

# Alpha<sub>1</sub>-antitrypsin deficiency: current perspective on research, diagnosis, and management

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**Abstract:** The Alpha One International Registry (AIR), a multinational research program focused on alpha<sub>1</sub>-antitrypsin (AAT) deficiency, was formed in response to a World Health Organization recommendation. Each of the nearly 20 participating countries maintains a national registry of patients with AAT deficiency and contributes to an international database located in Malmö, Sweden. This database is designed to increase understanding of AAT deficiency. Additionally, AIR members are engaged in active, wide-ranging investigations to improve the diagnosis, monitoring, and treatment of the disease and meet biennially to exchange views and research findings. The fourth biennial meeting was held in Copenhagen, Denmark, on 2–3 June 2005. This review covers the wide range of AAT deficiency-related topics that were addressed encompassing advances in genetic characterization, risk factor identification, clinical epidemiology, inflammatory and signalling processes, therapeutic advances, and lung imaging techniques.

**Keywords:** alpha<sub>1</sub>-antitrypsin deficiency, epidemiology, polymorphism, genetics, augmentation therapy

## Introduction

Alpha<sub>1</sub>-antitrypsin (AAT) deficiency was first reported in 1963 by Carl-Bertil Laurell and Sten Eriksson (Laurell and Eriksson 1963) who noted a link between low plasma serum levels of AAT and symptoms of pulmonary emphysema. Since these first cases were described, an understanding of the biochemical mechanisms and genetic abnormalities involved has developed, and AAT deficiency is now thought to be one of the most common hereditary disorders worldwide, comparable in frequency to cystic fibrosis (WHO 1997; de Serres 2002). The most frequent clinical complications of AAT deficiency are pulmonary emphysema of the panacinar type, which may present as early as the third or fourth decade, and liver disease, which typically presents early in infancy as neonatal hepatitis with a diverse degree of liver involvement and outcome (ATSERS 2003). The development of AAT augmentation therapy in 1987 provided an option for physicians seeking to improve the course of this disease (Wewers et al 1987), and research into other potential treatments such as gene therapy is ongoing.

The Alpha One International Registry (AIR), formed in 1998, is a multinational research project involving nearly 20 countries. AIR was started to fulfill the World Health Organization (WHO) recommendation for the establishment of an international registry for AAT deficiency (WHO 1997). Including subjects identified by a standardized mechanism to create a common database, AIR can be considered central to resolving many of the unanswered questions in AAT deficiency. AIR is committed to organizing scientific meetings every 2 years to update the medical and scientific

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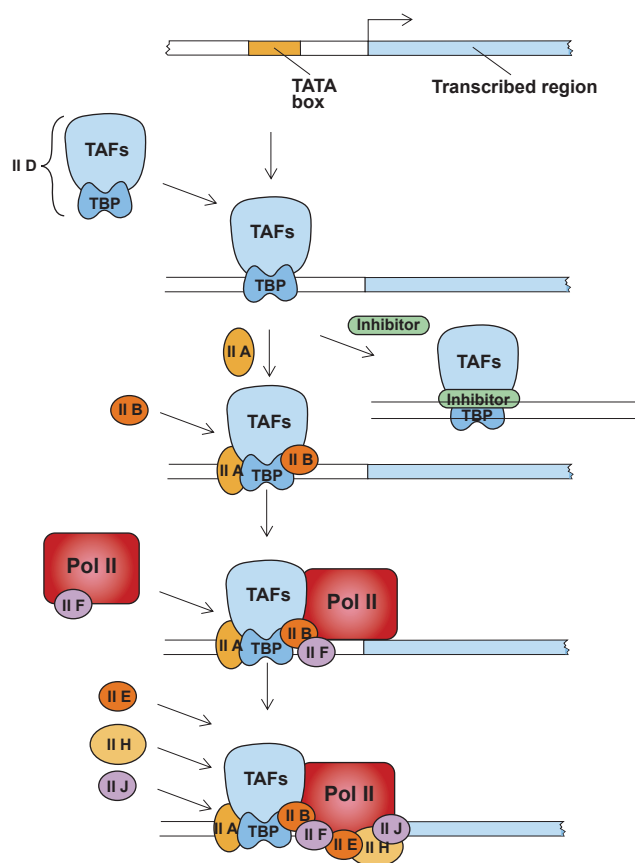
community on the progress of research in AAT deficiency. The first meeting was held in 1999 in Cernobbio-Como, Italy (Stockley 1999), and subsequent meetings have been held in Genoa, Italy, in 2001 (Luisetti et al 2002) and Barcelona, Spain, in 2003 (Navickis and Wilkes 2004). The fourth AIR meeting was held in Copenhagen, Denmark, on 2–3 June 2005 and involved 26 speakers in a concentrated 2-day scientific program. The highlights of this meeting are reported in this review.

## The Laurell Lecture

In recognition of Carl-Bertil Laurell's work on AAT deficiency, the AIR Laurell Lecture was established in 2003 to acknowledge those who have made a substantial contribution to the knowledge base in AAT deficiency. Robin W Carrell was the first to present such a lecture (Navickis and Wilkes 2004). The second Laurell Lecture was presented by Professor Noor Kalsheker of the Division of Clinical Chemistry at Queen's Medical Centre of the University of Nottingham, UK.

Professor Kalsheker discussed the gene regulation (Kalsheker et al 2002) and genetic variation of AAT and the relationship with COPD. AAT is a member of the serine proteinase inhibitor (serpin) gene family and is now also referred to as SERPINA1. There are 33 different serpin gene sequences identified in the human genome, and there are 2 discrete clusters (chromosomes 14q 32.1 and 18q 21.3), each consisting of about 11 genes. These clusters contain only serpin genes, and their composition and structure are highly conserved. The way DNA is packaged provides a higher-order structure in terms of gene regulation. It is possible to explore some of the important structural elements associated with chromatin. Some areas of DNA are exposed by the manner of DNA packing, and these chromatin landmarks provide clues to putative regulatory elements and can be assessed by DNase 1 hypersensitivity sites. A region upstream of the AAT gene has been identified that is absolutely critical for expression of the whole gene cluster. Within this 8-kb region, there is 2.3-kb DNA, the locus control region (LCR), which is critical for expression of the entire locus. The LCR controls the whole cluster, but there is also local control within the gene cluster.

Transcription is not a simple process and involves a number of proteins combining to promote gene expression (Figure 1). Specifically, in AAT expression, 2 main promoters have been found: the major hepatocyte promoter driving expression in the liver and, further upstream, the monocyte promoter that drives expression in other cell types,



**Figure 1** Assembly of general transcription factors required for initiation of transcription. TFIID, a complex of transcription activating factors (TAF) and TATA-binding protein (TBP) binds specifically to a TATA sequence. The presence of a transcriptional inhibitor can block the transcription process at this step. Next, additional transcription factors IIA and IIB assemble into the complex. RNA polymerase II (Pol II), escorted by transcription factor IIF, assemble into the complex along with additional transcription factors. Transcription factor IIH, in the presence of ATP, phosphorylates Pol II, releases the polymerase and initiates transcription. Adapted with permission from: Lodish H, Baltimore D, Berk A, et al. 1995. *Transcriptional control of gene expression*. In Lodish H, Baltimore D, Berk A, et al (eds). *Molecular cell biology*. 3rd ed. New York: WH Freeman and Company/Worth Publishers. Figure 11-53, Chapter 11, p 454.

eg, lung tissue. These promoters are distinct and work via different mechanisms. Promoters regulate basal expression in the steady state, while elements in the DNA known as enhancers modulate expression during inflammation. Several sites of local transcriptional control have been identified upstream of the hepatocyte transcription initiation site, including binding sites for hepatocyte nuclear factor-1 $\alpha$  (HNF-1 $\alpha$ ) and HNF4. Humoral regulation is mediated by cytokines, particularly interleukin-6 (IL-6) and oncostatin-M. These cytokines work via a 3' enhancer downstream of the hepatocyte promoter, and both oncostatin-M and IL-6 work via different response elements. Therefore, there are discrete binding sites providing distinct pathways

for regulating the acute phase response via different cytokines.

The promoter for other cell types is regulated by a different group of transcription factors known as the Sp1 family. Here it has been suggested that RNA stability also influences expression, as some transcripts may be more stable than others. In the liver, there is only one transcript of AAT; however, in other cell types, there are a number of alternative transcripts. Although most AAT is manufactured in the liver, the tissue in the lung has a huge capacity to make AAT; with appropriate stimuli, expression can be increased approximately 100-fold (Boutten et al 1998). Indeed, it has been estimated that, under inflammatory conditions, the lung could produce as much as one third of the amount of AAT produced by the liver.

Work on identifying molecular variants of AAT and how much genetic variation there is in the AAT gene has recently been undertaken. Using single nucleotide polymorphisms (SNPs) as markers for disease, SNP haplotypes can reveal information on genetic diversity and disease association. A large, case-controlled study of nearly 2000 subjects using both epidemiologic and genetic techniques to correct for confounders such as age and gender assigned individual data to haplotype on a probability basis. The study revealed that haplotypes of AAT, independently of PiZZ, confer an equal or greater risk of COPD than the PiZZ phenotype (Chappell et al 2004).

## Epidemiology and detection

Screening can have important psychological consequences both on parents and the child. Experience in Sweden (Sveger et al 1999) has shown that the mothers of AAT-deficient children suffered increased anxiety compared with controls. Insufficient counselling at the time of identification was reported by the majority of parents, which reinforces the importance of patient–parent education. There were, however, some beneficial effects of screening. Half of the AAT-deficient individuals thought that the knowledge of their high-risk condition had affected their lives, particularly their awareness of the dangers of smoking and environmental pollution. The majority, 88%, knew that they should avoid smoking to protect their lungs (Sveger et al 1997). Indeed, the majority of those who were identified through screening and their parents would recommend screening for AAT deficiency.

Screening of blood donors revealed that the prevalence of AAT deficiency in the US was at least 1 in 2750, which equates to more than 80000 living patients in the US;

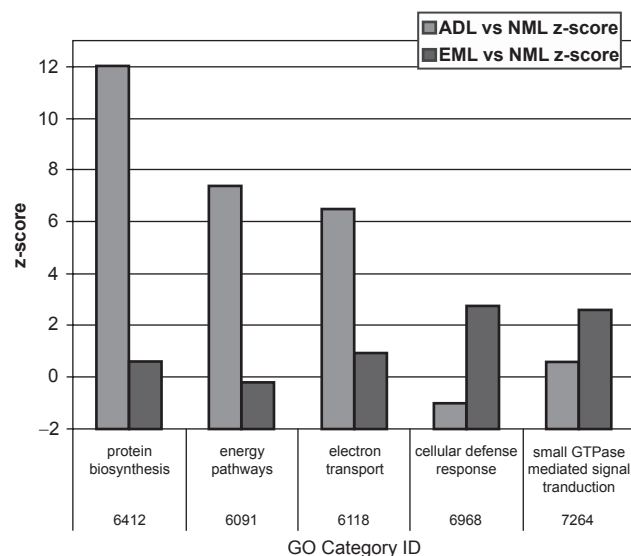
however, only a few thousand patients are ever diagnosed with AAT deficiency and only 60%–70% of these patients receive augmentation therapy. To improve the efficiency and accuracy of AAT deficiency testing, a central laboratory approach has been adopted, with immunoassay, phenotyping, and genotyping available. A similar approach has also been established outside the US. However, despite clear recommendations from the WHO (WHO 1997) and an ATS–ERS working party (ATSERS 2003), physicians' practices are changing minimally and slowly, and many physicians do not believe that they have undetected patients in their practices. Indeed, many physicians, as well as patients with COPD, remain completely unaware of the disease.

Recently, an approach of testing AAT concentration initially in serum followed by further testing for PiZZ and PiSZ genotypes in patients with AAT levels below 110mg/dL resulted as the most cost-effective screening method of COPD patients (De La Roza et al 2005). This approach reduced the cost per sample by about 30% (€13.43 vs €19.41 [US\$16.35 vs US\$23.64]) and the cost per PiZZ individual detected also by about 30% (€3589 vs €5189 [US\$4370 vs US\$6319]) compared with screening all samples for genotype (De La Roza et al 2005).

Italy is one of the European countries least affected by AAT deficiency. Based on calculated allele frequency, approximately 3000 individuals are thought to have the PiZZ phenotype and a further 22000 the PiSZ phenotype, although hardly any are diagnosed. Registries show a prevalence of rare genotypes between 1.7% and 5.7%; however, in Italy, this number appears to be closer to 15% in severe AAT deficiency, the highest recorded thus far worldwide (Ferrarotti et al 2005). AAT serum levels are slightly lower in individuals with rare genotypes compared with PiZZ individuals (23 vs 26 mg/dL). Smoking also appears to be more common in those with rare genotypes, and COPD is the most common manifestation (87%), with 9% exhibiting both COPD and liver disease and 4% exhibiting liver disease alone. In conclusion, rare AAT-deficiency variants may be more frequent in areas characterized by a low prevalence of common AAT-deficiency variants, but these variants are just not detected.

## Emphysema in AAT

Results of microarray techniques in human emphysematous tissue have been reported in the literature (Golpon et al 2004) (Figure 2). Severely emphysematous tissue is characterized by an abundance of transcripts encoding proteins involved



**Figure 2** Gene ontology (GO) categories that have a superabundance of differentially expressed genes in either “usual” emphysematous lung tissue (EML) or AAT-deficiency-related emphysematous lung tissue (ADL) when compared with normal lung tissue (NML). Reprinted from Golpon HA, Coldren CD, Zamora MR, et al. 2004. Emphysema lung tissue gene expression profiling. *Am J Respir Cell Mol Biol*, 31:595–600. Copyright © 2004, with permission from American Thoracic Society.

in inflammation, immune responses, and proteolysis. When compared with “usual” emphysematous tissue, AAT-deficiency-related emphysema has a similar gene expression profile; however, some significant differences exist. Interestingly, the protease caspase 9 is upregulated in AAT deficiency, whereas normal levels are retained in “usual” emphysema. Conversely, cathepsin B is upregulated in “usual” emphysema and remains normal in AAT deficiency. There are further differences between AAT deficiency and “usual” emphysema related to protein biosynthesis, energy pathways, and possibly electron transfer and cellular defense response. These differences suggest that in AAT deficiency, there is impairment in both protein and energy metabolism.

Several components affect the reaction to cigarette smoke. Microarray analysis in a murine model of cigarette smoke exposure has revealed that early lung response to smoke exposure comprises tissue remodeling, antioxidative response, and fatty acid synthesis and creates a mild inflammatory response. Smoke induces a limited but significant number of hypoxia-responsive genes. All of these genes are associated with the hypoxia-responsive element (HRE) in the gene promoters and are activated by hypoxia-inducible factor (HIF) transcription factors. Therefore, the hypoxia–smoke signature could be targeted by anti-HIF drugs. In addition, different strains of mice have a different gene expression response to smoke exposure with a

functional correlation between genotype and phenotype. This work suggests that some genotypes are more susceptible to the effects of hypoxia–smoke.

Clinical observations in siblings with the same PiZZ genotype and similar smoking history but different levels of pulmonary function have suggested that there may be some additional factors influencing the manifestation of emphysema. As almost all smoking AAT-deficient patients will develop emphysema between the third and fifth decades of life, the observation that some do not has aroused interest. Other genetic factors may be involved in the development of pulmonary emphysema in AAT-deficient patients. This is supported in the literature by studies showing an increased prevalence of COPD in relatives of early-onset COPD cases without AAT deficiency compared with relatives of controls. In addition, a heritability of FEV<sub>1</sub> approximating 35% suggests that protective or modifier genes may play a role (Joost et al 2002; Silverman et al 2002; Palmer, Celedón, et al 2003). In order to test this hypothesis, a study using the AIR database is currently underway with 96 discordant sibling pairs. It has been calculated that this number of subjects should be able to detect an allele protective for the development of emphysema; however, it will depend on the penetration of the allele and the limits of detection (LOD) scores of the marker(s).

## Liver disease in AAT

The characteristic finding in the liver associated with PiZZ AAT deficiency is the accumulation of AAT within hepatocytes as polymers. This manifests as intracytoplasmic inclusion bodies formed at a basal rate in periportal hepatocytes. Fever further induces polymerization rate of type ZZ AAT. In children, the clinical manifestation of this disorder is neonatal hepatitis syndrome with cholestasis. In most cases, spontaneous clinical regression occurs before the age of 6 months, but in 20%–30% of cases with cholestasis, deterioration toward cirrhosis and liver failure occurs. In adults over age 45 years, a wide spectrum of liver disease may develop ranging between mild cirrhosis and hepatocellular carcinoma. Interestingly, significant lung and liver disease in adults rarely coexist in the same individual.

AAT deficiency is the most common metabolic–genetic indication for liver transplantation in children (Francavilla et al 2000). The majority of cases of PiZZ liver disease present neonatally with prolonged conjugated jaundice, pale stools, and dark urine. From antenatal experience, there do not appear to be obstetric problems or an increased incidence of congenital abnormalities; however, in fetuses aborted at



17–20 weeks' gestation with the PiZZ phenotype, 4 out of 5 showed a build-up of AAT in the liver (Malone et al 1989). It has been shown that liver involvement occurs in only 17% of PiZZ individuals after 20 years (Sveger and Eriksson 1995); however, it is not currently possible to predict which individuals will develop liver disease. Of those who develop neonatal hepatitis syndrome, 22% do not develop chronic liver disease, while 50% develop mild liver disease and the remaining 28% develop severe liver disease necessitating liver transplantation. A recent study investigating the relationship between phenotype and manifestation of liver disease revealed that there was also a significant degree of discordance between sibling pairs regarding the severity of liver disease (Hinds et al 2004).

Although PiZZ liver disease is still underdiagnosed in adults, it is being increasingly recognized in those with “cryptogenic cirrhosis”, alcoholic liver disease, and hepatocellular carcinoma and in those receiving liver transplantation. Indeed, the possible relationship with comorbid conditions such as hepatitis B and C, autoimmune hepatitis, and hemochromatosis has given rise to the “second-hit” theory, where AAT deficiency could make the associated pathology more severe.

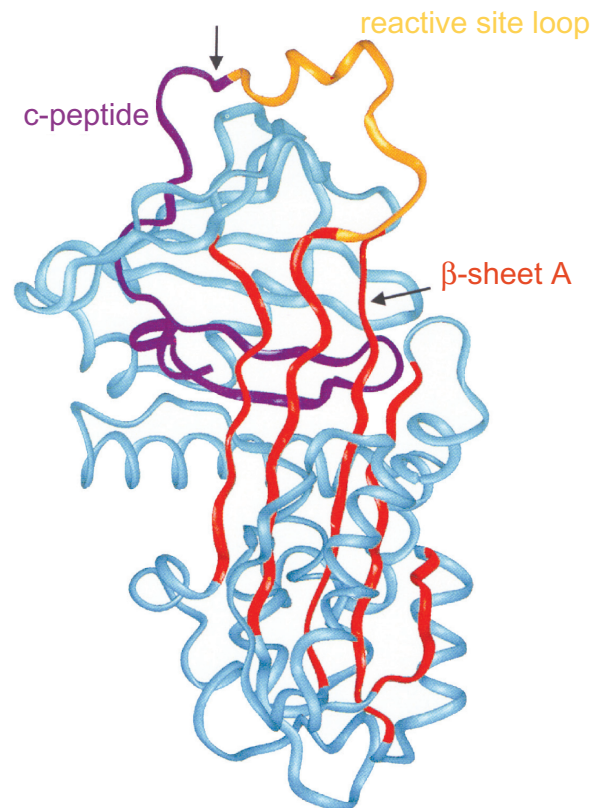
Polymerization is identified as the main pathological event occurring in the liver. Disappointingly, very few papers have been published in the last few years addressing either liver disease or cell biology in AAT deficiency, especially considering that such research could shed light on a number of conditions. In the area of liver cell biology, using differential antibody binding has shown that the AAT polymer conformations formed by the Z, S<sub>hiyama</sub>, and M<sub>malton</sub> phenotypes are different (Janciauskiene et al 2004). Another study showed that by using point mutation within a surface hydrophobic cavity at a particular site, polymer formation could be reduced in the Z-type AAT molecule (Parfrey et al 2003). Investigation of the polymerization process itself has revealed a kinetic lag phase during which short oligomers are formed prior to the formation of heterogeneous mixtures of longer polymers (Purkayastha et al 2005). These and other studies have increased overall understanding of protein conformation; however, this needs to be linked to pathogenesis and clinical outcomes.

One exciting development is in the area of protein processing. If small compounds that are able to act in the liver cell could prevent the process of polymerization, biochemical functional ZZ protein would be generated for secretion into the peripheral circulation. It has been shown in a tissue culture system that endoplasmic reticulum

mannosidase I (ERManI) can contribute to the preferential selection of misfolded AAT monomers for proteasomal degradation (Hosokawa et al 2003; Wu et al 2003), which could provide the basis for a treatment. Six of the key genes involved in Z-type AAT degradation have also been identified by a group at the University of Nevada, providing further potential therapeutic targets (Palmer, Kruse, et al 2003). Lawless et al demonstrated the “second-hit” phenomenon in vitro; activation of the unfolded protein response pathway requires an additional insult such as an increase in temperature, adding to understanding of the pathogenesis of AAT-deficiency-related liver disease (Lawless et al 2004).

## Lung disease

AAT is the major serpin in the human circulation with a wide spectrum of antiproteinase activity, including neutrophil elastase, proteinase 3, cathepsin G, and plasminogen activator. AAT is an acute phase protein, and plasma concentrations increase by more than 2mg/mL during inflammation, infections, cancer, liver disease, or pregnancy (Figure 3). AAT has an important role in the



**Figure 3** Structure of AAT: 3  $\beta$ -sheets, 8  $\alpha$ -helices. Reprinted from Janciauskiene S. 2001. Conformational properties of serine proteinase inhibitors (serpins) confer multiple pathophysiological roles. *Biochim Biophys Acta*, 1535:221–35. Copyright © 2001, with permission from Elsevier.

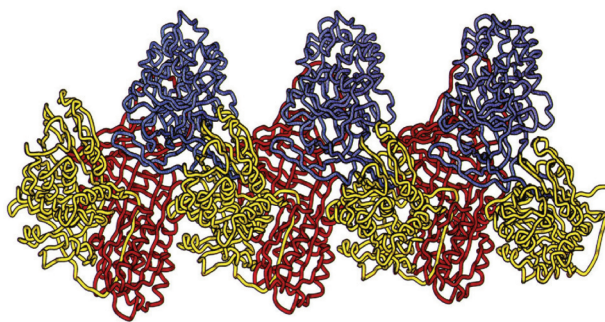
resolution of inflammation, the inhibition of the immune response *in vivo* and *in vitro*, and the stimulation of tissue repair and matrix production. AAT has been shown to block neutrophil activation by inhibiting adhesion to fibronectin. In addition, AAT inhibits nasal interleukin-8 (IL-8) release in response to challenge with lipopolysaccharide (LPS), while *in vitro* AAT has been shown to inhibit the release of IL-8 by neutrophils (Nita et al 2005). It has also been suggested that AAT has antibacterial activities as well as anti-inflammatory effects. AAT has also been shown to inhibit the LPS-induced release of TNF $\alpha$ , IL-1 $\beta$ , and monocyte chemoattractant protein (MCP-1), while conversely the release of IL-10, an anti-inflammatory cytokine, is increased after 18 hours. However, after only 2 hours, the release of both TNF $\alpha$  and IL-8 is significantly increased in the presence of AAT. Therefore, it appears that AAT enhances the early response to LPS but acts as a suppressor in the long term. AAT might be extremely important, not only for tissue protection against protease damage, but also for the regulation of cellular responses to inflammatory stimuli.

The major biological role of AAT is to inhibit neutrophil elastase, an enzyme that degrades elastin as well as basement membrane and other matrix components (ATSERS 2003). It remains to be determined how lung development and function is affected by AAT deficiency. Elastin drives septation and the formation of alveoli and at birth in normal-term infants; there are 150 million alveoli, which increases to an average of 400 million in adults. In many ways, the development of the lung in the antitrypsin deficiency state is normal, demonstrating that at 6 years of age, FEV<sub>1</sub> is close to 100% predicted in both PiZZ and PiSZ phenotypes. Further investigation at age 18 years also revealed that phenotype made no contribution to differences in FEV<sub>1</sub>, residual volume (RV), or total lung capacity (TLC); however, a significant difference in carbon monoxide diffusion was revealed ( $p=0.01$ ). In order to explain the apparently normal lung function in PiZZ individuals, factors that may compensate for the protease–protease inhibitor imbalance are sought. Increases in alpha-2 macroglobulin (A2M) levels at ages 8 and 18 years and secretory leukocyte protease inhibitor at age 26 years accompanied by decreases in elastase–AAT complex and neutrophil activity at ages 18 and 26 years were found compared with normal controls. It is hypothesized that a corresponding increase in elastase–A2M complex is likely and that this would downregulate neutrophil activity, providing a compensatory mechanism (Sveger et al 1998).

Conformational changes in the Z-type AAT molecule can lead to spontaneous polymerization, with long chains of AAT molecules formed. These chains can be seen under electron microscopy in the endoplasmic reticulum (ER) of hepatocytes in AAT-deficient individuals with liver disease. The Z mutation destabilizes a key beta-sheet in the AAT molecule, allowing the reactive loop of a second AAT molecule to insert itself and form a dimer. This highly precise, specific linkage results in reactive-loop beta-sheet polymers being formed of AAT (Figure 4). The mechanisms of emphysema in PiZZ individuals consist of 2 main factors: the activity of Z-type AAT is reduced in comparison with M-type AAT, and reduced levels of AAT reaching the lungs allow unopposed neutrophil elastase activity, thereby increasing proteolytic destruction. It is also important to note that the inflammatory cell profile is different in PiZZ emphysema compared with emphysema in PiMM individuals, that the AAT–elastase complex is chemotactic to neutrophils, and that both the AAT–elastase complex and AAT polymers share epitopes.

Polymerization occurs in the lungs of PiZZ individuals and contributes to inflammation. It was observed that polymers are co-localized with neutrophils in alveolar walls; further investigation revealed that the presence of AAT polymers acts as a chemoattractant to neutrophils. Conformational transition of AAT from monomer to polymer converts an anti-inflammatory molecule into a pro-inflammatory molecule, providing an additional mechanism for the development of lung disease.

Neutrophil elastase causes direct damage to epithelial cells as well as hyperplasia of mucus-producing cells, enhancing mucus secretion. It also has effects on the host defense by impairing ciliary clearance, cleaving complement and immunoglobulins, and impairing the killing of microorganisms. The problem in AAT deficiency is not too little antitrypsin but too



**Figure 4** Loop-sheet polymer of AAT molecules. Reproduced from Lomas DA, Mahadeva R. 2002.  $\alpha_1$ -Antitrypsin polymerization and the serpinopathies: pathobiology and prospects for therapy. *J Clin Invest*, 110:1585–90. Copyright © 2002, with permission from American Society for Clinical Investigation.

much in the wrong place. The accumulation of misfolded Z-type AAT in the endoplasmic reticulum (ER) causes ER stress. Cells respond to ER stress by inducing the expression of novel genes whose products are designed to restore proper ER function but can also be pro-inflammatory. The ER stress pathways, including the unfolded protein response, ER overload, and apoptosis, appear to increase IL-8 production and neutrophil chemoattraction. AAT-deficiency-related lung disease clearly is not due purely to a lack of antiprotease on the respiratory epithelial surface.

It can be seen that in sputum, the activity of elastase is greater in PiZZ patients, as would be expected. However, similar experiments also reveal higher levels of tumor necrosis factor-alpha (TNF $\alpha$ ) and leukotriene B4 (LTB4) in AAT-deficient patients, while there is no significant increase in IL-1 $\beta$  or IL-8 levels compared with physiologically matched controls. Sputum samples from PiZZ individuals also show greater chemotactic activity compared with PiMM samples. Investigations have shown that LTB4 provides a significant proportion of the chemotactic activity associated with AAT deficiency. This suggests that elastase may be driving macrophages to produce LTB4 as a mechanism for neutrophil recruitment.

Antimicrobial peptides (AMPs) are produced by epithelial cells, neutrophils, and macrophages and, in addition to exerting an *in vitro* antimicrobial effect, they have also been shown to stimulate the epithelium, angiogenesis, and both the innate and adaptive immune systems. It has been shown that levels of the AMPs, also known as  $\alpha$ -defensins, are higher in those with AAT-deficient lung disease (Spencer et al 2004). Exact functions of AMPs are not yet clear, but they could be acting as inflammatory mediators and further research was needed.

There is considerable interest in discovering an easily measurable biomarker for pulmonary emphysema in humans, and work on biomarkers of elastin degradation in body fluids is undergoing a revival. The problem with elastin peptides is that they are hard to evaluate and characterize and thus special attention has been focused on desmosines. This is an unusual, tetrafunctional, pyridinium ring-containing amino acid involved in elastin cross-linking that is unique to mature, cross-linked elastin. A number of studies show a relationship between excretion of desmosines in the urine and COPD (Stone et al 1995; Gottlieb et al 1996). However, plasma is thought to be a better fluid for the determination of desmosine concentration, and good correlation between urine and

plasma concentrations has been demonstrated (Annovazzi et al 2004). It is reported that in 12 patients with emphysema associated with PiZZ AAT deficiency assessed over 7 weeks, there was a good correlation between lung diffusion capacity (% predicted K<sub>CO</sub>) and plasma desmosine (p<0.01) and urine desmosine (p<0.05) levels (Stolk et al 2005). Further investigation of the usefulness of desmosine as a biomarker for emphysema is ongoing.

## Imaging

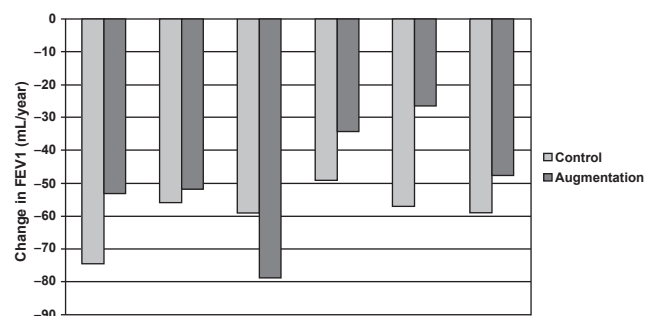
COPD has been defined as airflow obstruction that is not fully reversible (Pauwels et al 2001). FEV<sub>1</sub> is a nonspecific measure that fails to identify the relative contribution of the component parts of the COPD. This has led to the development of computed tomography (CT) lung densitometry because it is an extremely good tool for identifying changes in the lung, which can provide objective quantification of parenchymal changes. Emphysema involves a reduction in lung tissue density, and this is often shown by an increase in the voxel index, representing a shift in the voxel distribution histogram to more low-density voxels. CT densitometry has been validated in a number of studies and, importantly, the relation of progression in CT to deterioration in health status has been assessed in a longitudinal study (Stolk et al 2003). It has, however, been noted that although FEV<sub>1</sub> and K<sub>CO</sub> correlate well in a large patient cohort, some patients are discordant for these measures. It has therefore been hypothesized that this discordance represents differences in the distribution of emphysema within the lung. A recent study showed that the emphysema distribution in PiZZ patients is more heterogeneous than conventional basal predominance descriptions indicate (Parr et al 2004a). It was also found that on average, those with basal emphysema have lower FEV<sub>1</sub> scores, while those with apical predominant disease have lower K<sub>CO</sub> scores. Using a single physiologic index to assess emphysema may introduce systematic bias depending on where the emphysema is located.

Investigation of the validation of CT data over time revealed a number of potential factors influencing these types of measurements, including variability between scanners and the importance of calibration (Parr et al 2004). Standardized protocols are needed to improve agreement between different centers. Further validation of CT as a measure of disease progression in 34 PiZZ individuals characterized annually over 3 years confirmed a correlation between annual change in CT densitometry and FEV<sub>1</sub>. Recent advances in magnetic resonance imaging (MRI) of the lung using hyperpolarized

helium-3 ( $^3\text{He}$ ) have been reported. Conventional proton MRI in the lung could be problematic due to the large number of air–tissue interfaces giving rise to susceptibility gradients and the lower proton density of the lungs leading to a weak MRI signal. However, hyperpolarized  $^3\text{He}$  can also produce a magnetic resonance signal, and this nontoxic gas can be inhaled for lung imaging. Images taken from lungs of AAT-deficient, “usual” emphysema, and healthy control subjects showed that distribution of the gas was not as homogeneous in the lungs of the AAT-deficient patient as in the lungs of a healthy control. The lower lobe predominance of emphysema in the AAT-deficient patient was also noticeable compared with a smoke-induced COPD patient where defects appeared more evenly spread throughout the lung. Good correlation between percent ventilation defect as measured from  $^3\text{He}$  MRI and lung function tests has been shown. In such studies, the apparent diffusion coefficient (ADC) varies according to the size of the alveolus and expresses the mean area–velocity of the nuclei in a voxel. Calculating the ADC can provide a map of diffusion gradients throughout the lung. In a longitudinal study comparing ADC maps of the lung obtained via  $^3\text{He}$  MRI in 8 PiZZ and 8 PiMM patients with emphysema and 8 healthy volunteers, it was found that there was a significant difference in mean ADC between PiZZ patients compared with PiMM patients. However, there was only a minimal, nonsignificant difference in carbon monoxide diffusing capacity (DLCO) between the 2 groups. This suggests that measurement of ADC with hyperpolarized  $^3\text{He}$  diffusion MRI is a sensitive method for the assessment of emphysema and may make the detection and monitoring of early emphysema and small changes possible.

## Treatment

A meta-analysis of clinical trials investigating the efficacy of augmentation therapy in AAT deficiency pulmonary disease was presented during the meeting (Figure 5). Five studies were included in the meta-analysis (Seersholm et al 1997; AATDRSG 1998; Dirksen et al 1999; Wencker et al 2001; Chapman et al 2005) with UK registry data used for a comparison with untreated patients. The results suggested a reduced rate of FEV<sub>1</sub> decline in AAT-deficient individuals, with baseline FEV<sub>1</sub> being an important predictor of benefit. There were limitations in this analysis, including the lack of randomized controlled trials, variable dosing schedules of the augmentation therapy, and an increasing belief that FEV<sub>1</sub> is not necessarily the best endpoint to measure.



**Figure 5** Rate of FEV<sub>1</sub> decline: augmentation vs control (Seersholm et al 1997; AATDRSG 1998; Dirksen et al 1999; Wencker et al 2001; Chapman et al 2005).

It is known that an AAT infusion of 60 mg/kg every 7 days can maintain serum total AAT concentrations higher than 0.5 g/L during the interval between doses (ATSERS 2003). The inconvenience of life-long weekly infusions of AAT has led to the suggestion that extended dosage regimens (every 14, 21, or 28 days) may be preferable. Population pharmacokinetic studies could assist in determining the biochemical efficacy of prolonged regimens. Using a Monte-Carlo simulation, a number of doses and frequencies were tested to see if total serum concentrations of AAT higher than 0.5 g/L could be maintained throughout the dosing interval. Preliminary results show that AAT dosage regimens every 2 or 3 weeks may be adequate, but to extend the interval between doses to 21 days requires a large increase in the dose of AAT administered in order to maintain total serum AAT concentrations above the recommended target.

AAT augmentation therapy appears to be associated with a marked reduction in the frequency and severity of lung infections (Lieberman 2000) as well as a reduction in the loss of lung tissue as measured by CT scanning (Dirksen et al 1999) compared with untreated patients. As a result of these observations, the EXACTLIE (Exacerbations and CT Scanning in Laurell’s Syndrome as Investigational Endpoints) trial has been designed. This is a multicenter, randomized, placebo-controlled, double-blind, exploratory trial with parallel groups that will investigate the progression of disease in 80 patients with AAT deficiency treated with AAT or placebo for 2 years. The efficacy of weekly intravenous AAT (Prolastin®; Talecris, Clayton, NC, USA) infusions in AAT-deficient patients with regard to lung density and exacerbations compared with placebo will also be assessed. Since October 2003, 76 patients have been enrolled in the study. To date, few serious adverse events have occurred and none were thought to be related to the study drug.



Gene therapy is still considered to be of potential use. Currently the approach of an intramuscular injection of the AAT gene using a recombinant adeno-associated virus (rAAV) vector, AAV-2, is being studied. The long-term, high-level expression of AAT from muscle in mice has been previously demonstrated (Song et al 1998). A phase I, single-site, open-label, single-dose safety study is currently underway involving 4 cohorts of 3 subjects with dose escalation between cohorts. Preliminary findings indicate that the treatment is safe with no serious vector-related adverse effects and no evidence of cell-mediated immunity; however, significant changes in serum levels of muscle-derived AAT have yet to be detected. Future directions for research may include the use of different vectors, such as AAV-1, which may increase the level of AAT expression. Another AAV vector, AAV-8, shows promise in treating liver disease because it shows great potential in transducing hepatocytes, not only for secreting AAT, but also for transducing a greater number of hepatocytes compared with other AAV vectors.

## Conclusion

Currently available intravenous replacement therapy is aiming only at the prevention of lung disease progression. It has no effect on liver disease and does not induce repair of lung injury. While few new treatments for AAT deficiency are on the near horizon (such as inhaled AAT or synthetic oral elastase inhibitors), understanding the biochemistry of PiZZ AAT and its downstream effects may lead to new concepts of treatment. For example, trying to prevent the polymerization of PiZZ AAT by inserting small compounds into a hydrophobic cavity bounded by the D and E helices in AAT would allow release of functional active PiZZ AAT to the circulation and prevent the development or progression of cirrhosis. Likewise, at the level of cellular systems, small compounds that release type ZZ AAT from hepatocytes are currently being explored as chaperone-based therapy. Modifier genes that clarify the difference in disease phenotype of sib pairs with equal smoking history and equal genotype could provide insight into new therapeutic concepts and the clinical epidemiology of individuals who will or will not develop lung and/or liver disease. Finally, the aim to switch on repair mechanisms in the lung is currently being studied in a proof-of-concept study in the PiZZ population with emphysema.

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