ORIGINAL RESEARCH

Inhaled Corticosteroids in Patients with Chronic Obstructive Pulmonary Disease and Risk of Acquiring *Streptococcus pneumoniae* Infection. A Multiregional Epidemiological Study

Christian Kjer Heerfordt [1], Josefin Eklöf[1], Pradeesh Sivapalan[1], Truls Sylvan Ingebrigtsen[1], Tor Biering-Sørensen[2–4], Zitta Barrella Harboe [4,5], Jesper Koefod Petersen[6,7], Christian Østergaard Andersen[8], Jonas Bredtoft Boel [9], Anne Kathrine Bock[10], Alexander G Mathioudakis[11,12], John R Hurst [13], Shailesh Kolekar [7], Sofie Lock Johansson[14], Jette Marie Bangsborg[9], Jens Otto Jarløv[9], Ram Benny Dessau [15], Christian Borbjerg Laursen[14,16], Michael Perch[4,17], Jens-Ulrik Stæhr Jensen [1,4,18]

[1]Section of Respiratory Medicine, Department of Medicine, Copenhagen University Hospital Herlev and Gentofte Hospital, Hellerup, Denmark; [2]Department of Cardiology, Herlev and Gentofte Hospital, Cardiovascular Non-Invasive Imaging Research Laboratory, University of Copenhagen, Copenhagen, Denmark; [3]Faculty of Biomedical Sciences, Copenhagen University, Copenhagen, Denmark; [4]Department of Clinical Medicine Faculty of Health and Medical Sciences, University of Copenhagen, Copenhagen, Denmark; [5]Department of Respiratory Medicine and Infectious Diseases, Copenhagen University Hospital, North Zealand, Denmark; [6]Department of Respiratory Medicine, Zealand University Hospital Naestved, Naestved, Denmark; [7]Department of Pulmonary Medicine, Zealand University Hospital, Roskilde, Denmark; [8]Department of Clinical Microbiology, Hvidovre University Hospital, Hvidovre, Denmark; [9]Department of Clinical Microbiology, Herlev and Gentofte Hospital, University of Copenhagen, Herlev, Denmark; [10]Department of Respiratory Diseases and Allergy, Aarhus University Hospital, Aarhus, Denmark; [11]The North West Lung Centre, Wythenshawe Hospital, Manchester University NHS Foundation Trust, Manchester, UK; [12]Division of Infection, Immunity and Respiratory Medicine, School of Biological Sciences, The University of Manchester, Manchester Academic Health Science Centre, Manchester, UK; [13]UCL Respiratory, University College London, London, UK; [14]Department of Respiratory Medicine, Odense University Hospital, Odense, Denmark; [15]Department of Clinical Microbiology, Zealand University Hospital, Slagelse Hospital, Slagelse, Denmark; [16]Institute for Clinical Research, University of Southern Denmark, Odense, Denmark; [17]Department of Cardiology, Section for Lung Transplantation, Rigshospitalet, Copenhagen, Denmark; [18]PERSIMUNE & CHIP: Department of Infectious Diseases, Rigshospitalet, University of Copenhagen, Copenhagen, Denmark

Correspondence: Christian Kjer Heerfordt, Section of Respiratory Medicine, Department of Medicine, Copenhagen University Hospital Herlev and Gentofte Hospital, Hellerup, Denmark, Tel +4523303431, Email christian.kjer.heerfordt@regionh.dk

Background: Inhaled corticosteroids (ICS) are associated with an increased risk of clinical pneumonia among patients with chronic obstructive pulmonary disease (COPD). It is unknown whether the risk of microbiologically verified pneumonia such as pneumococcal pneumonia is increased in ICS users.

Methods: The study population consists of all COPD patients followed in outpatient clinics in eastern Denmark during 2010–2017. ICS use was categorized into four categories based on accumulated use. A Cox proportional hazard regression model was used adjusting for age, body mass index, sex, airflow limitation, use of oral corticosteroids, smoking, and year of cohort entry. A propensity score matched analysis was performed for sensitivity analyses.

Findings: A total of 21,438 patients were included. Five hundred and eighty-two (2.6%) patients acquired a positive lower airway tract sample with *S. pneumoniae* during follow-up. In the multivariable analysis ICS-use was associated with a dose-dependent risk of *S. pneumoniae* as follows: low ICS dose: HR 1.11, 95% CI 0.84 to 1.45, p = 0.5; moderate ICS dose: HR 1.47, 95% CI 1.13 to 1.90, p = 0.004; high ICS dose: HR 1.77, 95% CI 1.38 to 2.29, p < 0.0001, compared to no ICS use. Sensitivity analyses confirmed these results.

Interpretation: Use of ICS in patients with severe COPD was associated with an increased and dose-dependent risk of acquiring *S. pneumoniae*, but only for moderate and high dose. Caution should be taken when administering high dose of ICS to patients with COPD. Low dose of ICS seemed not to carry this risk.

Keywords: COPD, inhaled corticosteroids, *Streptococcus pneumoniae*, clinical epidemiology

Introduction

Chronic obstructive pulmonary disease (COPD) is often associated with an abnormal inflammatory response in the lungs.[1] Inhaled corticosteroids (ICS) in different combinations with bronchodilators have in several randomized trials showed reduction in exacerbation risk.[2–5] However, ICS appear to have minimal or no impact on decline of lung function[6] and patients without eosinophilic inflammation may not benefit from such treatment.[7] ICS treatment is known to confer increased risk of clinical pneumonia.[8] Whilst ICS are first-line therapy for patients with asthma, the efficacy, safety and role of ICS in the management of patients with COPD is of a more complex nature and adverse effects may outweigh the benefits.[6]

Streptococcus pneumoniae (*S. pneumoniae*) is one of the most common causes of community acquired pneumonia. Lower respiratory tract infections due to *S. pneumoniae* are associated with significant morbidity and mortality world-wide, particularly among elderly, immunocompromised, and patients with COPD.[9,10] The risk for pneumonia-related mortality is almost threefold higher when pneumonia is pneumococcal.[10] Patients with chronic respiratory diseases have an increased risk of acquiring pneumococcal pneumonia (Rate ratio 3.7–9.8) and an increased risk of invasive pneumococcal disease (Rate ratio 2.5–7.7).[9]

Previously, the increased risk of pneumonia related to ICS usage has been investigated solely by clinical or radiological defined pneumonia. In this study, we used microbial samples from the lower airways which is a more specific method since clinical pneumonia can be caused by many pathogens, and may be purely inflammatory without microbiological cause. Furthermore, it is unknown whether ICS dosage affects the risk of specific pneumonia etiologies such as *S. pneumoniae*.

This study aimed to determine the risk of accruing a positive *S. pneumoniae* lower airway tract sample associated with dosages of ICS in COPD patients.

Methods

Study Design

This observational cohort study consisted of COPD patients with an outpatient clinic visit registered between January 2010 and February 2018. The first clinical visit was defined as study entry. Data on hospital admission, comorbidities, and medication were gathered from the previous year to cohort entry. Age, body mass index (BMI), Forced Expiratory Volume in the first second (FEV1), Medical Research Council dyspnea score (MRC), and smoking status were collected on study entry. If a value of a given variable on study entry was missing, the value was taken from the next clinical visit with a registered value leading to high data completeness. We followed the patients for one year or until the end of the study period (19th February 2018). Follow up ended if the patient had a positive *S. pneumoniae* lower airway tract sample or if the patient died. The primary outcome was a positive *S. pneumoniae* sample obtained from the lower part of the respiratory tract (ie, expectorate, tracheal secretion, or bronchial alveolar lavage). Samples are not routinely gathered from patients without signs of infection. Selection criteria are summarized in Figure 1. We excluded the following: (1) patients from the western regions of Denmark because of no access to microbiological data, (2) patients with a positive *S. pneumoniae* sample from the lower respiratory tract within the month prior to cohort entry, (3) patients receiving any disease-modifying antirheumatic drugs (Anatomical Therapeutic Chemical (ATC) codes:[11] L04AX03, L01AA01, A07EC01, L04AD01, L04AA13, L04AX01, L04AA06, P01BA02) one year before cohort entry, and (5) patients with immunodeficiency (International Classification of Disease (ICD-10) codes: D80-85, D89) or malignant disease (ICD-10 codes: C00-C97) diagnosed within 5 years prior to cohort entry. ICD-10 codes are summarized in Supplementary Table 1. This study is written in accordance with STROBE guidelines. The protocol with an analysis plan was written before the data analysis and is available online on the COP:TRIN website.[12]

Source of Data

This study combined four different Danish national health databases. Access was granted in agreement with the Danish law on Data protection. The following 4 registers were used: The Danish Register of Chronic Obstructive Pulmonary Disease (DrCOPD) is a national register. DrCOPD comprises data on all patients with a diagnosis of COPD registered in Danish hospitals and around 90% complete datasets. The database allowed us to find COPD patients with outpatient clinic visits at pulmonologist, and provides data on age, BMI, pulmonary parameters, and smoking status.[13] The Danish National Database of Reimbursed Prescriptions (DNDRP) holds information of all nationwide redeemed prescriptions since 2004. Drugs are
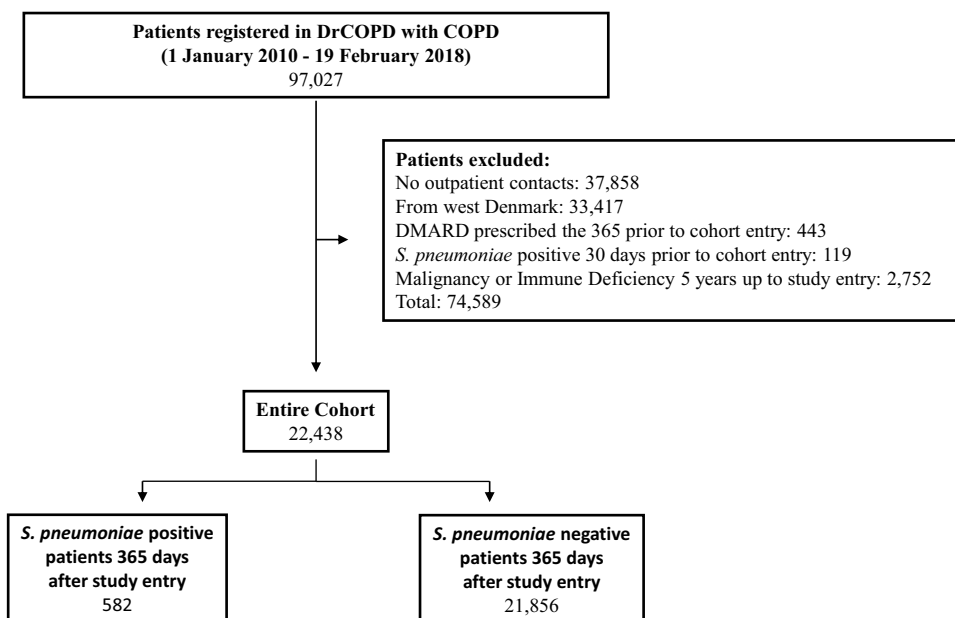
Figure 1 Selection criteria flowchart. The entire cohort consists of 22,438 patients, of which 582 acquired a positive *S. pneumoniae* from a lower airway tract sample during follow up period of 365 days.
Abbreviations: DRCOPD, Danish Register of Chronic Obstructive Pulmonary Disease; DMARD, Disease Modifying Anti-Rheumatic Drugs; *S. pneumoniae*, *Streptococcus pneumoniae*.

registered after the Anatomical Therapeutic Chemical (ATC) classification.[14] This database was used to collect information about ICS exposure, other inhalation medicine, and antibiotics. Microbiological data were supplied from the Clinical Microbiology Departments in Eastern Denmark (Region Zealand and the Capital Region) to retrieve patients with positive *S. pneumoniae* samples from lower respiratory tract samples. The Danish National Patient Registry (DNPR) contains data on hospital admissions and outpatient visits including information on registered comorbidities.[15] The linking of databases is possible due to the Danish civil personal registry number, a 10-digit number unique for every Danish citizen.

ICS Exposure

Exposure to ICS was calculated as the accumulated budesonide equivalent dose using all ICS prescriptions reimbursed within 365 days prior to study entry. An accumulated dose was calculated, and the different ICS types were converted into budesonide-equivalent doses, mometasone and beclomethasone were considered equivalent to budesonide ciclesonide at 2.5:1, fluticasone propionate at 2:1, and fluticasone furoate at 10:1.,[16] ICS-users were categorized into tertiles, low, moderate, and high ICS use based on accumulated budesonide-equivalent dose.

Statistical Analysis

The risk of obtaining a positive *S. pneumoniae sample* associated with ICS use was estimated by a Cox proportional hazard regression model. Death was censored. The model was adjusted for the following suspected confounders: GOLD stage[17] (percentage of predicted FEV1; stage 1–4), BMI (class 1–5, class 2 used as reference), smoking status (active or not active. Never smokers were categorized as not active smokers due to the rarity of never smokers in the Danish COPD population), age (group 1–4 as shown in Table 1), sex (male or female), the accumulated dose of oral corticosteroid (OCS) used one year prior to study entry (no OCS, low or high use), and calendar year for study entry. Groups used in the Cox analysis are shown in Table 1. The model was checked for proportionality. Continuous variable used in the model met the linearity criteria for the Cox regression. Additionally, we conducted stratified Cox analyses for budesonide and fluticasone users, adjusting for the same variables as in the main analysis. The propensity matching was made based on the same covariates adjusted for in the Cox analysis using the Greedy-Match algorithm suggested by the Mayo Clinic.[18] Patients exposed to low tertile and no ICS dose were matched with patients exposed to middle and high tertile of ICS

equivalent accumulated dose in a one-to-one ratio. An unadjusted Cox proportional hazard regression was used to estimate the risk of *S. pneumoniae* in the propensity matched population.

To address missing values for the Cox and the Propensity match these were replaced with the most common value for the given parameter to minimize attrition bias.

Results

We included 22,438 patients with COPD with a minimum of one outpatient visit (Figure 1). A total of 582 patients had a positive *S. pneumoniae* sample from the lower respiratory tract within 365 days after cohort entry. The study populations median age was 70 years, median BMI was 25 kg/m^2, and the median FEV1% was 50%. Characteristics for patients with and without a positive *S. pneumoniae* sample and for the propensity matched cohort are reported in Table 1.

Table 1 Patient Characteristics for the Study Cohort Based on the Danish COPD Register (n = 22,438) According to Findings of *S. pneumoniae* Isolated from Lower Respiratory Tract Samples and the Propensity-Matched Cohort (n = 13,324). There Were No Significant Differences on the Matched Variables in the Propensity-Matched Cohort

	Entire Cohort (n=22,438)		Propensity-Matched Cohort (n=13,324)	
	S. pneumoniae Positive (n=582)	*S. pneumoniae* Negative (n=21,856)	High or Moderate Tertile ICS Exposure* (n=6662)	Low Tertile or No ICS Exposure* (n=6662)
Demographics at cohort entry				
Age, years, median (IQR)	67.8 (61.0–74.6)	69.7 (61.9–77.1)	70.1 (63.0–77.5)	70.5 (62.8–77.9)
Age group, years, n (%)				
<62	158 (27.2)	5531 (25.3)	1483 (22.3)	1536 (23.1)
62–69	190 (32.7)	5626 (25.7)	1823 (27.4)	1685 (25.3)
70–77	141 (24.2)	5823 (26.6)	1786 (26.8)	1812 (27.2)
>77	93 (16.0)	4876 (22.3)	1570 (23.6)	1629 (24.5)
Male, n (%)	305 (52.4)	10,223 (46.8)	2986 (44.8)	3013 (45.2)
BMI, kg/m,^{2} median (IQR)	23 (20–27)	25 (21–29)	25 (21–29)	25 (21–29)
BMI class, kg/m,^{2} n (%)				
<18.5	92 (15.8)	1813 (8.3)	601 (9.0)	519 (7.8)
18.5–24.9	223 (38.3)	7306 (33.4)	2935 (44.1)	2987 (44.8)
25–29.9	141 (24.2)	5780 (26.5)	1822 (27.4)	1810 (27.2)
30–34.9	54 (9.3)	2819 (12.9)	841 (12.6)	875 (13.1)
≥35	25 (4.3)	1503 (6.9)	463 (7.0)	471 (7.1)
Unknown	47 (8.1)	2635 (12.1)	-	-
Smoking status, n (%)				
Active	268 (46.1)	7317 (33.5)	2180 (32.7)	2236 (33.6)
Former	257 (44.2)	11,404 (52.2)	4291 (64.4)	4200 (63.0)
Never	9 (1.6)	701 (3.2)	191 (2.9)	226 (3.4)
Unknown	48 (8.3)	2434 (11.1)	-	-

(*Continued*)

Table 1 (Continued).

	Entire Cohort (n=22,438)		Propensity-Matched Cohort (n=13,324)	
	S. pneumoniae Positive (n=582)	*S. pneumoniae* Negative (n=21,856)	High or Moderate Tertile ICS Exposure* (n=6662)	Low Tertile or No ICS Exposure* (n=6662)
Pulmonary parameters at cohort entry				
MRC (1–5), Median (IQR)	3 (2–4)	3 (2–4)	3 (2–4)	3 (2–4)
MRC unknown, n (%)	53 (9.1)	2751 (12.6)	-	-
FEV1(%) median (IQR)	40 (28–51)	50 (36–63)	48 (36–60)	48 (36–62)
GOLD stage 1–4 according to FEV1(%), n (%)				
≥ 80	15 (2.8)	1334 (6.9)	288 (4.3)	229 (3.4)
79–50	133 (24.9)	8358 (43.3)	3114 (46.7)	3218 (48.3)
49–30	228 (42.7)	6706 (34.7)	2431 (36.5)	2373 (35.6)
<30	158 (29.6)	2910 (15.1)	829 (12.4)	842 (12.6)
Unknown	48 (8.2)	2548 (11.7)	-	-
Hospitalization 365 days prior to cohort entry				
Number of AECOPD-Hosp, n (%)				
0	190 (32.7)	10,893 (49.8)	3043 (45.7)	3265 (49.0)
1	84 (14.4)	2998 (13.7)	928 (13.9)	962 (14.4)
≥2	308 (52.9)	7965 (36.4)	2691 (40.4)	2435 (36.6)
≥1 All-Cause-Hosp, n (%)	451 (77.5)	13,981 (64.0)	4.262 (64.0)	4.422 (66.4)
Comorbidities†, n (%)				
Inflammatory polyarthropathy	64 (11.0)	2097 (9.6)	624 (9.4)	694 (10.4)
Systemic connective tissue disorder	27 (4.6)	1039 (4.8)	278 (4.2)	378 (5.7)
Myocardial infarction	103 (17.7)	3002 (13.7)	900 (13.5)	963 (14.5)
Atrial fibrillation	175 (30.1)	5359 (24.5)	1717 (25.8)	1702 (25.6)
Heart failure	129 (22.2)	3753 (17.2)	1157 (17.4)	1237 (18.6)
Hypertension	257 (44.2)	10,033 (45.9)	3109 (46.7)	3158 (47.4)
Renal failure	90 (15.5)	2726 (12.5)	801 (12.0)	911 (13.7)
Peripheral vascular disease	125 (21.5)	3927 (18.0)	1191 (17.9)	1265 (19.0)
Cerebrovascular disease	104 (17.9)	3866 (17.7)	1151 (17.3)	1278 (19.2)
Diabetes mellitus, type 2	101 (17.4)	3842 (17.6)	1206 (18.1)	1224 (18.4)
Asthma	192 (33.0)	5313 (24.3)	2210 (33.2)	1233 (18.5)
Bronchiectasis	41 (7.0)	640 (2.9)	165 (2.5)	246 (3.7)
Medication use 365 days prior to cohort entry				
OCS accumulated dose, mg, median (IQR)	750 (375–2500)	625 (250–2000)	500 (250–1500)	500 (250–1750)
No use, n (%)	255 (43.8)	13,803 (63.2)	3968 (59.6)	4086 (61.3)

(Continued)

Table 1 (Continued).

	Entire Cohort (n=22,438)		Propensity-Matched Cohort (n=13,324)	
	S. pneumoniae Positive (n=582)	*S. pneumoniae* Negative (n=21,856)	High or Moderate Tertile ICS Exposure* (n=6662)	Low Tertile or No ICS Exposure* (n=6662)
Low dose‡, n (%)	136 (23.4)	4057 (18.6)	1554 (23.3)	1373 (20.6)
High dose‡, n (%)	191 (32.8)	3996 (18.3)	1140 (17.1)	1203 (18.1)
LABA or LAMA users, n (%)	465 (80.0)	14,530 (66.5)	5414 (81.3)	4055 (60.9)
Theophylline user, n (%)	31 (5.3)	693 (3.2)	299 (4.5)	129 (1.8)
Any use of antibiotic, n (%)	460 (79.0)	14,731 (79.0)	4828 (72.5)	4369 (65.6)

Notes: *Based on ICS accumulated budesonide equivalent doses 1 year prior to cohort entry. The ICS equivalent dose was divided into 3 tertiles, low moderate and high. †ICD-10 codes used to define comorbidities are summarized in Supplementary Table 1. ‡OCS dose was divided into low or high using the 750 mg median. 750 mg roughly translate into 3 prednisolone regimes of 37.5 mg x 5, taking the most used package size of 25 mg x 10 into consideration.
Abbreviations: COPD, chronic obstructive pulmonary disease; *S. pneumoniae*, *Streptococcus pneumoniae*; ICS, inhaled corticosteroid; IQR, inter-quartile range; BMI, body mass index; MRC, Medical Research Council dyspnea score; FEV1, Forced Expiratory Volume in the first second; GOLD, Global Initiative for Chronic Obstructive Lung Disease; AECOPD, Acute Exacerbation of Chronic Obstructive Pulmonary Disease; Hosp, hospitalization; OCS, oral corticosteroid; LABA, long-acting beta-agonist; LAMA, long-acting muscarinic antagonist.

The average daily ICS equivalent dose for the entire cohort was 546 µg. Budesonide and fluticasone were the most frequently prescribed ICS. Characteristics of ICS usage are reported in Table 2.

The lowest tertile of ICS users did not have an increased risk of *S. pneumoniae* carriage in the adjusted analysis (HR 1.11, 95% CI 0.84–1.45, p=0.5), whereas the moderate (HR 1.47, 95% CI 1.13–1.90, p=0.004), and highest tertile (HR 1.77, 95% CI 1.38–2.29, p<0.0001) had a substantially higher risk as reported in Table 3. Figure 2 illustrates the cumulative incidence. In the

Table 2 Use of ICS the 365 Days Prior to Cohort Entry According to Findings of *S. pneumoniae* Isolated from Lower Respiratory Tract Samples

	S. pneumoniae Positive ICS Users (n=464)	*S. pneumoniae* Negative ICS Users (n=14,470)
ICS users in defined tertiles* n (%)		
Low (9.6–120 mg)	104 (22.4)	4981 (34.4)
Moderate (124–299.2 mg)	151 (32.5)	4694 (32.4)
High (≥300 mg)	209 (45.0)	4795 (33.1)
Accumulated equivalent† ICS dose, mg, median (IQR)	268.8 (134.4–493.2)	199.2 (96–360)
Daily ICS equivalent† dose, µg, mean	736.4 (368.2–1351.2)	545.8 (263.1–986.3)
Number of prescriptions, median (IQR)	6 (3–9)	5 (2–8)
Number of prescriptions by ICS type		
Beclomethasone	43	739
Budesonide	1714	53,252
Fluticasone	1204	27,501
Ciclesonide	3	104
Mometasone	18	304

Notes: *Based on ICS accumulated budesonide equivalent dose 1 year prior to cohort entry. The ICS equivalent dose was divided into 3 tertiles, low, moderate, and high. †Budesonide equivalent dose were calculated using the following ratios: beclomethasone 1:1, fluticasone propionate at 2:1, fluticasone furoate at 10:1, ciclesonid 2:1, and mometasone 1:1.
Abbreviations: ICS, inhaled corticosteroid; *S. pneumoniae*, *Streptococcus pneumoniae*; IQR, interquartile range.

378

International Journal of Chronic Obstructive Pulmonary Disease 2023:18

Table 3 Unadjusted and Adjusted* Cox-Regression Hazard Estimates for Risk of *S. pneumoniae* with Use of ICS in the Study Population (n = 22,438) and Propensity Score Matched Population (n = 13,324)

	Cox for Entire Population (n=22,438)				Cox for Propensity Matched Population (n=13,324)	
	Unadjusted HR (95% CI)	P-value	Adjusted* HR (95% CI)	P-value	HR After Matching (95% CI)	P-value
Accumulated ICS† dose 365 days prior cohort entry						
No use	Ref	-	Ref	-	Ref	-
Low 9.6–120 mg	1.24 (0.95–1.62)	0.1	1.11 (0.84–1.45)	0.5	1.24 (0.90–1.70)	0.2
Moderate 124–299,2 mg	1.94 (1.52–2.47)	<0.0001	1.47 (1.13–1.90)	0.004	1.40 (1.02–1.88)	0.03
High > 300 mg	2.69 (2.14–3.38)	<0.0001	1.77 (1.38–2.29)	<0.0001	1.75 (1.30–2.36)	0.0002
Fluticasone compared to Budesonide‡	1.43 (1.19–1.72)	0.0001	1.19 (0.97–1.47)	0.1		
Accumulated OCS dose 365 days prior to cohort entry						
No use	Ref	-	Ref	-		
Low ≤750 mg	1.77 (1.44–2.19)	<0.0001	1.49 (1.20–1.86)	0.0003		
High >750 mg	2.67 (2.21–3.22)	<0.0001	2.06 (1.68–2.52)	<0.0001		
Active smoking	1.66 (1.41–1.96)	<0.0001	1.56 (1.3–1.9)	<0.0001		
Age, years, group						
<62	Ref	-	Ref	-		
62–69	1.22 (0.98–1.51)	0.07	1.16 (0.93–1.44)	0.2		
70–77	0.90 (0.72–1.14)	0.4	0.91 (0.72–1.15)	0.4		
>77	0.75 (0.58–0.97)	0.03	0.81 (0.62–1.06)	0.1		
Male	1.26 (1.07–1.49)	0.006	1.36 (1.15–1.61)	<0.001		
BMI class, kg/m²						
<18.5	1.90 (1.49–2.41)	<0.0001	1.39 (1.08–1.77)	0.01		
18.5–24.9	Ref	-	Ref	-		
25–29.9	0.83 (0.68–1.02)	0.8	0.85 (0.69–1.04)	0.1		
30–34.9	0.67 (0.50–0.90)	0.007	0.68 (0.50–0.91)	0.009		
≥35	0.60 (0.40–0.90)	0.01	0.61 (0.40–0.92)	0.02		
FEV1%, GOLD grade 1–4						
≥80	Ref	-	Ref	-		
79–50	1.65 (0.96–2.85)	0.07	1.52 (0.88–2.62)	0.1		
49–30	3.25 (1.90–5.58)	<0.0001	2.36 (1.37–4.07)	0.002		
<30	5.47 (3.17–9.45)	<0.0001	3.09 (1.76–5.40)	<0.0001		

Notes: *Adjusted for age, BMI, sex, FEV1%, smoking status, OCS-usage and year of cohort entry. †Based on ICS accumulated budesonide equivalent dose 365 days prior to cohort entry. The ICS equivalent dose was divided into 3 tertiles: low, moderate, and high. The reason for the gap in the dose interval is due to conversion, no patients were removed in the process of making tertiles. ‡Users with prescriptions of both fluticasone and budesonide 365 days prior to cohort entry were removed from this part of the analysis.
Abbreviations: *S. pneumoniae, Streptococcus pneumoniae*; ICS, inhaled corticosteroid; HR, hazard ratio; 95% CI, 95 confidence interval; OCS, oral corticosteroid; BMI, body mass index; FEV1, Forced Expiratory Volume in the first second; GOLD, Global Initiative for Chronic Obstructive Lung Disease.
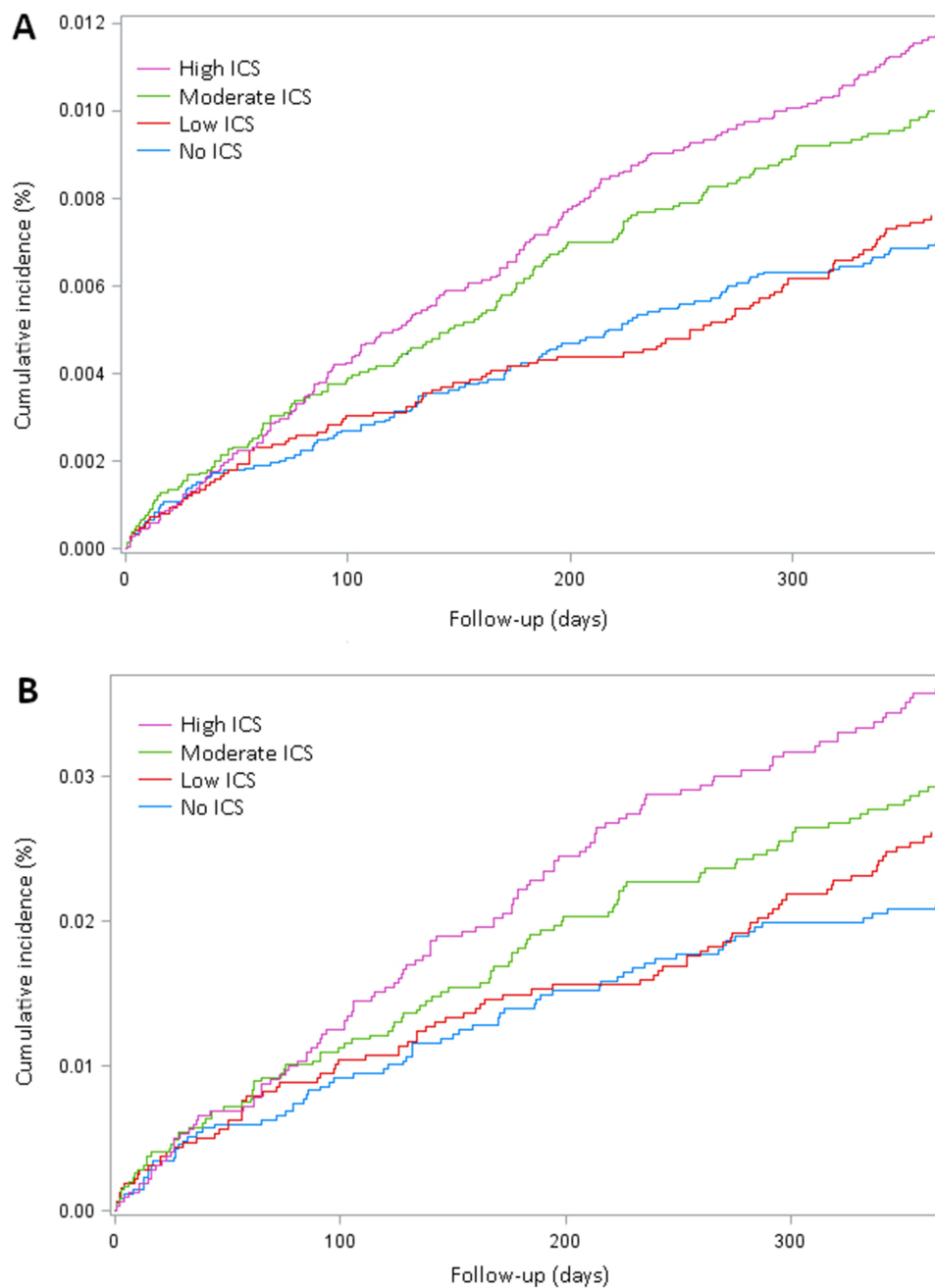
Figure 2 Cumulative incidence of *S. pneumoniae* positive lower airway tract sample according to ICS exposure divided into tertiles for the entire COPD cohort (n = 22,438) adjusted for age, BMI, sex, FEV1%, smoking status, OCS-usage and year of cohort entry (n = 22,438) (**A**) and for the propensity matched cohort (n = 13,324) (**B**).
Abbreviations: *S. pneumoniae*, *Streptococcus pneumoniae*; ICS, inhaled corticosteroid; COPD, chronic obstructive pulmonary disease; BMI, body mass index; FEV1%, Forced Expiratory Volume in the first second; OCS, oral corticosteroid.

propensity-matched sensitivity analysis, 6662 patients in subgroups were analyzed: (1) no or lower tertile of ICS users or (2) middle or highest subgroup of ICS users. No significant difference was found on the baseline characteristics we matched on. An unadjusted Cox was performed on the Propensity-matched cohort with similar results with a non-increased risk of *S. pneumoniae* in the group with lowest tertile (HR 1.24, 95% CI 0.90–1.70, *p*=0.2) of ICS users and increased risk in the moderate (HR 1.40, 95% CI 1.02–1.88, *p*=0.03) and the highest tertile (HR 1.75, 95% CI 1.30–2.36, *p*=0.0002) as reported in Table 3.

Table 4 Adjusted* Cox-Regression Hazard Estimates for Risk of *S. pneumoniae* for Patients Treated with Low, Moderate and High ICS Compared to No Use, for Budesonide and Fluticasone Users

	Budesonide Users (n=54,966)		Fluticasone Users (n=27,705)	
	Adjusted* HR (95% CI)	P-value	Adjusted* HR (95% CI)	P-value
No ICS use	Ref	-	Ref	-
Low ICS[†] 9.6–120 mg	1.09 (0.82–1.46)	0.56	1.52 (1.00–2.32)	0.05
Moderate ICS 124–299,2 mg	1.42 (1.08–1.86)	0.01	1.70 (1.17–2.48)	0.006
High ICS > 300 mg	1.61 (1.19–2.18)	0.002	1.78 (1.33–2.38)	0.0001

Notes: *Adjusted for age, BMI, sex, FEV1%, smoking status, OCS-usage and year of cohort entry. [†]Based on ICS accumulated budesonide equivalent dose 365 days prior to cohort entry. Conversion rate for fluticasone propionate were 2:1, and fluticasone furoate 10:1 The ICS equivalent dose was divided into 3 tertiles: low, moderate, and high. The reason for the gap in the dose interval is due to conversion, no patients were removed in the process of making tertiles.
Abbreviations: HR, hazard ratio; 95% CI, 95 confidence interval; ICS, inhaled corticosteroid; BMI, body mass index; FEV1%, Forced Expiratory Volume in the first second; OCS, oral corticosteroid.

In the follow-up period, a total of 16,446 lower respiratory tract samples were collected from 5060 patients. The three most common etiologies were *Haemophilus influenzae* (1081), *Moraxella catarrhalis* (672), and *S. pneumoniae* (668). Six thousand seven hundred (41.1%) tests were negative for any detectable pathogen.

Fluticasone compared to budesonide only had an increased risk of getting a positive *S. pneumoniae* lower airway tract sample in the unadjusted analysis as seen in Table 3. In the stratified analysis of patients receiving budesonide or fluticasone, we found no significant increased risk of acquiring a positive *S. pneumoniae* lower airway tract sample for patients receiving low ICS doses in either the budesonide group or the fluticasone group. However, there was a significant increased risk in the moderate and high ICS groups, see Table 4.

We performed a number needed to harm analysis (NNH) on the propensity matched population. With one year follow up NNH in the high ICS tertile was 66 and in the moderate ICS tertile 121.

Discussion

In this large multiregional cohort study consisting patients with COPD followed in outpatient clinics, we showed that ICS use was associated with an increased risk of acquiring a positive *S. pneumoniae* lower respiratory airway sample. The cohort consisted of more than 20.000 patients. We found an increased risk of *S. pneumoniae* in the moderate and high tertile of ICS users but no increased risk in the low tertile of ICS users. Furthermore, high use of OCS, active smoking, low age, male gender, low FEV1%, and low BMI were associated with increased risk of *S. pneumoniae*, indicating these were relevant confounders in our model. This study was conducted on well-described population of patients diagnosed with COPD, which made it possible to adjust for multiple confounders. The degree of data completeness was high. DNDRP is nationwide and includes data on all reimbursed prescriptions redeemed at Danish community pharmacies since 2004. Microbiological data from the Clinical Microbiology Departments in Eastern Denmark (Region Zealand and Capital Region) consist of approximately 2.6 million inhabitants. DrCOPD had approximately 90% data completeness for variables such as FEV1%, MRC, BMI, and smoking status, see Table 1. The results were tested in a propensity-matched cohort with similar results. To improve the chance of ruling out a non-causal relationship, this study was designed with a chronological course with ICS usage 365 days prior to study entry and risk of *S. pneumoniae* up to 365 days post-study entry. The result is biologically plausible since corticosteroids are known to modify the innate and adaptive immune response leading to an increased risk of infections.[19]

Several studies have shown increased risk of clinical pneumonia as an adverse effect to ICS.[8] This study used a more specific outcome of microbiology verified lower airway tract positive *S. pneumoniae* sample. Previously, only a few studies have investigated how ICS affect specific etiologies. ICS are known to give an increased risk of acquiring Mycobacteria and Pseudomonas aeruginosa infections.[20–22] Other microbiological etiologies might also be affected by high ICS usage. This knowledge could be useful for the clinician but is currently unknown.

Limitations: ICS usage was calculated based on patient-collected prescriptions and not actual adherence. Thus, if a part of the patients were not adherent, this would cause a conservative bias leading to an underestimate of the risk. Due to the observational study design, it is not possible to prove a causality association due to possible residual confounding. Our data set did not provide information on pneumococcal vaccination status. If more severely ill COPD patients are more likely to receive a vaccine and also a higher dose of ICS, this could result in an underestimate of the association between ICS use and a positive *S. pneumoniae* sample, particularly for higher doses of ICS. Patients with a positive *S. Pneumoniae* lower airway tract sample 30 days prior to cohort entry were excluded. It is plausible that some of our defined cases of positive lower airway tract *S. pneumoniae* samples are due to colonization and not pneumonia. However, respiratory tract samples were not collected routinely, but when health care professionals observe indications of an infection, such as increased coughing, elevated infections markers, or changes a chest X-ray, according to Danish national COPD treatment guidelines.[23] Although *S. pneumoniae* in few cases colonize airways in elderly adults without seeming infection, such carriage is also associated with invasive pneumococcal infection[24] and is therefore also an unwanted outcome. Additionally, a longer exclusion timeframe would lead to a potential exclusion of patients reinfected rather than colonized with *S. pneumoniae*. The most frequently prescribed types of ICS were budesonide and fluticasone. Previous studies have shown an increased risk of pneumonia in fluticasone users compared with budesonide users.[25] However, we only see a significantly increased risk of *S. pneumoniae* in the fluticasone users in the unadjusted analysis, see Table 3. Fluticasone users in our cohort received on average more than twice as much ICS in budesonide equivalent doses. Importantly, when adjusting for budesonide equivalent dose and disease severity, we did not observe an increased risk of *S. pneumoniae* in the fluticasone group compared to the budesonide group. Similarly, in the stratified analysis of budesonide and fluticasone users, we only found a significant increased risk of a positive *S. pneumoniae* lower airway tract sample for patients receiving moderate or high doses of ICS. While the hazard ratio for fluticasone users appeared to be higher compared to budesonide users, the confidence intervals overlapped.

To our knowledge, this study is the first ever to explore in a large well-characterized cohort of COPD outpatients the association between different exposures to ICS and risk of microbiologically verified positive lower airway tract samples of *S. pneumoniae*. Our study strengthens the mounting body of evidence that ICS may, in some patients and when given in high dose, carry serious adverse effects. Opposite we did find that low dose ICS therapy did not significantly increase the risk of a positive sample of *S. pneumoniae* low airway tract sample. This observation can be used in daily clinical practice: when ICS prescription is necessary in a COPD patient, the lowest possible dose should be given.

Conclusion

High doses of ICS were associated with a significantly increased risk of acquiring *S. pneumoniae* in patients with severe COPD, but low doses did not seem to carry an excess risk and our results support a restrictive strategy of ICS use in COPD patients.

Ethical Statement

This study was approved by the Danish Data Protection Agency. The Committee on Health Research Ethics, Capital Region of Denmark confirmed specifically that this study could be initiated without further ethical approval since retrospective use of register data does not require ethical approval or patient consent in Denmark. Data were only available on closed servers via the Danish Health Data Authority.

Acknowledgment

Funding

Disclosure

The authors declare that there are no conflicts of interest regarding this article. However, to ensure full transparency, we disclose the following potential conflicts of interest: PS received payment for lectures by Boehringer Ingelheim AstraZeneca, and GSK. TBS received grants from Sanofi Pasteur, Novo Nordisk Foundation, and Lundbeck foundation, received Consulting fees from Sanofi Pasteur, and Amgen, and received payment for lectures by Sanofi Pasteur, and GSK. TBS participates on Data Safety Monitoring Board or Advisory Board for Sanofi Pasteur and Amgen. ZBH received support for meetings and/or travel by Phizer. AKB received support for meetings and/or travel by PulmonX and reports non-financial support from GSK, during the conduct of the study. AGM received grants from Boehringer Ingelheim and is associated with the startup "Healthy network" producing automated e-stethoscopes. JRH received consulting fees from AstraZeneca, received payment for lectures by Boehringer Ingelheim, and Takeda, and received support to meetings and/or travel by AstraZeneca, and participates in AstraZeneca's Advisory board and British Thoracic Society. MP received grants from Roche, received consulting fees from Takeda, Therakos, Zambon, and received payment for lectures by Mallinckrodt, PulmonX, and Glaxo-smith Kline, received support for meetings and/or travel by Boehringer-Ingelheim and is Chair of Danish transplant Society, and associated with The ERS group for transplantation. All other authors declare no competing interests in this work.

References

1. Stănescu D, Sanna A, Veriter C, et al. Airways obstruction, chronic expectoration, and rapid decline of FEV1 in smokers are associated with increased levels of sputum neutrophils. *Thorax*. 1996;51(3):267–271. doi:10.1136/thx.51.3.267

2. Calverley PM, Anderson JA, Celli B, et al. Salmeterol and fluticasone propionate and survival in chronic obstructive pulmonary disease. *N Engl J Med*. 2007;356(8):775–789. doi:10.1056/NEJMoa063070

3. Lipson DA, Barnhart F, Brealey N, et al. Once-daily single-inhaler triple versus dual therapy in patients with COPD. *N Engl J Med*. 2018;378 (18):1671–1680. doi:10.1056/NEJMoa1713901

4. Papi A, Vestbo J, Fabbri L, et al. Extrafine inhaled triple therapy versus dual bronchodilator therapy in chronic obstructive pulmonary disease (TRIBUTE): a double-blind, parallel group, randomised controlled trial. *Lancet*. 2018;391(10125):1076–1084. doi:10.1016/S0140-6736(18)30206-X

5. Vestbo J, Anderson JA, Brook RD, et al. Fluticasone furoate and vilanterol and survival in chronic obstructive pulmonary disease with heightened cardiovascular risk (SUMMIT): a double-blind randomised controlled trial. *Lancet*. 2016;387(10030):1817–1826. doi:10.1016/S0140-6736(16) 30069-1

6. Agusti A, Fabbri LM, Singh D, et al. Inhaled corticosteroids in COPD: friend or foe? *Eur Respir J*. 2018;52(6):1801219. doi:10.1183/13993003.01219-2018

7. Watz H, Tetzlaff K, Wouters EF, et al. Blood eosinophil count and exacerbations in severe chronic obstructive pulmonary disease after withdrawal of inhaled corticosteroids: a post-hoc analysis of the WISDOM trial. *Lancet Respir Med*. 2016;4(5):390–398. doi:10.1016/S2213-2600(16)00100-4

8. Kew KM, Seniukovich A. Inhaled steroids and risk of pneumonia for chronic obstructive pulmonary disease. *Cochrane Database Syst Rev*. 2014;2014(12). doi:10.1002/14651858.CD010115.pub2

9. Torres A, Blasi F, Dartois N, Akova M. Which individuals are at increased risk of pneumococcal disease and why? Impact of COPD, asthma, smoking, diabetes, and/or chronic heart disease on community-acquired pneumonia and invasive pneumococcal disease. *Thorax*. 2015;70 (10):984–989. doi:10.1136/thoraxjnl-2015-206780

10. Welte T, Torres A, Nathwani D. Clinical and economic burden of community-acquired pneumonia among adults in Europe. *Thorax*. 2012;67 (1):71–79. doi:10.1136/thx.2009.129502

11. WHO collaborating centre for drug statistics methodology - ATC. Available from: https://www.whocc.no/atc/structure_and_principles/. Accessed March 8, 2023.

12. Uploaded protocol. Available from: http://coptrin.dk/wp-content/uploads/2021/10/Protocol-ICS-and-Streptococcus-pneumoniae.pdf. Accessed March 8, 2023.

13. Lange P, Tøttenborg SS, Sorknæs AD, et al. Danish Register of chronic obstructive pulmonary disease. *Clin Epidemiol*. 2016;8:673–678. doi:10.2147/CLEP.S99489

14. Johannesdottir SA, Horváth-Puhó E, Ehrenstein V, Schmidt M, Pedersen L, Sørensen HT. Existing data sources for clinical epidemiology: the Danish National Database of Reimbursed Prescriptions. *Clin Epidemiol*. 2012;4:303–313. doi:10.2147/CLEP.S37587

15. Lynge E, Sandegaard JL, Rebolj M. The Danish National Patient Register. *Scand J Public Health*. 2011;39(7 Suppl):30–33. doi:10.1177/1403494811401482

16. Inhaled corticosteroid doses for NICE's asthma guideline. National Institute for Health and Care Excellence; 2018 Available from: https://www.nice.org.uk/guidance/ng80/resources/inhaled-corticosteroid-doses-pdf-4731528781

17. Global strategy for prevention, diagnosis and management of COPD: 2022 report. Available from: https://goldcopd.org/2022-gold-reports-2/. Accessed March 8, 2023.

18. Biomedical statistics and informatics software packages; 2018. Available from: https://bioinformaticstools.mayo.edu/downloads/sas/gmatch.sas. Accessed March 8, 2023.

19. Singanayagam A, Glanville N, Cuthbertson L, et al. Inhaled corticosteroid suppression of cathelicidin drives dysbiosis and bacterial infection in chronic obstructive pulmonary disease. *Sci Transl Med*. 2019;11(507). doi:10.1126/scitranslmed.aav3879

20. Castellana G, Castellana M, Castellana C, et al. Inhaled corticosteroids and risk of tuberculosis in patients with obstructive lung diseases: a systematic review and meta-analysis of non-randomized studies. *Int J Chron Obstruct Pulmon Dis*. 2019;14:2219–2227. doi:10.2147/COPD.S209273

21. Eklöf J, Ingebrigtsen TS, Sørensen R, et al. Use of inhaled corticosteroids and risk of acquiring Pseudomonas aeruginosa in patients with chronic obstructive pulmonary disease. *Thorax*. 2021;77(6):573–580. doi:10.1136/thoraxjnl-2021-217160

22. Ni S, Fu Z, Zhao J, Liu H. Inhaled corticosteroids (ICS) and risk of mycobacterium in patients with chronic respiratory diseases: a meta-analysis. *J Thorac Dis*. 2014;6(7):971–978. doi:10.3978/j.issn.2072-1439.2014.07.03

23. Danish national COPD treatment guidelines. Available from: https://lungemedicin.dk/kol-vejledning/. Accessed March 8, 2023.

24. Smith EL, Wheeler I, Adler H, et al. Upper airways colonisation of Streptococcus pneumoniae in adults aged 60 years and older: a systematic review of prevalence and individual participant data meta-analysis of risk factors. *J Infect*. 2020;81(4):540–548. doi:10.1016/j.jinf.2020.06.028

25. Lodise TP, Li J, Gandhi HN, O'Brien G, Sethi S. Intraclass difference in pneumonia risk with fluticasone and budesonide in COPD: a systematic review of evidence from direct-comparison studies. *Int J Chron Obstruct Pulmon Dis*. 2020;15:2889–2900. doi:10.2147/COPD.S269637

26. Backer V, Hilberg O, Ulrik C. NLC abstracts. *Eur Clin Respir J*. 2022;9(sup1):14. doi:10.1080/20018525.2022.2058255