



Review article

Advances in oral peptide drug nanoparticles for diabetes mellitus treatment

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ABSTRACT

Peptide drugs play an important role in diabetes mellitus treatment. Oral administration of peptide drugs is a promising strategy for diabetes mellitus because of its convenience and high patient compliance compared to parenteral administration routes. However, there are a series of formidable unfavorable conditions present in the gastrointestinal (GI) tract after oral administration, which result in the low oral bioavailability of these peptide drugs. To overcome these challenges, various nanoparticles (NPs) have been developed to improve the oral absorption of peptide drugs due to their unique *in vivo* properties and high design flexibility. This review discusses the unfavorable conditions present in the GI tract and provides the corresponding strategies to overcome these challenges. The review provides a comprehensive overview on the NPs that have been constructed for oral peptide drug delivery in diabetes mellitus treatment. Finally, we will discuss the rational application and give some suggestions that can be utilized for the development of oral peptide drug NPs. Our aim is to provide a systemic and comprehensive review of oral peptide drug NPs that can overcome the challenges in GI tract for efficient treatment of diabetes mellitus.

1. Introduction

Diabetes mellitus is a group of chronic metabolic syndrome characterized by hyperglycemia, which has reached epidemic dimensions around the world [1–3]. Diabetes mellitus can cause a series of complications including limb amputation, blindness, kidney failure and cardiovascular disease [4–6]. These complications seriously threaten the life of diabetes mellitus patients. Diabetes mellitus can be mainly divided into type 1 diabetes mellitus (T1DM) (~5%) and type 2 diabetes mellitus (T2DM) (~95%) according to the pathology features [7–9]. The pathology of T1DM is insulin deficiency own to the apoptosis and loss of insulin-secreting pancreatic β -cells that are destroyed by the T and B cells of the autoimmune system [10–16]. So far, a cure for T1DM is almost not available. T2DM is a complex metabolic disorder featured by insulin resistance in liver and muscle tissues, and excessive hepatic glucose production associated with inappropriately high level of glucagon [17–20]. Chronic hyperglycemia further impairs pancreatic β -cells, which induces the deterioration of the disease [21–23].

Peptide drugs play an important role in different classes of diabetes

mellitus treatment due to their excellent therapeutic efficacy and selectivity [24]. Insulin, 51 amino acids, can control glucose homeostasis by stimulating glucose uptake in the insulin-responsive tissues such as skeletal muscles and myocardium, and suppressing glucagon secretion from pancreatic α -cells [25,26]. T1DM and advanced T2DM patients are dependent on multiple daily insulin injections to control the blood glucose level in clinical [27–31]. Glucagon-like peptide 1 (GLP-1) is one of the most effective drugs for the treatment of T2DM [32–34]. GLP-1 increases the insulin secretion from pancreatic β -cells and decreases the glucagon release from pancreatic α -cells [35,36]. In addition, GLP-1 can stimulate the proliferation of pancreatic β -cells and slow the progression of T2DM. Moreover, its effect is glucose concentration-dependent, and the effect is lost when the glucose concentration is below 77 mg/dL. This unique feature can avoid the risk of hypoglycemia. However, GLP-1 is easily degraded by dipeptidyl peptidase-4 (DDP-4), and its clinical application is impeded due to its short half-life in blood (<2 min) [37,38]. There have been many efforts to develop GLP-1 receptor agonists (GLP-1RA). In recent years, GLP-1RA such as exenatide, liraglutide and semaglutide, have received

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considerable attention for T2DM treatment [39–42]. Compared with the native GLP-1, the GLP-1RA are resistance to the degradation of DDP-4 and have a longer plasma half-life [43]. As the native GLP-1, GLP-1RA can bind to the GLP-1 receptors expressed on pancreatic β -cells, and induce the secretion of insulin in a glucose-dependent manner [44].

However, most of these peptide drugs are frequently injected via subcutaneous administration for diabetes mellitus therapy in clinical. Unfortunately, subcutaneous injection is invasive [45,46]. The pain, side effects and injection site infection result in the low patient compliance and safety issues of subcutaneous injection [47,48]. Especially, subcutaneous injection of insulin may lead to inadequate diabetes control including hypoglycemia and hyperinsulinemia, which is detrimental and even lethal to diabetes mellitus patients [49,50]. There are some alternative routes for peptide drugs administration, including oral, pulmonary and transdermal et al. [51]. In comparison, oral administration is a promising strategy in treating various diseases because of its convenience and high patient compliance compared to parenteral administration routes [52–54]. Oral administration is a simple and repeatable administration route [55]. Additionally, insulin secreted from pancreatic β -cells gets access to systematic circulation via the portal vein, and GLP-1 is directly secreted by intestinal L-cells [56,57]. Oral administration of insulin or GLP-1RA can imitate the dynamics of the endogenous insulin or GLP-1, and provides better glucose homeostasis. However, the peptide drugs have poor chemical and physical stability against external factors. The poor oral absorption of peptide drugs into blood circulation limits their further clinical application as there are a series of formidable unfavorable conditions present in the gastrointestinal (GI) tract after oral administration [58,59]. As shown in Fig. 1, these mainly include ultra-acidic pH in the stomach, enzymatic degradation in the GI tract, intestinal mucus layer and intestinal epithelial cell layer [60,61]. Among them, their inherent low permeability across the epithelium is the key issue. These unfavorable conditions result in low oral bioavailability (<1%) and high dosage requirement of peptide drugs, which substantially increase the cost of treatment. Therefore, the premise of oral peptide drugs application for diabetes mellitus treatment is to overcome these challenges.

Nanoparticle (NP)-based drug delivery systems are regarded as a promising platform to improve the oral absorption of peptide drugs due to their unique *in vivo* properties and high design flexibility [62,63]. NPs can load peptide drugs and enhance their stability in the GI tract.

Additionally, NPs can facilitate the peptide drugs across the mucus layer and intestinal epithelial cell layer to enhance their oral absorption into blood circulation. So far, many efforts have been devoted to develop oral peptide drug NPs for diabetes mellitus therapy. Various NPs have been explored as oral formulations for the treatment of diabetes mellitus. In this review, we will discuss the unfavorable conditions present in the GI tract after oral administration in detail and provide the strategies that can be used to overcome these challenges. Furthermore, we will make efforts to provide a comprehensive overview on the NPs that have been constructed for oral peptide drug delivery. We will highlight the unique properties of each kind of oral peptide drug NPs for diabetes mellitus treatment. Finally, we will discuss the rational application and comment on the potential of translation of these oral NPs. We will give some suggestions that can be utilized for the development of new oral peptide drug NPs. The review will also provide an outlook for this field. Our aim is to provide a systemic and comprehensive review of oral peptide drug NPs that can overcome the challenges in GI tract for efficient diabetes mellitus treatment.

2. Strategies of oral peptide drug NPs to overcome the challenges in gastrointestinal tract

The oral delivery of insulin was firstly studied in the year of 1923 [64]. In September 2019, semaglutide tablet of Novo Nordisk (Rybelsus®) entered the market. Although this is a big breakthrough in oral peptide drugs for diabetes mellitus treatment, the oral bioavailability of Rybelsus® is only 0.4%–1% after oral administration. The low oral bioavailability of peptide drugs is still a challenge for their clinical application. There are a series of unfavorable conditions in GI tract that affect the oral bioavailability of peptide drugs [65–67]. These unfavorable conditions can restrict the access of toxins and microbial pathogens. However, these chemical and physical conditions also reduce the oral absorption of peptide drug delivery systems [68]. Various strategies have been proposed to overcome these challenges.

The first environment the peptide drugs encounter after oral administration is the degradation environment in the stomach and small intestine. The stomach has an ultra-acidic pH (pH 1–3) and various proteolytic enzymes such as pepsin and cathepsin [69]. The harsh microenvironment in the stomach can destroy the structure of peptide drugs, leading to inactivation and degradation of the peptide drugs. The

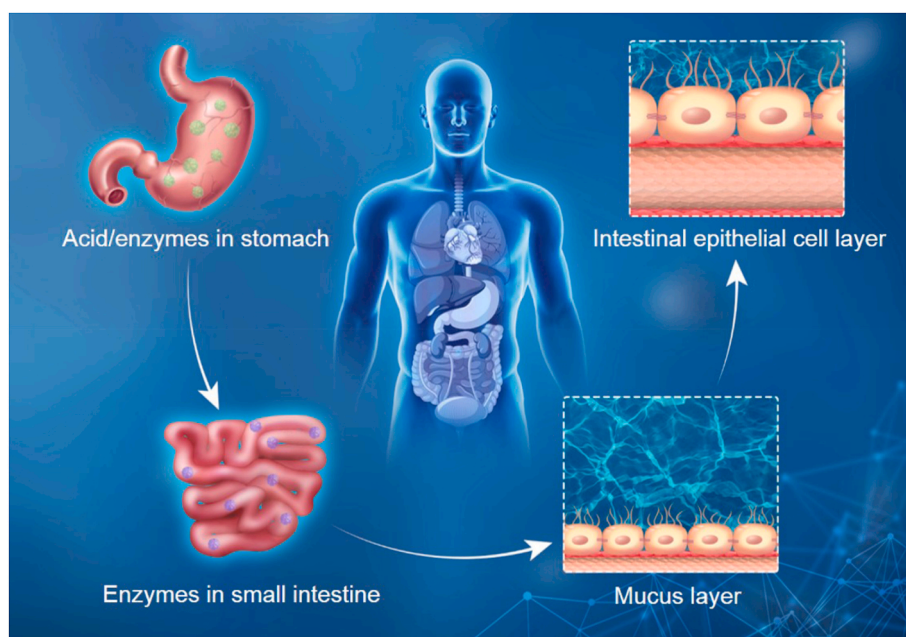


Fig. 1. The unfavorable conditions in GI tract that oral peptide drug encountered for diabetes mellitus treatment.

proteolytic enzymes are present in the lumen of the small intestine, which can also degrade the peptide drugs. The premise of protecting the peptide drugs from degradation in the stomach and small intestine is that the NPs are acid and enzyme-resistant. Additionally, oral peptide drug delivery systems encounter a sudden pH change from the stomach to the small intestine (pH 6.5–8.0), which would induce the dissociation of NPs and result in the leakage of peptide drugs from the oral delivery systems [70]. Hence, the excellent GI stability of NPs is crucial for the success of oral peptide drug delivery. Different enteric polymer materials have been extensively employed in the capsules and/or coating of oral peptide drug NPs. The enteric polymer materials can retain the integrity of oral peptide drug NPs in the stomach. In the more neutral pH environment of the small intestine, the enteric polymer materials are dissolve and achieve controlled release of oral peptide drug NPs. pH-Sensitive poly(methacrylic acid-co-ethyl acrylate) enteric coating, Eudragit L100-55, dissolves at a pH > 5.5 [71]. Therefore, the Eudragit L100-55 is stable in the stomach environment and releases the payloads in the neutral environment of the small intestine. Oral administration of Eudragit L100-55 coated insulin-loaded nanocomplex composed of N-(2-hydroxy)-propyl-3-trimethylammonium chloride modified chitosan (CS)/sodium tripolyphosphate (TPP) showed stronger fluorescence intensity in the small intestine, especially in the ileum segment [72]. The results suggested that the enteric encapsulation could protect the loaded insulin from denaturation and acidic degradation in the stomach environment, and then achieve controlled release in the small intestine.

The second barrier is the large GI mucus layer that is continuously secreted by goblet cells. Mucus is composed of cell-associated mucins and glycoproteins [73]. The mucus layer lies adjacent to the absorptive enterocytes and serves as a lubricant for ingested nutrients [74]. The access of the oral peptide drug NPs to the intestinal epithelial cell layer is largely determined by their penetration ability of the mucus layer. The continuously secreted mucus, with a thickness between 37 and 170 μm , efficiently traps larger molecules and pathogens, especially those with cationic property. The mucus then rapidly clears them from penetrating the intestinal epithelial cell layer [75]. Therefore, particles with a positive charge and/or a hydrophobic surface are difficult to cross the mucus layer. Mucus Penetrating Particles (MPP) have been developed to solve this problem. These MPP have hydrophilic and neutral surface. As hydrophilic and electrically neutral polyethylene glycol (PEG) can hinder the positive charge of the drug delivery systems, PEG is always used as a coating on the surface of MPP for rapid diffusion through the mucus layer [76]. However, PEG would reduce the interaction of NPs with epithelial cells. Nowadays, some dissociable "muco-inert" coating materials such as poly(N-(2-hydroxypropyl) methacrylamide) (pHPMA) have been synthesized for mucus permeation [77]. Additionally, NPs were expected to transport primarily through low viscosity pores within the elastic in mucus. The mesh spacing ranges from about 10 to 200 nm [78]. Therefore, oral peptide drug NPs with the diameter within 200 nm can achieve easy diffusion.

Intestinal epithelial cell layer is one of the key players of intestinal absorption. The peptide drugs can be absorbed into the blood only after crossing the intestinal epithelial cell layer. The lipid-bilayer cell membranes of the intestinal epithelial cell layer prevent foreign particles from entering into blood. The particles with high molecular weights and hydrophilicity are more difficult to cross the intestinal epithelial cell layer. The transport of hydrophilic macromolecules through intestinal epithelium relies on the paracellular route. However, the paracellular route is restricted by the presence of tight junctions (TJs) that are important components of the intestinal epithelial cell layer [79]. TJs are composed of a complex combination of transmembrane integral proteins, and are located at the luminal aspect of adjacent epithelial cells [80,81]. Only molecules less than 1 nm in hydrodynamic radius are permitted to permeate the TJs. Therefore, TJs form a barrier that prevents the passage of oral peptide drug delivery systems.

There are several pathways that have been proposed to be involved in the transport of free peptide drugs or NPs [82]. These pathways

mainly include paracellular transport and receptor-mediated transcytosis [83–85]. Many intestinal permeation enhancers have been explored to facilitate the peptide drugs across the intestinal epithelial cell layer via the paracellular route [86–88]. These absorption enhancers facilitate the paracellular transport by opening the TJs. Over 250 permeation enhancers have improved intestinal permeability. Salscaprozate sodium (SNAC) and sodium caprate (C_{10}) are the two leading intestinal permeation enhancers in oral peptide drug formulations in clinical trials. There is a relatively poor translation of permeation enhancer-based delivery systems for oral peptides. The clinical evaluation has largely been limited to a small group of permeation enhancers that can be formulated into solid-dose formulations and have well safety in man [87,89].

Another strategy is the receptor-mediated endocytosis of NPs to cross the epithelial layer via transcytosis. Ligand functionalization increases membrane affinity and enhances cellular uptake via specific receptor recognition. As shown in Table 1, several ligands can be utilized to facilitate the transport of NPs. Vitamin B12 absorption occurs via receptor-mediated endocytosis after binding its gut transporter [90]. The apical sodium-dependent bile acid transporter (ASBT), the foremost transporter in the distal ileum, can transport the bile acid with a capacity approximated to be 12–18 g/day in human [91]. The neonatal Fc receptor (FcRn) is expressed in the apical region of epithelial cells in the small intestine and diffusely throughout the colon [92]. FcRn interacts with the Fc portion of IgG with a high affinity in acidic pH (pH < 6.5), but not at pH around 7.4. The environment of duodenum and portions of the jejunum is acidic, where the Fc fragments can bind to the FcRn. Additionally, CSKSSDYQC (CSK) peptide has been identified to specifically recognize goblet cells, which is the second large cell population on the epithelium [93,94]. The CSK peptide facilitates the M13 bacteriophages across the intestinal epithelium through its goblet cell-targeting ability [95]. Folic acid can increase the transport of NPs across the intestinal epithelial cell layer by targeting the folate receptors expressed on the apical side mucosa of the intestinal epithelial cell layer [96,97]. Transferrin can mediate receptor-mediated transcytosis across the intestinal epithelium [98]. Butyrate can bind to monocarboxylate transporter-1 (MCT-1), and mediate the transcytosis of NPs to increase their transepithelial transport efficiency [99]. In addition, zwitterions with the structure similar to biological substances have the target ability to the epithelial cell layer. Carboxybetaine (CB) and sulfobetaine (SB) with similar structure to betaine can bind with the proton-assisted amino acid transporter 1 (PAT1) [100,101]. Phosphorylcholine (PC) has high affinity to the intestinal peptide transporter PEPT1 due to its similar structure to di/tripeptides [102]. The cut-off size for NPs to be taken up by epithelial cells has been reported as 300 nm. Therefore, NPs with a size below 300 nm are expected to have better intestinal absorption.

Overcoming these challenges is essential for enhancing the oral absorption of peptide drugs for diabetes mellitus treatment. As shown in Fig. 2, it should be noted that the requirements of oral peptide drug NPs

Table 1
Summary of ligand-receptor based targeting approaches for crossing the intestinal epithelial cell layer.

Targeting ligand	Receptor	Ref.
Vitamine B12	VitB12-IF-IFR (intrinsic factor receptor)	[90]
Bile acid	Apical sodium-dependent bile acid transporter	[91]
Fc portion of IgG	Neonatal Fc receptor	[92]
CSKSSDYQC peptide	Specific receptor on the goblet cells	[93,94]
Folic acid	Folate receptor	[96,97]
Transferrin	Transferrin receptor	[98]
Butyrate	Monocarboxylate transporter-1	[99]
Carboxybetaine/ sulfobetaine	Proton-assisted amino acid transporter 1	[100, 101]
Phosphorylcholine	Intestinal peptide transporter PEPT1	[102]

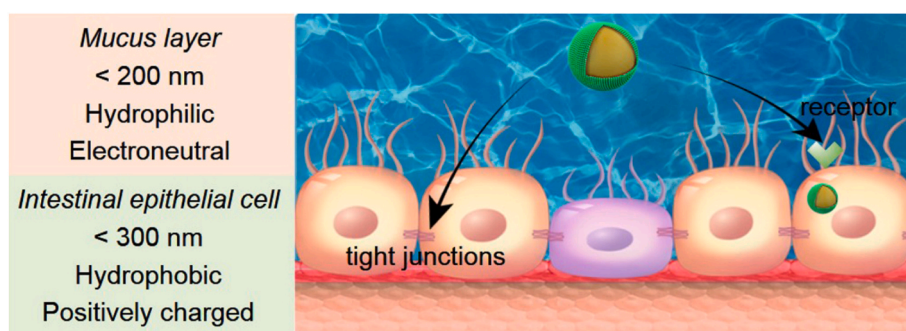


Fig. 2. Favorable physicochemical properties and strategies for oral peptide drug NPs across the mucus layer and intestinal epithelial cell layer.

for crossing the mucus layer and intestinal epithelial cell layer are different, or even contradictory. Hydrophilic and electroneutral surface is required for mucus permeation. However, the hydrophilic and electroneutral surface might decrease the cellular uptake of NPs by the intestinal epithelial cells. NPs with hydrophobic and positively charged surface are more favorable for crossing the intestinal epithelial cells. Therefore, the development of oral peptide drug NPs is always struggled to deal with these dilemmas [103].

3. Advances in oral peptide drug NPs for diabetes mellitus treatment

The poor oral bioavailability of peptide drugs is mainly due to their poor stability in the GI physiological environment and low epithelial permeability. Overcoming these problems is essential for enhancing the bioavailability of orally administered peptide drugs. Extensive efforts have been explored to construct oral NPs that can encapsulate peptide drugs. These oral NPs have been designed to overcome the challenges in the GI tract and enhance the oral bioavailability of peptide drugs [104]. Oral peptide drug NPs can be prepared from a variety of materials. As shown in Fig. 3, these oral peptide drug NPs mainly include lipid NPs, polymer NPs, mesoporous silica NPs (MSNs) and metal-organic frameworks (MOFs) [105,106].

3.1. Lipid NPs

Lipid NPs are delivery systems based on lipid molecules, which can effectively encapsulate hydrophilic and hydrophobic drugs [107–109]. Liposomes have been extensively investigated as drug delivery systems due to their good drug loading ability and biocompatibility [110,111]. Liposomes are spherical, which are composed of hydrophobic lipid bilayers outside and an aqueous space inside. The hydrophilic peptide drugs can be encapsulated in the aqueous space inside the liposomes, which can protect them from the severe enzymatic degradation environment [112]. More importantly, the liposomes with similar bilayer structure of the cell membrane can be absorbed more efficiently by the intestinal cells. The liposomes can be divided into cationic liposomes (CLs), anionic liposomes and neutral liposomes. Among them, neutral

liposomes are favorable for mucus penetration due to their hydrophilic and electroneutral surface. However, neutral liposomes have weak interaction with the intestinal epithelial cells.

To deal with this dilemma, target ligands are always modified on the surface of neutral liposomes to promote their ability of crossing the intestinal epithelial cell layer. Yu and co-workers reported a glucose-responsive oral insulin liposome for postprandial glycemic regulation (Table 2) [92]. The glucose-responsive oral insulin liposomes are composed of a glucose-responsive phenylboronic acid (PBA) conjugated hyaluronic acid (HA-PBA) shell and Fc receptor targeted neutral liposomes core loaded with insulin. The encapsulation efficiency and loading content of insulin in liposomes were 20.7% and 17.1%, respectively. The HA-PBA coated the liposomes core through the boronate ester formulation between PBA and catechol groups on the liposome surface. The HA-Fc-Liposomes had an average diameter of approximately 94 nm and a zeta potential of -28.1 mV . The HA-PBA coating could protect the insulin from digesting in the GI tract. Under elevated postprandial glucose concentration in the intestine, the HA shells detached due to the competitive binding of glucose with PBA. The exposed Fc groups then promoted the intestinal absorption of insulin-loaded liposomes for diabetes therapy. In streptozotocin (STZ)-induced type 1 diabetic mice, the green fluorescence of FITC-labeled insulin was obviously distributed in the villi from the mice treated with HA-Fc-Liposomes + glucose after 2 h oral administration. In comparison, there was little fluorescence signal found in the section of mice treated with liposomes with crosslinked HA shell (HA_CL-Fc-liposomes) that could not detach the HA shell to expose the Fc groups. Correspondingly, mice treated with HA-Fc-Liposomes presented a higher plasma insulin concentration and lower blood glucose level than HA_CL-Fc-liposomes.

In comparison, CLs can be trapped by the negatively charged mucin [113,114]. However, CLs are benefit for crossing the intestinal epithelial cell layer due to their good cellular uptake. To solve this problem, CLs are coated with negatively charged materials to hide the positive charges. Wang et al. developed protein corona liposomes (PcCLs) for oral insulin delivery (Fig. 4A) [115]. CLs with the component of egg yolk lecithin, cholesterol and 1,2-dioleoyl-3-trimethylammonium-propane (DOTAP) were prepared by thin-film hydration method. Bovine serum

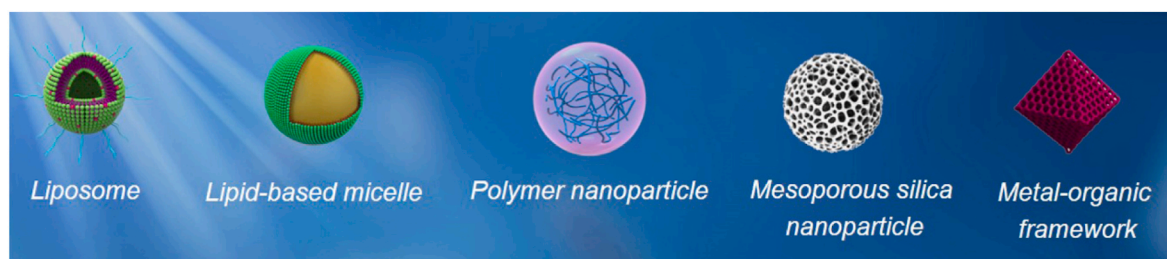


Fig. 3. Illustrative examples of representative kinds of oral peptide drug NPs for diabetes mellitus treatment.

Table 2
Summary of NPs used for oral peptide drug delivery in diabetes mellitus treatment.

Formulation	Delivery system	Drug/ <i>In vivo</i> model/Dose	Delivery mechanism	Ref.
Lipid NPs	IgG Fc fragment-modified liposome with PBA conjugated HA shell	Insulin/STZ-induced T1DM mice/10 U/kg	HA detached due to the binding of glucose with PBA under elevated postprandial glucose level, exposing Fc that promoted the intestinal absorption of liposomes.	[92]
	BSA absorbed CLs	Insulin/STZ-induced T1DM mice/75 U/kg	BSA was hydrolyzed by enzymes to expose CLs in mucus layer.	[115]
	GCA-decorated CLs	Exendin-4/High fat diet-STZ induced T2DM rats/300 µg/kg	GCA facilitated the transport of NPs across the intestinal epithelial cell layer.	[116]
	PLGA core with DSPE-PEG and PLGA-PLR shell	Insulin/STZ-induced T1DM rats/50 U/kg	PEG assisted the NPs across the mucus, and the PLR CPP mediated the transepithelial transport.	[117]
	PLGA core with DSPE-PEG-butyrate shell	Insulin/STZ-induced T1DM mice/50 U/kg	Butyrate avoided extra entanglement with mucin and had access to receptor on epithelial cells to facilitate endocytosis.	[118]
	DSPE-PCB encapsulated Zn-insulin complex	Insulin/STZ-induced T1DM mice/20 U/kg	PCB enabled micelles penetration through the mucus and cross the intestinal epithelial cell layer mediated by PAT1.	[100]
	DLPC on the surface of PLA-based NPs	Insulin/STZ-induced T1DM rats/50 U/kg	DLPC facilitated the mucus permeation and had a high affinity to the PEPT1.	[102]
	Polymer NPs	CS/γPGA	Insulin/STZ-induced T1DM rats/30 U/kg	CS increased the residence time of NPs in the intestine and acted as an intestinal permeation enhancer.
Alginate/CS NPs loading Cp1-11 peptide/insulin		Insulin/STZ-induced T1DM rats/50 U/kg	CS increased the residence time of NPs in the intestine and acted as an intestinal permeation enhancer.	[142]
IgG Fc fragment-modified PLA-PEG NPs		Insulin/Wild-type mice/1.1 U/kg	Fc fragments bound to FcRn at the apical surface	[144]

Table 2 (continued)

Formulation	Delivery system	Drug/ <i>In vivo</i> model/Dose	Delivery mechanism	Ref.
MSNs	CPP/insulin nanocomplex core with pHPMA coating Zwitterionic PCB	Insulin/STZ-induced T1DM rats/75 U/kg	of absorptive epithelial cells. pHPMA facilitated mucus permeation.	[77]
	Insulin and TMC core with pHPMA coating	Insulin/STZ-induced T1DM rats/50 U/kg	PCB/insulin particles induced the TJs open of intestinal epithelium	[145]
	CS-CPP modified GLP-1 loaded PLGA NPs	GLP-1/Nicotinamide-STZ induced T2DM rats/300 µg/kg	CS and CPP increased the intestinal permeation	[147]
	Synthetic polymer PC6 coating on CS NPs	Insulin/STZ-induced T1DM rats/50 U/kg	pHPMA facilitated mucus permeation and TMC open the TJs between epithelial cells	[148]
	Polymerized UDCA	Insulin/NOD mice/285 mIU/kg	PC6 facilitated NPs across the mucus and triggered the TJs opening by covalently bind to the cysteine-rich receptors	[149]
	Insulin-loaded MSN modified with PLA-PEG-CPP	Insulin/STZ-induced T1DM rats/80 U/kg	pUDCA NPs functioned as a high-avidity bile-acid receptor agonist.	[150]
	Insulin-loaded MSN with cationic CPP5 and anionic glutaric anhydride	Insulin/STZ-induced T1DM rats/100 U/kg	PEG and CPP achieved hydrophilic and electroneutral interaction with mucus.	[157]
	CS-conjugated UnTHCPSi NPs with L-cysteine	Insulin/STZ-induced T1DM rats/50 U/kg	The hydrophilic and electroneutral NPs showed lower binding to mucin and faster penetration of the mucus layer.	[158]
	Insulin-loaded UnTHCPSi NPs encapsulated into a lignin matrix with Fc fragment of IgG	Insulin	Thiol groups of cysteine formed disulfide linkage with the mucin glycoproteins and enhanced the mucoadhesion.	[159]
	CS and CPP modified GLP-1 loaded MSN and DPP4 inhibitor encapsulated in HPMC-AS	GLP-1	Lignins could resist the harsh acidic conditions and Fc fragments bound to FcRn to increase insulin permeation across the intestine.	[161]
GLP-1 loaded CSUn NPs and DPP4 inhibitor	GLP-1/Nicotinamid-STZ induced	Enteric HPMC-AS polymer protected the insulin from premature release and degradation in the stomach	[162]	
			Enteric HPMC-AS polymer protected the	[163, 164]

(continued on next page)

Table 2 (continued)

Formulation	Delivery system	Drug/ <i>In vivo</i> model/Dose	Delivery mechanism	Ref.
	incorporated in HPMC-AS	T2DM rats/250 µg/kg	insulin from premature release and degradation in the stomach	
MOFs	Acidic-resistant Zr6-based MOF, NU-1000	Insulin	The one-dimensional pores of NU-1000 allowed insulin encapsulation and excluded pepsin	[167]
	Insulin-loaded MIL-100 NPs with sodium dodecyl sulfate embedded into mPEG-b-PLLA microspheres	Insulin/STZ-induced T1DM rats/50 U/kg	mPEG-b-PLLA microspheres were resist in the acidic stomach and the hydrophobic modification of sodium dodecyl sulfate promoted the cellular uptake and intestinal absorption.	[168]
	Exendin-4-loaded NH ₂ -MIL101 MOF NPs with hydrogel coating of NIPAM and MPDMSA	Exendin-4/High fat diet-STZ induced T2DM rats/500 µg/kg	NIPAM promoted cellular uptake and MPDMSA was benefit for mucus penetration and cellular uptake mediated by the PAT1.	[101]

albumin (BSA) adsorbed to the surface of the CLs to form PcCLs (Fig. 4B–D). After BSA absorbing, the diameter of PcCLs increased from 144.4 nm to 194.9 nm, and the zeta potential decreased from +41.3% to −10.93%. Insulin was loaded by electroporation method. The encapsulation efficiency and loading capacity of insulin for PcCLs were $28.7 \pm 5.1\%$ and $1.5 \pm 0.4\%$, respectively. Compared with native CLs, the PcCLs showed a significantly greater mucus-penetrating velocity (Fig. 4E). The BSA protein corona could be gradually hydrolyzed by enzymes when the PcCLs crossed the mucus layer. The exposed CLs then interacted with the underlying intestinal epithelium to improve the transepithelial transport (Fig. 4F). In STZ-induced T1DM rats, the *in vivo* antidiabetic experiment showed that the PcCLs groups effectively increased the oral bioavailability of insulin (11.9%), which was 2.21-fold higher than that of CLs without BSA.

To further improve the intestinal absorption of CLs, target ligands were modified on the coating molecules. The coating molecules with target ligands were then absorbed onto the CLs to overcome their intrinsic deficiencies. Suzuki and co-workers developed chondroitin sulfate-*g*-glycocholic acid (CSG)-coated and exendin-4-loaded CLs (EL-CSG) for oral administration of exendin-4 to treat T2DM [116]. CLs comprised of DOTAP and 1,2-dioleoyl-sn-glycero-3-phosphocholine (DOPC) lipids were prepared to encapsulate exendin-4. Glycocholic acid, the richest component in human bile salts, is a promising candidate for target transport of NPs across the intestinal epithelial cell layer. EL-CSG were prepared by electrostatic interaction by mixing cationic liposomes with anionic CSG complex. The size of EL-CSG was 230 nm, which was larger than the CLs before modification (154 nm). The zeta potential of EL-CSG changed from +57 mV to −31 mV after coating with anionic CSG. The loading efficiency of exendin-4 was 74.2%, and the loading content was 1.2% for EL-CSG. In Sprague-Dawley rats, a single oral dose (200 µg/kg) of EL-CSG exhibited an enhanced oral bioavailability (19.5%), while it was 4.1% for EL-CS.

Lipid-based micelles consist of a hydrophobic core and monolayer

lipid, and can also be used for peptide drug delivery. Different from liposomes, peptide drugs are encapsulated in the hydrophobic core by coprecipitation. Zhu et al. reported a unique sub-50 nm NP platform that possessed two key surface components, 1,2-distearoyl-sn-glycero-3-phosphoethanolamine (DSPE)-PEG and poly(D,L-lactide-co-glycolide) (PLGA)-polyarginine (PLR) block copolymer (Fig. 5A and B) [117]. Insulin dissolved in dimethyl sulfoxide (DMSO) was co-precipitated with the polymers. The NPs had mean particle size in the range of 40.3–46.8 nm and zeta potential of 7.0–26.9 mV. The encapsulation efficiency was over 50%, and the NPs exhibited sustained release of insulin in phosphate buffer saline (PBS) environment. The "muco-inert" PEG shield assisted the NPs across the mucus (Fig. 5C), and the PLR cell penetrating peptide (CPP) could mediate the cell binding and transepithelial transport (Fig. 5D). In STZ-induced T1DM mice, the pharmacological bioavailability of R20 NPs could reach 12.2%, relative to that of subcutaneous injection. Wu and co-workers reported bioinspired butyrate functionalized PEGylated lipid-based micelles [118]. The micelles with PLGA core and DSPE-PEG-butyrate could load insulin for oral delivery. Butyrate, the largest proportion of metabolites short chain fatty acids, could avoid extra entanglement with mucin by remaining hydrophilicity of NP surface, and has access to receptor on epithelial cells, which facilitated endocytosis of NPs. The diameter of insulin-loaded butyrate-PEG NPs was around 90.8 nm, and the zeta potential was −9.89 mV. The loading efficiency and loading content of insulin were 57.47% and 4.98%, respectively. Insulin-loaded butyrate-PEG NPs generated an oral bioavailability of 9.28%, which was higher than that of PEG NPs (3.23%) in STZ-induced type 1 diabetic rats.

Additionally, metal ions such as zinc ion (Zn^{2+}) can chelate peptide drugs and achieve high drug loading, while maintaining the small size of delivery systems [119]. Zn-insulin is more resistant to enzymatic degradation by chymotrypsin and does not undergo fibrillation. Zn-insulin can be converted to free insulin by Zn^{2+} dilution. Recently, it has been found that zwitterionic polymers are promising for oral peptide drug delivery for diabetes mellitus treatment. Han and co-workers developed zwitterionic polycarboxybetaine (PCB) polymer modified lipid DSPE-PCB of 5,000 Da molecular weight for insulin oral delivery [100]. Zn-insulin complexes were loaded into DSPE-PCB micelle with a hydrodynamic size below 30 nm. The encapsulation efficiency for insulin could reach over 98%. Zwitterions are electrically neutral that composed of equal cationic groups and anionic groups [120–122]. Micelles with PCB possess zwitterionic and hydrophilic surfaces as capsid viruses. The DSPE-PCB micelles diffused in mucus nearly one order of magnitude faster than PEG particles of a comparable size, which enabled drug penetration through the mucus. Moreover, due to the recognition between PCB and PAT1, DSPE-PCB were able to address the epithelial cell layer. The freeze-drying process of the DSPE-PCB/insulin formulation did not deteriorated the *in vivo* absorption performance. Directly encapsulated into a Eudragit L100-55 enteric-coating porcine gelatin capsule after lyophilization, the oral bioavailability of the DSPE-PCB/insulin capsule was as high as 42.6%. The components and preparation process of DSPE-PCB/insulin formulation were simple, and the DSPE-PCB/insulin formulation could cleverly overcome the GI physiological barriers. Therefore, the DSPE-PCB/insulin formulation had the potential to be a practical solution for oral peptide drug delivery in diabetes mellitus treatment.

Some researchers have tried to find the neutral lipids that might have strong interaction with the intestinal epithelial cell layer. Interestingly, Shan, et al. reported a simple zwitterionics-based NP delivery platform with the dilauroylphosphatidylcholine (DLPC) coating on the surface of poly(lactic acid) (PLA)-based NPs [102]. The insulin-loaded DLPC NPs had a size of 107.5 nm and nearly neutral charge surface. The insulin encapsulation efficiency was 29.6% with the loading content of 4.6%. DLPC with a hydrophilic zwitterions PC headgroup, as a "muco-inert" material, facilitated the mucus permeation. In addition, the hydrophilic head of DLPC has a high affinity to the intestinal peptide transporter PEPT1. Compared with PEGylated NPs, the DLPC NPs significantly

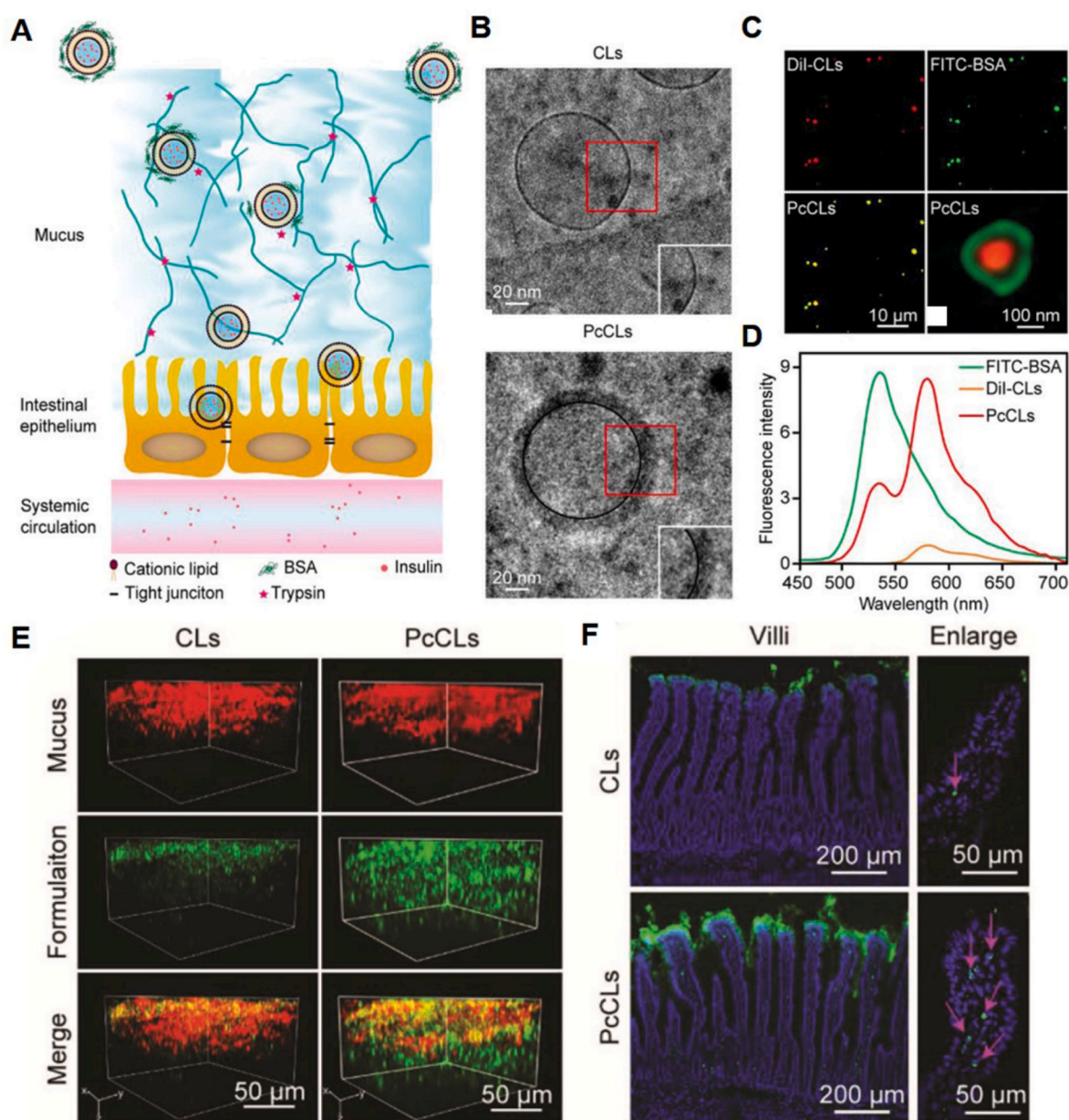


Fig. 4. (A) Schematic diagram for the process of the transport of the PcCLs through the mucus layer and epithelial cell layer. (B) Cryogenic transmission electron microscopy (cryo-TEM) images of CLs and PcCLs. (C) Visualization of the double-labeled PcCLs using fluorescence microscopy and simulated emission depletion (STED) microscopy. (D) Emission spectra of FITC-BSA, DiI-CLs and double-labeled PcCLs at an excitation of 420 nm. (E) 3D images of the mucus penetration of the CLs and PcCLs. Green: DiO-labeled formulation. Red: mucus stained with Alexa Fluoro 555-wheat germ albumin. (F) The distribution of the CLs and PcCLs in rat intestinal villi. Green: DiO-labeled formulation. Blue: intestinal villi nuclei stained with 2-(4-aminophenyl)-6-indolecarbamidine dihydrochloride (DAPI). Red arrow indicated the point of absorption. Reprinted from Ref. [115] with permission.

improved (4.5-fold) the cellular uptake. The oral bioavailability was 4.76% for the insulin-loaded DLPC NPs in STZ-induced type 1 diabetic rats, which was 6.89-fold higher than that of free oral insulin and 2.07-fold higher than that of poly(vinyl alcohol) coated PLA NPs. The zwitterions lipids might provide a new opportunity for oral peptide drug delivery in diabetes mellitus treatment.

Although lipid-based NPs can improved the oral bioavailability of peptide drugs for diabetes mellitus treatment, from the existing studies, we could see that the encapsulation efficiency of peptide drugs in lipid-based NPs was relative low, especially liposomes. The low encapsulation efficiency would increase the cost for treatment. In addition, the poor stability of lipid-based NPs in biological fluids and during storage is always disturbing, which would hinder their clinical application.

3.2. Polymer NPs

NPs prepared from a variety of polymers have been explored for oral peptide drug delivery in diabetes mellitus treatment due to their good biocompatibility, degradation ability and diverse chemistry [123–126]. These polymers could be divided into natural polymers and synthetic polymers according to their sources [127]. The natural polymers for oral peptide drug delivery mainly include chitosan (CS), alginate, dextran and gelatin. CS, a polysaccharide derived from the naturally occurring chitin, is non-toxic and soft tissue compatible [128]. CS with different biodegradability and charge density at physiological pH can be obtained by varying its molecular weight and degree of deacetylation [129]. CS has been intensively studied for oral peptide drug delivery due to its unique properties. CS can adhere to the mucosal and cellular surface,

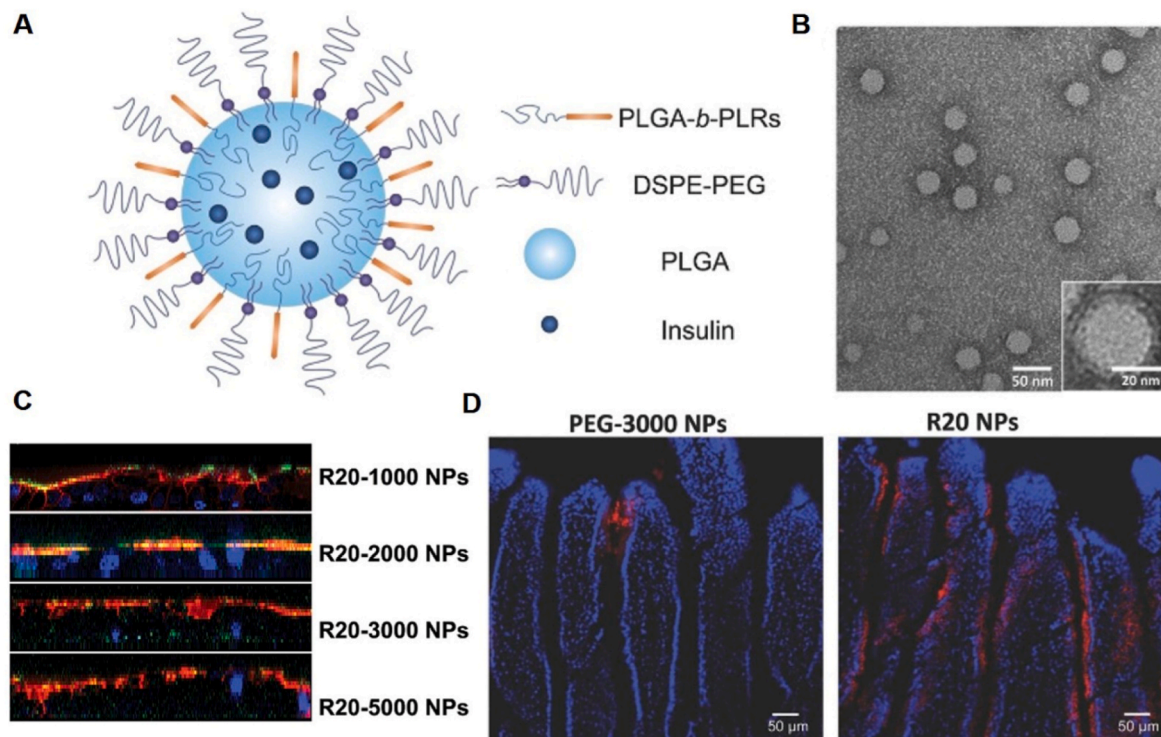


Fig. 5. (A) Schematic diagram of NP structure. (B) Transmission electron microscopy image of NPs. (C) Vertical distribution of NPs (green) on E12 cell monolayer; mucus were stained with Rho-UEA-I (red), and cell nuclei were stained with DAPI (blue). (D) Representative fluorescence images of 12 mm sections of mouse intestine after administration of fluorescently labeled PEG3000 or R20 NPs (red) by oral gavage. Cell nuclei were stained with DAPI (blue). Reprinted from Ref. [117] with permission.

thereby increasing the residence time of the delivery systems at the absorption site [130,131]. Moreover, CS has the unique feature of transiently opening the TJs between intestinal epithelial cells, subsequently increasing the permeation of peptide drugs via the paracellular pathway [132,133]. Therefore, CS can act as an intestinal permeation enhancer that facilitates the peptide drugs efficiently overcome the intestinal epithelial cell layer. It has been found that the positively charged amino groups on the CS backbone had interaction with negatively charged macromolecules such as integrin $\alpha_7\beta_3$ on the cell membrane, which could lead to the redistribution of claudin-4 (CLDN4) from the cell membrane to the cytosol, where the CLDN4 was degraded in lysosomes [134–136]. The degradation of CLDN4 resulted in an increase in paracellular permeability. Furthermore, the TJs could be recovered after CS treatment due to the synthesis of CLDN4.

Numerous studies have demonstrated the potential use of CS as an intestinal permeation enhancer for the oral delivery of peptide drugs. In addition, CS has a number of free amine groups and can easily form ionic cross-linkage with multivalent anions [137]. Sung et al. described pH-responsive CS/poly(γ -glutamic acid) (CS/ γ PGA) NPs for oral delivery of insulin [138]. γ PGA is a water-soluble anion peptide that is biodegradable and nontoxic [139]. With an excessive CS, the NPs had a diameter of approximately 250 nm and a zeta potential of 25 mV. The insulin loading efficiency and content were 75% and 15%, respectively. The NPs with CS could adhere to and infiltrate the mucus layer. The NPs then approached the epithelial surface, especially luminal surface in the duodenum (pH 6.0–6.6). The CS NPs opened the epithelial TJs and increased the paracellular permeability of insulin. The oral bioavailability was about 15% for CS/ γ PGA NPs. The CS NPs remained intact in the pH range of 2.0–7.2. However, the NPs could be disintegrated at lower pH in the stomach [140]. Experiments found that the oral bioavailability could reach 21% after filled the free-dried NPs in Eudragit L100-55 enteric-coated capsules. Additionally, they found that the CS NPs were suitable for oral delivery of exendin-4. The oral

bioavailability could reach approximately 15% after oral administration of the capsules containing exendin-4-loaded CS NPs. Therefore, the CS NPs would provide an efficient platform for oral peptide drug delivery in diabetes mellitus therapy.

CS has also been used to combine with other biodegradable and biocompatible nature polymers such as alginate and dextran to increase the encapsulation efficiency of peptide drugs, prolong the residence time of NPs in the small intestine and enhance their cellular permeability. Alginate is a polysaccharide derived from brown seaweeds and is an unbranched copolymer consisting of alternating blocks of 1–4 linked α -L-guluronic acid (G-block) and β -D-mannuronic acid (M-block) residues [141]. Chen and co-workers have constructed CS/alginate NPs as carriers for the oral delivery of the Cp1-11 peptide/insulin complex (CILN) [142]. Cp1-11 peptide (EAEDLQGV) formed a fold with insulin via a supramolecular interaction, making the insulin dimer disaggregate and dramatically improving the activity and bioavailability of insulin. The strong electrostatic interaction between alginate and CS led to shrinking and gel formation at low pH, and swelling into a core-shell structure of CILN. The diameter of CILN was 237.2 nm. The insulin loading efficiency was 90.43%, and the loading content was 28.06%. The pH-responsive CILN protected insulin against degradation in the GI tract. In STZ-induced diabetic rats, the CILN led to much higher oral bioavailability (15.62%) than free insulin (0.08%).

In addition, NPs formed from synthetic polymers such as PLGA, poly(ϵ -caprolactone) (PCL) and PLA have been increasingly used for oral peptide drug delivery in diabetes mellitus treatment due to their unique properties [143]. Compared with natural polymers, the structure of synthetic polymers is more diverse. Additionally, the strong mechanical strength gives the synthetic polymers high stability. Pridgen et al. prepared Fc-targeted PLA-PEG NPs for oral delivery of insulin [144]. After dissolving insulin and PLA-PEG-MAL in DMSO, the insulin-loaded NPs were prepared by nanoprecipitation with a mean hydrodynamic diameter of 57 nm and an insulin load of 0.5% (w/w). Polyclonal IgG Fc

fragments were covalently conjugated to the NPs using MAL-thiol chemistry. Oral administered Fc-targeted PLA-PEG NPs crossed the intestinal epithelium and reached the systemic circulation with a mean absorption efficiency of 13.7%*h compared with only 1.2%*h for non-targeted NPs in mice. Targeted NPs with insulin exhibited a prolonged hypoglycemic response in wide-type mice at a clinically relevant insulin dose of 1.1 U/kg.

Another synthetic polymer is pHPMA that is always used in oral peptide drug delivery for the treatment of diabetes mellitus. pHPMA can be a dissociable "mucus-inert" coating material, that facilitates mucus permeation. Shan et al. constructed self-assembled NPs composed of insulin and CPP that coated with pHPMA derivatives (Fig. 6A) [77]. The size of pHPMA coated NPs was approximately 175 nm. NPs exhibited insulin encapsulation efficiency above 80% and loading content above 40%. Although CPP can facilitate cellular internalization of NPs, their cationic property hinders their permeation in mucus. The pHPMA coating overcame this drawback and could gradually detach from the NPs during their permeation through the mucus to expose the CPP (Fig. 6B and C). The NPs exhibited 20-fold high absorption than free insulin on mucus-secreting epithelial cells. The orally administered NPs generated a prominent hypoglycemic response and an increase of the serum insulin concentration in STZ-induced diabetic rats. The oral bioavailability of pHPMA NPs-1 was 3.02%, which was 2.08-fold higher than that of CPP/insulin nanocomplex.

Zwitterionic PCB, with cationic quaternary ammonium group and anionic carboxyl acid group alone, is neutral at pH 7.4 and cationic in acidic environment. In our recent study, we found that charge-switchable zwitterionic PCB could load insulin through the electrostatic interaction in pH 5.0 [145]. The encapsulation efficiency of insulin was above 86%, and the diameter of PCB₁₂₂/insulin particles was about 80 nm. The zeta potential of particles was decreased with the increased of pH value simulating stomach, duodenum, and the body fluid at the intercellular spaces between epithelial cells. The PCB/insulin particles with negatively charged carboxyl acid groups on the surface, could induce the open of the TJs of intestinal epithelium in the endocytosis-mediated lysosomal degradation pathway. This effect increased the intestinal permeability of insulin that sustained release from the particles at pH 7.4. In STZ-induced type 1 diabetic rats, oral administration of PCB₁₂₂/insulin particles, especially in capsules, significantly enhanced the oral bioavailability of insulin (27.0%).

Additionally, the open effect of the particles on the TJs of intestinal epithelium was reversible, and there was no endotoxin and pathological change during treatment.

Both natural polymers and synthetic polymers have their own advantages. By combing natural polymers with synthetic polymers, superior oral peptide drug delivery systems would be constructed with enhanced oral bioavailability and therapeutic efficacy on diabetes mellitus. For instance, PLGA can achieve controlled release of peptide drugs and protect them from enzymatic degradation in the GI tract [146]. However, the penetration of PLGA NPs through the mucus and epithelial cell layer is limited due to their negatively charged surface. Cationic polymers or CPP could be modified on the surface of PLGA NPs to increase the intestinal permeation. Araújo and co-workers prepared GLP-1 loaded PLGA NPs that were functionalized with CS and CPP [147]. The conjugation of CS and CPP increased the size of NPs from 174 ± 5 to 351 ± 4 nm. The CS and CPP conferred a positive charge of NPs from -20 ± 2 to 40 ± 0 mV. The modification had almost no influence on the association efficiency of GLP-1 (approximately 70%). They then assembled GLP-1 and DPP4 inhibitor (iDPP4) dual-delivery system by encapsulating GLP-1 loaded PLGA NPs and iDPP4 within an enteric hydroxypropylmethylcellulose acetylsuccinate (HPMC-AS) polymer through microfluidics system. The H-PLGA particles presented size around 60 ± 7 μm. In STZ-nicotinamide induced T2DM rats, the blood glucose levels were decreased by 44%, and were constant for another 4 h after oral administration of the dual-delivery H-PLGA particles.

Additionally, it has been found that the mucoadhesive property of CS might limit their access to the epithelial surface. The dissociable synthetic polymer pHPMA can be used to overcome the drawback of the CS-based oral peptide drug delivery system. Liu et al. reported an insulin-loaded oral NP platform that possessed a core composed of insulin and trimethyl chitosan (TMC) with pHPMA derivative coating [148]. The size of P-T-NPs was 163.1 nm with a low polydispersity index (PDI) of 0.160 after the pHPMA coating. The zeta potential was nearly neutral charge (-3.35 mV). The loading efficiency and loading content of insulin for P-T-NPs were 54.1% and 26.5%, respectively. The P-T-NPs exhibited excellent mucus permeability due to the pHPMA modification. The pHPMA molecules started to dissociate from the P-T-NPs in mucus, and the TMC facilitated transepithelial transport via the paracellular pathway. In STZ-induced diabetic rats, the P-T-NPs exhibited an oral bioavailability of 8.56%, which was 2.8-fold higher than that of uncoated TMC-based

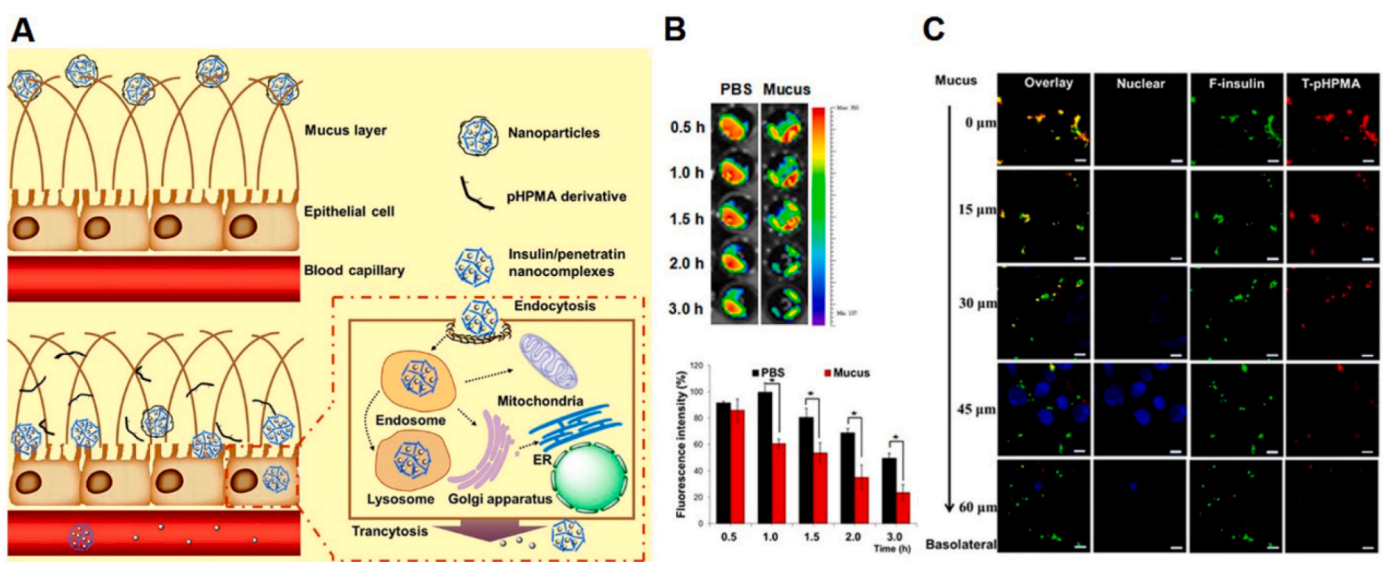


Fig. 6. (A) Schematic illustration of the pHPMA NPs across the mucus layer and intestinal epithelial cells. (B) Fluorescence resonance energy transfer (FRET) intensity of particles prepared with F-insulin and T-pHPMA after incubation in PBS or mucus for different times. The fluorescent intensity of tetraethyl rhodamine isothiocyanate (TRITC) represented the structural integrity of particles. (C) Confocal laser scanning microscopy (CLSM) images of the distribution of F-insulin and T-pHPMA in NPs on E12 cell monolayer from apical to basolateral side. Reprinted from Ref. [77] with permission.

NPs. Zhou and co-workers constructed PC6/CS NPs that synthetic polymer poly(acrylic acid)-cysteine-6-mercaptopicnic acid (PC6) was coated on chitosan NPs [149]. The size of PC6/CS NPs was 233 nm with a PDI of 0.31. The zeta potential decreased from 32 mV to 12 mV for the PC6/CS NPs. The encapsulation efficiency and loading content were 80.5% and 3.49%, respectively. The PC6 with reactive thiol groups could covalently bind to the cysteine-rich receptors such as EGFR and IGFR, and trigger the TJs opening. Additionally, it could interact with mucin by disulfide formation in the mucus and facilitate the CS NPs across the mucus. PC6/CS NPs showed an oral bioavailability of 16.22%, which had a significant difference compared to CS NPs. Therefore, the combination of natural and synthetic polymers would be a promising strategy for the development of oral peptide drug NPs in diabetes mellitus therapy.

Additionally, some monomers with biological activity can be polymerized as effector therapeutic systems. Polymerization can amplify the effector function of monomers, and the polymers can be used to encapsulate and control the release of peptide drugs. Bile-acid monomer ursodeoxycholic acid (UDCA) can impact insulin sensitivity and lower insulin resistance in T2DM. Lee et al. polymerized UDCA (pUDCA) and formulated it into NPs for the oral delivery of insulin [150]. NPs with spherical morphology were with an average diameter of about 344.3 nm and a zeta potential of about -24.9 mV. Loading efficiency of insulin was 0.02 $\mu\text{g}/\text{mg}$ particles. The pUDCA NPs functioned as a protective insulin carrier and a high-avidity bile-acid receptor agonist. The pUDCA NPs increased the intestinal absorption of insulin and polarized intestinal macrophages towards the M2 phenotype. The pUDCA NPs preferentially accumulated in the pancreas of the mice, binding to the islet-cell bile acid membrane receptor TGR5 with high avidity and activating the secretion of glucagon-like peptide and of endogenous insulin. The pUDCA NPs could also reverse inflammation, restore metabolic functions and extend animal survival. The pUDCA NPs could restore blood glucose level in mice and pigs with established T1DM. The metabolic and immunomodulatory functions of pUDCA NPs may offer translational opportunities for the prevention and treatment of T1DM.

The encapsulation efficiency of peptide drugs in polymer NPs is obviously high, and the diverse chemistry makes polymers have multiple functions. However, the polymer NPs are easily disaggregated upon dilution in biological fluids, which would result in the burst and early release of peptide drugs. The early release of peptide drugs in GI tract would reduce their oral bioavailability and hypoglycemic effect. If this occurs in blood for insulin, this would cause the adverse reaction of hypoglycemia.

3.3. MSNs

NPs composed of inorganic molecules have also been explored as oral peptide drug delivery for the treatment of diabetes mellitus. MSNs have recently been studied as a delivery system for a variety of drugs due to their excellent physicochemical stability and good biocompatibility [151,152]. MSNs have large internal surface area and pore volumes with adjustable diameters (2–50 nm), and they can incorporate peptide drugs with high payloads [153]. Drugs can be simply loaded inside the mesopores via physical absorption or electrostatic interaction. Araújo and co-workers constructed three different kinds of NPs with PLGA, lipid Witepsol E85 and porous silicon, respectively [154]. They found that the undecylenic acid modified thermally hydrocarbonized porous silicon (UnTHCPSi) NPs exhibited the highest encapsulation efficiency ($85.0 \pm 0.6\%$) and loading content ($17.00 \pm 0.05\%$) of GLP-1 after physical absorption.

However, the MSNs alone cannot completely overcome the multiple GI challenges after oral administration. Therefore, the MSNs are always tailored with organic materials due to their tunable surface modification [155]. Different organic materials can be modified on the surface of MSNs with silanol groups. The decoration of the organic materials on the inner or outer surface of the MSNs can impart some features to overcome unfavorable conditions in the GI tract for successful oral peptide drug

delivery [156]. To enhance the penetration of the intestinal mucus layer and the intestinal epithelium, polymers, CPP and/or target ligands could be modified on the surface of MSNs. Tan et al. reported insulin-loaded MSNs modified with a hydrophilic block polymer PLA-PEG-CPP (INS@MSN@PLA-PEG-CPP) [157]. The INS@MSN@PLA-PEG-CPP with a hydrodynamic particle size of about 333.9 nm had a zeta potential of 0.8 mV. The insulin encapsulation efficiency was approximately 81.0%. The sequential PEG and CPP modification achieved hydrophilic and electroneutral interaction with mucus, which promoted cellular uptake against mucus trapping and increased *in vivo* pharmacological availability by 14.2-folds than that of the oral insulin solution. Zhang and co-workers fabricated insulin-loaded MSNs with modification of cationic CPP5 (KLPVM peptide) and anionic glutaric anhydride (MSN-NH₂@COOH/CPP5) [158]. The insulin encapsulation efficiency was above 80%, and the loading content was approximately 18%. The hydrophilic and electroneutral NPs showed dramatically lower binding to mucin and faster penetration of the mucus layer than the positively charged MSN@NH₂. In STZ-induced type 1 diabetic rats, insulin-loaded MSN-NH₂@COOH/CPP5 had the highest oral bioavailability of 2.48% after intrajejunal administration, which was 2.1-fold higher than that of free insulin. Shrestha and co-workers synthesized CS-conjugated UnTHCPSi NPs that were further modified with L-cysteine (CYS-CSUn NPs) [159]. Surface modification with CS led to significant increase in interaction of porous silicon with Caco-2/TH-29 cell co-culture monolayers, and significantly improved the permeation of insulin [160]. The thiol groups presented on the surface of CYS-CSUn NPs would form disulfide linkage with the mucin glycoproteins and enhance the mucoadhesion. The NPs had comparable size and zeta potential with the unmodified NPs (229 ± 15 nm, $+26 \pm 6$ mV). The insulin encapsulation efficiency and loading content were approximately 60% and 20%, respectively. The CYS-CSUn could significantly enhance the permeability of insulin, and the oral bioavailability of CYS-CSUn was 5.1%, which was 2.03-fold higher than oral insulin solution.

Furthermore, considering the harsh environment of the stomach, pH-sensitive polymers are always used to protect the peptide drugs from the degradation in the stomach. Martins and co-workers constructed neonatal Fc receptor-targeted pH-responsive lignin matrix encapsulated UnTHCPSi NPs for oral insulin delivery (insulin-loaded UnTHCPSi@LNPs-Fc) [161]. The insulin-loaded UnTHCPSi@LNPs-Fc had a negative surface charge of -21 ± 1 mV. The loading efficiency and loading content of insulin for UnTHCPSi@LNPs-Fc were 7.8% and 2.1%, respectively. Lignins could resist the harsh acidic conditions and protect the insulin from premature release and degradation in the stomach. Compared to the non-functionalized NPs, the Fc-functionalized NPs induced an increase in the permeation of insulin across a Caco-2/TH29-MTX co-culture model.

Araújo and co-workers constructed HPMC-AS coated multifunctional system loaded with DPP4 enzymatic inhibitor and CS-polyarginine R9 CPP modified porous silicon NPs loaded with GLP-1 (PSi + CS-CPP) (Fig. 7A) [162]. The PSi + CS-CPP NPs had a size of 320.0 ± 9.8 nm with a PDI of 0.33 ± 0.02 . The zeta potential was 19.1 ± 1.0 mV. The encapsulation efficiency and loading content of GLP-1 for PSi + CS-CPP NPs were $75.0 \pm 0.5\%$ and $7.50 \pm 0.03\%$, respectively, which were higher than that of PLGA + CS-CPP NPs. The PSi + CS-CPP NPs were further encapsulated in an enteric HPMC-AS polymer using microfluidics technique. The produced particles (H-PSi + CS-CPP) had a spherical shape with size around 59.44 ± 8.01 μm . The enteric polymer inhibited the degradation of CS and CPP in the harsh stomach, and could release the NPs only in intestinal conditions (Fig. 7B). With the assistance of CS and CPP, the MSNs showed stronger interaction with the intestinal cells than the unmodified NPs (Fig. 7C). They also incorporated GLP-1 loaded CSUn NPs in the matrix of enteric HPMC-AS polymer (H-CSUn NPs) for the co-delivery of GLP-1 and DPP4 inhibitor using a single step aerosol flow reactor technology [163,164]. Different from H-PSi + CS-CPP, the size of HPMC-AS coating particles was approximately 400 nm. No detectable amounts of GLP-1 were released at pH 1.2, and a burst

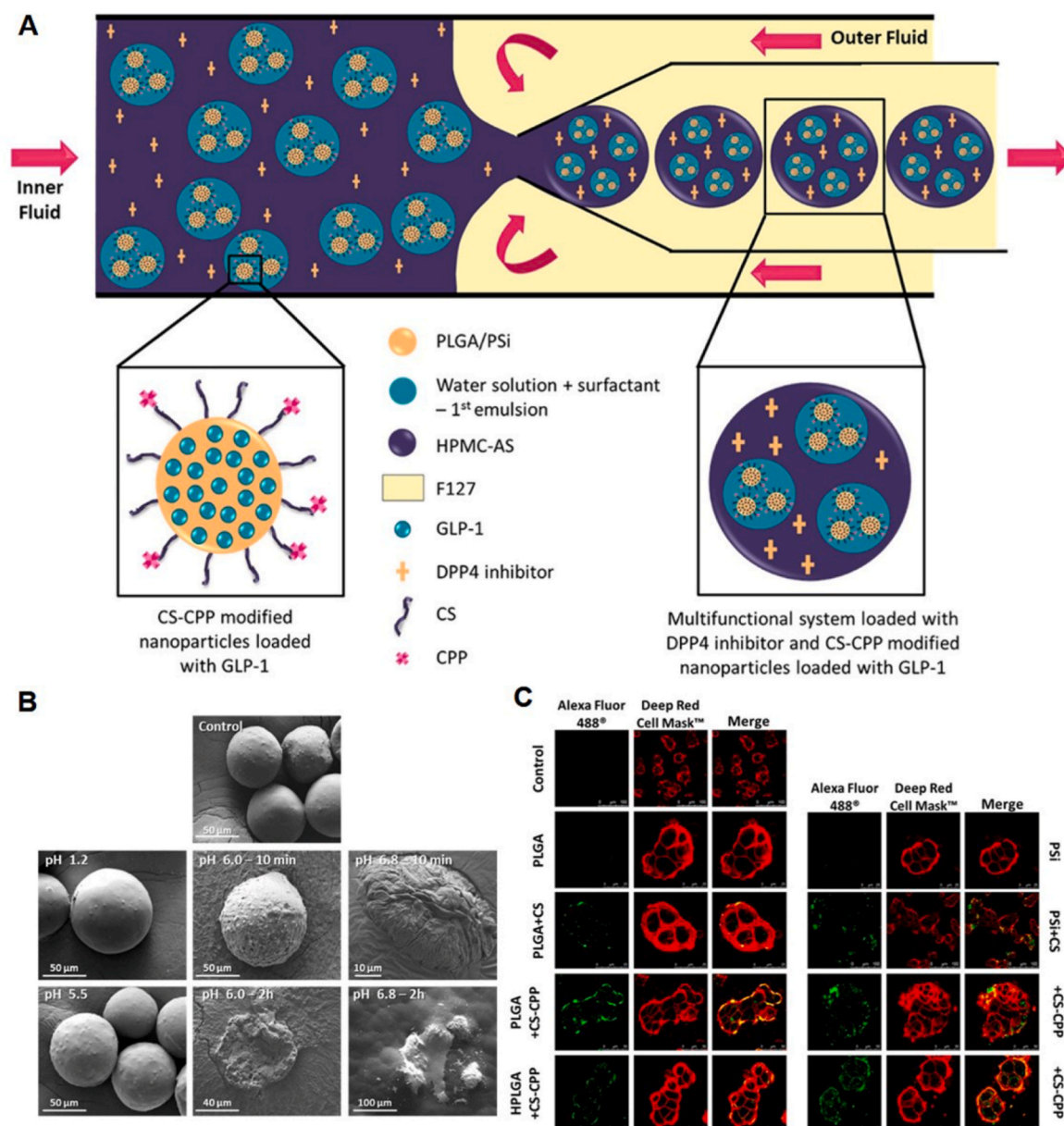


Fig. 7. (A) Schematic representation of the microfluidics approach used to produce the pH-responsive systems, coloaded with GLP-1 and DPP4 inhibitor. (B) Scanning electron microscopy (SEM) images showed the dissolution behavior of the CS-CPP NPs encapsulated in the HPMAS polymer at different pH condition. (C) Interaction between the different NPs and the Caco-2/HT29-MTX coculture after incubation for 3 h at 37 °C. CLSM images of the cell membranes stained in red by CellMask Red, and the NPs in green conjugated with Alexa Fluor 488. Reprinted from Ref. [162] with permission.

drug release of ~37% was observed at pH 6.8 due to the encapsulation and protection of HPMC-AS. Therefore, the tailored MSNs have good clinical potential for oral peptide drug delivery for the treatment of diabetes mellitus.

Due to the large internal surface area and pore volumes, the encapsulation efficiency of peptide drugs in MSNs is always high. However, the ability of MSNs across the unfavorable conditions in GI tract is limited, and MSNs are always needed further modification with functional excipients. In addition, MSNs are not biodegradable. Therefore, the clearance and immune response need more attention.

3.4. MOFs

MOFs composed of metal nodes connected by organic ligands are a class of porous materials with uniform crystalline structures. The pore sizes and functionalities of the MOFs can be modulated through the

change of organic ligands and metal-containing units [165]. MOFs have a high surface area and water stability. MOFs have been studied for a variety of applications, including membrane separations, catalysis and gas storage due to their structural advantages. Recently, nanoscale MOFs have received wide interest as oral peptide drug delivery systems due to their high drug loading capacity and biodegradability under specific conditions [166]. Peptide drugs can be immobilized in MOFs through either de novo or post-synthetic methods. However, traditional MOFs are always rapidly degraded in a strongly acidic environment, which would result in the degradation of peptide drugs in the stomach environment.

Fortunately, variable topological structures and the versatility for the diverse chemical can help MOFs fit the harsh environment in the GI tract. Chen et al. reported an acidic-resistant Zr6-based MOF, NU-1000, as an oral insulin carrier [167]. The one-dimensional pores of NU-1000 (mesopores with size ~30 Å and micropores with size ~12 Å in

diameters) were ideally sized to allow insulin encapsulation, and could also exclude pepsin to limit the proteolysis. The NU-1000 exhibited a loading capacity of 40 wt%. The confinement within the pores could inhibit excessive insulin unfolding and significantly reduce degradation. The NU-1000 could slowly be degraded and release the encapsulated insulin in the bloodstream with high phosphate ions.

In addition, surface modification is another way that can achieve the acidic stability of MOFs. Zhou and co-workers synthesized MIL-100 MOF NPs via microwave-mediated reactions between $\text{FeCl}_3 \cdot \text{H}_2\text{O}$ and 1,3,5-benzenetricarboxylic acid (BTC) [168]. The MIL-100 MOF NPs with a relatively large pore size and large surface area possessed high insulin loading capacity. The insulin-loaded MIL-100 NPs were hydrophobic surface modified with sodium dodecyl sulfate (Ins@MIL100/SDS) to promote their cellular uptake and intestinal absorption. The Ins@MIL100/SDS NPs had a hydrodynamic diameter of 132.8 nm and a zeta potential of -18.33 mV. To further enhance their acid stability, MIL-100 NPs were embedded into biodegradable methoxy PEG-block-poly(L-lactide) (mPEG-b-PLLA) microspheres (Ins@MIL100/SDS@MS) for oral insulin delivery. The Ins@MIL100/SDS@MS exhibited a spherical morphology with sizes of 3–5 μm . The insulin loading efficiency was 77.1% with a loading content of 4.6%. The mPEG-b-PLLA microspheres showed considerable resistance in the acidic environment of the stomach and could release Ins@MIL100/SDS in the intestine. The Ins@MIL100/SDS could transport the insulin across the mucosa and intestinal epithelial cell layer for T1DM treatment. *In vivo* pharmacodynamics and pharmacokinetics assessment in type 1 diabetic rat models showed that oral administration of Ins@MIL100/SDS@MS caused a remarkably enhanced effect in reducing blood glucose level for over 6 h compared with the oral administration of free insulin or Ins@MIL100/SDS.

To protect against GI degradation and promote penetration across the intestinal mucosa, Zhou, et al. also developed a pH-triggered self-unpacking capsule encapsulating zwitterionic hydrogel-coated MOF NPs [101]. As shown in Fig. 8, N-isopropylacrylamide (NIPAM) and a zwitterionic comonomer, [3-(methacryloylamino)propyl]dimethyl(3-sulfo-propyl)ammonium hydroxide inner salt (MPDMSA) were introduced in the hydrogel coating of exendin-4-loaded NH_2 -MIL101 MOF NPs via free-radical copolymerization (Ex@MIL101@Gel \pm). The particles had a size of above 150 nm with a slightly negative charge. The loading efficiency and loading content of exendin-4 for the Ex@MIL101@Gel \pm were $87.0 \pm 1.7\%$ and 0.402 ± 0.005 g/g, respectively. NIPAM could promote cellular uptake due to its hydrophobicity. MPDMSA was benefit for mucus penetration due to its zwitterionic nature, and it also contributed to cellular uptake mediated by the PAT1. To endow the system with reliable protection in the gastric environment, Eudragit L100-55 gelatin capsule was used to encapsulate the Ex@MIL101@Gel \pm , along with a bubble-generating mixture of sodium bicarbonate and citric acid (Ex@MIL101@Gel \pm @Cap). After the dissolution of Eudragit L100-55 coating, sodium bicarbonate and citric acid could rapidly react to generate abundant CO_2 , which triggered the sudden unpacking of the capsules and Ex@MIL101@Gel \pm . The jejunum injected Ex@MIL101@Gel \pm showed the highest fluorescence intensity in the intestine that lasted over 4 h. After oral administration into high fat diet and STZ induced T2DM rats, the Ex@MIL101@Gel \pm @Cap prolonged increases in both plasma exendin-4 and insulin levels, while orally administered capsules containing free exendin-4 or MIL101@Gel \pm @Cap showed negligible variation.

The development of oral peptide NP-based on MOFs is still in an

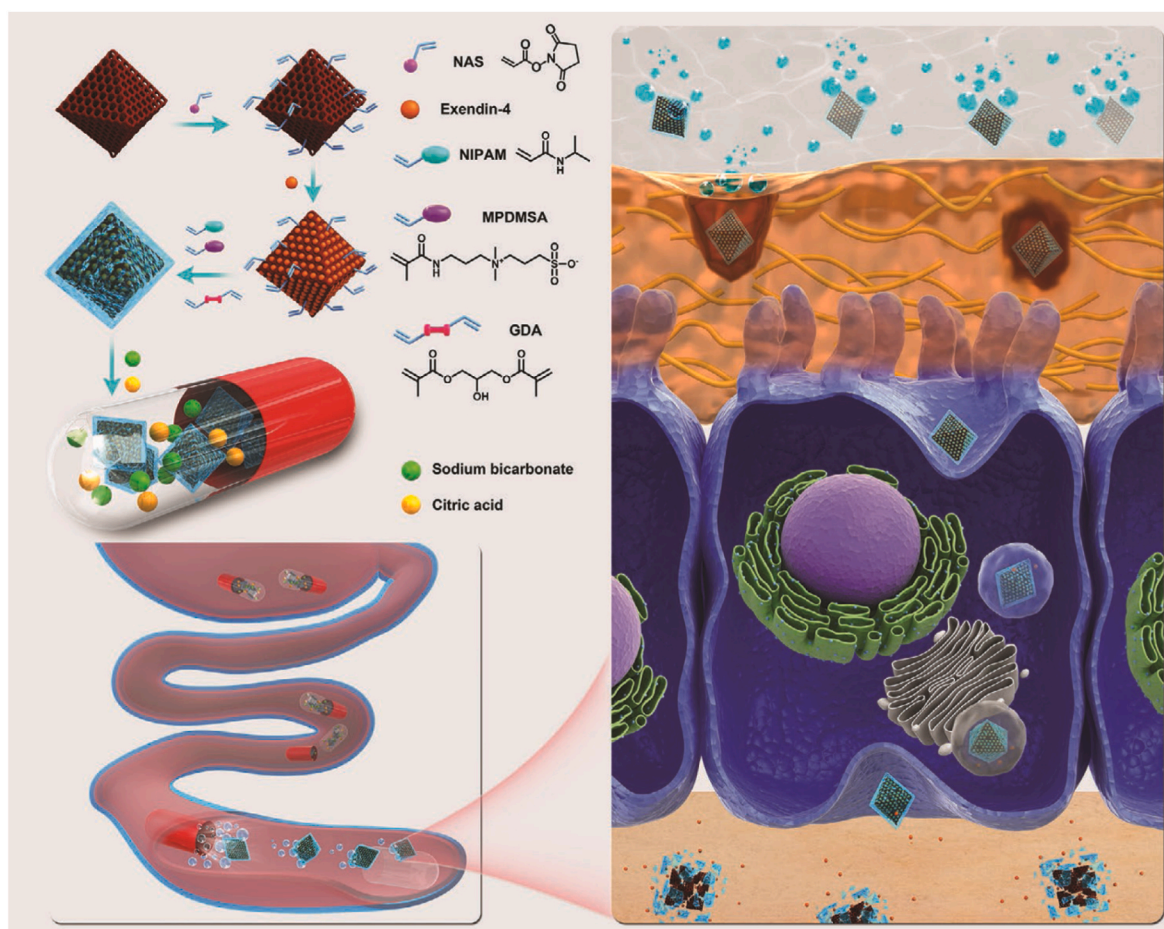


Fig. 8. Illustration of pH-triggered self-unpacking capsule containing Ex@MIL101@Gel \pm NPs for oral exendin-4 delivery. Reprinted from Ref. [101] with permission.

early-stage, and there is no formulation in clinical trial. Although MOFs has numerous advantages in oral peptide delivery, there are still some questions. The ability of MOFs overcoming the challenges in GI tract is limited, and they are always needed further modification. The stability of MOFs in biological fluids should be further studied as the premature or burst release of peptide drugs would cause seriously side effect in diabetes mellitus treatment. Lastly, the biodegradation and biosafety of MOFs is concerned and needed comprehensive evaluation.

4. Conclusions and future directions

Oral peptide drug NPs for diabetes mellitus treatment is a highly attractive and promising area. NPs can overcome the challenges for oral delivery, and lead to the development of platforms improving the bioavailability of peptide drugs. Despite numerous efforts have been devoted to explore oral peptide drug NPs, the success of oral delivery of peptide drugs remains to be difficult. There have only a few of clinical trials of oral peptide drug NPs for diabetes mellitus treatment. Oshadi Drug Administration has developed an oral Oshadi carrier (Oshadi Icp) for the delivery of insulin and proinsulin C-peptide. The insulin and proinsulin C-peptide are non-covalently associated with silica NPs (NCT01973920). Diasome has developed an oral HDV-I that uses liposomes with hepatic targeting for insulin delivery (NCT00814294). This is meaningful and inspires us to develop more effective oral peptide drug NPs for diabetes mellitus therapy.

However, most of the studied oral peptide drug NPs formulation are hard or failed for clinical translation. The microenvironment of GI tract is complex, and the standard delivery formulations are always incorporated with a series of functional excipients. The combination of different kinds of materials to overcome the challenges in GI tract would make the oral NPs too complex, which is not benefit for the clinical translation. The DSPE-PCB micelles in Han's work might be a good example [100]. The zwitterionic PCB could overcome the multiple challenges in GI tract, and the components of the NPs were simple. Importantly, the oral bioavailability of insulin was obviously higher than other NPs. Therefore, it is important to explore multifunctional biomedical materials for oral administration. Most studies only measured the blood glucose level after treatment in rodent. The oral bioavailability, the key critical parameter, is missed. In addition, the oral bioavailability and hypoglycemic effect would be different in rodent and human. This is one of the key factors that most existing oral peptide drug NPs are failed in clinical translation. Therefore, hypoglycemic effect and oral bioavailability could be measured on different kinds of animal models. Last but not least, the biosafety of the oral peptide drug NPs is another important index. The biosafety includes the local biosafety caused by direct interaction of NPs with intestinal cells and the systemic biosafety caused by their interaction with different tissues [169,170]. However, the biosafety study is always negligible in most studies. More attention should be paid on the biosafety study in further as it is very important for clinical translation.

Besides the NPs reviewed above, other kinds of NPs also have great potential for oral peptide drug delivery in diabetes mellitus treatment. Exosomes, especially milk exosomes, would be a potential carrier for oral peptide drugs [171]. Milk exosomes have good stability and low immunogenicity. Different from the exosomes derived from cells, the milk exosomes have a rich source, which is beneficial for clinical production. In addition, it has been found that the milk exosomes have some advantages in oral delivery for diabetes mellitus treatment. The bovine milk exosomes are stable in low pH, and can protect their microRNA [172,173]. Porcine milk exosomes could promote the proliferation of intestinal epithelial cells [174]. Immune-related microRNA are abundant in breast milk exosomes, and the milk exosomes might have an immunoregulation effect [175]. Therefore, more attention should be paid to milk exosomes for oral peptide drug delivery in diabetes mellitus treatment.

Furthermore, it should be noted that diabetes mellitus would cause a

host of complications, such as diabetic cardiovascular complication, diabetic neuropathy, diabetic retinopathy and diabetic nephropathy. These diabetic complications are fatal to the diabetes mellitus patients. Therefore, it is important to treat the diabetic complications while regulating the blood. Oral NPs with the function of diabetic complication treatment and blood regulation simultaneous would be a new direction, and this raises new and higher requirement for the oral peptide drug NPs. Despite numerous efforts have been devoted in exploring the oral peptide drug NPs, more studies are also required to successfully put the NPs in clinical field.

Credit author statement

Yan Li: Conceptualization, Investigation, Writing-original draft, Funding acquisition. Wen Zhang: Validation. Ruichen Zhao: Software. Xin Zhang: Conceptualization, Supervision, Writing-review & editing, Funding acquisition.

Declaration of competing interest

The authors declare no conflict of interest.

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