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# Baicalein Inhibits Proliferation of Myeloma U266 Cells by Downregulating IKZF1 and IKZF3

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**Background:** Baicalein can suppress the growth of multiple tumors, including multiple myeloma (MM), but the exact mechanisms remains elusive. Here, we investigated the exact mechanisms of the anti-myeloma activity of baicalein.





**Material/Methods:** Proliferation and rates of apoptosis of myeloma U266 cells exposed to baicalein were detected. Microarray, polymerase chain reaction (PCR) assay, and Western blot analysis were applied to evaluate the mRNA and protein levels of associated molecules. Survival analysis of IKZF1 and IKZF3 was conducted as well.

**Results:** Baicalein suppressed the growth and stimulated apoptosis of myeloma U266 cells in a dose- and time-dependent way. Baicalein increased mRNA level of CRBN, and further studies suggested that baicalein downregulated IKZF1 and IKZF3 on a post-transcriptional level. Although the differences did not reach statistical significance, IKZF1 and IKZF3 were associated with poor overall survival.

**Conclusions:** Our results suggest that baicalein suppresses the growth and promotes apoptosis of myeloma U266 cells through downregulating IKZF1 and IKZF3. Baicalein increased the expression of CRBN, which might exert a reversion effect on resistance of IMiDs. MM patients in IKZF1 and IKZF3 low-expression groups had better overall survival than those in IKZF1 and IKZF3 high-expression groups. Thus, the present results indicate that baicalein might be a therapeutic choice for targeting IKZF1 and IKZF3.

**MeSH Keywords:** **Cell Proliferation • Multiple Myeloma • Scutellaria baicalensis**

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## Background

Multiple myeloma (MM) is the second most common hematological malignancy; it is characterized by the production of monoclonal M protein of malignant plasma cells in bone marrow [1]. The introduction of IMiDs such as thalidomide, lenalidomide, and pomalidomide has significantly improved the overall survival of MM patients [2–5]. However, the prognosis of MM patients is dismal due to recurrent disease and drug-resistance of IMiDs [6,7]. Cereblon (CRBN), an ubiquitously expressed protein, is a component of the cullin ring E3 ubiquitin ligase complex CUL4-RBX1-DDB1 (CRL4). Recent studies demonstrated that CRBN is the primary target of thalidomide and is required for the anti-myeloma activity and teratogenicity of IMiDs. The binding of IMiDs to cereblon inhibited the function of CRL4 and induced the CRBN-dependent proteasomal degradation of 2 lymphoid transcription factors: IKZF1 and IKZF3. The loss of these 2 proteins inhibited the expression of interferon regulatory factor 4 (IRF4) and Myc in MM cells and increased the production of interleukin-2 by T cells, leading to the anti-myeloma and immune modulation activity of IMiDs. Other studies suggested that high-level CRBN expression was associated with better clinical outcome of MM patients, and the resistance of IMiDs was accompanied by downregulation of CRBN [8–21].

Our previous studies demonstrated that baicalein, a component of the traditional Chinese medical formula Huang-Lian-Jie-Du-Tang (HLJDT), can suppress the growth of MM cells through downregulating the expression of IL-6 and XIAP [22–24]. Administration of thalidomide plus dexamethasone (TD) regimen with HLJDT as maintenance therapy improved the response rates and progression-free survival of patients with MM [25]. The exact mechanism of the synergistic effect of TD regimen and HLJDT (or baicalein) has not been clearly elucidated. In the present study, we prove that baicalein inhibits the growth and increases rates of apoptosis of myeloma cells through CRBN-dependent downregulation of IKZF1 and IKZF3.

## Material and Methods

### Baicalein and antibodies of CRBN, IKZF1, and IKZF3

Baicalein (purity, 98%) was purchased from Sigma-Aldrich (USA) and dissolved in dimethylsulphoxide (DMSO) (Shanghai Sidande Biotechnology Co. Shanghai, China). The final concentration of DMSO in all experiments was <0.1%. Monoclonal anti-CRBN, anti-IKZF1, and IKZF3 were purchased from Sigma-Aldrich (USA).

### Cell culture

Human MM U266 cells were maintained at 37°C and 5% CO<sub>2</sub> in RPMI-1640 plus 10% fetal bovine serum (FBS). The seeding

medium was replaced with fresh medium every other day. Once 80% of the bottle wall was covered, myeloma cells were passed.

### Cell proliferation assay

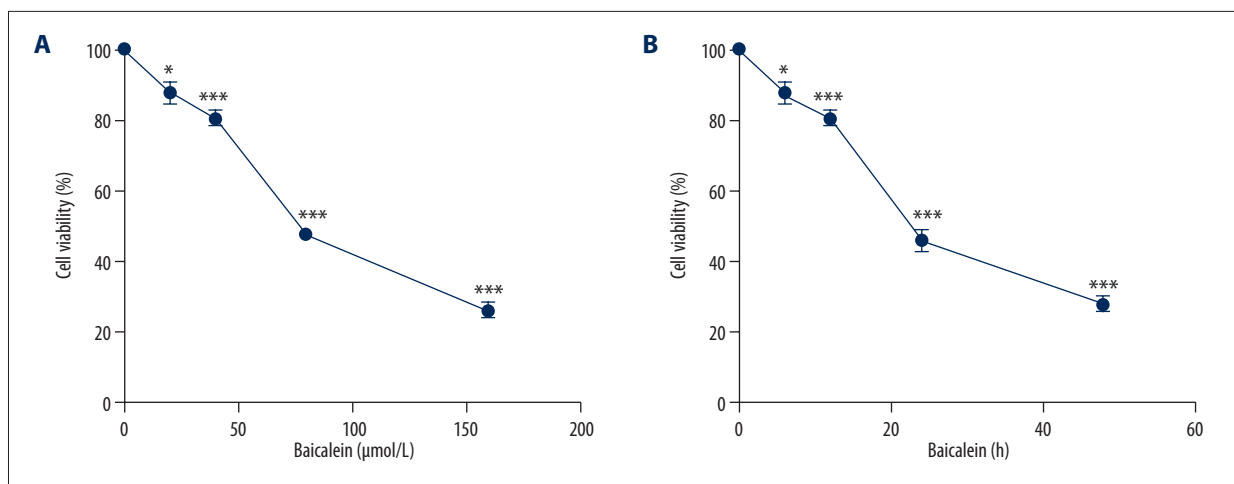
We used the Cell Counting Kit-8 (CCK-8), bought from Dojindo Laboratories Kumamoto, Japan), to assess the cell viability of U266 cells that were exposed to increasing concentrations of baicalein (0, 20, 40, 80 and 160 µmol/l) for set times (0, 6, 12, 24, and 48 h) in triplicate. About 5000 U266 cells were seeded in each 96-well plate. Groups exposed to dilution vehicle were regarded as controls. Once treatment ended, we added 10 µl CCK-8 reagents to each well. Then, myeloma cells were incubated for another 4 h and the value of optical density (OD) was measured at 450 nm using an enzyme-labeled instrument (Varian, Palo Alto, CA, USA). Relative cell viability (%)=[(As–Ab)/(Ac–Ab)], where As, Ab, and Ac denoted the absorbance of experimental, blank, and control groups, respectively.

### Detection of cell apoptosis

Myeloma U266 cells were exposed to 40 µmol/l baicalein for 30 h, and then cell apoptosis was analyzed using the Annexin V–FITC/PI Apoptosis Detection Kit (BD Biosciences, San Jose, CA, USA). About 5×10<sup>5</sup>/ml U266 cells were collected and then washed with cold PBS 2 times, after which U266 cells were resuspended in 500 µl 1×binding buffer. Subsequently, we mixed these cells with 5 µl annexin V – FITC and 5 µl of propidium iodide (PI) in the dark for 10 min at 32°C, then flow cytometry analysis was performed within 1 h to detect cell apoptosis (Annexin V-positive and PI-negative cells).

### Microarray analysis

Total RNAs of myeloma U266 cells that were exposed to 70 µmol/l baicalein for 30 h in triplicate and normal control that were exposed to dilution vehicle were isolated using Trizol reagent (Invitrogen; Carlsbad, CA) and purified with the RNeasy Mini Kit (Qiagen) according to the manufacturer's instructions. cDNA synthesis, biotin-labeled target synthesis, HTA\_2.0 Gene Chip arrays (Santa Clara, CA) hybridization, staining, and scanning were performed following the manufacturer's recommendations. The expression value was processed using the Robust Multiarray Average (RMA) algorithm, which included background correction, normalization, and summarization of expression values [26]. We applied the Significant Analysis of Microarrays (SAM) [27] algorithm to identify genes with statistically significant changes in expression between the baicalein-treated group and control group, and differently expressed genes were determined based on the *t* test (*p*<0.05) and fold change (≥1.5).



**Figure 1.** Baicalein inhibits the growth of myeloma U266 cells in a time-dependent and dose-dependent manner. (A) U266 cells were treated with 0, 20, 40, 80, and 160 μmol/L baicalein for 24 h. (B) U266 cells were treated with 60 μmol/L baicalein for 0, 12, 24, and 48 h; Cell viability was measured using CCK-8 assay, data shown as mean ±SEM of 3 experiments and analyzed by two-sample *t* test, \* *P*<0.05, \*\* *P*<0.01, \*\*\* *P*<0.001 relative to control group (Groups exposed to dilution vehicle were regarded as control); CCK-8 – cell counting kit-8; SEM – standard error of mean.

### Quantitative RT-PCR (quantitative reverse transcription-polymerase chain reaction)

We treated myeloma U266 cells with increasing concentrations of baicalein (0, 20, 40, 80, and 160 μmol/l) for set times (0, 6, 12, 24, and 48 h). Total RNA was extracted from U266 cells using Trizol reagent according to the instructions. We used the RevertAid™ First Strand cDNA Synthesis kit (Thermo Fisher Scientific, Inc.) to conduct reverse transcription. Then, we performed semi- RT-PCR using 1 μl cDNA in a 25 μl final reaction mixture (32–35 cycles for 4 min at 94°C, 30 s at 94°C, 30 s at 56°C, 25 s at 72°C, 30 cycles of 4 min of 72°C, and 4 min at 4°C) [28]), and the products of PCR were resolved using agarose gel electrophoresis. Real-time quantitative PCR was performed using SYBR Green PCR Master Mix (Thermo Fisher Scientific, Inc.) on the ABI 7900/Illumina Eco Fast Real-Time PCR system (Applied Biosystems) in accordance with the protocol of the manufacture. The following conditions were used for PCR amplification: 40 cycles of 50°C for 2 min, 95°C for 10 min, 95°C for 30 s, and 60 °C for 30 s. β-actin was regarded as the endogenous control and all experiments were performed in triplicate. ΔΔCt method was used for quantification. Specific primers used in the experiments were: β-actin (forward: 5'-AGCGAGCATCCCCAAAGTT-3', reverse: 5'-GGGCACGAAGGCTCATCATT-3'), CRBN (forward: 5'-TCTGCCGACATCACATACATAC-3', reverse: 5'-AATTCGGCACCATACTGACTTCT-3'), IKZF1 (forward: 5'-GACAGCAAAGCTCCAAGAGTGAC-3', reverse: 5'-GAATGCCTCCAAGTCCCGACAAA-3'), IKZF3 (forward: 5'-CCTCGGAGATGGTTCAGTTAT-3', reverse: 5'-GCGTTCATGTTGCTGTC-3').

### Western blotting

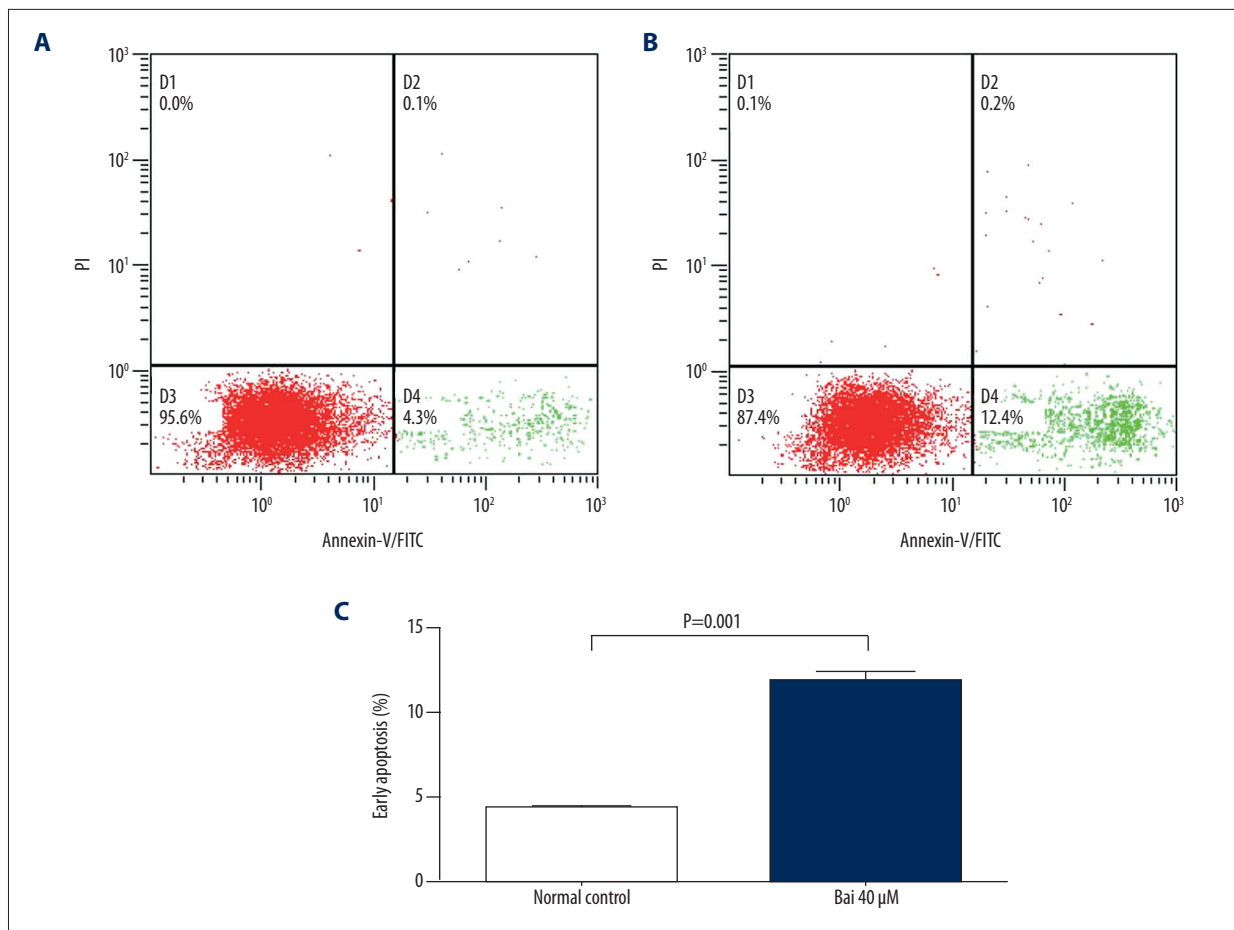
The detailed steps of Western blotting were the same as previously reported [28]. In brief, total proteins of myeloma U266 cells were extracted and separated using 10% sodium dodecyl sulfate polyacrylamide gel electrophoresis (SDS-PAGE). After being transferred to 0.45-m polyvinylidene difluoride (PVDF) membranes and blocked with non-fat milk, the proteins were incubated with anti-CRBN, anti-IKZF1, and anti-IKZF3 at 4°C overnight. After being washed with TBST, the membranes were incubated with horseradish peroxidase-conjugated secondary antibodies. Enhanced chemical luminescence method was performed to detect specific protein bands.

### Prognosis analysis

The GEO dataset GSE2658 was downloaded from Gene Expression Omnibus (<https://www.ncbi.nlm.nih.gov/geo/>), and expression values of IKZF1 and IKZF3 and survival data of 559 MM patients were extracted from the Series Matrix File of this dataset. The multivariate Cox proportional hazard model was used to evaluate the association between the expression of IKZF1 and IKZF3 and survival of MM patients.

### Statistical analysis

SPSS 21.0 software (SPSS Inc., Chicago, IL, USA) was used to conducted data analysis. All data are reported as mean ±SD (standard deviation). One-way analysis of variance was conducted to test differences between 2 groups. *P*<0.05 was considered to be statistically significant.



**Figure 2.** Baicalein stimulates the apoptosis of myeloma U266. (A) Flow cytometric analysis of apoptosis in U266 cells treated with DMSO (A) or 40  $\mu\text{mol/L}$  baicalein (B) for 24 h. Percentage of apoptotic cells of U266 cells was analyzed by annexin V binding/PI staining using flow cytometry, data shown as mean  $\pm$  SEM of 3 experiments and analyzed by two-sample *t* test (C).

## Results

### Growth of myeloma U266 cells was suppressed by baicalein

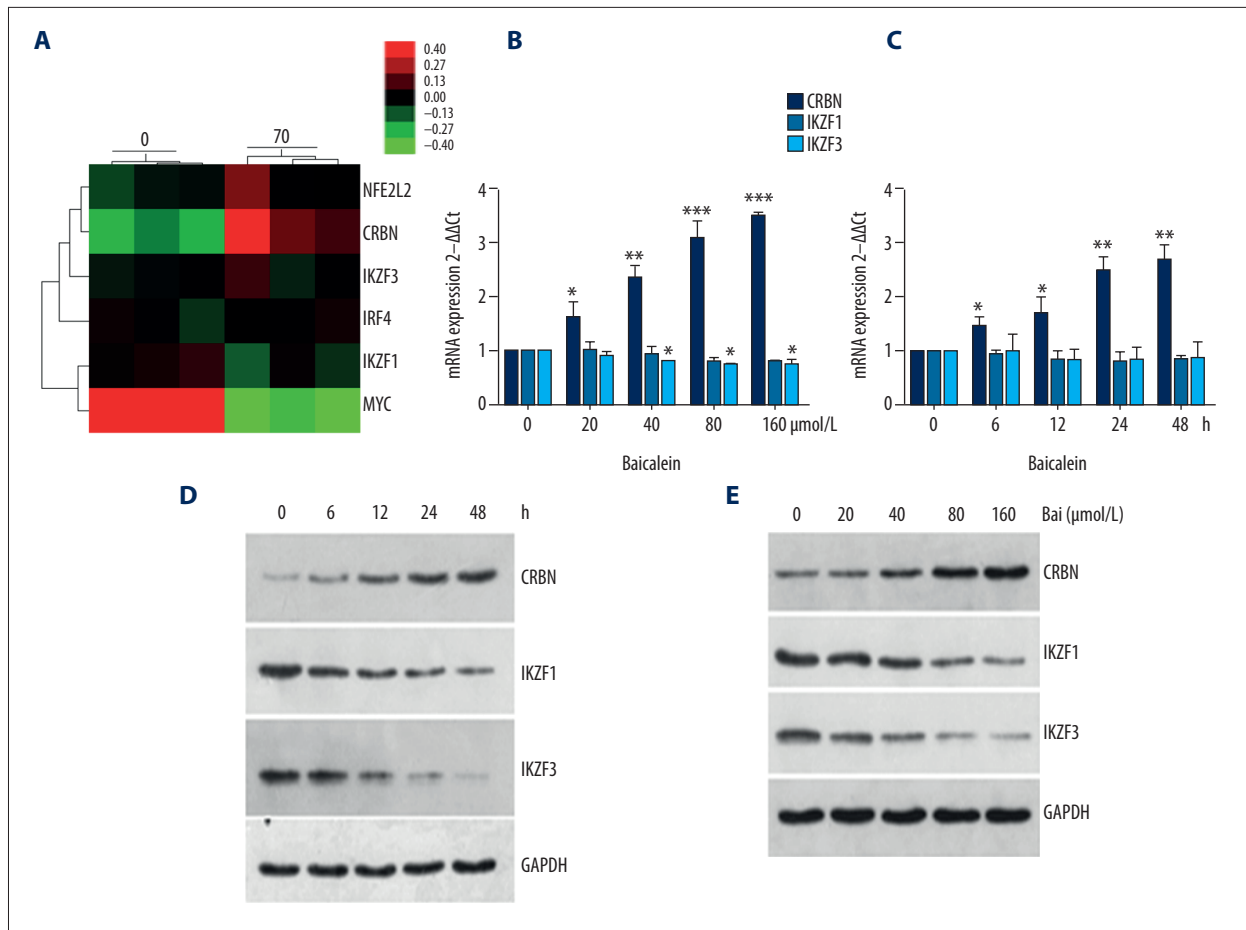
To examine the anti-myeloma activity of baicalein, we used the CCK-8 assay to measure the growth of myeloma cells exposed to increasing concentrations of baicalein for specific time periods. The growth rates of myeloma U266 cells exposed to 20, 40, 80, and 160  $\mu\text{M}$  baicalein for 24 h were  $87.7 \pm 3.11\%$ ,  $80.22 \pm 2.23\%$ ,  $47.74 \pm 1.52\%$ , and  $26.18 \pm 2.23\%$ , respectively (Figure 1A). The proliferation of U266 cells exposed to 60  $\mu\text{M}$  baicalein for 6, 12, 24, and 48 h were  $87.8 \pm 3.21\%$ ,  $80.9 \pm 2.53\%$ ,  $46.4 \pm 2.6\%$ , and  $28.13 \pm 2.17\%$ , respectively (Figure 1B). Our results indicate that the growth of myeloma was suppressed by baicalein in a dose- and time-dependent manner.

### Apoptosis rates of myeloma U266 cells were increased under baicalein treatment

Next, we examined whether the treatment of baicalein was associated with the induction of apoptosis of U266 cells. The rates of apoptosis of U266 cells treated with 40  $\mu\text{M}$  baicalein for 30 h were detected using flow cytometry to measure apoptosis (early apoptosis: annexin V-positive and PI-negative). Myeloma cells treated with 40  $\mu\text{mol/L}$  baicalein for 30 h had significantly increased rates of early apoptosis, from  $4.3 \pm 0.05\%$  (normal control) to  $11.9 \pm 0.4\%$  ( $P=0.001$ ) (Figure 2), suggesting that baicalein stimulates apoptosis of myeloma U266 cells.

### Baicalein upregulated CRBN and downregulated IKZF1 and IKZF3

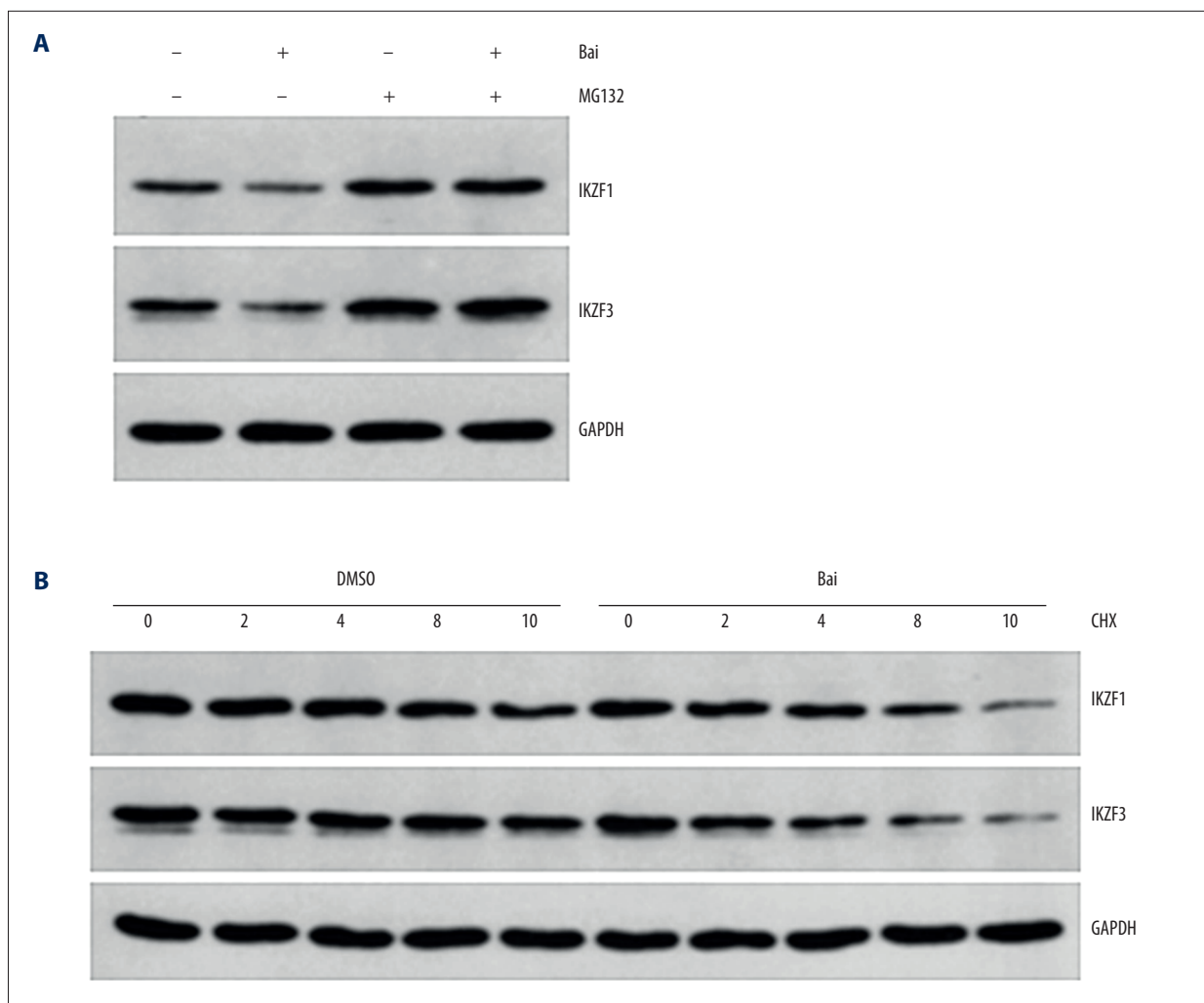
As mentioned above, the resistance of IMiDs was associated with downregulation of CRBN. Lee et al. demonstrated that Nrf2 stimulates CRBN gene transcription under hypoxia-reoxygenation (H/R) conditions or the production of reactive oxygen



**Figure 3.** Baicalein upregulates CRBN and downregulates IKZF1 and IKZF3. **(A)** Myeloma U266 cells were treated with 70  $\mu\text{mol/l}$  baicalein for 30 h in triplicate, HTA\_2.0 Gene Chip was used to investigate the difference in NFE2L2 (Nrf2), CRBN, IKZF3, IRF4, IKZF1, and Myc. Green corresponds to low expression, red corresponds to high expression. **(B)** U266 cells were treated 0, 20, 40, 80, and 160  $\mu\text{mol/L}$  baicalein for 24 h. The mRNA levels of IKZF1, IKZF3, and CRBN were examined by RT-PCR, and  $\beta$ -actin was used as an internal control. Bars represent means and SEM of mRNA expression of our genes of interest. One-way ANOVA was used to compared experimental group and control group with \*  $P < 0.05$ , \*\*  $P < 0.01$ , \*\*\*  $P < 0.001$ . **(C)** U266 cells were treated 60  $\mu\text{mol/L}$  baicalein for 0, 6, 12, 24, and 48 h. The mRNA levels of IKZF1, IKZF3, and CRBN were examined by RT-PCR, and  $\beta$ -actin was used as an internal control. Bars represent means and SEM of mRNA expression of our genes of interest. One-way ANOVA was used to compared the experimental group and control group with \*  $P < 0.05$ , \*\*  $P < 0.01$ , \*\*\*  $P < 0.001$ . **(D)** U266 cells were treated with 60  $\mu\text{mol/L}$  baicalein for 0, 12, 24, and 48 h. The protein levels of CRBN, IKZF1, and IKZF3 were examined by Western blot, and their relative levels were normalized to GAPDH. **(E)** U266 cells were treated with 0, 20, 40, 80, and 160  $\mu\text{mol/L}$  baicalein for 24 h. The protein levels of CRBN, IKZF1, and IKZF3 were examined by Western blot, and their relative levels were normalized to GAPDH. Bai – baicalein.

species (ROS) in neuronal cells [29]. Several studies demonstrated that baicalein is associated with the production of intracellular ROS [30–33]. Thus, we tested whether baicalein affects the expression of CRBN and its downstream targets. First, we applied microarray assay to study the expression of CRBN and its downstream targets. As shown in Figure 3A, the mRNA level of CRBN was increased when myeloma U266 cells were treated with 70  $\mu\text{M}$  baicalein for 30 h, while no significant changes of the mRNA levels of IKZF1, IKZF3, and IRF4 were found. Our PCR results provided further confirmation that increasing concentrations of baicalein treatment for indicated time periods

significantly upregulated the mRNA level of CRBN, but it did not significantly affect the mRNA levels of IKZF1 and IKZF3 (Figure 3B, 3C, and Supplementary Figure 1). Next, we investigated whether baicalein affects the protein levels of these 3 molecules. Our Western blotting results suggest that baicalein increased the expression of CRBN and decreased the expression of IKZF1 and IKZF3 in a dose- and time-dependent manner (Figure 3D, 3E). In summary, baicalein inhibits myeloma U266 cells through downregulating IKZF1 and IKZF3.



**Figure 4.** Baicalein downregulates IKZF1 and IKZF3 on a post-transcriptional level. **(A)** U266 cells were treated with DMSO or 60  $\mu\text{mol/L}$  baicalein in the absence or presence of 10  $\mu\text{mol/L}$  MG132 for 24 h, and the IKZF1 and IKZF3 levels were examined by Western blot. **(B)** U266 cells were pretreated with DMSO or 60  $\mu\text{mol/L}$  baicalein. After 1 h, 100  $\mu\text{g/ml}$  cycloheximide was added and protein lysates were obtained at indicated time points. IKZF1 and IKZF3 levels were examined by Western blot. GAPDH was used as an internal control. Bai – baicalein; CHX – cycloheximide.

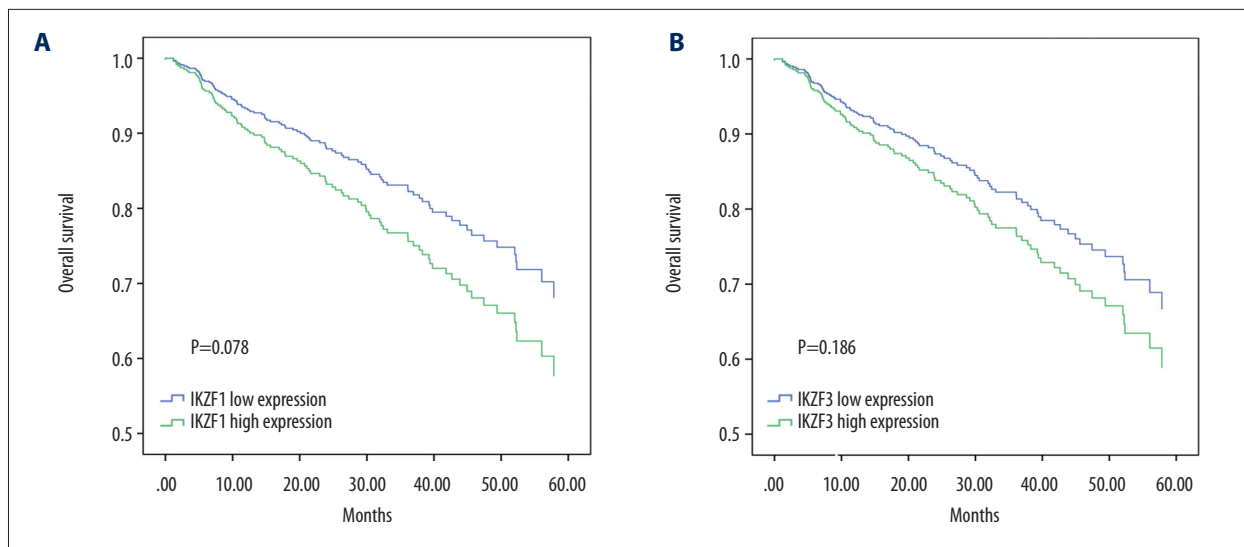
### Baicalein downregulated IKZF1 and IKZF3 through proteasomal degradation

MG-132 is a peptide aldehyde (Z-Leu-Leu-Leu-al) that selectively blocks the proteolytic activity of the 26S proteasome; it is usually used as a tool for disrupting the proteasome-regulated degradation of intracellular proteins. Thus, to elucidate the difference in mRNA and protein levels of IKZF1 and IKZF3 when myeloma U266 cells were treated with baicalein, we first pretreated myeloma U266 cells with 10  $\mu\text{M}$  MG132 for 3 h, and then co-treated these cells with 60  $\mu\text{M}$  baicalein or DMSO for 24 h. As shown in Figure 4A, the presence of MG132 abrogated baicalein-stimulated reduction of IKZF1 and IKZF3. Then, we pretreated myeloma U266 cells with DMSO or 60  $\mu\text{M}$  baicalein for 1 h prior to the addition of 100  $\mu\text{g/ml}$  cycloheximide,

a protein synthesis inhibitor, for set time periods. As shown in Figure 4B, the protein levels of IKZF1 and IKZF3 were degraded more rapidly in baicalein-treated U266 cells than in vehicle-treated controls. In summary, our data suggest that baicalein promotes proteasomal degradation of IKZF1 and IKZF3 in myeloma U266 cells.

### Prognostic relevance of IKZF1 and IKZF3 expression in MM patients

To investigate the prognostic relevance of IKZF1 and IKZF3 in MM patients, we reanalyzed the GEO dataset GSE2658, which contained pretreated samples of 559 MM patients whose clinical characteristics were well documented. We classified patients into IKZF1 and IKZF3 low-expression and high-expression



**Figure 5.** Overall survival of MM patients according to the expression of IKZF1 (A) and IKZF3 (B).

groups based on the median expression of these 2 genes. As shown in Figure 5, although the difference did not reach statistical significance, patients in IKZF1 and IKZF3 low-expression groups had better overall survival compared with those in the IKZF1 and IKZF3 high-expression groups. These results suggest that baicalein may be a good therapeutic choice for MM patient through targeting IKZF1 and IKZF3.

## Discussion

The introduction of IMiDs has greatly improved the response rate and prognosis of patients with MM, but the disease remains incurable due to the inevitable disease relapse and drug resistance. Studies on the mechanism IMiDs are prompted by the remarkable clinical outcomes and drug resistance that develop from long-term administration of IMiDs. The anti-myeloma and teratogenic effects of IMiDs have traditionally been attributed to induction of oxidative stress, anti-angiogenesis, anti-inflammation, anti-proliferation, and multiple effects on the immune system [34]. In 2010, Ito et al. demonstrated that thalidomide exerts teratogenic effects by binding to CRBN and inhibiting the associated ubiquitin ligase activity [9]. Subsequent studies showed that CRBN is required for the anti-myeloma activity of IMiDs [10,11]. IMiDs target cereblon-E3 ubiquitin ligase CRL4 and promote proteasomal degradation of 2 transcription factors, IKZF1 and IKZF3, and the loss of these 2 transcription factors leads to downregulation of C-Myc and IRF4, which are essential for the proliferation of myeloma cells [12–21]. Our results demonstrate that baicalein, a Chinese herbal medicine found to have wide-ranging and seemingly disparate cellular actions (e.g., anti-inflammation, antioxidation, and antitumor) [36], can suppress the growth and increase apoptosis rates of myeloma U266 cells in

a dose- and time-dependent manner and downregulate IKZF1 and IKZF3 on a post-transcriptional level, suggesting that baicalein inhibits the growth of myeloma U266 cells, at least in part through promoting degradation of IKZF1 and IKZF3. Our prognosis analysis of IKZF1 and IKZF3 indicated that lower expression of these 2 transcription factors predicted better overall survival in MM patients, suggesting that baicalein might exert an anti-myeloma activity in MM patients through targeting IKZF1 and IKZF3.

Myeloma patients with higher levels of CRBN have better clinical outcomes, and resistance of IMiDs is associated with downregulation of CRBN [36–43]. Lee et al. demonstrated that CRBN was upregulated by Nrf2 when neuroblastoma cells were exposed to hypoxia-reoxygenation, and a single Nrf2/ARE site in the upstream promoter region of mouse CRBN was responsible for most of the H/R-dependent increase in CRBN expression and overexpression of Nrf-2 upregulated CRBN [28]. Loboda et al. suggested that activation of the Nrf2/ARE system affects oxidative status of the cells and provides robust protection against oxidative challenge [44]. Several studies demonstrated that baicalein protects multiple types of cells from oxidative damage through regulating the Nrf2 signal pathway [45–49]. Our results suggest that baicalein upregulates the expression of CRBN, and baicalein treatment shows a trend of increasing Nrf2 expression (Figure 3A), indicating that baicalein exerts a reversion effect on resistance of IMiDs. Whether there was a similar mechanism by which baicalein upregulates CRBN through regulation of the Nrf2 signaling pathway remained to be elucidated.

Fischer et al. demonstrated that IMiDs block endogenous substrates of MEIS2 from binding to CRL4, leading to the degradation of IKZF1 and IKZF3 [20,21]. Our results showed that the

treatment of baicalein upregulate the expression of CRBN, while downregulating its downstream targets IKZF1 and IKZF3. It is unclear whether the degradation of IKZF1 and IKZF3 by baicalein is CRBN-dependent or if other mechanisms are involved in the degradation of IKZF1 and IKZF3, such as lysosomal degradation pathway and apoptosis. Thus, further studies are required to elucidate the exact mechanisms by which the degradation of IKZF1 and IKZF3 occurs.

## Conclusions

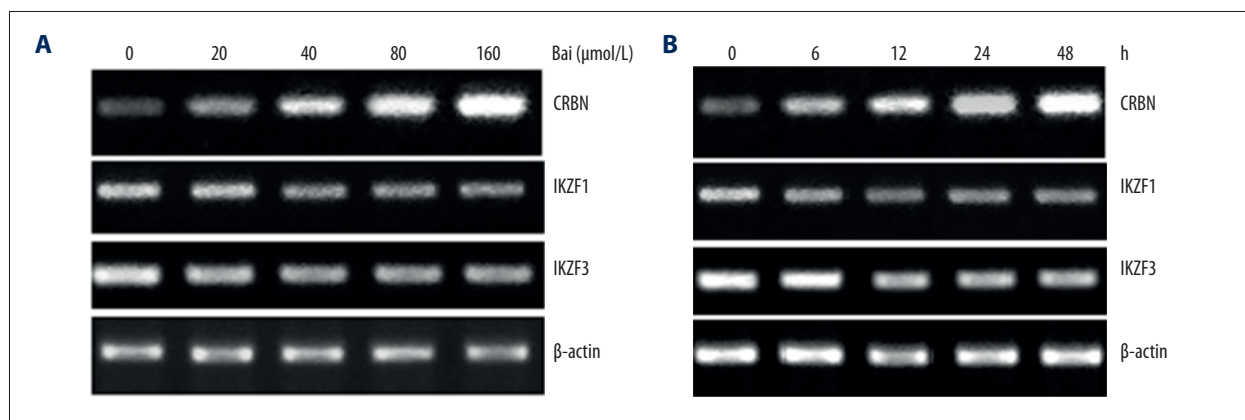
The present study suggests that baicalein suppresses the growth and promotes apoptosis of myeloma U266 cells via

promoting proteasomal degradation of IKZF1 and IKZF3. Baicalein increased the expression of CRBN, which might exert a reversion effect on resistance of IMiDs. MM patients in IKZF1 and IKZF3 low-expression groups had better overall survival than those in IKZF1 and IKZF3 high-expression groups. Although further studies are required to elucidate the mechanism by which baicalein regulates CRBN, IKZF1, and IKZF3 in myeloma cells, the present results indicated baicalein might be a good therapeutic choice targeting IKZF1 and IKZF3.

## Conflict of interest

None.

## Supplementary Figure



**Supplementary Figure 1.** Regulation of CRBN, IKZF1, and IKZF3 by baicalein. **(A)** U266 cells were treated with 0, 20, 40, 80, and 160  $\mu\text{mol/L}$  baicalein for 24 h. **(B)** U266 cells were treated 60  $\mu\text{mol/L}$  baicalein for 0, 6, 12, 24, and 48 h. The mRNA levels of IKZF1, IKZF3, and CRBN were examined by general PCR, and  $\beta$ -actin was used as an internal control. Bai – baicalein.

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