



## Case report

## Therapeutic role of fluconazole in immunocompetent patients with pulmonary cryptococcosis: A case report

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## ABSTRACT

The present investigation examines the potential for customized treatment of pulmonary cryptococcosis using fluconazole in an immunocompetent individual. Therapeutic drug monitoring (TDM) was utilized throughout treatment to assess fluconazole levels. Research indicated that the MIC<sub>90</sub> for cryptococcus in China is around 8 mg/L. We evaluated the area under the curve (AUC) relative to the minimal inhibitory concentration (MIC), focusing on the AUC from 0 to 24 h (AUC<sub>0–24 h</sub>/MIC). Fluconazole's dosage was adjusted according to pharmacokinetics/pharmacodynamics (PK/PD) principles to enhance therapeutic efficacy. Post-treatment evaluation showed marked improvement in the patient's condition, with lesions exhibiting partial absorption.

## Introduction

Cryptococcus, a conditionally pathogenic fungus, tends to infect those with compromised immune systems [1]. However, a concerning trend has emerged, with a rising number of cases reported among immunocompetent patients in recent years [2,3]. In October 2022, the World Health Organisation (WHO) placed *Cryptococcus neoformans* at the top of its list of fungal pathogens to focus on [4]. The clinical signs of pulmonary cryptococcosis (PC) are typically non-specific, presenting as fever, cough, dyspnea, chest pain, and abdominal discomfort, which can often lead to misdiagnosis as other respiratory infections like community-acquired pneumonia or tuberculosis; moreover, some individuals may remain asymptomatic [5]. Fluconazole, a triazole antifungal medication, effectively inhibits fungal sterol synthesis. It is commonly used for treating *Candida* and *Cryptococcus* infections and is prized for its strong antimicrobial efficacy, bioavailability, and low cost [6]. Despite its widespread use, there is a paucity of data on the pharmacokinetic/pharmacodynamic (PK/PD) target attainment for fluconazole in cryptococcosis treatment. This is primarily due to the difficulty of culturing *Cryptococcus* in vitro and obtaining its minimum inhibitory concentration (MIC) values [7]. Secondly, there are geographic and environmental epidemiologic differences in *Cryptococcus*, which may result in isolates from hospitals in different regions exhibiting varying

degrees of pharmacologic sensitivity [8–10].

This article details a case involving the management approach for pulmonary cryptococcosis in an immunocompetent patient utilizing therapeutic drug monitoring (TDM) to optimize fluconazole and presents a review of the MIC<sub>90</sub> values of the currently prevalent *Cryptococcus* in our country. We aim for an AUC<sub>0–24 h</sub>/MIC as its PK/PD sterilization target value and dynamically assessed compliance, contributing valuable information for individualized treatment strategies for pulmonary cryptococcosis [11].

## Case information

The patient, a 56-year-old female weighing 74 kg, previously in good health, presented to the hospital with a 2-month history of cough and sputum production. The symptoms began two months prior after exposure to poultry, including chickens, ducks, and pigeons. The cough was characterized by white mucoid sputum, worsening nocturnally, and was associated with mild chest tightness, sore throat, and rhinorrhea. An outpatient chest CT revealed mild bilateral pulmonary inflammation, and laboratory tests showed an elevated C-reactive protein (CRP) level of 25.3 mg/L. The patient was treated with intravenous levofloxacin sodium chloride 0.5 g once daily for 10 days, resulting in normalization of CRP and inflammation markers upon follow-up. Subsequently, oral

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moxifloxacin 0.4 g once daily was initiated for continuation therapy. Despite initial improvement, two months later, the patient experienced a recurrence of cough and sputum production. A repeat chest CT demonstrated extensive deterioration in the right lung compared to the prior imaging (Fig. 1). Upon admission for further evaluation, the physical examination was unremarkable, as were laboratory analyses including complete blood count, urinalysis, stool examination, coagulation profile, biochemical tests, and tumor markers. The final diagnosis upon admission was community-acquired pneumonia, classified as non-severe.

Following admission, the patient underwent a series of diagnostic examinations. Tuberculosis-related assessments revealed the absence of acid-fast bacilli in smears, while the T-cell test for tuberculosis infection returned positive. Fungal investigations indicated negative results for the *Aspergillus* galactomannan antigen test on two separate occasions. Additionally, the analysis of bronchoalveolar lavage fluid (BALF) yielded negative findings for cryptococcal ink staining and smears, with both fungal cultures also returning negative results. Furthermore, metagenomic next-generation sequencing (mNGS) and real-time fluorescence PCR targeting BALF fluid did not identify any *Cryptococcus* sequences. However, the peripheral blood cryptococcal polysaccharide antigen test was positive. The patient's medical history revealed recent contact with poultry species, including chickens, ducks, and pigeons, approximately two months prior. Coupled with clinical manifestations such as cough and sputum production, these findings led to a suspicion of cryptococcal infection. Literature indicates that in immunocompetent individuals, 67 % of cases of pulmonary cryptococcal disease may progress to central nervous system involvement, resulting in cryptococcal meningitis [12]. Therefore, a lumbar puncture was performed, which showed normal cerebrospinal fluid biochemistry. Both the ink staining and cryptococcal podoplanin antigen test were negative, and cranial enhancement CT scans did not reveal any abnormalities, leading to a temporary exclusion of cryptococcal central nervous system dissemination.

Following the diagnosis of pulmonary cryptococcosis, the patient commenced intravenous antifungal therapy with fluconazole, starting with a loading dose of 800 mg, followed by 400 mg once daily for

maintenance. For TDM purposes, concentrations measured 0.5 h before and 1 h after administration are designated as the trough concentration ( $C_{min}$ ) and peak concentration ( $C_{max}$ ), respectively. Additionally, the concentration measured 4 h post-administration ( $C_{4h}$ ) is also recorded, considering that the infusion lasts for 0.5 hours. On the fifth day post-initiation of therapy, TDM revealed fluconazole serum concentrations of 16.50 mg/L ( $C_{min}$ ), 27.40 mg/L ( $C_{max}$ ), and 24.15 mg/L ( $C_{4h}$ ). The patient exhibited persistent cough and sputum production, leading the clinical pharmacist to conclude that the PK/PD target had not been achieved, indicating the fluconazole dose was inadequate. As a result, the dose was increased to 800 mg daily on the fifth day.

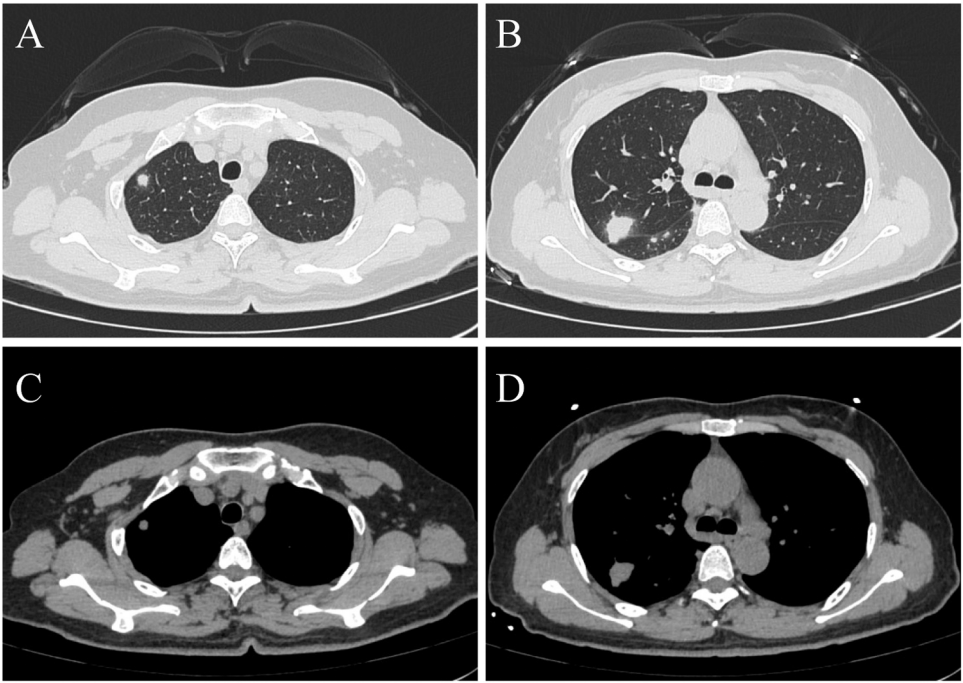
After 12 days of therapy, podconjugate antigen testing remained positive; however, the patient reported significant improvement in cough and sputum as well as other clinical symptoms. The patient was subsequently discharged to continue oral fluconazole at 800 mg daily. Outpatient follow-up TDM revealed fluconazole levels of 28.1 mg/L ( $C_{min}$ ), 36.9 mg/L ( $C_{4h}$ ), 42.6 mg/L ( $C_{max}$ ), and 29.2 mg/L ( $C_{min}$ ), 37.2 mg/L ( $C_{4h}$ ), and 43.2 mg/L ( $C_{max}$ ), on days 20 and 35 post-treatment, respectively (Table 1). Imaging on day 25 showed significant improvement compared to baseline imaging findings (Fig. 2).

**Discussion**

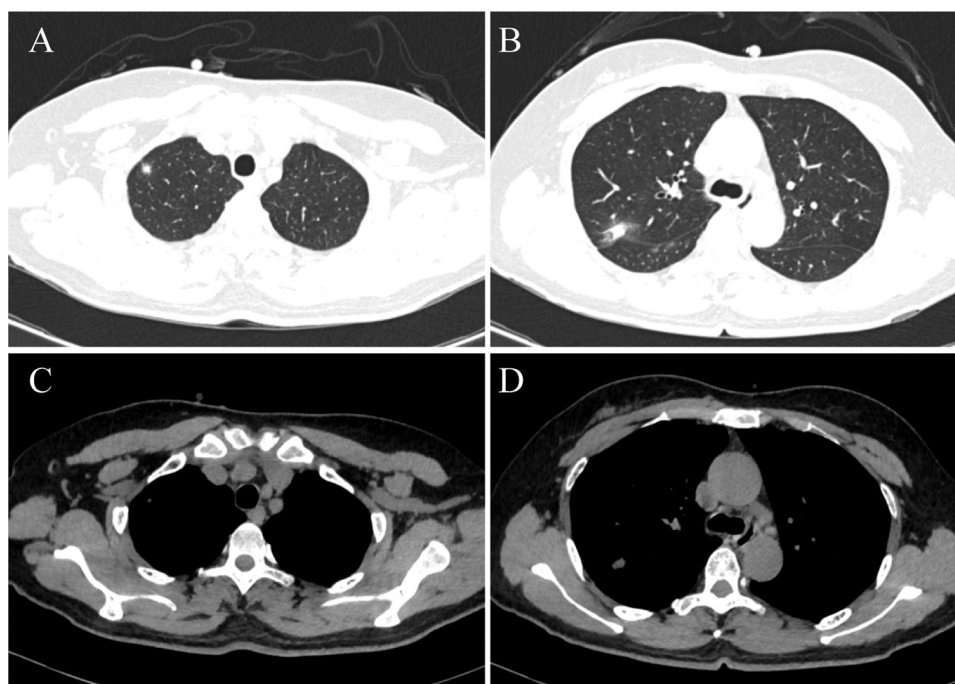
*Cryptococcus* is not a typical colonizer of the respiratory tract. In clinical settings across China, the detection of *Cryptococcus* polysaccharide antigen has emerged as a widely utilized immunological

**Table 1**  
Dose of fluconazole, blood concentration, and PK/PD target levels.

Time (d)	Dosage (mg)	TDM (mg/L)			PK/PD ( $AUC_{0-24h}/MIC$ )
		$C_{min}$	$C_{max}$	4 h post-dose ( $C_{4h}$ )	
5	400	16.5	27.40	24.2	59.3
20	800	28.1	42.6	36.9	94.1
35	800	29.2	43.2	37.2	96.0



**Fig. 1.** Patient's pre-admission chest CT: multiple patchy, rounded solid slightly hyperdense shadows in the right lung(A~ B: Lung window; C~D: mediastinal window).



**Fig. 2.** Chest CT of the patient after 25 d of treatment: right lung solid shadow is absorbed compared to the previous one (A–B: Lung window; C–D: mediastinal window).

diagnostic method for cryptococcosis. A meta-analysis indicated that the lateral flow immunoassay (LFA) for detecting *Cryptococcus* achieved a diagnostic specificity of 98.1 % and a sensitivity of 97.6 % [13].

Recently, with the expanded use of mNGS in cases of complicated and critical infections, particularly in cases with unexplained lung shadows. However, the patient admitted to the hospital, both fungal cultures and smears were negative, and no cryptococcal sequences were identified through mNGS or real-time fluorescence PCR in the BALF. Nevertheless, the patient's *Cryptococcus* polysaccharide antigen result was positive. This discrepancy may be attributed to the challenges associated with the thick outer wall of *Cryptococcus*, which is rich in lipids, complicating nucleic acid extraction and full recovery of genomic material. Furthermore, the pathogen load in the sample may have been below the minimum detection threshold for mNGS, or the quantity of sequencing data might have been insufficient to cover the target microorganisms [14]. As such, false-negative results in mNGS assays are a clinical reality; a negative mNGS result does not definitively exclude cryptococcal infection. Therefore, antigen detection in BALF should be regarded as a valuable diagnostic tool for pulmonary cryptococcosis, enabling timely initiation of anti-infective therapy.

Based on both domestic and international guidelines [15], fluconazole is the preferred antifungal treatment for pulmonary cryptococcosis. Although there is no established clinical breakpoint for fluconazole against *Cryptococcus*, some studies have explored drug sensitivity testing for this pathogen. Wang et al. conducted a multicenter in vitro drug susceptibility testing for a new type of *Cryptococcus* in East China, determining the MIC<sub>90</sub> values of strains for various antifungal agents: fluconazole 8 mg/L, voriconazole 0.12 mg/L, amphotericin B 1 mg/L, 5-fluorocytosine 8 mg/L, esaconazole 0.06 mg/L, posaconazole 0.25 mg/L, and itraconazole 0.25 mg/L [16]. Comparatively, the fluconazole dilution gradient in this region aligns with the Clinical and Laboratory Standards Institute (CLSI) standards, while the epidemiological cutoff values (ECVs) for fluconazole against *Cryptococcus neoformans* have not been provided by EUCAST in 2023. Further domestic research, including studies by Zhou et al. [14] from Tongji University in 2022, indicated the molecular epidemiology and drug resistance profiles of *Cryptococcus neoformans* among HIV-positive and negative

individuals in East China [17]. Their findings demonstrated that 93.98–100 % of *Cryptococcus neoformans* strains exhibited high susceptibility to fluconazole, 5-fluorocytosine, and voriconazole, with MIC<sub>90</sub> values for fluconazole consistently reported at 8 mg/L in both HIV-positive and negative cohorts. Thus, the prevalent MIC<sub>90</sub> for *Cryptococcus neoformans* in our country appears to align with the CLSI ECV of 8 mg/L. Additionally, a significant multicenter prospective study of cryptococcal infections in France found no correlation between fluconazole resistance and adverse clinical outcomes. While in vitro fluconazole resistance may not predict clinical treatment response, further investigation is warranted [18]. According to the ECMM/ISHAM/ASM guidelines for managing cryptococcosis [15], patients with mild to moderate pulmonary cryptococcosis, regardless of their immune status, are advised to receive fluconazole at a dosage of 400 mg daily. Considering the clinical presentation of this patient, characterized by isolated pulmonary cryptococcosis, it was determined that an initial therapeutic regimen of fluconazole 400 mg IV once daily would be appropriate for treatment.

Fluconazole is a time-dependent triazole antifungal drug that exhibits prolonged antifungal effects. The PK/PD index for fluconazole is typically represented by the AUC to MIC ratio (AUC<sub>0–24 h</sub>/MIC) [19]. While there are limited reports on TDM of fluconazole during cryptococcal treatment, a study by Sudan et al. established a target AUC<sub>0–24 h</sub>/MIC greater than 389 mg/(L·h) for a murine model of cryptococcal meningitis [20]. However, the target value for pulmonary cryptococcal infection in humans remains unclear. Evidence suggests that when the cryptococcal MIC ≤ 2 mg/L, achieving an AUC<sub>0–24 h</sub>/MIC greater than 100 with a fluconazole dose of 400 mg/day during the consolidation phase can yield improved clinical outcomes [21]. Therefore, the recommended PK/PD target for pulmonary cryptococcosis is approximately AUC<sub>0–24 h</sub>/MIC > 100. Fluconazole's pharmacokinetics are comparable regardless of oral or intravenous administration, with plasma concentrations peaking around 1 h after dosing. The plasma concentration is directly proportional to the administered dose. In this paper, fluconazole was subjected to TDM by LC-MS/MS method which has been established in the laboratory. The trapezoidal area computed from these three concentration values can be used to estimate AUC<sub>0–24 h</sub>. The trapezoidal

area constructed from the values of  $C_{\min}$ ,  $C_{4h}$ , and  $C_{\max}$  was used to estimate the value of fluconazole  $AUC_{0-24h}$  in the patient [22]. Fluconazole  $AUC_{0-24h}/MIC = (S1 + S2)/MIC = [(C_{\min} + C_{\max})/2 \times t_1 + (C_{\max} + C_{4h})/2 \times t_2]/MIC$  in this patient ( $t_1$ ,  $t_2$  were the three times respectively) blood collection intervals), as shown in Fig. 3.

The patient underwent TDM for fluconazole for the first time on day 5 of treatment. The clinical pharmacist estimated an  $AUC_{0-24h}/MIC$  ratio of approximately 59.3 (Table 1), which fell short of the clinical therapeutic target. In light of the patient's clinical symptoms, it was concluded that the fluconazole dose was insufficient. According to the management guideline from the ECMM/ISHAM/ASM [15], for mild-to-moderate cases of isolated pulmonary cryptococcosis, a recommended daily fluconazole dose ranges from 400 to 800 mg. Research has indicated that fluconazole is dose-dependent, with some studies revealing that 33 % of patients treated with a standard daily dosage of 400 mg experienced clinical treatment failures due to inadequate dosing [23]. The national expert consensus on PK/PD recommends an adult fluconazole dose of 6–12 mg/kg/day for candidiasis treatment; hence, higher doses may be necessary for cryptococcosis management. Initially, this patient was administered a standard fluconazole dose of 400 mg daily. Given the patient's weight of 74 kg, this dosage equated to approximately 5.4 mg/kg/day, which is below the suggested range of 6–12 mg/kg/day, potentially contributing to the failure in achieving PK/PD objectives. After a thorough evaluation, the fluconazole dose was increased to 800 mg daily on day 5 of treatment. Subsequent TDM conducted on days 20 and 30 revealed estimated  $AUC_{0-24h}/MIC$  ratios of 94.1 and 96.0, respectively, indicating proximity to the target value (Table 1). Alongside improvements in the patient's clinical symptoms and imaging results, the adjusted fluconazole dosage was deemed reasonable and clinically effective.

This case study highlights the importance of pharmacological exploration in the treatment of pulmonary cryptococcosis with fluconazole. By monitoring fluconazole blood concentrations throughout the treatment process and adjusting the dosage based on PK/PD principles, we achieved more favorable outcomes. This approach may serve as a valuable reference for individualized and precise management of pulmonary cryptococcosis in clinical settings. Nevertheless, the extant evidence for fluconazole TDM remains limited, particularly to immunocompromised patients, those with disseminated cryptococcosis, and patients with meningitis. Furthermore, additional evidence is required from multiple cases, particularly data on immunocompromised patients, disseminated cryptococcosis, and patients with meningitis. The objective of future clinical work is to collect a greater number of cases to verify the clinical efficacy of fluconazole TDM to a greater extent and to

provide a stronger evidence base for its application in different patient groups.

### Author contribution

Yuzhu.Cao, Huimin Yu, and Tang Tan designed and wrote the manuscript. Huimin Yu performed the experiments, Yuzhu.Cao and Huimin Yu analyzed the data. All authors contributed to data collection and manuscript writing.

### Ethical Approval Statement

This study was approved by the Clinical Trials Ethics Committee of the General Hospital of the Eastern Theater Command (Approval Number: 2020NZKY-029-01). The study was conducted in accordance with the ethical standards laid down in the 1964 Declaration of Helsinki and its later amendments. All participants were informed about the study's purpose, procedures, potential risks, and benefits, and their consent was obtained prior to participation.

### Consent Statement

Informed consent was obtained from all individual participants included in the study. Participants were provided with detailed information about the study's purpose, procedures, potential risks, and benefits. They were assured of their right to withdraw from the study at any time without any consequences. Written consent was obtained from each participant prior to their involvement in the study.

### CRediT authorship contribution statement

**Yu Huimin:** Writing – original draft, Methodology, Formal analysis, Data curation. **Cao Yuzhu:** Writing – review & editing, Writing – original draft, Methodology, Investigation, Funding acquisition. **Tang Tang:** Writing – original draft, Visualization, Data curation. **Sha Qifei:** Visualization, Project administration, Methodology. **Hua Shuang:** Methodology, Formal analysis, Data curation.

### Author Statement

All authors have seen and approved the final version of the manuscript as submitted. We guarantee that the article is an original work, has not been previously published, and has not been considered for publication elsewhere.

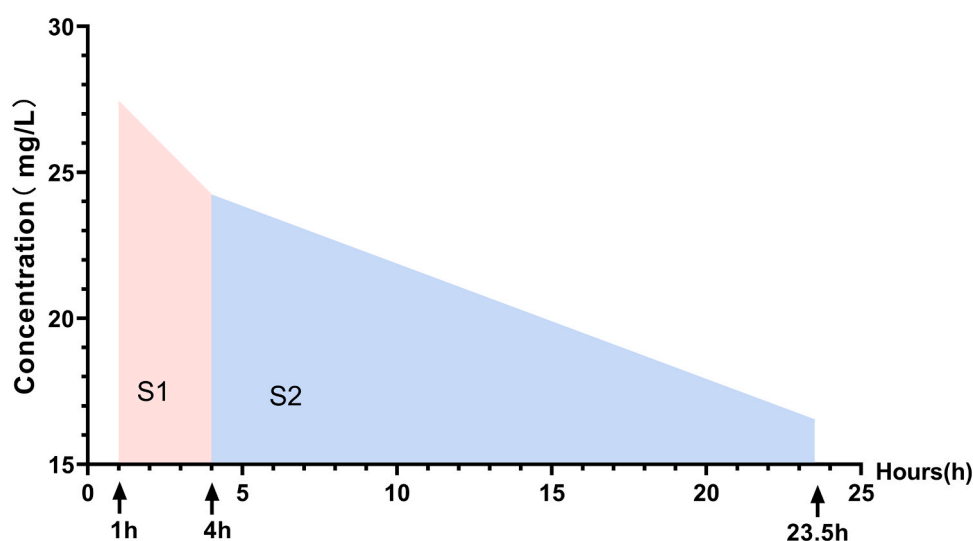


Fig. 3. Estimation of the area under the blood concentration-time curve (AUC) of fluconazole by trapezoidal approximation.



## Author agreement

All authors agreed to publish the manuscript entitled "Therapeutic Role of Fluconazole in Immunocompetent Patients with Pulmonary Cryptococcosis: A Report of One Case and An Overview of Current Evidence" in IDcases.

## Declaration of Competing Interest

The authors declare that there is no conflict of interest.

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