



Left Dorsolateral Prefrontal Cortex Glx/tCr Predicts Efficacy of High Frequency 4- to 6-Week rTMS Treatment and Is Associated With Symptom Improvement in Adults With Major Depressive Disorder: Findings From a Pilot Study

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About 20-40% of estimated 121 million patients with major depressive disorder (MDD) are not adequately responsive to medication treatment. Repetitive transcranial magnetic stimulation (rTMS), a non-invasive, non-convulsive neuromodulation/neurostimulation method, has gained popularity in treatment of MDD. Because of the high cost involved in rTMS therapy, ability to predict the therapy effectiveness is both clinically and cost wise significant. This study seeks an imaging biomarker to predict efficacy of rTMS treatment using a standard high frequency 10-Hz 4- to 6-week protocol in adult population. Given the significance of excitatory and inhibitory neurotransmitters glutamate (Glu) and gamma aminobutyric acid (GABA) in the pathophysiology of MDD, and the involvement of the site of rTMS application, left dorsolateral prefrontal cortex (IDLPFC), in MDD, we explored IDLPFC Glx (Glu + glutamine) and GABA levels, measured by single voxel magnetic resonance spectroscopy (MRS) with total creatine (tCr; sum of creatine and phosphocreatine) as reference, as possible biomarkers of rTMS response prediction. Mescher-Garwood point-resolved spectroscopy (MEGA-PRESS) MRS data from 7 patients (40-74 y) were used in the study; 6 of these patients were scanned before and after 6 weeks of rTMS therapy. Findings from this study show inverse correlation between pretreatment IDLPFC GIx/tCr and (i) posttreatment depression score and (ii) change in depression score, suggesting higher Glx/tCr as a predictor of treatment efficacy. In addition association was observed between changes in depression scores and changes in Glx/tCr ratio. The preliminary findings did not show any such association between GABA/tCr and depression score.

Keywords: repetitive transcranial magnetic stimulation (rTMS), major depressive disorder (MDD), magnetic resonance spectroscopy (MRS), glutamate, gamma aminobutyric acid (GABA)

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INTRODUCTION

Major depressive disorder (MDD), which has a lifetime prevalence of 15% (1), does not respond adequately to medication treatment in \sim 20-40% of affected patients (2), and these patients have higher morbidity and mortality than those with disease that responds to medication (3, 4). Although electrical stimulation techniques such as electroconvulsive therapy (5-7), vagus nerve stimulation (8-10), and deep brain stimulation (11-13) are suitable for medication-resistant MDD, they are invasive in nature. Repetitive transcranial magnetic stimulation (rTMS), on the other hand, is a non-invasive, non-convulsive neuromodulation/neurostimulation method that has gained popularity for the treatment of MDD that is not responsive to medication (14–37). In particular, high-frequency (>5 Hz) rTMS applied to the left dorsolateral prefrontal cortex (lDLPFC) has been found to significantly decrease Hamilton Depression Rating Scale (HAM-D) scores in patients with medicationresistant MDD (38, 39). Standard and most optimal rTMS therapies are administered at a frequency of 10 Hz (40, 41) over 4-6 weeks (40).

In patients with MDD, rTMS has been reported to change the balance of excitation and inhibition in cortical networks (42, 43), and the antidepressant effect from rTMS has been attributed in part on modulation of the major excitatory neurotransmitter glutamate (Glu) and the major inhibitory neurotransmitter gamma aminobutyric acid (GABA) (44). Multiple studies have documented the involvement of these neurotransmitters in the pathophysiology of MDD (45-51). Changes in cortical Glu or Glx (Glu + glutamine) and GABA levels in patients with MDD have been investigated using in vivo magnetic resonance spectroscopy (MRS). In spite of some differences in acquisition (e.g., Mescher-Garwood point-resolved spectroscopy [MEGA-PRESS] vs. short echo time [TE] PRESS), analysis, and quantification methodologies (e.g., absolute levels vs. ratios), these studies have demonstrated a reduction in cortical Glu or Glx and GABA levels associated with MDD (45, 48-50, 52). More specifically, reduced PFC Glx level in patients with MDD has been reported in several studies (45, 53, 54). Researchers have suggested that dysfunction of the glutamatergic system and malfunction in Glu metabolism are contributing factors to the neurobiology and pathophysiology of MDD (55, 56), and the efficacy of glutamatergic agents (glutamatergic targets/receptors such as ketamine, mamantine, riluzole, dextromethorphan, AZD6765 etc.) for the treatment of MDD has been reported (56, 57). Studies have also shown that reduced cortical GABA level is associated with dysfunctional GABAergic interneurons and GABAA receptors; affected GABAergic transmission has been proposed as a mechanism of MDD (58-60). rTMS studies have shown deficits in cortical inhibition in adults with MDD (61, 62); while in children and adolescents increased excitatory cortical facilitation with unchanged cortical inhibition was observed (63).

Multiple *in vivo* studies of Glu and GABA modulation after rTMS in patients with MDD have been performed (58, 64–66). In one study using MEGA-PRESS, the medial prefrontal cortex (MPFC) Glu level was unchanged but the GABA

level was elevated after 25 sessions of 10-Hz rTMS therapy applied at the lDLPFC (58). In another study using PRESS and involving 10 sessions of 20-Hz rTMS, an increase in Glu level was seen in the DLPFC, with no changes seen in the anterior cingulate cortex (64). In a short TE PRESS study of young adults treated with 10-Hz rTMS for 15 days, the lDLPFC Glu level was increased in responders but reduced in non-responders (65). Another study using MEGA-PRESS demonstrated an increase in DLPFC GABA level after 6 weeks of 10-Hz rTMS therapy (67).

The prefrontal cortex has been shown to be important in the pathogenesis of MDD (68, 69), and decreased activation of the cortical areas of the mood-regulating circuit has also been reported in patients with MDD (70, 71). More specifically, several studies have shown abnormalities in the DLPFC in patients with MDD (72-76), with affected patients demonstrating reduced levels of GABA and Glx (Glu + glutamine [Gln]) in the DLPFC (45, 77, 78). Lower metabolic activity in the DLPFC (79) as well as lower functional connectivity within the cognitive control network (80), a network that contains the DLPFC, has been reported in depression. In addition MDD is associated with reduced prefrontal cortex gray matter volume, cell counts and glucose metabolism (81). These abnormal (mostly left) prefrontal cortex activities in MDD therefore make the DLPFC a logical and popular rTMS target (73, 81-83).

Differences in Glu levels between responders and nonresponders to antidepressants (84) and rTMS therapy (64, 65) suggest that Glu level is a predictor of therapy outcomes in MDD. More specifically, studies have demonstrated that responders to rTMS therapy have lower baseline DLPFC Glu levels than non-responders (64, 65), suggesting that baseline Glu level could be a predictor of response to rTMS therapy. However, most of these studies included only young adults or were carried out over a different period of time than the standard and optimal 4- to 6-week period (40). Thus, additional research is needed to establish an imaging biomarker that can be used to predict the success of rTMS treatment using a standard 10-Hz (40, 41) 4- to 6-week protocol in the adult population. Identifying such biomarker is significant from out of pocket patient expense also, since rTMS therapy is quite costly (can range from ~6,000 to ~\$15,000 for 30 sessions in the USA depending on the location, center, applicable discounts and insurance coverage) and is often not covered by insurance.

In this longitudinal study, we measured Glx/tCr and GABA/tCr at the IDLPFC, the site of rTMS application, to determine whether the baseline measures of these could be used to predict outcomes after 6 weeks of 10-Hz rTMS therapy. To this end, we assessed the association between these baseline ratios (Glx/tCr and GABA/tCr) and change in 17-item Hamilton Depression (HAM-D) score after rTMS, as well as the association between the baseline ratios and posttreatment HAM-D score. In addition, we evaluated the Glx/tCr and GABA/tCr ratios to track recovery after rTMS therapy, i.e., we assessed the association between the changes of these ratios and HAM-D scores in response to rTMS therapy.

MATERIALS AND METHODS

The study was performed following an IRB-approved protocol. All patients provided written informed consent. We initially enrolled 12 patients (4 men; mean age, 53 y \pm 15 y; range, 23–74 y) who had an HAM-D score >15 and who met the DSM-IV-TR (85) criteria for MDD inadequately responsive to at least one antidepressant despite treatment with an adequate dosage for at least 8 weeks (the indication for rTMS approved by the Food and Drug Administration). Patients were recruited from Center of Behavioral Health outpatient psychiatry clinic for mood disorders at our center. Two of these patients did not complete the study, undergoing only 1 MR imaging session and 3 patients had excessive motion during the pretreatment scan; thus, the final analysis consisted of 7 patients.

Of the 7 subjects included in the final analysis, 6 subjects were on antidepressants in combination with low dose 2nd generation neuroleptics (n = 4), mood stabilizers (n = 2), stimulants (n = 2) and other augmentation agents (n = 2). Low dose anti-anxiety medications were allowed per inclusion criteria (n = 4). Patients were asked to remain on the same dosages on all of the medications during the course of the rTMS treatment. No new medications and/or other non-medication treatment modalities were started at least 1 month before or during the acute rTMS series.

rTMS Protocol

rTMS was performed using a MagPro R-30 magnetic stimulator (MagVenture, Farum, Denmark) with "cool B-65" magnetic coil, a device that has been used effectively in previous studies (14, 86, 87). Each patient underwent rTMS therapy sessions 5 times per week for a total of 6 weeks (total of 30 rTMS sessions); we selected a duration of 6 weeks because previous studies have used 4-6 weeks of treatment to testy for rTMS effectiveness (14, 88, 89). Each session lasted \sim 40 min and used the following parameters: frequency, 10 Hz; power, 120% of the motor threshold (i.e., minimum amount of energy needed to trigger thumb movement); duration of stimulus, 4 s; intertrain interval, 26 s; number of pulses per train, 75; and total number of pulses, 3,000. In order to locate the lDLPFC, first the left motor strip controlling the movements of the right thumb was located. The coil was then advanced 5 cm on to the anterior of the motor strip to target the lDLPFC. An experienced staff psychiatrist (MA) administered the rTMS and also performed HAM-D assessment at baseline and every 2 weeks.

MR Imaging

MR scans were performed on a Siemens 3T Prisma scanner (Erlangen, Germany) using a 20-channel coil head/neck coil. Each patient was scanned within 1 week before starting rTMS therapy (pretreatment scan) and within 1 week after the end of 6 weeks of therapy (posttreatment scan).

Each MR session consisted of the following scans: (1) Localizer scan to obtain scout images: scan time, 9 s; (2) Gradient recalled echo scan for field-mapping: 32 axial slices; thickness, 4 mm; field of view (FOV), 256 mm \times 256 mm; dual echo times (TE1/TE2)/repetition time (TR)/flip angle (FA), 4.89

ms/7.35 ms/388 ms/60°; matrix, 64×64 ; bandwidth, 260 Hz; scan time, 36 s; (3) T1-weighted anatomical magnetization prepared rapid acquisition gradient echo (MPRAGE) scan: 120 axial slices; thickness, 1.2 mm; FOV, 256 mm \times 256 mm; inversion time/TE/TR/FA, 1,900 ms/1.71 ms/900 ms/8°; matrix, 256 \times 128; bandwidth, 62 kHz; scan time, 4 min 5 s; and (4) Mescher-Garwood point-resolved spectroscopy (MEGA-PRESS) scan for GABA and Glx measurement of a 2 \times 2 \times 2 cm³ voxel in the lDLPFC: TR, 2,700 ms; TE, 68 ms; frequency-selective 180° pulses at 1.9 (ON-resonance) and 1.5 ppm (OFF-resonance, to minimize macromolecule contamination of GABA); minimum achievable frequency selective pulse bandwidth (~44 Hz); number of averages, 128 per condition (ON-/OFF-resonance); weak water suppression (to use residual water fluctuation to assess patient motion); scan time, 10 min 53 s. A trained technologist ensured that the IDLPFC voxel locations (Figure 1) were closely matched between the pretreatment and posttreatment sessions. The patients bit onto a bite-bar during all scans to reduce head motion. For all spectroscopy scans, shimming was performed using the FASTESTMAP shimming routine (90).

MRS Data Analysis

Postprocessing of MRS data was performed using the MRUI software package (91) following the method described by Bhattacharyya et al. (92). Postprocessing consisted of zero-order phase correction and frequency shift correction of the individual subspectra using residual water as a reference, averaging the individually phase- and frequency-corrected spectra, residual water suppression with Hankel-Lanczos squares singular value decomposition (HLSVD) filter (93), apodization by a 5-Hz Gaussian filter, and zero filling The OFF-resonance spectrum was subtracted from the ON-resonance spectrum to obtain the final edited spectrum. Motion was identified retrospectively using residual water signal fluctuation as an indicator (92).

Next the ~3.75-ppm Glx and 3.01-ppm GABA peaks from the edited spectrum were fitted as double Gaussian peaks using the AMARES algorithm (94) with zero-order phase correction. The 3.04-ppm creatine (tCr) peak was fitted similarly from the OFF-resonance spectrum. Glx/tCr and GABA/tCr levels were obtained from I_{Glx}/I_{tCr} and I_{GABA}/I_{tCr}, respectively, where I_{Glx}, I_{GABA}, and I_{tCr} represent areas of the Glx, GABA, and tCr fits, respectively. Edited spectral fitting was done by including the ~2.3 ppm GABA+Glu and inverted NAA peaks as well, but that did not have any effect on I_{Glx} or I_{GABA}.

Statistical Analysis

Percent (%) changes in HAM-D score and Glx/Cr were determined using the expressions

$$\frac{(\text{Posttreatment HAMD}) - (\text{Pretreatment HAMD})}{\text{Pretreatment HAMD}} \times 100$$

and

$$\frac{(\text{Posttreatment Glx/Cr}) - (\text{Pretreatment Glx/Cr})}{\text{Pretreatment Glx/Cr}} \times 100 \quad (1)$$



respectively. Non-parametric Wilcoxon signed rank test was between pre- and posttreatment HAM-D scores. Spearman correlation coefficient was used to characterize the association between (1) pretreatment DLPFC Glx/tCr (and GABA/tCr) ratios and changes in HAM-D scores (from pretreatment to 6 weeks posttreatment) and (2) changes in DLPFC Glx/tCr (and GABA/tCr) ratios and changes in HAM-D scores.

RESULTS

Some MRS datasets had to be discarded because of excessive motion. A total of 3 patients had pretreatment scans that could not be used because of excessive motion, and 1 of these patients also had a posttreatment scan that could not be used because of excessive motion. Thus, 7 motion-free pretreatment scans and 6 motion-free posttreatment scans were used for analysis. A Representative single-patient edited spectra (original, estimate and residual spectra) at the IDLPFC are shown in **Figure 1**.

From Wilcoxon signed rank test, significant decrease in HAM-D scores was observed for the 10 patients who completed the study (pretreatment score, 20 ± 3 ; posttreatment score, 8 ± 6 ; p = 0.006). Of the 7 patients (age: 59 ± 13 y) with motion-free pretreatment scans the pretreatment and posttreatment HAM-D scores were 21 ± 3 and 11 ± 8 , respectively (p = 0.016), while the pretreatment and posttreatment HAM-D scores for the 6 patients (age: 59 ± 13 y) with both motion-free scans were 20 ± 3 and 10 ± 8 , respectively (p = 0.031).

Overall, no significant changes in Glx/tCr or GABA/tCr were observed as a result of rTMS therapy (**Table 1**). Inverse Spearman correlations were observed between (1) posttreatment HAM-D score and pretreatment IDLPFC Glx/tCr (n = 7; p < 0.0005) and (2) change in HAM-D score and pretreatment IDLPFC Glx/tCr (n = 7; p = 0.001; **Figures 2A,B**). No such significant correlations were observed between (1) posttreatment HAM-D score and pretreatment GABA/tCr (n = 7; p = 0.66) and (2) change in HAM-D score and pretreatment IDLPFC GABA/tCr (n = 7; p = 0.39). A significant correlation was observed between change in HAM-D score and change in Glx/tCr in the IDLPFC

TABLE 1	Depression ratings	Glx and GABA	levels before	and after rTMS	S treatment
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Variable	Pretreatment value	Posttreatment value	p
HAM-D score ($n = 10$)	20 ± 3	11 ± 7	0.0007
Glx/tCr (n = 6)	0.21 ± 0.04	0.24 ± 0.05	0.21
GABA/tCr (n = 6)	0.11 ± 0.02	0.13 ± 0.06	0.20

Data are presented as mean ± SD. HAM-D, Hamilton Depression Rating Scale; Glx, glutamate + glutamine; tCr, total creatine; GABA, gamma aminobutyric acid.

(n = 6; p = 0.02; Figure 3); no such association was observed between change in HAM-D score and change in GABA/tCr (n = 6; p = 0.45).

It should be pointed out that HAM-D scores were obtained every 2 weeks and similar analyses were run using the 4-week HAM-D scores. Significant decrease in HAM-D scores were observed in 7 patients with motion-free pretreatment scans (4week HAM-D score = 10 ± 7 , p = 0.022). Similar to 6-week data, inverse Spearman correlations were seen between (1) 4week HAM-D score and pretreatment lDLPFC Glx/tCr (n = 7; p < 0.0005) and (2) change in HAM-D score in 4 weeks and pretreatment lDLPFC Glx/tCr (n = 7; p < 0.0005).

DISCUSSION

In this study, patients treated with 6 weeks of 10-Hz rTMS targeting the lDLPFC demonstrated a decrease in HAM-D score; however, no overall changes in Glx/tCr or GABA/tCr ratios (averaged over 6 patients) were observed. One previous study reported no change in the MPFC Glu level after 25 sessions of 10-Hz rTMS therapy (58); however, an increase in MPFC GABA+ (GABA+macromolecule) level was observed. Although this previous study had a higher number of patients (n = 23) than the current study, the region of interest (MPFC) was different from the site of rTMS application (IDLPFC), which was evaluated in the current study.

In this study, patients with higher pretreatment Glx/tCr had lower posttreatment HAM-D scores and larger reductions in HAM-D score after 6 (as well as 4) weeks of rTMS. This finding, albeit from a small sample, is promising and suggests that IDLPFC Glu level may be a predictor of 4- to 6-week rTMS outcome. It should be noted that a higher baseline lDLPFC Glu level has also been previously reported in responders to antidepressant therapy (84), indicating that the predictive power of IDLPFC Glu level may not be limited to rTMS. On the other hand, a lower baseline Glu level has also been reported in youth responders to 3 weeks of rTMS (65), which is the opposite of what we observed in the current study. We speculate that this difference results from the difference in age groups between the studies. Cerebral Glu level has been reported to decrease with age (95); hence, in the older patient population as in this study (40-74 y for the patients who completed the study and had motion-free pretreatment scans), a higher pretreatment Glu level may favor the therapeutic action of rTMS. There was no overall change in Glx/tCr after rTMS. Our results indicate that while Glx/tCr in the lDLPFC increased in 4 patients and decreased in 2 patients, a decrease in HAM-D was associated with a lesser increase or larger decrease in Glx/tCr ratio.

Baseline GABA level in this preliminary study was not associated with response to rTMS therapy, and no previous studies have demonstrated evidence of such a relationship. Additionally, no association between baseline prefrontal cortex GABA level and improvement in MDD was observed in a study assessing ketamine infusion therapy (96). It is likely, therefore, that the baseline GABA level does not predict recovery from MDD irrespective of the treatment regimen.

Test-retest reliability of Glx/Cr and GABA/Cr measurements of a $2 \times 2 \times 2$ cm³ voxel in the IDLPFC using MEGA-PRESS sequence was evaluated independently in our center as described in the **Supplementary Material**. The test-retest variability (9.2%) of Glx/Cr is less than that observed in response to rTMS treatment, while the corresponding GABA/Cr changes for two subjects were less than the variability (16.6%).

While a direct connection between Glx and excitatory neurotransmission is not obvious, it should be noted that Glx measured with the MEGA-PRESS sequence (97, 98) used in this study has been reported to contain mostly Glu with little or no Gln and is therefore considered a good measure of Glu (58, 99–101). Based upon those reports, we speculate that much of our findings pertain to the involvement of excitatory Glu in rTMS therapy. However, we do recognize that there could be a small contribution of Gln in the Glx peak.

A higher IDLPFC Cr in MDD than in healthy controls has been reported (102). In this study, Glx/tCr and GABA/tCr ratios are reported, with areas of the respective resonances in the MEGA-PRESS edited spectra normalized to tCr area from OFFresonance spectra. For technical reasons, water-unsuppressed MEGA-PRESS scans were not incorporated in the protocol at the beginning of the study; however, those scans were added after the scans of the first 2 patients were completed. Normalizing Glx and GABA to tCr is a well-established method (103-105), and we validated this in our dataset by correlating Glx and GABA normalized to unsuppressed water with Glx/tCr and GABA/tCr from all studies with unsuppressed water acquisition (i.e., from both MRI sessions for patients who completed the study and from pretreatment visits for patients who dropped out after 1 MRI session). The 2 metrics were correlated (p = 0.001 for Glx and 0.0001 for GABA), which validated usage of ratio with respect to tCr for this patient population.

Lack of any observed association of GABA in this preliminary study should be treated with caution. It is possible that the main



FIGURE 2 | Patients with higher pretreatment glutamate + glutamine (Glx)/total creatine (tCr) at the left dorsolateral prefrontal cortex (IDLPFC) demonstrated (A) lower posttreatment Hamilton Depression Rating Scale (HAM-D) scores and (B) greater change in HAM-D scores after repetitive transcranial magnetic stimulation (rTMS).



reason for the lack of any significant changes in GABA/tCr ratios or any correlations therewith in this study is the lack of statistical power with 6 subjects. Spectral fitting error was \sim 30% worse in GABA than in case of Glx, which would result in lower sensitivity

of detecting GABA association. Signal to noise ratio and fitting error can be improved with GABA+ acquisition (103), but our choice of macromolecule-minimized GABA accounts for any inter-subject macromolecule level differences (106).

This study had some limitations, including its small sample size. However, a power analysis with n = 7 yielded power of 0.95 and 0.85, respectively, for the inverse correlation observed between pretreatment lDLPFC Glx/tCr and change in HAM-D and posttreatment HAM-D, respectively. In addition, with n = 6 the study had power of 0.80 to detect 18% change in Glx/tCr ratio. The study also did not include a sham treatment population, which may raise questions regarding glutamatergic involvement in the improvement of MDD as an effect of rTMS. Use of the standard 5-cm rule for rTMS target selection is another limitation, as use of neuronavigation instead has been shown to ensure more reliable, precise, and consistent targeting of the desired brain region (107). Finally, low doses of neuroleptics, benzodiazepine (not more than 1-2 mg), and mood stabilizers were allowed in the study; we did not assess the potential effects of these medications on the study findings. However, for all medications a fixed dose for 4 weeks (6 weeks for benzodiazepines) before rTMS with no change in medication during rTMS treatment was followed as part of the study protocol to minimize any medication effect to the observations reported in this study. We have covered a wide range of age in this study. We hypothesize that while the baseline metabolite levels may be varying due to age and drug regimen, the change in those levels in 6 weeks (study period) will be due to rTMS therapy.

CONCLUSION

This study found that the most commonly used rTMS protocol (10 Hz, 4–6 weeks, lDLPFC target) did not significantly change lDLPFC Glx/tCr or GABA/tCr ratios in adults with MDD. Patients with higher pretreatment lDLPFC Glx/tCr ratio did respond better to rTMS therapy; they had a greater reduction in HAM-D score and a lower posttreatment HAM-D score. These findings suggest that excitatory Glu is associated with recovery from MDD and can potentially be used as a biomarker to predict response to rTMS treatment, whereas no such relationship between inhibitory GABA and MDD/rTMS outcome was observed in this preliminary study. The results of this pilot study should be interpreted with caution because of the small sample size and absence of a sham arm; further studies using larger sample sizes are needed to assess these preliminary results.

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DATA AVAILABILITY STATEMENT

The raw data supporting the conclusions of this article will be made available by the authors, without undue reservation.

ETHICS STATEMENT

The studies involving human participants were reviewed and approved by Institutional Review Board, Cleveland Clinic. The patients/participants provided their written informed consent to participate in this study.

AUTHOR CONTRIBUTIONS

PB: MRI study design, planning, funding acquisition, MRI data analysis, and writing—original draft. AA: conceptualization, study design, writing—review, and editing. JL: MRI data processing. MA: patient recruitment and consenting, prescribing and administering rTMS, depression rating, writing—review, and editing. All authors contributed to the article and approved the submitted version.

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SUPPLEMENTARY MATERIAL

The Supplementary Material for this article can be found online at: https://www.frontiersin.org/articles/10.3389/fpsyt. 2021.665347/full#supplementary-material

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