<sup>70</sup>

Mesenchymal stem cells (MSCs), have the potential for differentiating into osteogenic, chondrogenic, adipogenic, and myogenic lineages.<sup>113,116</sup> These can be isolated from adult bone marrow and represent another adult stem cell population that can be used as a cellular source in tissue engineering.<sup>86,90,112</sup> The concept of continuous replacement of connective tissue with bone marrow mesenchymal stem cells parallels the known continuous replacement of blood by bone marrow hematopoietic cells. Cultured MSCs display the spindle shape morphology characteristic of myofibroblasts and express cell markers characteristic of VIC. Upon implantation, these cells retain profiles identical to those seen *in-vitro*. Following myocardial infarction in a mouse model, injected MSCs improve recovery of the infarcted tissue and were thought to differentiate into cardiac myocytes, endothelial cells, and vascular smooth muscle cells.<sup>107</sup> Marrow progenitor cells or multipotent adult progenitor cells (MAPCs) have many attributes of MSCs but they are reported to expand indefinitely (compared to 1 million fold expansion of MSCs) and may have lineage potential that includes ectodermal and endothelial cell types, making them similar to embryonic stem cells.<sup>65</sup>

## BIOREACTORS FOR TISSUE ENGINEERING

Mechanical stimulation through the use of bioreactors during *in-vitro* tissue development is widely utilized in cardiovascular tissue engineering for improving tissue formation, organization, and function. The bioreactor exposes the developing tissue to mechanical conditioning, primarily through cyclical flow and pressure changes that mimic physiological conditions. Bioreactors have been developed that use flow<sup>52,101,158,181</sup> and strain<sup>103,161</sup> as their main mechanical cues to engineer blood vessels and heart valves. For the engineering of heart valves, a diastolic pulse duplicator bioreactor has been developed to mimic only the diastolic phase of the cardiac cycle, resulting in dynamic tissue straining.<sup>96</sup> Additionally, electrical stimulation (designed to mimic native excitation-contraction coupling) has been used as a cue to enhance the structure and function of pulsatile myocardium *in-vitro*.<sup>123</sup> The optimal conditioning protocol depends on numerous parameters such as the sensitivity of the cell phenotype to mechanical cues, the scaffold used, the transfer of the mechanical cues from the scaffold to the cells, and the magnitude and type of mechanical cues.

## KEY *IN-VIVO* STUDIES IN HEART VALVE TISSUE ENGINEERING

Many published studies have used *in-vitro* methods to investigate critical variables and demonstrated “proof-of-principle” of important concepts in tissue engineering germane to heart valves. Only a few key studies have been conducted using synthetic and natural scaffolds in animal models (Table 4). Early studies focused on the design of individual valve leaflets<sup>188</sup> whereas later studies emphasize design of complete valved conduits. In this section, we focus on studies in which scaffolds seeded *in-vitro* were implanted *in-vivo*. Following this section, we discuss the possibility that the recipient can provide all the cells needed to populate and/or remodel a scaffold to yield a functional heart valve *in-vivo*.

### *Implant Studies Using Synthetic Scaffolds Seeded In-Vitro*

In this approach a bioabsorbable polymer provides a temporary scaffold until cells seeded *in-vitro* produce their own matrix proteins (see Fig. 2, Pathway A). The biodegradable polymer PGA and related compounds have been used as biodegradable synthetic polymer scaffolds because this polymer (albeit with suboptimal mechanical properties) is well characterized and approved by the FDA in sutures and other devices for human implantation. In one of the earliest experiments in the field, an isolated tissue engineered heart valve leaflet was implanted in the pulmonary position of sheep using a PGA scaffold seeded with vascular wall cells.<sup>152,153</sup> Histologic evaluation of the constructs showed development of an ECM, endothelialization of the surface, and scaffold remodeling. While this preliminary experiment showed that a tissue engineered valve leaflet constructed from its cellular components can function in the pulmonary valve position, the resultant engineered tissue heart valve cusps were thicker, stiffer, and less pliable than native valves.

To alleviate the problem of scaffold thickness and rigidity, Hoerstrup *et al.*<sup>52</sup> designed a scaffold composite that combined PGA with the strong, flexible poly-4-hydroxybutyrate (P4HB) formed in the configuration of a trileaflet heart valve. P4HB is added to prolong mechanical integrity because PGA degrades faster than P4HB (approximately 4 weeks versus 8 weeks respectively). This scaffold was seeded with differentiated autologous vessel-derived ovine endothelial cells and smooth muscle cells. To simulate a biomimetic environment during tissue formation, the constructs were grown for varying time points in an *in-vitro* pulse duplicator system under gradually increasing flow and pressure conditions while controls were grown in static nutrient medium. After 14 days of *in-vitro* culture, the constructs (valves) grown in the bioreactor showed significantly increased DNA content, higher formation of matrix proteins, a more organized histological structure, and more

**TABLE 4. Representative, animal, and clinical implant studies using seeded and non-seeded matrices.**

Study	Scaffold	Cells	Site
<i>In-vitro</i> seeding			
(A) Shinoka (1995–96)	Polyglycolic acid (PGA)	Autologous ovine endothelial cells and fibroblasts	Replacement of one pulmonary valve (PV) leaflet in sheep
(B) Hoerstrup (2000)	Poly-4-hydroxybutyrate (P4HB) coated PGA	Autologous ovine endothelial cells and myofibroblasts	Replacement of all three PV leaflets in sheep
(C) Steinhoff (2000)	Decellularized pulmonary sheep valves	Autologous ovine endothelial cells and myofibroblasts	PV conduits implanted into sheep
(D) Dohmen (2002)	Decellularized cryopreserved pulmonary allograft	Autologous human vascular endothelial cells	Reconstruction of the right ventricular outflow tract (RVOT) in a human patient
(E) Perry (2003)	P4HB coated PGA	Autologous ovine mesenchymal stem cells	In-vitro only, no <i>in vivo</i> implantation
(F) Iwai (2004)	Poly(lactic-co-glycolic acid) (PLGA) compounded with collagen microsphere	Autologous endothelial and smooth muscle cells; w/ and w/o <i>in-vitro</i> precellularization	Patch implant in canine pulmonary artery
(G) Sutherland (2005)	PGA and poly-L-lactic acid (PLLA)	Autologous ovine mesenchymal stem cells	Replacement of all three PV leaflets in sheep
<i>In-vivo</i> only (No <i>in-vitro</i> seeding)			
(A) Matheny (2000)	Porcine small intestinal submucosa	N/A	Replacement of one PV leaflet in a pig
(B) Elkins (2001)	Decellularized (using SynerGraft treatment) human (CryoValve SG) and sheep pulmonary valves	N/A	SynerGraft-treated and cryopreserved sheep PVs implanted in RVOT in sheep; CryoValve SG human PVs implanted in patients
(C) Simon (2003)	Decellularized porcine Synergraft valve	N/A	Implanted in RVOT in children

favorable mechanical properties than did constructs grown under static culture conditions. Based on these preliminary *in-vitro* studies, seeded constructs that had been matured *in-vitro* in the bioreactor for 14 days were implanted *in-vivo* as a pulmonary valve replacement in an ovine animal model. After 20 weeks *in vivo*, the polymer had been degraded and replaced by a partially endothelialized uniform, layered tissue with layer-specific ECM predominance similar to that of the native valve, including a layer containing elastin near the inflow surface, glycosaminoglycans centrally, and a fibrous layer with abundant collagen near the outflow surface. Mechanical properties were comparable to those of native tissue at 20 weeks.<sup>52</sup> Particularly exciting was the presence of a trilaminar structure resembling the native pulmonary valve, which indicates that dynamic growth, remodeling, and asymmetric structural differentiation had occurred *in-vivo*, probably regulated by the mechanical environment.

Building on these principles, an autologous trileaflet heart valve was created from a biodegradable synthetic scaffold [PGA and poly-L-lactic acid (PLLA)] seeded with autologous bone marrow-derived MSCs. The cell-scaffold construct was cultured *in-vitro* then implanted into the pulmonary artery position of sheep for up to 8 months. The results showed that the construct remodeled *in-vivo* to a tissue with features that simulated those of native valves. In particular, the tissue-engineered valves displayed a trilami-

nar distribution of cells and ECM, analogous to those of native valves—myofibroblasts immediately below the endothelium, fibroblasts expressing vimentin distributed throughout the remainder, and endothelialization. These findings support the potential use of bone marrow derived MSCs as a cell source for the fabrication of heart valves. The editorial accompanying this paper emphasized that, although proof-of-concept has been demonstrated based on remodeling of the construct to a trilaminar structure following implantation, there remain numerous challenges to overcome before such technology can be used in human patients.<sup>6</sup>

#### *Implant Studies Using Biologic Scaffolds Seeded In-Vitro*

An especially attractive concept is that of a native valve biological scaffold, either decellularized xenograft<sup>28, 72, 141, 163</sup> or allograft, that has been seeded with autologous cells *in-vitro*.<sup>10, 158</sup> One study suggested that allogenic decellularized sheep matrix conduits seeded with autologous myofibroblast and endothelial cells may yield viable valves. Following almost complete removal of cells, control unseeded allogenic acellular valves implanted in sheep for up to 3 months showed partial degeneration and no interstitial tissue reconstitution whereas the counterparts seeded with autologous vascular wall cells were reported to

show restitution of the endothelial cell surface, myofibroblasts, and matrix synthesis.<sup>162</sup>

Owing to the antigenicity of xenograft ECM proteins, xenograft scaffolds likely provide a more inflammatory stimulus than allograft scaffolds, exemplified by the cryopreserved homografts presently used clinically.<sup>131</sup> An inflammatory reaction could weaken or scar the heart valve scaffold, making it more susceptible to biomechanical damage. Therefore, human allograft heart valves, either decellularized or not, have been considered as an alternative scaffold. Presently used cryopreserved allograft valves are effectively decellularized following several months of *in-vivo* function, yet they do not grow, remodel, exhibit active metabolic functions, or recellularize, even following long-term function.<sup>95</sup> Moreover, decellularization may alter the physical properties of native valves and newly seeded cells may initially have difficulty growing into a decellularized matrix.

### HARNESSING THE REPARATIVE POTENTIAL OF CIRCULATING ENDOGENOUS CELLS: UNSEEDED SCAFFOLDS

As discussed in the previous section, the basic paradigm of tissue engineering uses a cell seeded scaffold, an *in-vitro* stage of tissue formation, and an *in-vivo* stage of tissue growth and remodeling (see Fig. 2, Pathway A). In this section, we examine an alternative pathway (see Fig. 2, Pathway B) in which an unseeded scaffold could have the potential for attracting circulating precursor cells (endothelial and mesenchymal) *in-vivo* (Fig. 3).

Accumulating evidence suggests that circulating endogenous cells can be recruited *in-vivo* to adhere to intravascular sites via a pathway that likely mimics the adherence of inflammatory cells to the endothelium during physiological inflammation.<sup>73</sup> EPCs promote endothelial regeneration in dog models by covering implanted Dacron grafts<sup>149</sup> and in human studies by covering the blood-contacting surfaces of implanted ventricular assist devices,<sup>40,126</sup> and homing to stents that have been coated with CD34 antibody (a marker found on EPCs).<sup>3</sup> Recent studies have suggested therapeutic potential of EPCs in humans.<sup>125</sup> Ischemic heart disease patients with naturally higher levels of EPCs had a reduced risk of death from cardiovascular causes.<sup>180</sup> Following experimental myocardial infarction, bone marrow derived cells are recruited by selective homing to the area of injury.<sup>98</sup> It has been suggested that intracoronary infusion of EPCs in patients with acute myocardial infarction might potentially contribute to restoring myocardial and endothelial function to the damaged area.<sup>109</sup> Endogenous stimuli such as tissue ischemia and exogenous cytokines promote mobilization of EPCs.<sup>18</sup> Patients with vascular trauma such as acute myocardial infarction display increased numbers of EPCs, which is positively correlated to elevated plasma VEGF.<sup>165</sup> VEGF and other an-

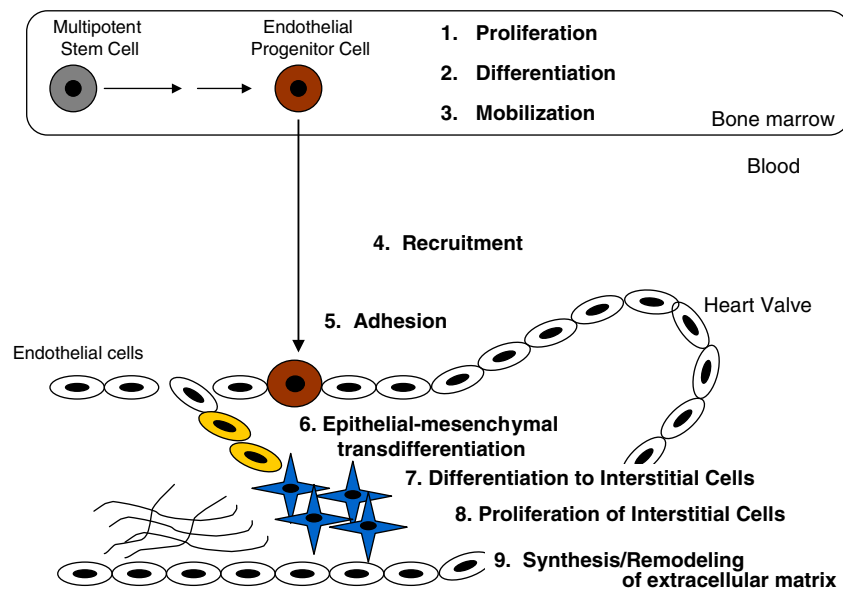
giogenic growth factors such as angiopoietin-1, fibroblast growth factor and stromal cell-derived growth factor-1 promote EPC mobilization and recruitment.<sup>172</sup> One potential strategy may be to coat a scaffold with appropriate cell-signaling molecules in an effort to encourage EPC adhesion and differentiation. An experiment utilizing decellularized porcine aortic valves containing fibronectin and hepatocyte growth factor suggested that the growth factor enhances early endothelial cell recruitment and coverage of the grafts.<sup>108</sup>

Recent experimental evidence suggests that human bone marrow may be a source of progenitor cells contributing smooth muscle-like cells to adult human heart valves.<sup>23,157</sup> Like endothelial cells, smooth muscle cells can also be recruited to sites of vascular injury.<sup>82,138</sup> Experimental evidence in mouse models suggests that bone marrow derived smooth muscle cells may be implicated in degenerative aortic stenosis<sup>167</sup> and atherosclerosis.<sup>135,137,138,139</sup> Evidence of smooth muscle cell recruitment is exemplified by a prototype tissue-engineered vascular graft. In an experiment in which rat arteries were acellularized, recellularized with endothelial cells, and implanted as grafts in the femoral artery for four weeks, immunohistochemical staining of explanted grafts demonstrated a complete layer of endothelial cells on the luminal surface and smooth muscle cell repopulation. Since smooth muscle cells were not originally seeded onto the graft, the authors suggested that they were recruited to the graft from the bone marrow by a mechanism that involved endothelial cells.<sup>13</sup>

Unseeded scaffolds have been examined experimentally, with the goal of achieving *in-vivo* recellularization by circulating endogenous cells. In one experiment, each of four pigs had one pulmonary valve leaflet excised and replaced with a leaflet constructed from porcine SIS. Histology indicated that the implanted matrix was progressively resorbed and replaced by fibrous connective tissue that had features of adult valve.<sup>89</sup> Alternatively, a biodegradable graft containing collagen microsphere was fabricated and tested with and without preseeding.<sup>60</sup> In both cases (SIS and collagen microsphere) there was no thrombus formation, the scaffold was absorbed, and there was endothelialization, parallel smooth muscle cell alignment, elastin, and collagen fibers. These results suggested that the patch promoted *in-situ* cellularization and regeneration of autologous tissue. However, an important limitation of these studies was that the implanted leaflets and patches were small; cellularization of a large implant may be less efficient.

### CLINICAL STUDIES USING ENGINEERED MATRICES AS HEART VALVES

In this section we examine the limited number of studies using engineered matrices in clinical settings. One study used a decellularized pulmonary allograft seeded with autologous endothelial cells and conditioned in bioreactor to



**FIGURE 3.** A representative hypothesis for the population of a tissue engineered heart valve by endogenous cells. Key processes include proliferation, differentiation, and mobilization of endothelial progenitor cells within the bone marrow, followed by recruitment in the blood and adhesion to the valve. Subsequently, recruited cells might undergo an epithelial to mesenchymal transdifferentiation within the valve (recapitulating development), followed by differentiation to interstitial cells that ultimately synthesize and remodel the ECM.

reconstruct the right ventricular outflow tract of adults undergoing the Ross procedure.<sup>29</sup> According to the investigators, based on a one-year follow-up, seeded endothelial cells remained on the construct and were fully functional and the construct mechanical strength was maintained. There was no calcification and/or thrombogenesis. However, whether the seeded cells contributed to valve function is yet uncertain.

Despite promising results in animal experiments using decellularized xenograft scaffolds, translation to humans has been difficult. Clinical applications of implanted decellularized xenograft tissue heart valves have been largely unsuccessful.<sup>156</sup> Histological examination of decellularized porcine aortic SynerGraft valves (Cryolife Inc.) implanted for 6 months in sheep without *in-vitro* preseeding suggested some growth of host cells on intact leaflets and showed a lack of calcification.<sup>32</sup> Simon *et al.* used SynerGraft decellularized porcine heart valves as valve replacements in the right ventricular outflow tract during Ross procedures in children. The decellularized valves were not seeded or conditioned in a bioreactor before implant with the hope that the unseeded scaffold could attract endogenous cells. These valves had a high rate of failure; examination of failed valves revealed incomplete initial decellularization, lack of cell repopularization, lack of endothelialization, severe inflammation, fibrous sheath formation, calcification and severe degeneration of both leaflets and wall.<sup>156</sup> A recent report showed a case with infiltration of a Synergraft valve by inflammatory cells (neutrophils and macrophages) at 5 weeks post implantation.<sup>140</sup>

## CHALLENGES FOR FUTURE TRANSLATION TO THE CLINIC

Heart valve tissue engineering has exciting potential but many unanswered questions and challenges remain before human implantation can be considered. A successful tissue engineered valve must be vital, complex, dynamic, composed of specialized cells and ECM that remodel in response to changes in local mechanical forces, and have ongoing strength, flexibility, and durability, beginning at the instant of implantation and continuing indefinitely thereafter. A schema for the interrelationships among and challenges in tissue characterization for heart valve tissue engineering is summarized in Fig. 4. To provide an agenda for translating the notion of TEHV from an extraordinarily interesting research curiosity to a clinically useful surgical tool, we discuss below both the major research goals—i.e., understanding mechanisms, defining animal models, developing biomarkers, developing assays/tools, defining surrogate and true endpoints—and the major clinical goals—i.e., characterizing and assuring quality tissue constructs, accommodating patient-to-patient heterogeneity in tissue remodeling, and predicting outcomes as early as possible.

Numerous steps must be surmounted in the laboratory before heart valve tissue engineered constructs can be made clinically useful. Typical biomaterial-tissue interactions in medical devices, such as thrombosis, infection, and inflammatory interactions, will have to be acceptable. Another important consideration is whether calcification, the major pathologic process in bioprosthetic valve degeneration,



**TABLE 5. Critical challenges to clinical translation of heart valve tissue engineering.**

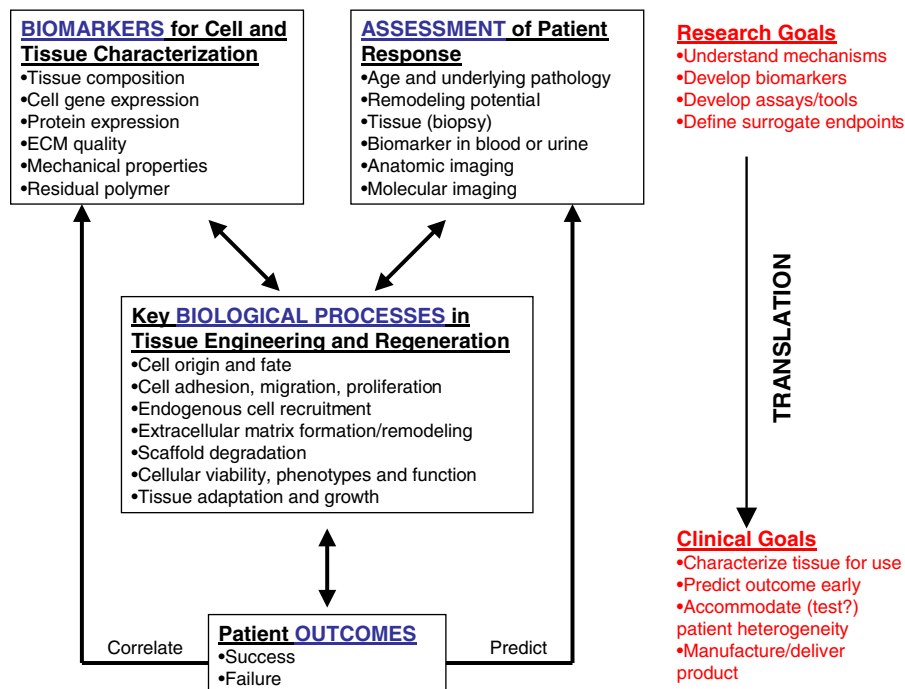
Challenges	Strategy for translation
TEHV components and function are complex, heterogeneous and dynamic	Develop guidelines for the pre-implantation characterization of TEHV structure, function and quality
TEHV function depends upon patient response to implantation and integration with the recipient's tissues more than conventional valve replacement	Identify/validate biomarkers predictive of implant success/failure and capable of non-invasive <i>in-vivo</i> monitoring
Individuals differ in the speed and effectiveness of their tissue remodeling	Assess/control patient variability in tissue remodeling capability
Owing to the key role of patient response, animal models may not reliably predict human outcomes	Validate suitable animal models that will test key biological processes and correlate with human outcomes
Remodeling processes after implantation may release or change seeded cells and recruit host cells	Develop tools to monitor the fate of transplanted and endogenous cells (location, function, viability, phenotype)

will be problematic. Evidence suggests that calcification may not be a major problem as long as polymer or other scaffold is resorbed and/or not intrinsically mineralizable, the interstitial cells are viable, and the ECM is capable of remodeling.

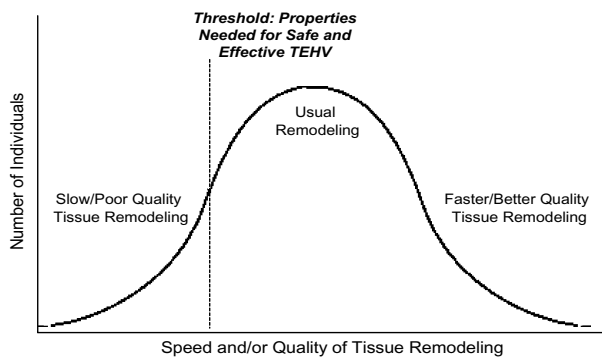
While studies using animal models such as sheep are promising, further detailed studies will be needed in these models, other animal models, and in humans. There is considerable controversy over to what extent results from available animal models translate directly to humans and the most suitable animal model for testing tissue-engineered valves has not yet been determined. Sheep for example,

produce an exuberant fibrotic response to cardiovascular implants; valves implanted in sheep generally overgrow more rapidly with fibrotic tissue than they do in humans, and likely overestimate tissue remodeling relative to humans.<sup>144</sup> Owing to immunologic considerations, the choice of an animal model for preclinical testing for allogenic or xenogeneic cell-based therapies presents unique challenges.

A key consideration is that currently available heart valve replacements have predictable behavior in many recipients whereas *in-vivo* remodeling of tissue engineered heart valves will likely display considerable variability



**FIGURE 4. Paradigm for translating research in heart valve tissue engineering from the laboratory to the clinic. Biomarkers for cell and tissue characterization in conjunction with structural, chemical and molecular information obtained via *in-vitro* and *in-vivo* models are necessary for understanding key biological processes in tissue engineering and regenerative medicine. These concepts and data can be used to predict and measure patient success and failure. Data from clinical experience further informs the development of appropriate biomarkers, which may result in reassessment of the appropriate characterization parameters.**



**FIGURE 5. A hypothesis for inter-individual variability in tissue remodeling.** While most individuals will remodel tissue with a usual speed and quality of remodeling, some people will display slow and poor quality of remodeling while others will show fast and better quality of remodeling. Inadequate remodeling could lead to implant failure and its consequences for the patient. The threshold of properties needed for tissue engineered heart valves and the means of conducting post-implantation surveillance of the patient and graft need to consider this variability. Success or failure may be followed and predicted non-invasively.

among patients, owing to heterogeneity among individuals in physiological tissue remodeling potential. As the field of tissue engineering evolves it may become important to apply principles analogous to those of pharmacogenetics, a field which seeks to understand the role of genetics in inter-individual variation in drug metabolism.<sup>179</sup> Simply stated, some patients might not appropriately remodel their tissue-engineered valves, and this could lead to failure (Fig. 5). This could be a result of mutations or polymorphisms in key proteins central to remodeling.<sup>11,24,38,91</sup> Indeed, as implants have become more interactive and integrative with the host tissues, there has arisen a corresponding need to understand and potentially control human variation in different facets of biomaterial-tissue interaction and the healing process. To accommodate TEHVs, the usual mechanism for demonstrating pre-clinical safety and efficacy of medical devices and biologics may need to be altered due to unpredictability of the engineered tissue with the recipient's native tissue.

To understand, monitor, and potentially control patient-to-patient differences in wound healing and tissue remodeling capability *in-vivo*, biomarkers that predict implant outcomes must be identified. Conventional and innovative invasive and/or non-invasive anatomic and functional imaging modalities will certainly be important tools to assess success and failure. Specific molecular biomarkers may be identified and validated by assessing tissue healing and remodeling during *in-vitro* and *in-vivo* experiments; suitable biomarkers will need to be followed *in-vivo*, possibly via chemical assays in the serum or urine or via molecular imaging. Key targets for characterizing tissue-engineered constructs include tissue composition, cellular gene expression and phenotype, ECM, key effectors of tissue remodeling

and tissue quality. For example, researchers are currently working to identify serum-specific biomarkers of ECM remodeling in disease such as MMPs in acute coronary syndromes,<sup>4,42</sup> and urine-based biomarkers for cancers of the breast,<sup>39,136</sup> bladder,<sup>49,175</sup> and prostate.<sup>55</sup> These biomarkers should correlate directly with success and failure in order to generate surrogate endpoints, namely outcome measurements (such as laboratory assays or imaging results) that substitute for but reflect the mechanism of a significant clinical event or characteristic (such as regurgitation, stenosis, thromboembolism, calcification, infection, or death). Validated surrogate end points could be assessed in an individual patient, in order to predict outcome as early as possible in the patient's course and influence necessary changes in management.

The potential for molecular imaging is particularly exciting in this regard; it requires the identification of a molecular target, selection of a ligand that binds the target, selection of an appropriate imaging system, and synthesis of a molecular imaging agent to detect the desired target.<sup>8,44,57,62,63</sup> *In-vivo* molecular imaging has been used to demonstrate key enzymatic and cellular events in atherosclerosis and thrombosis. For example, imaging studies on inflammatory markers such as proteases (cathepsins and MMPs), activated macrophages (expressing iron oxide), and activated endothelium (intercellular and vascular adhesion molecules) have been performed in atherosclerotic mice.<sup>19,24</sup> Molecular imaging can probe polymorphisms of ECM gene expression *in-vivo* in models of cardiovascular disease,<sup>12,105</sup> and can potentially be translated to perform real-time *in-vivo* characterization of scaffold matrices (either seeded or with the potential of attracting endogenous cells) implanted in animal models. Other imaging modalities such as optical coherence tomography (OCT)<sup>15,47</sup> and intravascular ultrasound (IVUS)<sup>111</sup> have been used to assess collagen content of coronary atherosclerotic plaque; allowing real-time *in-vivo* analysis without tissue sampling. Such imaging modalities may prove useful in assessing tissue remodeling for heart valve tissue engineering applications.

Another important laboratory consideration for seeded scaffolds is the origin of the cells seeded *in-vitro* and whether the seeded cells remain viable and attached to the scaffold following *in-vivo* implantation. In the absence of *in-vitro* cell labeling, it is not possible to ascertain the fate of preseeded cells and the precise origin of the cellular phenotypes observed in the explanted tissue engineered valves. Molecular imaging could be utilized to track the presence, migration, proliferation, and function of bone marrow derived progenitor cells used to seed scaffolds both *in-vitro* and *in-vivo*.<sup>62,63</sup> Moreover, molecular resonance imaging (MRI) of magnetically labeled mesenchymal stem cells injected into porcine myocardium has been performed *in-vivo*,<sup>51</sup> a technique which can potentially be expanded to study magnetically labeled endothelial and mesenchymal progenitor cells seeded on a scaffold and implanted into an

animal or human model. In future experiments, endothelial progenitor and mesenchymal stem cells might be labeled during the *in-vitro* stage and then analyzed using molecular imaging to ensure that they differentiate into appropriate cell lineages and that they remain functional and attached to the scaffold over time.

There is a need to develop clinical guidelines that specify how to characterize the safety, efficacy, and quality of a tissue engineered product before it can be implanted in humans. Demonstration of long-term efficacy (implantability, functionality, long-term performance) and safety (biocompatibility, durability, modes of failure, ease of monitoring) of these valves in humans will be a particular challenge. Risk/benefit relationships of engineered tissue may be less predictable than those of accepted technology. Since contemporary heart valve replacements have considerable success in most situations (not withstanding the limitations, and except in pediatrics), acceptance of tissue engineering by the surgical community may be slow. It has been suggested that surgeons will consider the use of a tissue-engineered valve in a patient beyond appropriately controlled clinical research only after the 15-year lifetime of conventional valve substitutes can be exceeded with a high degree of certainty.<sup>128</sup>

Another key need is the development of science-based approaches to the characterization of fabricated/manufactured engineered tissue products in general and heart valves in particular. These will likely include measurement of mechanical properties of the scaffold and the tissue-scaffold complex, characterization of the dynamic cell phenotypes and ECM components, and the evolution of the final manufactured product, including shelf-life, stability, and shipping considerations.

## CONCLUSIONS

The goal of heart valve tissue engineering is to regenerate a functional structure containing endothelial and interstitial cells capable of continuously remodeling the ECM that functions structurally and biomechanically as a valve leaflet. Despite an exciting potential for tissue engineered heart valves, significant technical barriers must be overcome before widespread clinical application can be envisioned. Further success toward a clinically useful tissue engineered heart valve will be dependent upon additional advances in biodegradable polymers, stem cell manipulation, strategies for recreating the ECM, understanding how to harvest the potential of endogenous recruitment of cells, and techniques to non-invasively assess the speed and quality of tissue healing and remodeling. This need is likely to engender a host of novel testing strategies and methods, which will include *in-vitro* safety studies, *ex-vivo* performance characterization in functional testing devices akin to bioreactors, and *in-vivo* preclinical studies.

## NOTE ADDED IN PROOF

Several studies relevant to and that became available during final production of this manuscript may interest readers.

**Visconti, R.P., Y. Ebihara, A.C. LaRue, P.A. Fleming, T.C. McQuinn, M. Masuya, H. Minamiguchi, R.R. Markwald, M. Ogawa, and C.J. Drake. An *in-vivo* analysis of hematopoietic stem cell potential: hematopoietic origin of cardiac valve interstitial cells. *Circ. Res.* 98:690–696, 2006.**

To test the hypothesis that hematopoietic stem cells (HSC) may be a source of adult valve interstitial cells, single lineage-negative (Lin-), c-kit(+), Sca-1(+), CD34-cells from the bone marrow of mice that ubiquitously express enhanced green fluorescent protein (EGFP) were transplanted into a lethally irradiated congenic non-EGFP mouse. Histological analyses of valve tissue from clonally engrafted recipient mice revealed the presence of numerous EGFP+ cells within host valves, some of which exhibited synthetic properties characteristic of fibroblasts (expression of mRNA for procollagen 1 alpha 1). The cells were shown to be the result of HSC-derived cell differentiation and not fusion with host somatic cells. Together, these findings demonstrate a contribution by HSCs to the adult valve interstitial cell population in mice.

**Cao, F., S. Lin, X. Xie, P. Ray, M. Patel, X. Zhang, M. Drukker, S.J. Dylla, A.J. Connolly, X. Chen, I.L. Weissman, S.S. Gambhir, and J.C. Wu. *In vivo* visualization of embryonic stem cell survival, proliferation, and migration after cardiac delivery. *Circulation* 113:1005–1014, 2006.**

As discussed in the body of the present manuscript, monitoring the trafficking and function of stem cells *in vivo* remains problematic owing to limitations of conventional histological assays and imaging modalities. A recent study demonstrated a method by which embryonic stem (ES) cells could be stably transduced with a lentiviral vector carrying a novel triple-fusion (TF) reporter gene, tracked by positron emission tomography, and monitored for survival, proliferation, and migration. This imaging platform should have broad applications for basic research and clinical studies on stem cell therapy.

**Kiernan, T.J. Endothelial progenitor cells in 2006 – where are we now? *Cardiovasc. Pathol.* 15:236–239, 2006.**

A recent brief review of the current status of endothelial progenitor cells (EPCs), including their role as biomarkers and potential therapeutic applications, may be useful to the reader of the present manuscript. The authors emphasize critical questions relating to the characterization of the biological phenotype of “true” EPCs and the mechanisms of interaction of EPCs with resident cells of the vascular wall.

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