



Advancing necrotizing enterocolitis prediction through iterative monitoring

Ziwei Dong, Xiaoli Yin, Doudou Xu, Jiaying Zhao, Yang Wang

Department of Pediatrics, the First Affiliated Hospital of Anhui Medical University, Hefei, China

Contributions: (I) Conception and design: Z Dong, X Yin, Y Wang; (II) Administrative support: Y Wang; (III) Provision of study materials or patients: J Zhao, Z Dong; (IV) Collection and assembly of data: Z Dong, D Xu; (V) Data analysis and interpretation: Z Dong, D Xu; (VI) Manuscript writing: All authors; (VII) Final approval of manuscript: All authors.

Correspondence to: Yang Wang, PhD. Department of Pediatrics, the First Affiliated Hospital of Anhui Medical University, 218 Jixi Road, Shushan District, Hefei 230031, China. Email: w7756893@sina.com.

Background: Necrotizing enterocolitis (NEC) is a severe inflammatory intestinal disease in preterm infants, marked by heightened morbidity and mortality. Timely prediction of NEC is significant in the management of critical neonates. However, it is difficult to predict NEC accurately because of the multifactorial pathogenesis. This study aimed to develop a prediction model through repeated measurement data to further improve the accuracy of prediction in NEC.

Methods: We retrospectively collected clinical data of premature infants admitted to the Neonatology Department of the First Affiliated Hospital of Anhui Medical University from January 2016 to December 2023. The infants were categorized into the NEC group (Bell's stage \geq II) ($n=150$) and the non-NEC group ($n=150$). The clinical baseline data of the NEC and non-NEC groups were matched. Laboratory examination indicators were collected on the 1st day, the 7th day after birth, and the day of NEC onset. Univariate and multivariate logistic regression analyses were conducted to identify independent factors influencing NEC. A nomogram was constructed based on these factors to predict NEC. The concordance index and calibration plot were used to assess the efficiency of the nomogram in the training and validation cohorts.

Results: This study demonstrated that antenatal steroids, antenatal antibiotics, probiotics treatment before NEC, anion gap (AG, day 7), and mean corpuscular volume (MCV, day 7) were independent risk factors which combined to accurately predict NEC. A nomogram of NEC was created utilizing these five predictors. With an area under the receiver operator characteristic (ROC) curve of 0.835 [95% confidence interval (CI): 0.785–0.884]. Concordance index for the training and validation groups were 0.835 and 0.848, respectively. As the calibration plots indicate, the predicted probability of NEC is highly consistent with the actual observation.

Conclusions: The risk estimation nomogram for NEC offers clinical value by guiding early prediction, targeted prevention, and early intervention strategies for NEC.

Keywords: Preterm infant; necrotizing enterocolitis (NEC); prediction; anion gap (AG)

Submitted Jan 16, 2024. Accepted for publication May 07, 2024. Published online May 20, 2024.

doi: 10.21037/tp-24-15

View this article at: <https://dx.doi.org/10.21037/tp-24-15>

Introduction

Background

Necrotizing enterocolitis (NEC) is a critical inflammatory bowel condition, particularly affecting preterm infants

born before 32 weeks of gestation (1). Histologically, NEC involves the disruption of the intestinal epithelium and coagulative necrosis in portions of the ileum and colon (2). The incidence of NEC in neonates ranges from 2% to 7%, with mortality rates reaching up to 21.9–38% (3). Surviving

infants often face poor growth, developmental issues, and gastrointestinal disorders like short bowel syndrome (4), placing a significant burden on families and society.

Rationale and knowledge gap

Understanding the mechanisms underlying NEC development remains challenging due to the nonspecific symptoms and diagnostic complexities. Infants diagnosed with NEC undergo cessation of enteral feeds, receive broad-spectrum antibiotics, and may require surgical interventions (5). Reported risk factors include prematurity, asphyxia, mechanical ventilation, patent ductus arteriosus (PDA), maternal gestational diabetes, intrahepatic cholestasis during pregnancy, preeclampsia, anemia, blood transfusion, formula feeding, antibiotic use, and infections (6-9). NEC's multifaceted pathophysiology involves a complex interplay of maternal, neonatal, and therapeutic elements, making prediction challenging (10). Considering the rapid progression and nonspecific onset of NEC, early

prediction and intervention are essential to prevent severe consequences such as necrosis, perforation, and total abdominal peritonitis.

Objective

Through a retrospective analysis of clinical data from premature infants in our center over the past 7 years, we aim to identify high-risk factors associated with NEC occurrence. Significant factors identified through univariate analysis will undergo multivariate logistic regression analysis to select relevant clinical indicators and construct a predictive model for NEC. This model aims to provide guidance for early clinical recognition and intervention. We present this article in accordance with the STROBE reporting checklist (available at <https://tp.amegroups.com/article/view/10.21037/tp-24-15/rc>).

Methods

Study design

This retrospective case-control study enrolled preterm infants diagnosed with NEC in the Neonatology Department of the First Affiliated Hospital of Anhui Medical University between January 2016 and December 2023. Out of 1,679 preterm infants, 150 were diagnosed with NEC (Bell's stage \geq II). The control group consisted of 150 preterm infants without NEC, carefully matched for gestational age (GA) and year of birth. We enrolled participants who met the following criteria: (I) born with a GA less than 34 weeks; (II) born in the delivery room or obstetric operating room of the First Affiliated Hospital of Anhui Medical University and immediately transferred to the Neonatal Intensive Care Unit (NICU) after birth; (III) diagnosed with NEC within 30 days after birth. Participants meeting the following criteria were excluded from the study: (I) diagnosed with Bell's stage I NEC; (II) significant abnormalities in vital organ development (such as congenital diaphragmatic hernia, anencephaly, Tetralogy of Fallot, etc.), or comorbid genetic/metabolic diseases; (III) incomplete clinical data; (IV) during the matching process, cases that died before the onset of NEC, using the time of NEC onset as the boundary, were not included in the study. This applies to both the NEC and non-NEC groups. The study was conducted in accordance with the Declaration of Helsinki (as revised in 2013). The study was approved by the Ethics Committee of the First Affiliated

Highlight box

Key findings

- This retrospective study, conducted at a single center over the past 7 years, identified "antenatal steroids, antenatal antibiotics, probiotics treatment before necrotizing enterocolitis (NEC), anion gap (AG, day 7), and mean corpuscular volume (MCV, day 7)" as independent risk factors for NEC. Moreover, the combination of these five indicators can predict NEC with reasonable accuracy.

What is known and what is new?

- The incidence of NEC in neonates ranges from 2% to 7%, with mortality rates reaching up to 21.9–38%. In neonatal clinical practice, early identification of NEC is crucial for the treatment and prognosis of premature infants.
- This study not only validates findings consistent with previous research but also highlights early elevated AG values as a risk factor for NEC development. Additionally, the data in this study are derived from multiple time-point repeated measurements, further enhancing the accuracy of the research.

What is the implication, and what should change now?

- We should take measures to improve the management and prevention strategies for preterm infants to reduce the incidence of NEC. This may include optimizing prenatal care measures, such as the judicious use of prenatal steroids and antibiotics, and standardizing the application of probiotic treatment. Additionally, dynamic monitoring of AG levels, along with other relevant indicators, is particularly crucial, as they could facilitate early identification and intervention of NEC.

Table 1 Baseline characteristics

Characteristics	NEC (n=150)	Non-NEC (n=150)	$\chi^2/Z/t$
Male	78 (52.0)	78 (52.0)	0.000
GA (week)	30 [29–31]	30 [29–31]	0.897
BW (g)	1,351.28±277.75	1,338.30±316.18	0.913
Caesarean section	102 (68.0)	112 (74.7)	1.630
Multiple births	50 (33.3)	45 (30.0)	0.385
IVF	34 (22.7)	26 (17.3)	1.333
1-min Apgar score	7 [6–8]	7 [6–8]	0.984
5-min Apgar score	8 [7–9]	9 [8–9]	1.130

Values are presented as n (%), median [IQR] or mean \pm SD. GA, gestational age; BW, birth weight; IVF, in vitro fertilization; NEC, necrotizing enterocolitis; IQR, interquartile range; SD, standard deviation.

Hospital of Anhui Medical University (No. 2023-14-25) and individual consent for this retrospective analysis was waived.

Data collection

We collected clinical characteristics, including: (I) basic information and perinatal conditions of the patient; (II) information related to the mother's perinatal period; (III) treatment measures before NEC; and (IV) auxiliary examination results, specifically relevant blood test parameters before NEC.

Statistical analysis

All clinical data were analyzed using SPSS statistical software (version 27; Chicago, USA). For quantitative data following a normal distribution, results are presented as mean \pm standard deviation (SD) and were assessed using a correlation *t*-test. Non-normally distributed quantitative data were expressed as interquartile ranges (IQRs) and analyzed with the Wilcoxon signed-rank sum test. Categorical data were evaluated using Fisher's exact test.

For data collected at multiple time points, such as laboratory results, Repeated Measures Analysis of Variance (RM-ANOVA) was employed and presented as mean \pm SD. To address any violations of the sphericity assumption, Greenhouse-Geisser test was applied when necessary. The RM-ANOVA in this study is used to identify differences between two groups across multiple time points at an overall level. Significant indicators identified through RM-

ANOVA were visually represented in bar graphs using GraphPad Prism 9. This graphical representation aimed to illustrate variations between groups at each specific time point.

Include significant indicators identified from univariate logistic regression analysis and RM-ANOVA in the multivariate logistic regression analysis, choosing relevant indicators as predictive factors for the model. In univariate logistic regression analysis, we applied the Bonferroni correction method to adjust for multiple testing. We conducted a total of 25 comparisons, considering $P < 0.002$ as statistically significant. Subsequently, we utilized the R programming language to construct a nomogram. Participants in our study were divided into a training cohort (n=120) and a validation cohort (n=30), with internal validation conducted using bootstrap. Finally, calibration plots and ROC curves were employed to visually demonstrate the accuracy of the predictive model.

Results

General data analysis

No statistically significant differences were observed in gender, GA, birth weight (BW), mode of delivery (cesarean section), multiple births, in vitro fertilization (IVF), and Apgar scores at 1 and 5 minutes when comparing the NEC group with the non-NEC group ($P > 0.05$) (see *Table 1*). Based on matching principles, this study's consistent baseline data reaffirms its high matching quality, thus boosting its scientific validity and credibility.

Maternal and perinatal factors

Demographics of infants

We included a total of 150 matched cases. Of these infants (see *Table 2*), they had a median GA of 30 weeks with IQR of 29.00–31.75 weeks, had a median BW of 1,340 grams with an IQR of 1,180–1,520 grams. One hundred fifty-six (52.00%) infants were males, 214 (71.33%) were cesarean delivery, 95 (31.67%) were multiple births, 60 (20.00%) were assisted reproduction. The mothers of 62 (20.67%) infants were classified as advanced maternal age.

Key factors and treatments in preterm infants

We conducted univariate logistic regression analysis on potential factors associated with NEC, including maternal and infant clinical characteristics, as well as treatments

Table 2 Characteristics of preterm infants and univariate analysis of risk factors for NEC

Characteristics	Values (N=300)	Univariate regression analysis	
		Odds ratio (95% CI)	P value
Demographics			
Male sex	156 (52.00)	0.88 (0.34, 2.27)	0.79
GA (week)	30.00 [29.00, 31.75]	0.91 (0.80, 1.05)	0.19
BW (g)	1,340 [1,180, 1,520]	1.00 (1.00, 1.00)	0.23
Cesarean delivery	214 (71.33)	1.28 (0.42, 3.88)	0.67
Multiple births	95 (31.67)	1.01 (0.26, 3.88)	0.99
IVF	60 (20.00)	1.22 (0.34, 4.42)	0.77
Perinatal characteristics			
Elderly maternal	62 (20.67)	0.76 (0.22, 2.64)	0.67
Hypertensive disorder complicating pregnancy	79 (26.33)	2.21 (0.95, 3.12)	0.06
GDM	72 (24.00)	0.64 (0.21, 2.00)	0.44
Antenatal vaginal bleeding	64 (21.33)	0.35 (0.11, 1.17)	0.09
Antenatal magnesium sulfate	83 (27.67)	0.43 (0.13, 1.38)	0.16
Antenatal steroids	178 (59.33)	2.88 (1.51, 3.23)	<0.001*
PROM >18 hours	104 (34.67)	1.53 (0.34, 7.01)	0.04
Antenatal antibiotics	126 (42.00)	2.91 (1.17, 3.67)	0.002*
1-min Apgar score	7 [6, 8]	1.09 (0.74, 1.62)	0.66
5-min Apgar score	9 [8, 10]	0.69 (0.43, 1.11)	0.13
Pulmonary surfactant treatment ^a	192 (64.00)	3.20 (0.97, 10.51)	0.04
Age of starting enteral feeding (day)	3 [2, 4]	0.96 (0.62, 1.48)	0.84
Probiotics treatment ^a	163 (54.33)	0.28 (0.10, 0.84)	0.001*
PDA ^a	71 (23.67)	0.88 (0.24, 3.20)	0.85
Erythrocyte transfusion ^a (mL/kg)	0 [0, 0]	0.95 (0.91, 0.98)	0.003*
Plasma transfusion ^a (mL/kg)	0 [0, 15]	1.08 (1.04, 1.13)	<0.001*
EOS	7 (2.33)	0.16 (0.04, 6.09)	0.33
PH ^b	7.27 [7.23, 7.35]	0.33 (0.01, 1.28)	0.71
BE ^b	-4 [-6, -2]	0.91 (0.81, 1.02)	0.11

Values are presented as n (%) or median [IQR]. ^a, all events preceded the onset of NEC; ^b, all first postnatal measurements; *, P≤0.003. NEC, necrotizing enterocolitis; GA, gestational age; BW, birth weight; IVF, in vitro fertilization; Elderly maternal, mother's age ≥35 years old; GDM, gestational diabetes mellitus; PROM, premature rupture of membranes; PDA, patent ductus arteriosus; EOS, early onset sepsis; PH, potential of hydrogen; BE, base excess; CI, confidence interval; IQR, interquartile range.

received by infants postnatally. The results indicated statistically significant associations with the following indicators: antenatal steroids, premature rupture of membranes (PROM) >18 hours, antenatal antibiotics,

pulmonary surfactant (PS) treatment, probiotics treatment, erythrocyte transfusion, and plasma transfusion. However, after Bonferroni correction, we concluded that there may be potential correlations between antenatal steroids [95%

confidence interval (CI): 1.51–3.23], antenatal antibiotics (95% CI: 1.17–3.67), and probiotics treatment (95% CI: 0.10–0.84) with NEC.

Laboratory indicators

We compared the auxiliary examination indicators at specific time points between the two groups using RM-ANOVA, with a focus on identifying indicators that exhibited inter-group differences across multiple time points. For hematological parameters, we investigated three time points (day 1, day 7, and day NEC). Due to limitations at our center, hepatic function indicators were only studied on the 7th day after birth and on the day of NEC onset.

Among the laboratory indicators, we observed inter-group variations in overall levels of anion gap (AG), lymphocyte count, mean corpuscular volume (MCV), red cell distribution width (RDW), RDW to platelet ratio (RPR), and eosinophil count at various time points (see *Table 3*). The MCV exhibited statistically significant differences within 24 hours after birth. AG, MCV, RDW, and RPR showed statistical distinctions on the 7th postnatal day. AG, lymphocyte count, MCV, RDW, and eosinophil count demonstrated statistical differences on the day of NEC onset (see *Figure 1*). Subsequently, logistic regression analysis was used to determine the predictive sensitivity of these hematological indicators and their corresponding cut-off values (see *Table 4*).

Prediction model for NEC

Firstly, we included the above statistically significant factors in a multivariate logistic regression analysis (see *Table 5*). The results showed that the combined indicators of “antenatal steroids, antenatal antibiotics, probiotics treatment, AG day 7, MCV day 7” had a good fit for predicting NEC.

Secondly, out of 150 matched cases, we randomly selected 120 cases as the training cohort and 30 cases as the validation cohort. We used the data from the training cohort to construct a nomogram for NEC prediction (see *Figure 2*). The C-index for the training and validation cohorts was 0.835 and 0.848 respectively, indicating good predictive performance (see *Figure 3A, 3B*).

Lastly, to reduce over-fitting bias, the training cohort was calibrated using 1,000 bootstrapped samples (see *Figure 4*). The calibration plot also showed a high consistency between the predicted probability of NEC and the actual observed values, indicating good calibration of the model.

Discussion

NEC is a severe gastrointestinal disorder affecting premature infants, with an incidence ranging from 7% to 11% and a mortality rate of 10% to 30%, particularly when surgical intervention is necessary (11). Clinical guidelines for NEC, developed worldwide, provide different levels of evidence-based recommendations for its prevention, diagnosis, and treatment. Many studies usually collect auxiliary examination data for NEC at a single time point. In contrast, our approach involved collecting data at multiple time points before NEC onset, which enhances the credibility of the study and reduces errors.

This study found that the combined indicators of “antenatal steroids, antenatal antibiotics, probiotics treatment, AG day 7, MCV day 7” demonstrated good predictive accuracy for NEC through RM-ANOVA, univariate, and multivariate logistic regression analyses.

Before our study, many researchers had tried to identify potential predictors for NEC. Lin *et al.*, in a retrospective study spanning 8 years, identified acidosis as an important predictor in the prediction model of NEC with the presence of portal venous gas (PVG) (12). Early correction of acidosis may reduce the risk of NEC. Additionally, Chen *et al.*, in a study conducted in 2023, suggested that elevated lactate levels may indicate rapid progression of NEC (13). Our study also suggests that higher AG values are associated with NEC. In a multi-center retrospective study by Kordasz *et al.*, severe anemia was identified as an important indicator for predicting NEC-related mortality risk (14). While this study did not incorporate hemoglobin as a predictive factor, possibly due to the small sample size of a single-center, the elevated MCV levels on the 7th day after birth were found to be correlated with NEC, indirectly suggesting a potential relationship between anemia and NEC. Arciero *et al.* established a predictive model for NEC through mathematical modeling and found that early probiotic administration was beneficial for preterm infants, reducing the incidence of critical illnesses (15). Similarly, the nomogram in our study corroborated this finding. The relationship between antenatal steroid administration and NEC remains unclear. Study has suggested a reduction in NEC mortality rates with antenatal steroid administration in predictive models (16), but our model suggests that antenatal steroid administration may increase the risk of NEC. Further prospective studies are needed to validate this finding. Below, we will explain how potential predictors are linked to NEC.

Table 3 Laboratory indicators

Characteristics	NEC (n=150)	Non-NEC (n=150)	F
AG (mmol/L)			5.886*
Day 1	10.82±3.62	10.96±4.00	1.357
Day 7	13.15±3.61	11.75±3.46	3.432*
Day NEC	13.37±3.86	10.57±3.17	13.574***
Lymphocyte count (10 ⁹ /L)			14.185***
Day 1	4.15±1.79	4.05±2.01	0.012
Day 7	3.66±1.22	3.78±1.29	1.582
Day NEC	2.87±1.61	4.06±1.23	59.745***
HB (g/L)			0.131
Day 1	159.72±21.24	165.51±21.18	0.687
Day 7	128.72±23.49	134.98±22.48	0.548
Day NEC	119.27±23.02	118.49±18.54	2.154
RBC (10 ¹² /L)			0.479
Day 1	4.27±0.65	4.22±0.63	2.188
Day 7	3.68±0.71	4.78±1.76	0.772
Day NEC	3.47±0.62	3.34±0.58	5.882*
MCV (fL)			10.982***
Day 1	117.62±7.18	114.40±7.22	5.875*
Day 7	112.61±7.59	108.96±6.21	9.764**
Day NEC	108.39±5.92	105.40±6.11	8.512*
MPV (fL)			0.352
Day 1	10.31±0.81	10.25±0.67	0.157
Day 7	11.28±1.96	11.73±0.85	0.275
Day NEC	11.99±1.02	12.01±0.91	2.986
PDW (fL)			0.296
Day 1	11.33±1.61	11.10±1.36	0.774
Day 7	15.35±3.67	14.90±2.93	0.441
Day NEC	15.21±3.15	15.77±3.04	1.313
RDW-SD (fL)			7.857**
Day 1	16.29±1.12	16.56±1.37	1.872
Day 7	16.01±1.59	16.69±1.69	6.692*
Day NEC	16.23±1.52	16.76±1.87	9.732**
PLT (10 ⁹ /L)			3.976
Day 1	233.59±59.81	216.9±58.83	5.121*
Day 7	242.15±81.23	225.19±87.63	4.875*
Day NEC	269.12±89.13	258.41±101.38	1.152

Table 3 (continued)

Table 3 (continued)

Characteristics	NEC (n=150)	Non-NEC (n=150)	F
RPR			8.676**
Day 1	0.08±0.04	0.08±0.03	3.941
Day 7	0.07±0.05	0.09±0.05	8.624**
Day NEC	0.07±0.06	0.08±0.07	2.912
Eosinophil count (10 ⁹ /L)			6.498*
Day 1	0.15±0.17	0.15±0.13	0.798
Day 7	0.45±0.48	0.40±0.33	0.377
Day NEC	0.21±0.39	0.46±0.37	19.151***
Eosinophil percentage (%)			3.188
Day 1	1.78±1.37	1.70±1.53	0.028
Day 7	4.67±3.50	4.11±2.89	1.579
Day NEC	3.05±3.94	4.87±3.73	13.175***
TBA (µmol/L)			0.896
Day 7	13.15±8.25	14.11±7.61	1.877
Day NEC	16.12±14.59	17.67±12.56	0.128
DB (µmol/L)			0.433
Day 7	11.25±3.49	12.21±4.51	0.523
Day NEC	13.85±3.99	13.94±6.37	0.196
DB to TB ratio			0.197
Day 7	0.15±0.05	0.12±0.11	3.132
Day NEC	0.29±0.12	0.20±0.17	1.531
Pre-albumin (mg/L)			3.180
Day 7	82.15±28.77	76.92±28.03	3.596
Day NEC	85.18±33.33	80.04±28.35	1.770
ALB (g/L)			0.490
Day 7	31.17±3.25	32.12±3.40	1.441
Day NEC	32.29±4.17	32.45±3.02	0.255

Values are presented as mean ± SD. *, P<0.05; **, P<0.01; ***, P<0.001. AG, anion gap; HB, hemoglobin; RBC, red blood cell; MCV, mean corpuscular volume; MPV, mean platelet volume; PDW, platelet distribution width; RDW-SD, red cell distribution width standard deviation; PLT, platelet; RPR, RDW to PLT ratio; TBA, total bile acid; DB, direct bilirubin; TB, total bilirubin; ALB, albumin; NEC, necrotizing enterocolitis; SD, standard deviation.

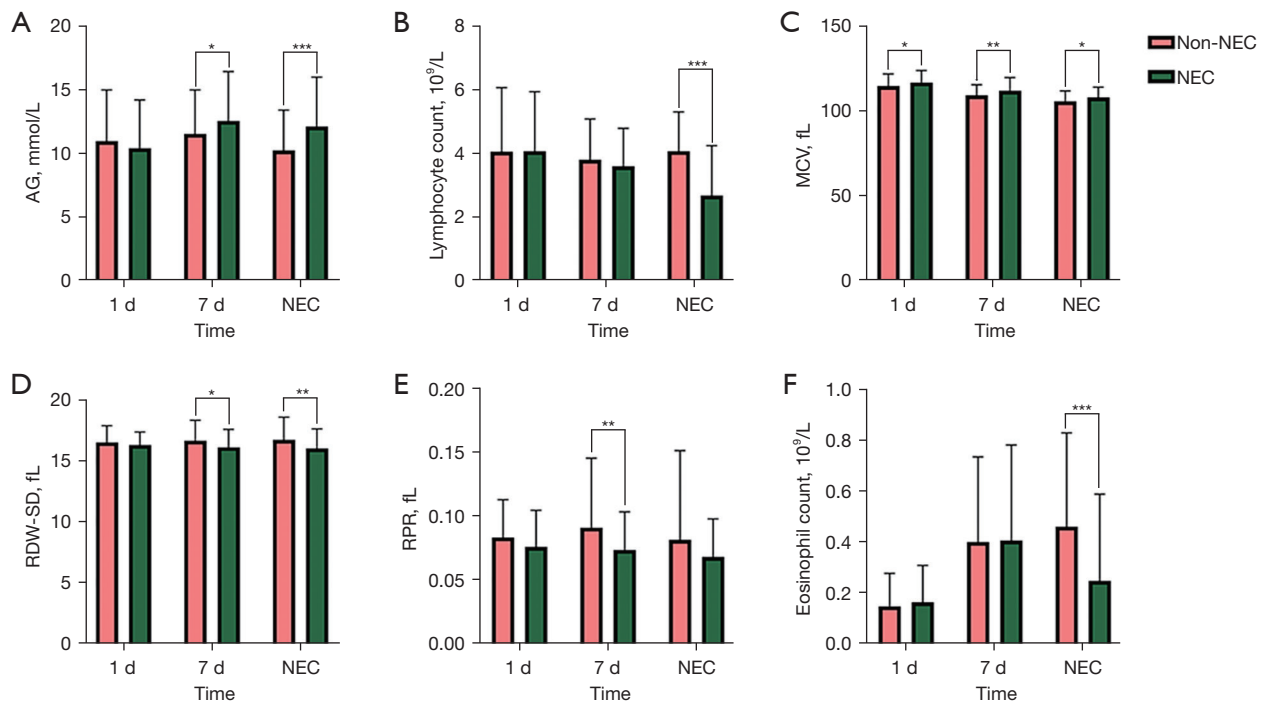


Figure 1 Comparison of hematologic parameters between the NEC and non-NEC groups on the 1st day, the 7th day after birth, and the day of NEC onset. (A) Anion gap; (B) lymphocyte count; (C) mean corpuscular volume; (D) red cell distribution width; (E) red cell distribution width to platelet ratio; (F) eosinophil count. *, $P < 0.05$; **, $P < 0.01$; ***, $P < 0.001$. AG, anion gap; NEC, necrotizing enterocolitis; MCV, mean corpuscular volume; RDW-SD, red cell distribution width standard deviation; RPR, RDW to platelet ratio.

Table 4 Hematological indices predict sensitivity and cut-off values

Characteristics	Cut-off value	Sensitivity (%)	Specificity (%)	AUC (95% CI)
AG (day 7) (mmol/L)	>11.82	61	65	0.648 (0.582, 0.701)
AG (day NEC) (mmol/L)	>12.06	65	74	0.682 (0.581, 0.717)
Lymphocyte count (day NEC) ($10^9/L$)	<3.12	71	79	0.762 (0.712, 0.834)
MCV (day 1) (fL)	>121.25	58	78	0.642 (0.551, 0.722)
MCV (day 7) (fL)	>116.50	49	80	0.660 (0.552, 0.731)
MCV (day NEC) (fL)	>102.30	75	62	0.582 (0.501, 0.657)
RDW-SD (day 7) (fL)	<16.55	64	57	0.624 (0.518, 0.697)
RDW-SD (day NEC) (fL)	<16.17	59	70	0.633 (0.543, 0.746)
RPR (day 7)	<0.08	71	47	0.588 (0.519, 0.672)
Eosinophil count (day NEC) ($10^9/L$)	<0.17	67	85	0.755 (0.682, 0.821)

AG, anion gap; NEC, necrotizing enterocolitis; MCV, mean corpuscular volume; RDW-SD, red cell distribution width standard deviation; RPR, RDW to platelet ratio; AUC, area under the curve; CI, confidence interval.

Table 5 Multivariate logistic regression analysis of potential predictive factors

Variables	β	S.E.	Wald	P
Antenatal steroids	1.703	0.337	5.051	<0.001*
Antenatal antibiotics	0.948	0.337	2.816	0.005*
Probiotics	-0.542	0.323	-1.683	0.04*
AG day 7	0.094	0.047	2.004	0.045*
MCV day 1	0.050	0.030	1.634	0.10
MCV day 7	0.069	0.032	2.157	0.03*
RDW day 7	-0.209	0.135	-1.552	0.12
PLT day 1	0.000	0.003	0.053	0.96
PLT day 7	0.000	0.004	0.082	0.93
RPR day 7	-8.475	8.330	-1.022	0.31

*, P<0.05. β (Beta), regression coefficient; S.E. (standard error), standard error of the regression coefficient; AG, anion gap; MCV, mean corpuscular volume; RDW, red cell distribution width; PLT, platelet; RPR, RDW to platelet ratio.

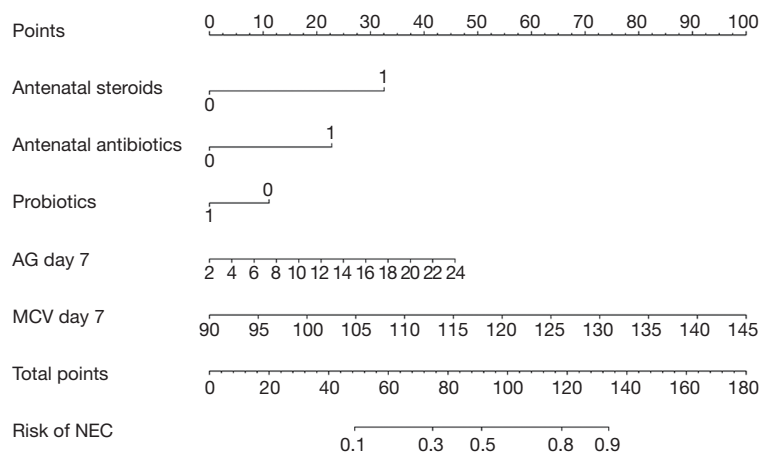


Figure 2 NEC risk nomogram. The NEC nomogram was developed in the cohort, with antenatal steroids, antenatal antibiotics, probiotics, AG day 7, and the MCV day 7. To estimate the probability of NEC, mark infant values at each axis, draw a straight line perpendicular to the point axis, and sum the points for all variables. Next, mark the sum on the total point axis and draw a straight line perpendicular to the probability axis. AG, anion gap; MCV, mean corpuscular volume; NEC, necrotizing enterocolitis.

Antenatal steroids

In clinical practice, doctors often administer steroid hormones during pregnancy to expedite lung development in babies, especially in cases where premature delivery is anticipated (17). Many studies suggest that administering hormones before birth reduces the incidence of NEC, possibly by alleviating inflammation in the gut (18), aiding in gut development, and affecting the types of bacteria that live in the intestines (19). However, a study by Anthony

Walters pointed out that the available evidence doesn't definitively determine whether using prenatal steroids is beneficial or harmful for preventing NEC (RR: 0.84, 95% CI: 0.59 to 1.22; 5,736 infants) (20). Therefore, more prospective studies are needed to confirm the relationship between prenatal steroid hormone use and NEC.

PROM

PROM refers to the spontaneous rupture of fetal, amniotic,

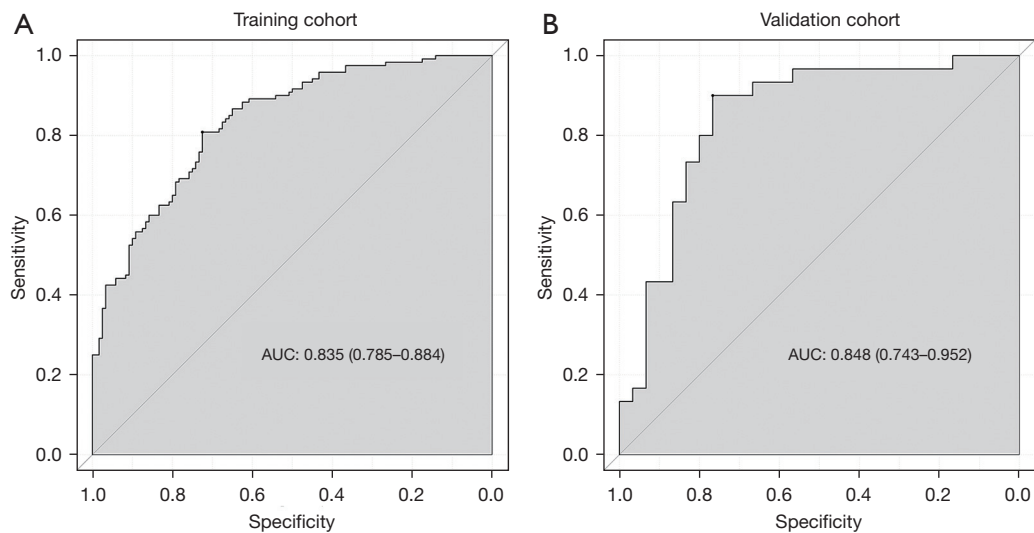


Figure 3 Receiver operating characteristic curves for training cohort and validation cohort prediction model. (A) Area under the curve of the training cohort was 0.835 (95% confidence interval: 0.785–0.884); (B) area under the curve of the validation cohort was 0.848 (95% confidence interval: 0.743–0.952). AUC, area under the curve.

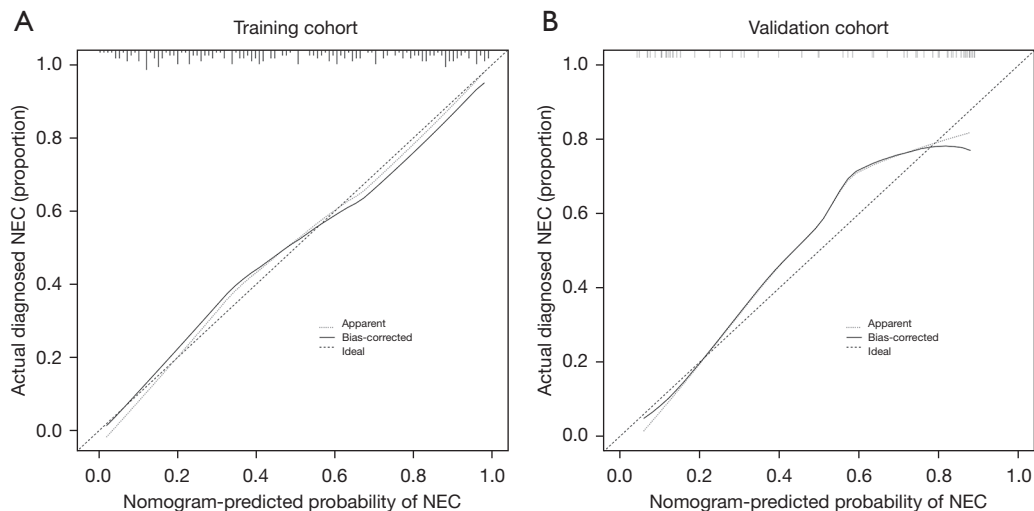


Figure 4 Calibration curves of the training (A) and validation (B) cohorts, corrected using 1,000 bootstrap samples to reduce over-fitting bias. NEC, necrotizing enterocolitis.

and chorionic membranes in pregnant before delivery (21). Infection can be both a cause and consequence of PROM. The potential mechanism linking infection and PROM involves the invasion of bacteria into the uterus, which prompts the decidua and fetal membranes to produce pro-inflammatory cytokines. Consequently, this results in the release of prostaglandins, metalloproteases, and other bioactive substances. Prostaglandins stimulate uterine contractions, while metalloproteases aid in softening the

cervix and targeting the membranes, ultimately leading to rupture (22).

In neonates born to mothers with PROM, NEC may be associated with an exaggerated inflammatory response involving the release of cytokines and chemokines, which can cause intestinal mucosal injury. A prospective case-control study has demonstrated elevated levels of IL-6, IL-10, and ENA-78 in the venous blood of newborns from mothers with PROM compared to normal controls (23).

Antenatal antibiotics

To date, the pathogenesis of NEC is considered multifactorial, with the disturbance of normal intestinal flora and overgrowth of potentially pathogenic bacteria implicated in its development. The maternal microbiota serves as the initial microbial inoculum for the infant gut, and perinatal factors such as diet and antibiotic use during pregnancy, as well as neonatal factors like intra-partum antibiotics, GA, and mode of delivery, can influence microbial colonization (24).

In preterm infants, antibiotic administration may contribute to microecological dysregulation. Study has indicated that antibiotic treatment within the first 14 days of life or continued for more than five days is associated with an increased risk of NEC or mortality (25). Unfortunately, the effects of early empiric antibiotic therapy on intestinal flora and its impact on the risk of NEC remain unclear. Large-sample multicenter studies are necessary to explore the relationship with NEC.

PS

PS is commonly administered to address respiratory distress syndrome (RDS), effectively reducing alveolar surface tension and enhancing lung compliance and gas exchange (26). Research indicates a correlation between NEC and compromised mesenteric perfusion and hypoxia (27). The use of PS not only enhances pulmonary ventilation but also facilitates improved mesenteric circulation and oxygen delivery, consequently lowering the incidence of NEC.

Pre-morbidity use of probiotics

Preterm infants, being particularly susceptible to intestinal dysbiosis, face the risk of aberrant microbial colonization. The initial microbiome of newborns is typically dominated by Bifidobacterium species, but various exogenous factors such as delivery method, formula feeding, and antibiotic exposure can disrupt this balance (28).

In a mouse model investigating epithelial barrier function, Abdulqadir *et al.* observed that enteral administration of the probiotic Lactobacillus rhamnosus GG led to enhanced expression of tight junction protein (claudin 3), resulting in reduced intestinal permeability persisting for up to 3 weeks post-supplementation, as evidenced by serum FD4 measurements (29). Beyond fortifying the structural integrity of the intestinal barrier, probiotics have shown the capacity to augment microbiome

diversity with beneficial bacteria and diminish colonization by enteric pathogens (30).

Blood transfusion

To date, the relationship between blood transfusions and NEC has been investigated in various studies, yielding conflicting results (31). Proposed pathogenetic pathways include immunologic dysfunction, the direct impact of blood product storage, and reperfusion injury (32). Conversely, some studies found no conclusive association between transfusions and NEC (33), while others have even proposed a potential protective effect of transfusions against NEC (34). A more in-depth investigation is warranted to elucidate these associations.

AG

Building on previous research investigating risk factors for NEC, our study examined the correlation between serum AG (SAG) levels and NEC simultaneously. SAG is derived by subtracting the concentration of serum chloride ions and bicarbonate ions from the concentration of serum sodium ions (35). Elevated SAG levels are typically associated with metabolic acidosis, which may stem from factors such as excessive acid production, reduced excretion, laboratory errors, severe volume depletion (hypoproteinemia), metabolic alkalosis, respiratory alkalosis, severe hyperphosphatemia, and increased levels of anionic polymeric proteins (36). Although SAG can be calculated using serum or plasma electrolytes, we primarily focused on serum values in our study while recognizing that both serum and plasma AG values are acceptable.

The AG plays a crucial role in distinguishing the etiology of metabolic acidosis, categorizing it into hyperchloremic acidosis with a standard AG and normochloremic metabolic acidosis with an increased AG. Common causes of high AG metabolic acidosis include conditions such as renal failure, diabetic ketoacidosis, and lactic acidosis (37), with a standard AG typically falling within the range of 8 to 12 mmol/L (38). While existing literature extensively covers AG in various contexts, its association with NEC has received limited attention. This study found that elevated early AG values indicate an increased risk of NEC onset.

Lymphocyte count

In recent years, there has been a growing focus on T-cell

subsets and their role in NEC. A novel population of cells within the innate immune system, known as innate lymphoid cells (ILCs), has garnered increased attention for their potential contribution to immunity. ILCs are capable of producing cytokines such as INF- γ and TNF, which play a crucial role in safeguarding the intestinal epithelium against invasive viruses, bacteria, or other intracellular microorganisms (39). Earlier studies have demonstrated a reduction in the number of regulatory T cells (Tregs), which serve as a key source of anti-inflammatory cytokines, in the ileum of NEC-afflicted rats and human infants compared to their healthy counterparts (40). This suggests that, although Tregs are present in the intestines, their quantity may not be sufficient to mitigate the excessive inflammatory state observed in NEC (41). In our study, we observed lymphocyte counts on the day of NEC diagnosis were notably lower in the NEC group compared to the control group.

MCV, RDW, RPR, eosinophil count

The pathogenesis of NEC is intricately linked to intestinal hypoxia-ischemia. Anemia is considered a potential etiological factor, contributing to altered mesenteric blood flow and, ultimately, compromised tissue perfusion and intestinal injury (42). With anemia diminishing the oxygen-carrying capacity of blood below the requirements of developing tissues, it may intensify anaerobic metabolism and the generation of byproducts like lactic acid. Gutierrez *et al.* have demonstrated that in the context of tissue hypoxia, the imbalance between cellular adenosine triphosphate (ATP) requirements and aerobic ATP production is partially compensated by anaerobic sources, including glycolysis, the creatine kinase reaction, and the adenylate kinase reaction (43). However, these processes may trigger cellular mechanisms that contribute to cellular dysfunction and, ultimately, cell death. This could potentially serve as a causal factor for the onset of NEC in preterm infants (44).

Although previous studies have explored the association between RPR and NEC (45), our study found differences between the groups only on the seventh day postnatal. Watanabe *et al.* demonstrated a significant increase in eosinophil counts at two weeks of age among infants with NEC (46). However, our study revealed lower eosinophil counts in the NEC group compared to the control group on the day of NEC diagnosis. Hence, further prospective studies are necessary to confirm the association between eosinophil count and NEC.

Limitations

As a single-center retrospective study, this research may contain some selection bias. The sample size is limited due to the relatively low incidence of stage II/III NEC in premature infants, which constrains the scope of our findings. Future investigations should aim to increase the number of cases or conduct prospective studies to further elucidate these findings.

Conclusions

NEC is a severe inflammatory intestinal disease in preterm infants, characterized by significant morbidity and mortality. Our study suggested that antenatal steroids, antenatal antibiotics, probiotics treatment, AG day 7, and MCV day 7 were the key factors for NEC. Based on our research, we conclude that the following measures might reduce the rate of NEC: (I) optimizing antenatal care: ensure judicious use of antenatal steroids and antibiotics to reduce the risk of NEC; (II) enhancing probiotic administration: implement standardized protocols for probiotic treatment before NEC onset; Monitor the administration and effectiveness of probiotics to ensure optimal outcomes; (III) monitoring AG and MCV levels: raise awareness among healthcare professionals about the importance of early elevated AG values as potential indicators of NEC; dynamically monitor AG and MCV levels for the early identification of NEC patients.

In conclusion, the risk estimation nomogram for NEC offers clinical value by guiding early prediction, targeted prevention, and early intervention strategies for NEC.

Acknowledgments

Funding: This work was supported by the Public Welfare Technology Application Research Linkage Project of Anhui Provincial Science and Technology Department (grant number 1704f0804018).

Footnote

Reporting Checklist: The authors have completed the STROBE reporting checklist. Available at <https://tp.amegroups.com/article/view/10.21037/tp-24-15/rc>

Data Sharing Statement: Available at <https://tp.amegroups.com/article/view/10.21037/tp-24-15/dss>

Peer Review File: Available at <https://tp.amegroups.com/article/view/10.21037/tp-24-15/prf>

Conflicts of Interest: All authors have completed the ICMJE uniform disclosure form (available at <https://tp.amegroups.com/article/view/10.21037/tp-24-15/coif>). The authors have no conflicts of interest to declare.

Ethical Statement: The authors are accountable for all aspects of the work in ensuring that questions related to the accuracy or integrity of any part of the work are appropriately investigated and resolved. The study was conducted in accordance with the Declaration of Helsinki (as revised in 2013). The study was approved by the Ethics Committee of the First Affiliated Hospital of Anhui Medical University (No. 2023-14-25) and individual consent for this retrospective analysis was waived.

Open Access Statement: This is an Open Access article distributed in accordance with the Creative Commons Attribution-NonCommercial-NoDerivs 4.0 International License (CC BY-NC-ND 4.0), which permits the non-commercial replication and distribution of the article with the strict proviso that no changes or edits are made and the original work is properly cited (including links to both the formal publication through the relevant DOI and the license). See: <https://creativecommons.org/licenses/by-nc-nd/4.0/>.

References

- Masi AC, Stewart CJ. The role of the preterm intestinal microbiome in sepsis and necrotising enterocolitis. *Early Hum Dev* 2019;138:104854.
- Zhang Y, Yan M, Xia Y, et al. Glutaredoxin-1 modulates the NF- κ B signaling pathway to activate inducible nitric oxide synthase in experimental necrotizing enterocolitis. *Mol Ther Methods Clin Dev* 2024;32:101214.
- Battersby C, Santhalingam T, Costeloe K, et al. Incidence of neonatal necrotising enterocolitis in high-income countries: a systematic review. *Arch Dis Child Fetal Neonatal Ed* 2018;103:F182-9.
- Liu S, Liu Y, Lai S, et al. Values of serum intestinal fatty acid-binding protein, fecal calprotectin, and fecal human β -defensin 2 for predicting necrotizing enterocolitis. *BMC Pediatr* 2024;24:183.
- Neu J, Walker WA. Necrotizing enterocolitis. *N Engl J Med* 2011;364:255-64.
- Eaton S, Rees CM, Hall NJ. Current Research on the Epidemiology, Pathogenesis, and Management of Necrotizing Enterocolitis. *Neonatology* 2017;111:423-30.
- Xiong T, Maheshwari A, Neu J, et al. An Overview of Systematic Reviews of Randomized-Controlled Trials for Preventing Necrotizing Enterocolitis in Preterm Infants. *Neonatology* 2020;117:46-56.
- Lin H, Mao S, Shi L, et al. Clinical characteristic comparison of low birth weight and very low birth weight preterm infants with neonatal necrotizing enterocolitis: a single tertiary center experience from eastern China. *Pediatr Surg Int* 2018;34:1201-7.
- Rose AT, Saroha V, Patel RM. Transfusion-related Gut Injury and Necrotizing Enterocolitis. *Clin Perinatol* 2020;47:399-412.
- Huang P, Luo N, Shi X, et al. Risk factor analysis and nomogram prediction model construction for NEC complicated by intestinal perforation. *BMC Pediatr* 2024;24:143.
- Alganabi M, Lee C, Bindi E, et al. Recent advances in understanding necrotizing enterocolitis. *F1000Res* 2019;8:F1000 Faculty Rev-107.
- Lin X, Zeng HP, Fang YF, et al. Predictive Indicators for Necrotizing Enterocolitis With the Presence of Portal Venous Gas and Outcomes of Surgical Interventions. *Front Pediatr* 2021;9:683510.
- Chen J, Zhong W, Hou L, et al. Predictive factors for rapid progression in preterm neonates with necrotizing enterocolitis. *Front Pediatr* 2022;10:970998.
- Kordasz M, Racine M, Szavay P, et al. Risk factors for mortality in preterm infants with necrotizing enterocolitis: a retrospective multicenter analysis. *Eur J Pediatr* 2022;181:933-9.
- Arciero JC, Ermentrout GB, Upperman JS, et al. Using a mathematical model to analyze the role of probiotics and inflammation in necrotizing enterocolitis. *PLoS One* 2010;5:e10066.
- Garg PM, Bernieh A, Hitt MM, et al. Incomplete resection of necrotic bowel may increase mortality in infants with necrotizing enterocolitis. *Pediatr Res* 2021;89:163-70.
- Roberts D, Brown J, Medley N, et al. Antenatal corticosteroids for accelerating fetal lung maturation for women at risk of preterm birth. *Cochrane Database Syst Rev* 2017;3:CD004454.
- Rautava S, Walker WA, Lu L. Hydrocortisone-induced anti-inflammatory effects in immature human enterocytes depend on the timing of exposure. *Am J Physiol Gastrointest Liver Physiol* 2016;310:G920-9.
- Chawanpaiboon S, Chukaew R, Pooliam J. A comparison

- of 2 doses of antenatal dexamethasone for the prevention of respiratory distress syndrome: an open-label, noninferiority, pragmatic randomized trial. *Am J Obstet Gynecol* 2024;230:260.e1-260.e19.
20. Walters A, McKinlay C, Middleton P, et al. Repeat doses of prenatal corticosteroids for women at risk of preterm birth for improving neonatal health outcomes. *Cochrane Database Syst Rev* 2022;4:CD003935.
 21. Liang Y, Li M, Lyu Q, et al. The relationship between maternal exposure to ambient air pollutants and premature rupture of membranes: A systematic review and meta-analysis. *Environ Pollut* 2024;347:123611.
 22. Li MD, Lu JW, Zhang F, et al. ADAMTS4 is a crucial proteolytic enzyme for versican cleavage in the amnion at parturition. *Commun Biol* 2024;7:301.
 23. Zaharie GC, Drugan T, Crivii C, et al. Postpartum assessment of fetal inflammatory response syndrome in a preterm population with premature rupture of membranes: A Romanian study. *Exp Ther Med* 2021;22:1427.
 24. Suárez-Martínez C, Santaella-Pascual M, Yagüe-Guirao G, et al. Infant gut microbiota colonization: influence of prenatal and postnatal factors, focusing on diet. *Front Microbiol* 2023;14:1236254.
 25. Rina P, Zeng Y, Ying J, et al. Association of initial empirical antibiotic therapy with increased risk of necrotizing enterocolitis. *Eur J Pediatr* 2020;179:1047-56.
 26. Yi Z, Tan Y, Liu Y, et al. A systematic review and meta-analysis of pulmonary surfactant combined with budesonide in the treatment of neonatal respiratory distress syndrome. *Transl Pediatr* 2022;11:526-36.
 27. Bubberman JM, van Zoonen A, Bruggink JLM, et al. Necrotizing Enterocolitis Associated with Congenital Heart Disease: a Different Entity? *J Pediatr Surg* 2019;54:1755-60.
 28. Nolan LS, Rimer JM, Good M. The Role of Human Milk Oligosaccharides and Probiotics on the Neonatal Microbiome and Risk of Necrotizing Enterocolitis: A Narrative Review. *Nutrients* 2020;12:3052.
 29. Abdulqadir R, Engers J, Al-Sadi R. Role of Bifidobacterium in Modulating the Intestinal Epithelial Tight Junction Barrier: Current Knowledge and Perspectives. *Curr Dev Nutr* 2023;7:102026.
 30. Underwood MA, Kalanetra KM, Bokulich NA, et al. A comparison of two probiotic strains of bifidobacteria in premature infants. *J Pediatr* 2013;163:1585-1591.e9.
 31. Howarth C, Banerjee J, Aladangady N. Red Blood Cell Transfusion in Preterm Infants: Current Evidence and Controversies. *Neonatology* 2018;114:7-16.
 32. Salem A, Patel RM. Red Blood Cell Transfusion, Anemia, Feeding, and the Risk of Necrotizing Enterocolitis. *Clin Perinatol* 2023;50:669-81.
 33. Wallenstein MB, Arain YH, Birnie KL, et al. Red blood cell transfusion is not associated with necrotizing enterocolitis: a review of consecutive transfusions in a tertiary neonatal intensive care unit. *J Pediatr* 2014;165:678-82.
 34. Sood BG, Rambhatla A, Thomas R, et al. Decreased hazard of necrotizing enterocolitis in preterm neonates receiving red cell transfusions. *J Matern Fetal Neonatal Med* 2016;29:737-44.
 35. Fenves AZ, Emmett M. Approach to Patients With High Anion Gap Metabolic Acidosis: Core Curriculum 2021. *Am J Kidney Dis* 2021;78:590-600.
 36. Haber LA, Dhaliwal G, Lo L, et al. Evaluating a low anion gap: A practical approach. *Cleve Clin J Med* 2023;90:619-23.
 37. Funes S, de Moraes HA. A Quick Reference on High Anion Gap Metabolic Acidosis. *Vet Clin North Am Small Anim Pract* 2017;47:205-7.
 38. Mara MA, Good M, Weitkamp JH. Innate and adaptive immunity in necrotizing enterocolitis. *Semin Fetal Neonatal Med* 2018;23:394-9.
 39. Artis D, Spits H. The biology of innate lymphoid cells. *Nature* 2015;517:293-301.
 40. Liu Y, Fatheree NY, Dingle BM, et al. *Lactobacillus reuteri* DSM 17938 changes the frequency of Foxp3+ regulatory T cells in the intestine and mesenteric lymph node in experimental necrotizing enterocolitis. *PLoS One* 2013;8:e56547.
 41. Weitkamp JH, Koyama T, Rock MT, et al. Necrotising enterocolitis is characterised by disrupted immune regulation and diminished mucosal regulatory (FOXP3)/effector (CD4, CD8) T cell ratios. *Gut* 2013;62:73-82.
 42. Crabtree CS, Pakvasa M, Radmacher PG, et al. Retrospective case-control study of necrotizing enterocolitis and packed red blood cell transfusions in very low birth weight infants. *J Neonatal Perinatal Med* 2018;11:365-70.
 43. Gutierrez MW, Arrieta MC. The intestinal mycobiome as a determinant of host immune and metabolic health. *Curr Opin Microbiol* 2021;62:8-13.
 44. Singh R, Shah BL, Frantz ID 3rd. Necrotizing enterocolitis and the role of anemia of prematurity. *Semin Perinatol* 2012;36:277-82.
 45. Kasirer Y, Shchors I, Hammerman C, et al. Platelet Indices: Universally Available Clinical Adjunct for

Diagnosing Necrotizing Enterocolitis. *Am J Perinatol* 2023. [Epub ahead of print]. doi: 10.1055/a-2053-7759.

46. Watanabe H, Washio Y, Tamai K, et al. Postnatal longitudinal analysis of serum Nitric oxide and

eosinophil counts in extremely preterm infants. *Pediatr Neonatol* 2023. [Epub ahead of print]. doi: 10.1016/j.pedneo.2023.08.006.

Cite this article as: Dong Z, Yin X, Xu D, Zhao J, Wang Y. Advancing necrotizing enterocolitis prediction through iterative monitoring. *Transl Pediatr* 2024;13(5):770-783. doi: 10.21037/tp-24-15