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Intratumoral immune triads are required

for adoptive T cell therapy-mediated elimination of solid tumors

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1 ABSTRACT

Tumor-reactive CD8 T cells found in cancer patients are frequently dysfunctional, unable to halt tumor 2 growth. Adoptive T cell transfer (ACT), the administration of large numbers of *in vitro*-generated cytolytic 3 tumor-reactive CD8 T cells, is an important cancer immune therapy being pursued. However, a limitation 4 5 of ACT is that transferred CD8 T cells often rapidly lose effector function, and despite exciting results in certain malignancies, few ACT clinical trials have shown responses in solid tumors. Here, we developed 6 preclinical cancer mouse models to investigate if and how tumor-specific CD4 T cells can be enlisted to 7 overcome CD8 T cell dysfunction in the setting of ACT. In situ confocal microscopy of color-coded cancer 8 cells, tumor-specific CD8 and CD4 T cells, and antigen presenting cells (APC), combined with functional 9 studies, revealed that the spatial positioning and interactions of CD8 and CD4 T cells, but not their numbers, 10 dictates ACT efficacy and anti-tumor responses. We uncover a new role of antigen-specific CD4 T cells in 11 addition to the known requirement for CD4 T cells during priming/activation of naïve CD8 T cells. CD4 T 12 cells must co-engage with CD8 T cells and APC cross-presenting CD8- and CD4-tumor antigens during 13 the effector phase, forming a three-cell-cluster (triad), to license CD8 T cell cytotoxicity and mediate cancer 14 cell elimination. Triad formation transcriptionally and epigenetically reprogram CD8 T cells, prevent T cell 15 dysfunction/exhaustion, and ultimately lead to the elimination of large established tumors and confer long-16 17 term protection from recurrence. When intratumoral triad formation was disrupted, adoptively transferred CD8 T cells could not be reprogrammed, and tumors progressed despite equal numbers of tumor-infiltrating 18 CD8 and CD4 T cells. Strikingly, the formation of CD4 T cell::CD8 T cell::APC triads in tumors of patients 19 20 with lung cancers treated with immune checkpoint blockade was associated with clinical responses, but not CD4:: APC dyads or overall numbers of CD8 or CD4 T cells, demonstrating the importance of triads in 21 non-ACT settings in humans. Our work uncovers intratumoral triads as a key requirement for anti-tumor 22 immunity and a new role for CD4 T cells in CD8 T cell cytotoxicity and cancer cell eradication. 23

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24 INTRODUCTION

CD8 T cells are powerful components of the adaptive immune system that have the potential to selectively 25 eradicate cancer cells. However, despite the presence of tumor-specific CD8 T cells in tumor-bearing hosts, 26 cancers develop, suggesting that CD8 T cells become dysfunctional and unresponsive to cancer cells over 27 the course of tumorigenesis [1]. Tumor-infiltrating dysfunctional CD8 T cells (also referred to as 28 'exhausted' T cells) commonly express high levels of inhibitory receptors (PD1, LAG3, CTLA4, TIM3) 29 and fail to produce effector cytokines (interferon- γ (IFN- γ), tumor necrosis factor- α (TNF- α)) and cytotoxic 30 molecules (granzymes, perforin). These hallmarks of CD8 T cell dysfunction/exhaustion have been 31 attributed to chronic tumor antigen encounter/TCR signaling and immunosuppressive signals within the 32 tumor microenvironment [1-3]. 33

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Adoptive T cell transfer (ACT), the infusion of large numbers (> $10^9 - 10^{10}$ CD8 T cells/patient) of tumor-35 reactive cytolytic effector CD8 T cells into cancer patients, has emerged as a powerful therapeutic strategy 36 for the treatment of cancers [4]. Tumor-reactive CD8 T cells can either be isolated from patients' own 37 tumors (tumor-infiltrating lymphocytes (TIL)) or blood, expanded ex vivo and infused back, or engineered 38 in vitro to become tumor-reactive through the introduction of genes encoding T cell receptors (TCR) or 39 chimeric antigen receptors (CAR) specific for tumor antigens [5-11]. Although remarkable successes with 40 ACT have been observed in a subset of cancer patients and cancer types (e.g. leukemia, lymphoma, and 41 melanoma) [12-14], most patients still fail to achieve long-term responses, especially those with (non-42 melanoma) solid tumors. Factors which mitigate the efficacy of adoptively transferred CD8 T cells include 43 poor in vivo persistence, poor tumor localization/infiltration, and rapid loss of effector function [13, 15, 16]. 44 Various therapeutic strategies have been identified to improve persistence and tumor infiltration, such as 45 lymphodepletion and/or administration of homeostatic cytokines (IL-2, IL-7, IL-15) [12, 15, 17-21]. 46 However, the loss of effector function of CD8 T cells remains a major roadblock [22, 23]. Thus, the 47 development of immunotherapeutic interventions to prevent or reverse CD8 T cell dysfunction/exhaustion 48 has become the concerted effort of many clinicians and scientists. 49

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While direct cytotoxic activity against cancer cells generally resides within the CD8 T cell compartment, 50 various modes of action have been described for CD4 T cells [24]: (1) productive priming of naïve CD8 T 51 cells in lymphoid tissues through "licensing" and functional maturation of dendritic cells (DC) [25-31], (2) 52 anti-tumor effector functions and elimination of MHC class II-negative cancer cells without CD8 T cells 53 54 [32-36] through IFN- γ acting on the host stroma, or activation of macrophages and other non-lymphoid tumoricidal effector cells [35, 37-42], and (3) induction of cancer cell senescence rather than cancer cell 55 elimination through the secretion of Th1-cytokines (TNFa, IFNy) [43, 44]. Moreover, we and others have 56 demonstrated that CD4 T cells might play an important role during CD8 T cell-mediated tumor elimination 57 as well as during autoimmune tissue destruction, however, the mechanisms remained elusive [45-47]. MHC 58 class II-restricted tumor antigens and tumor-specific CD4 T cells have been identified in many cancer 59 patients and cancer types, and their importance in anti-tumor immunity has been recognized [24, 32, 48-60 52]. If and how tumor-reactive CD4 T cells can be utilized to prevent or reverse CD8 T cell 61 dysfunction/exhaustion leading to tumor eradication is not known. To address this question, we developed 62 a clinically relevant ACT-cancer mouse model. We demonstrate that CD4 T cells mediate tumor-specific 63 CD8 T cell reprogramming within large solid tumors when tumor-reactive CD4 and CD8 T cells form three-64 cell-type clusters (triads) together with antigen-presenting cells (APC). Triad-formation resulted in the 65 molecular and functional reprogramming of adoptively transferred CD8 T cells, preventing and even 66 reversing T cell exhaustion, leading to tumor destruction. Strikingly, the formation of CD4 T cell-CD8 T 67 cell-APC triads in tumors of patients with mesothelioma treated with immune checkpoint blockade (ICB) 68 was associated with clinical responses, uncovering CD4 T cell-CD8 T cell-APC triads as a key determinant 69 for cancer elimination and ACT therapy efficacy against solid tumors. 70

72 **RESULTS**

73 *Tumor-specific CD4 T cells reverse tumor-specific CD8 T cell dysfunction/exhaustion in solid tumors*

B16 is a highly aggressive murine melanoma cell line; B16 cancer cells injected subcutaneously into 74 immunocompetent C57BL/6 wildtype mice (B6 WT) form large established tumors within 2 weeks, 75 ultimately killing the host, and treatment regiments are generally ineffective. We engineered B16 cancer 76 cells to express the CD8 T cell-recognized epitope from ovalbumin OVA257-264 (SIINFEKL) as well as the 77 CD4 T cell-recognized glycoprotein epitope GP₆₁₋₈₀ (GLKGPDIYKGVYQFKSVEFD) from the 78 lymphocytic choriomeningitis virus (LCMV); the vector was constructed to encode the trimeric peptide 79 sequence (SIINFEKL-AAY)₃ fused to the fluorescent protein Cerulean, followed by the 19-mer GP_{61-80} 80 peptide (Fig.1a). The OVA₂₅₇₋₂₆₄ epitope is presented on the MHC class I molecule H-2K^b and recognized 81 by TCR transgenic OT1 CD8 T cells (TCR_{OT1}); the GP_{61-80} epitope is presented on the MHC class II I-A^b 82 molecule and recognized by TCR transgenic SMARTA CD4 T cells (TCR_{SMARTA}). B16-OVA₂₅₇₋₂₆₄-GP₆₁-83 80 cancer cells (B16-OG; 2.5 x10⁶ cells/host) were injected subcutaneously into B6 WT (CD45.2) mice. 84 Despite the expression of strong CD8- and CD4-T cell tumor antigens, B16-OG tumors grew aggressively, 85 forming large tumors within 2 weeks (Fig. 1b). We then employed an adoptive T cell transfer (ACT) 86 regimen modeled on that used in cancer patients treated with ACT: preconditioning the host and inducing 87 lymphopenia through a nonmyeloablative chemotherapeutic dose of cyclophosphamide followed by the 88 infusion of *in vitro* generated cytotoxic tumor-specific CD8 T cells (Fig. 1a). Naïve congenic (CD45.1) 89 TCR_{OT1} were activated in vitro for 3-4 days and adoptively transferred into lymphopenic B16-OG tumor-90 bearing mice. Despite the infusion of highly functional effector TCR_{0T1} CD8 T cells, B16-OG tumors 91 progressed, recapitulating the scenario commonly observed in patients with solid tumors receiving ACT 92 (Fig. 1b). Next, we asked whether the simultaneous infusion of *in vitro* activated effector TCR_{SMARTA} CD4 93 T cells would mediate anti-tumor responses. Co-transfer of effector TCR₀₁ together with TCR_{SMARTA} 94 95 resulted in complete tumor elimination, with 100% long-term tumor-free survival (Fig. 1b). Tumor-bearing mice that received TCR_{SMARTA} alone did not show tumor regression (data not shown), demonstrating that 96 cancer elimination was dependent on both TCR_{OT1} and TCR_{SMARTA} T cells. We confirmed our results in a 97

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second tumor model using the fibrosarcoma cell line MCA205 (MCA205-OG) and obtained similar results
(Fig. 1c).

CD4 T cells are known to enhance CD8 T cell mobilization into peripheral tissues [28]. To understand 100 whether TCR_{SMARTA} enhanced TCR_{OT1} tumor infiltration, we compared the numbers of TCR_{OT1} TIL in mice 101 which received effector TCR_{OT1} alone (TCR_{OT1}) or together with TCR_{SMARTA} (TCR_{OT1}^(+CD4)); we evaluated 102 numbers of TIL 8-9 days post transfer, a time point when tumors are similar in size. Surprisingly, we found 103 equal numbers of TCR_{OT1} TIL in both cohorts (Fig. 1d), suggesting that TCR_{SMARTA}-mediated anti-tumor 104 immunity was not due to an enhancement of TCR_{OT1} tumor infiltration but likely due to functional changes 105 of TCR_{0T1} TIL. Indeed, while TCR_{0T1} TIL were impaired in their ability to produce the effector cytokines 106 IFN γ and TNF α (Fig. 1e), expressed high levels of numerous canonical inhibitory receptors including PD1, 107 LAG3, TIM3, CD39 and 2B4 (Fig. 1f), as well as the transcription factor TOX (Fig. 1g), a critical regulator 108 associated with T cell exhaustion [53-58], $TCR_{OT1}^{(+CD4)}$ were able to produce high amounts of IFN γ and 109 TNF α and showed little/no expression of inhibitory receptors and TOX (Fig. 1e-1g). To understand whether 110 111 these phenotypic and functional differences were already induced in the tumor-draining lymph node (tdLN), we compared phenotype and function of tdLN-TCR_{OT1} and tdLN-TCR_{OT1}^(+CD4). Interestingly, no differences 112 were observed (Suppl. Fig. 1), thus co-transferred CD4 T cells specifically acted on tumor-specific CD8 T 113 cells within the tumor. 114

Next, we wanted to understand whether CD4 T cells could not only prevent but also reverse CD8 T cell dysfunction/exhaustion. We adoptively transferred effector TCR_{OT1} into B16-OG tumor-bearing mice, and 10 days later, when TCR_{OT1} TIL were dysfunctional/exhausted, we adoptively transferred effector TCR_{SMARTA}. Remarkably, mice that received TCR_{SMARTA} showed tumor regression while control cohorts did not (**Fig. 1h**). Thus, tumor-reactive TCR_{SMARTA} CD4 T cells prevent and reverse tumor-induced CD8 T cell dysfunction and mediate tumor regression.

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122 **CD4** T cells transcriptionally and epigenetically reprogram tumor-specific CD8 T cells, leading to tumor

123 *elimination*

Tumor-specific CD8 T cell dysfunction in mice and humans is associated with global transcriptional and 124 epigenetic dysregulation of genes and pathways important for T cell differentiation and function. To 125 126 understand how CD4 T cells mediated functional rescue of TCR_{0T1} CD8 T cells, we conducted RNA-seq and ATAC-seq of TCR_{0T1}^(+CD4) and TCR_{0T1} TIL isolated from size-matched B16-OG tumors 8 days post 127 transfer. 1795 genes were differentially expressed (DEG) including exhaustion/dysfunction-associated TF 128 and inhibitory receptors/activation markers (Tox, Irf4, Pdcd1 (PD1), Havcr2, Lag3, CD160, Cd244 (2B4)) 129 (Fig. 2a and 2b), which were highly expressed in TCR_{OT1}. In contrast, TF and molecules associated with 130 stem-like progenitor T cell states were enriched and highly expressed in TCR_{0T1}^(+CD4) TIL, including genes 131 encoding Tcf7 (TCF1), Il7r, Itgae (CD103), Itga1, and Ifitm3, as well as chemokine receptors such as Ccr5, 132 Ccr4 and Ccr2 [30, 59]. Gene ontology (GO) classification revealed that pathways associated with positive 133 cytokine regulation, immune differentiation and responses to tumor cells were enriched in TCR_{OT1}^(+CD4) but 134 not in TCR_{0T1} (Fig. 2c). ATAC-seq revealed 11,787 differentially accessible regions (DAR), including 135 enhancers in many exhaustion (Tox, Spry1 Spry2, Cd244, Bach2, Egr2) or stem-/progenitor cell state-136 associated genes (Tcf7, IL7r, Lef1), respectively (Fig. 2d and 2e). Many enhancer peaks with TF motifs 137 associated with terminal differentiation were less accessible in reprogrammed CD8 T cells, which was 138 surprising given that $TCR_{OT1}^{(+CD4)}$ and TCR_{OT1} TIL were isolated from equally sized tumors (Fig. 2f). To 139 understand whether reprogrammed TCR_{OT1}^(+CD4) revealed molecular signatures similar to human CD8 TIL 140 driving clinical responses in the context of ACT, we utilized a data set from a study conducted by the 141 Rosenberg group, using ex vivo-expanded autologous CD8+ TIL from metastatic melanoma lesions for 142 ACT into preconditioned, lymphodepleted patients [60]. The authors identified a CD39-CD69- stem-like 143 TIL subset that was associated with complete cancer regression in ACT-responders but lacking in ACT-144 non-responders. Gene set enrichment analysis (GSEA) revealed that the same genes were enriched in 145 TCR_{0T1}^(+CD4) CD8 TIL as in ACT (CD39-CD69-) CD8 TIL responders, and genes in CD8 TIL from ACT 146 (CD39+CD69+) non-responders were enriched in TCR_{0T1} CD8 TIL (Fig. 2g, Suppl. Fig. 2) [60]. 147

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Taken together, tumor-specific TCR_{SMARTA} CD4 T cells transcriptionally and epigenetically reprogram tumor-reactive CD8 TIL within progressing tumors, preventing terminal differentiation and exhaustion, and resulting in tumor elimination.

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Spatial positioning of tumor-specific CD8 and CD4 T cells within tumors determine anti-tumor immunity
 and cancer elimination

Next, we wanted to understand how TCR_{SMARTA} CD4 T cells prevent CD8 T cell exhaustion within tumors. 154 B16 tumor cells express low level MHC II in vivo (Suppl. Fig. 3a), thus cancer cells could become targets 155 of CD4 T cells. Employing CRISPR/Cas9-mediated gene editing, we generated MHC class II I-A^b-deficient 156 B16-OG cancer cells. Surprisingly, large established B16-OG I-A^b-deficient tumors were eliminated as 157 efficiently as parental MHC class II-expressing B16-OG tumors, demonstrating that cancer elimination 158 does not require CD4 T cell to directly target cancer cells (Suppl. Fig. 3b and 3c). Next, we turned to the 159 tumor stroma, which includes MHC class I- and II-expressing antigen presenting cells (APC) such as 160 CD11c+ dendritic cells (DC) and macrophages. To assess the role of CD11c+ cells, we employed a targeted 161 depletion approach: CD11c+ DC from CD11c-DTR/GFP transgenic mice express the primate diphtheria 162 toxin receptor (DTR) transgene under the CD11c promoter, enabling conditional depletion of CD11c+ cells 163 in vivo upon DT treatment [61]. We generated bone marrow (BM) chimeras by transferring BM cells from 164 CD11c-DTR/GFP (CD11c-DTR) or littermate control (WT) mice into lethally irradiated WT (CD45.1) B6 165 mice (designated "DTR-WT" and "WT-WT" chimeras). B16-OG tumors were established in 166 DTR-WT and WT-WT BM chimeras, and 2-3 weeks post B16-OG tumor cell transplantation effector 167 TCR_{OTI} and TCR_{SMARTA} were adoptively transferred. 5 days post ACT, when TCR_{OTI} and TCR_{SMARTA} 168 infiltrated into tumors, mice were treated twice weekly with DT. Depletion of CD11c+ APC prevented 169 tumor elimination in DTR→WT mice but not control WT→WT mice, suggesting that CD11c+ APC within 170 the tumor microenvironment were necessary for TCR_{SMARTA}-mediated TCR_{OTI} reprogramming and tumor 171 172 elimination (Fig. 3a).

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Next, we wanted to investigate how TCR_{SMARTA}, TCR_{OT1}^(+CD4) and stromal cell interactions cause tumor 174 elimination. To answer this question, we modified our tumor model (Fig. 3b): we generated B16 tumor cell 175 lines expressing either the CD8-OVA (B16-O) or CD4-GP (B16-G) tumor antigens. We implanted a 176 mixture of 1.25x106 B16-O and 1.25x106 B16-G cancer cells into WT B6 mice, forming mixed B16 O+G 177 178 tumors. Control mice received 2.5x10⁶ B16-OG tumor cells as in Figures 1 and 2; thus, both cohorts received the same total number (2.5×10^6) of cancer cells, expressing similar levels of OVA and GP tumor 179 antigens (data not shown). B16 O+G tumors grew with similar kinetics as B16-OG tumors. 2-3 weeks post 180 tumor transplantation, mice received effector TCR_{OTI} and TCR_{SMARTA}. 7 days post ACT, equal numbers of 181 TCR_{OTI} and TCR_{SMARTA} TIL were found within progressing B16 O+G and B16-OG tumors (Fig. 3c, 3d). 182 Strikingly, despite the same numbers of tumor cells, equal tumor sizes, and same numbers of TCR_{OT1} and 183 TCR_{SMARTA} TIL, mixed B16 O+G tumors continued to grow, in contrast to B16-OG tumors, which 184 ultimately regressed (Fig. 3b). TCR_{OT1} TIL isolated from B16 O+G tumors revealed a dysfunctional 185 phenotype similar to those described for TCR_{0T1} transferred without CD4 T cells shown in Figure 1 (Fig. 186 **3e**). Importantly, these functional differences were only observed within the tumor and not in the tdLN 187 (Fig. 3f). 188

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What are the factors and mechanisms that determine tumor progression or regression if numbers of cancer cells and antigen-specific CD8 and CD4 TIL are equal? We hypothesized that a unique spatial organization of cancer cells, CD4 T cells, CD8 T cells, and DC within tumors likely drove CD8 T cell reprogramming and tumor destruction.

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195 Intratumoral immune triads in mouse and human tumors are required for anti-tumor responses

To define the intratumoral spatial characteristics we conducted confocal microscopic analysis of established B16 O+G tumors. We found regions of either B16-OVA-positive and B16-GP-positive cancer cells, and very few regions that had B16-OVA and B16-GP cancer cells intermingled (**Fig. 3g**). The mosaic-like appearance of distinct tumor regions is a typical feature of clonally growing cancer cells in transplantation

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tumor models [45]. Consequently, in B16 O+G tumors CD8 or CD4 antigens are largely presented in 200 distinct regions within the tumor and on distinct DC/APC (Model B), unlike in B16-OG tumors where CD8 201 and CD4 antigens are co-presented on the same DC/APC through epitope linkage (Model A) (Fig. 3h). 202 Thus, we propose the following model: co-presentation of tumor-specific CD4 and CD8 tumor antigens on 203 204 the same APC will "force" antigen-specific CD4 and CD8 T cells to form three-cell-type clusters (triads) with APC, and the physical proximity of CD8 T cells with CD4 T cells drives CD4 T cell-mediated CD8 T 205 cell reprogramming and cancer cell destruction (Model A). In Model B, CD8 and CD4 T cells fail to form 206 triads with APC, CD4 T cells are unable to mediate CD8 T cell reprogramming, ultimately allowing tumors 207 to progress. The concept of a 'three-cell-type cluster' was first described in 1987: Mitchison and O'Malley 208 suggested that three-cell-type clusters of CD4 T cell-CD8 T cells-APC were required for the cytolytic 209 response of CD8 T cells in an allogeneic transplant setting [62]. However, little is known about their 210 functional relevance in vivo and/or underlying mechanisms. 211

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To determine whether triads are indeed a requisite for tumor elimination, we generated color-coded B16 213 O+G and B16 O-G tumor models: TCR_{SMARTA} transgenic mice were crossed to EGFP transgenic mice, 214 generating EGFP-expressing TCR_{SMARTA} CD4 T cells; TCR_{OT1} were engineered to express the red 215 216 fluorescent protein (RFP); CD11c-YFP mice were used as hosts (with yellow fluorescent protein (YFP) under the transcriptional control of the CD11c promoter, thereby YFP-labeling CD11c+ host cells). B16-217 OG, B16-O, and B16-G cancer cells expressed Cerulean. B16-OG or B16 O+G tumors were established in 218 CD11c-YFP mice and effector TCR_{OTI}-RFP⁺ and TCR_{SMARTA}-EGFP⁺ adoptively transferred (Fig. 4a). 219 Strikingly, 8-9 days post ACT significantly higher numbers of TCR_{0T1}::CD11c⁺YFP⁺::TCR_{SMARTA} three-220 cell-clusters/triads (~30 interactions/field (or close apposition)) were present in B16-OG tumors, which 221 eventually regressed, in contrast to B16 O+G tumors (~7 interactions), which eventually progressed (Fig. 222 4b). When normalized to the total number of infiltrating CD11c⁺YFP⁺ cells/field, which remained constant 223 in both tumor models (Fig. 4c, right), we observed a 3.5-fold increase of triads in B16-OG tumors (Fig. 4c, 224 left). Importantly, dyads, two-cell-interactions between TCR_{SMARTA}::CD11c⁺YFP⁺ DC, were not 225

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significantly different between B16-OG and B16 O+G (Fig. 4d). Thus, CD8 T cell::CD4 T cell::DC triads
 are associated with tumor-specific CD8 T cell reprogramming and tumor elimination.

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Next, we asked whether CD8 T cell::CD4 T cell::APC triads could be associated with clinical 229 230 responsiveness in humans. As clinical data assessing spatial characteristics of immune cells within tumors of ACT-treated patients was not available, we turned to patients treated with immune checkpoint blockade 231 (ICB) therapy; ICB therapies have shown efficacy in some cancer patients and cancer types, however most 232 patients remain refractory. The underlying mechanisms determining ICB resistance or responsiveness, as 233 well as predictive biomarkers, remain poorly defined. We assessed the spatial orientation of CD8 T cells, 234 CD4 T cells and APC in patients with malignant pleural mesothelioma (MPM) undergoing ICB therapy 235 [63]. Patients were randomized and treated with Durvalumab (anit-PDL1) mono- or Durvalumab and 236 Tremelimumab (anti-CTLA4) combination therapy. A no ICB group was included as a control cohort. 237 Tumor tissues were obtained both before and after ICB treatment [63]. Evaluable tumors, before and after 238 ICB were available for 15 patients receiving ICB. Out of the 15 patients, 6 patients showed a pathologic 239 response (R; Responders) while 9 patients did not (NR; Non-Responders) (Fig. 4e). Imaging mass 240 cytometry (IMC) and time-of-flight mass cytometry (CyTOF) were performed on all 15 patients' pre- and 241 post-treatment tumor tissues using 35 markers to determine co-localization of non- T_{REG} CD4 T cells, CD8 242 T cells, and CD11c+ APC, including the presence of dyads (CD4::APC or CD8::APC) and triads 243 (CD4::CD8::APC) (Fig. 4e and 4f). Strikingly, while neither numbers of tumor-infiltrating CD8 T cells, 244 nor CD4::APC or CD8::APC dyads were associated with a pathologic response and ICB responsiveness, 245 triads were able to demarcate responders from non-responders (Fig. 4g). Our studies reveal triads as critical 246 determinants for anti-tumor immunity and ICB responsiveness in patients with MPM. 247

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248 **DISCUSSION**

Here, we demonstrate a new role for CD4 T cells during the effector phase of cytotoxic CD8 T cell-249 elimination of solid tumors in the setting of ACT. CD4 T cell reprogramming of CD8 T cells and cancer 250 cell elimination is strictly dependent on the formation of immune triads, tumor-specific CD8 T cells and 251 CD4 T cells co-engaged with the same DC, and not on CD4 T cell engagement with cancer cells, important 252 given that most epithelial cancers do not express MHC class II. We demonstrate that the spatial positioning 253 of CD8 and CD4 T cells within tumors, and not the number of intratumoral tumor-specific CD8 and CD4 254 T cells, is the critical determinant of effective anti-tumor immunity and ACT efficacy. Our data may provide 255 clues as to why ACT clinical trials utilizing predominantly tumor-reactive CD8 T cells have shown only 256 limited responses for the treatment of solid tumors. 257

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It is well established that CD4 T cells are required for CD8 T cell effector differentiation. However, studies 259 have mainly focused on CD4 T cell 'help' of naïve CD8 T cells during the priming/activation phase and 260 memory formation in infection and vaccination settings [31, 42, 64-66]. The importance of CD8-CD4 T 261 cell co-operation during the priming/activation phase was elegantly described by the Germain group, 262 demonstrating that nonrandom, chemokine-driven (CCL3, CCL4) recruitment of CCR5+ naïve, antigen-263 specific CD8 T cells to sites of antigen-specific DC-CD4 T cell interactions within antigen-draining lymph 264 nodes led to optimal CD8 T cell responses during vaccination and early infections [30]. CD4 T cells license 265 DC through CD40L-CD40 interactions, enhancing B7 and CD70 expression on DC; CD28- and CD27-266 expressing antigen-specific CD8 T cells (ligands for B7 and CD70, respectively) receive optimal co-267 stimulatory signals when engaging with DC-CD4 T cells and/or abundant IL2 produced by CD4 T cells. 268 Vaccines relying only on short, single MHC class I-restricted peptides showed reduced clinical benefits 269 compared to synthetic long peptide vaccine platforms containing both MHC class I and class II epitopes, 270 271 highlighting the importance of guided CD8 and CD4 cooperation [42-46]. Here, we discover that CD4 T cells and triads are critical for cancer cell elimination by cytolytic effector CD8 T cells: antigen-specific 272 CD4 T cells within tumors reprogram antigen-specific effector CD8 T cells, repressing terminal 273

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differentiation and preserving stem-like features and effector function. Physical proximity of CD8 T cells 274 with CD4 T cells likely enforces chemokine and/or cytokine signaling, or direct receptor-ligand interactions 275 needed for CD8 T cell reprogramming. Interestingly, chemokine receptors such as Ccr5, Ccr4 and Ccr2 276 were upregulated on TCR_{0T1}^(+CD4) encountering DC-CD4 T cells, as well as *ll2rg* and *lfngr1*. Future studies 277 278 must determine the precise mechanisms by which CD8 T cells resist T cell exhaustion and mediate cancer destruction. Our finding that triads (but not dyads) were associated with a pathogenic anti-tumor response 279 in ICB-treated patients with malignant pleural mesothelioma, suggests that intratumoral immune triads may 280 also be critical for anti-tumor responses in non-ACT settings. Interestingly, and congruent with our findings, 281 a recent study demonstrated that dendritic cell-CD4 T helper cell niches enable CD8 T cell differentiation 282 in patients with hepatocellular carcinoma following PD-1 blockade [67]. 283 284 Our study reveals a previously unappreciated role of unique cell-cell interactions and spatial positioning 285 within tumors where tumor-specific CD4 T cells empower tumor-specific CD8 T cells to eliminate solid 286 tumors in adoptive T cell therapy. MHC class II-restricted neoantigens or self/tumor antigens and tumor-287 specific CD4 T cells have been described in human cancers [48-50]. Designing therapeutic interventions 288 that enforce the formation of CD4-CD8-DC triads in tumors might be powerful strategies for the treatment 289

of cancers, including for ICB-, vaccine- and ACT-approaches.

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291 FIGURE LEGENDS

Figure 1 | Tumor-specific CD4 T cells prevent and reverse CD8 T cell dysfunction/exhaustion within 292 solid tumors and mediate tumor elimination. a. Scheme: tumor models, adoptively transferred effector 293 T cells, and experimental schemes. **b.** B16 OVA-GP₆₁₋₈₀ (B16-OG) tumor growth (right) and Kaplan–Meier 294 295 survival curve (left) of tumor-bearing B6 WT mice (CD45.2; Thy1.2) receiving effector TCR_{OTI} CD8 T cells alone (CD45.1) (black; TCR_{OT1}) or together with TCR_{SMARTA} CD4 T cells (Thy1.1) (red; TCR_{OT1}^(+CD4)) 296 (ACT = adoptive T cell transfer). Data is representative of 5 independent experiments (n=5 mice/group). 297 Values are mean \pm SEM. Significance is calculated by multiple t test. Kaplan–Meier curve; **p=0.00021; 298 Mantel-Cox test. c. MCA205 OVA-GP₆₁₋₈₀ (MCA-OG) tumor outgrowth and survival in B6 mice treated 299 as described in **b**; **p=0.0003; Mantel–Cox test. Data is representative of 2 independent experiments (n=5-300 6 mice/group). d. TCR_{OTI} (% of total of CD8+ T cells) within progressing B16-OG tumors 8-9 days post 301 transfer +/- TCR_{SMARTA} CD4 T cells. Data pooled from 2 independent experiments (n=8 mice/group). Each 302 symbol represents an individual mouse. e. IFNy and TNFa production of TCR_{OTI} isolated from B16-OG 303 tumors 8-9 days post transfer +/- TCR_{SMARTA} CD4 T cells. Cytokine production was assessed after 4-hr 304 peptide stimulation ex vivo. Data show 2 pooled independent experiments (n=5-7). f. Inhibitory receptor 305 expression, and g. TOX expression of B16-OG tumor-infiltrating TCR_{OTI} isolated 8-9 days post transfer +/-306 307 TCR_{SMARTA}. Graphs depict relative MFI normalized to naive TCR_{OTI}; two pooled independent experiments (n=5-7mice/group). h. Mice with B16-OG tumors received effector TCR_{OTI}CD8 T cells 14 days post tumor 308 transplantation; 9 days later, TCR_{SMARTA} CD4 T cells were adoptively transferred (red); B16-OG tumor 309 310 growth in mice receiving only TCR₀₁ are shown in black. Data is representative of 2 independent experiments (n=8 mice/group). Values are mean \pm SEM. Significance is calculated by multiple t test. 311

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Figure 2 | Tumor-specific CD4 T cells transcriptionally and epigenetically reprogram tumor-specific CD8 T cells and prevent terminal differentiation/exhaustion. a. MA plot of RNA-seq data showing the relationship between average expression and expression changes of TCR_{OT1} and $TCR_{OT1}^{(+CD4)}$ TIL. Statistically significantly DEGs (false discovery rate (FDR) < 0.05) are shown in red and blue, with select

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genes highlighted for reference. b. Heat map of RNA-seq expression (normalized counts after variance 317 stabilizing transformation, centered and scaled by row for DEGs) (FDR < 0.05) in TCR_{0T1} and TCR_{0T1}^(+CD4) 318 TIL. c. Selected GO terms enriched for genes up-regulated in TCR_{OT1} (blue) and TCR_{OT1}^(+CD4) (red) TIL. d. 319 Chromatin accessibility (ATAC-seq); (left) heatmap of log2-transformed normalized read counts 320 321 transformed with variance stabilization per for regions with differential chromatin accessibility; (right) each row represents one peak (differentially accessible between TCR_{OT1} and TCR_{OT1}^(+CD4) TIL; FDR < 0.05) 322 displayed over a 2-kb window centered on the peak summit; regions were clustered with k-means 323 clustering. Genes associated with the two major clusters are highlighted. e. ATAC-seq signal profiles across 324 the Tox, Pdcd1, Lag3, Tcf7, and Lef1 loci. Peaks significantly lost or gained are highlighted in red or blue, 325 respectively. f. Top 10 most-significantly enriched transcription factor motifs in peaks with increased 326 accessibility in TCR_{OT1}^(+CD4) TIL (red) or TCR_{OT1} TIL (blue). g. Enrichment of gene sets in TCR_{OT1} and 327 TCR_{0T1} (^{+CD4}), respectively, described for human tumor infiltrating (TIL) CD8 T cell subsets (CD69-CD39-328) stem-like CD8 T cells/TIL (responders) or (CD69+ CD39+) terminally differentiated CD8 T cells/TIL 329 (non-responders) from metastatic melanoma patients receiving ex vivo expanded TIL for ACT (S. Krishna 330 et al, Science 2020). TCR_{OT1}^(+CD4) are enriched in genes observed in CD69- CD39- stem-like T cells/TIL 331 from responders in contrast to TCR_{OT1} which are positively enriched for genes in CD69+ CD39+ terminally 332 333 differentiated CD8 T cells/TIL from non-responders. NES, normalized enrichment score.

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Figure 3 | Tumor elimination requires tumor antigen/epitope linkage and unique spatial orientation 335 of tumor-specific CD8 T cells, CD4 T cells and CD11c+ dendritic cells (DC) within tumors. a. B16-336 OG tumor outgrowth in CD11c-DTR/GFP bone marrow (BM) chimeras (scheme, top; DTR→WT or 337 WT→WT) treated with diphtheria toxin (DT). In vitro activated TCR_{OTI} and TCR_{SMARTA} were adoptively 338 transferred into lymphodepleted tumor-bearing BM chimeras. 5 days post ACT, mice were treated with DT. 339 Representative of 2 independent experiments (n=3 mice/group). Values are mean \pm SEM. Significance is 340 341 calculated by multiple t test. b. (Top) Experimental scheme of tumor models A and B: 2.5×10^6 B16-OG cancer cells (B16 OG; model A) or 1.25x10⁶ B16-OVA (B16-O) mixed with 1.25x10⁶ B16-GP₆₁₋₈₀ cancer 342

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cells (B16 O+G; model B) were transplanted into B6 WT mice. (Bottom), (left) Tumor outgrowth of B16-343 OG or B16 O+G tumors after TCROTI and TCRSMARTA ACT. Representative of 2 independent experiments 344 (n=7 mice/cohort). Data are shown as mean \pm SEM. Significance is calculated by multiple t test. (Right) 345 Kaplan-Meier curve; **p=0.0002; Mantel-Cox test. c. Percentage of TCR_{0T1}^(+CD4) (out of total CD8⁺ TIL) 346 347 9 days post ACT. d. Percentage of TCR_{SMARTA} (out of total CD4⁺ TIL) 9 days post ACT. Data represent 2 pooled, independent experiments (n=8 mice/tumor model). Each symbol represents an individual mouse. 348 e. IFNγ, TNFα, CD107, Granzyme B production of TCR_{OT1}^(+CD4) isolated from B16-OG or B16 O+G 349 tumors, or **f**. isolated from tumor-draining lymph nodes of B16-OG or B16 O+G tumor-bearing hosts. 350 Cytotoxic molecules and cytokine production assessed after 4-hr peptide stimulation ex vivo. Representative 351 of 2 independent experiments (n=3 mice/tumor). Data are shown as mean \pm SEM. *p<0.05, unpaired two-352 tailed Student's t test. NS, not significant. g. Mosaic, clonal growth of B16 OVA-EGFP mixed with B16 353 GP_{61-80} -Cerulean tumor cells (B16 O+G) in B6 WT mice. Shown are confocal microscopy sections of 354 tumors with B16 OVA (green) and B16 GP (red) distinct tumor regions. h. Proposed model: Triad 355 formation (three-cell-type clusters; CD8 T cells::CD4 T cells:: APC) form in B16 OG tumors (Model A) 356 where CD8- and CD4-tumor antigens/epitopes are linked and co-presented on the same APC within tumors; 357 tumor-specific CD8 and CD4 T cells engage on same APC; CD4 T cells reprogram CD8 T cells. Model B: 358 B16 O+G; triads cannot form due to CD8- and CD4-tumor antigens being presented on distinct APC. 359

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Figure 4 | Intratumoral immune triads (three-cell-types clusters; CD8 T cell::CD4 T cell::APC) are 361 required for CD8 T cell reprogramming and tumor elimination. a. Color-coded mouse models to 362 determine intratumoral immune triad formation (Models A and B (see Fig. 3)). B16 OG (Model A) or B16 363 O+G (Model B) tumors were established in CD11c-YFP mice (yellow); effector TCR_{OTI}-RFP (red) and 364 TCR_{SMARTA}-EGFP T cells (green) were adoptively transferred into tumor-bearing hosts. Confocal 365 microscopy analysis of frozen tumor tissue sections. Arrows indicate triads. b. Numbers of triads per field 366 of view (FOV), and c. (left) Fold increase of triads normalized to total numbers of CD11c⁺YFP⁺ cells/FOV 367 (right). c. Quantification of fold increase of numbers of CD4 T cell-DC dyads normalized to total number 368

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of infiltrating CD11c⁺YFP⁺ cells/FOV. Each symbol represents an individual frozen tumor section (n=3 369 mice/group/model). Data are shown as mean \pm SEM. *** P <0.001, unpaired two-tailed Student's t test. 370 (e.-g). Increased triads in patients with Malignant Pleural Mesothelioma (MPM) treated with checkpoint 371 immunotherapy is associated with pathologic responses. e. Treatment regimen and methodology used to 372 373 determine triads (CD8 T cell::CD4 T cell::APC) and dyads (CD4::APC). Pipeline of co-localization detection by imaging mass cytometry (IMC; see Methods for more details). Briefly, FFPE tumor tissues 374 were stained with 35 target-specific antibodies. Automated cluster detection estimated cluster boundaries 375 by expanding the perimeter of nuclei, identified by Cell ID Intercalator-iridium (1911r). IMC images were 376 quantified through FIJI, and protein expression data extracted through mean intensity multiparametric 377 measurements performed on individual clusters. Acquired cluster data were normalized with CytoNorm 378 tools, and normalized cytometric data transferred into additional Spanning-tree Progression Analysis of 379 Density-normalized Events (SPADE) to generate automated clustering algorithm and applied cytometric 380 analysis in FlowJo. f. Representative multiplexed mass cytometry images of triads and dyads. g. Fold 381 change of triads and dvads of pre- and post-immune checkpoint therapy (Tx) density (numbers/mm²) in 382 responders (R) and non-responders (NR); *p=0.02; n.s. p=0.34 (not significant). h. Proposed model of 383 TRIAD-associated cancer elimination. 384

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385 MATERIALS AND METHODS

- 386 Mice
- B6 mice (C57BL/6J), TCR_{OTI} (C57BL/6-Tg(TcraTcrb)1100Mjb/J), TCR_{SMARTA} (B6.Cg-Ptprca Pepcb
- 388 Tg(TcrLCMV)1Aox/PpmJ), CD11c-YFP (B6.Cg-Tg(Itgax-Venus)1Mnz/J), CD11c-DTR-GFP (B6.FVB-
- 389 1700016L21RikTg(Itgax-DTR/EGFP)57Lan/J), GFP transgenic (C57BL/6-Tg(CAG-EGFP)1Osb/J), B6
- 390 Thy1.1 (B6.PL-Thy1a/CyJ), and B6 CD45.1 (B6.SJL-Ptprca Pepcb/BoyJ) mice were purchased from the
- Jackson Laboratory. TCR_{SMARTA} mice were crossed to Thy.1.1 mice to generate TCR_{SMARTA} Thy1.1 mice;
- 392 for Figure 4 imaging studies, TCR_{SMARTA} Thy1.1 mice were crossed to GFP-transgenic mice to generate
- 393 TCR_{SMARTA} Thy1.1 GFP mice. TCR_{OTI} (Thy1.2) mice were crossed to CD45.1 mice to generate TCR_{OTI}
- 394 CD45.1 mice. Both female and male mice were used for experimental studies. Donor and host mice were
- age- and sex-matched; mice were 7-12 weeks old. All mice were bred and maintained in the animal facility
- ³⁹⁶ at Memorial Sloan Kettering Cancer Center (MSKCC). Experiments were performed in compliance with
- the MSKCC Institutional Animal Care and Use Committee (IACUC) regulations.

398 Antibodies and Reagents

- ³⁹⁹ Fluorochrome-conjugated antibodies were purchased from BD Biosciences, eBioscience, and Biolegend.
- 400 The OVA₂₅₇₋₂₆₄ and GP_{61-80} peptides were purchased from GenScript.

401 Intracellular cytokine staining

Intracellular cytokine staining was performed using the Foxp3 staining kit (BD Biosciences) following the manufacturer's protocol. Briefly, T cells isolated from lymph nodes or tumors were mixed with $3x10^{6}$ congenically marked B6 splenocytes and incubated with 1 µg/mL of OVA peptide and/or 2 µg/mL of GP peptide for 4-5h at 37°C in the presence of GolgiPlug (BD Biosciences). After staining for cell surface molecules, cells were fixed, permeabilized and stained with antibodies against IFNγ (XMG1.2) and TNFα (MP6-XT22).

408 Flow Cytometric Analysis

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Flow cytometric analysis was performed using Fortessa X20. Cells were sorted using BD FACS Aria (BD
Biosciences) at the MSKCC Flow Core Facility. Flow data were analyzed with FlowJo v.10 software (Tree
Star Inc.).

412 Generation of plasmids and tumor cell lines

413 *Tumor antigen-encoding pMFG-Cerulean vectors*

pMFG-OVA257-264-Cerulean, pMFG-GP61-80-Cerulean, and pMFG-OVA257-264-GP61-80-Cerulean plasmids 414 were constructed by inserting annealed oligonucleotides encoding triple SIINFEKL-AAY repeats, 415 GLKGPDIYKGVYQFKSVEFD, (SIINFEKL-AAY)3-P2A-GLKGPDIYKGVYQFKSVEFD, or 416 respectively, into the NcoI-linearized pMFG-Cerulean vector, as previously described [45]. Restriction 417 enzymes were purchased from New England Biolabs. All constructs were verified by sequence analysis. 418 Phoenix packaging cells (ATCC) were transfected with pMFG constructs; supernatants were used to 419 transduce B16-F10 mouse melanoma tumor cell line to generate B16-F10 OVA257-264-Cerulean, B16-F10-420 GP₆₁₋₈₀-Cerulean and B16-F10 OVA₂₅₇₋₂₆₄-GP₆₁₋₈₀-Cerulean, respectively [45]. Transduced bulk cell lines 421

422 were sorted for similar Cerulean expression levels.

423 In vitro T cell activation

For the generation of effector TCR_{0T1} CD8 T cells and TCR_{SMARTA} CD4 T cells, single-cell suspensions were prepared from spleens of TCR_{0T1} and TCR_{SMARTA} transgenic mice and cultured *in vitro* in RPMI 1640 medium supplemented with 10% FBS, 100 IU/ml penicillin, 100 mg/ml streptomycin, nonessential amino acids, 1 mM sodium pyruvate, and 20 mM HEPES, together with 1 μ g/mL of OVA₂₅₇₋₂₆₄ peptide or 2 μ g/mL of GP₆₁₋₈₀ peptide, respectively, at a concentration of 4-5x10⁶ splenocytes/ml in the presence of 50U/mL IL-2 for 4 days.

430 Adoptive T cell transfer

For adoptive transfer studies, 2.5×10^5 *in vitro* activated TCR_{OT1} (CD45.1) and/or 5×10^5 *in vitro* activated TCR_{SMARTA} (Thy1.1) were transferred (i.v.) into tumor-bearing WT B6 mice at indicated time points post tumor transplantation (approximately 2-3 weeks post tumor implantation). Tumor-bearing mice were treated with cyclophosphamide (180mg/kg), and 24h later *in vitro* activated TCR_{OT1} CD8 T cells and/or

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TCR_{SMARTA} CD4 T cells were adoptively transferred. At indicated time points, adoptively transferred T cells
 were isolated from tumor-draining lymph nodes and tumors and prepared for downstream analyses.

437 B16 and MCA 205 transplantation tumor models

438 2.5x10⁶ B16 OVA₂₅₇₋₂₆₄-GP₆₁₋₈₀ (B16 OG) tumor cells, or a mixture of 1.25x10⁶ B16 OVA₂₅₇₋₂₆₄ (B16 O) +

- 439 1.25x10⁶ B16 GP₆₁₋₈₀ (B16 G) tumor cells (B16 O+G), or MCA OVA₂₅₇₋₂₆₄-GP₆₁₋₈₀ tumor cells were injected
- subcutaneously into mice. Antigen-specific T cells were adoptively transferred into tumor-bearing mice as
- described in text and figure legends. For outgrowth experiments, tumors were measured manually with a
- 442 caliper. Tumor volume was estimated with the formula $(L \times W \times H)/2$.

443 Generation of bone marrow chimeras and depletion of dendritic cells *in vivo*

B6 WT (CD45.1) mice were irradiated twice with 600 cGy, 6 hours apart. 12-18 hours later, bone marrow (BM) was isolated from femurs and tibias of CD11c-DTR/GFP (CD45.2) mice, and 5–8x10⁶ BM cells were injected i.v. into irradiated CD45.1 mice. BM chimeric were given antibiotics (trimethoprimsulfamethoxazole) for 2 weeks. BM chimeric were analyzed for successful engraftment and BM reconstitution 6-8 weeks later. For conditional DC depletion, CD11c-DTR/GFP BM chimeric mice were injected (i.p.) with 4–5 ng/g body weight diphtheria toxin (DT, Sigma-Aldrich) every other day for 14 days.

450 **Generation of B16** -*I*-*A^b*-deficient tumor cell line

The B16 tumor cells were subjected to CRISPR/Cas9-mediated knockout of $I-A^b$ by transient transfection of a plasmid encoding both Cas9 nuclease and single guide (sg) RNA targeting the $I-A^b$ locus, as well as GFP reporter gene. 2.5×10^5 B16 cells were plated and transfected with 2µg of Cas9- and sgRNA-encoding plasmid DNA using Lipofectamine 3,000 (Invitrogen) following the manufacturer's protocol. 3 days post transduction, GFP+ cells were FACS-sorted. Deletion of $I-A^b$ was confirmed by treating GFP+ B16 $I-A^b$ cells with 20 U/ml IFNy for 48h, followed by flow cytometric analysis of I-A^b expression.

457 Color-coded tumor model and adoptive transfer of color-coded T cells

458 CD11c-YFP transgenic mice were injected subcutaneously with 2.5x10⁶ (B16 OG) tumor cells or a mixture

- of 1.25×10^6 B16-O + 1.25×10^6 B16-G tumor cells (B16 O+G). To generate color coded TCR_{OT1} CD8 T
- 460 cells, TCR_{OT1} splenocytes were transduced to express tRFP using retroviral transduction as previously

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described [68]. Briefly, Platinum-E cells (ATCC) were transfected with a tRFP-encoding retroviral vector using the Mirus TransIT-LT1 reagent (catalog no. 2305). Viral supernatant was supplemented with polybrene and added to TCR_{OT1} splenocytes, and the cells were transduced via spinfection on two consecutive days. To generate color-coded TCR_{SMARTA} CD4 T cells, splenocytes from TCR_{SMARTA} GFP transgenic mice were used and activated as described above. Tumor-bearing mice were treated with cyclophosphamide (180mg/kg) one day before ACT, and *in vitro* activated 2.5+10⁵ TCR_{OT1} tRFP+ CD8 T cells and $4X10^5$ cells TCR_{SMARTA} EGFP CD4 T cells were transferred (i.v.) into tumor-bearing mice.

468 Immunofluorescence staining and confocal imaging

For confocal microscopy analysis, pieces of established tumors were excised and fixed for 18-24 hours in 469 4% paraformaldehyde solution, followed by dehydration in 20% sucrose, and then embedded in OCT, and 470 stored at -80°C. 30-µm-thick frozen sections were cut on a CM3050S cryostat (Leica) and adhered to 471 Superfrost Plus slides (Thermo Fisher Scientific). Nuclei were labeled using DAPI (Sigma). Slides were 472 mounted with ProLong Diamond Antifade Mountant (Invitrogen) and analyzed on a Leica TCS SP8 473 confocal microscope. Fiji Is Just ImageJ (FIJI) was utilized for image analysis. 3D reconstitution was 474 performed, and triple contacts/triads were assessed based on color-coded immune subset identification. 475 Analyses was performed as a blinded outcome assessment. To quantify double contacts, after thresholding 476 and binarization of images, the function "analyze particles" has been applied. To precisely estimate only 477 events showing double contact, the mathematical function "AND" was used. 478

479 Isolation of adoptively transferred T cells from downstream analyses

Lymph nodes were mechanically disrupted with the back of a 3-mL syringe, filtered through a 100-μm strainer, and red blood cells (RBC) were lysed with ammonium chloride potassium buffer. Cells were washed twice with cold RPMI 1640 media supplemented with 2μM glutamine, 100U/mL penicillin/streptomycin, and 3% fetal bovine serum (FBS). Tumor tissue was mechanically disrupted with a glass pestle and a 150-μm metal mesh in 5mL of cold HBSS with 3% FBS. Cell suspension was filtered through 70-μm strainers. Tumor homogenate was spun down at 400*g* for 5 minutes at 4°C. Pellet was resuspended in 15 mL HBSS with 3% FBS, 500 μl (500U) heparin, and 8 mL isotonic Percoll (GE), mixed

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by several inversions, and spun at 500g for 10 min at 4°C. Pellet was lysed with ammonium chloride
 potassium buffer and cells were further processed for downstream applications.

489 Sample Preparation for RNA-Seq and ATAC-Seq

490 TCR_{OT1} CD8 T cells were isolated from tumors (see above); cells were stained for CD8 α (clone 53-6.7,

- eBioscience) and CD45.1⁺(clone A20, Biolegend). CD8⁺CD45.1⁺ cells were sorted by FACS. For RNA-
- 492 seq, T cells were directly sorted into Trizol LS reagent (Invitrogen, catalog no. 10296010) and stored at -
- 493 80°C. For ATAC-seq, sorted T cells were resuspended in cold FBS with 10% DMSO and stored at -80°C.
- 494 **RNA-seq**

RNA from sorted cells was extracted using the RNeasy Mini Kit (Qiagen; catalog no. 74104) according to instructions provided by the manufacturer. After RiboGreen quantification and quality control by an Agilent BioAnalyzer, total RNA underwent amplification using the SMART-Seq v4 Ultra Low Input RNA Kit (Clontech), and amplified cDNA was used to prepare libraries with the KAPA Hyper Prep Kit (Kapa Biosystems). Samples were barcoded and run on a HiSeq 2500 in a 50-bp/50-bp paired-end run with the HiSeq SBS Kit v4 (Illumina). An average of 50 million paired reads were generated per sample.

501 ATAC-seq

Profiling of chromatin accessibility was performed by ATAC-seq as previously described (Buenrostro et 502 al., 2013). Briefly, viably frozen, sorted T cells were washed in cold PBS and lysed. The transposition 503 reaction was incubated at 42°C for 45 min. The DNA was cleaned with the MinElute PCR Purification Kit 504 (Qiagen; catalog no. 28004), and material was amplified for five cycles. After evaluation by real-time PCR, 505 7-13 additional PCR cycles were done. The final product was cleaned by AMPure XP beads (Beckman 506 Coulter, catalog no. A63882) at a 1× ratio, and size selection was performed at a 0.5× ratio. Libraries were 507 sequenced on a HiSeq 2500 or HiSeq 4000 in a 50-bp/50-bp paired-end run using the TruSeq SBS Kit v4, 508 HiSeq Rapid SBS Kit v2, or HiSeq 3000/4000 SBS Kit (Illumina). An average of 100 million paired reads 509 were generated per sample. 510

511 **Bioinformatics methods**

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- 512 The quality of the sequenced reads was assessed with FastQC and QoRTs (for RNA-seq samples; Hartley
- and Mullikin, 2015; Andrews, 2010). Unless stated otherwise, plots involving high- throughput sequencing
- data were created using R version 4.1.0 (R Core Team, 2017) and ggplot2 (Wickham, 2016).
- 515 **RNA-seq data:**
- 516 DNA sequencing reads were aligned with default parameters to the mouse reference genome (GRCm38.p6)
- using STAR v2.6.0c (Dobin et al., 2013). Gene expression estimates were obtained with featureCounts
- v1.6.2 using composite gene models (union of the exons of all transcript isoforms per gene) from Gencode
- 519 (version M17; Liao et al., 2014).
- 520 **DEGs**
- 521 DEGs were determined using DESeq2 v1.34.0 with Wald tests with a q-value cutoff of 0.05 (Benjamini–
- 522 Hochberg correction).

523 Heatmaps

Heatmaps in Fig. 2b were created using DESeq2 normalized read counts after variance stabilizing

transformation of genes identified as differentially expressed by DESeq2. Rows were centered and scaled.

526 Pathway and GO term enrichment analyses

Gene set enrichment analyses (Fig. 2g and Suppl. Fig 1) were done using fgsea v1.20.0 [69] with the fgseaMultilevel function. Genes were ranked based on the DESeq2 Wald statistic. Gene sets with an FDR <0.05 were considered enriched.

- 530 Gene ontology analysis was performed on up- and down-regulated DEGs using the clusterProfiler v4.2.2
- ⁵³¹ R package [70]. Only GO categories enriched using a 0.05 false discovery rate cutoff were considered.
- 532 ATAC-seq data:

533 Alignment and creation of peak atlas

Reads were aligned to the mouse reference genome (version GRCm38) with BWA-backtrack v0.7.17 (Li

and Durbin, 2009). Post-alignment filtering was done with samtools v1.8 and Picard tools v2.18.9 (Li et

- al., 2009) to remove unmapped reads, improperly paired reads, nonunique reads, and duplicates. Peaks were
- called with MACS2 v2.1.1 (Liu, 2014), and peaks with adjusted P values smaller than 0.01 were excluded.

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Consensus peak sets were generated for each condition if a peak was found in at least two replicates. 538 Reproducible peaks from each condition were merged with DiffBind v3.4.11 to create an atlas of accessible 539 peaks, which was used for downstream analyses. The peak atlas was annotated using the ChIPseeker 540 v1.30.3 [71] and TxDb.Mmusculus.UCSC.mm10.knownGene [Bioconductor Core Team and Bioconductor 541 542 Package Maintainer (2019). TxDb.Mmusculus.UCSC.mm10.knownGene: Annotation package for TxDb object(s). R 3.10.0.]. Blacklisted 543 package version regions were excluded (https://sites.google.com/site/anshulkundaje/projects/blacklists). 544 **Differentially accessible regions** 545 Regions where the chromatin accessibility changed between different conditions were identified with 546 DESeq2 v1.34.0, and only Benjamini–Hochberg corrected P values < 0.05 were considered statistically 547 significant. 548 **Coverage files** 549 Genome coverage files were normalized for differences in sequencing depth (RPGC normalization) with 550 bamCoverage from deepTools v3.1.0. Replicates were averaged together using UCSC-tools bigWigMerge. 551 Merged coverage files were used for display in Integrated Genomics Viewer shown in Fig. 2e. 552 Heatmaps 553

Heatmaps based on the differentially accessible peaks identified between TCR_{0T1} and $TCR_{0T1}^{(+CD4)}$ as shown in Fig. 2d were created using profileplyr v1.10.2 (T. Carroll and D. Barrows (2021). profileplyr: Visualization and annotation of read signal over genomic ranges with profileplyr. R package version 1.10.2.) and ComplexHeatmap v2.15.1 [72], by binning the region +/– 1kb around the peak summits in 20bp bins. To improve visibility, bins with read counts greater than the 75th percentile + 1.5*IQR were capped at that value.

560 Motif analyses

561 For identifying motifs enriched in differentially accessible peaks, we utilized HOMER via marge v0.0.4

562 ([73]; and [Robert A. Amezquita (2021). marge: API for HOMER in R for Genomic Analysis using Tidy

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563 Conventions. R package version 0.0.4.9999]). HOMER was run separately on hyper- or hypo-accessible 564 peaks with the flags -size given and -mask. Motifs enriched in hyper- or hypo-accessible peaks were 565 determined by comparing the rank differences (based on P value). The consensus peakset identified by 566 DiffBind was used as the background set.

567

568 Human Data (Fig. 4e-4g):

Trial, Patients, Study Design: For more details on patients, study design, and methodology see Hyun-569 Sung Lee et al [63]. Briefly, this was a phase II, prospective, randomized window-of-opportunity trial 570 completed at Baylor College of Medicine that enrolled patients with surgically resectable MPM 571 (NCT02592551). Eligible patients underwent a staging procedure that included cervical mediastinoscopy 572 with mediastinal lymph node biopsies and diagnostic laparoscopy with peritoneal lavage and peritoneal 573 biopsies. Thoracoscopy with tumor biopsies was performed for the purpose of the trial. Patients without 574 pathologic nodal or peritoneal disease were randomly assigned in a 2:2:1 ratio to receive (i) one dose of 575 durvalumab (10 mg/kg i.v.), (ii) one dose of durvalumab (1,500 mg) plus one dose of tremelimumab (75 576 mg i.v.), or (iii) no ICB. ICB was administered 3 days to 3 weeks following the staging procedure and 577 surgical resection was performed 3 to 6 weeks after ICB by extended pleurectomy/decortication (P/D) or 578 579 extrapleural pneumonectomy (EPP). Tumor and blood were obtained before and after ICB (at thoracoscopy and resection, respectively). 580

Methods: Cancer specimens were processed into single-cell suspensions, fresh frozen tissue preparations,
 samples cryopreserved in optimal cutting temperature (OCT) compound, and formaldehyde-fixed paraffin embedded tissues (FFPE).

<u>Imaging mass cytometry (IMC).</u> FFPE tissue samples were sectioned at a 5-μm thickness for IMC. FFPE tissues on charged slides were stained with 1:100 diluted antibody cocktails (concentration of each antibody=0.5mg/mL) as recommended by the user's manual. The slides were scanned in the Hyperion Imaging System (Fluidigm). They were scanned at least four regions of interest in >1mm2 at 200 Hz.

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IMC analysis. Fiji was used for cell segmentation and conversion of imaging data into flow cytometric data, 588 with the advantage of fast, robust, unsupervised, automated cell segmentation method. 32-bit TIFF stacked 589 images were loaded in Fiji and novel method of automated cell segmentation that estimates cell boundaries 590 by expanding the perimeter of their nuclei, identified by Cell ID intercalator iridium (191Ir) was used as 591 described in more detail in Hyun-Sung Lee et al [63]. Once images from the IMC methodology were 592 acquired, images were quantified through FIJI's threshold and watershed tools. Protein expression data 593 were then extracted at the single-cell level through mean intensity multiparametric measurements 594 performed on individual 10 cells and acquired single-cell data were transferred into additional cytometric 595 analysis in FlowJo V10 software (FlowJo, LLC, OR). All protein markers in quantified IMC data are 596 adjusted with 191Ir and 193Ir nucleus intensities and normalized with CytoNorm across IMC regions of 597 interests, a normalization method for cytometry data applicable to large clinical studies that is plugged-in 598 FlowJo. CytoNorm allows reducing mass cytometry signal variability across multiple batches of barcoded 599 samples. Normalized IMC data are combined by using FlowJo. For CyTOF, please see Hyun-Sung Lee et 600 al [63]. 601

602 Statistical analyses

Statistical analyses on flow cytometric data were performed using unpaired two-tailed Student's t tests (Prism 7.0, GraphPad Software). A P value of < 0.05 was considered statistically significant. All other statistical testing methods are described in figure legends.

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- 619 Author Contributions: G.E.C. and A.S. conceived and designed the study; G.E.C, carried out experiments,
- analyzed and interpreted data. A.D. assisted with mouse breeding. P.Z. and D.B. performed computational
- analyses. A. Scrivo conduced microscopy analyses. For human study: conceptualization (B.M.B., H.S.L.
- and M.H.). H.S.L. and B.M.B: data curation, analyses, visualization, methodology. G.E.C. and A.S. wrote
- the manuscript, with all authors contributing to the writing and providing feedback.

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Figure 1



Figure 2



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Three-cell-type cluster (TRIAD) hypothesis TRIAD CD8 CD4 CD8 CD4 T cells ß 83 APC 1 ŧ f Cancer cells Model A Model B B16-OG B16 O+G

Fold increase TRIADS

6

4

2

0

Model: A

p=0.0005

в

6

4

2

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Α в









а



f CD8-CD4-APC Dyad (CD4-APC Triad Dyad Triad 8 8 Fold Change (post-treatment density/ (post-treatment density/ p = 0.02pre-treatment density) pre-treatment density) n.s. 6 Fold Change p=0.34 4 2 0 0 NR R R NR h TRIAD







Supplementary Figure 1: a. IFN γ and TNF α production, **b.** inhibitory receptor expression, and **c.** TOX expression of TCR_{OTI} isolated from tumor-draining lymph nodes of B16-OG tumor-bearing mice 8-9 days post transfer +/- TCR_{SMARTA}. Cytokine production was assessed after 4-hr peptide stimulation *ex vivo*. Data show 2 pooled independent experiments (n=5-7). Data are shown as mean ± SEM. *p<0.05, using unpaired two-tailed Student's *t* test. ns, not significant.

Supplementary Figure 1





ACT CD8+ TIL:

Terminally differentiated

Supplementary Figure 2: Enrichment of gene sets in TCR_{OT1} and TCR_{OT1} (+CD4), respectively, described for human tumor infiltrating (TIL) CD8 T cells from metastatic melanoma patients receiving *ex vivo* expanded CD8+ TIL in in adoptive T cell transfers (ACT) (S. Krishna *et al*, *Science* 2020). ACT responders contained CD69- CD39- stem-like CD8+ TIL, which were lacking in ACT-non-responders. ACT non-responders contained CD69+ CD39+ terminally differentiated CD8+ TIL. TCR_{OT1} (+CD4) are enriched in genes observed in CD69- CD39- stem-like T cells/TIL and are negatively enriched for genes from CD69+ CD39+ terminally differentiated CD8+ CD39+ terminally differentiated Stem-like T cells/TIL and are negatively enriched for genes from CD69+ CD39+ terminally differentiated CD8+ CD3+ CD39+ terminally differentiated CD8+ CD3+ CD3+ CD3+ terminally differentiated CD8+ CD3+ CD3+ CD3+ terminally differentiated CD8+ CD3+ CD3+ terminally differentiated CD8+ CD3+ CD3+ terminally differentiated CD8+ CD3+ CD3+ CD3+ terminally differentiated CD

Supplementary Figure 2



Supplementary Figure 3: a. Flow cytometric analysis of MHC class II I-A^b expression on parental B16 tumor cells cultured *in vitro* (grey) or after isolation from tumor bearing B6 WT mice *ex vivo* (black). **b.** I-A^b expression on B16-OG tumor cells (parental; black) or CRISPR/Cas9 gene-edited B16 OG *I-A^b*-deficient cells (KO; red) after 48 hours IFN_γ treatment *in vitro*. **c.** Outgrowth of B16-OG *I-A^b*-deficient tumors in B6 WT mice receiving adoptively transferred *in vitro* activated TCR_{OT1} and TCR_{SMARTA} (red) or TCR_{OT1} only (black).

Supplementary Figure 3