

## Challenges and Advances in Antemortem Diagnosis of Human Transmissible Spongiform Encephalopathies

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Ascari LM, Rocha SC, Gonçalves PB, Vieira TCRG and Cordeiro Y (2020) Challenges and Advances in Antemortem Diagnosis of Human Transmissible Spongiform Encephalopathies. Front. Bioeng. Biotechnol. 8:585896. doi: 10.3389/fbioe.2020.585896 Transmissible spongiform encephalopathies (TSEs), also known as prion diseases, arise from the structural conversion of the monomeric, cellular prion protein (PrP<sup>C</sup>) into its multimeric scrapie form (PrPSc). These pathologies comprise a group of intractable, rapidly evolving neurodegenerative diseases. Currently, a definitive diagnosis of TSE relies on the detection of PrPSc and/or the identification of pathognomonic histological features in brain tissue samples, which are usually obtained postmortem or, in rare cases, by brain biopsy (antemortem). Over the past two decades, several paraclinical tests for antemortem diagnosis have been developed to preclude the need for brain samples. Some of these alternative methods have been validated and can provide a probable diagnosis when combined with clinical evaluation. Paraclinical tests include in vitro cell-free conversion techniques, such as the real-time quakinginduced conversion (RT-QuIC), as well as immunoassays, electroencephalography (EEG), and brain bioimaging methods, such as magnetic resonance imaging (MRI), whose importance has increased over the years. PrPSc is the main biomarker in TSEs, and the RT-QuIC assay stands out for its ability to detect PrPSc in cerebrospinal fluid (CSF), olfactory mucosa, and dermatome skin samples with high sensitivity and specificity. Other biochemical biomarkers are the proteins 14-3-3, tau, neuronspecific enolase (NSE), astroglial protein S100B, α-synuclein, and neurofilament light chain protein (NFL), but they are not specific for TSEs. This paper reviews the techniques employed for definite diagnosis, as well as the clinical and paraclinical methods for possible and probable diagnosis, both those in use currently and those no longer employed. We also discuss current criteria, challenges, and perspectives for TSE diagnosis. An early and accurate diagnosis may allow earlier implementation of strategies to delay or stop disease progression.

Keywords: prion diseases, prion protein, diagnostic, cell-free conversion assay, neurodegenerative disease

## **PRION DISEASES**

Prion diseases, also known as transmissible spongiform encephalopathies (TSEs), are a rare group of infectious, fatal neurodegenerative diseases caused by the deposition of misfolded prion protein particles in the brain (Prusiner, 1998; Scheckel and Aguzzi, 2018). The term "prion" was originally coined in 1982 by Stanley Prusiner to define the proteinaceous infectious particles that cause TSEs

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(Prusiner, 1982). The hallmark event in all TSEs is the conversion of the monomeric cellular prion protein (PrP<sup>C</sup>) into abnormally folded multimers, collectively termed prion scrapie (PrP<sup>Sc</sup>), which accumulate in the brain and display toxic and aggregation-prone properties (Prusiner, 1998; Requena and Wille, 2017; Baral et al., 2019).

These diseases progress slowly, with a long latency period prior to the manifestation of symptoms, which include ataxia, myoclonus, progressive dementia, depression, and general malaise. In contrast with other progressive neurodegenerative diseases, the period between the onset of symptoms and the TSE patient's death is usually up to 1 year, making them rapidly progressive dementias (Prusiner and Hsiao, 1994; Chesebro, 2003; Wadsworth et al., 2003). Treatment for human TSEs remains symptomatic and supportive, as no cure is available to date (Geschwind, 2015; Forloni et al., 2019).

Transmissible spongiform encephalopathies are unique in medicine since they can have three origins: spontaneous (sporadic), genetic (familial), and acquired (infectious/transmitted). Human TSEs encompass diseases such as Kuru, Creutzfeldt-Jakob disease (CJD), Gerstmann-Sträussler-Scheinker syndrome (GSS), and fatal familial insomnia (FFI) (Zanusso et al., 2016; Ironside et al., 2017). Among human TSE cases, 80% to 95% are sporadic CJD (sCJD), 10% to 15% are genetic (often familial), and less than 1% are acquired (Geschwind, 2015). These diseases affect approximately 1-2 people per million worldwide annually (Chen and Dong, 2016). The human TSEs described above are summarized in Table 1.

Creutzfeldt–Jakob disease, the most common human prion disease worldwide, occurs mainly in the form of sporadic CJD (sCJD), which was first described in 1920 by German neurologist Hans Gerhard Creutzfeldt in a 23-year-old woman who had experienced fluctuating neuropsychological symptoms since adolescence. Shortly afterward, Alfons Maria Jakob described five other cases between 1921 and 1923, which he found to be similar to Creutzfeldt's case (Iwasaki, 2017; Tee et al., 2018). There is no known genetic etiology of sCJD, nor are there known links between cases that are suggestive of an acquired disease; the assumption is, therefore, that cases of sCJD arise spontaneously (Ironside et al., 2017).

In 1987, a study described a prion disease in United Kingdom cattle named bovine spongiform encephalopathy (BSE) (Wells et al., 1987). Subsequently, in the 1990s, scientific and public attention was drawn to the appearance in the United Kingdom of a new human prion disease called variant CJD (vCJD), which was caused by the ingestion of meat from BSE-affected cattle (Will et al., 1996). In the late 1990s and early 2000s, some groups pointed to blood as a source of the scrapie agent, which at the time led to restrictions on blood transfusion in the United Kingdom as a way to prevent human-to-human transmission of vCJD (Turner and Ironside, 1998; Hunter et al., 2002; Llewelyn et al., 2004). A more recent study has shown that prion transmission in sheep via blood transfusion is indeed very efficient (Andréoletti et al., 2012).

Prior to the emergence of BSE, there were numerous reports of iatrogenic CJD (iCJD) cases in different countries in the

1970s and 1980s. These transmissions occurred via one of the following routes: treatment with human cadaver-derived growth hormone or gonadotropin, dura mater graft or corneal graft transplantation, and medical operations with neurosurgical instruments or depth electrodes (Will, 2003). Conventional sterilization protocols are ineffective in eradicating the PrP<sup>Sc</sup> content in surgical instruments, and the methods recommended by the World Health Organization (WHO) are damaging to metal instruments and not practical for routine application (Thomas et al., 2013).

Despite the rarity of TSEs, these diseases represent a significant concern, not only because of their puzzling etiological aspects but also because of their considerable threat to public health. The development of non-invasive diagnostic methods for the early stages of TSEs is crucial in order to enable (*i*) the prevention of  $PrP^{Sc}$  spread, (*ii*) the implementation of potential therapies during earlier stages of the disease, and (*iii*) improvement in patient well-being. The development and validation of diagnostic methods for the detection at a preclinical stage are essential.

## THE PRION PROTEIN

Mature  $PrP^{C}$  is a 208-residue protein constitutively found on the surface of many cells, particularly neurons (Peggion et al., 2017). Before reaching the cell surface, a 253-residue precursor of  $PrP^{C}$  undergoes some post-translational modifications: the excision of a 22-residue segment at the N-terminus and a 23-residue segment at the C-terminus, the establishment of a disulfide bond between cysteine residues 179 and 214, and the attachment of a glycosylphosphatidylinositol (GPI) anchor to the C-terminus (Prusiner, 1991). Recent findings have suggested that  $PrP^{C}$  plays a central role in a variety of neurodegenerative diseases and could be a common therapeutic target for these disorders (Ayers and Prusiner, 2020; Corbett et al., 2020).

The structure of PrP<sup>C</sup> consists of an intrinsically unfolded N-terminal domain (residues 23-124) and a globular C-terminal domain (residues 125–230). The latter comprises three  $\alpha$ -helices and a small, two-stranded β-sheet (Riek et al., 1996; Zahn et al., 2000; Requena and Wille, 2017) (Figure 1A). There are two glycosylation sites at asparagine residues 181 and 197, such that PrP<sup>C</sup> exists in diglycosylated, monoglycosylated, and non-glycosylated forms (Prusiner, 1991). Despite its high conservation in mammals, the human PrP gene (PRNP) has over 40 deleterious mutations and a few polymorphisms (Figure 1B). The polymorphism at codon 129 (rs1799990) draws particular attention because it influences disease susceptibility and phenotype (Kim M. O. et al., 2018). Nearly 55% of the world population are homozygous for methionine (MM), while 9% are homozygous for valine (VV) and 36% are heterozygous (MV). The most common allele, M129, has a frequency of 97.5% in East Asians, 76% in South Asians, 67.5% in Europeans, 64.7% in Africans, and 59.4% in Hispanic Americans with Native ancestry (The 1000 Genomes Project Consortium, 2012).

While  $PrP^{C}$  has a predominantly  $\alpha$ -helical structure,  $PrP^{Sc}$  consists of  $\beta$ -sheet-rich multimeric assemblies (Prusiner, 1998).

#### TABLE 1 | Transmissible spongiform encephalopathies (TSEs).

Disease	Form	Etiology	Clinical aspects	Incidence
Creutzfeldt–Jakob disease (CJD)	Sporadic (sCJD)	Either wild-type PrP <sup>C</sup> converts spontaneously to PrP <sup>Sc</sup> , or a somatic mutation in the PrP gene ( <i>PRNP</i> ) renders PrP <sup>C</sup> more susceptible to misfolding.	Rapidly progressive cognitive impairment with behavioral and visual disturbances, pyramidal and extrapyramidal signs, ataxia, and myoclonus.	The most common form of CJD (85%), with an annual incidence of 1.5 per million people. It generally occurs in late middle age (mean age of 67 years). Short survival post-diagnosis (about 4 months).
	Genetic (gCJD)	An inherited mutation in <i>PRNP</i> renders PrP <sup>C</sup> more susceptible to misfolding.	Usually similar to sporadic CJD.	The frequency is estimated at 10%–15% of all forms of CJD. Those affected tend to be in their middle age when symptoms first arise.
	latrogenic (iCJD)	Human-to-human transmission occurs via cadaver-derived pituitary hormones, dura mater transplant, cornea transplant, neurosurgical instruments, or depth electrodes.	Usually similar to sporadic CJD.	Rare. The first case was reported in 1974 in a patient who had received a corneal transplant from a CJD-positive donor. Over 450 cases have been reported.
	Variant (vCJD)	Ingestion of meat from BSE-affected cattle provides exogenous PrP <sup>Sc</sup> seed.	Psychiatric and sensory symptoms, ataxia, involuntary movements, and progressive cognitive impairment.	Rare. It was first reported in 1996 in the United Kingdom, where it reached epidemic proportions between the mid-1980s and 1996. In fact, most people who have developed vCJD have lived in the United Kingdom.
Fatal insomnia	Sporadic	Wild-type PrP <sup>C</sup> converts spontaneously to PrP <sup>Sc</sup> in patients lacking a genetic basis for this phenotype.	Cognitive decline, ataxia, psychiatric signs, and insomnia.	Rare. Twenty-five typical cases have been reported.
	Genetic (FFI)	Inherited D178N mutation coupled with the M129 genotype in <i>PRNP</i> renders PrP <sup>C</sup> more susceptible to misfolding.	Insomnia, dysautonomia, ataxia, myoclonus, and epileptic seizures.	Rare. At least 70 families, 198 members of these families, and other 18 unrelated individuals are known to carry the D178N-129M allele (D178N mutation coupled with the M129 genotype).
Variably protease-sensitive prionopathy (VPSPr)	Sporadic	The abnormal PrP displays unusual biochemical properties. There are no mutations in <i>PRNP</i> and no risk factors for the development of acquired CJD.	Cognitive decline, psychiatric symptoms, and ataxia.	Rare. First described in 2008, with 11 cases identified in the United States.
Gerstmann–Sträussler– Scheinker syndrome (GSS)	Genetic	Inherited mutation in <i>PRNP</i> renders PrP <sup>C</sup> more susceptible to misfolding. P102L is the most common mutation.	Slowly progressive ataxia with cognitive decline and parkinsonism later in the disease course.	Rare. First described in an Austrian family in 1936.
PrP systemic amyloidosis	Genetic	Premature termination of PrP caused by an inherent stop codon mutation renders PrP <sup>C</sup> more susceptible to misfolding.	Sensory and/or sensorimotor autonomic neuropathy.	Rare. Reported in three families.

References: Diack et al. (2014); Geschwind (2015); Zanusso et al. (2016); Ironside et al. (2017); Ritchie and Ironside (2017); Whitechurch et al. (2017); Cracco et al. (2018); Tee et al. (2018); Tesar et al. (2019); Uttley et al. (2020).

Experimental evidence combined with computational approaches suggests that  $PrP^{Sc}$  consists of  $\beta$ -strands and relatively short turns and/or loops that form a β-solenoid architecture with a hydrophobic  $\beta$ -sheet core (Wasmer et al., 2008; Smirnovas et al., 2011; Spagnolli et al., 2019). A remarkable property of the PrPSc particles is that they are self-propagatingi.e., they act like seeds/nuclei and induce the refolding of PrP<sup>C</sup> units, which are then integrated into PrPSc multimers. Thus, PrP<sup>Sc</sup> particles grow by a nucleation process (Chiti and Dobson, 2006; Caughey et al., 2009; Cobb and Surewicz, 2009) (Figure 2). The pathogenic scrapie agent can spread from cell to cell and can transfer to a new host through the mechanisms outlined earlier (Acquatella-Tran Van Ba et al., 2013; Kraus et al., 2013).

PrP<sup>Sc</sup> particles are not homogenous structures. Indeed, they can adopt different conformations. An intriguing consequence of

this fact is that these distinct PrP<sup>Sc</sup> species can be associated with different clinical characteristics of TSEs, including the latency period, brain damage pattern, and symptomatology. The concept of the prion strain has, therefore, been defined to represent this structural, biochemical, and neuropathological diversity (Aguzzi et al., 2007; Rossi et al., 2019). Some groups have even reported the artificial production of prion strains *in vitro*, and the infectivity and pathogenicity in animal models of these scrapie agents generated *de novo* (Colby and Prusiner, 2011; Kim C. et al., 2018). Notably, the diversity of PrP primary sequences and PrP<sup>Sc</sup> conformations (strains) greatly affects the susceptibility of an organism to PrP<sup>Sc</sup> from another organism, especially if these organisms belong to different species. It is thus common to talk about a species barrier or strain barrier for prion diseases (Scott et al., 2005; Sharma et al., 2016; Igel-Egalon et al., 2018).



(ER-SS) and 23-residue GPI signal sequence (GPI-SS) at the ends are shown in black. The other portions are colored as in panel (A).

A distinguishing feature between  $PrP^{C}$  and  $PrP^{Sc}$  is that the former has total protease sensitivity, whereas the latter generally has a protease-resistant core comprising the ~90–230 region; hence,  $PrP^{C}$  and  $PrP^{Sc}$  are also referred to as sensitive PrP (<sup>sen</sup> PrP) and resistant PrP (<sup>res</sup> PrP), respectively (Prusiner, 1998; Caughey, 2000). Different strain-related  $PrP^{Sc}$  species can also have particular proteolytic digestion profiles, as they may differ in the length of their protease-resistant core (Bessen et al., 1995; Zou et al., 2003; Kobayashi et al., 2011; Kushnirov et al., 2020). Distinguishing between native  $PrP^{C}$  and infectious  $PrP^{Sc}$  based on their different biochemical properties is considered the "gold standard" approach for TSE diagnosis (Lukan et al., 2013; Haley and Richt, 2017). However, such an approach to discern different prion strains has yet to be exploited for diagnostic purposes.

# DIAGNOSTIC APPROACHES FOR PRION DISEASES

At present, the definite diagnosis for TSEs is obtained via at least one of the following options: (*i*) identification of pathognomonic histological features in brain tissue sections, (*ii*) detection of <sup>res</sup>PrP by immunohistochemical staining of brain tissue sections, or (*iii*) detection of <sup>res</sup>PrP by immunoblotting of brain homogenate. Clinical evaluation and paraclinical tests only



lead to a classification as possible or probable (Brown et al., 2003; CDC's Diagnostic Criteria for Creutzfeldt–Jakob Disease (CJD), 2018). In the following sections, we will discuss the currently validated tests for definite, possible, and probable diagnosis, as well as other tests.

At this point, it is important to note two key terms in medical diagnosis: sensitivity and specificity. Sensitivity is the ability of a test to correctly identify true positive cases of the disease, whereas specificity is the ability of a test to identify true negative cases of the disease correctly. A high-sensitivity test produces more true positive results than false-negative results, while a high-specificity test produces more true negative results than false-positive results. The ultimate goal for diagnostic testing is to obtain sensitivity and specificity values as close to 100% as possible (Feinstein, 1975).

## **Brain Tissue Examination**

Transmissible spongiform encephalopathy patients' brain tissue is characterized by vacuolization (spongiosis), neuronal death, dendritic and synaptic loss, astrogliosis, and <sup>res</sup>PrP deposition. These features are most prominent in the cerebral and cerebellar cortexes. Vacuolization can be observed by histopathological examination. The vacuoles may, in fact, be an artifact generated by tissue processing (fixation, paraffin embedding, and staining). Apoptotic neurons can be identified by staining nuclei with DAPI (4',6-diamidino-2-phenylindole) and/or immunochemical staining of caspase-3. Dendritic and synaptic loss can be revealed by Golgi silver staining. While astrogliosis is not specific to this group of diseases, it is consistently present and can be detected through immunochemical staining of glial fibrillary acidic protein (GFAP) (Aguzzi et al., 2001; Soto and Satani, 2011). However, these examinations have some drawbacks. Since tissue segments may have been collected from unaffected areas of the brain, these analyses offer limited diagnostic sensitivity and may well lead to false-negative results (Soto, 2004; Safar et al., 2005).

Immunohistochemical staining of brain tissue sections is a validated technique for detecting PrPSc in the brains of TSE-affected patients. To selectively stain for resPrPSc, brain tissue sections are previously subjected to limited proteolysis by proteinase K (PK) to eliminate the <sup>sen</sup>PrP content (Bendheim et al., 1984; Parchi et al., 1999, 2012; Gambetti et al., 2003). This technique allows for higher diagnostic sensitivity than the brain tissue staining techniques mentioned above since it directly probes the etiological agent behind TSEs (Safar et al., 2005). However, the enzymatic pre-treatment does represent a significant drawback in terms of diagnostic sensitivity, since the PrPSc content may have varying degrees of resistance to proteolysis depending on the prion strain. Indeed, there have been reports of strain-associated PrPSc particles so poorly protease-resistant that they have been termed sensitive PrPSc (sen PrPSc) (Safar et al., 2005; Pastrana et al., 2006; Sajnani et al., 2012). Limited proteolysis can thus result in digestion of a significant part of the whole PrPSc content, underestimating the total level of PrP<sup>Sc</sup> in the brain (Safar et al., 2005).

One way to circumvent the limitations of the immunohistochemical examination is to skip the PK pretreatment step and to perform conformation-dependent immunoassays (CDIs) using brain homogenate. CDIs markedly increase diagnostic sensitivity since they detect PrP<sup>Sc</sup> species regardless of their protease resistance level (Safar et al., 1998, 2005; Kim et al., 2011, 2012). CDIs employ antibodies that recognize conformational epitopes rather than linear epitopes and are therefore selective for PrP<sup>Sc</sup> over PrP<sup>C</sup> (Kascsak et al., 1987; Bellon et al., 2003). Many conformational antibodies with selectivity for PrP<sup>Sc</sup> have already been produced (Saijo et al., 2016). However, CDIs have not been tested in large cohorts and are thus not yet used in routine diagnostics or screening.

Another validated technique that is extensively used for diagnosis is Western blotting (immunoblotting) of brain homogenate, which also employs a pre-treatment with PK to digest the PrP<sup>C</sup> content. This technique is particularly important because it provides information on several aspects of PrPSc: its degree of proteolytic resistance, the size of the proteaseresistant cores, and the ratio between the three different PrP glycoforms (un-, mono-, and diglycosylated). These features are correlated with the properties of individual strains as well as disease genotypes and phenotypes. The electrophoretic migration of the unglycosylated PK-resistant core is used to define two PrPSc types in cases of CJD: type 1, characterized by a ~21kDa band, and type 2, characterized by a ~19-kDa band (Parchi et al., 1999). CJD subtypes are then categorized by codon 129 polymorphism and PrPSc type as MM1, MM2, MV1, MV2, VV1, and VV2. Type-1 PrP<sup>sc</sup> is mostly correlated with the 129 MM genotype, while type-2 PrPSc is generally correlated with the 129VV and 129MV genotypes; therefore, the most frequent subtypes are MM1, VV2, and MV2 (Parchi et al., 1999; Zerr et al., 2000b). With respect to PrP glycoforms, samples from sCJD patients predominantly contain the monoglycosylated band

(Bendheim et al., 1984; Parchi et al., 1999, 2012; Gambetti et al., 2003). Meanwhile, FFI and vCJD are characterized by type-2 PrP<sup>Sc</sup> and abundance of the diglycosylated band (Hill et al., 1997; Rossi et al., 1998).

Brain tissue examination is frequently performed postmortem, but brain biopsies provide an option for antemortem investigation. However, brain biopsies can lead to falsenegative results since TSE pathology is variably distributed in the brain, and small samples may not contain pathognomonic histological features and/or detectable levels of PrP<sup>Sc</sup>. Because of their invasiveness, brain biopsies are very uncomfortable for the patients and can cause severe complications, including brain abscesses and hemorrhaging. Furthermore, the medical instruments used in neurosurgery must be appropriately discarded or subjected to WHO-recommended cleaning methods. Therefore, brain tissue examination is generally performed only postmortem (Brown et al., 2003).

## **Neuropsychiatric Clinical Evaluation**

The degeneration of certain areas of the brain, probably caused by PrP conversion and aggregation or by lack of functional PrP<sup>C</sup>, leads to the development of clinical signs that motivate patients to seek medical attention. Depending on their symptoms, a number of assessments of sCJD patients are possible. These include the cognitive or Heidenhain form of CJD, which accounts for the largest number of sCJD cases and is characterized by cognitive decline, cortical visual disturbances (involvement of the occipital cortex), mild psychiatric symptoms, and myoclonus. Cases can also be classified as ataxic or Brownell-Oppenheimer, a form that is characterized by cerebellar ataxia, cognitive decline, mood changes, and myoclonus at later stages, or as non-CJD phenotypes or Stern, characterized by presenile dementia, ataxia, and sleep disturbance (related to degeneration of the thalamus) (Puoti et al., 2012; Fragoso et al., 2017).

Gerstmann–Sträussler–Scheinker syndrome patients predominantly present cerebellar clinical features, progressive ataxia, incoordination, and late dementia. FFI patients' main symptoms include sleep-related issues (insomnia, sleep-related dyspnea, sleep-related involuntary movements), and less frequently ataxia and psychiatric symptoms. Behavioral and psychiatric symptoms (excluding sleep disturbance) are also found in vCJD patients, along with persistent painful sensory symptoms, ataxia, myoclonus, and later dementia (Fragoso et al., 2017; Wu et al., 2018).

However, none of these signs alone are enough to diagnose prion diseases definitively. Subacute encephalopathy can be a consequence of infectious, inflammatory, autoimmune, or other causes, leading to diseases that should have their differential diagnosis because they are mostly treatable, unlike TSEs (Annus et al., 2016; Fragoso et al., 2017). For example, Hashimoto's encephalopathy is a treatable disorder that results in cognitive decline, myoclonus, ataxia, and neuropsychiatric signs (Murray, 2011), similar to TSEs.

To determine a possible or probable TSE diagnosis, clinical symptoms must be consistent with paraclinical tests, which can include magnetic resonance imaging (MRI), electroencephalography (EEG), and CSF analysis (Soto, 2004; Araújo, 2013). However, this combination of clinical evaluation and paraclinical tests is insufficient for a definite TSE diagnosis, since biochemical and imaging alterations are not specific to the various TSE cases, as they are also present in other neurodegenerative conditions.

## In vitro Cell-Free PrP Conversion Assays

Based on the self-replicating ability of PrPSc, a cell-free conversion assay called protein misfolding cyclic amplification (PMCA) was published at the beginning of the 2000s (Saborio et al., 2001). This technique consists of mixing PBS-diluted healthy brain homogenate and scrapie-infected brain homogenate (at a much higher dilution) in microtubes and then performing repeated cycles of incubation with orbital shaking at 37°C and sonication. Healthy and scrapie-infected brain homogenates are sources of PrP<sup>C/sen</sup>PrP (the reaction substrate) and PrP<sup>Sc</sup>/resPrP (the infectious seed), respectively. The incubation step allows aggregate growth, while the sonication step fragments these aggregates into smaller particles, which can act as seeds in the following incubation step. The reaction products are subjected to PK treatment and then to Western blotting to reveal their final resPrP content. The result is an exponential increase in the amount of resPrP per cycle (Saborio et al., 2001) (Figure 3).

The PMCA assay was later improved by optimizing brain homogenate preparation, medium composition, and sonication method, as well as by developing an automated option for the incubation-sonication step (Castilla et al., 2004; Saá et al., 2005) (Table 2 and Figure 3). These modifications allowed simultaneous runs of multiple samples with enhanced amplification efficiency (Castilla et al., 2005). The improved PMCA assay was shown to have very high sensitivity, as it significantly amplified as little as 10<sup>-18</sup> g of PrP<sup>Sc</sup> (equivalent to a 10<sup>12</sup>-fold dilution of SHB), as well as high specificity, as it never generated any detectable resPrP in the absence of scrapieinfected brain homogenate inoculum, even after hundreds of PMCA cycles (Saá et al., 2006). When applied to human brain samples (collected either postmortem or by brain biopsy), PrP<sup>Sc</sup> from sCJD and vCJD patients has been successfully amplified by PMCA (Soto et al., 2005; Jones et al., 2007; Oshita et al., 2016).

A simpler and less expensive version of PMCA, called rPrP-PMCA, was developed by replacing PrP<sup>C</sup> from brain or cell homogenate with bacterially expressed, folded recombinant senPrP (senrPrP) (Table 2). The substrate concentration could thus be more accurately and efficiently defined in rPrP-PMCA than in classical PMCA (Atarashi et al., 2007). In the same year, another cell-free conversion technique, the amyloid seeding assay (ASA), was published (Colby et al., 2007). This cell-free conversion technique consists of loading a multiwell microplate with (i) guanidine-denatured sen rPrP, (ii) PrPSc partially purified from scrapie-infected brain homogenate, (iii) guanidine, and (iv) thioflavin T (ThT). The latter component is a probe that emits fluorescence when it binds to amyloid structures. The mixture is incubated with continuous linear shaking at 37°C in a plate reader, which measures ThT fluorescence emission throughout the reaction, thus revealing the kinetics of aggregate formation (Colby et al., 2007) (Table 2).

Protein misfolding cyclic amplification (and its variation, rPrP-PMCA) and ASA have important differences. While it is challenging to deliver sound energy evenly to samples during sonication, lateral shaking subjects samples to the same motion, making ASA more practical and reproducible than the PMCA assays (Colby et al., 2007). The ASA technique is also faster and much more suitable for high-throughput investigations than PMCA since ASA relies on simple, automated, real-time, and fluorescence-based read-outs rather than time-consuming, immunoblotting-based read-outs (Colby et al., 2007). However, ASA has the disadvantage of frequently producing false-positive results, probably because of the presence of guanidine, a chaotropic agent, in the reaction medium (Colby et al., 2007).

A more refined cell-free conversion assay, the quakinginduced conversion (QuIC), was published more recently than the above techniques (Atarashi et al., 2008). The QuIC assay consists of loading microtubes with folded senrPrP and highly diluted scrapie-infected brain homogenate and then incubating the mixture at a controlled temperature with alternating cycles of orbital shaking and rest. The intermittent shaking results in separate aggregate fragmentation (seed formation) and aggregate growth phases, speeding up the conversion process. The readout is performed by PK digestion and Western blotting, as in the PMCA assay (Table 2). The QuIC technique had high sensitivity, successfully amplifying as little as  $10^{-16}$  g of PrP<sup>Sc</sup> from brain homogenate, while also achieving high specificity, as it generated either no PK-resistant band or atypical, low molecular weight PKresistant bands (Atarashi et al., 2008). The QuIC assay detected PrP<sup>Sc</sup> with high sensitivity in brain homogenates from animals and humans and CSF samples from animals (Atarashi et al., 2008; Orrú et al., 2009).

The QuIC assay was then combined with the ASA read-out method to create the most sophisticated technique currently available for amplifying PrPSc in vitro, namely the real-time quaking-induced conversion (RT-QuIC) (Wilham et al., 2010). RT-QuIC differs from its predecessor in several steps. First, instead of microtubes, the conversion medium is supplemented with ThT and loaded into multiwell microplates. It is then incubated at 42°C with alternating cycles of double orbital shaking and rest and analyzed using real-time ThT fluorescence measurements rather than immunoblotting (Table 2 and Figure 4). The RT-QuIC technique is the fastest, most straightforward, most practical, least expensive, and most suitable cell-free conversion assay for high-throughput surveys. The RT-QuIC assay detected PrP<sup>Sc</sup> at levels between  $\sim 10^{-15}$  g and  $10^{-13}$  g in brain homogenate, nasal fluids, and CSF from different animal species with high specificity (Wilham et al., 2010).

In the last decade, numerous studies have reported the detection of PrP<sup>Sc</sup> in non-brain samples from human TSE patients by PMCA and especially RT-QuIC, as summarized in **Table 3**. The PMCA assay has been used to amplify PrP<sup>Sc</sup> with high sensitivity and specificity from human samples such as blood (Lacroux et al., 2014; Bougard et al., 2016; Concha-Marambio et al., 2016) and urine (Moda et al., 2014). These studies used homogenates from the following biological materials as substrate sources: healthy human brain (Soto et al., 2005), human platelets (Jones et al., 2007), human PrP<sup>C</sup>-expressing transgenic mouse



#### TABLE 2 | In vitro cell-free PrP conversion assays.

Assay	Medium composition	Substrate	Container	Motion	Temperature	Sonication	Read-out
PMCA	Buffer system: $H_2PO_4^-$ , $HPO_4^2-$ ; Counterions: Na <sup>+</sup> , Cl <sup>-</sup> , K <sup>+</sup> ; Chelating agent: EDTA; Surfactants: Triton X-100, (SDS); Protease inhibitors	PrP <sup>C</sup> from brain or cell homogenate	Microtubes	Manual: orbital shaking (450 rpm); Automated: stationary	37°C	Manual or automated	PK treatment and Western blotting
rPrP-PMCA	Buffer system: H <sub>2</sub> PO <sub>4</sub> <sup>-</sup> , HPO <sub>4</sub> <sup>2-</sup> ; Counterions: Na <sup>+</sup> , Cl <sup>-</sup> , K <sup>+</sup> ; Surfactants: Triton X-100, SDS	Bacterially expressed, folded <sup>sen</sup> rPrP	Microtubes	Stationary	37°C	Automated	PK treatment and Western blotting
ASA	Buffer system: H <sub>2</sub> PO <sub>4</sub> <sup>-</sup> , HPO <sub>4</sub> <sup>2-</sup> ; Counterions: Na <sup>+</sup> , Cl <sup>-</sup> , K <sup>+</sup> ; Chaotropic agent: guanidine; Fluorescent probe: ThT	Bacterially expressed, unfolded <sup>sen</sup> rPrP	Multiwell microplate	Linear shaking	37°C	None	ThT fluorescence (real-time)
QuIC	Buffer system: $H_2PO_4^-$ , HPO_4 <sup>2-</sup> ; Counterions: Na <sup>+</sup> , Cl <sup>-</sup> ; Surfactants: Triton X-100, SDS	Bacterially expressed, folded <sup>sen</sup> rPrP	Microtubes	Cycles of 1-min orbital shaking (1500 rpm) and 1-min rest	37–55°C	None	PK treatment and Western blotting
RT-QuIC	Buffer system: $H_2PO_4^-$ , HPO <sub>4</sub> <sup>2-</sup> ; Counterions: Na <sup>+</sup> , Cl <sup>-</sup> ; Chelating agent: EDTA; Surfactant: SDS; Fluorescent probe: ThT	Bacterially expressed, folded <sup>sen</sup> rPrP	Multiwell microplate	Cycles of 1-min double orbital shaking (700 rpm) and 1-min rest	42–55°C	None	ThT fluorescence (real-time)

ASA, amyloid seeding assay; EDTA, ethylenediaminetetraacetate; PK, proteinase K; PMCA, protein misfolding cyclic amplification; PrP<sup>C</sup>, cellular prion protein; QuiC, quaking-induced conversion; rPrP-PMCA, recombinant prion protein—protein misfolding cyclic amplification; RT-QuIC, real-time quaking-induced conversion; SDS, sodium dodecyl sulfate; <sup>sen</sup>rPrP, sensitive recombinant prion protein; ThT, thioflavin T.

brain (Jones et al., 2007; Lacroux et al., 2014; Moda et al., 2014; Bougard et al., 2016; Concha-Marambio et al., 2016), and human PrP<sup>C</sup>-expressing 293F cells (Oshita et al., 2016). Another study has demonstrated that a CDI can be used as a read-out for PMCA to allow the detection of supposed <sup>sen</sup>PrP<sup>Sc</sup> species (Jones et al., 2007).

Several studies have reported the high-sensitivity and high-specificity amplification of <sup>res</sup>PrP using RT-QuIC with different human samples, including brain homogenate (Atarashi et al., 2011; Peden et al., 2012), CSF (Atarashi et al., 2011; McGuire et al., 2012, 2016; Sano et al., 2013; Orrú et al., 2014; Cramm et al., 2015, 2016; Hermann et al., 2018; Rudge et al., 2018), olfactory mucosa (Orrú et al., 2014; Bongianni et al., 2017; Fiorini et al., 2020), and dermatome skin (Orrú et al., 2017). Another study coupled immunoprecipitation of  $PrP^{Sc}$  from human plasma with RT-QuIC, thus avoiding interference from plasma components and increasing sensitivity up to  $10^{-18}$  g of  $PrP^{Sc}$  (Orrú et al., 2011).

Since it is common practice to collect CSF samples from patients with suspected CJD in order to rule out other diseases, this bodily fluid is highly valuable for diagnosis (Chitravas et al., 2011). A second-generation RT-QuIC assay (with small modifications in the protocol) has been developed specifically to enhance sensitivity in CSF analyses and shorten reaction times (Orrú et al., 2015a). It was designated as improved RT-QuIC for CSF (IQ-CSF). Subsequent work achieved high-sensitivity diagnosis from human CSF samples by using IQ-CSF (Groveman et al., 2016; Bongianni et al., 2017; Foutz et al., 2017; Franceschini et al., 2017; Abu-Rumeileh et al., 2019; Fiorini et al., 2020). Some studies have shown that bank voles (BV) have a uniquely weak species/strain barrier for prion transmission, as they are susceptible to multiple  $PrP^{Sc}$  strains from a wide range of species, including humans, sheep, deer, and hamsters (Nonno et al., 2006; Agrimi et al., 2008; Di Bari et al., 2008, 2013). Another study has shown that BV  $PrP^{C}$ -expressing mice are susceptible to many prion strains from eight different species, including humans (Watts et al., 2014). Collectively, these data indicated that BV  $PrP^{C}$  was a universal substrate for conversion into  $PrP^{Sc}$ , thus circumventing the need for suitable <sup>sen</sup>PrP substrates (with different sequences) to identify distinct prion types using RT-QuIC and PMCA.

RT-QuIC reactions using BV sen rPrP as substrate have been able to detect multiple human prion strains with high sensitivity in human samples including brain homogenate (Orrú et al., 2015b), olfactory mucosa (Redaelli et al., 2017), and dermatome skin samples (Orrú et al., 2017). These studies observed the generation of BV resPrP species with different PK-resistance profiles, though without any clear correlation between the proteolytic digestion profiles and the different strains used as seed. Similarly, PMCA reactions using BV brain homogenate successfully amplified PrPSc from human olfactory mucosa samples, although the original glycoform ratio and the electrophoretic migration of the unglycosylated PK-resistant core of prion strains were not maintained (Redaelli et al., 2017). Even though the use of BV senPrP cannot provide valuable information on prion strains, this substrate does simplify and lower the cost of routine application of RT-QuIC and PMCA for TSE diagnosis.



**FIGURE 4** [Real-time quaking-induced conversion (RT-QuIC). Recombinant PrP (rPrP) is expressed heterologously in *E. coli* and purified as folded protease-sensitive rPrP (<sup>sen</sup>rPrP), the substrate for RT-QuIC. Suspected infectious material homogenate, a possible source of PrP<sup>Sc</sup> (seed), is prepared from brain sections, cerebrospinal fluid, olfactory mucosa brushings, and dermatome skin sections. High dilutions of a test sample are mixed with <sup>sen</sup>rPrP, buffer, and thioflavin T (a fluorescent amyloid probe) to produce the conversion medium, which is loaded into a multiwell microplate and then incubated with alternating cycles of shaking and rest in a fluorescence microplate reader. This equipment records thioflavin T fluorescence emission throughout the incubation, thus revealing the kinetics of aggregate formation. A sigmoidal growth in thioflavin T fluorescence indicates a positive TSE diagnosis. The use of normal material homogenate from a patient with GSS.

An important study has reported the detection of PrP<sup>Sc</sup> in skin samples of prion-infected rodents by PMCA and RT-QuIC at a preclinical stage of disease. Hamsters infected with 263K scrapie strain, which displayed clinical signs from 10 weeks post inoculation (wpi), were positively diagnosed at 2 wpi by PMCA and 3 wpi by RT-QuIC. Human PrP<sup>C</sup>-expressing mice infected with sCJD agent, which showed clinical signs at 24 wpi, were positively diagnosed at 4 wpi by PMCA and 20 wpi by RT-QuIC (Wang et al., 2019). These findings additionally highlight the enormous potential of *in vitro* cell-free PrP conversion assays for an early TSE diagnosis.

Protein misfolding cyclic amplification and RT-QuIC have important differences in terms of their end products. When PMCA products were inoculated intraperitoneally in wild-type hamsters, these animals developed the same disease pattern as hamsters inoculated with infectious brain material did, demonstrating that infectivity could also be amplified by PMCA (Saá et al., 2006). Conversely, when RT-QuIC products were inoculated intracerebrally in wild-type hamsters, their brains showed very low seeding activity but no histopathological changes, while Western blotting detected no resPrP. This result indicated that RT-QuIC-generated resPrP was neither infectious nor pathogenic, suggesting that RT-QuIC is much less biohazardous than PMCA for routine use (Groveman et al., 2017). In another study, sCJD-seeded RT-QuIC products inoculated intracerebrally in mice overexpressing human PrP<sup>C</sup> elicited neither clinical signs of disease nor astrogliosis (Raymond et al., 2020). In fact, PMCA products are more similar to brainderived PrP<sup>Sc</sup>, while the <sup>res</sup>PrP species produced by RT-QuIC have shorter PK-resistant cores (McGuire et al., 2012; Groveman et al., 2015, 2017; Kraus et al., 2015), which may explain why PMCA and RT-QuIC end products are distinct in terms of infectivity. Thus, the methodological simplicity and biosafety of RT-QuIC over PMCA is probably the reason why RT-QuIC was

<b>TABLE 3</b> Performance of PMCA and RT-QuIC for PrP <sup>SC</sup> detectionin non-brain samples from human patients with TSEs.					
Study	Assay	Body Fluid	Sensitivity	Specificity	
Atarashi et al., 2011	RT-QuIC	CSF	80% (sCJD)	100%	
McGuire et al., 2012	RT-QuIC	CSF	87% (sCJD)	100%	
Sano et al., 2013	RT-QuIC	CSF	83% (gCJD), 90% (GSS), 83% (FFI)	NP	
Orrú et al., 2014	RT-QuIC	Olfactory mucosa	97% (sCJD), 100% (gCJD)	100%	
	RT-QuIC	CSF	79% (sCJD), 50% (gCJD)	100%	
Moda et al., 2014	PMCA	Urine	93% (vCJD)	100%	
Orrú et al., 2015a	IQ-CSF	CSF	96% (sCJD)	100%	
Cramm et al., 2016	RT-QuIC	CSF	80% (sCJD), 100% (gCJD), 57% (FFI)	99%	
Bougard et al., 2016	PMCA	Plasma	100% (vCJD)	100%	
McGuire et al., 2016	RT-QuIC	CSF	86%-100% (sCJD)	100%	
Concha-Marambio et al., 2016	PMCA	Blood	100% (vCJD)	100%	
Foutz et al., 2017	IQ-CSF	CSF	92%-95% (sCJD), 93%-100% (gCJD)	99–100%	
Bongianni et al., 2017	RT-QuIC	Olfactory mucosa	97% (sCJD), 75% (gCJD/GSS)	100%	
	RT-QuIC	CSF	72% (sCJD), 57% (gCJD/GSS)	NP	
	IQ-CSF	CSF	86% (sCJD), 50% (gCJD)	100%	
	RT-QuIC + IQ-CSF	CSF	95% (sCJD), 71% (gCJD/GSS)	100%	
	RT-QuIC + IQ-CSF	Olfactory mucosa + CSF	100% (sCJD), 75% (gCJD/GSS)	100%	
Groveman et al., 2016	RT-QuIC	CSF	73% (sCJD)	100%	
	IQ-CSF	CSF	94% (sCJD)	100%	
Franceschini et al., 2017	IQ-CSF	CSF	92% (sCJD), 100% (gCJD), 100% (iCJD), 25% (vCJD), 33% (GSS), 0% (FFI), 100% (VPSPr)	100%	
Redaelli et al., 2017	PMCA	Olfactory mucosa	100% (FFI)	100%	
	RT-QuIC	Olfactory mucosa	100% (FFI/sCJD/iCJD)	100%	
Orrú et al., 2017	RT-QuIC	Dermatome skin	88%–94% (sCJD)	100%	
Rudge et al., 2018	RT-QuIC	CSF	89% (sCJD)	100%	
Hermann et al., 2018	RT-QuIC	CSF	89% (sCJD)	100%	
Abu-Rumeileh et al., 2019	RT-QuIC	CSF	83% (sCJD), 86% (gCJD)	100%	
	IQ-CSF	CSF	97% (sCJD), 100% (gCJD)	100%	
Metrick et al., 2019	RT-QuIC	Olfactory mucosa	100% (sCJD)	100%	
Fiorini et al., 2020	RT-QuIC	Olfactory mucosa	91% (sCJD)	100%	
	IQ-CSF	CSF	96% (sCJD)	100%	
	RT-QuIC + IQ-CSF	Olfactory mucosa + CSF	100% (sCJD)	100%	

Abbreviations: CJD, Creutzfeldt–Jakob disease; CSF, cerebrospinal fluid; FFI, fatal familial insomnia; gCJD, genetic Creutzfeldt–Jakob disease;GSS, Gerstmann– Sträussler–Scheinker syndrome; iCJD, iatrogenic Creutzfeldt–Jakob disease; IQ-CSF, improved RT-QuIC for CSF (2<sup>nd</sup> generation); NP, not performed; PMCA, protein misfolding cyclic amplification; RT-QuIC, real-time quaking-induced conversion; sCJD, sporadic Creutzfeldt–Jakob disease; vCJD, variant Creutzfeldt–Jakob disease. the most employed assay for TSE diagnosis in robust studies published in the 2010s (**Table 3**).

A recent study has shown that the replacement of chloride anions with less hydrated bromide anions in the RT-QuIC medium significantly increased sensitivity and specificity for PrP<sup>Sc</sup> amplification in brain and olfactory mucosa samples from sCJD patients (Metrick et al., 2019). This important finding may enable the RT-QuIC technique to be even more refined and distinguished in the years to come.

The RT-QuIC assay was first offered clinically in 2015 in the United States and became part of the Centers for Disease Control and Prevention (CDC) criteria in 2018. Currently, RT-QuIC, in combination with other techniques, characterizes TSE diagnosis only as probable, not definitive (CDC's Diagnostic Criteria for Creutzfeldt-Jakob Disease (CJD), 2018). Outstandingly, two studies have reported sCJD diagnosis with 100% sensitivity and 100% specificity by combining RT-QuIC analysis of olfactory mucosa samples and IQ-CSF (Bongianni et al., 2017; Fiorini et al., 2020). Two other studies have shown that the association of IQ-CSF with other techniques-such as MRI, EEG, and 14-3-3 detection (discussed below)-resulted in sCJD diagnosis with at least 97% sensitivity and 99% specificity (Hermann et al., 2018; Rudge et al., 2018). Therefore, it is very plausible that a definitive antemortem diagnosis can be obtained by the combination of RT-QuIC testing of at least two biological samples with analyses by other available techniques.

#### **Surrogate Biomarkers**

Although PrP<sup>Sc</sup> is the most specific biochemical marker in TSEs, it is not the sole one. Surrogate biomarkers have been studied for both differential diagnosis and prognosis, especially in CJD cases. The search for biomarkers capable of predicting disease onset is especially important for patients with genetic forms, as well as for the inclusion of patients in clinical trials. Other proteins exploited for TSE diagnosis are 14-3-3 proteins, tau, neuron-specific enolase (NSE), astroglial protein S100B,  $\alpha$ -synuclein, and neurofilament light chain protein (NFL) (**Table 4**). Among these, CSF 14-3-3 is a biomarker already considered in current guidelines (CDC's Diagnostic Criteria for Creutzfeldt–Jakob Disease (CJD), 2018).

14-3-3 proteins are indicators of neuronal cell loss and can be detected in CSF samples by immunoblotting (Hsich et al., 1996), capture assay (Peoc'h et al., 2001), or enzymelinked immunosorbent assay (ELISA) (Kenney et al., 2000). Measurement of CSF 14-3-3 has sensitivity values of 53%-100% for sCJD, 0%-97% for genetic prion diseases (gCJD, GSS, and FFI), 60%-70% for iCJD, and 45%-58% for vCJD. Numerous features account for these highly variable values: disease form (sCJD, gCJD, vCJD, iCJD, GSS, FFI), disease subtype (MM1, MM2, MV1, MV2, VV1, VV2), patient's age, disease duration, and method used for quantification (Zerr et al., 2000b; Van Everbroeck et al., 2003; Collins et al., 2006; Gmitterová et al., 2009). Specificity values range from 27% to 100% since 14-3-3 elevation is not specific for TSEs. Acute neurological events (e.g., encephalitis, stroke, and epileptic seizures), brain tumor, and other neurodegenerative diseases also result in neuronal death, leading to an increase in 14-3-3 (Chohan et al., 2010; Stoeck et al.,

2012). However, sensitivity and specificity have been shown to increase with disease duration in sCJD (Chohan et al., 2010).

An increase in CSF tau is typically an indicator of neuronal death associated with Alzheimer's disease and can be measured by ELISA (Jensen et al., 1995). However, CSF tau levels are also increased in CJD and therefore have been extensively used for TSE diagnosis (Otto et al., 1997; Bahl et al., 2009; Stoeck et al., 2012; Abu-Rumeileh et al., 2019). Measurement of CSF tau has sensitivity values of 75%-100% for sCJD, 0%-86% for genetic prion diseases (gCJD, GSS, and FFI), 53% for iCJD, and 24%-80% for vCID, while specificity values range from 49% to 100%. Similarly to 14-3-3, tau sensitivity is limited by disease form and subtype, patient's age, and disease progression, while specificity is restricted by overlap with other diseases (Van Everbroeck et al., 2003; Hamlin et al., 2012; Stoeck et al., 2012; Cohen et al., 2016). CSF tau levels-along with information on patient's age, sex, and codon 129 polymorphism-has been recently used to build the first prognostic model to predict the survival time of sCJD individuals with moderate to good accuracy (Llorens et al., 2020a).

The detection of elevated levels of the proteins NSE and S100B in CSF samples from CJD patients have also been reported (Zerr et al., 1995; Beaudry et al., 1999; Aksamit et al., 2001; Bahl et al., 2009; Ladogana et al., 2009). Measurement of CSF NSE and S100B has respective sensitivity values of 79%–85% and 65%–94% for sCJD, 0%–64% and 20%–87% for genetic prion diseases (gCJD, GSS, and FFI), and 52% and 78% for vCJD, while specificity values are 83–92% for NSE and 76%–90% for S100B. The combination of two or three biomarkers among 14-3-3, tau, NSE, and S100B significantly increased specificity, but at the cost of reduced sensitivity (Aksamit et al., 2001; Bahl et al., 2009; Chohan et al., 2010). However, in relation to 14-3-3 and tau, NSE and S100B alone showed no particular advantage and, therefore, have been much less explored for TSE diagnosis.

More recent studies reported that sCJD patients' CSF also contains high levels of  $\alpha$ -synuclein (Llorens et al., 2017, 2018; Kruse et al., 2018; Schmitz et al., 2019). Measurement of this protein had a sensitivity of 86%–98% and a specificity of 91%–98%, which are consistently high values in comparison to those of 14-3-3, tau, NSE, and S100B. These findings highlight  $\alpha$ -synuclein as a very accurate surrogate biomarker in TSEs, but further studies are still necessary to reinforce the diagnostic utility of this protein.

Transmissible spongiform encephalopathy patients present elevated NFL in CSF as a result of neuroaxonal degeneration (Steinacker et al., 2016; Abu-Rumeileh et al., 2018, 2019). Measurement of CSF NFL has a sensitivity of 86%–97% for different TSE forms (sCJD, gCJD, and GSS) and specificity of 43%–95%. Increased tau and NFL levels can also be detected in TSE patients' serum or plasma (Steinacker et al., 2016; Thompson et al., 2018, 2020). In sCJD and gCJD patients, measurement of blood tau and NFL has a respective sensitivity of 57%– 91% and 93%–100% and specificity of 83–97% and 57%–100%. Blood tau and CSF tau display no significant difference in sensitivity and specificity, while blood NFL is slightly more accurate than CSF NFL.

Biomarker	Body fluid	Detection method	Sensitivity	Specificity	References
14-3-3	CSF	Western blotting, capture assay, ELISA	53%–100% (sCJD), 0%–97% (gCJD/FFI/GSS), 60%–75% (iCJD), 45%–58% (vCJD)	27%-100%	Hsich et al. (1996), Zerr et al. (1998, 2000a,b), Beaudry et al. (1999); Kenney et al. (2000), Lemstra et al. (2000); Aksamit et al. (2001), Green et al. (2001, 2002), Peoc'h et al. (2001); Otto et al. (2002), Geschwind et al. (2003); Van Everbroeck et al. (2003), Collins et al. (2006), Sanchez-Juan et al. (2006, 2007), Bahl et al. (2009), Gmitterová et al. (2009); Ladogana et al. (2009), Chohan et al. (2010); Stoeck et al. (2012), Sano et al. (2013); Schmitz et al. (2016), Hermann et al. (2018); Abu-Rumeileh et al. (2019), Llorens et al. (2020b)
tau	CSF	ELISA	75%–100% (sCJD), 0%–86% (gCJD/FFI/GSS), 53% (iCJD), 24%–80% (vCJD)	49%–100%	Otto et al. (1997, 2002), Green et al. (2001); Van Everbroeck et al. (2003), Sanchez-Juan et al. (2006, 2007), Bahl et al. (2009); Ladogana et al. (2009), Chohan et al. (2010); Hamlin et al. (2012), Stoeck et al. (2012); Sano et al. (2013), Steinacker et al. (2016), Abu-Rumeileh et al. (2018, 2019)
	Serum/Plasma	Simoa	57%-91% (sCJD/gCJD)	83%–97%	Steinacker et al. (2016), Thompson et al. (2018, 2020)
NSE	CSF	ELISA, TRACE	79%–85% (sCJD), 64% (gCJD), 52% (vCJD), 0% (GSS/FFI)	83%–92%	Zerr et al. (1995); Beaudry et al. (1999), Aksamit et al. (2001); Green et al. (2001), Bahl et al. (2009); Ladogana et al. (2009)
S100B	CSF	ELISA	65%–94% (sCJD), 87% (gCJD), 78% (vCJD), 50% (GSS), 20% (FFI)	76%-90%	Beaudry et al. (1999); Green et al. (2001), Ladogana et al. (2009); Chohan et al. (2010)
	Serum	ECL	78%–84% (sCJD/gCJD)	63%-81%	Otto et al. (1998); Steinacker et al. (2016)
α-Synuclein	CSF	ECL, ELISA	86%–98% (sCJD)	91%–98%	Llorens et al. (2017, 2018), Kruse et al. (2018); Schmitz et al. (2019)
NFL	CSF	ELISA	86%-97% (CJD/GSS)	43%–95%	Steinacker et al. (2016), Abu-Rumeileh et al. (2018, 2019)
	Serum/plasma	Simoa	93%-100% (sCJD/gCJD)	57%-100%	Steinacker et al. (2016), Thompson et al. (2018, 2020)

TABLE 4 | Surrogate biomarkers of prion diseases.

CJD, Creutzfeldt–Jakob disease; ECL, electrochemiluminescence-based assays; ELISA, enzyme-linked immunosorbent assay; FFI, fatal familial insomnia; gCJD, genetic Creutzfeldt–Jakob disease; GSS, Gerstmann–Sträussler–Scheinker syndrome; iCJD, iatrogenic Creutzfeldt–Jakob disease; NFL, neurofilament light chain protein; NSE, neuron-specific enolase; sCJD, sporadic Creutzfeldt–Jakob disease; Simoa, single molecule array; TRACE, time-resolved amplified cryptate emission; vCJD, variant Creutzfeldt–Jakob disease.

Since serum and plasma samples are more easily obtained than CSF samples, these blood biomarkers also represent very useful tools for TSE diagnosis. Blood tau and NFL levels also increase in other neurological diseases and correlate with worsening of symptoms (Weydt et al., 2016; Mielke et al., 2017; Foiani et al., 2018), but they are generally higher in CJD patients (Thompson et al., 2020). Furthermore, blood tau concentration correlates with disease progression in sCJD patients and, thus, can be used to predict survival time (Thompson et al., 2018; Staffaroni et al., 2019). The analysis of plasma from patients carrying *PRNP* mutations associated with slowly progressive disease showed that NFL levels increase before the onset of symptoms (2 years before), suggesting that it may constitute a prodromal marker (Thompson et al., 2020). However, this interesting line of research will require additional studies with other populations.

Total PrP (t-PrP) has recently arisen as another potential biomarker. Since the concentration of t-PrP in CSF decreases as disease progresses (Villar-Piqué et al., 2019), it has been suggested as a pharmacodynamic biomarker (Minikel et al., 2019; Vallabh et al., 2019). CSF t-PrP concentration has been shown to be stable during 2 years of follow up, but none of the patients tested developed disease during the time frame of the research (Vallabh et al., 2020). The time point at which t-PrP levels start to decline and PrP<sup>Sc</sup> levels start to increase in CSF is still unknown. Therefore, unveiling these events is of paramount importance for understanding disease progression. Additionally, elevated t-PrP levels have been detected in the plasma of sCJD and genetic TSE patients as a probable consequence of blood-brain barrier impairment (Llorens et al., 2020c). In this study, plasma t-PrP correlated with CSF biomarkers of neuronal death, but not with CSF t-PrP.

The diversity of TSE forms and subtypes poses a great challenge for defining a qualitative and quantitative standard for all the surrogate biomarkers reviewed here. As none of these approaches can undoubtedly distinguish human prion diseases from other neurological disorders, all these biomarkers should be analyzed with caution and always in combination with other methods, such as clinical evaluation, bioimaging (MRI) analysis, and EEG assessment (Hamlin et al., 2012).

## Electroencephalography (EEG)

Transmissible spongiform encephalopathy diagnoses can be supported by the appearance of periodic sharp wave complexes (PSWCs)—typical bilateral periodic discharges with a frequency of 0.5 to 2 per second—in EEG output. However, EEG findings alone are not specific for CJD, since PSWCs have been reported in patients with Alzheimer's disease, vascular dementia, Lewy body disease, and voltage-gated potassium channel complex antibodies (VGKC-cAbs) encephalitis (Wieser et al., 2006; Savard et al., 2016). PSWCs are found in 60% to 70% of sCJD patients and 75% of fCJD patients, while they are rarely found in GSS and FFI cases and never in vCJD cases. The use of benzodiazepines for epilepsy has been shown to mask EEG findings. PSWCs appear months after the symptoms have started, and patients tend to recover a few weeks after their appearance. Meanwhile, bilateral frontal intermittent rhythmical delta activity (FIRDA) and a slowing of EEG background activity have been recorded in the early stages of CJD (Hansen et al., 1998; Manix et al., 2015). The absence of PSWC in EEG data does not exclude a diagnosis of sCJD, and typical EEG findings may not be observed in the terminal stage of the disease. Patients with CJD can also exhibit diffuse, non-specific slow EEG background patterns, which can also be observed in encephalopathy of various neurodegenerative diseases other than CJD. Hence, the diagnosis of sCJD should depend on a combination of EEG with MRI, CSF analysis, and clinical findings (Kwon and Kwon, 2019).

## Bioimaging

Neuroimaging tests are an accessible and non-invasive diagnostic tool for TSEs (Ortega-Cubero et al., 2013). Some neuroimaging techniques to help clinical neurologists provide a definite diagnosis have been extensively evaluated. In particular, CJD diagnosis has employed MRI, computed tomography (CT), radionuclide techniques using positron emission tomography (PET), and single-photon emission tomography (SPECT) with different radiopharmaceuticals (Caobelli et al., 2015). In clinical practice, the probable diagnosis of TSEs relies on clinical features combined with the results of at least one paraclinical test (EEG, CSF analysis, and/or MRI abnormalities).

Diffusion-weighted imaging (DWI), fluid-attenuated inversion recovery (FLAIR), and apparent diffusion coefficient (ADC) are MRI sequences used to image the brain. Highintensity patterns in FLAIR, hyperintensity signals in DWI (the most sensitive sequence), and restricted diffusion in the ADC map gray matter regions give a positive predictive value for TSEs, with sensitivity and specificity values of 80% for detecting CJD (Gibson et al., 2018). CJD diagnostic criteria by bioimaging includes the presence of abnormal signal in the caudate and putamen, and MRI signal hyperintensities in the cortex of at least two lobes, excluding the frontal lobes on DWI and FLAIR for sCJD (Zerr et al., 2009; Bizzi et al., 2020). A recent study included a new criterion (index test) for diffusion MRI for the diagnosis of sCJD, being at least one positive brain region in addition to the current criteria (Bizzi et al., 2020). Analysis of diffusion MRI including the index test from  $\sim$ 1,400 patients with suspected sCJD was superior to that of current standard criteria and comparable to second generation RT-QuIC (Bizzi et al., 2020).

Between 57% and 80% of sCJD patients show hyperintensity in the basal ganglia or cortex, with some also showing hyperintensity in the thalamus. MRI results are usually normal in patients with GSS and FFI, though some FFI patients show increased quantitative ADC and myoinositol in the thalamus. Quantitative MRI changes were also reported for FFI, characterized by increased mean diffusivity in the thalamus and cerebellum resultant from volumetric and diffusion tensor imaging (DTI) changes in the patient's brain (Grau-Rivera et al., 2017). In 90% of cases, vCJD shows a pulvinar sign in which the posterior third of the thalamus has a higher signal than other basal ganglia (Manix et al., 2015; Fragoso et al., 2017). MRI signal changes are correlated with disease duration and with the degree of spongiosis (Eisenmenger et al., 2016). The strict application of diagnostic criteria and careful interpretation of MRI output remain the most recommended approach to in vivo diagnosis of sCJD (Fragoso et al., 2017). In the context of clinical suspicion of TSEs, however, CT does remain useful as a first-line test to exclude other diagnoses that may have similar clinical features (Letourneau-Guillon et al., 2012).

Positron emission tomography (PET) is not a paraclinical test included in the guidelines for TSE diagnosis, but it has recently been tested with a small group of patients. CJD patients showed hypometabolism in the frontal and parietal cortex using [18F] fluoro-2-deoxy-D-glucose (FDG-PET), suggesting a correlation between clinical results and PET findings (Renard et al., 2017). In a different group of patients, hypermetabolism was observed in the limbic and mesolimbic systems (Mente et al., 2017). Hypometabolism in brain regions is consistent with neuropathology, but it is not an exclusive characteristic of prion diseases (Higuchi et al., 2000).

Amyloid tracers may also be used with PET to diagnose neurodegenerative diseases, but the selectivity of these tracers remains a topic of discussion and requires improvement. Therefore, this technique is still limited for the differential diagnosis of these diseases, though it has yet to be explored in detail in living patients.

#### Immunoassays

Immunoassays exploit the structural differences between PrP<sup>C</sup> and PrPSc and rely on the specific interaction of monoclonal antibodies (mAbs) with PrPSc (Lukan et al., 2013). Although with potential application in diagnosis, immunoassays developed for analyzing body fluids, such as blood, CSF, or urine, have certain limitations. Unlike diagnostic studies using in vitro cell-free PrP conversion assays, reports of immunoassays have been restricted to blood samples not endogenously infected with PrP<sup>Sc</sup> or from symptomatic vCJD patients. Almost all blood-based immunoassays developed to date rely on the detection of PrP<sup>Sc</sup>. The major issue in blood detection systems is an extremely small amount of PrPSc combined with a high background PrP<sup>C</sup> concentration (Abdel-Haq, 2015). For this reason, a PrPSc enrichment step is usually required in these assays (Lukan et al., 2013). The solid-state binding matrix assay relies on the selective adsorption of PrPSc, thus, no PK pretreatment of samples is required in this assay (Properzi and Pocchiari, 2013), being also adapted for urine assessment (Luk et al., 2016). This approach has led to the establishment of a prototype blood test for the diagnosis of vCJD with high sensitivity and specificity (Edgeworth et al., 2011), and was shown to be more efficient than immunoprecipitation with antibodies for detecting PrP<sup>Sc</sup> in human whole blood spiked with high dilutions of vCJD-infected brain homogenate (Abdel-Haq, 2015). Although initially promising, this test failed to identify patients with sCJD or other TSEs (Edgeworth et al., 2011) and asymptomatic vCJD individuals (Jackson et al., 2014; Abdel-Haq, 2015). In summary, all these approaches present severe limitations regarding efficient detection of PrP<sup>Sc</sup> in a variety of body fluids and tissues, being not recommended for routine diagnosis of human TSEs.

## **GUIDELINES FOR DIAGNOSIS**

The WHO prepared a report in 1998, updated in 2003, defining the diagnostic criteria for CJD. However, much research has been carried out in the intervening years, and new diagnostic tools have been developed and tested with great success. The Centers for Disease Control and Prevention (CDC), an agency of the United States Department of Health and Human Services, updated the clinical diagnostic criteria for sCJD to include MRI brain scans and RT-QuIC analysis for the probable diagnosis of sCJD (**Table 5**).

The diagnosis of iCJD was defined as a progressive cerebellar syndrome in a patient treated with pituitary hormone receptor derived from human cadavers; or in a patient diagnosed with sporadic CJD with a recognized exposure risk—e.g., previous neurosurgery with dura mater graft. Genetic CJD is classified as definite or probable CJD plus definite or probable CJD in a firstdegree relative; and/or neuropsychiatric disorder plus diseasespecific PrP gene mutation (Brown et al., 2003; CDC's Diagnostic Criteria for Creutzfeldt–Jakob Disease (CJD), 2018).

One characteristic that distinguishes vCJD from sCJD is its prominent tropism for lymphoid organs, such as the tonsils. The

analysis of PrP extracted from amygdala biopsy tissue appears to provide a sensitive and specific method for the diagnosis of vCJD in the appropriate clinical context (Araújo, 2013). However, due to the invasive nature of this test, it should only be performed in patients who meet the clinical criteria for vCJD, but where MRI data lacks the characteristic pulmonary sign. The detection of 14-3-3 protein in the CSF is not a sensitive marker for vCJD (Araújo, 2013). Definitive cases are diagnosed by visualization of amyloid plaques surrounded by vacuoles in both the cerebellum and cerebrum, called florid plaques, and by PrP deposition shown by immunohistochemistry (CDC's Diagnostic Criteria for Creutzfeldt-Jakob Disease (CJD), 2018). Suspected cases are identified based on the age of onset of the disease (<50 years), psychiatric symptoms (such as frank pain and/or dysesthesia), neurologic signs (at least two of the following: poor coordination, myoclonus, chorea, hyperreflexia, or visual signs), duration of illness of over 6 months, and no history for iCJD or gCJD.

Because of its genetic character, the diagnosis of GSS is limited to the demonstration of mutations in the *PRNP* gene. This seems to be a sensitive and highly specific way to diagnose GSS, but neuropathology, although less used in practice, can also be useful in a postmortem scenario (Araújo, 2013).

Finally, the diagnosis of FFI is first suggested by rapidly progressive cognitive impairment (dementia), along with changes in behavior or mood, ataxia, and sleep disorders. Additional diagnosis may include a sleep study. Given its etiology, genetic testing confirms the diagnosis (Wu et al., 2018).

## CHALLENGES

Human prion diseases are rare, with an annual incidence of around 1–2 cases per million individuals worldwide, and around five cases per million after age 65 (Holman et al., 2010). These diseases are very intriguing and can have a quite distinct clinical

TABLE 5   Current guidelines for sCJD diagnosis.					
Diagnostic	Signals and symptoms				
	*WHO, 2003	**CDC, 2018			
Possible	Progressive dementia; <b>AND</b> at least two of the following four clinical features: myoclonus, cerebellar or visual disturbance, pyramidal/extrapyramidal dysfunction, akinetic mutism; <b>AND</b> unknown or atypical EEG; <b>AND</b> disease duration less than two years.	Progressive dementia; <b>AND</b> at least two of the following four clinical features: myoclonus, cerebellar or visual disturbance, pyramidal/extrapyramidal dysfunction, akinetic mutism; <b>AND</b> no positive result for any of the four tests that would classify a case as "probable"; <b>AND</b> disease duration less than two years; <b>AND</b> no alternative diagnosis from routine investigations.			
Probable	Progressive dementia; <b>AND</b> at least two of the following four clinical features: myoclonus, cerebellar or visual disorder, pyramidal/extrapyramidal dysfunction, akinetic mutism; <b>AND</b> typical EEG regardless of disease duration; <b>AND/OR</b> positive CSF 14-3-3 assay <b>and</b> disease duration to death less than two years; <b>AND</b> no alternative diagnosis from routine investigations.	Neuropsychiatric disorder <b>and</b> positive RT-QuIC in CSF or other samples; <b>OR</b> rapidly progressive dementia <b>and</b> at least two of the following four clinical features: myoclonus, cerebellar or visual disorder, pyramidal/extrapyramidal dysfunction, akinetic mutism; <b>AND</b> a positive result on at least one of the following tests: typical EEG regardless of disease duration, positive CSF 14-3-3 assay with disease duration less than two years, high signal in caudate/putamen on MRI brain scan or at least two cortical regions (temporal, parietal, occipital) either on DWI or FLAIR; <b>AND</b> no alternative diagnosis from routine investigations.			
Definite	Neuropathological confirmation; <b>AND/OR</b> detection of <sup>res</sup> PrP by immunochemistry <b>or</b> immunoblotting; <b>AND/OR</b> observation of PrP <sup>Sc</sup> fibrils.	Neuropathological confirmation; <b>AND/OR</b> detection of <sup>res</sup> PrP by immunochemistry <b>or</b> immunoblotting; <b>AND/OR</b> observation of PrP <sup>Sc</sup> fibrils.			

\*Reference: Brown et al. (2003). \*\*Reference: CDC's Diagnostic Criteria for Creutzfeldt-Jakob Disease (CJD), 2018.

presentation and causes (sporadic, inherited, and acquired). This heterogeneity poses challenges for diagnoses. Interestingly, all prion diseases involve the conversion and aggregation of PrP<sup>C</sup> into its pathological form, PrP<sup>Sc</sup>. Therefore, it is no wonder that the detection of PrP<sup>Sc</sup> is used as the gold standard for a definite diagnosis.

The detection of PrP<sup>Sc</sup> in patient samples is traditionally performed by neuropathological inspection of brain tissue, which is a criterion for a definite diagnosis (Brown et al., 2003). However, this assessment is done most often postmortem due to the invasiveness of tissue collection. Clinical and paraclinical antemortem evaluations are not considered sufficient to define the final diagnosis. However, with continued technological advances, there has been a marked improvement in several tests in recent years, contributing to the interpretation of cases.

The last decade has seen the development of the RT-QuIC assay, which can now detect the presence of PrP<sup>Sc</sup>. This technique has several advantages, including:

- (1) It can be used on more accessible samples, such as CSF;
- (2) It exhibits high sensitivity and specificity, making other biochemical tests obsolete;
- (3) It can detect PrP<sup>Sc</sup> in samples from different types of TSEs;
- (4) It involves a relatively simple method, employing materials and equipment that are more accessible and easier to maintain;
- (5) A growing number of centers around the world have independently tested, validated, and used this technique to investigate large cohorts of patients;
- (6) It is being adapted for the diagnosis of other neurodegenerative diseases, such as Parkinson's disease, tauopathies, and others.

Given these advantages, the CDC has included RT-QuIC as a parameter to characterize TSE diagnosis as probable (CDC's Diagnostic Criteria for Creutzfeldt–Jakob Disease (CJD), 2018). WHO guidelines do not yet include this parameter and should be updated to encourage its implementation in more facilities that can carry out these tests. The combination of (*i*) clinical evaluation, (*ii*) RT-QuIC analyses of two biological samples, and (*iii*) testing with at least one other technique—such as MRI, EEG, or 14-3-3 detection—should be considered internationally for a definite antemortem diagnosis, given that such combinations have provided diagnoses with 100% or nearly 100% sensitivity and specificity (Bongianni et al., 2017; Hermann et al., 2018; Rudge et al., 2018; Fiorini et al., 2020).

Furthermore, the RT-QuIC assay has been adapted in the last few years for detecting  $\alpha$ -synuclein aggregates/fibrils and

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tau filaments in biological samples (Ferreira and Caughey, 2020). Therefore, the growth in RT-QuIC-based TSE diagnosis would certainly pave the way for RT-QuIC utilization in the early diagnosis of other neurodegenerative disorders, such as synucleinopathies (e.g., Parkinson's disease and dementia with Lewy bodies) and tauopathies (e.g., Alzheimer's disease and chronic traumatic encephalopathy.

Even with all the advances achieved to date in TSE diagnosis, most patients present the first typical clinical signs of the disease very late, when the degeneration is already quite advanced and irreversible. Regular clinical evaluation is only initiated at this point, resulting in therapeutic options that are, at best, symptomatic. Therefore, a significant challenge is to further increase the sensitivity and accuracy of testing to allow early antemortem diagnosis and to relate the findings to the progression of the disease. Another challenge is to obtain a diagnosis at pre-symptomatic stages of genetic forms of TSEs, which would require the combination of genotyping and the examination of samples using the assays discussed in this review. If an early diagnosis can be achieved, this opens the door to novel therapeutic strategies being tested and used successfully in the future.

#### AUTHOR CONTRIBUTIONS

YC, TCRGV, and LMA contributed to the conception and design of the study. SCR wrote the first draft of the manuscript. TCRGV, YC, LMA, and PBG wrote sections of the manuscript. LMA produced all figures. All authors contributed to the manuscript revision and read and approved the submitted version.

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**Conflict of Interest:** The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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