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ORIGINAL ARTICLE

# Utility of CT radiomics for prediction of PD-L1 expression in advanced lung adenocarcinomas

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#### Keywords

Computed tomography; immunotherapy; lung adenocarcinoma; programmed death ligand 1; radiomics.

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#### Abstract

**Background:** We aimed to assess if quantitative radiomic features can predict programmed death ligand 1 (PD-L1) expression in advanced stage lung adenocarcinoma.

**Methods:** This retrospective study included 153 patients who had advanced stage (>IIIA by TNM classification) lung adenocarcinoma with pretreatment thin section computed tomography (CT) images and PD-L1 expression test results in their pathology reports. Clinicopathological data were collected from electronic medical records. Visual analysis and radiomic feature extraction of the tumor from pretreatment CT were performed. We constructed two models for multivariate logistic regression analysis (one based on clinical variables, and the other based on a combination of clinical variables and radiomic features), and compared c-statistics of the receiver operating characteristic curves of each model to identify the model with the higher predictability.

**Results:** Among 153 patients, 53 patients were classified as PD-L1 positive and 100 patients as PD-L1 negative. There was no significant difference in clinical characteristics or imaging findings on visual analysis between the two groups (P > 0.05 for all). Rad-score by radiomic analysis was higher in the PD-L1 positive group than in the PD-L1 negative group with a statistical significance ( $-0.378 \pm 1.537$  vs.  $-1.171 \pm 0.822$ , P = 0.0008). A prediction model that uses clinical variables and CT radiomic features showed higher performance compared to a prediction model that uses clinical variables only (c-statistic = 0.646 vs. 0.550, P = 0.0299).

**Conclusions:** Quantitative CT radiomic features can predict PD-L1 expression in advanced stage lung adenocarcinoma. A prediction model composed of clinical variables and CT radiomic features may facilitate noninvasive assessment of PD-L1 expression.

Key points: Significant findings of the study

Quantitative CT radiomic features can help predict PD-L1 expression, whereas none of the qualitative imaging findings is associated with PD-L1 positivity.

What this study adds

A prediction model composed of clinical variables and CT radiomic features may facilitate noninvasive assessment of PD-L1 expression.

#### **Introduction**

Lung cancer is the leading cause of cancer-related deaths worldwide, and adenocarcinoma is the most common histologic type of lung cancer.<sup>1,2</sup> In the past, platinumbased conventional chemotherapy was the only option

for treating advanced lung adenocarcinoma. However, recent developments in molecular-targeted therapy has significantly improved survival to subsets of patients who are positive for genetic alteration such as mutation in the epidermal growth factor receptor (*EGFR*) gene

and rearrangement of the anaplastic lymphoma kinase gene locus.<sup>3,4</sup>

Recently, immune checkpoint inhibitors targeting programmed cell death protein 1 (PD-1) or programmed death ligand 1 (PD-L1) have demonstrated better progression-free and overall survival than conventional chemotherapy in advanced non-small cell lung cancer (NSCLC) patients.<sup>5-7</sup> As immunotherapy became one of the standard treatment regimens for NSCLC, biomarkers for predicting responses to immune checkpoint inhibitors were investigated and PD-L1 expression on tumor cells was accepted as a predictive biomarker for the immunotherapy response.<sup>8-10</sup> In this context, the International Association for the Study of Lung Cancer (IASLC) provided an atlas of PD-L1 immunohistochemistry testing in NSCLC.<sup>11</sup>

The prediction of PD-L1 expression from computed tomography (CT) imaging features may have value, not only for predicting patient outcome by imaging, but also in situations where tissue sampling is not possible. Previous studies have investigated the relationship between CT image features and PD-L1 expression. 12,13 However, these studies focused primarily on qualitative imaging features with study populations that were limited to early stage, resectable lung adenocarcinomas; therefore, quantitative analysis may be more valuable. "Radiomics," an emerging tool that provides quantitative imaging parameters, has been applied in oncology for tumor assessment and evaluation of the patient's response to treatment (e.g. prediction of EGFR mutation and response to the targeted therapy in NSCLC). 14-19 Because a radiomics approach can provide objective and quantitative parameters of the tumor, we hypothesized that quantitative radiomic features can predict PD-L1 expression in advanced stage lung adenocarcinoma.

Therefore, the purpose of this study was to assess if quantitative radiomic features can predict PD-L1 expression in advanced stage lung adenocarcinoma.

#### **Methods**

#### **Patients**

Our institutional review board approved this retrospective study, and the requirement for obtaining informed consent was waived. We conducted a retrospective chart review, and identified 169 patients who were diagnosed with lung adenocarcinomas from January 2016 to August 2018 and whose pathological reports included a PD-L1 expression test result obtained by tumor proportion score (TPS). Among these 169 patients, 16 patients were excluded from this study for the following reasons: (i) a resectable stage of NSCLC ( $\leq$ stage IIIA by TNM classification according to the eighth edition of IASLC)<sup>20</sup> (n = 8); (ii) unavailability of thin section CT images prior to treatment (n = 3); and (iii) indistinguishable primary

lesion in CT scan due to parenchymal collapse (n=5). A total of 153 patients were included in the study who were diagnosed in pathological reports as having advanced stage lung adenocarcinoma and having a PD-L1 expression test result obtained by TPS (99 men, mean age  $64.6 \pm 10.7$  years, range, 34-86 years) (Fig 1).

Clinicopathological data collected for each patient included age, gender, smoking history, TNM stage, PD-L1 expression status by TPS, and *EGFR* mutation status.

# Chest computed tomography (CT) examinations

For all patients, contrast-enhanced chest CT scans were performed by using one of following multidetector row scanners: Somatom Sensation 16, Somatom Sensation 64, Definition Flash (Siemens Medical Solutions, Forchheim, Germany), Discovery CT 750 HD, Revolution (GE Medical Systems, Milwaukee, Wisconsin, USA), or iCT (Philips Medical Systems, the Netherlands). Details of scanning parameters were the same as previously described.<sup>21</sup> A bolus of 50-90 mL (1.5 mL/kg bodyweight) of iopamidol (300 mg I/mL, Radisense, Taejoon Pharmaceutical, Seoul, South Korea) was injected intravenously at a flow rate of 3 mL/second for enhanced images, and an automated bolus-tracking technique was used. Axial and coronal images were reconstructed with soft tissue kernel and a slice thickness of 1-1.25 mm and 2.5-3 mm, respectively. All CT datasets were transferred to a picture archiving and communication system.

#### Visual analysis of CT images

Visual analysis was performed by two board-certified thoracic radiologists (with nine and 10 years' experience in chest CT imaging, respectively) who were blinded to the clinical and histologic findings. Two radiologists independently reviewed all CT images, and any discrepancies in evaluations were resolved by agreement. CT images were read on the axial and coronal views with both mediastinal (width, 350 HU; level, 40 HU) and lung (width, 1500 HU; level, -500 HU) window settings. CT image features that were included in the visual analysis were as follows<sup>22,23</sup>: (i) size (maximal and minimal diameters), location, type (nodule, mass, multicentric, or ground-glass opacity [GGO]/consolidation), and margin (lobulation, concavity, spiculation) of primary mass; (ii) internal characteristics of tumor: presence of internal calcification, air bronchogram, bubble-like lucency, cavitation, or necrosis; (iii) external characteristics of tumor: fissural or pleural attachment, thickening of adjacent bronchovascular bundles, pleural retraction, or peripheral emphysema; and (iv) associated findings: pattern of lung metastasis, presence of pleural effusion, pleural nodularity, significant pericardial effusion (moderate to large amount

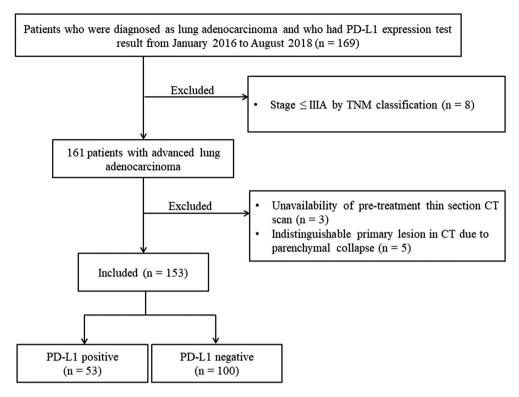


Figure 1 Patient selection diagram. CT, computed tomography; PD-L1, programmed death ligand 1.

[>10 mm in depth] or pericardial nodularity or enhancement regardless of size), intrathoracic bony metastases, or metastatic lymphadenopathy.

#### **CT radiomic feature extraction**

Radiomic feature extraction was performed automatically by two radiologists (one radiology resident and one board-certificated thoracic radiologist with 2 and 10 years' experience in chest CT imaging, respectively). Digital Imaging and Communications in Medicine (DICOM) files were loaded into a commercialized software (AVIEW Research, Coreline Soft Inc., Seoul, South Korea) and lesion segmentation was performed using a lung window setting (width, 1500 HU; level, -600 HU) images (Fig 2). Using the software, the volume of interest (VOI) was delineated around the tumor outline slice by slice on the axial CT images as follows: After importing DICOM files into the software, we used brush tools to manually delineate the VOI slice by slice at the voxel level. Image magnification and threedimensional view techniques were used to facilitate precise segmentation. Large vessels and bronchioles were excluded from the VOIs where possible. From a segmented VOI, a total of 58 radiomic features were extracted: 15 histogram features, two gradient features, 13 gray-level co-occurrence matrix (GLCM) features, 13 gray-level run-length matrix

(GLRLM) features, three moment features, 11 shape features, and one fractal features (Table S1).

#### PD-L1 analysis method

Expression of PD-L1 in histopathologic specimens was determined using the PD-L1 22C3 pharmDx antibody (Dako North America Inc., Carpinteria, CA, USA) or Ventana PD-L1 SP263 antibody (Ventana Medical Systems, Tucson, AZ, USA) as a companion diagnosis. Positive tumor cells were defined as complete circumferential or partial cell membrane staining. Cytoplasmic staining and tumor-associated immune cells (such as macrophages) were excluded from the scoring. Finally, TPS was calculated as a percentage of PD-L1-positive tumor cells relative to the total tumor cells. We defined "PD-L1 expression positive" as 50% or more viable tumor cells exhibiting membrane staining with any intensity (TPS ≥50%). 24,25 The 74 enrolled patients were divided into two groups by PD-L1 expression: a "PD-L1 positive" group and a "PD-L1 negative" group.

### **Statistical analysis**

Statistical analysis was performed with SPSS software, version 20.0 (SPSS, Chicago, IL, USA), MedCalc for Windows, version 18.6.0.0 (MedCalc Software, Mariakerke, Belgium),

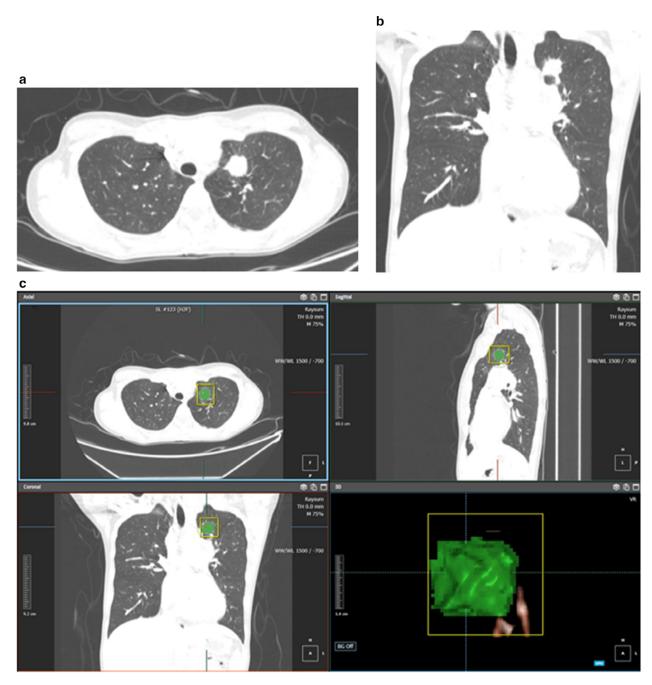


Figure 2 CT images and radiomic analysis of a 34-year-old female with adenocarcinoma positive for PD-L1 expression. Axial (a) and coronal (b) images of the initial contrast-enhanced CT scan show a 2.3 cm nodule in the left upper lobe which appears as a pure solid nodule with spiculation and pleural attachment. Multiple metastatic left hilar, bilateral mediastinal and left supraclavicular lymph nodes are present. The patient was diagnosed as having adenocarcinoma with high PD-L1 expression (tumor proportion score = 80%) and epidermal growth factor receptor wild-type via ultrasound-guided neck lymph node biopsy. (c) Radiomic feature extraction was performed with segmentation of the volume of interest of the left upper lobe nodule. The Rad-score is 1.350, which is higher than the cutoff value of -0.715 for positive PD-L1 expression. CT, computed tomography; PD-L1, programmed death ligand 1.

and R (version 3.6.0.; R Foundation for Statistical Computing, Vienna, Austria). Categorical variables are shown as numbers with percentages. Continuous variables are presented as the mean  $\pm$  standard deviation. Demographics,

CT visual analysis results, and CT radiomic features were compared between PD-L1 positive and PD-L1 negative groups by chi-square test for categorical variables, and independent *t*-test for continuous variables. Interobserver

agreements were analyzed using the weighted kappa statistic for qualitative CT features from visual analysis and the intraclass correlation coefficient (ICC) for the lesion diameter and CT radiomic features. Weighted kappa values were interpreted as follows: poor, <0.2; fair, 0.2–0.4; moderate, 0.4–0.6; good, 0.6–0.8; and excellent, >0.8. ICCs were interpreted as follows: poor, <0.5; moderate, 0.5–0.75; good, 0.75–0.9; excellent, >0.9. ICC values lower than zero were considered zero for the analysis.

To diminish the high dimension of the radiomic features to the number of events, we performed three sequential steps for radiomic feature selection. At first, we evaluated the interobserver agreement of radiomic features and selected features showing ICC > 0.75. For the next step, we chose radiomic features which showed statistical significance between the PD-L1 positive and PD-L1 negative groups. Finally, the least absolute shrinkage and selection operator (LASSO) logistic regression model was used to choose the most useful predictive features for PD-L1 positivity: three-fold cross validation was performed 100 times to avoid the overfitting. Features showing nonzero coefficient were selected when the mean of the calculated area under the receiver operating characteristic (ROC) curve (AUC, predictive accuracy) of LASSO regression model reached maximum among 100 times three-fold cross validations. A Rad-score (radiomic score) was calculated for each case via a linear combination of selected features that were weighted by their respective coefficient on the LASSO logistic regression model.26

Continuous variables such as age and Rad-score were dichotomized, and the optimal cutoff value to predict PD-L1 positivity was calculated from the ROC curves using Youden index. Univariate and multivariate logistic regression analyses were performed to assess the association between clinical variables/CT visual analysis results/Radscore and PD-L1 positivity. We constructed two models for multivariate logistic regression analysis (one based on the clinical variables, and the other based on a combination of clinical variables and imaging features) and compared cstatistics of each model to identify the model with the higher predictability. For internal validation of the result within the study population, we performed bootstrap validation with 1000 resampling and optimism corrected AUC (c-index) with 95% confidence interval (CI) was analyzed.<sup>27</sup> A P-value less than 0.05 less was considered statistically significant.

#### **Results**

#### **Clinical characteristics of patients**

Among 153 patients, 53 patients were classified as PD-L1 positive and 100 patients were classified as PD-L1 negative (Table 1). There was no significant difference in clinical

characteristics including age, sex, smoking history, TNM stage, and EGFR mutation status between the two PD-L1 expression groups (P > 0.05 for all).

# Association between PD-L1 expression and CT visual analysis

Among imaging findings which were analyzed by visual analysis, none showed a significant difference between the two PD-L1 expression groups (P > 0.05, Table 2).

# Interobserver agreement for visual analysis and radiomic features

Details of interobserver agreement for visual analysis are presented in Table S2. Interobserver agreement for the

**Table 1** Comparison of demographic features according to PD-L1 expression

	PD-L1 expression		
	Positive	Negative	
	(n = 53)	(n = 100)	<i>P</i> -value
Age (mean $\pm$ SD)	64.1 ± 11.2	64.8 ± 10.6	0.6916
Sex			0.9170
Male	34 (64.2)	65 (65.0)	
Female	19 (35.8)	35 (35.0)	
Smoking			0.9630
Current smoker	9 (17.0)	18 (18.0)	
Past smoker	20 (37.7)	39 (39.0)	
Never smoker	24 (45.3)	43 (43.0)	
TNM stage			0.3830
3B	5 (9.4)	6 (6.0)	
3C	3 (5.7)	1 (1.0)	
4A	13 (24.5)	27 (27.0)	
4B	32 (60.4)	65 (65.0)	
4C	0 (0)	1 (1.0)	
EGFR mutation			0.8061
Yes	18 (34.0)	32 (32.0)	
No	35 (66.0)	68 (68.0)	
Site of histopathologic			0.6232
diagnosis			
Lung	22 (41.5)	55 (55.0)	
Lymph node	23 (43.4)	34 (34.0)	
Liver	2 (3.8)	3 (3.0)	
Brain	3 (5.7)	4 (4.0)	
Bone	3 (8.7)	3 (3.0)	
Miscellaneous	0 (0)	1 (1.0)	
Method of histopathologic			0.3089
diagnosis (for lung lesion)			
CT-guide lung biopsy	6 (27.3)	24 (43.6)	
Transbronchial lung biopsy	16 (72.7)	30 (54.5)	
Video-assisted	0 (0.0)	1 (1.8)	
thoracoscopic surgery			

Unless otherwise indicated, data in parentheses are percentages. *EGFR*, epidermal growth factor receptor; PD-L1, programmed death ligand 1; SD, standard deviation.

 Table 2
 Comparison of computed tomography (CT) visual analysis results according to PD-L1 expression

Maximal diameter of tumor (mm) (mean ± SD)       48.1         Minimal diameter of tumor (mm) (mean ± SD)       31.7         CT pattern       Solid predominant part-solid nodule       3 (         Pure solid nodule       33 (         Distribution       22 (         Central       22 (         Peripheral       31 (         Lobe location       16 (         Right upper lobe       4 (         Right lower lobe       12 (         Left upper lobe       9 (         Left lower lobe       12 (         Contour       1rregular       3 (         Round or oval       50 (         Lobulation       23 (         Concavity       47 (         Spiculation       34 (         Calcification       4 (         Air bronchogram       20 (         Bubble-like lucency       2 (         Fissure attachment       25 (         Pleural attachment       44 (         Thickened adjacent bronchovascular bundle       23 (         Pleural retraction       31 (         Peripheral emphysema       8 (	$\begin{array}{cccccccccccccccccccccccccccccccccccc$
Minimal diameter of tumor (mm) (mean $\pm$ SD) 31.7 CT pattern  Solid predominant part-solid nodule 33 ( Pure solid nodule 33 ( Pure solid nodule 33 ( Distribution  Central 22 ( Peripheral 31 ( Lobe location  Right upper lobe 16 ( Right middle lobe 4 ( Right lower lobe 12 ( Left upper lobe 9 ( Left upper lobe 12 ( Contour Irregular 3 ( Round or oval 50 ( Lobulation 23 ( Concavity 47 ( Spiculation 34 ( Calcification 4 ( Air bronchogram 20 ( Bubble-like lucency 2 ( Fissure attachment 44 ( Thickened adjacent bronchovascular bundle 10 ( Peripheral emphysema 8 ( Cavitation 4	± 13.6 27.6 ± 14.4 0.937 0.933 5.7) 6 (6.0) 94.3) 94 (94.0) 0.430 41.5) 35 (35.0) 58.5) 65 (65.0) 0.535 30.2) 23 (23.0) 7.5) 4 (4.0) 22.6) 25 (25.0) 17.0) 27 (27.0) 22.6) 21 (21.0)
CT pattern       3 (i) predominant part-solid nodule       3 (i) pure solid nodule       33 (ii) pure solid nodule       33 (iii) pure solid nodule       33 (iiii) pure solid nodule       33 (iiii) pure solid nodule       33 (iiii) pure solid nodule       31 (iiii) pure solid nodule       32 (iiii) pure solid nodule       32 (iiii) pure solid nodule       32 (iiii) pure solid nodule       33 (iiii) pure solid nodule       34 (iiiii) pure solid nodule       34 (iiii)	0.933 5.7) 6 (6.0) 94.3) 94 (94.0)  0.430  41.5) 35 (35.0) 58.5) 65 (65.0)  0.535  30.2) 23 (23.0) 7.5) 4 (4.0) 22.6) 25 (25.0) 17.0) 27 (27.0) 22.6) 21 (21.0)
Solid predominant part-solid nodule Pure solid nodule Pure solid nodule Pure solid nodule  Solistribution Central Peripheral Lobe location Right upper lobe Right middle lobe Right lower lobe Left upper lobe Left upper lobe Left upper lobe Left lower lobe Tregular Round or oval Lobulation Concavity Spiculation Calcification Air bronchogram Bubble-like lucency Fissure attachment Thickened adjacent bronchovascular bundle Pleural retraction Peripheral emphysema Cavitation Round or oval Round	5.7) 6 (6.0) 94.3) 94 (94.0) (0.430) 41.5) 35 (35.0) 58.5) 65 (65.0) (0.535) 30.2) 23 (23.0) 7.5) 4 (4.0) 22.6) 25 (25.0) 17.0) 27 (27.0) 22.6) 21 (21.0)
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Distribution         22 (Peripheral         31 (Description)           Lobe location         16 (Right upper lobe         16 (Right middle lobe         4 (Right lower lobe         12 (Description)         14 (Description)	0.430 41.5) 35 (35.0) 58.5) 65 (65.0) 0.535 30.2) 23 (23.0) 7.5) 4 (4.0) 22.6) 25 (25.0) 17.0) 27 (27.0) 22.6) 21 (21.0)
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Cavitation 4 (	58.5) 62 (62.0) 0.803
•	15.1) 13 (13.0) 0.911
Necrosis 23 (	7.5) 7 (7.0) >0.999
	43.4) 31 (31.0) 0.177
Pleural effusion 23 (	43.4) 33 (33.0) 0.274
N stage	0.228
NO 2 (	3.8) 10 (10.0)
N1 3 (	5.7) 11 (11.0)
N2 14 (	26.4) 17 (17.0)
N3 34 (	64.2) 62 (62.0)
Lesion type	0.489
Mass 36 (	67.9) 69 (69.0)
Nodule 15 (	28.3) 28 (28.0)
Multicentric 0 (	0.0) 2 (2.0)
	3.8) 1 (1.0)
Lung metastasis	0.313
-	67.9) 53 (53.0)
	5.7) 4 (4.0)
	11.3) 24 (24.0)
	9.4) 13 (13.0)
	5.7) 6 (6.0)
	47.2) 50 (50.0) 0.87
	T
Intrathoracic bone metastasis 14 (	17.0) 9 (9.0) 0.232

Unless otherwise indicated, data in parentheses are percentages. CT, computed tomography; GGO, ground-glass opacity; SD, standard deviation.

measurement of maximal and minimal diameters of tumor was excellent (ICC 0.874 and 0.955, respectively). Most of the 25 CT visual analysis features showed good to excellent

interobserver agreement (weighted kappa >0.6). Only bubble-like lucency showed moderate interobserver agreement (weighted kappa =0.555).

 Table 3 Comparison of computed tomography (CT) radiomic features according to PD-L1 expression

	PD-L1 expression		
	Positive $(n = 53)$	Negative (n = 100)	<i>P</i> -value
Histogram feature (mean $\pm$ SD)			
Texture_Histo_Mean (HU)	$0.9 \pm 73.0$	$-1.4 \pm 78.2$	0.861
Texture_Histo_SD (HU)	$114.3 \pm 65.6$	$109.8 \pm 60.5$	0.671
Texture_Histo_Skewness	$-2.5 \pm 1.9$	$-2.6 \pm 1.5$	0.643
Texture_Histo_ExcessKurtosis	$20.4 \pm 25.6$	17.4 ± 17.9	0.448
Texture_Histo_Energy	$0.0070 \pm 0.0031$	$0.0063 \pm 0.0022$	0.124
Texture_Histo_Entropy	$7.9 \pm 0.7$	$7.9 \pm 0.6$	0.567
Texture_Histo_Min (HU)	$-825.4 \pm 153.1$	$-807.4 \pm 159.3$	0.499
Texture_Histo_Max (HU)	$378.1 \pm 289.8$	$376.4 \pm 268.8$	0.971
Texture_Histo_Voxel count	61 292.2 $\pm$ 86 630.8	$78\ 247.3\pm180\ 123.1$	0.433
Percentile (mean $\pm$ SD)			
Texture_Percentile_10 (HU)	$-136.1 \pm 195.6$	-133.1 ± 185.1	0.926
Texture_Percentile_25 (HU)	$-26.8 \pm 107.9$	-34.5 ± 117.7	0.695
Texture_Percentile_50 (HU)	$32.8 \pm 55.6$	$28.6 \pm 67.0$	0.695
Texture_Percentile_75 (HU)	$65.5 \pm 35.3$	63.1 ± 39.1	0.71
Texture_Percentile_90 (HU)	$90.1 \pm 38.8$	88.2 ± 34.4	0.766
Texture_Percentile_95 (HU)	$105.8 \pm 46.5$	$103.5 \pm 36.6$	0.763
	103.8 ± 40.3	103.3 ± 30.0	0.703
Gradient feature (mean ± SD)	110.2   70.4	121 6 1 70 1	0.040
Texture_Grad_Mean	$119.3 \pm 70.4$	121.6 ± 70.1	0.848
Texture_Grad_SD	$124.9 \pm 39.4$	$122.5 \pm 38.0$	0.714
GLCM feature (mean ± SD)	0.0727 + 0.0470	0.0502 + 0.0224	0.000
Texture_GLCM_ASM	$0.0737 \pm 0.0478$	$0.0582 \pm 0.0331$	0.038
Texture_GLCM_IDM	$0.5 \pm 0.1$	0.5 ± 0.1	0.243
Texture_GLCM_Homogeneity	$0.6 \pm 0.1$	$0.6 \pm 0.1$	0.242
Texture_GLCM_Contrast	9.2 ± 10.6	$8.6 \pm 9.0$	0.744
Texture_GLCM_Correlation	$0.6\pm0.2$	$0.6 \pm 0.1$	0.985
Texture_GLCM_Autocor	$1094.4 \pm 120.4$	$1090.8 \pm 128.5$	0.868
Texture_GLCM_Entropy	$5.3 \pm 1.4$	5.4 ± 1.1	0.497
Texture_GLCM_CP	$48\ 607.0\pm148\ 803.9$	$32\ 033.0\pm87\ 988.2$	0.459
Texture_GLCM_CS	$-1028.0 \pm 2715.2$	$-750.4 \pm 1673.0$	0.499
Texture_GLCM_CT	$51.5 \pm 87.7$	$44.5 \pm 67.1$	0.612
Texture_GLCM_SumEntropy	$3.7 \pm 0.8$	$3.7 \pm 0.7$	0.635
Texture_GLCM_DiffAverage,	$1.7 \pm 1.0$	$1.7 \pm 0.9$	0.925
Texture_GLCM_DiffEntropy	$2.3\pm0.6$	$2.3\pm0.5$	0.721
GLRLM feature (mean $\pm$ SD)			
Texture_GLRLM_SRE	$0.0494 \pm 0.0285$	$0.0347 \pm 0.0229$	0.001
Texture_GLRLM_LRE	$0.5 \pm 0.6$	$0.2 \pm 0.3$	0.002
Texture_GLRLM_LGRE	$0.0003 \pm 0.0012$	$0.0001 \pm 0.0008$	0.471
Texture_GLRLM_HGRE	89.1 ± 59.2	$57.6 \pm 42.3$	0.001
Texture_GLRLM_SRLGE	$0.0002 \pm 0.0007$	$0.0001 \pm 0.0005$	0.485
Texture_GLRLM_SRHGE	$56.8 \pm 33.8$	$39.7 \pm 26.8$	0.002
Texture_GLRLM_LRLGE	$0.0020 \pm 0.0106$	$0.0008 \pm 0.0058$	0.454
Texture_GLRLM_LRHGE	$604.0 \pm 722.4$	266.7 ± 319.9	0.002
Texture_GLRLM_GNUN	$0.0034 \pm 0.0044$	$0.0013 \pm 0.0020$	0.002
Texture_GLRLM_RLNUN	$0.0034 \pm 0.0034$ $0.0031 \pm 0.0030$	$0.0015 \pm 0.0025$ $0.0016 \pm 0.0019$	0.002
Texture_GLRLM_RP	$0.0031 \pm 0.0030$ $0.0771 \pm 0.0503$	$0.0510 \pm 0.0015$ $0.0501 \pm 0.0360$	0.001
Texture_GLRLM_RV	$0.4 \pm 0.5$	$0.2 \pm 0.2$	0.002
Texture_GLRLM_RE	$0.5 \pm 0.3$	$0.4 \pm 0.2$	0.001
Moment feature (mean ± SD)	20.0 + 46.4	22.0 + 67.6	0.660
Texture_Moment_J1	$29.0 \pm 46.4$	$32.9 \pm 67.6$	0.669
Texture_Moment_J2	$0.0007 \pm 0.0022$	$0.0015 \pm 0.0054$	0.221
Texture_Moment_J3	<0.0001 ± <0.0001	<0.0001 ± <0.0001	0.203
Shape feature (mean $\pm$ SD)			
Shape_Volume (mm³)	43 718.0 $\pm$ 53 318.6	$51\ 640.9\pm114\ 615.3$	0.561
Shape_SurfaceArea (mm <sup>2</sup> )	11 496.3 $\pm$ 10 465.8	12 840.4 $\pm$ 15 782.6	0.530

Table 3 Continued

	PD-L1 expression		
	Positive $(n = 53)$	Negative (n = 100)	<i>P</i> -value
Shape_Sphericity	0.5 ± 0.1	0.5 ± 0.1	0.417
Shape_Compactness	$1.0 \pm 0.0$	$0.9\pm0.0$	0.426
Shape_Roundness	$0.7 \pm 0.1$	$0.7 \pm 0.1$	0.089
Shape_Circularity	$0.4 \pm 0.1$	$0.3 \pm 0.1$	0.442
Shape_Longest1stAxis (mm)	$54.2 \pm 22.4$	$57.8 \pm 30.9$	0.408
Shape_Longest2ndAxis (mm)	$44.0 \pm 19.1$	$45.3 \pm 23.1$	0.726
Shape_PCA1stMajorSD (mm)	$11.3 \pm 4.7$	$11.7 \pm 6.3$	0.626
Shape_PCA2ndMajorSD (mm)	$8.6 \pm 3.6$	$8.7 \pm 4.3$	0.823
Shape_PCA3rdMajorSD (mm)	$7.1 \pm 3.0$	$7.0 \pm 3.6$	0.779
Fractal feature (mean $\pm$ SD)			
Fractal dimension	$2.4 \pm 0.2$	$2.4 \pm 0.2$	0.626

Unless otherwise indicated, data in parentheses are percentages. ASM, angular second moment; Autocor, autocorrelation; CP, cluster prominence; CS, cluster shade; CT, cluster tendency; GLCM, gray-level co-occurrence matrix; GLRLM, gray-level run-length matrix; GNUN, gray-level non-uniformity normalized; Grad, gradient; HGRE, high gray-level run emphasis; Histo, histogram; HU, Hounsfield Unit; IDM, inverse different moment; LGRE, low gray-level run emphasis; LRE, long run emphasis; LRHGE, long run high gray-level emphasis; LRLGE, long run low gray-level emphasis; Max, Maximum; Min, minimum; PCA, principal component analysis; PD-L1 = programmed death ligand 1; RE, run entropy; RLNUN, run-length non-uniformity normalized; RP, run percentage; RV, run variance; SD, standard deviation; SRE, short run emphasis; SRHGE, short run high gray-level emphasis;

Most of the 58 radiomic features showed good to excellent interobserver agreement (ICC > 0.75). Texture\_Histo\_Skewness and Texture\_GLRLM\_RLNUN (run-length nonuniformity normalized of GLRLM) showed moderate interobserver agreement (ICC 0.5–0.75). Details of the ICCs for all radiomic features are described in Table S3.

#### **Selection of CT radiomic features**

Among CT radiomic features, Texture\_GLCM\_ASM (angular second momentum of GLCM) and most of GLRLM features showed significant differences between PD-L1 positive and PD-L1 negative groups (P < 0.05 for all, Table 3). No other CT radiomic feature was significantly different between the two PD-L1 expression groups (P > 0.05).

After feature selection processes, selected radiomics feature sets were as follows: Texture\_GLCM\_ASM, Texture\_GLRLM\_RV (run variance of GLRLM), Texture\_GLRLM\_RE (run entropy of GLRLM), Texture\_GLRLM\_SRHGE (short-run high gray-level emphasis of GLRLM). The radiomics signature was computed into a Rad-score by using the following formula:

Rad-score = -(1.594 23) + Texture\_GLCM\_ASM x - 8.495 68 + Texture\_GLRLM\_RV x 3.585 97 + Texture\_GLRLM\_RE x (-5.01416) + Texture\_GLRLM\_SRHGE x 0.05253.

The Rad-score was higher in the PD-L1 positive group than in the PD-L1 negative group with a statistical significance ( $-0.378 \pm 1.537$  vs.  $-1.171 \pm 0.822$ , P = 0.0008). The AUC of Rad-score to predict PD-L1 positivity was 0.661 (95% CI 0.580–0.735) and the optimum cutoff value

calculated from the ROC curves was -0.715 (sensitivity 52.8%, specificity 76.0%). In patients with *EGFR* wild-type tumor, the Rad-score was higher in the PD-L1 positive group than in the PD-L1 negative group with a statistical significance ( $-0.419 \pm 1.578$  vs.  $-1.135 \pm 0.861$ , P = 0.0162).

#### **Prediction model for PD-L1 positivity**

In univariate logistic regression analysis, a Rad-score > -0.715 showed significant association with PD-L1 status (odds ratio [OR] 3.3600; 95% CI 1.6617–6.7940; P = 0.0007; c-statistic 0.639 [95% CI 0.558–0.715]; Table 4). None of the clinical variables or qualitative imaging features showed a significant association with PD-L1 status (P > 0.05).

We established two prediction models for predicting PD-L1 positivity: model 1 uses clinical variables and model 2 uses clinical variables and CT radiomic features. The predictive performance was higher with model 2 (c-

**Table 4** Univariate logistic regression analysis for prediction of PD-L1 positivity

Clinical variables	OR (95% CI)	<i>P</i> -value	
Age (≤59 years)	0.7299 (0.3480–1.5266	0.4017	
Female sex	1.0378 (0.5176-2.0810)	0.9167	
Current or ex-smoker	0.9512 (0.4841-1.8690)	0.8845	
Presence of EGFR mutation	1.0929 (0.5390-2.2160)	0.8055	
Rad-score			
Rad-score $> -0.715$	3.3600 (1.6617–6.7940)	0.0007	

CI, confidence interval; *EGFR*, epidermal growth factor receptor; OR, odds ratio; PD-L1, programmed death ligand 1.

Table 5 Multivariate logistic regression models for prediction of PD-L1 positivity

	OR	95% CI	<i>P</i> -value	OR	95% CI	<i>P</i> -value
Model 1 (clinical variables)				Model 2 (clinical variables + CT radiomic features)		
Age ≤ 59 years	0.7281	0.3362-1.5232	0.408	0.6106	0.2691-1.3259	0.2227
Female sex	1.0820	0.3793-3.1603	0.883	0.9354	0.3121-2.8772	0.9053
Current or ex-smoker	1.0635	0.3823-3.1009	0.907	0.9115	0.3129-2.7532	0.8658
Presence of EGFR mutation	1.1710	0.5272-2.5799	0.695	1.1098	0.4821-2.5304	0.8042
Rad-score $> -0.715$	N/A	N/A	N/A	3.4706	1.6919-7.2840	0.0008
C-statistic (95% CI)	0.550 (0.454–0.646)			0.667 (0.575–0.760)	P-value for comparison of c-statistics between two models	0.0299
Bootstrapped c-statistic (95% CI)	0.550 (0.461–0.6488)			0.667 (0.577–0.764)		

CI, confidence interval; EGFR, epidermal growth factor receptor; OR, odds ratio; PD-L1, programmed death ligand 1.

statistic = 0.667; 95% CI = 0.575–0.760) than model 1 (c-statistic = 0.550; 95% CI = 0.454–0.646), with a statistical significance (P = 0.0299, Table 5). The c-statistics in the development set were similar to the values with bootstrap estimates in the internal validation, with significant difference between two models (difference of c-statistics between two models, 0.117, 95% CI = 0.012–0.225).

#### **Discussion**

Our study demonstrates that quantitative radiomic features can help predict PD-L1 expression in advanced lung adenocarcinoma, whereas none of the qualitative imaging findings is associated with PD-L1 positivity. Furthermore, a prediction model constructed with Rad-score in combination with clinical variables shows a higher c-statistic than a model constructed with clinical variables only.

Since PD-L1 has been expected to predict the response of immune checkpoint inhibitors in lung cancer patients, 8-10 there were few previous studies that attempted to predict PD-L1 expression noninvasively in surgically resected lung adenocarcinomas using imaging modalities. Previous studies reported that qualitative CT features such as lobular/irregular shape, pleural indentation, presence of convergence/cavitation, absence of surrounding GGO/air-bronchogram, and quantitative CT imaging features such as mean CT attenuation of tumor, higher consolidation to tumor mass ratio (C/T ratio), and higher maximum standardized uptake value on positron emission tomography were significantly associated with PD-L1 positivity. 12,13,28

According to previous studies regarding imaging features of PD-L1-positive NSCLCs, a large solid portion with a small GGO on CT scan was a common feature associated with PD-L1 expression, which can be explained by a correlation with pathological invasiveness, histologic subtype, or proportion of *EGFR* mutation. 12,13,28 In surgically-resected lung adenocarcinomas, tumors with PD-L1 expression tended to be more invasive histologic subtypes with a worse

prognosis (e.g., solid predominant) than tumors without PD-L1 expression. 12,13,28,29 Because GGO in subsolid nodules is thought to correlate with the lepidic component of lung adenocarcinomas, lung adenocarcinomas with preinvasive or lepidic predominant subtypes mostly present as pure ground-glass nodules or part-solid nodules on CT, whereas lung adenocarcinomas with micropapillary or solid predominant subtypes present as pure solid nodules.30-34 Meanwhile, NSCLCs with EGFR mutations tended to have higher GGO proportions on CT, 31,34-38 which might be explained by the fact that they have a high prevalence of lepidic-predominant histologic types. 31,39-43 The presence of an EGFR mutation was thought to be inversely correlated with PD-L1 expression in NSCLCs,44 although there have been controversies, and the relationship was not statistically significant in our study. Therefore, a large solid portion with a small GGO on CT in a PD-L1 positive adenocarcinoma might demonstrate the relationship between CT findings with histologic subtype, and also with EGFR mutation.

Other qualitative CT features including lobular/irregular shape, presence of convergence/cavitation, and pleural indentation have been suggested as predictive imaging features of PD-L1 positivity and were also supposed to be associated with the pathological invasiveness of the tumor. However, in our study, none of the qualitative imaging features on visual analysis was related to PD-L1 positivity. This result may be due to differences in the clinical characteristics of our study population compared to those in previous studies. Previous studies also included patients with surgically resected lung adenocarcinomas, the majority of which were early stage, resectable cases. 12,13,28 On the other hand, our study included patients with unresectable adenocarcinomas, who could be better candidates for immunotherapy than patients with early stage tumors.<sup>45</sup> Therefore, the results of our study may have more clinical value than those of previous studies.

Although interest in quantitative imaging biomarker is increasing, the application of radiomics in thoracic oncology has been limited to prediction of *EGFR* mutation or

survival after treatment.<sup>14–19</sup> Our study suggests that adding radiomic features to clinical variables could increase predictability for PD-L1 expression in advanced lung adenocarcinomas, and to our knowledge, this was the first attempt to investigate the value of radiomic features for prediction of PD-L1 expression.

In our study, four radiomic features (Texture\_ GLCM ASM, Texture GLRLM RV, Texture GLRLM RE, Texture\_GLRLM\_SRHGE) were selected. Texture\_GLCM\_ ASM is a measure of homogenous patterns in the image, and GLRLM quantifies gray level runs, which are defined as the length of consecutive voxels that have the same gray level value. Since the Rad-scores in our study demonstrated a tendency for larger Texture\_GLCM\_ASM, Texture\_GLRLM\_ RV and Texture GLRLM SRHGE with smaller Texture GLRLM\_RE being correlated with PD-L1 expression, the lesion with homogenous and high CT attenuating large voxel values could be more likely to be PD-L1-positive. In other words, a homogenous tumor presenting as a pure solid nodule with no or small GGO, inner necrosis, cavitation, or calcification may have PD-L1 positivity in advanced lung adenocarcinoma, which was similar to the results of previous studies of early stage lung adenocarcinomas, even though the trend was not clearly seen on visual analysis in our study.

This study had several limitations. First, it was conducted retrospectively from a single tertiary referral center, and patients were identified only from those having PD-L1 testing results, which can lead to a selection bias. Second, the proposed prediction model did not undergo external validation in other cohorts, therefore, our findings might be difficult to generalize. Third, the PD-L1 test lacks universal reference standards, and among several testing methods for confirming PD-L1 positivity, <sup>46</sup> PD-L1 immunohistochemistry was conducted with only two antibodies and one cutoff value. Finally, the treatment response after immunotherapy was not assessed. Further studies are needed to evaluate the predictive value of CT radiomic features for treatment response after anti-PD-L1 therapy.

In conclusion, quantitative CT radiomic features can predict PD-L1 expression in advanced stage lung adenocarcinoma. Furthermore, a prediction model composed of clinical variables and CT radiomic features may facilitate noninvasive assessment of PD-L1 expression.

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#### **Disclosure**

The authors declare that there are no conflicts of interest.

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## **Supporting Information**

Additional Supporting Informationmay be found in the online version of this article at the publisher's website:

- Table S1. Extracted radiomic features by feature category.
- Table S2. Interobserver variability for CT visual analysis.
- Table S3. Interobserver variability for CT radiomic features.