

# Alpha7 Nicotinic Acetylcholine Receptors Modulate Motivation to Self-Administer Nicotine: Implications for Smoking and Schizophrenia

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Individuals diagnosed with schizophrenia have an exceptionally high risk for tobacco dependence. Postmortem studies show that these individuals have significant reductions in  $\alpha 7$  nicotinic acetylcholine receptors (nAChRs) in several brain areas. Decreased  $\alpha 7$ -mediated function might not only be linked to schizophrenia but also to increased tobacco consumption. The purpose of this study was to determine whether pharmacological blockade of  $\alpha 7$  nAChRs would increase motivation of rats to intravenously self-administer nicotine (NIC) during a progressive ratio schedule of reinforcement (PR). Before PR, rats received local infusions of 0, 10, or 20 pmol of a selective  $\alpha 7$  nAChR antagonist,  $\alpha$ -conotoxin A $\alpha$ 1B [VII,VI6D] (A $\alpha$ 1B) into the nucleus accumbens (NAc) shell or the anterior cingulate cortex, brain areas that contribute to motivation for drug reward. We additionally sought to determine whether local infusion of 0, 10, or 40 nmol of a selective  $\alpha 7$  nAChR agonist, PNU 282987, into these brain areas would decrease motivation for NIC use. Infusion of A $\alpha$ 1B into the NAc shell and anterior cingulate cortex resulted in a significant increase in active lever pressing, breakpoints, and NIC intake, suggesting that a decrease in  $\alpha 7$  nAChR function increases motivation to work for NIC. In contrast, PNU 282987 infusion resulted in reductions in these measures when administered into the NAc shell, but had no effect after administration into the anterior cingulate cortex. These data identify reduction of  $\alpha 7$  nAChR function as a potential mechanism for elevated tobacco use in schizophrenia and also identify activation of  $\alpha 7$  nAChRs as a potential strategy for tobacco cessation therapy.

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## INTRODUCTION

Individuals with schizophrenia have a high risk for tobacco dependence. Epidemiological studies estimate that as many as 80% of individuals diagnosed with schizophrenia smoke cigarettes and clinical reports indicate that those with schizophrenia are particularly heavy smokers (Hughes *et al*, 1986; Glassman, 1993; Olincy *et al*, 1997; Kalman *et al*, 2005; Tidey *et al*, 2005; Williams *et al*, 2005, 2007; McKee *et al*, 2009). In support of a self-medication hypothesis, some studies have shown that smoking enhances cognition, improves sensory-gating deficits, and relieves side effects of neuroleptic therapeutics (Leonard *et al*, 1998, 2007; Sacco

*et al*, 2005; Levin and Rezvani, 2007; D'Souza and Markou, 2011). Another equally plausible hypothesis is that these individuals have a shared vulnerability for schizophrenia and tobacco dependence.

An accumulation of genetic reports have identified polymorphisms linked to the  $\alpha 7$  nicotinic acetylcholine receptor (nAChR) gene with diagnosis of schizophrenia (Leonard *et al*, 1996; Freedman *et al*, 1997; Stassen *et al*, 2000; Stephens *et al*, 2009; Mexal *et al*, 2010). Recent reports suggest that genetic variations in CHRNA7 may be associated with tobacco dependence as well (De Luca *et al*, 2004; Saccone *et al*, 2010). Nicotine (NIC), a major psychoactive ingredient in tobacco, binds to these ion channel receptors that are activated endogenously by the neurotransmitter, acetylcholine (ACh). Postmortem studies indicate that individuals with schizophrenia have marked reductions of  $\alpha 7$  nAChRs in several brain areas, including the hippocampus and cingulate cortex (Freedman *et al*, 1995; Leonard *et al*, 1996, 1998, 2000; Guan *et al*, 1999; Court *et al*, 2000; Marutle *et al*, 2001; Mexal *et al*, 2010). Several CHRNA7 polymorphisms may contribute to a

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smoking phenotype in otherwise healthy subjects (Saccone *et al*, 2010), and independent data sets have revealed CHRNA7 polymorphisms associated with increased vulnerability for a tobacco dependence phenotype in those with schizophrenia diagnosis (De Luca *et al*, 2004).  $\alpha 7$  Polymorphisms are linked to sensory-gating deficits (Freedman *et al*, 1997), a phenomenon commonly observed with schizophrenia diagnosis. Rodent studies have indicated that sensory-gating deficits may be due to a reduction in  $\alpha 7$  nAChR function (Luntz-Leybman *et al*, 1992; Stevens *et al*, 1996, 1998; Hajos *et al*, 2005). Although no studies to date have correlated genetic analyses with  $\alpha 7$  nAChR expression in humans, *in vitro* studies show that point mutations in the CHRNA7 promoter region and in an  $\alpha 7$ -duplicated gene is sufficient to alter  $\alpha 7$  nAChR function (Leonard *et al*, 2002; Araud *et al*, 2011; de Lucas-Cerrillo *et al*, 2011).

The purpose of this preclinical study was to determine whether reductions of the  $\alpha 7$  nAChR function constitute a biological mechanism for increased motivation for tobacco use as measured using a progressive ratio schedule of reinforcement (PR) during NIC self-administration in rats. Rats, like humans, readily self-administer NIC and there is 93% sequence homology in the rat and human  $\alpha 7$  nAChRs (as determined using the Basic Local Alignment Search Tool for accession numbers AAB25224-rat and AAB40114-human) (Séguéla *et al*, 1993; Elliott *et al*, 1996). During PR, rats must work increasingly hard for a single delivery of NIC until they give up responding (ie, reach their breakpoint). We tested the hypothesis that reduced activity of  $\alpha 7$  nAChRs in areas that regulate motivation for drug use would result in an increase in active lever pressing for NIC and breakpoints during PR. Such an effect might contribute to increased tobacco addiction in those with schizophrenia. The selective  $\alpha 7$  nAChR antagonist,  $\alpha$ -conotoxin ArIB [V11L,V16D] (ArIB) (Whiteaker *et al*, 2007) was infused into the nucleus accumbens (NAc) shell or anterior cingulate cortex immediately before PR. This study also questioned whether local infusion of a selective agonist of  $\alpha 7$  nAChRs, PNU 282987 (Bodnar *et al*, 2005), into the NAc shell and anterior cingulate cortex would lead to reductions in responding maintained by NIC during PR. The  $\alpha 7$  agonists are currently being explored as therapeutics to improve cognition, working memory, and sensory-gating deficits in schizophrenia (Bodnar *et al*, 2005; Olincy *et al*, 2006; Bitner *et al*, 2007; Freedman *et al*, 2008; Rezvani *et al*, 2009; Thomsen *et al*, 2009; Hajos and Rogers, 2010; Castner *et al*, 2011; Marquis *et al*, 2011). A positive finding would have implications for smoking cessation therapies in general.

## MATERIALS AND METHODS

### Animals

A total of 34, adult, male, Long-Evans rats (Harlan Laboratories, Dublin, VA) were used for these studies. Rats were individually housed in a temperature- and humidity-controlled vivarium under a 12/12 h light/dark cycle (lights on at 0600 hours). Behavioral testing took place between 1300 and 1900 hours. Rats weighed  $\sim 300$  g upon arrival and began testing at 320 g. This body weight was maintained by daily food rations throughout behavioral testing.

Experimental protocols were approved by the Institutional Animal Care and Use Committee at the Virginia Commonwealth University and were in accordance with the Guidelines for the Care and Use of Laboratory Animals, as set forth by the National Institutes of Health.

### Drug Dosing and Administration

During self-administration procedures, rats received 0.03 mg/kg/i.v. infusion of NIC (by weight of freebase) in 0.0533 ml delivered over 1 s. NIC hydrogen tartrate salt was dissolved in 0.9% sterile saline and stored in the dark to prevent degradation.  $\alpha$ -CTX ArIB [V11L,V16D] was synthesized as described previously (Whiteaker *et al*, 2007) (Institute for Behavioral Genetics, Boulder, CO) and dissolved in 0.9% sterile saline. PNU 282987 was obtained commercially (Tocris, Ellisville, MO) and dissolved according to the supplier's recommendations in 100 mM HCl sterile saline. Aliquots were stored at  $-20^{\circ}\text{C}$  and thawed immediately before use. Immediately before PR testing, intra-accumbens shell or intra-anterior cingulate infusions of 0, 10, or 20 pmol/hemisphere of ArIB, or 0, 10 or 40 nmol/hemisphere PNU 282987 were administered at a volume of 0.5–1.0  $\mu\text{l}$  at a rate no greater than 0.5  $\mu\text{l}/\text{min}$  using a within-subject, Latin-square design across days. Vehicle infusions were administered intermittently to assure drug clearance. Animals either received infusions into the anterior cingulate cortex or the NAc, and separate animals were used in experiments that assessed the effects of  $\alpha 7$  nAChR antagonist and agonist.

### Intra-Cranial Guide Cannula Implantations

All surgeries were performed using aseptic procedures under isoflurane anesthesia (induced at 3.5 l/min of oxygen and 3.5% isoflurane gas and maintained at  $\sim 2.5$  l/min of oxygen and 2.0–2.75% isoflurane). During surgery, rats received 5 mg/kg i.p. carprofen for preemptive analgesia. Surgical areas were shaved and cleaned with 7.5% povidone-iodine and 70% reagent alcohol. Rats were placed in a stereotaxic device with the bregma and lambda leveled to within 0.05 mm. Animals were implanted with 22-G bilateral guide cannula (Plastics One, Roanoke, VA) targeting either the NAc shell (+1.6 mm anterior,  $\pm 0.75$  mm from midline,  $-6.5$  mm ventral from the bregma) or the anterior cingulate cortex (+1.8 anterior,  $\pm 0.75$  mm from midline,  $-2.75$  mm ventral from the bregma). Guide cannulae were held in place with dental cement anchored with jeweler's screws, and dummy cannulae were inserted into the guides to maintain patency. Rats received 64 mg acetaminophen mixed in wet chow for 3 days after surgery. After behavioral procedures, brains were harvested to assess cannulae placement.

### Intra-Jugular Catheter Implantation

Animals were anesthetized, prepped for surgery, and received analgesia as described above. A polyurethane catheter (3.5 French, Access Technologies) was implanted in the right jugular vein above the atrium and passed subcutaneously to the rat's back where it was connected to a cannula connector pedestal (Plastics One) implanted

posterior to the rat's scapulae. To prevent infection, all rats received s.c. injection of 75 000 Units of penicillin G and 0.1 ml intra-catheter injection of 0.031 mg/ml ticarcillin/clavulanate in a 25% glycerol/heparinized saline solution (catheter lock). Rats were allowed to recover for at least 5 days before self-administration training. Before and after training sessions, catheters were irrigated with 0.9% sterile saline. Catheter patency was defined as a rapid loss of consciousness after 1.6 mg i.v. ketamine infusion. In the case of catheter failure, the left jugular vein was catheterized and the animal was returned to the study.

### NIC Self-Administration

Self-administration procedures were as described previously (Brunzell *et al.*, 2010). All self-administration procedures occurred in MED Associates operant chambers located within sound-attenuating boxes (St Albans, VT). Rats were tethered to a stainless steel-encased infusion tubing that was suspended from the chamber ceiling (Plastics One) to enable them to move freely about the chamber during each 2 h self-administration session. Levers were extended and a 5-w house light remained illuminated during all behavioral procedures. For a period of at least 10 days, rats were reinforced under a fixed ratio 1 (FR1) schedule of reinforcement maintained by NIC. NIC infusions were delivered using a Model PHS-100 syringe pump located on the outside of each sound-attenuating box. A panel light above the active (right-side) lever and a Sonalert tone generator at the rear of the chamber operated as cues. For NIC rats, depression of the 'active' lever resulted in delivery of a 1 s, 0.03 mg/kg/i.v. NIC bolus plus a 20 s light + tone. No further NIC was delivered during this time-out period. To control for potential locomotor effects of the  $\alpha 7$  nAChR ligands and for the primary reinforcing properties of the cues, a separate group of rats received the same cues without NIC infusion upon depression of the active lever (CUEonly). Depressions of the 'inactive' left lever were recorded but were without scheduled consequences for NIC and CUEonly rats. Rats were trained for at least 10 days and until they reached a criterion of 3 consecutive days of >70% active:total lever presses. Behavioral programs and data collection were controlled by MED-PC IV software (MED Associates).

### PR Responding Maintained by NIC

After FR training, rats were reinforced using a PR schedule. This phase of training required that rats depress the lever an increasing number of times to obtain a single NIC infusion and/or cue reinforcement (eg, 1, 2, 4, 6, 9, 12, 15, 20, 25, 32, 40, 50, 62, 77, 95) (Arnold and Roberts, 1997). Sessions lasted for 2h or until a rat failed to respond for 20 consecutive minutes. Active and inactive lever responses, number of infusions, and the highest lever depression criterion achieved (breakpoint) were recorded.

### Local Infusions of $\alpha 7$ Agonists and Antagonists into the Anterior Cingulate and NAc Shell

Rats received 2–3 days of 0.9% sterile saline infusions and intermittent infusions of vehicle to assure a stable level of

PR responding. Independent groups of rats received daily infusions of either ArIB (0, 10, 20 pmol/hemisphere;  $n = 18$ ) or PNU 282987 (0, 10, 40 nmol/hemisphere;  $n = 16$ ) using a within-subject, Latin-square counterbalanced delivery of doses. Implanted guide cannulae targeting the NAc shell or anterior cingulate cortex assured that ligands did not diffuse to brain areas dorsal to the desired brain regions (Brunzell *et al.*, 2009, 2010). Infusions were made using a micro infusion pump with Hamilton syringes attached to PE 20 tubing (Braintree Scientific, Braintree, MA). Infusion volumes were no greater than 1  $\mu$ l and were delivered at a rate no faster than 0.5  $\mu$ l/min through internal cannulae that extended 0.5 mm beyond the guides. Infusions were followed by a 2 min wait period to allow for drug diffusion and to prevent backflow of ArIB or PNU 282987 through the guide cannula.

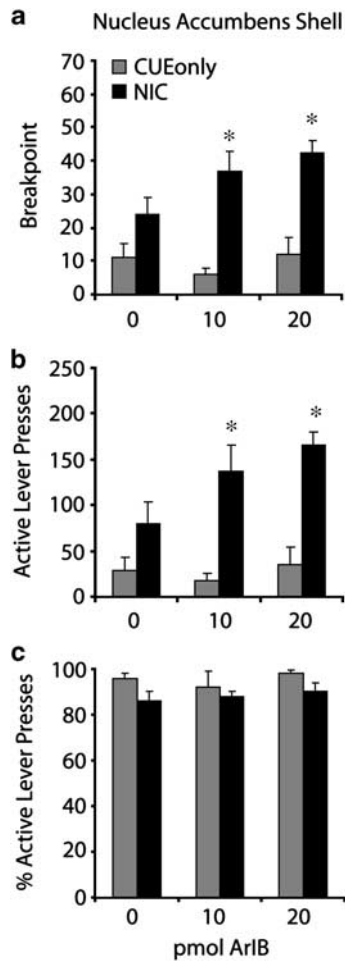
### Statistical Analysis

Within-subject, repeated-measures ANOVAs were used to analyze effects of vehicle and various concentrations of ArIB or PNU 282987 on behavioral measures for NIC and CUE-only rats during PR. Significant interactions of NIC condition with drug infusion were followed up with *post hoc t*-tests that compared behavioral data after drug infusion to data collected after infusion of vehicle into the brain areas studied.

## RESULTS

Antagonism of NAc shell  $\alpha 7$  nAChRs resulted in a dose-dependent increase in motivation to self-administer NIC (Figure 1). There was a significant interaction of NIC condition with the ArIB dose for measures of breakpoint ( $F_{1,7} = 8.26$ ,  $p = 0.02$ ) and active lever pressing ( $F_{1,7} = 9.56$ ,  $p = 0.02$ ) revealing that this effect was specific to NIC animals. There were main effects of NIC treatment ( $F_{1,7} = 92.077$ ,  $p < 0.01$ ) and ArIB dosage ( $F_{1,7} = 5.53$ ,  $p = 0.05$ ) for infusions/CUE presentations earned, but the interaction of these factors failed to reach significance. *Post hoc t*-tests showed that the 20 pmol/hemisphere infusion led to significant increases in how hard animals were willing to work for NIC (Figure 1a and b) and in the amount of NIC infusions earned (Table 1) ( $p$ 's  $< 0.05$ ). Previous studies have shown that rodents will press for a visual stimulus reinforcer and that this behavior, like drug reinforcement, is dopamine mediated (Caggiula *et al.*, 2002; Olsen and Winder, 2009). Infusion of ArIB into the NAc shell had no effect on lever pressing or breakpoints in CUEonly rats, suggesting that this effect did not generalize to other reinforcers. There was also no effect of drug exposure on response accuracy (active lever presses/(active + inactive lever presses)) after infusions of ArIB into the NAc shell ( $F < 1.0$ ); response accuracy remained high regardless of ArIB dose (Figure 1c), indicating that ArIB-associated increases in lever pressing were directed toward the lever that was reinforced with NIC infusions and not due to a non-specific increase in lever pressing activity.

Antagonism of  $\alpha 7$  nAChRs in the anterior cingulate cortex of an independent group of rats resulted in similar increases in motivation to self-administer NIC. There was a



**Figure 1** Antagonism of  $\alpha 7$  nAChRs in the NAc shell increases motivation to self-administer nicotine. Local NAc shell infusion of Ar1B led to a dose-dependent increase in (a) breakpoints and (b) active lever pressing maintained by nicotine during a progressive ratio schedule of reinforcement (NIC;  $n=4$ ). There was no effect of Ar1B infusion on breakpoint or active lever pressing in rats reinforced with light + tone cues but no nicotine (CUEonly;  $n=4$ ). (c) Response accuracy as measured by % active lever pressing was not affected by NAc shell infusion of Ar1B in NIC or CUEonly rats. \*Significantly different from NIC vehicle infusion ( $p < 0.05$ ).

significant interaction of NIC condition with Ar1B dose for breakpoint ( $F_{1,8} = 10.77, p = 0.01$ ) and active lever pressing ( $F_{1,8} = 8.53, p = 0.02$ ) and NIC infusions/CUE presentations ( $F_{1,8} = 18.71, p < 0.01$ ). In comparison to vehicle infusion, local infusion of 10 or 20 pmol/hemisphere of Ar1B into the anterior cingulate cortex led to a significant increase in active lever pressing, breakpoints (Figure 2a and b), and NIC/CUE delivery (Table 1) in NIC ( $p$ 's  $< 0.05$ ) but not CUEonly subjects. As with the NAc shell, there was no effect of Ar1B infusion into the anterior cingulate on response accuracy (Figure 2c,  $F < 1.0$ ), suggesting that  $\alpha 7$  nAChRs in this region do not modulate non-specific lever pressing activity or motivation to work for cue reinforcement. These findings support the hypothesis that reductions of the  $\alpha 7$  nAChR function in the NAc shell and anterior cingulate cortex significantly increase motivation for NIC use.

The next series of experiments tested whether activation of  $\alpha 7$  nAChRs in these brain regions would attenuate responding maintained by NIC during PR. Local infusion of the selective  $\alpha 7$  nAChR agonist PNU 282987 into the NAc shell resulted in a significant interaction of NIC condition and drug dosage for breakpoint ( $F_{1,6} = 13.80, p = 0.01$ ) for active lever pressing ( $F_{1,6} = 33.19, p < 0.01$ ) and number of infusions/CUE presentations attained ( $F_{1,6} = 6.82, p = 0.04$ ). In contrast to increases in active lever presses and breakpoints observed in rats after antagonism of the NAc shell  $\alpha 7$  nAChRs, rats that received local activation of these nAChRs through infusion of PNU 282987 showed reductions in active lever presses and breakpoints during PR (Figure 3). NIC rats showed a significant reduction in active lever presses and breakpoints after intra-accumbens shell infusion of 10 or 40 nmol/hemisphere of the PNU compound ( $p$ 's  $< 0.05$ ). As before, this effect was specific to NIC rats and not observed in CUEonly animals. There was also no effect of NIC condition or drug infusion on response accuracy ( $F < 1.0$ ).

In contrast to pretreatment with the  $\alpha 7$  antagonist, Ar1B, effects of PNU 282987 were specific to the NAc shell. There was no interaction of NIC condition with PNU 282987 concentration observed for measures of breakpoint, active lever presses, or number of NIC infusions ( $F < 1.0$ ) after anterior cingulate infusion of this selective

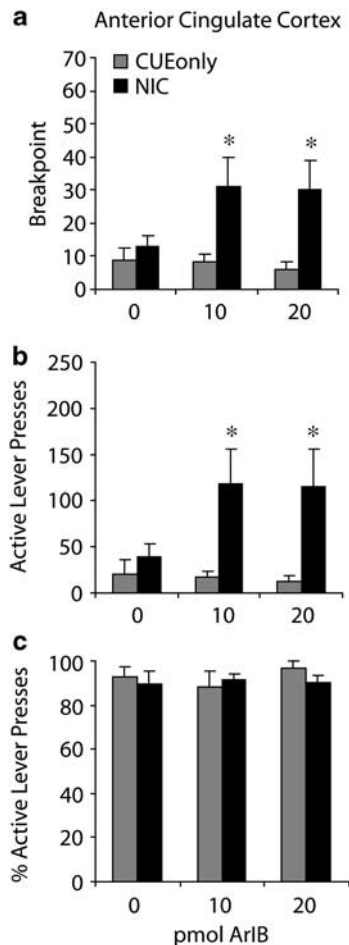
**Table 1** Nicotine Intake after NAc Shell or Anterior Cingulate Infusion of  $\alpha 7$  nAChR Antagonist or Agonist

		$\alpha$ -Conotoxin Ar1B [V11L, V16D]			PNU 282987		
		0	10	20	0	10	40
NAc shell	Nicotine infusions	7.4 $\pm$ 0.92	9.4 $\pm$ 0.75 <sup>a</sup>	10.20 $\pm$ 0.37 <sup>a</sup>	7.0 $\pm$ 0.41	2.75 $\pm$ 0.63 <sup>a</sup>	4.0 $\pm$ 1.22 <sup>a</sup>
	Intake mg/kg	0.21 $\pm$ 0.03	0.31 $\pm$ 0.02 <sup>a</sup>	0.34 $\pm$ 0.01 <sup>a</sup>	0.23 $\pm$ 0.01	0.09 $\pm$ 0.02 <sup>a</sup>	0.13 $\pm$ 0.04 <sup>a</sup>
Anterior cingulate cortex	Nicotine infusions	5.2 $\pm$ 1.02	8.4 $\pm$ 1.17 <sup>a</sup>	8.2 $\pm$ 1.16 <sup>a</sup>	5.8 $\pm$ 0.74	5.4 $\pm$ 0.97	5.75 $\pm$ 1.49
	Intake mg/kg	0.16 $\pm$ 0.03	0.25 $\pm$ 0.03 <sup>a</sup>	0.25 $\pm$ 0.03 <sup>a</sup>	0.19 $\pm$ 0.03	0.16 $\pm$ 0.03	0.23 $\pm$ 0.03

Number of i.v. nicotine infusions and total nicotine intake achieved during a progressive ratio schedule of reinforcement after local infusion of either  $\alpha$ -conotoxin Ar1B [V11L, V16D] (0, 10, or 40 pmol/hemisphere) or PNU 282987 (0, 10, or 40 nmol/hemisphere) into the nucleus accumbens (NAc) shell or into the anterior cingulate cortex of rats. Antagonism of  $\alpha 7$  nicotinic acetylcholine receptors (nAChRs) with  $\alpha$ -conotoxin Ar1B[V11L, V16D] resulted in a significant increase in nicotine intake when administered into the NAc shell or the anterior cingulate cortex. In contrast, local infusion of an agonist of nAChRs, PNU 282987, led to significant decreases in nicotine intake, but only when administered into the NAc shell.

<sup>a</sup>Indicates significantly different from vehicle infusion ( $p < 0.05$ ;  $n = 4-5$  per group).



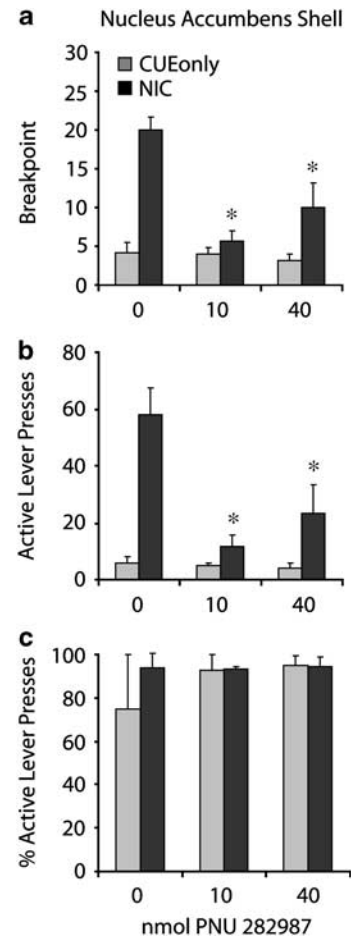


**Figure 2** Antagonism of  $\alpha 7$  nAChRs in the anterior cingulate cortex increases motivation to self-administer nicotine. Local anterior cingulate infusion of Ar1B led to an increase in (a) breakpoints and (b) active lever pressing maintained by nicotine during a progressive ratio schedule of reinforcement (NIC;  $n = 5$ ). There was no effect of Ar1B infusion on breakpoint or active lever pressing in rats reinforced with light + tone cues but no nicotine (CUEOnly;  $n = 5$ ). (c) Response accuracy as measured by % active lever pressing was not affected by anterior cingulate infusion of Ar1B in NIC or CUEOnly rats. \*Significantly different from NIC vehicle infusion ( $p < 0.05$ ).

agonist of  $\alpha 7$  nAChRs. These results were specific to drug infusion and not due to behavioral variation between NAc shell- and anterior cingulate-infused rats. On the last day of FR1 before PR testing, NIC rats earned a similar number of NIC infusions regardless of whether they had cannulae implanted into the NAc shell (mean =  $20.57 \pm 3.03$ ) or the anterior cingulate cortex (mean =  $20.13 \pm 3.35$ ). Rats also showed similar levels of NIC intake when infused with vehicle during PR (Table 1). Neuroanatomical reconstructions of guide cannula placement are shown in Figure 4.

## DISCUSSION

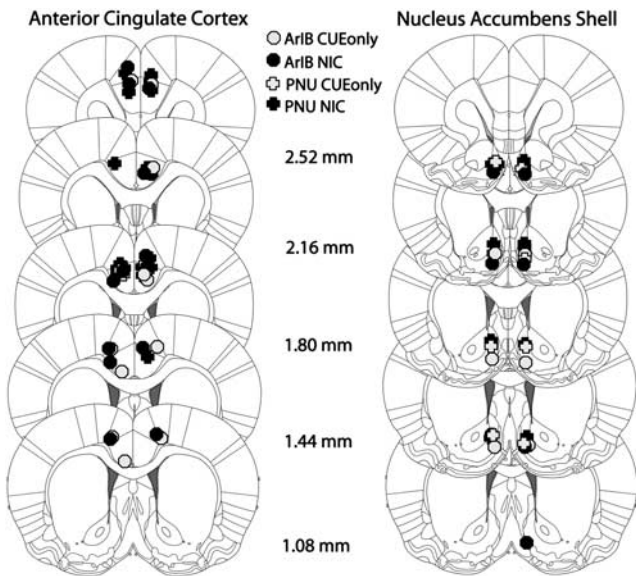
This study provides functional evidence that reductions in  $\alpha 7$  nAChR activity support motivation for NIC self-administration. Selective antagonism of  $\alpha 7$  nAChRs significantly increased the impetus of rats to work for NIC



**Figure 3** Agonism of  $\alpha 7$  nAChRs in the NAc shell decreases motivation to self-administer nicotine. Local NAc shell infusion of PNU 282987 led to a significant decrease in (a) breakpoints and (b) active lever pressing maintained by nicotine during a progressive ratio schedule of reinforcement (NIC;  $n = 4$ ). There was no effect of PNU 282987 infusion on breakpoint or active lever pressing in rats reinforced with light + tone cues but no nicotine (CUEOnly;  $n = 4$ ). (c) Response accuracy as measured by % active lever pressing was not affected by NAc shell infusion of Ar1B in NIC or CUEOnly rats. \*Significantly different from NIC vehicle infusion ( $p < 0.05$ ).

during PR. This effect was observed after drug infusion into the NAc shell, a brain area well-known for its contributions to motivational valence for drugs and natural rewards, as well as after antagonist infusion into the anterior cingulate cortex, a brain area that contributes to addiction, supports behavioral inhibition, and is morphologically compromised in individuals with schizophrenia (Goldman-Rakic, 1994; Guan *et al*, 1999; Chambers *et al*, 2001; Marutle *et al*, 2001; Moss *et al*, 2009). In contrast, local infusion of a selective  $\alpha 7$  nAChR agonist into the NAc shell significantly reduced how hard rats were willing to work for a single infusion of NIC, suggesting that activation of these receptors may promote tobacco cessation. This effect was specific to the NAc shell and not observed in the anterior cingulate cortex. These data suggest that  $\alpha 7$  nAChRs are not required for NIC self-administration but rather have a critical role in modulating NIC use.

Together with genetic and postmortem human studies (Freedman *et al*, 1995, 1997; Guan *et al*, 1999; Marutle *et al*,



**Figure 4** Neuroanatomical reconstructions of guide cannulae placement in the NAc shell and anterior cingulate cortex.

2001; De Luca *et al*, 2004; Mexal *et al*, 2010), these findings suggest that some individuals may have a shared vulnerability for tobacco dependence and schizophrenia phenotype. An accumulation of data indicates that  $\alpha 7$  nAChR expression is compromised in several brain areas of those diagnosed with schizophrenia (Freedman *et al*, 1997, 2000; Guan *et al*, 1999; Marutle *et al*, 2001). This phenotype seems to be due to faulty receptor assembly or trafficking as cigarette smoking results in elevated  $\alpha 7$  nAChR message and protein yet surface  $\alpha 7$  nAChRs are decreased as measured by  $\alpha$ -bungarotoxin binding (Mexal *et al*, 2010). This could be due to post-translational changes such as reduced palmitoylation of the  $\alpha 7$  nAChRs (Drisdell *et al*, 2004; Alexander *et al*, 2010) and further exacerbated in individuals with a truncated, duplicate  $\alpha 7$  nAChR gene polymorphism that seems to lead to the formation of faulty  $\alpha 7$  nAChRs (Araud *et al*, 2011; de Lucas-Cerrillo *et al*, 2011). Other studies suggest that a *CHRNA7* intron dinucleotide repeat is positively associated with a significant risk for smoking behavior in those with schizophrenia (De Luca *et al*, 2004) with evidence for marginal associations for smoking risk in 'healthy' individuals with other point mutations within the *CHRNA7* gene (Saccone *et al*, 2010). These studies in rodents give biological credence to these genetic studies, demonstrating that reductions in  $\alpha 7$  nAChR function result in significant elevations in motivation to self-administer NIC.

Although there may be some neuroanatomical overlap with  $\alpha 7$  nAChR-associated sensory-gating abnormalities, it is likely that  $\alpha 7$  nAChR deficits regulate smoking and schizophrenia phenotype through different neuroanatomical pathways. The  $\alpha 7$  nAChRs are enriched in deep layers of the cortex that are connected to the sensory thalamus, perhaps accounting for the sensory-gating deficits that are associated with this genotype (Clarke *et al*, 1985; Luntz-Leybman *et al*, 1992; Stevens *et al*, 1996; Breese *et al*, 1997; Freedman *et al*, 1997; Bodnar *et al*, 2005; Hajos *et al*, 2005;

Leonard *et al*, 2007).  $\alpha$ -Bungarotoxin-binding studies show that  $\alpha 7$  nAChR expression is higher in the cingulate and more ubiquitously expressed across layers than in other cortical regions (Marutle *et al*, 2001). Individuals diagnosed with schizophrenia have a 50% reduction in cingulate expression of  $\alpha 7$  nAChRs (Marutle *et al*, 2001). We observed that antagonism of  $\alpha 7$  nAChRs in the anterior cingulate cortex was sufficient to increase motivation to self-administer NIC. Human studies have revealed that deficits in prefrontal cortex function are also correlated with failed quit attempts in schizophrenic smokers (Moss *et al*, 2009). We saw a similar effect of blocking  $\alpha 7$  nAChRs in the NAc shell; local administration of an  $\alpha 7$  nAChR antagonist significantly increased NIC self-administration during PR. In contrast, application of an  $\alpha 7$  nAChR agonist effectively reduced NIC administration but only when administered into the NAc shell, perhaps due to the unique influence of dopamine signaling on  $\alpha 7$  nAChR activity at this locus *vs* the predominant basal ganglia innervation that supports activation of  $\alpha 7$  nAChRs in the anterior cingulate cortex (Thomsen *et al*, 2010). These findings warrant further study into the expression of  $\alpha 7$  nAChRs in the NAc shell of smokers and in individuals diagnosed with schizophrenia.

Previous preclinical studies exploring the role of  $\alpha 7$  nAChRs in NIC reinforcement have returned equivocal results. Systemic administration of the  $\alpha 7$  antagonist methylcoconitine (MLA) resulted in significant reductions in self-administration of NIC during an FR1 schedule of reinforcement (Markou and Paterson, 2001) at 3.8 and 7.9 mg/kg MLA, whereas others have reported no effect of a similar dosing regimen on self-administration when rats had a 2 day wash-out period between MLA dosings (Grottick *et al*, 2000). Although it is not clear what concentrations would be achieved in the brain at these doses, *in vitro* studies have shown that higher concentrations of MLA antagonize  $\alpha 6^*$ nAChRs (Mogg *et al*, 2002), as well as  $\alpha 7$  nAChRs. Recent reports show that activation of  $\alpha 6^*$ nAChRs in the VTA and NAc shell are critical for acquisition and maintenance of NIC self-administration (Pons *et al*, 2008; Brunzell *et al*, 2010; Gotti *et al*, 2010); thus, effects with high doses of MLA may have been due to off-target antagonism of  $\alpha 6^*$ nAChRs. The ArIB compound used in this study has >500-fold selectivity for  $\alpha 7$  nAChRs over other nAChR subtypes (Whiteaker *et al*, 2008). In contrast,  $\alpha$ -conotoxin MII is an antagonist of  $\alpha 6\beta 2^*$  nAChRs. NAc infusion of MII using the same paradigm as that used in this study shows the reverse effect of ArIB. That is, blockade of  $\alpha 6\beta 2^*$ nAChRs by  $\alpha$ -conotoxin MII dose dependently decreased breakpoints and number of infusions earned (Brunzell *et al*, 2010), whereas antagonism of  $\alpha 7$  nAChRs by ArIB increased NIC self-administration during PR, a schedule of reinforcement that is considered to measure motivation to self-administer drugs of abuse (Arnold and Roberts, 1997). Moreover, in contrast to effects of ArIB, we further observed that local administration of a selective  $\alpha 7$  nAChR agonist into the NAc shell decreased NIC self-administration during PR. Thus,  $\alpha 6\beta 2^*$ nAChRs and  $\alpha 7$  nAChRs in the NAc shell seem to have an opposing effect on NIC self-administration. Activation of  $\alpha 6\beta 2^*$ nAChRs supports NIC self-administration, whereas activation of  $\alpha 7$  nAChRs impedes motivation to self-administer the drug.

Rather than having an essential role in NIC self-administration, our findings suggest that  $\alpha 7$  nAChRs modulate NIC administration behavior. This hypothesis is supported by studies that show that mice with  $\alpha 7$  subunit null mutations show normal NIC reward as measured by NIC conditioned place preference and acquisition of tail vein NIC administration (Walters *et al*, 2006; Pons *et al*, 2008). Other reports suggest that  $\alpha 7$  may contribute to NIC intake after repeated exposure as measured by a reduction in NIC:water ratio during a 2-bottle choice paradigm (Levin *et al*, 2009). However, it is not clear in these latter studies if mice favor less NIC over time, or if over time,  $\alpha 7$  knockout mice need less drug to achieve the desired effects of NIC. Our studies performed during PR indicate that local antagonism of  $\alpha 7$  nAChRs in the NAc shell and anterior cingulate cortex increased the motivation of rats to work for an intravenous NIC reinforcer. Given the low affinity of the  $\alpha 7$  nAChRs for NIC and the limited number of NIC infusions achieved during the demanding PR schedule of reinforcement, it is likely that the effect of ArIB was due to blockade of an endogenous cholinergic signal and not due to blockade of NIC action at  $\alpha 7$  nAChRs (McGehee and Role, 1995; Mansvelder *et al*, 2002; Wooltorton *et al*, 2003; Papke *et al*, 2010). Unlike the high-affinity  $\beta 2^*$ nAChRs, activation of  $\alpha 7$  nAChRs on DA terminals is not critical for NIC-stimulated striatal DA release (Champtiaux and Changeux, 2004; Salminen *et al*, 2004), and a recent microdialysis study showed that systemic administration of NIC results in elevated, persistent release of DA in the NAc shell of  $\alpha 7$  nAChR knockout mice compared with wild-type mice on the same background (Besson *et al*, 2011). Hence, ACh activity at  $\alpha 7$  nAChRs in the NAc shell and anterior cingulate cortex may curb NIC self-administration behavior through modulation of DA release. These findings have implications for individuals with schizophrenia who have a poverty of  $\alpha 7$  nAChRs in the cingulate cortex (Marutle *et al*, 2001) that may render them vulnerable to heavy tobacco use. Whereas these findings resemble recent reports showing that  $\alpha 5$  nAChR subunit knockout mice administer more NIC than their wild-type counterparts during FR schedules of reinforcement (Fowler *et al*, 2011), we make a distinction that the present observations do not reflect a decrease in the aversive effects of NIC after  $\alpha 7$  nAChR antagonism. In general, NIC becomes aversive at high doses. During PR, rats in this study received approximately one-third of the NIC that they demonstrated they were willing to ingest during the FR1 schedule of reinforcement (Table 1).

It appears from our studies that activation of  $\alpha 7$  nAChRs by the endogenous neurotransmitter ACh counters the behavioral effects of NIC at the high-affinity nAChRs. It is not clear whether most smokers achieve brain levels of NIC that are sufficient to activate the lower-affinity  $\alpha 7$  nAChRs, but recent work using a  $^{11}\text{C}$  NIC tracer estimates that heavy smokers, such as those with schizophrenia (de Leon, 1996), may achieve as high as 700 nM NIC in the brain after a smoking episode and that brain levels of NIC accumulate during the day (Rose *et al*, 2010). *In vitro* studies suggest that 1–10  $\mu\text{M}$  NIC is sufficient to bind and activate  $\alpha 7$  nAChRs and quickly after activation by NIC, nAChRs undergo a period of desensitization (Lester and Dani, 1995; McGehee *et al*, 1995; Mansvelder *et al*, 2002; Uteshev *et al*, 2002; Wooltorton *et al*, 2003; Papke *et al*, 2009). These

findings suggest that motivation to self-administer NIC could be significantly elevated by desensitization of  $\alpha 7$  nAChRs in the NAc shell or anterior cingulate cortex. This effect could be exaggerated in schizophrenic smokers who already have a deficit of  $\alpha 7$  nAChRs in the cingulate cortex (Marutle *et al*, 2001).

Recent studies have identified  $\alpha 7$  nAChRs as therapeutic targets for improving cognition, memory, and gating deficits in schizophrenia (Bodnar *et al*, 2005; Olincy *et al*, 2006; Bitner *et al*, 2007; Freedman *et al*, 2008; Rezvani *et al*, 2009; Hajos and Rogers, 2010; Marquis *et al*, 2011). This study in rats suggests that activation of  $\alpha 7$  nAChRs may have the added benefit of curbing motivation to smoke cigarettes. It is of interest to note that the US Food and Drug Administration approved smoking cessation drug, varenicline, although marketed as an  $\alpha 4\beta 2$  nAChR partial agonist, also has full agonist properties at  $\alpha 7$  nAChRs (Mihalak *et al*, 2006). The findings of this study suggest that varenicline may exert some of its therapeutic effects through activation of  $\alpha 7$  nAChRs.

In summary, these data demonstrate that reductions in  $\alpha 7$  nAChR function promote NIC use. These findings expand on previous data which suggest that the *CHRNA7* genotype is associated with tobacco dependence and identify low expression of  $\alpha 7$  nAChRs as a potential mechanism by which individuals express a shared vulnerability to tobacco use and schizophrenia. These findings further identify activation of  $\alpha 7$  nAChRs as a strategy that should be further explored for treatment of tobacco addiction.

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## DISCLOSURE

Virginia Commonwealth University holds an invention patent with DH Brunzell listed as an inventor 'Alpha7 nicotinic acetylcholine receptor (nAChR) agonism to promote smoking cessation' VCU BRU-11-008F, which is based on the findings presented within this paper. JM McIntosh has received funding from Targacept for projects unrelated to this work.

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