REVIEW

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Synaptopathies: synaptic dysfunction in neurological disorders – A review from students to students

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Abstract

Synapses are essential components of neurons and allow information to travel coordinately throughout the nervous system to adjust behavior to environmental stimuli and to control body functions, memories, and emotions. Thus, optimal synaptic communication is required for proper brain physiology, and slight perturbations of synapse function can lead to brain disorders. In fact, increasing evidence has demonstrated the relevance of synapse dysfunction as a major determinant of many neurological diseases. This notion has led to the concept of synaptopathies as brain diseases with synapse defects as shared pathogenic features. In this review, which was initiated at the 13th International Society for Neurochemistry Advanced School, we discuss basic concepts of synapse structure and function, and provide a critical view of how aberrant synapse physiology may contribute to neurodevelopmental disorders (autism, Down syndrome, startle disease, and epilepsy) as well as neurodegenerative disorders (Alzheimer and Parkinson disease). We finally discuss the appropriateness and potential implications of gathering synapse diseases under a single term. Understanding common causes and intrinsic differences in disease-associated synaptic dysfunction could offer novel clues toward synapse-based therapeutic intervention for neurological and neuropsychiatric disorders.

Keywords: Alzheimer disease, autism, Down syndrome, epilepsy, hyperekplexia, synapses.

J. Neurochem. (2016) **138**, 785–805.

Synapses are integral components of neurons and allow an organized flux of information in the brain. The emergence, diversification, and specialization of synapses played a central role in the evolution of higher brain functions and cognition in vertebrates (reviewed in Ryan and Grant 2009). On the one hand, modulation of synapse activity constitutes a major strategy to control brain homeostasis. On the other hand, slight but persistent perturbations in synapse physiology can result in major defects that may manifest as brain disorders.

The increasingly used term 'synaptopathy' refers to brain disorders that have arisen from synaptic dysfunction. The term goes back to a review by the Brundin laboratory discussing Huntington's disease as a result of synaptic failure (Li *et al.* 2003). In its broadest definition, it summarizes any perturbation in which aberrant mechanisms correlate with synaptic dysfunction regardless of its pathophysiological origin. This may result in a perplexing use of the term 'synaptopathy', which may mask whether a certain synaptic malfunction is the cause or the consequence of a given pathophysiological process.

Increasing evidence demonstrates the importance of synapse dysfunction as a major determinant of several neurodevelopmental diseases [autism spectrum disorders (ASD), Down syndrome, startle disease, and epilepsy] and neurodegenerative diseases (Alzheimer and Parkinson disease). Here, we present a critical overview of synapse

dysfunction in the aforementioned disorders and discuss possible causes for synapse failure as putative common denominators. Understanding the molecular underpinnings leading to synaptic dysfunction will aid in the development of tailored synapse-targeted therapies for neurological disorders.

Synapse structure and function

Synapses constitute the basic information transfer units in the nervous system and can be divided into two groups: electrical and chemical synapses. Electrical synapses allow for the direct transfer of charged ions and small molecules through pores known as gap junctions, mostly found in glial cells (reviewed in Rouach *et al.* 2002). Within the chemical synapse, electrical activity is unidirectionally transferred from one neuron (pre-synaptic terminal) to another (post-synaptic terminal) through chemical mediators. Action potentials travel along axons to induce release of neurotransmitters from vesicles in the pre-synaptic bouton into the synaptic cleft; the activation of specific ionotropic receptors by the neurotransmitters is then again transduced into an electrical signal that depolarizes the post-synaptic cell and is transmitted downstream.

Synapse function involves highly specialized molecular machineries at the pre- and post-synapses. However, its homeostasis and plasticity also require the contribution of surrounding glia end feets and the extracellular matrix (ECM). Therefore, the classical concept of a synapse, as consisting of the neuronal pre- and a post-synapse, needs to be extended to engulf glial cells and the ECM, thereby creating the term of the quadripartite synapse (reviewed in Sykova and Nicholson 2008).

Chemical synapses transduce either excitatory or inhibitory signals that increase or decrease the likelihood of firing action potentials in target cells, respectively. The most abundant excitatory neurotransmitter in the central nervous system is glutamate. GABA is the main inhibitory neurotransmitter in the adult forebrain, whereas glycine mediates inhibitory neurotransmission mainly in the brainstem and spinal cord (Fogarty *et al.* 2016).

Pre-synaptic specializations

The structure of the pre-synaptic terminal is essentially the same at excitatory and inhibitory synapses. The main difference, however, lies in neurotransmitter-synthesizing enzymes and transporters. Basically, the signal to release neurotransmitter vesicles consists of a steep rise in intracellular Ca^{2+} levels as a result of pre-synaptic voltage-dependent calcium channels, mainly $Ca_V2.1$ and $Ca_V2.2$, which are activated upon action potential-dependent depolarization of the pre-synaptic membrane (reviewed in Catterall and Few 2008). Increased Ca^{2+} levels are sensed by the synaptic vesicle protein synaptotagmin I, which leads to conformational changes in the Soluble NSF Attachment Protein Receptor (SNARE) protein complex to mediate fusion of the vesicle with the plasma membrane. The pre-synaptic scaffold, more specifically the cytomatrix at the active zone, consists of a protein meshwork ensuring fast but adjustable release of neurotransmitters. Bassoon, piccolo, munc-13, Rab3a interacting molecule (RIM), and RIM-binding proteins are prominent components of the cytomatrix at the active zone and anchor pre-synaptic membrane proteins, such as ion channels and cell-adhesion molecules (Ackermann *et al.* 2015). The efficacy of neurotransmitter release is regulated upon overall changes in network activity to maintain neuronal activity in a physiological range, in a process termed homeostatic plasticity, which modulates the expression of pre-synaptic scaffold proteins (Lazarevic *et al.* 2011, 2013).

Post-synaptic specializations

As compared with pre-synapses, the molecular composition of post-synaptic elements of excitatory and inhibitory synapses comprises more intrinsic differences. Excitatory post-synapses are mostly formed on dendritic spines, which are protrusions from dendrites, and contain the post-synaptic density (PSD), an electron-dense structure at the post-synaptic site (Gray 1959). The presence of a visible PSD allows one to morphologically distinguish between asymmetric (mainly excitatory) and symmetric (inhibitory) synapses (Colonnier 1968). The PSD is formed by a multilayered scaffold of densely organized proteins underneath the post-synaptic membrane that anchors cell surface proteins, neurotransmitter receptors, and cell-adhesion molecules. Furthermore, the PSD harbors intracellular signaling molecules and cytoskeletal filaments. Classes of scaffold proteins comprise homer (homer 1–3), the ProSAP/Shank family (Shank 1, 2, 3), and the membrane-associated guanylate kinase family, including PSD95 and SAP102. PSD95 anchors ionotropic glutamate receptors (iGluRs) at synaptic sites, whereas homer which interacts with metabotropic glutamate receptors (mGluRs), along with the ProSAP/shank family build a scaffold in the deeper layer of the PSD, which is linked to actin filaments and is involved in spine growth (reviewed in Sheng and Kim 2011).

Glutamate activates a number of different cognate receptors within the post-synaptic membrane of excitatory synapses. The group of iGluRs, which are ligand-gated heterotetrameric ion channels, consists of the pharmacologically defined α-amino-3-hydroxy-5-methylisoxazole-4-propionate (AMPA)-, NMDA-, and kainate-type receptors. The subunit composition of these receptors is cell type and brain region specific, and in part developmentally regulated (Traynelis *et al.* 2010). AMPA receptors (AMPARs) mediate the fast depolarizing currents, whereas NMDA receptors (NMDARs) are more important in modulating synaptic responses as a result of their voltage-dependent opening mechanism, their higher permeability for Ca^{2+}, and their coupling to intracellular signaling effectors, such as CaMKII (Strack and Colbran 1998). mGluRs are subdivided into three

classes, depending on their coupled G-protein (reviewed in Niswender and Conn 2010). The subunit composition of glutamate receptors defines their functionality and, hence, receptor composition at individual synapses is crucial for synaptic transmission properties.

Conversely, inhibitory post-synapses are usually formed directly at the soma or dendritic shaft. The scaffold of inhibitory post-synapses contains gephyrin, which anchors neurotransmitter receptors, and collybistin, that regulates the clustering of gephyrin at GABAergic synapses. There are two described ionotropic GABA receptors: $GABA_A$- and $GABA_C$-Rs, which form chloride channels to mediate fast hyperpolarization of post-synaptic membranes (Lujan *et al.* 2005). In contrast, the metabotropic $GABA_B$Rs are coupled via G-proteins to potassium channels, leading to slow inhibitory post-synaptic currents (reviewed in Gassmann and Bettler 2012). As $GABA_B$Rs mediate their inhibitory effect via potassium channels, they always act as inhibitory receptors, in contrast to the $GABA_A$ – and $GABA_C$Rs, which depend on the equilibrium potential of chloride.

Glycine receptors (GlyRs) are heteropentameric ionotropic receptors that are permeable to chloride and mediate inhibitory neutransmission. GABA and glycine can be co-released in the brainstem and in the spinal cord, and a subset of hippocampal interneurons and pyramidal neurons present glycine receptor clusters at GABAergic synapses (Jonas *et al.* 1998; Levi *et al.* 2004). It is worth mentioning that glycine can also act as a co-agonist to NMDARs at excitatory synapses in the forebrain (Johnson and Ascher 1987).

Integration of synapses across the cleft

Cell-adhesion molecules (CAMs) physically connect pre- and post-synaptic elements across the synaptic cleft. Synaptic CAMs include cadherins, Ig-CAMs, neurexins, neuroligins (NLGNs), ephrins (Eph), and Eph receptors (reviewed in Benson and Huntley 2012). CAMs not only maintain the structure of mature synapses but also play roles in synapse development. As interaction between CAMs is not merely structural but provides indispensable molecular clues for the formation of new synapses, CAM mutual recognition is required for synaptogenesis (reviewed in Bukalo and Dityatev 2012).

The best-studied pair of synaptic CAMs is constituted by the pre-synaptic neurexin and its post-synaptic partner neuroligin (Dean *et al.* 2003). This heterophilic interaction is essential for synapse structure and trans-synaptic signaling (Varoqueaux *et al.* 2006). Over-expression or suppression of neuroligins leads to an increase or decrease in the total amount of synapses in neurons, respectively (Dahlhaus *et al.* 2010; Shipman *et al.* 2011), highlighting their importance for synaptogenesis. CaMKII-dependent phosphorylation of neuroligins is necessary for their synaptogenic properties (Bemben *et al.* 2014), which offers a putative activity-dependent regulation of synapse enhancement in a neuroligin-dependent manner (Chubykin *et al.* 2007).

The tetrapartite synapse

In addition to the pre- and post-synapse, the model of a tetrapartite synapse includes glial end feet and the ECM. Astrocytes are closely associated with synapses and actively control synaptic transmission. They monitor neuronal activity by increasing intracellular levels of calcium and respond by secretion of a variety of molecules (Chung *et al.* 2015b; reviewed in Bezzi and Volterra 2001). Secretion of D-serine, for example, is essential for glutamatergic transmission, as it acts as a co-agonist for NMDARs (Schell *et al.* 1995). Furthermore, astrocytes play an important role in synaptogenesis, by secreting thrombospondin, a major factor for synapse formation (Christopherson *et al.* 2005).

The ECM enwraps synapses and matures postnatally to form dense, net-like structures on a subset of cells, mainly parvalbumin-positive interneurons. Hyaluronic acid, which acts as a backbone to coordinate proteoglycans and glycoproteins, is a major component of ECM (Frischknecht and Seidenbecher 2008). Furthermore, ECM-modifying enzymes, such as matrix metalloproteinases (MMPs), and a disintegrin and metalloproteinase with thrombospondin motifs proteins are present in the brain (Porter *et al.* 2005; Sbai *et al.* 2008). Substantial evidence has indeed suggested significant roles for MMPs, especially MMP-9, in neurotransmitter receptor availability and synapse function (reviewed in Michaluk *et al.* 2009; Lepeta and Kaczmarek 2015; Vafadari *et al.* 2015). Remodeling ECM influences plasticity processes in functional and structural levels and affects memory (Frischknecht *et al.* 2009; Gogolla *et al.* 2009; de Vivo *et al.* 2013; Valenzuela *et al.* 2014). In addition to the hyaluronic acid-based ECM, there are several other extracellular molecules including laminins, reelin, agrin, thrombospondins, and many more that influence synaptic function (Heikkinen *et al.* 2014).

Synaptic plasticity

Synaptic plasticity refers to the ability of synapses to modify their structure and tonus after persistent electrical activity and/or signaling. This property can occur in both pre- or post-synaptic compartments, allowing synapses to adapt to different contexts and, importantly, enabling learning and memory processes (reviewed in Bailey *et al.* 2015; Kandel *et al.* 2014; Citri and Malenka 2008).

As a prototypic form of synaptic plasticity, long-term potentiation (LTP) induction by repeated synaptic activity promotes the activation of NMDARs and subsequent Ca^{2+} influx. NMDARs function as coincidence detectors in synaptic activity, as they require both pre-synaptic release of glutamate and basal depolarization of the post-synaptic membrane initiated by AMPARs. Such mechanisms instigate

changes in the kinase/phosphatase balance and gene expression that are important for the persistent modification of synapse structure and function (reviewed in Citri and Malenka 2008; Kandel *et al.* 2014; Rosenberg *et al.* 2014). In addition to LTP, there is evidence for additional forms of long-term synapse plasticity. Long-term depression (LTD) can be induced by low-frequency stimulation and is thought to contribute to refining memory engrams in the brain (reviewed in Dietz and Manahan-Vaughan 2016). Synaptic scaling relates to a homeostatic plasticity that globally adjusts synaptic strength in a given neuron in response to prolonged stimuli (reviewed in Tatavarty *et al.* 2013; Turrigiano 2012). Metaplasticity is another form of adaptation that utilizes the strength of synaptic activity as a mechanism of calibration for the threshold of a synapse to express its plasticity (Lee *et al.* 2010).

Synaptic dysfunction as risk for brain disorders

The complexity of human brain functions is linked to the accelerated evolution of synapses in primates. Synapses can operate with a certain degree of autonomy from the cell body (reviewed in Brose *et al.* 2010) and their outstanding complexity results in a great variety of possible synaptic alterations. Synaptic dysfunction can result either from alterations in their intrinsic synaptic molecular mechanisms or be secondary to changes in additional biochemical processes in the surrounding environment.

Mutations in genes encoding synaptic proteins have been shown to result in altered protein levels/function in a number of neurological disorders. In addition, impaired synapse function is a feature of several neurological disorders not fully explained by genetic mutations, such as Alzheimer and Parkinson disease. Moreover, decreased synapse density and function often occur prior to neuronal death. Thus, it is tempting to speculate that molecular elements of synapses are key candidates to contribute to or even cause brain malfunction. In the following sections, we will review central aspects of synapse dysfunction in prevalent neurodevelopmental and neurodegenerative disorders.

Synapse dysfunction in neurodevelopmental disorders

Autism spectrum disorders

ASD form a group of diverse neurodevelopmental conditions defined by two core symptoms: social deficits that include communication and interaction impairments; and stereotypical, repetitive, and restricted behaviors. One of the main features of ASD is the high level of heterogeneity resulting in complexity both when considering symptoms and causative factors. It is in fact likely that every single disorder within the group has its unique mechanisms and consequences, with environmental and genetic factors playing roles in the etiology of ASDs. Around 600 genetic variations, many of which affect synaptic protein genes, have been related to ASD and, despite a wide diversity in these genes, some of them belong to a common pathway, suggesting that core mechanisms may exist (reviewed in Huguet *et al.* 2013). The imbalance between excitation and inhibition in neocortical areas has been proposed as a key process underlying ASD pathogenesis (reviewed in Baudouin *et al.* 2012). Here, we will focus on changes in synaptic protein synthesis and plasticity caused by alterations of glutamate receptors.

mGluRs

It has been recently demonstrated that alterations in signaling, expression, and function of group I mGluRs are related to neurodevelopmental disorders (reviewed in D'Antoni *et al.* 2014). Group I mGluRs comprising mGluR1 and mGluR5 have been proposed as key regulators of syndromic and non-syndromic forms of ASD, making them possible therapeutic targets.

mGluR5 signaling was shown to be affected in opposing directions in both Fragile X syndrome (FXS) and tuberous sclerosis syndrome (TSC): FXS is the most common monogenic form of ASD and inherited intellectual disability (ID). It is caused by a mutation in the *FMR1* gene that encodes the Fragile X mental retardation protein (FMRP), which regulates trafficking, stability, and translation of many RNAs coding for proteins implicated in synaptic plasticity (reviewed in Budimirovic and Kaufmann 2011). Mark Bear and colleagues proposed that FMRP suppresses translation downstream of mGluR5 and that absence of FMRP lead to exaggerated synthesis of proteins required for mGluR-dependent LTD, thereby enhancing its magnitude (Bear *et al.* 2004). Following this hypothesis, different approaches were used to rescue disease phenotypes in *Fmr1*-deficient mice, including allosteric inhibition and genetic ablation of mGluR5 (Auerbach *et al.* 2011).

TSC is another genetic syndrome associated with ASD and ID. The syndrome is caused by heterozygous mutations in genes of the TSC1/2 complex involved in an mTOR-mediated signaling pathway that couples cell surface receptors to protein synthesis (Auerbach *et al.* 2011). Several mouse models of TSC exhibit decreased mGluR5-mediated LTD caused by impaired protein synthesis. Consistently, treatment with an allosteric mGluR5 agonist was able to restore mGluR5-mediated LTD (Auerbach *et al.* 2011).

NMDARs

The implication of NMDARs in the etiology of ASD has been supported by both clinical and non-clinical studies. Clinical studies have identified genetic variants in the GRIN2A and GRIN2B genes encoding the GluN2A and GluN2B subunits of the NMDAR, respectively (O'Roak *et al.* 2012; Kenny *et al.* 2014). It is highly plausible that differences in subunit composition affect functional properties of NMDARs and/or NMDAR-dependent plasticity

(reviewed in Paoletti *et al.* 2013) This notion is supported by the fact that mice with constitutively reduced expression of the GluN1 subunit present core symptoms of ASD, such as defective communication and sociability in addition to repetitive behavior. Furthermore, disruption of NMDARs causes auditory-evoked response endophenotypes that are often observed in individuals with ASD (Gandal *et al.* 2012).

A role for NMDARs in ASD is further supported by the fact that social withdrawal and repetitive behavior in individuals with ASD can be alleviated by the NMDAR co-agonist D-cycloserine (Posey *et al.* 2004; Urbano *et al.* 2014). By contrast, NMDAR antagonists memantine and amantadine improve ASD-related symptoms including social deficits, inappropriate language, stereotypy, cognitive impairments, lethargy, irritability, inattention, and hyperactivity in humans (reviewed in Hosenbocus and Chahal 2013). Thus, ASD could result, at least in part, from deviations in hormetic NMDAR responses.

Shank

Several genetic studies have reported alterations in the gene encoding of the post-synaptic scaffold protein shank in ASD. $Shank2^{-/-}$ mice, lacking exons 6 and 7, show reduced hippocampal NMDAR function, whereas mice lacking only exon 7 show up-regulation of NMDARs in synaptosomes, increased NMDAR/AMPAR ratio, and enhanced NMDAR-dependent LTP (Schmeisser *et al.* 2012; Won *et al.* 2012). However, both $Shank2^{-/-}$ models described show very similar social deficits, which support the notion that deviation in NMDAR function in either direction can result in ASD-like phenotypes. Interestingly, aberrant NMDAR function and behavioral deficits observed in those mice could be normalized with systemic D-cycloserine and administration of the positive modulator of mGluR5 3-Cyano-N-1, 3-diphenyl-1H-pyrazol-5-ylbenzamide (CDPPB) (Won *et al.* 2012). Shank1 has similarly been associated with autism-like behavioral changes. $Shank1^{-/-}$ mice display increased anxiety-like behavior and deficits in contextual fear learning but improvements in spatial learning, which corresponds well with the cognitive changes observed in many individuals with ASD. In addition, $Shank1^{-/-}$ mice show smaller dendritic spines in CA1 pyramidal hippocampal neurons and weaker synaptic transmission (Hung *et al.* 2008), supporting recent evidence that dendritic spine abnormalities are associated with ASDs (Hung *et al.* 2008; Fang *et al.* 2014).

Neuroligin

Several mutations in genes encoding NLGNs have been associated with ASD (Jamain *et al.* 2003; Laumonnier *et al.* 2004). Knocking in the ASD-associated R451C substitution into the endogenous NLGN3 locus caused a prominent decrease in NLGN3 levels that resulted in impaired social behaviors, enhanced spatial learning, and increased synaptic inhibition in the mouse somatosensory cortex. In the hippocampus, this mutation caused an increase in AMPAR-mediated excitatory synaptic transmission, up-regulation of GluN2B, and strengthened LTP (Etherton *et al.* 2011). Another ASD-associated mutation in NLGN3, R704C, did not alter synapse formation but caused a selective decrease in AMPAR-mediated synaptic transmission in mice, whereas NMDAR-driven synaptic transmission and pre-synaptic neurotransmitter release were not affected (Chanda *et al.* 2013). These results suggest NLGN3 as a key player in synaptic transmission by modulating the recruitment of AMPARs to post-synaptic sites at excitatory synapses (Etherton *et al.* 2011). Similarly, mice lacking NLGN1 showed ASD-like behavior and reductions in NMDAR function that could be reversed with D-cycloserine (Blundell *et al.* 2010) or memantine (Chung *et al.* 2015a). Interestingly, reduced LTD is a shared feature between a syndromic form of autism (e.g. FXS) and a non-syndromic form of autism partly caused by mutations in *Nlgn* genes in mice (Baudouin *et al.* 2012).

Toward shared pathways in ASD

ASD is a very complex group of disorders associated with aberrant synaptic transmission and plasticity. A range of animal models has demonstrated that scaffolding and adhesion proteins such as Shank and NLGNs play a key role in the regulation of NMDARs and AMPARs. Altered expression, trafficking, and function of these two groups of receptors are postulated to play a crucial role in the development of ASD. Moreover, evidence suggests mGluR signaling as a shared pathway in disorders associated with ID and ASD. However, the molecular mechanism leading to alterations in group I mGluRs in ASD remains to be elucidated.

Overall, the different animal models have been very useful for the understanding of the neurobiological pathways affecting mGluRs and iGluRs in ASD; however, the models have their limitations as they cannot reproduce all characteristics of the human disorder and only allow studies of ASD at reduced genetic complexity. Most animal models represent alterations in only one single gene, whereas individuals with ASD often have several gene alterations. Understanding how synapse dysfunction develops in ASD, including the associated molecular machinery, remains a challenge that needs to be overcome in search for effective and safe pharmacological treatment for this diverse group of disorders.

Down syndrome

Down syndrome (DS) is the most common genetic form of ID and occurs in ~ 10 of 10 000 live births (Khoshnood *et al.* 2011). Individuals with DS show deficits in learning and memory, language and executive functions since early childhood, resulting from the presence of an extra copy of chromosome 21 (Hsa21). DS patients exhibit an age-

dependent reduction in dendritic branching and spine density that likely involves impaired reorganization of the actin cytoskeleton in neurons (Marin-Padilla 1976; Takashima *et al.* 1981). Several cytoskeleton-related genes are located in Hsa21, including *DSCR1*, *DYRK1A,* and *S100B,* and have been proposed as candidates to contribute to the neuronal architecture abnormalities observed in DS (Dierssen *et al.* 2009). In addition, DS patients show early onset Alzheimer-like neurodegeneration (reviewed in Lott and Dierssen 2010).

Multiple studies support that an over-inhibition of synapses by increased GABAergic circuitry may be the main force for synaptic dysfunctions in DS as it affects the excitation–inhibition balance in the brain (Kurt *et al.* 2004; reviewed in Fernandez and Garner 2007; Hanson *et al.* 2007), which may alter synaptic connectivity and cognitive function. Thus, it is plausible to speculate that altered synapse structure and function induced by aberrant expression of Hsa21 and some non-Hsa21 genes underlie cognitive symptoms in DS. One such gene recently emerged as modulating the excessive inhibitory tone. It encodes the type 2 G-protein-activated inwardly rectifying potassium channel (GIRK2). Elevated expression of GIRK2 has been found in the hippocampus of theTs65Dn mouse, which is the most widely used DS model. Enhanced GIRK2 levels result in a more hyperpolarized resting potential, cognitive deficits, reduced reversal of potentiation, and enhanced LTD in the Ts65Dn mice (reviewed in Cramer and Galdzicki 2012). Augmented coupling between GABA$_B$ receptors and GIRK2 channels in Ts65Dn hippocampus may potentially account for the impaired expression of LTP in the hippocampus (Kleschevnikov *et al.* 2004; Siarey *et al.* 2006). Several mechanisms are thought to mediate the excitatory–inhibitory imbalance, namely, the decreased numbers of excitatory synapses, excessive GABA drive as a result of higher synaptic vesicle release probability, and/or increased number of inhibitory interneurons in the neocortex and hippocampus (Chowdhury *et al.* 2010).

Ts65Dn mice represent the only model that has been used in the pre-clinical evaluation of drugs for improvement in learning and memory. It has been criticized as ~ 45% of Hsa21 orthologous genes do not show trisomy in those mice. Furthermore, this model bears an extra trisomic Mmu17 region, which carries genes that are not orthologs of Hsa21 genes. Despite these limitations, such mice survive until adulthood and present cognitive deficits, structural, and functional alterations that recapitulate the ones observed in DS individuals (Reeves *et al.* 1995; Escorihuela *et al.* 1998). Ts65Dn mice present age-associated shifts in the excitation–inhibition balance and showed a 30% reduction in the numbers of asymmetric synapses in the temporal cortex, whereas both symmetric and asymmetric synapses appear significantly larger (Kurt *et al.* 2000). In addition, Ts65Dn synaptic structures show reduced activity-dependent synaptic plasticity, as they are less responsive to stimulation (Dierssen

et al. 2003). Electrophysiological abnormalities include deficits in GABAergic and glutamatergic transmission in the hippocampus and cerebellum; among them are age- and cell type-specific deficits in hippocampal LTP and LTD (Siarey *et al.* 1999; Belichenko *et al.* 2009).

One of the key molecules governing neural circuitry formation in the developing brain is Down syndrome cell-adhesion molecule (DSCAM), a member of the immunoglobulin superfamily that has been linked to neurodevelopmental deficits in DS. It is expressed in various brain regions during development and its levels decrease after birth (Yamakawa *et al.* 1998; Agarwala *et al.* 2001). DSCAM is necessary for axon guidance and proper refinement of neuronal networks (Blank *et al.* 2011) and its different variants mark neurons with individual identity, thus fostering self-recognition during establishment of neuronal networks (Hattori *et al.* 2007; Fuerst *et al.* 2009). Increased levels of DSCAM in DS lead to abnormally large pre-synaptic arbors (Kim *et al.* 2013) and impair precise synaptic targeting and neural circuit function (Cvetkovska *et al.* 2013).

Recent studies point to endocytic dysfunction as an early pathological change in DS (Cataldo *et al.* 2000, 2008). Altered levels of two Hsa21-encoded proteins – intersectin and synaptojanin (encoded by *ITSN1* and *SYNJ1*, respectively) – impair the recycling of synaptic proteins, interfering with the pre-synaptic vesicle machinery in DS. In addition, DSCR1 and DYRK1A provide an upstream control for ITSN1 and SYNJ1 function in regulation of vesicle endocytosis; thus, in DS vesicle trafficking is deregulated at multiple levels (reviewed in Garner and Wetmore 2012). Another key gene triplicated in DS encodes amyloid-β precursor protein (APP). The extra APP copy results in elevated β-amyloid production, likely explaining the accelerated Alzheimer-like phenotype observed in DS patients and perhaps limiting synapse function and cognition during adulthood (reviewed in Head *et al.* 2016). Alterations in the endocytic pathway in DS contribute to amyloidogenesis and further promote β-amyloid deposits and tau pathology in DS brains (Cataldo *et al.* 2008).

Approaches targeting altered neurotransmitter systems and synaptic function, including the excitation–inhibition imbalance, have been proposed as therapeutic interventions to ameliorate cognitive deficits in DS. Chronic administration of non-competitive GABA$_A$R antagonists picrotoxin and pentylenetetrazole separately rescued compromised LTP and improved learning and memory in DS mice (Fernandez *et al.* 2007). Because of patient safety concerns regarding the seizure-inducing potential of pentylenetetrazole and higher susceptibility of DS individuals to seizures (Lujic *et al.* 2011), other compounds with similar blocking capabilities but reduced seizure-inducing features have now been under investigation, among which are molecules targeting specific subunits of GABA$_A$ receptors (Braudeau *et al.* 2011). These

actions of GABA$_A$ antagonists support the over-inhibition hypothesis by GABAergic circuitry in DS.

As a result of the high prevalence of early onset Alzheimer disease in DS, pharmacotherapies for DS based on drugs approved for Alzheimer disease have been proposed and tested in both mice and humans. Memantine, an uncompetitive antagonist of NMDA receptors, has also been proposed as a therapeutic approach to the management of DS because of the interaction of NMDAR with proteins encoded by Hsa21 genes (Siddiqui *et al.* 2008). Recent studies have shown that epigallocatechin gallate, a green tea catechin that promotes neuroprotection and neuroplasticity inhibits the kinase activity of DYRK1A, up-regulated in DS. Furthermore, epigallocatechin gallate promotes the non-amyloidogenic processing of APP (Fernandez *et al.* 2010), which would also ameliorate the amyloid burden in DS. In addition to pharmacological therapies, a number of non-pharmacological approaches have been tested in the attempt to alleviate DS structural and cognitive alterations. The enhancement of physiological neuroplasticity by early intervention programs, special education and cognitive stimulation, have been proposed for DS individuals as environmental enrichment is able to profoundly affect the brain structure and function at the molecular level resulting in the improvement of cognitive function in euploid people (reviewed in Baroncelli *et al.* 2010; Sale *et al.* 2009).

Hyperekplexia (Startle Disease)

Hyperekplexia, also termed startle disease, is a rare hereditary neurological disorder caused by dysfunction of glycinergic transmission. Hyperekplexia is characterized by the exaggeration of the normal physiological startle reflex to tactile, auditory, or other stimuli (Suhren *et al.* 1966). The cases of hyperekplexia can be divided into two groups: major and minor. Patients belonging to the 'major' group exhibit three hallmarks: stiffness at birth, exaggerated startling response, and temporary generalized stiffness following startle. 'Minor' patients demonstrate only the startling response without stiffness (Suhren *et al.* 1966; Andermann *et al.* 1980; Dooley and Andermann 1989; Brown *et al.* 1991; Pascotto and Coppola 1992; Tijssen *et al.* 2002). Babies generally present generalized stiffness shortly after birth which increases following handling but is not present during sleep (Koning-Tijssen and Brouwer 2000). Although it usually persists throughout life, proper treatment and aging can alleviate symptoms. Albeit rare, hyperekplexia may be associated with brain damage and sudden infant death related to breath-holding episodes. Stiffness of legs and wide gait are generally present in adult patients which often results in patients suffering regular falls (Kirstein and Silfverskiold 1958).

Mutations in glycinergic signaling

Defective glycinergic signaling is the major cause of hyperekplexia. GlyRs are composed of five homologous subunits; α1, α2, α3, α4, and β, which differ in both their patterns of distribution in the CNS and expression windows (reviewed in Lynch 2004). To date, mutations in five genes involved in glycinergic signaling have been associated with hyperekplexia. Mutations in the gene for the α1 subunit of the GlyR, *GLRA1,* form the most common cause of hyperekplexia (Shiang *et al.* 1993; Buckwalter *et al.* 1994; Rees *et al.* 1994; Saul *et al.* 1999; Chung *et al.* 2010). Mutations generally result in defective subcellular localization, trafficking, ligand sensitivity, or opening of the ligand-gated ion channel. Furthermore, decreased agonist affinity and GlyR-mediated chloride currents ultimately lead to impaired glycinergic signaling upon startle response and, hence, increases in muscle tone.

There are two mouse models harboring mutations within the α1 subunit of the GlyR: *cincinnati* mice and *oscillator* (*spd^{ot}*), both unfortunately showing lethal phenotypes (Buckwalter *et al.* 1994; Kling *et al.* 1997; Holland *et al.* 2006; Villmann *et al.* 2009). Homozygous *spd^{ot}* mice are lethal because of a premature stop codon in *Glra1* (Buckwalter *et al.* 1994). Surprisingly, the same mutation (R316X) does not lead to lethality in humans, although it also results in a premature stop codon (Tsai *et al.* 2004; Schaefer *et al.* 2015). The *spasmodic* (*spd*) mouse, which also carries a mutation within the α1 subunit of GlyR, exhibits milder symptoms with normal lifespan (Lane *et al.* 1987).

Surprisingly, except for *GLRA1,* no mutations have been found in any of the other *GLRA* genes coding for α subunits, but mutations in *GLRB*, coding for the β subunit, have been identified (Al-Owain *et al.* 2012). Mutations in *GLRB* give rise to more severe phenotypes than those seen in patients with *GLRA1* mutations, although the underlying mechanisms remain unclear. The *spastic mouse* (*spa*) harbors a mutation within the GlyR β subunit, developing a mild or severe phenotype depending on the genetic background strain (Kingsmore *et al.* 1994; Mulhardt *et al.* 1994; Becker *et al.* 2012).

Mutations in the glycine transporter GlyT2 (*SLC6A5*) are postulated as the second major cause of hyperekplexia (Rees *et al.* 2006). Mice with mutated GlyT2 also show a lethal phenotype (Rees *et al.* 2006; Harvey *et al.* 2008). In addition, mutations in genes encoding the GlyR- and GABA$_A$R-interacting protein gephyrin (*GPHN*) (Rees *et al.* 2003) and the exchange factor collybistin (*ARHGEF9*) (Harvey *et al.* 2004) have also been identified in hyperekplexia patients.

Autoantibodies

In 2008, a phenotype similar to classical hyperekplexia was described after discovery of autoantibodies against GlyR subunits in patients from 14 months to 65 years old (Damasio *et al.* 2013; Carvajal-Gonzalez *et al.* 2014). In addition to loss-of-function mutations, autoimmune antibodies could thus represent a novel mechanism of defective glycinergic

signaling in hyperekplexia. Although the underlying pathways are not clearly understood thus far, several case reports have been published favoring enhanced internalization of GlyRs as one of the likely pathomechanisms (Carvajal-Gonzalez *et al.* 2014). In line with this, patients with the stiff-person syndrome, closely related to hyperekplexia (Khasani *et al.* 2004), may produce autoantibodies against amphiphysin and show compromised central inhibition. Amphiphysin is involved in clathrin-mediated endocytosis. As GABAergic interneurons show fast neurotransmission, they need compensatory endocytosis, which is impaired by the autoantibodies against amphiphysin, leading to network disinhibition (Werner *et al.* 2016). Clonazepam, an allosteric potentiator of GABA$_A$Rs, is commonly used as effective therapy for hyperekplexia patients with different types of mutations (Andermann *et al.* 1980; Ryan *et al.* 1992; Bakker *et al.* 2009; James *et al.* 2013; Thomas *et al.* 2013). Thus, enhancing GABA-mediated inhibitory neurotransmission successfully counteracts deficits in the glycinergic neurotransmission, although the molecular mechanisms behind such effects remain unclear.

Epilepsy

Epilepsy comprises a group of common neurological disorders characterized by the occurrence of epileptic seizures, which are defined as uncontrolled and excessive synchronized electrical activity of central neurons. Several conditions, including infection, stroke, and traumatic brain injury, have been reported to increase the susceptibility of developing epilepsy, although a mechanistic understanding of neural events driving epileptogenesis is still limited (reviewed in Pitkänen and Lukasiuk 2009; Zelano *et al.* 2015; Pitkänen *et al.* 2016). Temporal lobe epilepsy (TLE) is the most frequent form of epilepsy, with the hippocampal formation and surrounding cortical regions being regarded as the most prevalently affected areas in the human brain (reviewed in Pitkanen *et al.* 2015). Epileptogenesis is an ongoing process, with substantial progress after the first seizure. In support of this notion, the first seizure episode acutely increases the risk of sequential events, and some studies have reported that human TLE can be progressive (reviewed in Pitkanen *et al.* 2015; Cardoso *et al.* 2006).

In addition, epilepsy can be triggered by genetic mutations affecting key modulators of neuronal function, including sodium channels, neurotransmitter transporters, and synaptic proteins (reviewed in Noebels 2015). The growing list of epilepsy-related mutations poses new questions as to how single gene mutations and acquired conditions, such as traumatic brain injury, could converge to similar epileptic phenotypes (reviewed in Staley 2015). It is worth mentioning that, although epileptogenesis usually initiates at early stages of life, epilepsy has a neurodegenerative component, facilitating aberrant synapse morphology and function that could result in cognitive failure and increased risk for dementia (Wittner *et al.* 2002; Leite *et al.* 2005; Keezer *et al.* 2016).

While the underlying cause of epilepsy remains largely unknown, current evidence supports a hypothesis in that an altered balance of excitatory and inhibitory circuits facilitates the occurrence of the first seizure episode, which in turn elicits cellular and molecular changes that may lower thresholds for sequential episodes. It is thought that, in TLE models, glutamatergic neurotransmission is enhanced (Peret *et al.* 2014; Soukupova *et al.* 2015), whereas GABA release is decreased (Soukupova *et al.* 2014), leading to aberrant signaling and an overall increase in excitatory neuronal transmission. Furthermore, alterations in neuronal morphology, glial cells, and ECM have been related to the onset and development of epilepsy (Wetherington *et al.* 2008; Quirico-Santos *et al.* 2013; Singh *et al.* 2013).

As a disorder affecting neurotransmission, it is reasonable to speculate that synapse function is compromised by epilepsy. Indeed, animal models of status epilepticus (SE) and epileptic patients present reduced dendritic branching, dendritic spine loss (von Campe *et al.* 1997; Isokawa 2000; Singh *et al.* 2013), and altered neurotransmitter receptor composition (Lopes *et al.* 2015; Zhou *et al.* 2015) at remaining synapses. Alterations in the subunit composition and distribution of AMPARs and NMDARs likely contribute to abnormal excitatory tonus during seizures (Lopes *et al.* 2015; Zhou *et al.* 2015). Likewise, it is conceivable that reduced inhibitory tonus arising from malfunctioning hippocampal interneurons may further exacerbate SE (Staley 2015).

Accumulating evidence indicates that molecules that act as neuromodulators could also participate in the pathogenesis of epilepsy. Indeed, neuropeptides and small molecule co-agonists known to regulate network excitability and transmission tonus have been investigated for their potential to enhance seizure threshold, and gene therapy has been proposed as a treatment approach (Riban *et al.* 2009). Among neuropeptides, brain-derived neurotrophic factor appears to promote epileptogenesis via TrkB activation (Liu *et al.* 2013; Gu *et al.* 2015), but neuropeptide Y was shown to suppress abnormal excitatory currents in human epileptic tissue (Ledri *et al.* 2015). In addition, disruption of adenosine and D-serine signaling mechanisms associates with post-synaptic molecular changes and lower seizure threshold (Klatte *et al.* 2013; Boison 2016). Finally, very recent evidence has shown a role for the endocannabinoid system in regulating susceptibility to epilepsy (reviewed in Soltesz *et al.* 2015). In fact, experimental observations have demonstrated that components of endocannabinoid signaling pathways can be deregulated in epilepsy, thereby dampening protective effects of tonic inhibition against seizures (reviewed in Soltesz *et al.* 2015).

Studying a very common disorder, that affects around 50 million people worldwide (as determined by the World Health Organization; http://www.who.int/mediacentre/factsheets/fs999/en/), the epilepsy research community is in dire

need of animal models that fully recapitulate human disease progression. This is mainly because of the underlying causes being largely unknown. Most *in vivo* experimental observations to date have been obtained from chemically induced SE in mice (reviewed in Pitkanen *et al.* 2015), in which drugs such as kainic acid or other neurotoxic agents are used to induce epileptic seizures. Nevertheless, such models have revealed several features that may contribute to the understanding of how normal networks start to seize.

Current therapeutics for epilepsy include anti-convulsants and anti-epileptics, such as valproate, which increases the amount of the inhibitory GABA neurotransmitter, and phenytoin, which prolongs inactivation of Na^+ channels. Levetiracetam is another commonly used anti-epileptic agent thought to act by binding to the pre-synaptic protein SV2a and reducing neurotransmitter release at glutamatergic synapses (reviewed in Lynch *et al.* 2004). The multivariate nature of epilepsy highlights the need to establish the full range of synapse/network modifications that develop in epileptic brains. Dissecting the awry components that mediate epileptogenesis might foster the amplification of available treatments, and may possibly reduce the current fraction of intractable cases.

Synapses and neurodegenerative diseases

Alzheimer disease

Alzheimer disease (AD) is a neurodegenerative disorder that accounts for around 60% of all dementia cases (Barker *et al.* 2002; Ferri *et al.* 2005). AD is a highly sporadic and idiopathic disease, with only a small fraction of cases (1–5%) clearly attributable to familial mutations. The most common AD-linked mutations are located in the amyloid-β precursor protein (APP) gene and in gene regions encoding the catalytic cores of the gamma-secretases presenilin 1 (*PSEN1*) or presenilin 2 (*PSEN2*) (Goate *et al.* 1991; Levy-Lahad *et al.* 1995; Sherrington *et al.* 1995). For sporadic AD, many genetic susceptibility factors have been described to date, the most important being apolipoprotein E (*APOE*) allele 4 (*APOE4*) (Corder *et al.* 1993).

AD brains contain extracellular deposits of the amyloid beta (Aβ) peptide, termed amyloid plaques, and intracellular neurofibrillary tangles (NFTs), mostly composed of aggregated tau protein (Selkoe *et al.* 2012). Notably, NFTs appear to precede amyloid plaque deposition during AD progression in humans (Braak and Braak 1997). Several etiopathogenic hypotheses have been put forward in AD, the most common being the amyloid cascade hypothesis (reviewed in Hardy and Higgins 1992; Hardy and Selkoe 2002) and the tau hypothesis (Gray *et al.* 1987), linking the histopathological hallmarks to AD initiation. These paradigms have been substantially improved over the years after findings that neither Aβ plaques nor NFT volume correlate well with the severity of dementia, whereas the loss of nerve endings and synaptic dysfunction more closely associates with cognitive impairment (Terry *et al.* 1991; Nagy *et al.* 1995; Kril *et al.* 2002).

Conversely, soluble Aβ oligomers, instead of deposited plaques, are now thought to underlie widespread neurotoxicity and synaptic loss (reviewed in Ferreira *et al.* 2015) and, indeed, the degree of dementia in patients correlates well with the levels of oligomers in *post mortem* brains (Lue *et al.* 1999; McLean *et al.* 1999; Gong *et al.* 2003; Bilousova *et al.* 2016). Levels of Aβ oligomers are increased in the brains of AD patients over control brains (Gong *et al.* 2003; Xia *et al.* 2009), and their synaptic presence is linked to poorer cognition regardless of classical neuropathology (Bjorklund *et al.* 2012; Bilousova *et al.* 2016). Studies in transgenic animal models of AD have shown that synaptic and cognitive impairments are associated with the elevation in soluble oligomeric Aβ species prior to the appearance of plaques and tangles (Mucke *et al.* 2000; Billings *et al.* 2005; Tu *et al.* 2014), and studies in rodents suggest that soluble Aβ oligomers, but not monomers, can disrupt hippocampal LTP and can also impair memory (Walsh *et al.* 2002).

The precise mechanisms of Aβ toxicity are not fully understood, but it is believed that oligomers can interact with a number of synaptic proteins including mGluRs and iGluRs (De Felice *et al.* 2007; Renner *et al.* 2010; Um *et al.* 2013), α7-nicotinic acetylcholine receptors (Wang *et al.* 2000), p75 neurotrophin receptor (Yaar *et al.* 1997; Sotthibundhu *et al.* 2008), NLGN-1 (Dinamarca *et al.* 2011), cellular prion protein (Lauren *et al.* 2009), and PSD95 (Pham *et al.* 2010). The synaptic action of Aβ triggers aberrant activation of NMDARs (Wu and Hou 2010), Ca^{2+} deregulation (Lazzari *et al.* 2015), and cellular stress, which in turn leads to synaptic dysfunction and neuronal loss (reviewed in Ferreira *et al.* 2015). Soluble Aβ further exacerbates neurotransmitter release at excitatory synapses, including glutamate and the NMDAR co-agonist D-serine, thereby triggering post-synaptic neurotoxicity and synapse failure (Paula-Lima *et al.* 2013; Talantova *et al.* 2013; Madeira *et al.* 2015). The fact that cognitive decline appears in patients before extensive neuronal loss is detected reinforces the notion that synapse dysfunction is likely to be an early cause for memory loss in AD (reviewed in Selkoe 2002; Ferreira *et al.* 2015).

Accumulating evidence indicates that mechanisms of cellular stress and apoptosis could be overactive in AD (reviewed in D'Amelio *et al.* 2012; Lourenco *et al.* 2015) and some reports have postulated that such processes could also be locally activated to prune synapses or limit their function (Li *et al.* 2010; Jo *et al.* 2011; Lourenco *et al.* 2013; Erturk *et al.* 2014). For example, the activation of stress-related kinases has been recently implicated in synapse and cognitive dysfunction in AD (reviewed in Lourenco *et al.* 2015), and this might relate to impaired local translation at synapses (Lourenco *et al.* 2013; Ma *et al.* 2013). Another example arises from the non-apoptotic role of caspases in limiting synapse function (Li *et al.* 2010; Jo *et al.* 2011; Erturk *et al.*

2014). Notably, impairments in synapse plasticity initiated by Aβ are thought to require caspase 3 activation (Jo *et al.* 2011).

Recent data has also implicated epigenetic alterations as mediators of AD-related synapse and memory defects. AD brains present altered epigenetic/epigenomic signatures (Gräff *et al.* 2012; Gjoneska *et al.* 2015; Shen *et al.* 2016), and increased activity of histone deacetylases contribute to disturbances in brain transcriptional profiles and memory in animal models of AD (Gräff *et al.* 2012; Benito *et al.* 2015). Consistently, histone deacetylases inhibitors were shown to attenuate memory impairment in AD mice (Ricobaraza *et al.* 2009, 2010; Kilgore *et al.* 2010). Additional evidence has further suggested a role for neuronal cell cycle re-entry in AD-linked pathological changes (McShea *et al.* 2007; Varvel *et al.* 2008; Bonda *et al.* 2009; Bhaskar *et al.* 2014; Shen *et al.* 2016).

In addition to Aβ, PSEN function was also shown to be important for synaptic transmission, as conditional knock out of presenilin was shown to impair NMDA receptor function and LTP, and PSEN mutations were demonstrated to cause abnormal calcium handling in neurons (Zhang *et al.* 2010). Several other protein defects have also been described in AD pathology, including protein phosphatase 2A family of proteins and their regulators, which have been linked to amyloidogenesis, tau hyperphosphorylation, and synapse deficits (Sontag and Sontag 2014). Furthermore, post-transcriptional regulation by regulatory microRNAs (miR-NAs) is altered in AD patients. For example, miR-132, a regulator of tau (Lau *et al.* 2013) and acetylcholinesterase (Shaked *et al.* 2009), is drastically down-regulated in AD patients (Lau *et al.* 2013) leading to elevated tau aggregation (Smith *et al.* 2015). Importantly, tau pathology appears to be itself a substantial contributor of synapse dysfunction in AD, and has been recently proposed to propagate across brain structures, in a manner similar to Aβ (reviewed in Spires-Jones and Hyman 2014).

For many years, molecular explanations of AD progression have relied on isolated and competing hypotheses that would not intersect (as evidenced in disputes between the so-termed BAPtist and TAUist groups, and reviewed in Selkoe *et al.* 2012). Nevertheless, a novel molecular understanding of AD has integrated these opposing views in a converging scenario toward synapse failure as underlying cause of cognitive decline. As expected for a complex disease, Aβ, tau, and APOE4 features appear to interact to promote cognitive decline and neurodegeneration in AD, whereas they may independently contribute to synapse malfunction and cognitive impairment (Shankar *et al.* 2008; Zhu *et al.* 2012; Min *et al.* 2015). APOE4 is known to stimulate brain Aβ deposition (Reiman *et al.* 2009) and, in turn, Aβ promotes abnormal tau phosphorylation (De Felice *et al.* 2008; Jin *et al.* 2011) to mediate synapse and memory defects in mouse models of AD (Roberson *et al.* 2007, 2011; Ittner *et al.* 2010). Notably, APOE4 appears to impair synapses, at least in part, through the action of Aβ oligomers and tau (Andrews-Zwilling *et al.* 2010; Koffie *et al.* 2012). Thus, multiple factors could act as initiators of common signaling pathways that ultimately lead to synapse impairment and AD neuropathology.

Current pharmacological treatments, largely based on enhancing cholinergic signaling or attenuating NMDAR function at glutamatergic synapses, only provide modest symptomatic relief and do not treat the underlying cause or delay disease progression (reviewed in Selkoe 2011). Observations arising from two Phase III anti-amyloid clinical trials have been somewhat disappointing, and have strengthened the notion that combating deposited amyloid would not represent the most effective strategy toward AD therapy (Doody *et al.* 2014; Salloway *et al.* 2014). Although synapses do not appear to be the starting point for AD pathology, the notion that several upstream pathogenic mechanisms act synergistically to promote synapse dysfunction/loss indicate that strategies aimed at preventing synapse failure could provide greater therapeutic benefit for cognitive decline in AD.

Parkinson disease

Parkinson disease (PD) is characterized by progressive degeneration of nigrostriatal dopaminergic neurons, leading to loss of motor function, rigidity, postural instability, tremor, and bradykinesia. Both familial (5–10% of all cases) and acquired (90–95% of all cases) forms of Parkinsonism are usually caused by defects in dopamine (DA) metabolism. PD leads to profound immobility and disability that usually affects people over the age of 60. Decreases in DA inputs from basal ganglia result in impaired control of motor circuits that ultimately leads to clinical symptoms. Deposition of Lewy bodies (LB), protein aggregates-containing alpha-synuclein (α-syn) often found in remaining dopaminergic neurons, is regarded as central to the pathogenic mechanisms underlying PD (Spillantini *et al.* 1997). Notably, synapse dysfunction contributes to the progression of PD, with impairments in protein trafficking, autophagy, and mitochondrial function (Lynch-Day *et al.* 2012; Hunn *et al.* 2015; Pickrell and Youle 2015). Although most PD cases are idiopathic, some autosomal-dominant genetic causes have been identified, enabling the investigation of possible pathogenic mechanisms, including *PARK1 – PARK8* mutations.

Dopaminergic neurons within the substantia nigra (SN) preferentially degenerate within PD pathogenesis. A neuroanatomical analysis of SN indicated that susceptible neurons have 10–100 times the number of synapses of neighboring neurons, with relatively large axonal lengths (~ 50 mm in rats) (reviewed in Bolam and Pissadaki 2012). This poses a large demand for efficient trafficking of organelles and vesicles within these neurons and may explain the selective vulnerability of these cells in PD (Giehm *et al.* 2011).

Synaptic dystrophy characterizes the early stages of PD neuropathology (Janezic *et al.* 2013). SNARE protein complexes, which mediate the membrane fusion of exocytosis vesicles, are prone to misfolding and non-specific interactions, thus depending on efficient chaperones to remove them from the synapse (Chandra *et al.* 2005). α-syn is one such oligomerization-prone chaperones that cause mislocalization of SNARE complexes associated with decreased DA release and synaptic dystrophy (Tokuda *et al.* 2010; Winner *et al.* 2011; Rockenstein *et al.* 2014). It is thus possible that dysfunctional dopaminergic synapses contribute to disease progression even before evident neurodegeneration takes place.

Leucine-rich repeat kinase 2 (LRRK2) is a large multidomain protein, whose mutations relate to autosomal-dominant forms of PD, and variations in *LRRK2* have been associated with up to 40% of sporadic PD cases in defined cohorts (Piccoli *et al.* 2011). The function of LRRK2 has not been completely understood, although growing evidence implicates roles in intracellular trafficking, microtubule stability, vesicular recycling, and autophagy (reviewed in Berwick and Harvey 2013; Law *et al.* 2014; Berwick and Harvey 2011). As expected, mutated LRRK2 correlates with decreases in synaptic plasticity and neurogenesis (Matta *et al.* 2012; Berwick and Harvey 2013). LRRK2 has also been shown to interact with other genes associated with sporadic PD susceptibility, including rab-7-like protein 1 (RAB7L1) and cyclin-G-associated kinase (MacLeod *et al.* 2013; Beilina *et al.* 2014), proteins that promote the removal of vesicles through the autophagy–lysosome pathway. LRRK2-positive membrane compartments are involved in pre-synaptic vesicle trafficking and autophagy, as demonstrated by localization of LRRK2 to synaptic vesicles (Xiong *et al.* 2010; Piccoli *et al.* 2011), electrophysiological defects following knock-down or over-expression of LRRK2 in cultured neurons (Shin *et al.* 2008; Piccoli *et al.* 2011), and biochemical interaction
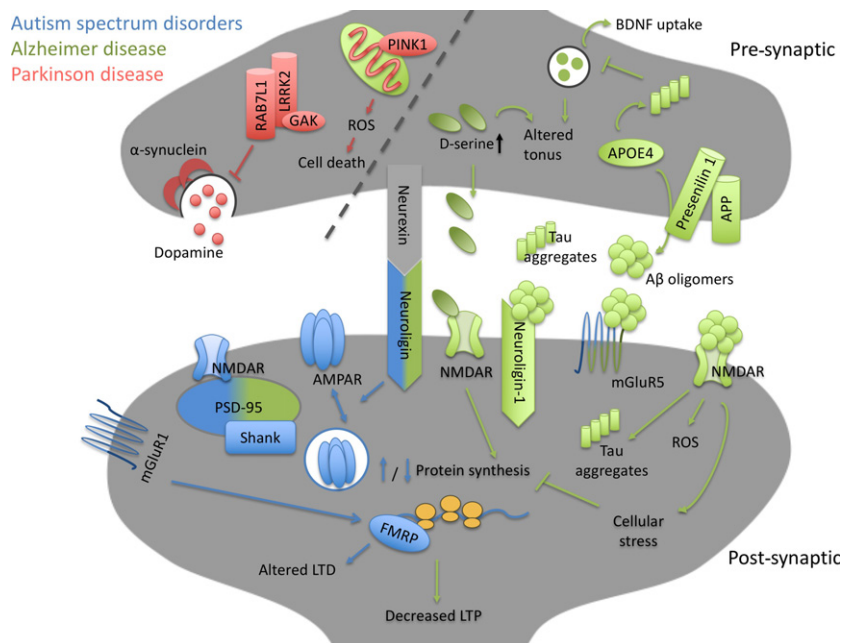

Fig. 1 Schematic overview of excitatory synapse-linked disease mechanisms. Functional overview of the excitatory synapse with main proteins and pathways affected in autism spectrum disorders (ASD), Alzheimer disease (AD), and Parkinson disease (PD). Proteins and pathways are color coded for each disorder (ASD – blue; AD – green; PD – red). Dashed line divides the pre-synaptic part into dopaminergic and glutamatergic terminals. ROS – reactive oxygen species. Altered (either increased or decreased) function of NMDA and AMPA receptors, disrupted mGluR signaling and consequently changes in long-term depression (LTD) are postulated to play a crucial role in the development of ASD. Such mechanisms likely culminate in altered protein synthesis at the post-synapse. Notably, FMRP deficiency results in elevated translation and autism-like behaviors in FXS. In AD, the post-synaptic action of Aβ oligomers and tau aggregates result in deregulation of NMDA receptors (NMDARs), cellular stress, and decreased protein synthesis. Aβ oligomers further act through interactions with neuroligin-1 and mGluR5 to impair neuronal homeostasis. Pre-synaptic disturbances, including impaired BDNF transport and excessive D-serine production, have also been associated with AD. Such abnormal molecular cascades ultimately result in defective LTP and memory. In PD, defective autophagy and α-synuclein-mediated mislocalization of SNARE complexes associate with decreased DA release and mitochondrial function. This leads to increases in oxidative stress, likely driving impaired dopaminergic tonus and neuronal death that are characteristic of PD.
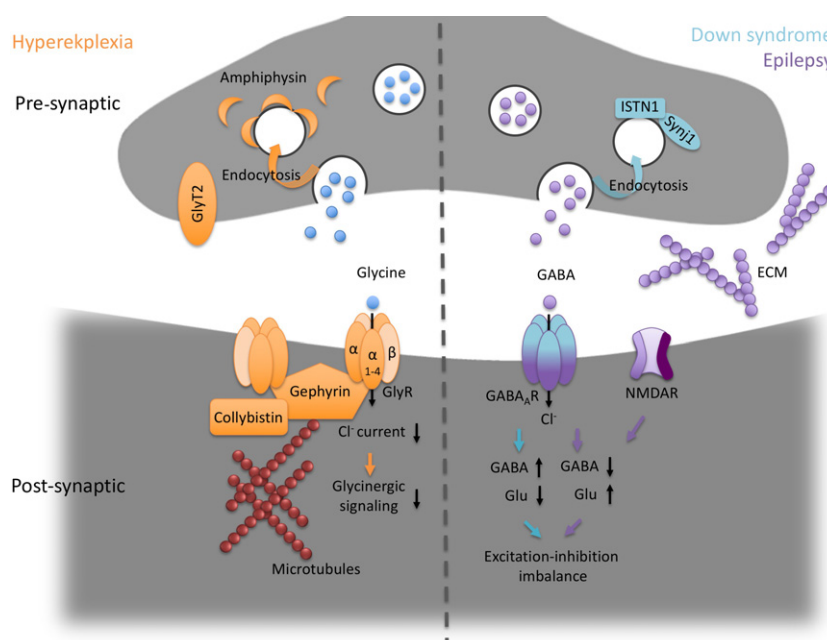
Fig. 2 Schematic overview of inhibitory synapse-linked disease mechanisms. Functional overview on the inhibitory synapse with main proteins and pathways affected in hyperekplexia, Down syndrome (DS), and epilepsy. Proteins and pathways are color coded for each disorder (hyperekplexia – orange; DS – blue; epilepsy – purple). Dashed line divides the scheme into glycinergic and GABAergic synapse. The major cause of hyperekplexia is defective glycinergic signaling resulting from mutations in genes involving subunits of GlyRs, glycine transporter, or GlyR-interacting proteins. Excitation–inhibition imbalance underlies deficient synapse function in epilepsy and DS. In epilepsy, abnormal excitatory tonus results from decreased GABA release and concurrent enhanced glutamatergic neurotransmission. In DS, an over-inhibition of synapses by increased GABAergic circuitry and endocytic dysfunction is considered the main synaptic hallmark of the disease.

between LRRK2 and the early endosomal marker Rab5b (Shin *et al.* 2008). Defective autophagy appears to play a significant role in synaptic dysfunction in the PD neurodegenerative cascade (reviewed in Plowey and Chu 2011). Functional loss of autophagy induces PD-linked features and modifies synapse structure and function (Ahmed *et al.* 2012). In addition to LRRK2 (Nakamura *et al.* 2011), a number of PD-associated proteins, including α-syn (Lynch-Day *et al.* 2012) and PINK1 (Li *et al.* 2005), have been linked to autophagy.

Research in the field of PD pathogenesis has mostly focused on dopaminergic defects in the SN, ultimately corresponding to PD motor outcomes. However, recent evidence has indicated that other neurotransmitter systems become affected in PD, and may respond to comorbid alteration in patients. For instance, patients and experimental models of PD present altered serotonergic and adrenergic transmission (Buddhala *et al.* 2015; Deusser *et al.* 2015). Deficits in both dopamine and serotonin-dependent mechanisms might further explain the depressive behavior frequently reported in PD. In addition, PD mice present impaired synaptic plasticity in the hippocampus, which might correlate with cognitive deficits (Sweet *et al.* 2015). Hence, rather than being restricted to SN, synapse

dysfunction appears to be present at several brain regions and in different neurotransmitter systems, likely explaining the complex phenotype in PD.

Significant advances have been made in discovering therapeutic options to minimize motor impairment in PD. In fact, administration of dopamine precursors, such as L-DOPA, or agents that stimulate dopamine synthesis have been clinically in use and effectively rescue motor impairment in humans. Still, etiological components, as well as the molecular points of convergence in PD, remain to be established to optimize therapeutic approaches. Cellular mechanisms that directly impact synaptic function, including autophagy, endosomal trafficking, and mitochondrial homeostasis, likely constitute effective future interventional targets in PD therapy. In this regard, a deeper understanding of the common bases of neurotransmission and synapse dysfunction triggered in both sporadic and familial forms of PD might offer new possibilities for treating this debilitating disorder.

Concluding remarks

The intricate assembly of molecular machineries at the synapse works coordinately to mediate proper synaptic

activity in the brain. Impairing the function of a single component in this convoluted system can compromise synapse function, ultimately leading to a group of brain diseases termed synaptopathies. The discovery of several synapse-linked gene mutations associated with different brain disorders has opened the possibility that synapse-targeted therapeutics could be of assistance in such disorders. More recently, the notion of synaptopathies has been extended to include not only diseases originated at the synapse but also all those that present synapse defects as a leading route to clinical symptoms. Thus, genetic or sporadic conditions that lead to abnormal excitatory (summarized in Fig. 1) or inhibitory (Fig. 2) synapse function could pave the way for neurodevelopmental or age-related disorders to manifest.

Whereas traditional therapeutic options for synapse-linked diseases have mostly focused on pharmacological approaches to correct neurotransmitter signaling, recent paradigm shifts in the field of synaptopathies could offer novel translational perspectives that consider additional components of synapses. In this regard, large-scale projects have been devoted to understand how alterations in single synaptic components could result in major symptoms of neurological diseases (Bayés *et al.* 2010; Grant 2012). Thus, understanding these neurological diseases through 'synaptic glasses' could result in perspectives that likely yield novel therapeutic approaches for neurodevelopmental and/or neurodegenerative disorders.

Acknowledgments and conflict of interest disclosure

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