

REVIEW

Utilizing Predictive Inflammatory Markers for Guiding the Use of Biologicals in Severe Asthma

Martin Runnstrom¹
Hilary Pitner²
Jennifer Xu²
F Eun-Hyung Lee¹
Merin Kuruvilla

¹Division of Pulmonary, Allergy, Critical Care and Sleep Medicine, Emory University, Atlanta, GA, USA; ²Department of Medicine, Emory University, Atlanta, GA, USA **Abstract:** Asthma is a chronic respiratory disease characterized by chronic airway inflammation and airflow obstruction. Up to ten percent of asthmatics have severe asthma, and many remain uncontrolled despite optimal medical management. With our increased understanding of the heterogeneity of asthma and its complex pathophysiology, several biomarkers have been developed and in the recent past, several biologic therapies for severe asthma have been developed and are now in widespread use. Although these biological agents have shown great benefit in treating severe asthma, not all patients respond equally well, and some do not derive any benefit. As much of the current literature of these medications have not assessed biomarkers or have used different cutoffs, it is often challenging to decide the best medication for an individual patient. Here, we review common asthma subtypes, current available biologic therapies for asthma, the clinical application of currently available type 2 biomarkers, as well as summarizing the evidence on how patient characteristics and biomarkers can help with choosing the optimal biologic for a patient that has the highest likelihood of success.

Keywords: asthma, biomarkers, biologics, eosinophils, immunoglobulin E, fractional exhaled nitric oxide

Introduction

Asthma is a chronic respiratory illness that is characterized by chronic airway inflammation and airflow obstruction. Approximately 5–10% of asthmatic patients have severe asthma refractory to standard high-intensity therapies. Our evolving understanding of the heterogeneity of asthma and its complex pathophysiology has prompted the development of biomarkers to help guide treatment options especially for those with severe asthma. A biomarker is a measurable indicator of a pathological disease which can guide therapeutic options. Characteristics of a good biomarker include having a high predictive value of disease states, easy to obtain, widely available, affordable, and providing information about clinical outcome and prognosis.

Currently, biomarkers are frequently used in clinical settings to differentiate patients with severe asthma to determine optimal biologic treatment. Asthma is broadly divided into two categories: type 2 (T2-high) and non-type 2 (T2-low).² In the era of personalized medicine, biomarkers provide insight into disease pathophysiology to guide biologic treatment selection to improve treatment success.

This review will describe the clinical application of biomarkers and how to select biologic therapies for type 2 asthma based upon asthma endotypes.

Correspondence: Merin Kuruvilla Email merin.kuruvilla@emoryhealthcare.org

Asthma Phenotypes/Endotypes

Asthma is an encompassing term that describes a heterogeneous group of diseases. The clinical features of asthma including shortness of breath, cough, and wheezing are driven by several different molecular pathways that cause dysregulated inflammatory responses. Traditionally asthma has been grouped by phenotypes, an observable set of characteristics which are a result of environmental and hereditary influences. However, as the biologic mechanisms of asthma have become more understood, our classification are shifting towards "molecular phenotypes" or endotypes. Asthma endotypes are subtypes differentiated by having distinct pathophysiological mechanisms.² The shift towards using endotypes to classify asthma has created an opportunity for directed biologic therapies to make clinical impact.

There are two main asthma endotypes: T2-high and T2low. The T2-high endotype of asthma is driven by T2 cytokines (IL-4, IL-5, IL-13), eosinophils, alarmins (IL-25, IL-33, thymic stromal lymphopoietin [TSLP]), and Immunoglobulin E (IgE). Figure 1 outlines the type 2 inflammatory pathways in asthma. Two main groups of patients fall into this T2-high

classification: early onset allergic asthma and late onset eosinophilic asthma. Early onset allergic asthma or atopic asthma affects mostly children and ~50% of adults.³ It is the most common form of asthma and defined by high serum IgE or positive skin testing with comorbid conditions such as atopic dermatitis and allergic rhinitis. Late onset eosinophilic asthma affects mostly adults and characterized by high blood and sputum eosinophilia or Fractional Exhaled nitric oxide (FeNO) and is often dependent on systemic corticosteroid treatment. These patients also have a greater likelihood of having comorbid chronic rhinosinusitis and with nasal polyposis.

The mechanism of T2-low endotype is still poorly understood and to date there are no established biomarkers. ⁴ T2-low asthma is characterized by neutrophilic or paucigranulocytic inflammation and likely involves the dysregulation of T helper (Th) cells 1, Th17 cells, and interferon gamma (IFN-y).^{2,4} Phenotypes associated with T2-low asthma include obesity, smoking, and very late onset (age >50) disease.²

Understanding the different phenotypes and endotypes of asthma helps delineate pathophysiology and can guide biologic

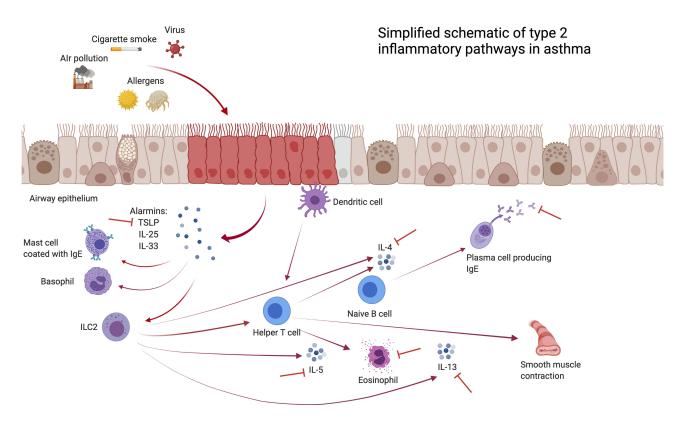


Figure 1 Simplified schematic of type 2 inflammatory pathways in asthma. Created with BioRender.com.

therapy and patient outcomes. In this review, we will concentrate on the utility of biomarkers for type 2-high asthma as there are no validated T2-low asthma biomarkers in clinical practice.

FDA Approved Biologic Treatments for Asthma

There are multiple biologic therapies that target the T2-high endotype, but none specifically directed at the T2-low endotype. At the time of writing this manuscript, there are six FDA approved biologics for the treatment of severe refractory asthma: omalizumab (anti-IgE), dupilumab (anti-IL4/IL13), three anti-eosinophil agents (mepolizumab, reslizumab, benralizumab), and anti-TSLP (tezepelumab).

Omalizumab was the first biologic therapy to be approved for asthma. It is a recombinant monoclonal antibody that binds to free IgE secreted in response to inhalants and interferes with allergic responses to environmental allergens. Omalizumab is approved to treat adults and children 6 years of age or older with moderate or severe persistent asthma not controlled with inhaled corticosteroids. Patients generally need to have a serum total IgE level between 30 and 700 IU/mL (IgE 30–1300 IU/mL in 6–11 years of age) and evidence of in vitro perennial aeroallergen reactivity or positive skin test to qualify and lack other underlying conditions that could be the cause of the IgE elevation.⁵

Mepolizumab is a humanized monoclonal antibody against IL-5 and the first approved anti-eosinophil agent. It was shown to decrease asthma exacerbations among severe eosinophilic asthmatics by ~50%. It is currently approved for severe asthmatics 6 years or older. Another FDA approved IL-5 antagonist is reslizumab. Its dosing is weight based, which has been hypothesized to potentially be advantageous in overweight patients if alternative medications would be relatively underdosed, although no head-to-head trials have evaluated this. Mepolizumab and reslizumab antagonize IL-5, the major signaling protein for eosinophil differentiation, proliferation, chemotaxis and survival. Benralizumab is an IL-5 receptor alpha antagonist that prevents IL-5 binding to its receptor and also elicits antibody-dependent cellular cytotoxicity against basophils and eosinophils. It is approved for severe asthmatics 12 years and above.

Dupilumab is a monoclonal antibody targeting the IL-4 receptor alpha, that serves as the common receptor for the IL-4 and IL-13 pathways and thus interferes with IL-4/IL-13 responses. Dupilumab is approved for ages 6 and above and is given as a subcutaneous injection every 2 weeks. To qualify,

patients need to have uncontrolled eosinophilic asthma with elevated FeNO or elevated peripheral blood eosinophils (PBE) or be corticosteroid dependent. Finally, tezepelumab is the most recently approved biologic medication for severe asthma and targets TSLP, an upstream signaling molecule. It was shown to decrease the number of asthma exacerbations and improve FEV1 independent of biomarker status and is approved for adults and children at least 12 years of age without phenotype or biomarker limitations. ⁵⁸

Biomarkers of Type 2 Inflammation in the Context of Type 2 Directed Biologics

Biologic treatments for patients with severe asthma are not always successful and non-responsive patients need to discontinue therapy. The prediction of response to biologics has thus gained significant attention in the management of severe asthma. Currently, there are 4 commonly utilized biomarkers of T2-high asthma: sputum cell count, peripheral blood eosinophil count, serum immunoglobulin E (IgE) and fraction of exhaled nitric oxide (FeNO),⁶ that are the most valuable in understanding the underlying immunopathology driving asthma and for biologic selection. Although there is some degree of relationship between PBE count, FeNO, IgE and sputum eosinophilia (defined as 3% or higher), a systematic review found only moderate correlation.⁷

Our understanding of biomarkers is limited by the fact that Phases 1 to 3 clinical trials for biologics are primarily focused on assessing efficacy and safety. Studies exploring expected responses to biologic therapy are based largely on post-hoc analyses of initial registration trials or small real-world studies. Regardless, clinical guidelines recommend obtaining multiple biomarkers in severe asthma, ie, blood eosinophils (threshold >150 cells/µL), FeNO (threshold ≥20 ppb), and IgE (threshold≥30 IU/mL), since only one biomarker may be increased independently from the others in some cases. Figure 2 presents a workflow for biologic therapy selection in severe asthma based on type 2 biomarkers.

Blood Eosinophils

Elevated blood eosinophils is the most established biomarker to predict the therapeutic efficacy of all currently available biologics for severe asthma except omalizumab. Eosinophilic inflammation is a downstream effect of various type-2 cytokines. Since the routine measurement of

Algorithm for biologic therapy selection for severe asthma Confirm inhaler compliance and technique, identify and avoid triggers, treat comorbidities Check FeNO, blood eosinophils and IgE Patients with the following diseases may also benefit from certain medications, independent Renralizumah Dupilumab Mepolizumab Corticosteroid-dependent asthma No Nasal polyps - Dupilumab, Mepolizumab, Omalizumab History suggestive of allergy-driven uncontrolled asthma Atopic dermatitis - Dupilumab Ų No Eosinophilic granulomatosis with polyangiitis - Mepolizumab Dupilumab (if FeNO >25 ppb) Yes FeNO >20 ppb? Hypereosinophilic syndrome - Mepolizumab Tezenelumah Ų No Renralizumah Peripheral blood eosinophils >150 cells/mL Omalizumab Reslizumab Mepolizumab

Figure 2 Algorithm for biologic therapy selection for severe asthma. Created with BioRender.com.

cytokine levels is not feasible, PBE assessment is a more easily obtainable surrogate biomarker of type 2 inflammation. While PBE measurement has advantages of practicality, minimal invasiveness, and low cost, it is important to note that PBE counts are dynamic and fluctuate temporally. While longitudinal sampling could compensate for this aspect, repeated testing is demanding and limits applicability. Conversely, a previous analysis found that a single measurement of blood eosinophils was adequate to identify patients who might respond well to treatment, and multiple measurements barely increased sensitivity. ¹¹

All currently approved biologics for severe asthma demonstrated overall greater effectiveness in patients with higher baseline blood eosinophil levels, except for omalizumab. The degree of blood eosinophilia has been consistently identified as the primary baseline characteristic predictive of treatment response to the different anti-IL-5 agents in terms of decreased exacerbations and improvement in lung function. In general, a baseline PBE <150 cells/µL precludes their use.

The development of mepolizumab highlighted the utility of blood eosinophils as a predictive biomarker of biologic responsiveness. Mepolizumab reduced rates of asthma exacerbations by almost half in severe eosinophilic asthma with a greater reduction in patients with

eosinophils. 13 This higher was reproduced a secondary analysis of the DREAM and MENSA studies, in which mepolizumab showed greater clinical efficacy with higher baseline PBE as well as in patients with more frequent exacerbations. 14 This post-hoc analysis showed that patients with PBE of at least 300 cells/µL derived the greatest benefit. Overall, the higher the PBE, the greater the risk reduction with mepolizumab. 15 For example, for PBE <150 cells/µL the rate ratio was 0.55 (95% confidence interval (CI) 0.34-0.89) for clinically significant exacerbation, as compared with patients with PBE >750 cells/µL for whom the rate ratio was 0.15 (95% CI 0.08–0.29).¹⁵

Even though mepolizumab is currently approved for use in patients with PBE of at least 150 cells/ μ L, it is important to emphasize that a PBE threshold not be considered a static value as not only does it vary over time, but also may be decreased by things as corticosteroid use (eg, patients using oral corticosteroids might still have clinical benefit from these therapies despite a lower PBE). ¹⁴

Similarly, studies of the other anti-eosinophil agents have confirmed the predictive nature of blood eosinophils. While treatment with reslizumab was associated with an overall reduction in blood eosinophil counts and improved lung function, this agent does not afford meaningful improvement of other asthma outcomes such as symptoms

and lung function in patients with absolute eosinophil count (AEC) less than 400 cells/ μ L. ¹⁶ The ZONDA trial showed that benralizumab led to significant reductions of oral corticosteroid use and asthma exacerbations (75% and 70% respectively) in patients with PBE of at least 150 cells/ μ L. Similar to the other anti-eosinophil agents, however, the most favorable responses to benralizumab were in patients with eosinophil counts of at least 300 cells/ μ L. ¹⁷

In the phase 3 NAVIGATOR trial, tezepelumab decreased the number of asthma exacerbations and decreased blood eosinophils. In pre-specified subgroup analyses, the benefit was greater the higher the blood eosinophil count or FeNO, although a benefit was seen in all groups. ⁵⁸ Dupilumab treatment decreases asthma exacerbations and increases forced expiratory volume in the first second (FEV1) regardless of baseline PBE, but the benefits are greater the higher the baseline PBE. Among patients with AEC \geq 300 cells/µL, dupilumab treatment decreased severe exacerbations by around two thirds relative to placebo in contrast with patients with AEC <150 cells/µL, where there was no difference. ^{18,19}

Finally, a post-hoc analysis of the EXTRA study of omalizumab showed greater treatment effect in the subgroup with an AEC of at least $260/\mu L.^{20}$ However, two other studies reported discrepant results. In the STELLAIR study, omalizumab had equivalent effectiveness in both eosinophil "high" and "low" subgroups. ²¹ Similarly, in the real-world PROSPERO study, improvements in omalizumab occurred independent of baseline eosinophils. ²²

It is intuitive to assume that the presence of significant eosinophilia despite systemic corticosteroids may herald even greater benefit from biologic medication. Rabe et al reported a consistent trend towards greater benefit with higher eosinophils compared to lower in corticosteroid dependent patients treated with dupilumab. 18 In the study by Nair et al, however, among patients with severe asthma on corticosteroids, treatment with benralizumab reduced the dose of corticosteroid.²³ However, in a minority of patients there was no reduction in corticosteroids, and those patients had similar eosinophil counts as responders. This indicates a different mechanism driving uncontrolled disease. This was also an exploratory outcome limited by low numbers. Similarly, in a cohort of 250 patients with at least 4 injections of reslizumab or mepolizumab, the use of daily prednisone and dose of prednisone were associated with increased risk of non-responsiveness.²⁴

While these data overwhelmingly support the use of blood eosinophils in predicting response to biologic

therapy, it is important to acknowledge the limitations. While an overall good indicator of type 2 inflammation, blood eosinophils do not always accurately reflect the presence and degree of airway inflammation. 25,26 Hastie et al described a lack of correlation between blood and sputum eosinophils, the R value being 0.19 with ~40% misclassification.²⁷ Overall, PBE counts <90 cells/µL are unlikely to correlate with sputum eosinophilia and conversely, PBE counts >400 cells/μL are suggestive of significant airway eosinophils in the appropriate clinical context. 28,29 Additionally, eosinophils play a role in glucose homeostasis, so blood eosinophils might provide less accurate predictability of treatment response in obese patients.³⁰ Finally, there can be variation across different laboratories and efforts should be made to standardize values.³¹

Sputum Eosinophils

The relationship between severe asthma and sputum eosinophilia has been long established.³² The demonstration of sputum eosinophils is considered the gold standard for the diagnosis of type 2 asthma. The use of sputum eosinophils as a predictive biomarker provides information about the state of the airway on a cellular level. A recent study of severe asthmatics demonstrated increased exacerbation frequency with increasing sputum eosinophilia.³³ However, there are significant cost, availability and time barriers, preventing the widespread use of this biomarker.

Recurrent and persistent sputum eosinophilia >3%, but typically >2%, suggests eosinophils as the key effector cell in type 2 asthma. However, while sputum eosinophilia correlates with airway eosinophils, sputum eosinophilic counts were not predictive of response to mepolizumab in the sputum sub-study of the DREAM trial. In this substudy of 94 patients, exacerbation rates were decreased by ~70% regardless of sputum eosinophilia. This lack of a distinctive response based on sputum eosinophilia is counterintuitive because this biomarker likely represents the airway microenvironment better than PBE, and requires validation in further studies.

Measuring sputum eosinophils may also be useful to monitor response to therapies. The Phase 3 clinical trials for mepolizumab and reslizumab showed that treatment led to significant reductions in sputum eosinophils^{35,36} and persistent airway eosinophilia despite mepolizumab treatment indicates residual type 2 inflammation mediated by IL-5.²⁴ In a cross-sectional study, standard monthly doses of mepolizumab 100 mg subcutaneously

did not suppress local airway eosinophilopoiesis, as defined by persistently elevated sputum eosinophil progenitor cells. Therefore, targeting IL-5-mediated local eosinophil differentiation within the airway may be relevant to optimal control in severe eosinophilic asthma. This was corroborated in a separate trial showing that suboptimal responders to mepolizumab with persistent sputum eosinophilia had improved clinical outcomes with decreased sputum eosinophils following switch to reslizumab.³⁷ Hence, sputum eosinophil measurement may be used to assess airway inflammation in non-responders to anti-eosinophil therapies.

A few studies have also utilized sputum cytometry to assess airway inflammation during exacerbations while on antieosinophil therapies. Despite treatment with mepolizumab or reslizumab, exacerbations were predominantly eosinophilic and may respond to systemic glucocorticoids. On the contrary, most exacerbations while on benralizumab are likely non-eosinophilic and neutrophilic due to intercurrent infection. The blood eosinophil count may be normal in these patients.

Aside from anti-eosinophil agents, the anti-IgE therapy omalizumab depletes lung eosinophils among patients with allergic asthma, which likely is due to decreased inflammatory cell recruitment following allergen exposure. Finally, dupilumab has not been demonstrated to decrease airway eosinophils, although the EXPEDITION study is currently ongoing and is evaluating the effect of dupilumab on inflammatory cells in the bronchial mucosa.

FeNO

Fractional excretion of exhaled nitric oxide (FeNO) is elevated in type 2 asthma secondary to airway epithelium expression of nitric oxide synthase induced by IL-13. An elevated FeNO is considered a surrogate of type 2 inflammation. Its measurement is accessible, noninvasive, and reproducible without significant variation. 40 With omalizumab, a pre-treatment FeNO ≥24 parts per billion (ppb) was predictive of a favorable response to treatment in the EXTRA study,²⁰ in which reductions in exacerbations were greater in patients with high versus low FeNO (53% versus 16%, respectively). Additionally, an increase in FeNO at week 12 following interruption of long-term treatment with omalizumab may predict future exacerbations. 41 It may be beneficial to restart omalizumab in those patients, but it is currently unknown if this would ameliorate this increased exacerbation risk.

Omalizumab treatment has also been shown to decrease FeNO by 4.24 ppb at 48 weeks, compared with placebo.⁵

In the phase 3 LIBERTY QUEST study, patients receiving dupilumab with a baseline FeNO of at least 25 ppb had a ~50% decreased risk of exacerbation compared with placebo, but for patients with a FeNO <25 ppb, there was no significant difference. 19 Therefore, FeNO can be used as a biomarker to predict response to dupilumab and the Global Initiative for Asthma (GINA) currently recommends using a FeNO cutoff ≥25 ppb to use omalizumab or dupilumab. Dupilumab use also reduces FeNO over time from baseline. 19 Pre-treatment FeNO level was shown to predict response to tezepelumab, and the FeNO level was also shown to decrease with treatment compared to placebo. Similarly to blood eosinophils, the higher the baseline FeNO the greater the reduction was in annualized asthma exacerbations, although a benefit was still observed in patients with a baseline FeNO less than 25 ppb.⁵⁸

Unlike other biologics, FeNO does not predict response to anti-eosinophil agents. The DREAM study found that FeNO readings were unaffected by mepolizumab treatment and FeNO assessment during treatment should therefore not be used as a marker of response. Also, the baseline FeNO level did not appear to predict response to anti-IL5 therapies, with equivalent effectiveness in populations with high and low FeNO levels. In concordance with the DREAM study, real-world studies have reproduced this lack of association between baseline FeNO and response to anti-IL5/IL5R agents and unchanged levels during treatment. Ala,44 In a recent study of more than 200 patients, the clinical efficacy of mepolizumab and benralizumab was independent of the baseline FeNO even at levels ≥75 ppb.

Serum IgE

While the relationship between IgE and atopic asthma has long been established, patients with non-atopic asthma may also exhibit increased serum IgE (>150 IU/mL), when compared with healthy controls.⁴⁵ The allergen specificity of IgE in non-allergic type 2 asthmatics remains elusive, although *Staphylococcus aureus* enterotoxin-specific IgE and local IgE against dust mite have been implicated.^{46,47}

While the selection of omalizumab for asthma is contingent upon a baseline serum IgE of 30 to 1300 IU/mL for ages 6–12, and 30–700 IU/mL for older patients, a study that combined 7 trials for a total of 4308 patients (2511 treated with omalizumab) found that the reduction in exacerbation risk was independent of IgE level (IgE level above or below median of 148

IU/mL).⁴⁸ A study by Pillai et al found that in non-atopic asthmatics, omalizumab decreased mucosal IgE-positive cells on bronchial biopsies and improved lung function compared with placebo. This raises the question that local mucosal IgE rather than serum IgE is important for the clinical response to anti-IgE therapy in asthma.⁴⁹ Monitoring IgE levels after treatment initiation is similarly not useful as a marker of responsiveness to omalizumab.

In the QUEST study of dupilumab, treatment was effective in patients with both allergic and non-allergic asthma including patients with allergic asthma and baseline serum IgE >700 IU/mL.⁵⁰ This is relevant since omalizumab is currently not indicated for this patient subset in the United States. Consistent with its mechanism, dupilumab reduced total serum IgE in allergic and non-allergic asthmatics, and decreased allergen-specific IgE in those with allergic asthma.⁵⁰

Akin to FeNO, serum IgE does not predict responsiveness to anti-IL5 agents. In a post-hoc analysis of the DREAM study, mepolizumab effectiveness was not associated with baseline IgE. ⁵¹ This was also noted in post-hoc analyses of the phase 3 SIROCCO and studies with benralizumab, wherein maintenance treatment with benralizumab resulted in similar reductions in exacerbation rates when stratified according to high or low IgE (threshold 150 kU/L). ⁵²

Other Type 2 Biomarkers Serum Periostin

Periostin is an extracellular matrix protein secreted by bronchial epithelial cells in response to IL-4/IL-13 and is detectable in peripheral blood. Periostin has been implicated in the regulation of mucus production, subepithelial fibrosis, and airway remodeling in asthma.

The EXTRA study for omalizumab showed significantly larger reductions in exacerbation rates in patients with high versus low periostin: 30% versus 3%, respectively. Tajiri et al later confirmed the utility of baseline serum periostin as a biomarker in predicting omalizumab response. In a cohort of 30 patients treated for at least 1 year, baseline serum periostin levels negatively associated with levels of free serum IgE after 16 to 32 weeks of therapy. Overall, the decrease in periostin levels correlated positively with reduced exacerbations over the two-year study period. Dupilumab also induced a rapid and sustained reduction in serum periostin in severe asthma as compared with placebo. S4

There is limited data on the application of periostin measurement in the setting of treatment with anti-IL5

/IL5R agents, outside of a small study showing a significant decrease in nasal periostin levels with mepolizumab for the treatment of nasal polyps.

It must also be noted that periostin is not a straightforward surrogate marker of type 2 inflammation. Periostin is specifically linked with tissue remodeling in asthma, and does not necessarily correlate with eosinophils and other biomarkers. Therefore, the level of interest as a predictor of responsiveness to biologic treatments has waned in recent years. 55

Composite Biomarkers

In addition to individual biomarkers, some studies have combined markers to enhance their predictive ability. A recent systematic review demonstrated augmented diagnostic accuracy for the combination of the AEC, serum IgE and FeNO for identifying sputum eosinophils. A prediction model in two separate cohorts was able to predict sputum eosinophils with >70% sensitivity and specificity based on clinical phenotype combined with FeNO, PBE, and activation status of blood neutrophils and eosinophils. Another study showed that a composite score of three biomarkers (FeNO, PBE, and serum periostin) is an independent predictor of exacerbation risk in patients with severe asthma and this may support the decision to pursue biologic therapy.⁵⁶ Some clinical trials have applied targeted biologic treatments based on patient profiles of composite biomarkers.⁵⁷ The predictive ability of composite biomarker testing in biologic treatments for asthma needs to be established.

Conclusion

Although type 2 biological agents have been promising in severe asthma, not all patients respond equally well to these therapies. These differences in treatment response are likely multifactorial, due to the heterogeneity of underlying inflammatory pathways in severe asthma as well as drug-related factors. The optimal use of biologics is of utmost importance in terms of cost and prevention of needless exposure. The decision to initiate and maintain biological therapy is therefore contingent upon the recognition of the clinical relevance of biomarkers and phenotypes. Unfortunately, the selection of a biologic agent is not straightforward and predictive markers that herald responsiveness has gained recent attention.

Studies that have investigated predictive markers for biologic use have provided limited insight, but further evidence is strongly needed. Individual biomarkers are also not necessarily specific enough to allow for targeted treatment in type 2 asthma. For instance, PBE measurement predicts responsiveness to biologics targeting IL-4/IL-13, TSLP, IL-5 or the IL-5 receptor, without clearly indicating which of these is best suited for an individual patient. A composite approach that incorporates clinical phenotypes, treatable traits, comorbidities, such as atopic dermatitis or nasal polyps, and inflammatory biomarkers may better address this question. At present, decisions on selection and maintenance of biologics for severe asthma are challenging and further research should evaluate real-world data and the utility of algorithms that leverage baseline and post-treatment parameters to predict long-term benefit.

Abbreviations

T2, type 2; IgE, Immunoglobulin E; FeNO, Fractional Exhaled nitric oxide; Th, T helper; PBE, peripheral blood eosinophils.

Disclosure

The authors report no conflicts of interest in this work.

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