Determination of Anti-Adeno-Associated Virus Vector Neutralizing Antibody Titer with an *In Vitro* Reporter System

Amine Meliani,^{1,2,*} Christian Leborgne,^{1,*} Sabrina Triffault,³ Laurence Jeanson-Leh,³ Philippe Veron,¹ and Federico Mingozzi^{1,2}

Abstract

Adeno-associated virus (AAV) vectors are a platform of choice for *in vivo* gene transfer applications. However, neutralizing antibodies (NAb) to AAV can be found in humans and some animal species as a result of exposure to the wild-type virus, and high-titer NAb develop following AAV vector administration. In some conditions, anti-AAV NAb can block transduction with AAV vectors even when present at low titers, thus requiring prescreening before vector administration. Here we describe an improved *in vitro*, cell-based assay for the determination of NAb titer in serum or plasma samples. The assay is easy to setup and sensitive and, depending on the purpose, can be validated to support clinical development of gene therapy products based on AAV vectors.

Introduction

IN RECENT YEARS, the field of *in vivo* gene transfer with adeno-associated virus (AAV) vectors has seen a dramatic expansion in the number of indications approaching the clinic and renewed interest from investors. This phenomenon has been the consequence of promising results obtained in clinical trials of AAV vector-mediated gene therapy for hemophilia B,¹⁻³ Leber's congenital amaurosis,⁴⁻⁶ and others, and the market approval of an AAV gene therapy for the treatment of lipoprotein lipase deficiency in Europe.⁷

Proof of concept of therapeutic efficacy following AAV vector gene transfer has been obtained for several diseases,⁸ and the clinical translation of these results is following, although at a slower pace. Humoral immunity directed against the vector capsid has been one of the most important limitations to the development of effective gene therapies with AAV vectors in humans, and remains today an unsolved issue for the field.

Neutralizing antibodies (NAb) directed against AAV have a profound impact on transduction efficiency when the vector is delivered directly into the bloodstream or in any body compartment where immunoglobulin can be found.⁹

This has been demonstrated in several preclinical and clinical studies, in which the presence of apparently low-titer NAb to AAV was associated with lack of efficacy.^{1,10–13}

Because of the exposure to wild-type AAV, humans develop antibodies directed against the virus capsid as early as 2 years after birth.^{14–16} This accounts for the high prevalence of anti-AAV antibodies in healthy subjects, which can reach up to 60% for serotypes like AAV2 that are endemic in humans.^{14–19} Furthermore, because of the high degree of conservation in the amino acid sequence across AAVs,²⁰ anti-AAV antibodies show a high degree of cross reactivity with a wide range of serotypes,¹⁷ forcing the exclusion of a substantial number of subjects from enrollment in gene transfer trials with AAV vectors. Aside from natural immunity, high-titer anti-AAV NAb develop following vector administration, persisting for several years and preventing vector readministration. Several groups are studying possible strategies to overcome the limitation posed by NAb to AAV (reviewed in Masat et al.⁹), and it is hoped that some of these approaches will reach the clinic in the near future.

Currently, the only approach to the issue of anti-AAV NAb in human gene therapy trials has been the exclusion of

¹Genethon, Evry 91000, France.

²INSERM U974, University Pierre and Marie Curie—Paris 6, Paris 75651, France.

³Genosafe, Evry 91000, France.

^{*}These two authors contributed equally to this work.

[©] A. Meliani et al. 2015; Published by Mary Ann Liebert, Inc. This Open Access article is distributed under the terms of the Creative Commons Attribution Noncommercial License (http://creativecommons.org/licenses/by-nc/4.0/) which permits any noncommercial use, distribution, and reproduction in any medium, provided the original author(s) and the source are credited.

seropositive subjects. However, effective screening of patients before enrollment in gene therapy trials has proven to be challenging, as assays used to measure antibodies are poorly sensitive in measuring low-titer NAb (which can still completely neutralize vector). The fact that most serotypes other than AAV2 do not infect cell lines efficiently has further limited the development of sensitive *in vitro* neutralization assays.

Several methods have been proposed to detect anti-AAV antibodies.⁹ ELISA-based capture assays are easy to set up and give a relatively sensitive measurement of total antibodies binding to AAV, which do not necessarily reflect their neutralizing activity. *In vivo* assays have also been used to prescreen subjects before enrolment in AAV gene transfer trials^{2,3,21,22}; however, these assays are hard to standardize and validate, and they are time-consuming and more expensive than *in vitro* methods.

Cell-based *in vitro* assays are widely used to screen samples for anti-AAV NAb.^{14,17–19,23,24} These assays are based on the use of a reporter AAV vector that is incubated with the test sample before transduction *in vitro* of a cell line. While relatively easy to set up, the fact that most AAV serotypes are highly inefficient in transducing cells *in vitro* forces to use high multiplicity of infections (MOIs) in the assay, resulting in lower sensitivity of detection of antibodies. Additionally, several parameters related to the cell culture conditions are likely to contribute to the variability of the assay, such as the cell line used, the cell density, and the reporter vector preparation. Here we describe a method for the detection of anti-AAV antibodies using an optimized *in vitro* neutralization protocol and we provide tools for the determination of the optimal conditions for the assay depending on the AAV serotype. This protocol can be applied to virtually all AAV serotypes and provides a fast measurement of neutralizing activity of a test sample. Furthermore, the assay can be validated to support clinical development of AAV vectorbased therapeutics for human use.

Experimental Procedure

The experimental procedure outlined here can be used to determine the neutralizing activity of virtually any body fluid (plasma, serum, synovial fluid, cerebrospinal fluid, etc.) from preclinical and clinical samples. One of the important advantages of this protocol is the use of small volumes of test sample, which in the case of small animal studies or pediatric trials can be a limiting factor.

A visual representation of the protocol is given in Fig. 1. The protocol lasts 3 days from the time of initiation of the cell culture to the end of the assay. The most labor-intensive part of the assay is the preparation of the serial dilutions of the test samples and controls.

Depending on the origin of the samples to test in the assay, particular attention and protective equipment should be used to avoid exposure to specimens potentially carrying infectious agents.



FIG. 1. Outline of the *in vitro* neutralization assay. Left: tabular view of the assay describing the key steps and the duration of each step. The assay is performed over a period of three days, starting from seeding of cells to the final luciferase activity assay. Right: schematic diagram of the assay illustrating the key steps of the assay, including the preparation of the serial dilutions of samples and controls, neutralization, and final luciferase detection.

1. Materials

1.1. Reagents

Reagents	Supplier	Specific handing	Storage conditions	
PBS, Ca ⁺⁺ Mg ⁺⁺ free	Life technologies Cat. No. 14190-094		Room temperature	
Fetal bovine serum (FBS)	Life technologies Cat. No. 10270-106	Heat inactivate at 56°C for 30 min before use	-20°C	
Penicillin/streptomycin	Life technologies Cat. No. 10378-016		-20°C	
Dulbecco's modified Eagle's medium (DMEM)	Life technologies Cat. No. 31966-021		+4°C	
TrypLE express enzyme $1 \times$ with phenol red	Life technologies Cat. No. 12605-010		Room temperature	
AAV-luciferase reporter vector with a minimum titer of 1×10^{11} vg/ml	Research-quality provider	Store in 50 μ l aliquots, avoid more than three freeze-thaw cycles	- 80°C	
Cell line	ATCC	To be selected based on the serotype of AAV used	Frozen in liquid nitrogen	
Ponasterone A	Life technologies Cat. No. 450478	Optional, needed if using 2V6.11 cells	-20°C	
Ethanol 100%, tissue culture grade	VWR Cat. No. 20821296	Optional, needed if using 2V6.11 cells	Room Temperature	
Bright-Glo luciferase assay system	Promega Cat. No. E2620	Toxic, contains dithiothreitol, must be prepared under chemical hood	-20°C	
Trypan blue solution 0.4%	Sigma Cat. No. T8154	Toxic, use standard procedures to avoid contact with skin, eyes	Room temperature	
Positive control: purified IgG Commercial vendor or pooled plasma/serum		Prepare small single-use aliquots	-80°C	

1.2. Supplies

- 1.2.1. 500 ml filtering system 0.22 μm, Millipore, Cat. No. SCGPU05RE
- 1.2.2. White 96-well microplate with clear bottom, sterile, and tissue culture treated, Perkin Elmer, Cat. No. 6005181
- 1.2.3. 96-well round-bottom microplate, VWR, Cat. No. 7342080
- 1.2.4. Kova glasstic slides 10 with counting grids for cell counting, KOVA, Cat. No. 87144/E
- 1.2.5. 50 ml centrifuge/conical tubes, Fisher Scientific, Cat. No. 14-432-22
- 1.2.6. 15 ml centrifuge/conical tubes, Fisher Scientific, Cat. No. 14-959-49
- 1.2.7. 12-channel multichannel pipette Finnpipette 1– 10 μl, Sigma, Cat. No. Z655643
- 1.2.8. 12-channel multichannel pipette Finnpipette 5– 50 μl, Sigma, Cat. No. Z678031
- 1.2.9. Reagent reservoirs, Costar, Cat. No. CLS 4870-200EA
- 1.2.10. Pipet tips
- 1.2.11. Aluminum foil

1.3. Equipment

- 1.3.1. Incubator at 37°C and 5% CO₂
- 1.3.2. Water bath
- 1.3.3. Biological safety cabinet

- 1.3.4. Microplate luminometer reader Enspire, Perkin Elmer, Cat. No. 2300-001
- 1.3.5. Inverted microscope
- 1.3.6. Vortex mixer
- 1.3.7. Computer equipped with Microsoft Excel
- 1.3.8. Freezer, -80° C and -20° C
- 1.3.9. Refrigerator, $2-8^{\circ}$

2. Considerations for Assay Setup

2.1. Choice of the cell line

The choice of the cell line is perhaps the most important parameter to be taken into consideration for the anti-AAV NAb titer assay.

Several cell lines can be used as targets for AAV transduction such as HeLa, HEK 293, and Huh7 cells with a high level of *in vitro* AAV vector transduction efficiency. However, the poor *in vitro* transduction efficiency of most AAV serotypes other than AAV2 results in lower assay sensitivity and requires a greater MOI, which results in the underestimation of the AAV NAb titer.

To overcome this limitation and to improve the sensitivity of the assay using a low MOI, it is possible to use permissive cells such as the 2V6.11 cell line.²⁵ These cells are derived from HEK293 cells and express the adenovirus E4 ORF gene product under the control of the ecdysone-inducible promoter.



FIG. 2. Comparison of the AAV transduction efficiency between 2V6.11 cells and other cell lines. For each experiment, the cells were seeded in a 96-well plate. For the 2V6.11 cells, ponasterone A was added at $1 \mu g/ml$ to the medium to induce the expression of the adenoviral gene *E4*. Next day, the cells were transduced with increasing MOIs of AAV-luciferase vector and the signal was measured 24 hr later. Results are expressed as mean RLU/sec/well/optical density (Bradford protein as-say)±standard deviation (error bars). (A) Transduction efficiency obtained with AAV6-luciferase on Huh7 vs. 2V6.11 cell lines. (B) Transduction efficiency obtained with AAV8-luciferase on HeL2 RC32 vs. 2V6.11 cell lines. AAV, adeno-associated virus; MOIs, multiplicity of infections; RLU, relative light units.

As an example, the expression of luciferase was measured after transduction of cells with three different AAV serotypes: AAV6, AAV8, and AAV9. As shown in Fig. 2, with all the serotypes tested 2V6.11 cells displayed a greater sensitivity to transduction and a higher reporter gene signal compared with other cell lines even at low MOI. Cell lines other than 2V6.11 can be chosen to establish the anti-AAV NAb assay; however, careful optimization needs to be made to maintain the MOI used in the NAb assay as low as possible.

2.2. AAV reporter vector

The sensitivity of the anti-AAV NAb assay is directly dependent on the ability to detect the reporter transgene following the transduction of a cell line *in vitro*.

To improve the sensitivity of detection of anti-AAV NAb, it is important to optimize the assay to achieve *in vitro* levels of reporter transgene expression well above background levels. For example, to overcome the variability in detection of the reporter signal, and to use a reporter with a wide dynamic range of detection, we suggest using the luciferase transgene as reporter.

Additionally, for every new serotype it is necessary to select an optimal MOI to be used in the NAb assay. In Fig. 3 we determined the optimal MOI to be used in an AAV8 NAb assay. 2V6.11 cells were transduced with an increasing MOIs of AAV8 and the luciferase expression was measured 24 hr later. Based on the absolute luciferase signal and variability measured across wells transduced with the same amount of virus, an MOI of ~ 200 was chosen for the AAV8 NAb assay. In general, the optimal MOI to be used in the NAb assay should correspond to the lowest amount of virus resulting in a reporter gene signal above background and not saturated; for example, for luciferase a signal of ~10⁴ relative light units (RLU) will allow for efficient detection of the reporter gene signal, well remaining below the signal saturation level (e.g., > 10⁶ RLU).

2.3. Standards and controls

The suitability of AAV neutralization assay requires the presence of a standard to be used to control for the variability of the assay. This control could be a pool of human plasma or serum, or purified human IgG (e.g., intravenous immunoglobulin, IVIg), or a neutralizing monoclonal antibody. A serial dilution of the standard control should be included in every run of the NAb assay to identify any variability, which may lead to erroneous titer determination. As negative minimum signal control (no neutralization), the sample diluent can be used with no reporter virus; the maximum signal control should contain the reporter virus and sample diluent only.



FIG. 3. Transduction assay for the determination of the optimal MOI to be used in an AAV8 neutralization assay. The 2V6.11 cells were seeded at 2×10^4 cells/well in a 96-well microplate with ponasterone A at 1 µg/ml to induce the expression of the adenoviral gene *E4*. The next day, the cells were transduced with increasing MOIs of AAV8-luciferase vector and the signal was measured 24 hr later. Results are expressed as mean RLU/sec/well/optical density (Bradford protein assay)± standard deviation (error bars).

IN VITRO ANTI-AAV NEUTRALIZING ANTIBODY TITER

2.4. Selection of the luminescence reader

To choose the optimal luminometer reader, an increasing number of HeLa cells were seeded into a 96-well plate and transduced with AAV2-luciferase vector at an MOI of 5000. The luciferase signal was measured with two readers. As shown in Fig. 4, the choice of the reader can influence the performance of the assay, resulting in up to one-log difference in the luciferase signal readout.

3. Reagents Preparation

3.1. AAV-luciferase vector

Here a protocol for anti-AAV8 NAb titer determination is described.

Dilute the AAV8-luciferase vector to a target concentration of 2×10^{11} vg/ml in $1 \times PBS$ (working stock).

Store at -80° C in 50 μ l aliquots. Each aliquot undergoes a maximum of three freeze–thaw cycles and can be stored for a maximum of 3 years.

3.2. Complete cell culture medium cDMEM

Add to DMEM, 10% of heat-inactivated FBS, and $1 \times \text{penicillin/streptomycin}$. Filter with a 0.22 μ m filter unit and store at 4°C for a maximum of 2 months.

3.3. Preparation of the ponasterone A solution

Reconstitute the ponasterone A at 1 mg/ml in 100% ethanol; this will be the $1000 \times \text{working}$ solution for the assay. Store at -20° C for up to 6 months.

3.4. Test samples

Heat-inactivate the test samples at 56° C for 30 min. The samples can be stored in aliquots at -80° C until tested.



FIG. 4. Comparison of the RLU signal measured between two different luminescence readers. HeLa cells were seeded at decreasing number into a 96-well plate and transduced with an AAV2-luciferase vector at an MOI of 5000. The day after, the signal was measured using two different luminescence readers. Black squares, luciferase signal measured with the VICTOR 1420 Multilabel counter (PerkinElmer Life Sciences); red diamonds, luciferase signal measured with the Enspire Multilabel reader (PerkinElmer Life Sciences). Results are expressed as mean RLU/sec/well/optical density (Bradford protein assay)± standard deviation (error bars). Color images available online at www.liebertpub.com/hgtb

3.5. Diluent serum: FBS

Heat-inactivates the FBS at 56°C for 30 min. Filter the solution through $0.22 \,\mu$ m filter unit. Prepare 4 ml aliquots and store at -20°C up to 6 months. Note that diluents other than FBS can be used (e.g., naïve mouse serum).

3.6. Luciferase reagent based on Bright-Glo luciferase assay system

Handle with care: the reagent contains dithiothreitol, and it is important to prepare it under the chemical hood.

Transfer 100 ml of Bright-Glo buffer to the Bright-Glo substrate.

Mix and incubate for 5 min at room temperature.

Aliquot the solution in 10 ml aliquots and store protected from light (one aliquot of 10 ml is sufficient for one assay plate).

Store at -80° C up to 1 month after reconstitution.

4. Procedure

4.1. Day 1 of the assay: plating of 2V6.11 cells

- 4.1.1. Trypsinize 2V6.11 cells and count them using trypan blue exclusion.
- 4.1.2. Dilute the cells to 2×10^5 cells/ml in cDMEM and add ponasterone A to a final concentration of $1 \mu g/ml$.
- 4.1.3. Plate the cells in flat-bottom 96-well tissue culture plate at 2×10^4 cells/100 µl.
- 4.1.4. Incubate the cells overnight in a 37°C, 5% CO_2 incubator.

4.2. Day 2 of the assay: preparation of test sample, controls, and AAV vector for neutralization assay

- 4.2.1. Observe the cells under the microscope and ensure that they are at $\sim 50\%$ of confluence.
- 4.2.2. Prepare a dilution cascade of the test sample and control in a plate.
 - A. Use 96-well U-bottom tissue culture plate as dilution plate.
 - B. Prepare half-log serial dilution of the test samples and control samples using FBS as diluent.
 - C. Dilute the sample and control as described in Table 1.
 - D. For each plate, prepare the following controls:100% vector transduction control
 - 0% transduction (signal background)
 - E. Transfer 20 μ l of above dilutions from the dilution plate to a second 96-well U-bottom assay plate.
- 4.2.3. Prepare the working solution of the AAV-luciferase vector.

Note: The concentration of the working solution of AAVluciferase vector depends on the serotype chosen. Here we describe the concentration needed for an anti-AAV8 NAb assay.

- A. Dilute the AAV8-luciferase vector to 2×10^9 vg/ml in DMEM without FBS.
- B. $20 \,\mu$ l of AAV-luciferase vector working solution are needed for each test sample and positive control dilution and for maximum control.

 TABLE 1. PREPARATION OF THE DILUTION CASCADE

 FOR THE TEST SAMPLES AND POSITIVE CONTROL

Dilution cases sample and co	ade for test ontrol	Volume of test sample/control	Volume of diluent (µl)	
Dilution 1	1:1	40μ l of undiluted material	0	
Dilution 2	1:3.16	12μ l of dilution 1	26	
Dilution 3	1:10	12μ l of dilution 2	26	
Dilution 4	1:31.6	12μ l of dilution 3	26	
Dilution 5	1:100	12μ l of dilution 4	26	
Dilution 6	1:316	12μ l of dilution 5	26	
Dilution 7	1:1000	12μ l of dilution 6	26	
Dilution 8	1:3160	12μ l of dilution 7	26	

- 4.2.4. Prepare the mix of the AAV-luciferase vector with the diluted test and control samples.
 - A. Transfer 20 μ l of the diluted AAV vector to the 20 μ l of each of the dilutions of the test and control samples in the second 96-well U-bottom plate using a multichannel pipette.
 - B. Mix $20 \,\mu$ l of the diluted vector with $20 \,\mu$ l of FBS for the 100% vector transduction. Add $20 \,\mu$ l of DMEM with $20 \,\mu$ l of FBS to one well in the second 96-well U-bottom plate for the 0% transduction.
 - C. Incubate the dilution plate for 1 hr at 37°C.
- 4.2.5. Transfer 7.5 μ l of each neutralized test sample and control and max and min controls to the assay plate with cells in triplicate. Add the solution directly to the tissue culture medium leaving cells undisturbed. Use the plate layout shown in Table 2.
- 4.2.6. Wrap the plate in foil to avoid excessive edge-well medium evaporation. Alternatively, leave the edge wells empty.
- 4.2.7. Incubate the assay plate overnight in the 37°C, 5% CO₂ incubator.

4.3. Day 3 of the assay: cell lysis and measurement of the luciferase activity

4.3.1. Prepare the desired number of aliquots of Bright-Glo luciferase assay system and bring them to room temperature.

- 4.3.2. Observe the cells under the microscope. Cover the bottom of the 96-well culture plate with the white film provided with the white 96-well culture plate to avoid light bleeding between each well and to reduce the background during the luminescence reading in the luminometer.
- 4.3.3. Place the plate at room temperature for 15 min.
- 4.3.4. Add $100 \,\mu$ l of cell lysis and substrate reagent in each well and wait for at least 3 min to allow complete cell lysis.
- 4.3.5. Measure luminescence. If using an Enspire reader, apply the following parameters:
 - Number of assay repeat: 1
 - Number of plate repeats: 1
 - Mode of measurement: By rows bidirectional
 - Plate type: 96 ViewPlate
 - Measured: By well
 - Measurement time: 1 sec

5. Calculation of the Anti-AAV Neutralizing Antibody Titer

Critical step: Before the calculation of the neutralizing titer of the test sample, the positive sample control must have the expected titer and the negative (minimum signal) control must be close to the background reading of luciferase ($<10^2$ RLU). If these controls are out of the specifications, the assay is rejected and needs to be repeated.

- A. Calculate the average luciferase signal for each sample/control and subtract the average minimum transduction value (no virus) from triplicates wells.
- B. Percent luciferase expression = [(test sample luciferase reading no virus luciferase signal)/(max luciferase signal no virus luciferase signal)] × 100
- C. Percent luciferase inhibition = 100 percent luciferase expression
- D. The neutralizing titer of the sample is determined as the first dilution at which 50% or greater inhibition of the luciferase expression is measured. For example, if 50% or greater inhibition is observed at a 1:10 dilution of the sample, the titer is reported as 1:10.

Note on the interpretation of results: Borderline NAb titers may pose a challenge to the interpretation of results. For example, the table below shows the case of a sample

	Positive control		Test sample 1		Test sample 2			Test sample 3				
	1	2	3	4	5	6	7	8	9	10	11	12
A	1:10	1:10	1:10	1:1	1:1	1:1	1:1	1:1	1:1	1:1	1:1	1:1
В	1:31.6	1:31.6	1:31.6	1:3.16	1:3.16	1:3.16	1:3.16	1:3.16	1:3.16	1:3.16	1:3.16	1:3.16
С	1:100	1:100	1:100	1:10	1:10	1:10	1:10	1:10	1:10	1:10	1:10	1:10
D	MAX	MAX	MAX	1:31.6	1:31.6	1:31.6	1:31.6	1:31.6	1:31.6	1:31.6	1:31.6	1:31.6
E	MIN	MIN	MIN	1:100	1:100	1:100	1:100	1:100	1:100	1:100	1:100	1:100
F	1:316	1:316	1:316	1:316	1:316	1:316	1:316	1:316	1:316	1:316	1:316	1:316
G	1:1000	1:1000	1:1000	1:1000	1:1000	1:1000	1:1000	1:1000	1:1000	1:1000	1:1000	1:1000
Η	1:3160	1:3160	1:3160	1:3160	1:3160	1:3160	1:3160	1:3160	1:3160	1:3160	1:3160	1:3160

TABLE 2. EXAMPLE OF ASSAY PLATE LAYOUT

MAX, virus only, no neutralizing antibodies, max luciferase signal; MIN, no virus, background luciferase signal. Ratios in the cells of the column represent the dilutions of the samples loaded in triplicate.

with a neutralizing titer of 1:10, at which 51% inhibition of the luciferase signal is measured. As the percent inhibition is close to the cutoff of 50% inhibition, it can be easily affected by the assay variability. In this case, and when the percent inhibition falls within a range of 45-55%, it is suggested to confirm the NAb titer of the sample.

Dilution	% Inhibition
1:1	100
1:3.16	80
1:10	51
1:31.6	20
1:100	5
1:316	0
1:1000	0

Troubleshooting

Problem	Solution
High variability of readout across triplicate wells	Check the amount of cells plated; too many cells create debris that can interfere with luminescence detection.
The NAb titer of positive control is out of the expected range	Repeat the dilution cascade; Verify accuracy of the multichannel.
Low level of luciferase signal	 2V6.11 cells have been seeded without ponasterone A. Check the MOI used in the assay. Check for mistakes during the preparation of the working solution of the AAV. The AAV aliquot has gone through too many freeze-thaw cycles. Check for bacterial contamination of the cells. The luciferase substrate reagent is old.
The RLU of the Max luciferase signal control is higher than the highest dilution of the test sample (1:3160)	Check higher dilutions of the test sample. Check for inhibitory activity of the matrix of the sample on AAV transduction.

Validation of Cell-Based Assays to Measure Neutralizing Antibodies

Assessment of immunogenicity during preclinical and clinical trials requires the use of validated analytical methods. Validation is an ongoing process during product development, aimed at ensuring the most accurate evaluation of safety and efficacy of the product itself. The process has to start from the preclinical studies and the full validation study has to be completed before the time of license application.

Several regulatory guidelines exist to help researchers or industries to validate their immunogenicity testing assays.^{26,27} However, harmonization of cell-based assays for the detection of NAb is not easy. Gupta and colleagues in 2007 and 2011^{28,29} published recommendations for the qualification and validation of such assays, respectively, and their guidelines clearly illustrate the gap between a standard protocol and a formal validated method.

The qualification of one assay will provide information on the drug standard curve (dose–response experiment), positive control curve, preliminary cut point, and preliminary sensitivity. Different points have then to be addressed to perform the formal validation of a cell-based assay.

1. Cut point (threshold) determination

The cut point is defined as the threshold above which a sample is considered positive for neutralizing activity; for this purpose, it is necessary to

- 1.1. Analyze a sufficient number of naïve samples (at least 30) at the minimum required dilution.
- 1.2. Perform statistical analysis to calculate the cut point.1.2.1. Analyze value distribution. In case of non-normal distribution, it can be useful to transform data (log transformation, for example).
 - 1.2.2. Eliminate outliers.
 - 1.2.3. Calculate the cut point from a statistical analysis by choosing the desired rate of false-positive results (usually 1–5%).
 - 1.2.4. Determine from the mean and median analysis whether the cut point should be fixed or floating.
- 1.3. *Note*: Cut point should be statistically determined, but that requires the use of a high number of naïve samples, which are not always easy to get. In this case, subjective cut points such as "50% transduction inhibition threshold" can be useful. Attention must, however, be paid to samples bearing a high intrinsic neutralizing activity that can generate too much false-positive results when using this cut point calculation.

2. Sensitivity

The sensitivity describes the smallest amount of NAb that can reproducibly be detected in the assay.

- 2.1. Dilute positive control into assay matrix and perform the assay.
- 2.2. Make sure that at least one dilution gives results below the cut point.
- 2.3. Determine the positive control concentration that crosses the cut point.
 - 2.3.1. Through linear regression: Trace a line between the value just above and the value just below the cut point. This method is easier but less precise than the following.
 - 2.3.2. In alternative, use a four-parameter regression model.
- 2.4. *Note*: This assay should be performed at least six times by at least two different operators on at least two different days.

3. Quality controls

Quality controls have to be included to ensure standardization of the assays.

- 3.1. The titer quality control consists in serial dilutions of the positive control; it allows determining assay precision and should be included throughout study duration.
- 3.2. The low quality control refers to a concentration of positive control that leads to a reproducible transduction inhibition just above the cut point. It can be determined from sensitivity experiments and should be included in every run. Other quality controls could be useful such as a high quality control.

4. Specificity

The aim is to determine whether the observed transduction inhibition is really because of target NAb. Here are two examples of what can be done.

- 4.1. Influence of nonrelevant antibodies (in the case of anti-AAV immune response, it can be interesting to study the impact of NAb against other AAV serotypes).
 - 4.1.1. Use quality controls spiked with different concentrations of a competitive antibody.
 - 4.1.2. Determine the highest concentration of competitive antibody that does not interfere with quality control signal.
- 4.2. Immunodepletion
 - 4.2.1. Treat controls with an appropriate depletion column.
 - 4.2.2. Samples after the column should have a lower inhibition activity than before. Note that depending on the isotype(s), resin must be carefully chosen.

5. Assay precision

Intra-assay and inter-assay precisions have to be determined.

- 5.1. Perform at least three runs of positive controls the same day by the same operator to assess intraassay repeatability. Ideally, position of the different samples should be changed between assay plates.
- 5.2. Perform at least six runs of positive controls on at least two different days by at least two different operators to assess inter-assay precision.
- 5.3. Determine the acceptable % coefficient of variation (CV) between runs; titer precision should fall within one dilution.

6. Selectivity

Selectivity analysis allows determining the influence of other matrix elements on the observed response.

- 6.1. Matrix interference
 - 6.1.1. Use positive control at the low quality control level spiked into the test sample matrix. Whenever applicable, include samples from diseased individuals. Perform the assay as usual. This step is particularly important if the disease is known to affect blood parameters (hemolysis, for example).
 - 6.1.2. % CV between naïve and diseased samples should be reported.

- 6.2. Drug interference
 - 6.2.1. Incubate the positive control with an excess of drug. Residual drug can inhibit detection of NAb even if in the case of AAV vectors this is not expected to be a major issue.³⁰
 - 6.2.2. The highest concentration of drug that does not interfere with the neutralizing activity of the positive control should be reported.

7. Robustness/stability

Robustness allows determining whether voluntary minor changes of the protocol reflecting routine variations will affect the result. Here are some examples of parameters that can be assessed.

- 7.1. Evaluate the influence of multiple passages on cells permissivity. Report the maximum acceptable cell passage number.
- 7.2. Test different incubation times on critical steps of the assay (transduction, seroneutralization, revelation) and report acceptable ranges.
- 7.3. Virus stability should be assessed through multiple freeze-thaw cycles.
- 7.4. Multiple conditions of sample's storage should be evaluated.

Acknowledgments

This work was supported by Genethon and Genosafe, the European Union (FP7-PEOPLE-2012-CIG Grant 333628; ERC-2013-CoG Grant 617432; to F.M.), the Bayer Early Career Investigator Award (to F.M.), and the DIM of Biotherapies (to A.M.)

Author Disclosure Statement

S.T. and L.J.-L. are employees of Genosafe, a company offering immunomonitoring services. F.M. is consultant for Genosafe but does not receive financial compensation for this work. F.M. is inventor in patents describing the AAV technology and describing assays for immunomonitoring in AAV gene transfer. All other authors declare no conflict of interest.

References

- 1. Manno CS, Pierce GF, Arruda VR, et al. Successful transduction of liver in hemophilia by AAV-Factor IX and limitations imposed by the host immune response. Nat Med 2006;12:342–347.
- Nathwani AC, Reiss UM, Tuddenham EG, et al. Long-term safety and efficacy of factor IX gene therapy in hemophilia B. N Engl J Med 2014;371:1994–2004.
- 3. Nathwani AC, Tuddenham EG, Rangarajan S, et al. Adenovirus-associated virus vector-mediated gene transfer in hemophilia B. N Engl J Med 2011;365:2357–2365.
- 4. Bainbridge JW, Smith AJ, Barker SS, et al. Effect of gene therapy on visual function in Leber's congenital amaurosis. N Engl J Med 2008;358:2231–2239.
- 5. Cideciyan AV, Aleman TS, Boye SL, et al. Human gene therapy for RPE65 isomerase deficiency activates the retinoid cycle of vision but with slow rod kinetics. Proc Natl Acad Sci USA 2008;105:15112–15117.
- 6. Maguire AM, Simonelli F, Pierce EA, et al. Safety and efficacy of gene transfer for Leber's congenital amaurosis. N Engl J Med 2008;358:2240–2248.

IN VITRO ANTI-AAV NEUTRALIZING ANTIBODY TITER

- Buning H. Gene therapy enters the pharma market: the short story of a long journey. EMBO Mol Med 2013;5:1–3.
- Mingozzi F, High KA. Therapeutic *in vivo* gene transfer for genetic disease using AAV: progress and challenges. Nat Rev Genet 2011;12:341–355.
- Masat E, Pavani G, Mingozzi F. Humoral immunity to AAV vectors in gene therapy: challenges and potential solutions. Discov Med 2013;15:379–389.
- Arruda VR, Stedman HH, Haurigot V, et al. Peripheral transvenular delivery of adeno-associated viral vectors to skeletal muscle as a novel therapy for hemophilia B. Blood 2010;115:4678–4688.
- 11. Haurigot V, Mingozzi F, Buchlis G, et al. Safety of AAV factor IX peripheral transvenular gene delivery to muscle in hemophilia B dogs. Mol Ther 2010;18:1318–1329.
- Jiang H, Couto LB, Patarroyo-White S, et al. Effects of transient immunosuppression on adenoassociated, virus-mediated, liver-directed gene transfer in rhesus macaques and implications for human gene therapy. Blood 2006;108:3321–3328.
- Scallan CD, Jiang H, Liu T, et al. Human immunoglobulin inhibits liver transduction by AAV vectors at low AAV2 neutralizing titers in SCID mice. Blood 2006;107:1810–1817.
- Calcedo R, Morizono H, Wang L, et al. Adeno-associated virus antibody profiles in newborns, children, and adolescents. Clin Vaccine Immunol 2011;18:1586–1588.
- 15. Erles K, Sebokova P, Schlehofer JR. Update on the prevalence of serum antibodies (IgG and IgM) to adeno-associated virus (AAV). J Med Virol 1999;59:406–411.
- Li C, Narkbunnam N, Samulski RJ, et al. Neutralizing antibodies against adeno-associated virus examined prospectively in pediatric patients with hemophilia. Gene Ther 2012;19:288–294.
- Boutin S, Monteilhet V, Veron P, et al. Prevalence of serum IgG and neutralizing factors against adeno-associated virus (AAV) types 1, 2, 5, 6, 8, and 9 in the healthy population: implications for gene therapy using aav vectors. Hum Gene Ther 2010;21:704–712.
- Calcedo R, Vandenberghe LH, Gao G, et al. Worldwide epidemiology of neutralizing antibodies to adeno-associated viruses. J Infect Dis 2009;199:381–390.
- Mingozzi F, Chen Y, Edmonson SC, et al. Prevalence and pharmacological modulation of humoral immunity to AAV vectors in gene transfer to synovial tissue. Gene Ther 2013;20:417–424.
- Gao GP, Alvira MR, Wang L, et al. Novel adeno-associated viruses from rhesus monkeys as vectors for human gene therapy. Proc Natl Acad Sci USA 2002;99:11854–11859.
- 21. Sun L, Tu L, Gao G, et al. Assessment of a passive immunity mouse model to quantitatively analyze the impact

of neutralizing antibodies on adeno-associated virus-mediated gene transfer. J Immunol Methods 2013;387:114–120.

- 22. Wang L, Calcedo R, Wang H, et al. The pleiotropic effects of natural AAV infections on liver-directed gene transfer in macaques. Mol Ther 2010;18:126–134.
- 23. Halbert CL, Miller AD, Mcnamara S, et al. Prevalence of neutralizing antibodies against adeno-associated virus (AAV) types 2, 5, and 6 in cystic fibrosis and normal populations: implications for gene therapy using AAV vectors. Hum Gene Ther 2006;17:440–447.
- 24. Moskalenko M, Chen L, Van Roey M, et al. Epitope mapping of human anti-adeno-associated virus type 2 neutralizing antibodies: implications for gene therapy and virus structure. J Virol 2000;74:1761–1766.
- 25. Mohammadi ES, Ketner EA, Johns DC, et al. Expression of the adenovirus E4 34k oncoprotein inhibits repair of double strand breaks in the cellular genome of a 293-based inducible cell line. Nucleic Acids Res 2004;32:2652–2659.
- European Medicines Agency. Guideline on Immunogenicity Assessment of Biotechnology-Derived Therapeutic Proteins. EMA/CHMP/BMWP/14327/2006. 2007.
- U.S. Food and Drug Administration. Guidance for Industry: Immunogenicity Assessment for Therapeutic Protein Products (Draft), 2013.
- Gupta S, Indelicato SR, Jethwa V, et al. Recommendations for the design, optimization, and qualification of cell-based assays used for the detection of neutralizing antibody responses elicited to biological therapeutics. J Immunol Methods 2007;321:1–18.
- Gupta S, Devanarayan V, Finco D, et al. Recommendations for the validation of cell-based assays used for the detection of neutralizing antibody immune responses elicited against biological therapeutics. J Pharm Biomed Anal 2011;55:878–888.
- Zincarelli C, Soltys S, Rengo G, et al. Analysis of AAV serotypes 1–9 mediated gene expression and tropism in mice after systemic injection. Mol Ther 2008;16:1073–1080.

Address correspondence to: Dr. Federico Mingozzi Genethon 1 rue de l'Internationale 91000 Evry France

E-mail: fmingozzi@genethon.fr

Received for publication March 17, 2015; accepted after revision March 26, 2015.