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Correction: Retraction

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## Sorcin Predicts Poor Prognosis and Promotes Metastasis by Facilitating Epithelial-mesenchymal Transition in Hepatocellular Carcinoma

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Metastasis-associated recurrence is the main cause for the poor prognosis of hepatocellular carcinoma (HCC). However, the detailed molecular mechanisms underlying HCC metastasis remain elusive. Though some data indicated the oncogenic role of Sorcin in tumors, the prognostic value and biological role of Sorcin in HCC is still unknown. In this study, it demonstrated that Sorcin expression levels were significantly upregulated in HCC tumor tissues compared with matched adjacent nontumorous liver tissues and normal liver tissues, and such expression level correlated with HCC metastasis. High Sorcin expression was significantly correlated with aggressive clinicopathological characteristics such as multiple tumor nodules, high Edmondson-Steiner grade, microvascular invasion, advanced TNM stage and advanced BCLC stage (all  $P < 0.05$ ). HCC patients with high Sorcin expression had both shorter survival and higher recurrence than those with low Sorcin expression (all  $P < 0.05$ ). Sorcin expression was an independent and significant risk factor for survival and recurrence of HCC patients. Results of functional experiments showed that Sorcin could promote HCC cell proliferation, migration, and invasion *in vitro*, and facilitate HCC growth and metastasis *in vivo*. Mechanistically, Sorcin exerted its role by activating extracellular signal-regulated kinase (ERK) pathway and promoted metastasis by facilitating epithelial-mesenchymal transition (EMT) in HCC.

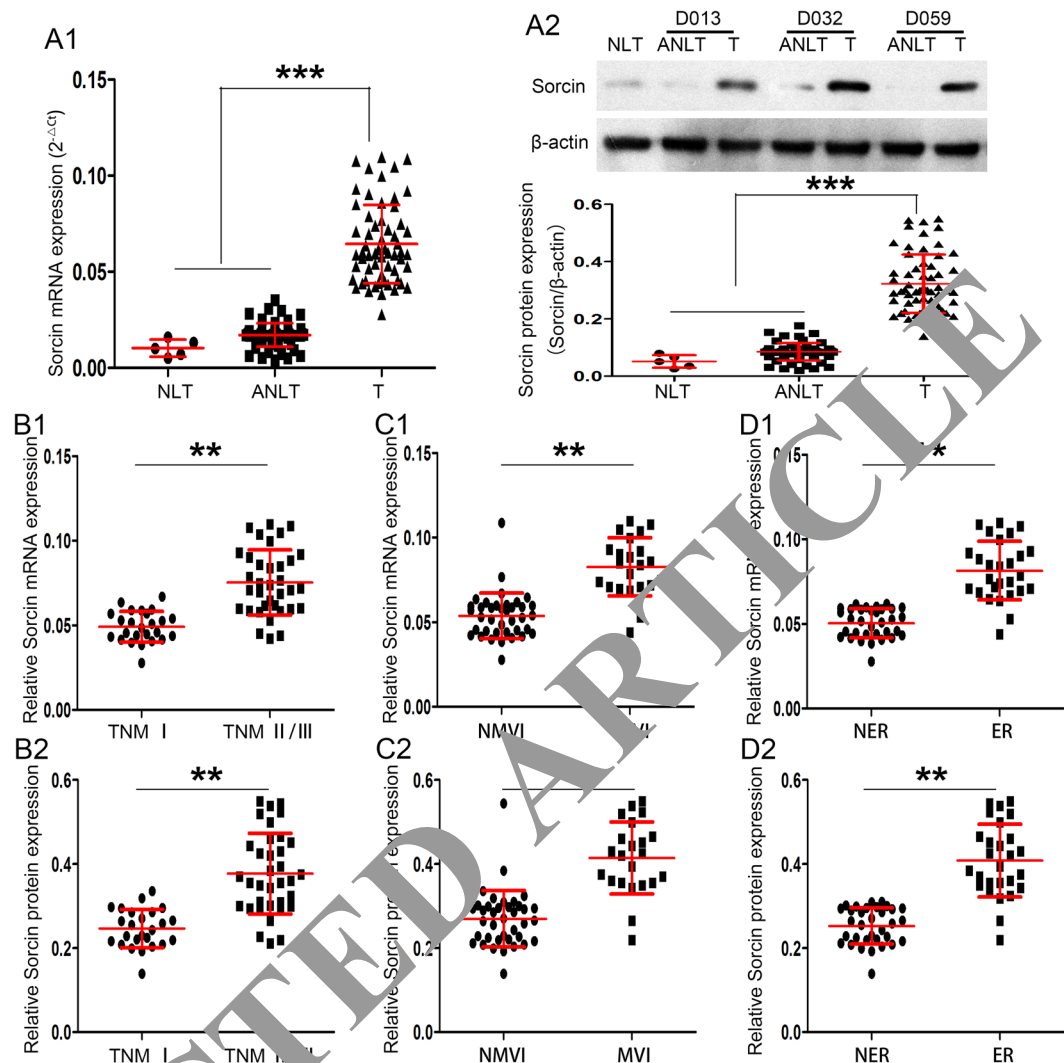
Hepatocellular carcinoma (HCC) is the fifth most common malignant tumor and the third cause of cancer-related deaths worldwide<sup>1</sup>. Surgical resection is still the prime therapeutic strategy to treat HCC<sup>2,3</sup>. Despite advancement in surgical resection, the prognosis of HCC remains bleak, with a 5-year overall survival rate approximately 30% after radical hepatic resection<sup>4</sup>. Metastasis-associated recurrence, by dissemination of metastatic HCC cells, is the main cause for the poor prognosis<sup>3,5,6</sup>. However, the molecular mechanisms underlying HCC metastasis are not well characterized. Therefore, further investigations into its molecular mechanisms are of great importance in identifying new prognostic biomarkers and developing novel therapeutics for HCC.

Sorcin, a 22-kDa calcium ( $\text{Ca}^{2+}$ )-binding cytoplasmic protein, was initially discovered in multidrug resistant cells<sup>7</sup>. Previous studies show that Sorcin plays a role in regulating  $\text{Ca}^{2+}$  signaling<sup>8</sup>, which is involved in chemoresistance, cytoskeletal reorganization, cell migration, and cancer metastasis<sup>9</sup>. Sorcin overexpression has been observed in multiple human cancers, including human gastric, colorectal, lung cancer *et al.*<sup>10–12</sup>. Recently, the study indicated that Sorcin could enhance cancer cell migration, invasion, and epithelial-mesenchymal transition (EMT) in breast and colorectal cancer<sup>11,13</sup>. In light of its oncogenic role in other tumors, we hypothesized that Sorcin might also play a role in the metastasis of HCC. However, the role of Sorcin in the metastasis of HCC has never been explored.

In this study, we investigated the clinical relevance and the biological role of Sorcin in human HCC. We observed a significantly up-regulated expression of Sorcin in HCC and high Sorcin expression was an

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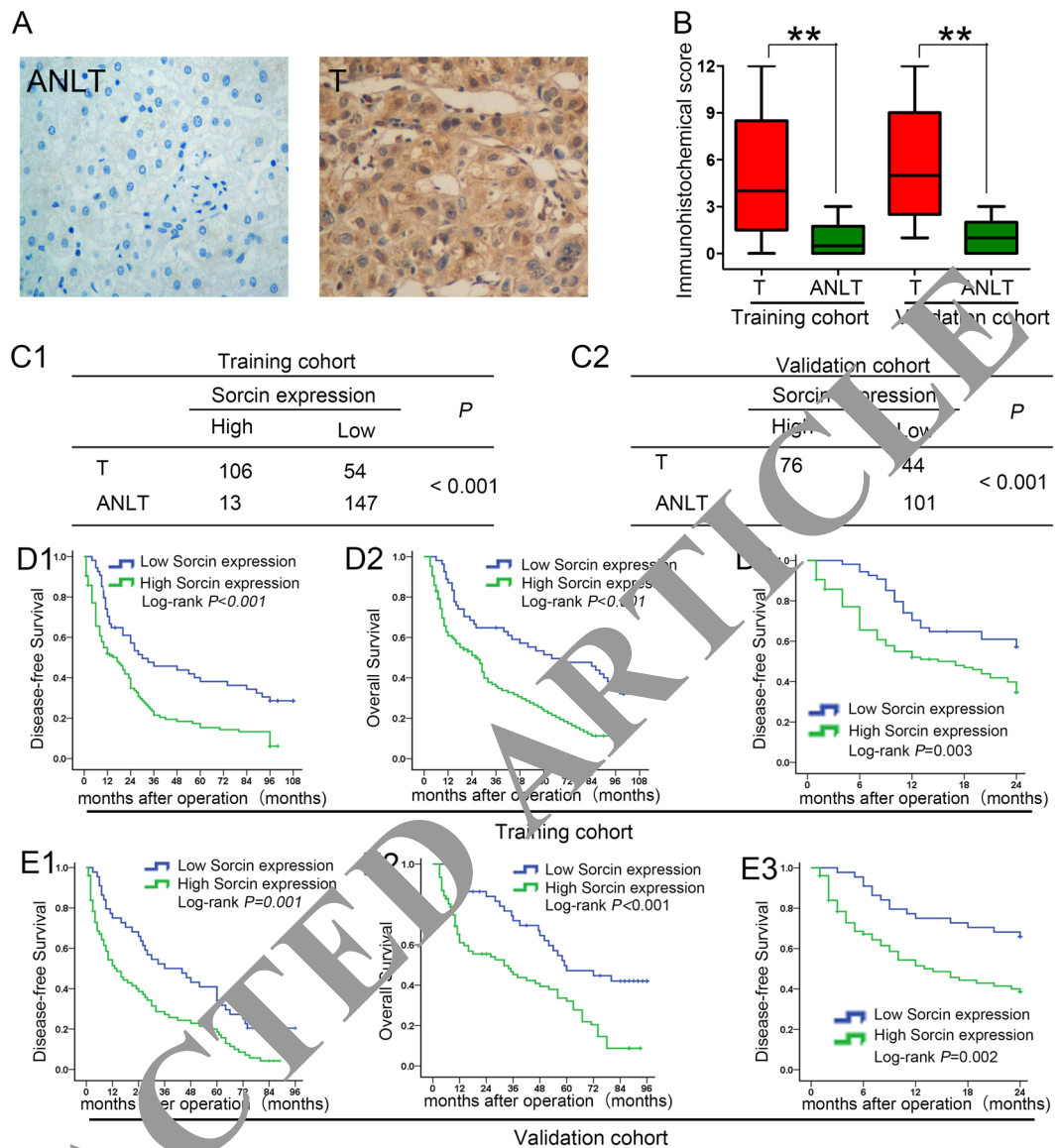


**Figure 1.** Sorcin Expression Is Significantly Elevated and Associated with Metastasis in HCC. (A) Sorcin mRNA and protein expression in Ts were markedly higher than in their corresponding ANLTs or NLTs. (A1) Real-time PCR (RT-PCR) and (A2) western blot were used to determine the expression of Sorcin in sixty pairs of Ts and ANLTs in screening cohort. Five NLTs from hepatic hemangioma patients were set as loading control. NLT, ANLT, T represent normal liver tissue, adjacent nontumorous liver tissue, tumor tissue. (B) Sorcin mRNA (B1) and protein (B2) expression in HCC tissues from advanced stage patients were significantly higher (TNM stage II/III) than in those from early stage patients (TNM stage I). (C) Sorcin mRNA (C1) and protein (C2) expression was significantly higher in tumors with microvascular invasion (MVI) than those without MVI. MVI, microvascular invasion; NMVI, without microvascular invasion. (D) Sorcin mRNA (D1) and protein (D2) expression in HCC tissues from patients with early tumor recurrences (within 2 years) was higher than those from patients without early tumor recurrences. ER, NER represent HCC with early recurrence, HCC without early recurrence respectively. \*\* $P < 0.01$ , \*\*\* $P < 0.001$ .

independent prognosticator for survival and recurrence. Then, results of functional experiments indicated that Sorcin promoted cell migration and invasion *in vitro* as well as metastasis *in vivo*. Mechanically, we further demonstrated that Sorcin exerted its function by activating extracellular signal-regulated kinase (ERK) pathway and inducing EMT in HCC.

## Results

**Sorcin Expression Is Significantly Elevated and Associated with Metastasis in HCC.** Firstly, the expression of Sorcin was determined in sixty pairs of HCC samples including tumors (Ts) and their corresponding adjacent nontumorous liver tissues (ANLTs) in screening cohort (Supplementary Fig. 1 and Supplementary Table 1) by real-time PCR (RT-PCR) and western blot. Five normal liver tissues (NLTs) from hepatic hemangioma patients were set as loading control. Results showed Sorcin mRNA and protein expression in Ts were markedly higher than in ANLTs or NLTs ( $P < 0.001$ ; Fig. 1A1,2). The expression levels of Sorcin in HCC tissues were significantly higher in advanced stage patients (TNM stages II/III) than in early stage patients (TNM stage I)



**Figure 2.** High Sorcin expression is a potential prognosticator for HCC clinical outcome after liver resection. **(A)** A paired representative images for immunohistochemistry (IHC) staining of Sorcin protein in Ts and ANLTs from training and validation cohort. **(B)** Box-plot analyzed the immunohistochemical scores of Ts and ANLTs from training and validation cohort showed that Ts exhibited higher Sorcin protein expression than corresponding ANLTs. **(C)** According to IHC immunostaining score, HCC patients dichotomized into high Sorcin expression group ( $>3$ ) and low Sorcin expression group ( $\leq 3$ ).  $\chi^2$  analysis was used to analyze the difference between Ts and ANLTs from training (**C1**) and validation cohort (**C2**). **(D)** Kaplan-Meier analysis of the correlation between Sorcin expression and the disease-free survival (DFS; **D1**), overall survival (OS; **D2**), early recurrence (ER; **D3**) of HCC patients in training cohort. **(E)** Kaplan-Meier analysis of the correlation between Sorcin expression and the disease-free survival (DFS; **E1**), overall survival (OS; **E2**), early recurrence (ER; **E3**) of HCC patients in validation cohort.

( $P < 0.01$ ; Fig. 1B1,2). Notably, Sorcin expression was significantly higher in tumors with microvascular invasion (MVI) than tumors without MVI ( $P < 0.01$ ; Fig. 1C1,2). HCC tissues from patients with early tumor recurrences (within 2 years) also exhibited higher Sorcin expression than that from patients without early tumor recurrences ( $P < 0.01$ ; Fig. 1D1,2). Taken together, these data proved that the expression of Sorcin was significantly elevated in HCC tissues and may be associated with metastasis in HCC.

**High Sorcin Expression Correlates with Aggressive Clinicopathological Characteristics and Predicts Poor Prognosis in HCC Patients.** Next, we assessed the association of Sorcin expression with the prognosis of HCC patients according to ReMARK guidelines for reporting prognostic biomarkers in cancer<sup>14</sup>. Immunohistochemical (IHC) assays of HCC samples from training cohort (Supplementary Table 1 and Supplementary Fig. 1) showed Sorcin expression was mainly located in the cytoplasm (Fig. 2A). The expression

Clinicopathologic variable	Training cohort				Validation Cohort			
	No.	Sorcin expression levels		P value	No.	SORCIN expression level		P value
		Low(n = 54)	High(n = 106)			Low(n = 44)	High(n = 76)	
<b>Gender</b>								
Female	29	11	18	0.599	19	7	12	0.986
Male	131	43	88		101	37	64	
<b>Age (years)</b>								
≤60	105	34	71	0.613	88	31	57	0.587
>60	55	20	35		32	13	19	
<b>Serum AFP level(ng/mL)</b>								
≤20	40	13	27	0.847	31	12	19	0.78
>20	120	41	79		89	32	57	
<b>HBsAg</b>								
Negative	32	15	17	0.079	27	10	17	0.964
Positive	128	39	89		93	34	59	
<b>Liver cirrhosis</b>								
Absence	63	19	44	0.439	44	20	24	0.402
Presence	97	35	62		76	30	46	
<b>Child-Pugh classification</b>								
A	139	46	93	0.651	104	36	68	0.235
B	21	8	13		16	8	8	
<b>Tumor number</b>								
Solitary	69	33	36	0.001	54	29	25	<0.001
Multiple	91	21	70		66	15	51	
<b>Tumor size</b>								
≤5 cm	58	30	28	<0.001	45	23	22	0.011
>5 cm	102	24	78		75	21	54	
<b>Capsular formation</b>								
Presence	65	25	40	0.297	48	17	31	0.817
Absence	95	29	66		72	27	45	
<b>Microvascular invasion</b>								
Absence	60	40	20	<0.001	55	33	22	<0.001
Presence	100	14	86		65	11	54	
<b>Edmondson-Steiner grade</b>								
Low grade (I and II)	62	30	32	0.002	49	25	24	0.007
High grade (III and IV)	98	24	74		71	19	52	
<b>TNM Stage</b>								
I	57	36	21	<0.001	50	29	21	<0.001
II - III	103	18	85		70	15	55	
<b>BCLC Stage</b>								
0- A	50	28	22	<0.001	44	26	18	<0.001
B-C	110	26	84		76	18	58	

**Table 1.** Correlations between Sorcin Expression in HCC Tissues and Clinicopathologic Variables of HCC patients in Training and Validation Cohort. Abbreviations: AFP, alpha-fetoprotein; TNM, tumor node metastasis; BCLC, Barcelona Clinic Liver Cancer.

level of Sorcin was significantly higher in Ts than that in ANLTs (Fig. 2B), and high Sorcin expression was found in 66.25% (106/160) in Ts, as compared with only 8.13% (13/160) in ANLTs from training cohort ( $P < 0.001$ ; Fig. 2C1). HCC patients were divided into two groups: the high Sorcin expression group and low Sorcin expression group based on IHC staining score of HCC tissues. Analyzing the relationship of Sorcin expression with clinicopathologic features showed that high Sorcin expression significantly correlated with aggressive clinicopathologic variables such as multiple nodules ( $P = 0.001$ ; Table 1), larger tumor size ( $P < 0.001$ ; Table 1), microvascular invasion ( $P < 0.001$ ; Table 1), higher Edmondson-Stainer grade ( $P = 0.002$ ; Table 1), and advanced TNM ( $P < 0.001$ ; Table 1) or BCLC stage ( $P < 0.001$ ; Table 1). However, high Sorcin expression did not correlate with clinicopathological variables including gender, age, HBV infection, serum AFP level, presence of cirrhosis and presence of encapsulation ( $P > 0.05$ ; Table 1).

Analyzed by the Kaplan-Meier method with log-rank test, results showed that HCC patients with high Sorcin expression had either shorter disease-free survival (DFS;  $P < 0.001$ ; Fig. 2D1) or shorter overall survival (OS;  $P < 0.001$ ; Fig. 2D2) than those with low Sorcin expression. In addition, HCC patients with the high Sorcin



expression had higher early recurrence (within 2 years) than those with low Sorcin expression ( $P = 0.003$ ; Fig. 2D3). Next, we further explored the prognostic value of Sorcin in specific subgroups of HCC patients. Patients were also classified into two subgroups based on microvascular invasion (MVI), which is a major prognostic factor for HCC and was recently identified as a better predictor of tumor recurrence<sup>15</sup>. MVI offered great significance in differential stratification of patients for DFS ( $P < 0.001$ ; Supplementary Fig. 2A1) or OS ( $P < 0.001$ ; Supplementary Fig. 2B1) in training cohort. The DFS ( $P = 0.004$ ; Supplementary Fig. 2A2) or OS ( $P = 0.001$ ; Supplementary Fig. 2B2) of patients with high Sorcin expression significantly decreased compared with those with low Sorcin expression in non-MVI group. However, for patients in the MVI group, no significant associations between high Sorcin expression and DFS ( $P = 0.760$ ; Supplementary Fig. 2A3) or OS ( $P = 0.542$ ; Supplementary Fig. 2B3) were observed. Finally, to determine whether high Sorcin expression was an independent prognostic factor for HCC, a univariate analysis was first performed followed by the multivariate Cox proportional hazards analysis. The data exhibited high Sorcin expression to be an independent risk factor for both DFS (HR 2.641, 95% CI 1.491 to 4.678,  $P = 0.009$ ; Table 2) and OS (HR 2.860, 95% CI 1.836 to 4.441,  $P = 0.003$ ; Table 2) in HCC.

**Validation of the Effect of Sorcin Expression on Predicting Prognosis in HCC.** IHC assays of HCC samples from validation cohort (Supplementary Fig. 1 and Supplementary Table 1) further confirmed that the expression level of Sorcin was significantly higher in Ts than that in ANLTs (Fig. 2B). High Sorcin expression was found in 63.33% (76/120) in Ts, as compared with only 7.5% (9/120) in ANLTs ( $P < 0.001$ ; Fig. 2C2) from validation cohort. Sorcin expression was also significantly correlated with aggressive clinicopathological variables including multiple nodules ( $P < 0.001$ ; Table 1), larger tumor size ( $P = 0.001$ ; Table 1), microvascular invasion ( $P < 0.001$ ; Table 1), higher Edmondson-Stainer grade ( $P = 0.001$ ; Table 1), and advanced TNM ( $P < 0.001$ ; Table 1) or BCLC stage ( $P < 0.001$ ; Table 1). However, high Sorcin expression did not correlate with clinicopathological variables including gender, age, HBV infection, AFP, presence of cirrhosis and presence of encapsulation ( $P > 0.05$ ; Table 1).

Analyzed by the Kaplan-Meier method with log-rank test, HCC patients with high Sorcin expression had either shorter DFS ( $P = 0.001$ ; Fig. 2E1) or shorter OS ( $P < 0.001$ ; Fig. 2E2) than those with low Sorcin expression. In addition, HCC patients with high Sorcin expression had higher early recurrence than those with Sorcin low expression ( $P = 0.002$ ; Fig. 2E3). MVI offered great significance in differential stratification of patients for DFS ( $P < 0.001$ ; Supplementary Fig. 3A1) or OS ( $P < 0.001$ ; Supplementary Fig. 3B1) in validation cohort. Moreover, the prognostic significance of Sorcin occurred in HCC patients with non-MVI that in such clinical subgroups, patients with high expression of Sorcin showed significantly decreased DFS ( $P = 0.014$ ; Supplementary Fig. 3A2) or OS ( $P = 0.001$ ; Supplementary Fig. 3B2), but no significant associations between high Sorcin expression and DFS ( $P = 0.246$ ; Supplementary Fig. 3A3) or OS ( $P = 0.284$ ; Supplementary Fig. 3B3) were not observed in MVI subgroup. Furthermore, the multivariate Cox regression model indicated high Sorcin expression was an independent risk factor for DFS (HR 2.73; 95% CI 1.508 to 3.734,  $P = 0.008$ ; Table 3) and OS (HR 2.412, 95% CI 1.685 to 3.453,  $P = 0.016$ ; Table 3) in HCC.

**Sorcin Enhance Invasion and Metastasis of HCC cells *in Vitro* and *in Vivo*.** The above results indicated that high Sorcin expression was positively associated with metastasis in HCC, so we explored the role of Sorcin in the metastasis of HCC. Firstly, we determined the Sorcin expression in HCC cell lines (HepG2, PLC/PRF5, Bel7402, Hep3B, MHCC97-H and HCCLM3) and normal liver cells L02 cells. Compared with human normal liver cells L02 cells, Sorcin messenger RNA (mRNA) and protein was highly expressed in 6 human HCC cell lines (Supplementary Fig. 4A1,2). Notably, Sorcin expression in high metastatic HCC cell lines, such as Hep3B, MHCC97-H and HCCLM3 was higher than in low metastatic HCC cell lines HepG2, PLC/PRF5 and Bel7402<sup>16</sup> (Supplementary Fig. 4A1,2). To investigate the role of Sorcin in the metastasis of HCC, we overexpressed Sorcin in HepG2 cells (Supplementary Fig. 4A1) and stably knocked down Sorcin in HCCLM3 (Supplementary Fig. 4A2) according to the expression level of Sorcin in HCC cell lines and biological characteristics of HCC cell lines<sup>16,17</sup>. Transwell migration assays showed overexpression of Sorcin significantly increased the ability of migration in HepG2 cells (Fig. 3A1), whereas down-regulation of Sorcin decreased the ability of migration in HCCLM3 cells (Fig. 3A2). The similar results were observed by transwell invasion assays (Fig. 3B1,2). Next, we examined the effect of Sorcin on the proliferation of HCC cells. MTT assays showed that overexpression of Sorcin significantly accelerated HepG2 cell proliferation (Supplementary Fig. 5A). On the contrary, down-regulation of Sorcin dramatically decelerated HCCLM3 cell proliferation (Supplementary Fig. 5B). Colony formation assays also shown that the capacity of colony formation of HepG2<sup>Sorcin</sup> cell is significantly more potent than that of HepG2<sup>Vector</sup> cell (Supplementary Fig. 5C). However, the capacity of colony formation of HCCLM3<sup>shSorcin</sup> cell is significantly weaker than that of HCCLM3<sup>shcontrol</sup> cell (Supplementary Fig. 5D). Above of all, these data indicate that Sorcin displays an oncogenic function and is involved in invasion and metastasis in HCC.

To verify the *in vitro* results, the role of Sorcin was further evaluated by using subcutaneous xenograft tumor and subsequently by *in situ* xenograft metastasis mice models. After 6 weeks, HepG2<sup>Sorcin</sup> cell-derived tumors at the subcutaneous implantation site grew more rapidly and were larger than HepG2<sup>Vector</sup> cell-derived tumors (Fig. 3C1), while HCCLM3<sup>shSorcin</sup> cell-derived tumors grew more slowly and were smaller than HCCLM3<sup>shcontrol</sup> cell-derived tumors (Fig. 3C2). Consistently, by analyzing the tumor volume of orthotopic xenograft liver tumor, it showed that Sorcin overexpression promoted tumors growth (Fig. 3D1), while Sorcin knockdown inhibited tumors growth *in vivo* (Fig. 3D2). Further detected the metastatic nodules in livers (Fig. 3E1) and lungs (Fig. 3E2) by H&E, data showed the number of intrahepatic and pulmonary metastatic nodules in mice with tumors derived from HepG2<sup>Sorcin</sup> cells was significantly higher than in those with tumors derived from HepG2<sup>Vector</sup> cells (Fig. 3F1,2). In contrast, the number of metastatic nodules in mice with tumors generated from HCCLM3<sup>shSorcin</sup>

Variables	DFS				OS				
	No.	Univariable Analysis		Multivariable Analysis		Univariable Analysis		Multivariable Analysis	
		HR (95% CI)	P Value	HR (95% CI)	P Value	HR (95% CI)	P Value	HR (95% CI)	P Value
<b>Gender</b>									
Female	29	Reference				Reference			
Male	131	1.021(0.531–1.963)	0.346		NA	1.081(0.702–1.665)	0.335		NA
<b>Age (years)</b>									
≤60	105	Reference				Reference			
>60	55	1.124(0.872–1.449)	0.239		NA	1.205(0.752–1.931)	0.218		NA
<b>Serum AFP level(ng/mL)</b>									
≤20	40	Reference				Reference			
>20	120	1.168(0.634–2.152)	0.202		NA	1.206(0.723–2.012)	0.283		NA
<b>HBsAg</b>									
Negative	32	Reference				Reference			
Positive	128	1.306(0.910–1.874)	0.154		NA	1.375(0.901–2.098)	0.171		NA
<b>Liver cirrhosis</b>									
Absence	63	Reference				Reference			
Presence	97	1.288 (0.773–2.146)	0.251		NA	1.136(0.682–1.892)	0.248		NA
<b>Child-Pugh classification</b>									
A	139	Reference				Reference			
B	21	1.242(0.704–2.360)	0.195		NA	1.247(0.813–1.933)	0.195		NA
<b>Tumor number</b>									
Solitary	69	Reference		Reference		Reference		Reference	
Multiple	91	2.097(1.392–3.159)	<b>0.006</b>	1.841(1.209–2.803)	<b>0.019</b>	2.196(1.809–2.683)	<b>0.009</b>	1.832(1.311–2.560)	<b>0.034</b>
<b>Tumor size</b>									
≤5 cm	58	Reference		Reference		Reference		Reference	
>5 cm	102	1.316(0.772–1.894)	0.084	1.114(0.628–1.976)	0.104	1.617(0.883–2.308)	0.132		NA
<b>Capsular formation</b>									
Presence	65	Reference		Reference		Reference		Reference	
Absence	95	1.957(1.462–2.620)	<b>0.010</b>	1.432(0.908–2.258)	<b>0.005</b>	1.895(1.317–2.727)	<b>0.017</b>	1.361(0.856–2.164)	0.091
<b>Microvascular invasion</b>									
Absence	60	Reference		Reference		Reference		Reference	
Presence	100	4.135(2.758–6.199)	<b>&lt;0.001</b>	2.977(1.809–4.704)	<b>0.006</b>	3.781(2.528–5.655)	<b>&lt;0.001</b>	3.015(1.936–4.695)	<b>0.001</b>
<b>Edmondson-Steiner grade</b>									
Low grade (I and II)	62	Reference				Reference			
High grade (III and IV)	98	1.077(0.604–1.920)	0.207		NA	1.224(0.815–1.838)	0.232		NA
<b>TNM Stage</b>									
I	57	Reference		Reference		Reference		Reference	
II – III	103	2.634(1.785–3.891)	<b>0.001</b>	2.206(1.501–3.242)	<b>0.011</b>	3.008(1.804–5.016)	<b>0.002</b>	2.273(1.398–3.696)	<b>0.014</b>
<b>BCLC Stage</b>									
0-A	50	Reference		Reference		Reference		Reference	
B-C	110	2.203(1.743–2.814)	<b>0.004</b>	1.752(1.419–2.163)	<b>0.030</b>	2.306(1.732–3.074)	<b>0.005</b>	1.904(1.425–2.544)	<b>0.023</b>
<b>Sorcina expression</b>									
Low	54	Reference		Reference		Reference		Reference	
High	106	3.203(1.894–7.636)	<b>&lt;0.001</b>	2.641(1.491–4.678)	<b>0.008</b>	3.934(2.032–7.616)	<b>&lt;0.001</b>	2.860(1.836–4.455)	<b>0.003</b>

**Table 2.** The Cox Proportional Hazard Regression Analyses for Disease-free Survival and Overall Survival in Training Cohort. Abbreviations: NA, not adopt.

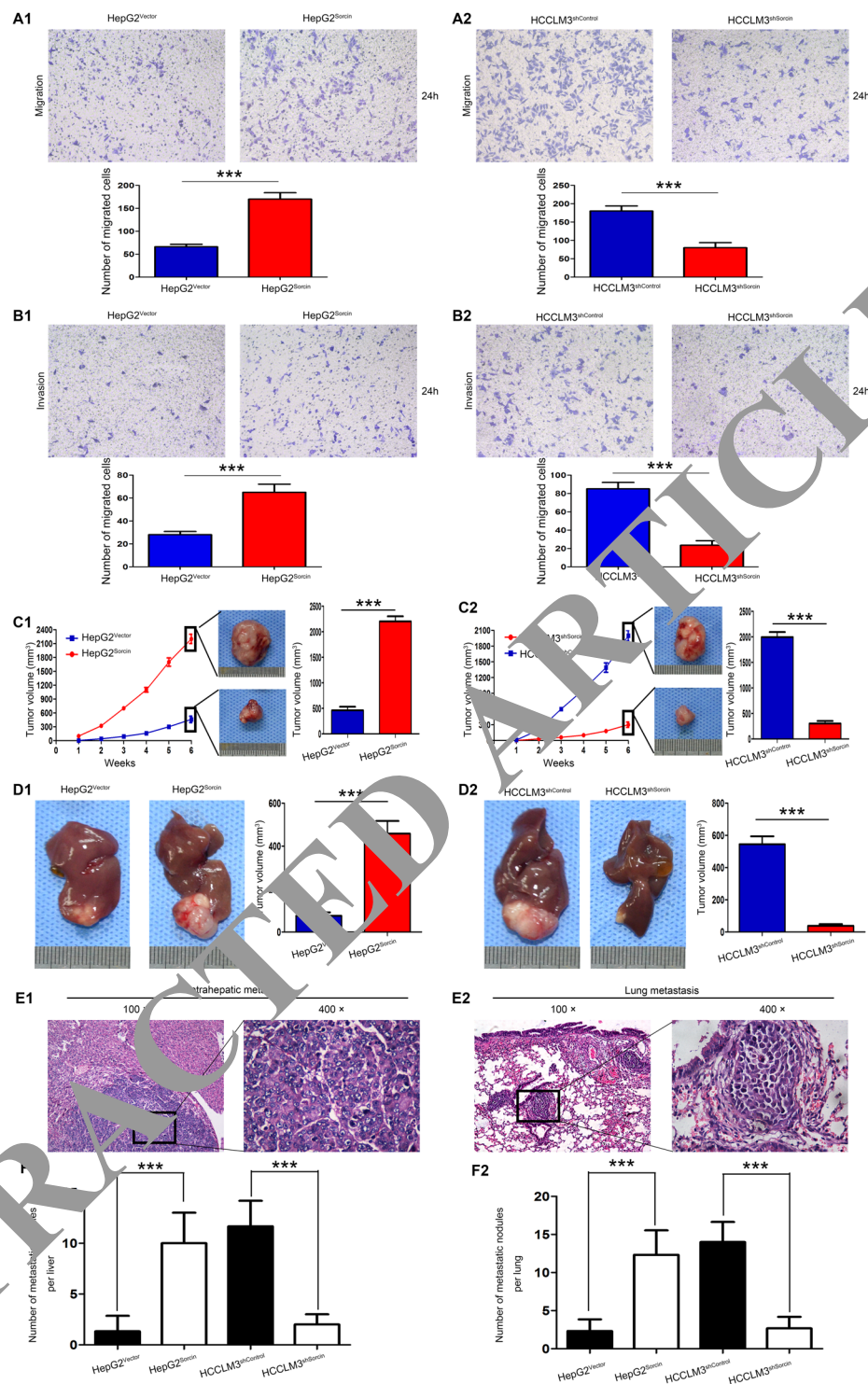
cells was markedly smaller than in those with tumors generated from HCCLM3<sup>shcontrol</sup> cells (Fig. 3F1,2). Taken together, our studies demonstrated that Sorcin could promote HCC growth and metastasis *in vivo*.

**Sorcina Exerts the Function through Activating Extracellular Signal-regulated Kinase (ERK) Pathway in HCC.** To elucidate the potential signaling by which Sorcin contributes to metastasis in HCC, a multi-pathway reporter array was performed. Sorcin overexpression significantly enhanced the activity of ERK signaling in HepG2 cells (Fig. 4A1), but Sorcin knockdown attenuated ERK signaling activity in HCCLM3 cells (Fig. 4A2). Growing evidence has showed that ERK signaling is crucial for progression of HCC<sup>18,19</sup>, and its activation can be triggered by changing cytosolic Ca<sup>2+</sup> level<sup>19,20</sup>. As Sorcin also regulates Ca<sup>2+</sup> homeostasis, so we focus on exploring whether Sorcin enhanced metastasis by activating ERK signaling in HCC. Western blot

Variables	DFS				OS				
	No.	Univariable Analysis		Multivariable Analysis		Univariable Analysis		Multivariable Analysis	
		HR (95% CI)	P Value	HR (95% CI)	P Value	HR (95% CI)	P Value	HR (95% CI)	P Value
<b>Gender</b>									
Female	19	Reference				Reference			
Male	101	1.074 ERK1/2 (p-ERK1/2) increased when Sorcin was overexpressed in HepG2 cells(0.659–1.750)	0.343		NA	1.091(0.608–1.958)	0.409		NA
<b>Age (years)</b>									
≤60	88	Reference				Reference			
>60	32	1.288(0.801–2.071)	0.271		NA	1.191(0.694–2.044)	0.307		NA
<b>Serum AFP level(ng/mL)</b>									
≤20	31	Reference				Reference			
>20	89	1.207(0.780–1.868)	0.360		NA	1.305(0.803–2.121)	0.298		NA
<b>HBsAg</b>									
Negative	27	Reference				Reference			
Positive	93	1.167(0.684–1.991)	0.301		NA	1.254(0.734–2.142)	0.314		NA
<b>Liver cirrhosis</b>									
Absence	44	Reference				Reference			
Presence	76	1.326(0.807–2.179)	0.288		NA	1.264(0.691–2.312)	0.301		NA
<b>Child-Pugh classification</b>									
A	104	Reference				Reference			
B	16	1.403(0.741–2.656)	0.185		NA	1.394(0.659–2.927)	0.190		NA
<b>Tumor number</b>									
Solitary	54	Reference		Reference		Reference		Reference	
Multiple	66	3.202(1.936–5.296)	<0.001	2.198(1.583–3.052)	0.010	2.955(1.927–4.531)	<0.001	2.087(1.651–2.638)	0.021
<b>Tumor size</b>									
≤5 cm	45	Reference		Reference		Reference			
>5 cm	75	1.504(0.967–2.339)	0.074	1.061(0.809–1.394)	0.114	1.320(0.738–2.361)	0.239		NA
<b>Capsular formation</b>									
Presence	48	Reference		Reference		Reference		Reference	
Absence	72	1.834(1.972–7.624)	0.010	1.366(0.807–2.254)	0.078	1.506(1.033–2.196)	0.040	1.123(0.809–1.562)	0.109
<b>Microvascular invasion</b>									
Absence	55	Reference		Reference		Reference		Reference	
Presence	65	3.594(1.645–4.252)	<0.001	2.678(1.737–4.129)	<0.001	3.756(1.962–7.190)	<0.001	2.827(1.667–4.794)	0.005
<b>Edmondson-Steiner grade</b>									
Low grade (I and II)	49	Reference				Reference			
High grade(III and IV)	71	1.394(0.837–2.322)	0.192		NA	1.412(0.854–2.335)	0.153		NA
<b>TNM Stage</b>									
I	50	Reference		Reference		Reference		Reference	
II – III	70	2.220(1.709–3.453)	0.007	1.802(1.326–2.449)	0.030	2.658(1.917–3.685)	0.005	1.914(1.381–2.653)	0.042
<b>BCLC Stage</b>									
0-A	44	Reference		Reference		Reference		Reference	
B-C	76	3.106(1.982–4.867)	0.003	2.107(1.433–3.098)	0.018	2.769(1.835–4.178)	0.001	1.935(1.502–2.493)	0.035
<b>Sorcin expression</b>									
Low	76	Reference		Reference		Reference		Reference	
High	77	3.500(2.101–5.831)	0.001	2.373(1.508–3.734)	0.008	3.693(2.807–4.859)	<0.001	2.412(1.685–3.453)	0.016

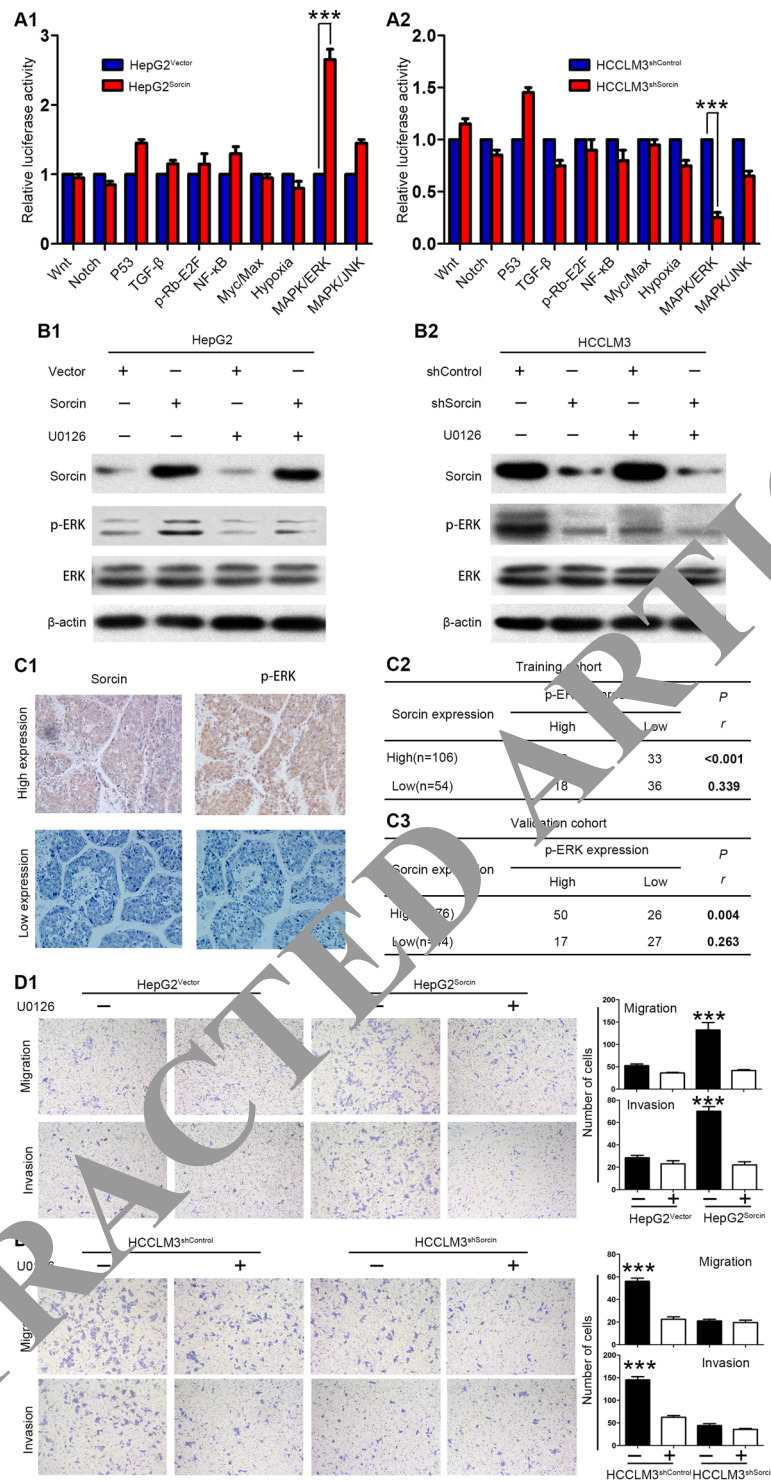
**Table 3.** The Cox Proportional Hazard Regression Analyses for Disease-free Survival (DFS) and Overall Survival (OS) in Validation Cohort.

showed the level of phosphorylated ERK1/2 (p-ERK1/2) increased when Sorcin was overexpressed in HepG2 cells (Fig. 4B1). In contrast, knockdown of the level of p-ERK1/2 decreased when Sorcin was knocked down in HCCLM3 cells (Fig. 4B2). However, manipulation of Sorcin expression in HCC cells didn't affect ERK1/2 expression (Fig. 4B1,2), implying Sorcin specifically activated ERK signaling. To confirm the activation of ERK signaling by Sorcin in HCC cells, cells were pretreated with U0126, an ERK pathway inhibitor, at the concentration of 10 μM for 30 minutes. Western blot showed U0126 treatment didn't affect Sorcin expression in HepG2



**Figure 3.** Sorcin promotes HCC cell invasion and metastasis *in vitro* and *in vivo*. (A&B) Sorcin promoted HCC cell migration and invasion *in vitro*. Transwell migration (A) and invasion (B) assays of HepG2 cells with Sorcin overexpression (HepG2<sup>Sorcin</sup>) or control (HepG2<sup>Vector</sup>), and HCCLM3 cells with Sorcin knockdown (HCCLM3<sup>shSorcin</sup>) or control (HCCLM3<sup>shControl</sup>). (C,D) Sorcin promoted HCC cell growth *in vivo*. (C) Left column: Linear graphs were used to analyze the rate of tumor growth in each group. Middle column: Representative subcutaneous tumors from HepG2<sup>Sorcin</sup> and HCCLM3<sup>shSorcin</sup> cells and their control cells were shown in the middle panel. Right column: Tumor volumes of each group were shown and compared in the bar charts. (D) Left and middle column: Representative pictures of orthotopic liver engraft tumors from each indicated groups were shown. Right column: Tumor volumes of each group were shown and compared in the bar charts. (E) Representative pictures of intrahepatic (E1) and lung metastasis (E2). original magnification: left, 100 $\times$ ; right, 400 $\times$ . (F) The number of metastatic nodules in each mice liver (F1) or lung (F2) was calculated and compared. \*\*\* $P < 0.001$ . Error bars, SD.





**Figure 4.** Sorcin activates ERK signaling in HCC. (A) 10-Pathway Reporter Array showed the signaling change in Sorcin-interfered cells. (B) The expression levels of key members of ERK signaling were detected by western blot. (C) Sorcin expression was positively correlated with p-ERK levels in HCC tissues. (C1) Representative IHC images of Sorcin and p-ERK expression in HCC tissues. magnification: 100 $\times$ . (C2,3) Correlation of Sorcin and p-ERK expression levels was analyzed by Spearman rank correlation test in training (C2) and validation cohort (C3). (D) The migration and invasion assays for HepG2<sup>Sorcin</sup>, HCCLM3<sup>shSorcin</sup> and their control cells with/without U0126 treatment. \*\*\* $P < 0.001$ . Error bars, SD.

or HCCLM3 cells (Fig. 4B1,2). However, U0126 treatment effectively inhibited Sorcin-induced ERK1/2 activation in HepG2 cells (Fig. 4B1). In HCCLM3 cells, as U0126 treatment decreased the level of p-ERK1/2, Sorcin



knockdown also caused the reduced level of p-ERK1/2 (Fig. 4B2). These data proved that Sorcin activated ERK signaling, not its downstream molecules. IHC analysis also showed HCC tissues, with high Sorcin expression, also exhibited high level of p-ERK1/2 (Fig. 4C1). Spearman rank correlation analysis showed the positive correlation of Sorcin expression with the level of p-ERK1/2 (Figs. 4C2,3). These results concluded that Sorcin could activate ERK1/2 signaling in HCC.

Further, to study whether Sorcin promotes invasion and metastasis through activating ERK signaling in HCC cells, the effect of Sorcin on HCC cell migration and invasiveness interfered by U0126 was measured. Transwell migration and invasion assays showed that U0126 could significantly inhibited the effect of Sorcin on cell migration and invasion capacity in HepG2<sup>Sorcin</sup> cells (Fig. 4D1), while it had little effect on HepG2<sup>Vector</sup> cells in which Sorcin expression and ERK activation is low (Fig. 4D1). Similarly, U0126 treatment obviously inhibited the migration and invasion capacity of HCCLM3<sup>shcontrol</sup> cells with high Sorcin expression and high level of p-ERK, but it had little effect on HCCLM3<sup>shSorcin</sup> cells in which Sorcin expression and p-ERK level is low (Fig. 4D2). In addition, U0126 treatment obviously reduced the effect of Sorcin on the proliferation and colony formation capacity in Sorcin-overexpressed cells HepG2<sup>Sorcin</sup> (Supplementary Fig. 6A1 and B1) or high Sorcin expression cells HCCLM3<sup>shcontrol</sup> (Supplementary Fig. 6A2 and B2), but it had little effect on HepG2<sup>Vector</sup> cells (Supplementary Fig. 6A1 and B1) or HCCLM3<sup>shSorcin</sup> (Supplementary Fig. 6A2 and B2). Collectively, these results indicate that Sorcin promotes HCC invasion and metastasis by activating ERK signaling.

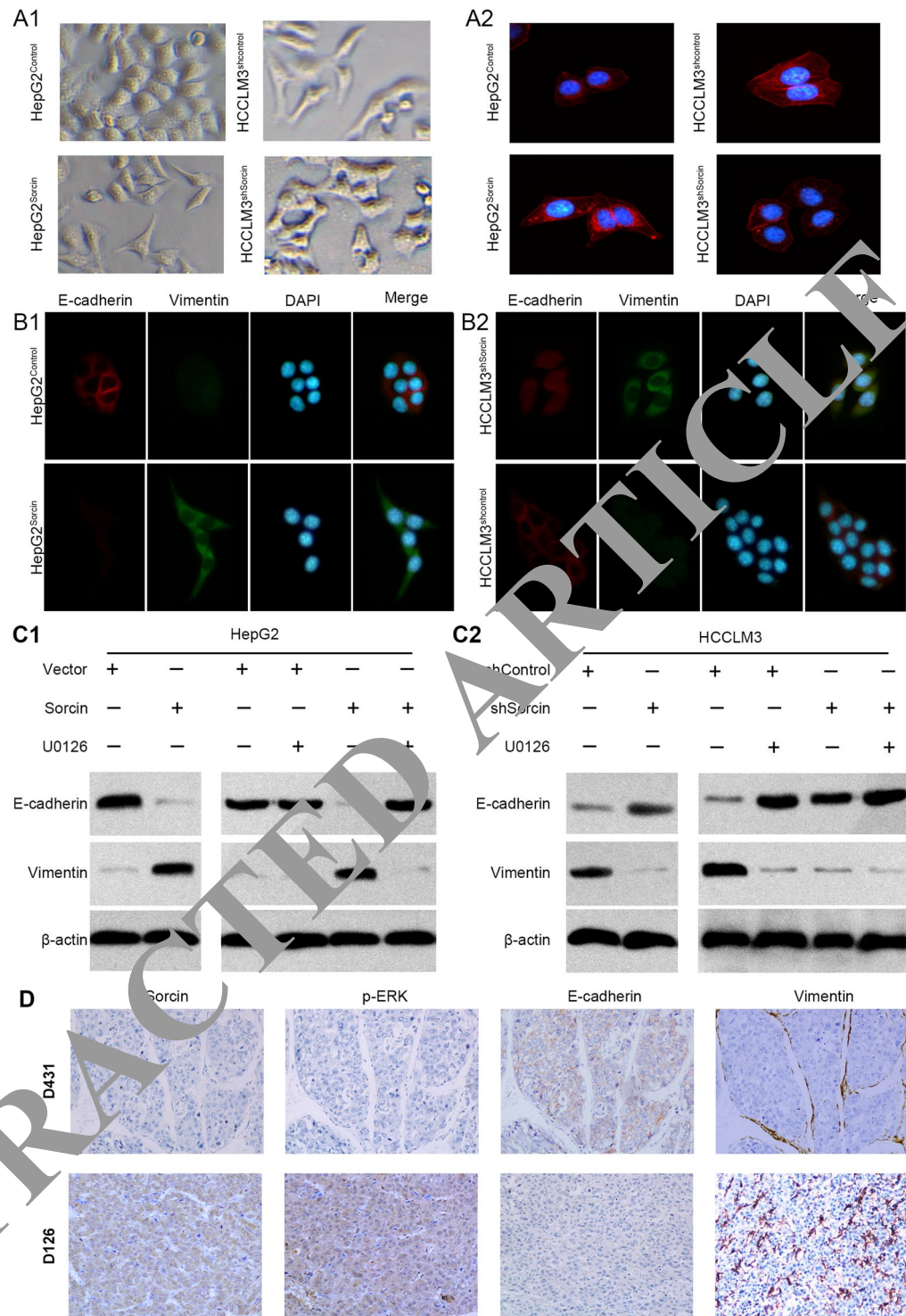
**Sorcin Enhances Metastasis by Activating ERK Signaling via Facilitating EMT.** EMT, by which HCC cells gain cell motility and invasiveness, is defined by loss of epithelial cell polarity and E-cadherin expression, and by the acquisition of fibroblastic mesenchymal morphology and Vimentin expression<sup>21</sup>. It was observed that the HepG2<sup>Sorcin</sup> cells exhibited spindle-like mesenchymal morphology, while HepG2<sup>Vector</sup> cells mostly look like epithelial cobblestone appearance (Fig. 5A1). On the contrary, HCCLM3<sup>shSorcin</sup> cells changed to an epithelial phenotype as compared with mesenchymal like HCCLM3<sup>shcontrol</sup> cells (Fig. 5A1). F-actin immunofluorescence staining was used to analyze the cytoskeleton. Immunofluorescence (IF) analysis showed HepG2<sup>Sorcin</sup> cells presented the appearance of F-actin fibers comparing with HepG2<sup>Vector</sup> cells (Fig. 5A2). Inversely, HCCLM3<sup>shSorcin</sup> cells exhibited shrinkable F-actin fibers relative to HCCLM3<sup>shcontrol</sup> cells (Fig. 5A2). Thus, these indicated that Sorcin might be associated with EMT in HCC.

To further study the role of Sorcin in EMT in HCC, immunofluorescence (IF) analysis revealed that overexpression of Sorcin in HepG2 cells significantly increased the expression level of mesenchymal marker Vimentin, but dramatically reduced the expression level of epithelial marker E-cadherin (Fig. 5B1). Conversely, knockdown of Sorcin in HCCLM3 significantly reduced the expression level of mesenchymal marker Vimentin, but significantly increased the expression level of epithelial marker E-cadherin (Fig. 5B2). Similar with the data of IF, western blot also showed that overexpression of Sorcin increased the expression of Vimentin and decreased the expression of E-cadherin (Fig. 5C1), whereas down-regulation of Sorcin decreased the expression of Vimentin and increased the expression of E-cadherin (Fig. 5C2). IHC analysis of orthotopic liver xenograft tumors of mice exhibited the similar changes of these EMT markers and Sorcin in protein expression (Supplementary Fig. 7A and B). Furthermore, high Sorcin expression co-located with low E-cadherin and high vimentin expression in HCC tissues was observed by IHC (Fig. 5D). A positive correlation of the expression of Sorcin with the expression of Vimentin and a negative correlation of the expression of Sorcin with the expression of E-cadherin was also observed in the HCC tissues (Supplementary Table 2). These results suggested that Sorcin could promote HCC invasion and metastasis via EMT.

Growing evidence has shown that EMT can be triggered by activation of ERK pathway<sup>18,22</sup>. Further, we asked whether Sorcin promoted EMT through activation of ERK pathway. Interestingly, it was observed by western blot assays that U0126 treatment reversed the effect of Sorcin on decrease in E-cadherin expression, and increase in Vimentin expression in HepG2<sup>Sorcin</sup> cells (Fig. 5C1) or HCCLM3<sup>shcontrol</sup> (Fig. 5C2), but they were not observed in low Sorcin expression cells HepG2<sup>Vector</sup> (Fig. 5C1) or HCCLM3<sup>shSorcin</sup> (Fig. 5C2) with relative low level of ERK activation, suggesting that activation of ERK is involved in Sorcin-induced EMT. IHC analysis of liver orthotopic transplantation tumors derived from HepG2<sup>Sorcin</sup> cells, with mesenchymal tissue phenotype, exhibited high level of p-ERK, whereas liver orthotopic transplantation tumors derived from HepG2<sup>Vector</sup> cells, with epithelial tissue phenotype, exhibited low level of p-ERK (Supplementary Fig. 7B). On the contrary, orthotopic liver xenograft tumors derived from HCCLM3<sup>shSorcin</sup> cells, with mesenchymal tissue phenotype, exhibited high level of p-ERK, whereas orthotopic liver xenograft tumors derived from HCCLM3<sup>shcontrol</sup> cells, with epithelial tissue phenotype, exhibited high level of p-ERK (Supplementary Fig. 7B). Moreover, IHC showed that Vimentin, p-ERK expressions level was high in HCC tissues with high level of Sorcin expression, but they were low in tumors with low expression of Sorcin (Fig. 5D). In contrast, the E-cadherin expression was opposite (Fig. 5D). Furthermore, the correlation analysis revealed Sorcin expression was positively correlative with p-ERK level in HCC samples (Fig. 4C2,3). p-ERK level reversely correlated with E-cadherin expression and positively with Vimentin in HCC tissues (Supplementary Table 3). Taken together, these data indicate that Sorcin can promote EMT by activating ERK pathway.

## Discussion

In the present study, we demonstrated that both Sorcin mRNA and protein levels were significantly elevated in tumors compared with ANLTs in HCC. Elevated Sorcin expression was found to be positively correlated with the metastatic potential of HCC cells, suggesting Sorcin might play a role in HCC metastasis. Further analysis of the association of Sorcin expression with the clinicopathological characteristics in HCC patients revealed that Sorcin overexpression was significantly correlated with more aggressive clinicopathological characteristics including multiple tumor nodules, poor differentiation, MVI, advanced TNM stage and BCLC stage. Kaplan-Meier analysis showed that the HCC patients with high Sorcin expression in general had worse prognosis than those with low



**Figure 5.** Sorcin Enhances Metastasis by Activating ERK Signaling via Facilitating EMT. **(A)** Representative phase contrast images for cell morphology showed that Sorcin affected the HCC cell morphology **(A1)** and F-actin immunofluorescence staining was used to analyze the cytoskeleton in HepG2<sup>Sorcin</sup>, HCCLM3<sup>shSorcin</sup> and their control cells **(A2)**. **(B)** Immunofluorescent (IF) showed the relative expression of E-cadherin (red), Vimentin (green) in HepG2<sup>Sorcin</sup> with Sorcin overexpression or HCCLM3<sup>shSorcin</sup> cells with Sorcin knockdown, their corresponding control cells. **(C)** Western blot analysis of E-cadherin and vimentin expressions in HepG2<sup>Sorcin</sup>, HCCLM3<sup>shSorcin</sup> and their control cells with/without U0126 treatment. **(D)** Representative IHC images of Sorcin, p-ERK, E-cadherin and Vimentin expression in HCC tissues. Magnification: 100 $\times$ .

expression. And a multivariable Cox regression analysis indicated that high Sorcin expression is an independent risk factor for recurrence and survival. Notably, we proved that high Sorcin expression was associated with early recurrence. Stratifying the patients into subclinical group by MVI, the prognostic significance of Sorcin was still found to be existed in non-MVI groups. Consequently, our results suggested that the Sorcin expression could be used as a useful indicator for prognostic assessment, especial for early HCC that prognosis is very difficult to predict using conventional clinical indexes, which would substantially improve assessment of tumor prognosis and thus guide therapeutic strategy.

Our results also have shown that overexpression of Sorcin in HCC cells significantly enhanced cell proliferation, migration and invasion *in vitro*, and facilitated tumor growth and metastasis *in vivo*, whereas knockdown of Sorcin in HCC cells significantly decreased cell proliferation, migration and invasion *in vitro*, and inhibited tumor growth and metastasis *in vivo*. These results consisted with the involvement of Sorcin in progression in other malignancies<sup>11,13</sup>, suggesting that Sorcin possesses oncogenic properties in HCC.

Having identified the role of Sorcin in HCC, we continued to explore the mechanism that Sorcin promote metastasis of HCC. With a multi-pathway reporter array, we screened out ERK signaling. ERK signaling has been widely associated with cell proliferation, differentiation, migration<sup>23</sup>. The core components of ERK signaling are ERK1 and ERK2<sup>24</sup>, and can be activated by stimuli, including growth factors, cytokine ligands for heterotrimeric guanine nucleotide-binding protein (G protein)-coupled receptors, transforming agents, carcinogens, *et al.*<sup>23,24</sup>. Our results also provide a link between Sorcin and activation of ERK1/2. Data showed that the level of phosphorylated ERK1/2 was increased when Sorcin was up-regulated in HCC cells. In contrast, an obvious decrease of phosphorylated ERK1/2 was observed in Sorcin knockdown cells. U0126, an effective ERK pathway inhibitor, effectively decreased the levels of phosphorylated ERK induced by Sorcin. By functional experiment, we observed that U0126 treatment obviously reduced the effect of Sorcin on the invasiveness and proliferation in Sorcin-overexpressed cells HepG2<sup>Sorcin</sup> or high Sorcin expression cells HCCLM3<sup>shcontrol</sup>, but it had little effect on HepG2<sup>Vector</sup> or HCCLM3<sup>shSorcin</sup> cells. However, previous studies found U0126 exerted the inhibition on growth of the parent HepG2 cells<sup>25</sup>. This inconsistent phenomenon may be associated with the following reasons: one reason, as previous studies reported, HCC cell proliferation is associated with multiple signal pathways<sup>26,27</sup>. Through selecting stable transfected cells by puromycin for 2 weeks, other signal pathways except for ERK signal pathway in HepG2<sup>Vector</sup> cells were activated and actively exert their function to make HepG2<sup>Vector</sup> resist cell death and enable cells proliferation. Second reason, HepG2 cells exist intra-cell heterogeneities. As microenvironmental discrepancies have been illuminated to affect cell plasticity and heterogeneity in cancer<sup>28</sup>, different culture condition (such as selecting stable transfected cells by puromycin for 2 weeks) may result in heterogeneity of HepG2<sup>Vector</sup> cell proliferation activity after cell passaged for several population doublings, comparing with its parent HepG2 cell that other studies used, though short tandem repeat (STR) DNA fingerprinting was used to authenticate all cell lines before the study. Third reason, the introduction of puromycin-resistant gene might make the HepG2<sup>Vector</sup> cells insensitive to U0126. Accumulated evidence suggests that activation of ERK1/2 is also linked to an imbalance of intracellular Ca<sup>2+</sup> levels<sup>29,30</sup>. Sorcin, a Ca<sup>2+</sup>-binding protein with multiple E-F hand domains, regulates intracellular Ca<sup>2+</sup> homeostasis by relocates Ca<sup>2+</sup> from the cytoplasm to the sarcoplasmic reticulum<sup>31</sup>. However, additional researches regarding a role of Sorcin-induced disruption of Ca<sup>2+</sup> homeostasis linked to the activation of ERK in HCC are required.

EMT has been generally considered as a hallmark of cancer and found to play a crucial role in facilitating cancer cell invasion and migration<sup>21</sup>. In this study, we found ectopic Sorcin expression changed the epithelial morphology cell to mesenchymal phenotype, decreased the epithelial marker expression and increased the mesenchymal marker expression. However, Sorcin knockdown showed opposite impacts. These findings were confirmed by analysis of the expressions of Sorcin, E-cadherin and vimentin in xenograft tumors *in vivo*. Data showed that comparing with HepG2<sup>Vector</sup> cell-derived tumors tissues, the tissues of HepG2<sup>Sorcin</sup> cell-derived tumors showed decrease in E-cadherin staining and increase in vimentin staining. On the contrary, orthotopic liver xenograft tumors derived from HCCLM3<sup>shSorcin</sup> cells exhibited high level of E-cadherin expression and low level of vimentin expression, whereas orthotopic liver xenograft tumors derived from HCCLM3<sup>shcontrol</sup> exhibited low level of E-cadherin expression and high level of vimentin expression. Obviously, there were more fibroblast-like cells with positive vimentin staining in the tissues of HepG2<sup>Sorcin</sup> cell-derived tumors than in those of HepG2<sup>Vector</sup> cell-derived tumors, while the number of fibroblast-like cells with positive vimentin staining in tissues of HCCLM3<sup>shSorcin</sup> cell-derived tumors was dramatically smaller than in those of HCCLM3<sup>shcontrol</sup> cell-derived tumors. It has been proposed that fibroblast-like cells, named tumor-associated fibroblasts (TAF) in tumor microenvironment<sup>32</sup>, undergo an epithelial-mesenchymal transition (EMT) of carcinoma cells, during which cancer cells lose their epithelial properties and acquire a mesenchymal phenotype that consequently favors increased invasive and migratory capabilities. From above all, the data indicated that Sorcin could promote EMT *in vivo*. Alternatively, TAF may be recruited by cancer cells from resident fibroblasts or from circulating mesenchymal progenitor cells of bone marrow origin<sup>33</sup>. Indeed, vimentin, a major type III intermediate filament protein is also expressed in endothelial and other mesenchymal cells<sup>34</sup>, so part of vimentin staining could exist in cells of tumor blood vessels or TAF recruited by tumor cells. However, distinct recruited populations of stromal fibroblasts usually morphologically identified as fibroblasts surrounding the tumors displayed a thicker capsule with a diffuse border with the tumor parenchyma<sup>35</sup>. So the effect of Sorcin on EMT could be clearly observed as these vimentin-positive fibroblastic cells were not considered in semi-quantitative evaluation under a microscope. By this method, the effect of Sorcin on EMT was further confirmed by analysis of the expressions of Sorcin, E-cadherin and vimentin in HCC tissues. Growing evidence has demonstrated that EMT can be triggered by activation of ERK pathway<sup>36,37</sup>. We also provide the evidence that Sorcin could activate ERK pathway, and thus induce EMT.

In conclusion, our study has identified for the first time the frequently aberrant expression of Sorcin in HCC tissues by quantitative real-time PCR, western blot and IHC. This expression pattern was associated with



aggressive clinicopathological characteristics and poor prognosis in HCC. Furthermore, we have demonstrated that Sorcin could promote metastasis via activating ERK signaling in HCC. Collectively, our data indicates that Sorcin plays an important role in metastasis of HCC and provides a novel target for HCC drug discovery.

## Methods

**HCC Samples.** The study was approved by the Ethics Committee of the institutional review boards of The First Affiliated Hospital of Nanchang University, The First Affiliated Hospital of Sun Yat-Sen University, Affiliated Cancer Hospital of Xiangya School of Medicine of Central South University, Xiangya Hospital of Central South University. Prior informed consent was obtained from all participants, and it was conducted in accordance with the Declaration of Helsinki and current ethical guidelines. Three cohorts of HCC samples were enrolled in this study (Supplementary Fig. 1). Firstly, 60 pairs of frozen fresh HCC tumor tissues and corresponding adjacent nontumorous liver tissues (ANLTs) were collected after radical surgical resection at the Department of General Surgery, The First Affiliated Hospital of Nanchang University between January 2012 and December 2014. In addition, normal liver tissues were obtained from 5 patients with hepatic hemangioma for hepatic resection at the same period. These tissues used to screen the expression of Sorcin mRNA and protein were set as screening cohort. Secondly, formalin-fixed, paraffin-embedded paired HCC samples (including tumors and ANLTs) obtained from 160 HCC patients undergoing radical surgical resection at the Department of General Surgery, The First Affiliated Hospital of Nanchang University from January 2006 to December 2010 were set as training cohort. Another HCC sample cohort containing 120 multicenter samples (including HCC tumors and ANLTs) from patients who underwent resection between June 2007 and June 2010 (including 40 patients at the Department of Surgery, The First Affiliated Hospital of Sun Yat-Sen University, 40 patients at Department of Pathology, Affiliated Cancer Hospital of Xiangya School of Medicine, Central South University, and 40 patients at Department of Surgery, Xiangya Hospital, Central South University) was set as validation cohort. These two independent cohorts of subjects were used for prognostic study according to ReMARK guidelines for reporting prognostic biomarkers in cancer<sup>14</sup>. The inclusion criteria used for all samples enrolled in the cohorts were histopathologically diagnosed, had completed clinicopathologic and follow-up and without anticancer therapies or distant metastasis before the operation. Clinicopathologic characteristics of the patients in three cohorts were shown in Supplementary Table 1.

**Prognostic Study.** All HCC patients were regularly followed-up by the experienced and well-trained researchers. The follow-up period was defined as the interval between the date of operation and that of the patient's death or the last follow-up. The median follow-up was 38.5 months (range 3.0–108.0 months) for training cohort and 36.5 months (range 3.0–66.0 months) for validation cohort. Deaths from other causes were treated as censored cases. The recurrence and metastasis was surveillance by clinical examination, serial monitoring of alpha-fetoprotein (AFP) levels and ultrasonography (US) or computed tomography (CT) or magnetic resonance imaging (MRI) scan at a 3 months' interval. Recurrence and metastasis were diagnosed by clinical examination, serial AFP level, and US or CT or MRI scan. Disease-free survival (DFS) was defined as the length of time after liver resection during which a patient survived without sign of HCC recurrence or metastasis. Overall survival (OS) was defined as the interval between operation and death or between operation and the last observation for surviving patients. Data of conventional clinical and pathological variables were also collected for analysis, including gender, age, serum AFP level, HBsAg, liver cirrhosis, Child-Pugh classification, tumor number, tumor size, capsular formation, microvascular invasion (MVI), Edmondson-Steiner grade, TNM stage and BCLC stage. MVI was defined as tumor cells forming a thrombus in peritumoral vessels, can only be assessed after careful histological assessment of the whole surgical specimen<sup>15,38</sup>. The follow-up data were regularly updated in the database for each patient. Patients alive at the end of follow up or dead from causes without sign of recurrence or metastasis were censored.

**Cell Lines.** PLC/PRF/5, Hep3B and HepG2 cells were purchased from the American Type Culture Collection (ATCC, Manassas, VA). L02 and Bel7402 cells were gifted by the Liver Cancer Institute of Xiangya hospital, Central South University (Changsha, China). MHCC97-H and HCCLM3 cells were gifted from the Liver Cancer Institute of Fudan University (Shanghai, China). Short tandem repeat (STR) DNA fingerprinting was used to authenticate all cell lines before the study. All cell lines were routinely cultured with the high glucose DMEM supplemented with 10% fetal bovine serum, and maintained in 5% CO<sub>2</sub> humidified incubator at 37 °C.

**Vector Construction and Transfection.** The lentiviral vectors (LV) encoding short hairpin RNAs (shRNAs) for Sorcin knockdown, and LV encoding Sorcin gene were purchased from OriGene Technologies Incorporation (Rockville, MD). The sequences of three shRNAs for Sorcin knockdown were as follow: Sorcin-shRNA-Seq. 1: Sense: 5'-CGACTACATCGCCTGCGTCAAAGTGA-3'. Sorcin-shRNA-Seq. 2: Sense: 5'-TGACACAGTCTGGCATTGCTGGGATAC-3'. Sorcin-shRNA-Seq. 3: Sense: 5'-GTTACTTTGCTGTAGCTGGACAGAT-3'. HCCLM3 cells were transfected with lentiviral vectors encoding the shRNAs, and HepG2 cells were transfected with lentiviral vectors encoding the human Sorcin gene. An empty vector was used as the negative control and was designated as LV-control. The lentiviral vectors were transfected into the HCC cells with a proper multiplicity of infection (MOI). At 48 h after transfection, 2.5 μg/ml puromycin (OriGene) was added, and the cells were incubated for 2 weeks to select stable transfected cells. Overexpression or down-regulated expression of Sorcin was confirmed by qPCR and western blot (Supplementary Fig. 4B1,2). The inhibitory efficiency of three shRNAs was validated and the Sorcin-shRNA-Sequence2 (named shSorcin in the figures) was adopted for subsequent study because of highly effective inhibition of Sorcin expression in HCCLM3.

**Quantitative real-time PCR (qRT-PCR).** Total RNA was extracted from HCC cell lines or fresh frozen tumor specimen by using Trizol reagent (Invitrogen, Carlsbad, CA) according to the manufacturer's

instructions. qRT-PCR was performed using the SYBR® Green Realtime PCR Master Mix assay kit (Toyobo, Osaka, Japan) according to the manufacturer's instructions. The primers for Sorcin were as follows: forward, 5'-GGTGATCTTTCCATTGGTG-3'; reverse, 5'-TCCGCTGTATGGTTACTTTG-3'. GAPDH was used as a control using the following primers: forward, 5'-GCACCGTCAAGGCTGAGAAC-3'; reverse, 5'-TGGTGAAGACGCCAGTGA-3'. The results were analyzed using the  $2^{-\Delta\Delta Ct}$  method as the following formula:  $\Delta\Delta Ct = \Delta Ct_{ANLT} - \Delta Ct = Ct_{Sorcin} - Ct_{GAPDH}$ .

**Western blot.** Total proteins were extracted. After protein concentration being determined, the same weight of protein of each sample separated by sodium dodecyl sulfate-polyacrylamide gel electrophoresis (SDS-PAGE) and then transferred onto PVDF membranes (Millipore, Bedford, MA). The blotted membranes were incubated with the primary antibodies and then an appropriate HRP-conjugated secondary antibody (KPL, Gaithersburg, MD) in order. Band was detected with enhanced chemiluminescence reagents (Thermo Scientific, Rockford, IL). Beta-actin protein was also determined by using the specific antibody (Sigma, St Louis, MO) as a loading control. Protein expression were quantified by BandScan software (BioRad, Hercules, CA) and defined as the ratio of target protein relative to Beta-actin. Antibodies for Sorcin, ERK, p-ERK, Vimentin, E-cadherin and corresponding secondary antibodies were purchased from Santa Cruz Biotechnology (Santa Cruz Biotechnology, Santa Cruz, CA).

**Multi-pathway reporter array.** A Signal Finder 10-Pathway Reporter Array (SABiosciences, Valencia, CA) was employed for finding the potential pathway by which Sorcin controlled HCC metastasis. Cells were infected with Sorcin expression, Sorcin knockdown or corresponding control lentivirus. Relative firefly luciferase activity was calculated and normalized to the constitutively expressed Renilla luciferase. The assay was performed as protocol provided by the kit.

**Immunohistochemistry.** The detailed Immunohistochemistry procedures performed as we described before<sup>17</sup>. Tumor tissues except for surrounding fibrosis, capsule and tumor tissues nearby without necrosis were used to make paraffin-embedded tissues. Paraffin-embedded tissues were sectioned into 4  $\mu$ m slides. Then, the slides were dewaxed, tissues were rehydrated, and antigen retrieval was performed by microwave-pretreated EDTA buffer (1 mM, pH 8.0) for 10 minutes. After washing, the slides were incubated for Sorcin antibody (Santa Cruz Biotechnology) at 4 °C overnight. The slides were incubated with biotin-labeled secondary and streptavidin-peroxidase (Zhong-shan Goldenbridge Biotechnology, Beijing, China) for 30 minutes. The samples were developed using 3,3'-diaminobenzidine substrate (Zhong-shan Goldenbridge Biotechnology) and counterstained with hematoxylin (Zhong-shan Goldenbridge Biotechnology). Negative controls were without primary antibody incubation during the procedure. The stainings were examined in a blinded fashion by two independent pathologists. The final immunostaining score (IS) defined by the consistency for the grading by two pathologists. The expression levels of Sorcin were scored based on staining intensity (SI) and percentage of positive cells (PP) using the immunostaining score described before<sup>39</sup>. SI was classified four grades: 0, negative; 1, weak; 2, moderate; 3, strong. PP was defined into five categories: 0, 0% positive cells; 1, 0–25% positive cells; 2, 25–50% positive cells; 3, 50–75% positive cells; and 4, 75–100% positive cells. IS = SI  $\times$  PP. For categorization of the continuous Sorcin values into low and high we chose a commonly used cutoff point for the analysis (range 0–12, cut point  $\leq 3$  versus  $> 3$ ) as previous study described<sup>39</sup>. For ERK, p-ERK, vimentin, E-cadherin, the procedures as Sorcin and immunostaining score were adopt as described elsewhere<sup>40</sup>. For vimentin expression scoring, vimentin-positive fibroblast-like cells in surrounding fibrosis or capsule and vimentin-positive vascular cells were not considered in semi-quantitative evaluation. Antibodies for ERK, p-ERK, vimentin, E-cadherin were all purchased from Santa Cruz Biotechnology (Santa Cruz, CA).

**Cell Proliferation and Colony Formation Assays.** Methyl thiazolyl tetrazolium (MTT) assays were used to determine the level of cell proliferation. For MTT assays, cells were seeded into each well of 96-well plates at a density of  $5 \times 10^3$  cells/well. Three wells of each group were detected every day. 100  $\mu$ l fresh medium containing MTT (Sigma, St Louis, MO) 0.5 mg/ml was put into each well and incubated at 37 °C for 4 hrs, then the medium was replaced by 100  $\mu$ l of DMSO and shaken at room temperature for 10 mins. The absorbance was measured at 570 nm. For colony formation assays, 500 cells were seeded into 35 mm dishes (Corning Costar Corp, Corning, NY) and cultured in 5% CO<sub>2</sub> for 2 weeks at 37 °C. The number of colonies per dish was counted after staining with crystal violet. Only positive colonies (diameter  $> 40 \mu$ m) in the dishes were counted and compared<sup>41</sup>. These experiments were performed in triplicate.

**Transwell Assays.** Transwell migration and invasion assays were determined to HCC cell migration and invasion ability separately. For transwell invasion assays, the upper chamber of the insert was plated with matrigel (BD Biosciences, Franklin Lakes, NJ). But for transwell migration assays, the upper chamber of the insert was without matrigel (BD Biosciences). Briefly, after being preincubated with Mitomycin-C (10  $\mu$ g/ml) for 1 h at 37 °C to suppress HCC cell proliferation, about  $1 \times 10^5$  cells in serum-free medium were placed into the upper chamber of the insert. After 24 hours of incubation in 5% CO<sub>2</sub> at 37 °C, the cells in upper chamber were removed with cotton swabs, following fixed by 20% methanol, and then stained with a solution containing 0.1% crystal violet (Beyotime Institute of Biotechnology, Beijing, China). The number of cells that adhered to the lower membrane of the inserts was counted. For each experimental group, the assays were performed in triplicates, and five random fields were chosen for analysis.

**Cellular immunofluorescence.** Cellular immunofluorescence was performed as we described previously<sup>17</sup>. Cells were seeded into the 6-well culture plate (Corning Costar Corp) to prepare for performing cell immunofluorescence (IF). After incubating with primary antibodies, cells then incubated with corresponding fluorescence



labeled secondary antibody. After stained with DAPI (Beyotime Institute of Biotechnology, Jiangsu, China), the slides were photographed using the inverted fluorescence microscope TE-2000S (Nikon, Tokyo, Japan). Primary antibodies for E-cadherin, vimentin were purchased from Santa Cruz Biotechnology (Santa Cruz, CA). All fluorescence labeled secondary antibody were obtained from Beyotime Institute of Biotechnology (Shanghai, China). Rhodamine-conjugated phalloidin (Beyotime Institute of Biotechnology) was used to analyze cytoskeleton. Cells grown on cover slides were fixed, then incubated with rhodamine-conjugated phalloidin (Beyotime Institute of Biotechnology) and followed by DAPI (Beyotime Institute of Biotechnology).

**In Vivo Metastatic Assays.** For the *in vivo* metastatic assays, HCC metastatic model in mice was constructed as previously described<sup>42</sup>. Briefly,  $5 \times 10^6$  HCC cells were injected subcutaneously into the left upper flank regions of nude mouse (4 weeks of age, male, BALB/c). After 35 days, the subcutaneous tumors were removed and then divided into commensurate fragments of approximate  $1 \text{ mm}^3$ , then implanted into the liver of nude mouse (6 mice in each group). After 7 weeks, mice were killed, and all livers and lungs were harvested. The tumor size was calculated as follows: tumor volume ( $\text{mm}^3$ ) =  $(L \times W^2)/2$ <sup>43</sup>, where L = long axis and W = short axis. The all livers and lungs were fixed with 10% phosphate-buffered neutral formalin, sectioned serially and stained with hematoxylin and eosin (H&E) for histological examination. Tumor tissues except for surrounding necrosis or capsule and tumor tissues nearby were used to made paraffin-embedded tissue for immunohistochemistry. The expression of Sorcin, p-ERK, Vimentin, E-cadherin in orthotopic liver tumor tissues was also determined by immunohistochemistry. Mice were performed and housed in the Animal Institute of Nanchang University according to the protocols approved by the Medical Experimental Animal Care Commission.

**Statistical Analysis.** All data were analyzed using the statistical software SPSS 18.0 for Windows (SPSS Inc., Chicago, IL). The differences between groups were analyzed by Student's *t* test between two groups or by one-way analysis of variance (ANOVA) in more than two groups when the variance is homogeneous. If the variance is not homogeneous, the differences between groups were analyzed by Mann-Whitney U test between two groups or by Kruskal-Wallis H test in more than two groups.  $\chi^2$  analysis was used to analyze the correlation between Sorcin expression and clinicopathologic features. Spearman's rank analysis was used to analyze the correlations between different protein expressions level. Survival curves were constructed by the Kaplan-Meier method and compared by the log-rank test. The Cox proportional hazards regression model was established to identify independent factors for overall survival (OS) and disease-free survival (DFS) of HCC patients. All the tests were two-tailed and  $P < 0.05$  was considered statistically significant.

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## Author Contributions

Taiyuan Li, Xiong Lei designed experiments. Xiong Lei, Yahang Liang, Jian Chen, Shuai Xiao, Jian Lei, Jinzhong Duanmu, Qunqiang Jiang, Daoping Liu, Cheng Tang performed experiments and analyzed data. Taiyuan Li, Xiong Lei, Jian Chen, Shuai Xiao, Jian Lei, Jianfeng Li provided patient samples and collected data. Taiyuan Li, Xiong Lei wrote and revised the paper.

## Additional Information

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