

A-Site Doping to Alter Oxygen Vacancy Diffusion in SrTiO<sub>3</sub>

Gil M. Repa, Zachary J. Knepp, and Lisa A. Fredin\*

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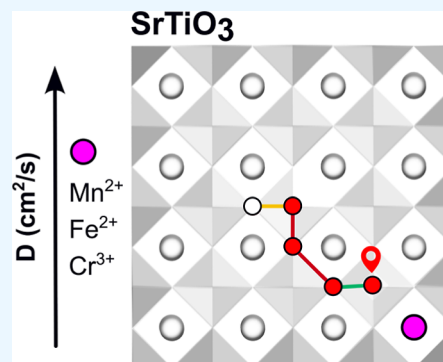
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**ABSTRACT:** Doped SrTiO<sub>3</sub> is a promising material for many applications where oxygen vacancy diffusion is either critical to device function or a source of failure. This work provides new insight into long-range oxygen vacancy diffusion in SrTiO<sub>3</sub> doped with Mn<sup>2+</sup>, Cr<sup>3+</sup>, or Fe<sup>2+</sup> on the A-site. Density functional theory and the nudged elastic band method are used to calculate oxygen vacancy diffusion barriers adjacent to the dopant and at remote sites. Relative to the pure SrTiO<sub>3</sub> structure, doping was found to raise the diffusion barrier for V<sub>O</sub><sup>••</sup> vacancies and lower the diffusion barrier for V<sub>O</sub><sup>x</sup> vacancies. Furthermore, a doping trapping radius of 6 Å was found for both the V<sub>O</sub><sup>x</sup> and V<sub>O</sub><sup>••</sup> vacancies. Counterintuitively, trapping was observed even in supercells where vacancies and dopants are both positively charged. These results provide new insight into how less common A-site doping can change the electronic structure of this important material.



## INTRODUCTION

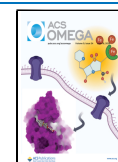
The perovskite family of ceramic oxides represents some of the most widely used oxide materials today. Characterized by the chemical formula ABO<sub>3</sub> and comprising a network of corner-sharing BO<sub>6</sub> octahedra around A-site cations, perovskite oxides are targeted for the next generation of solid-state batteries,<sup>1</sup> fuel cells,<sup>2</sup> thermoelectrics,<sup>3</sup> photovoltaics,<sup>4</sup> and capacitors.<sup>5</sup> A large portion of this technological interest stems from the tolerance of the perovskite structure to accommodate a variety of different elements on each metal site, allowing high tunability through elemental composition<sup>6</sup> or doping.<sup>4</sup> As the archetypical perovskite oxide, SrTiO<sub>3</sub> serves as an ideal model system for understanding the properties of the larger family. Furthermore, SrTiO<sub>3</sub> has wide commercial use as a substrate for epitaxial growth and thus serves as the bottom electrode for many oxide thin-film electronic devices.<sup>7</sup> In other applications, the high dielectric constant of SrTiO<sub>3</sub> makes it a valuable material for transistors and capacitors,<sup>5</sup> and its electrical properties make it an appealing electrode for ionic conductors.<sup>8</sup>

The emergence of SrTiO<sub>3</sub> in a variety of device architectures has resulted in increased attention to defects of the crystal lattice, which often are the critical determinants of performance.<sup>4</sup> Arguably, the most important of these defects that arise during material processing are oxygen vacancies (V<sub>O</sub>) and their subsequent long-range diffusion.<sup>9</sup> Indeed, this ionic diffusion may be the source of electronic device aging, giving rise to fatigue<sup>10</sup> and resistance degradation,<sup>11</sup> ultimately acting as a source of failure. Conversely, some applications actually seek to maximize the rate of diffusion of charged vacancies, as in energy fuel cells.<sup>8</sup> Therefore, significant research effort has been focused on understanding the distribution and kinetics of V<sub>O</sub> migration in perovskites under working conditions.

The migration path for V<sub>O</sub> diffusion is well established and involves an oxygen atom traveling along a TiO<sub>6</sub> octahedral edge<sup>9</sup> (Figure S1). Experimentally measured energetic barriers for this process in the pure material generally converge around 0.6 to 0.7 eV.<sup>12–15</sup> The ionic diffusion rate (*D*) can be altered by dopants,<sup>16</sup> such as Cr, Mn, and Fe. When doped on the B-site, these acceptor dopants are a source of oxygen vacancies during the synthetic process through the maintenance of charge neutrality.<sup>17</sup> Therefore, intentional doping can be seen as a design strategy to increase V<sub>O</sub>, and such approaches have been utilized to improve the performance of fuel cells.<sup>2</sup> However, doping may also raise the activation energy (*E<sub>a</sub>*) for V<sub>O</sub> diffusion. For example, in Ni-doped SrTiO<sub>3</sub>, *E<sub>a</sub>* was measured to be ~1 eV, an increase of 0.4 eV from undoped SrTiO<sub>3</sub>.<sup>16</sup> Electron paramagnetic resonance spectroscopy has also shown that V<sub>O</sub> trapping can occur through association with dopants.<sup>15,18</sup> Indeed, static lattice simulations of dopant–V<sub>O</sub> association in B-site acceptor-doped SrTiO<sub>3</sub> have shown that such events are complicated processes spanning several neighbor shells.<sup>13</sup> This dopant–vacancy association may represent an additional failure mechanism for electrodes<sup>19</sup> but may be useful to prevent oxidative degradation when SrTiO<sub>3</sub> is used as a coating.

The nature of V<sub>O</sub> diffusion in the presence of A-site dopants has largely been neglected. Although synthesis of A-site-doped materials does not directly create V<sub>O</sub> like B-site acceptor

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dopants,  $V_O$  is intrinsic in most synthesized oxide materials. Thus, the coexistence of A-site dopants with intrinsic defects like  $V_O$  in the same crystal is likely.<sup>20</sup> Indeed, A-site doping with transition metal ions, like  $Cr^{3+}$  and  $Mn^{2+}$ , has been confirmed experimentally on numerous occasions.<sup>21–26</sup> Additionally, lanthanide dopants on the A-site of  $SrTiO_3$  anode materials have been shown to improve the performance of solid oxide fuel cells.<sup>8</sup> Therefore, a complete model of  $V_O$  diffusion in  $SrTiO_3$  must provide a solid understanding of the effect of A-site dopants.

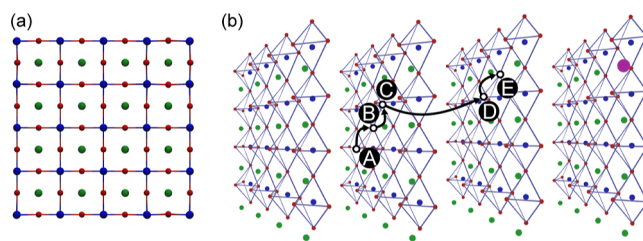
This study tackles the problem of  $V_O$  diffusion in A-site-doped  $SrTiO_3$  by combining density functional theory (DFT) and the nudged elastic band (NEB) method to calculate the energetics of  $V_O$  migration in the presence of common A-site transition metal dopants  $Mn^{2+}$  ( $Mn_{Sr}^x$ ) and  $Cr^{3+}$  ( $Cr_{Sr}'$ ) and a rare A-site dopant,  $Fe^{2+}$  ( $Fe_{Sr}^x$ ). By utilizing large supercells, a comparable quantum-mechanical description along a multistep diffusion pathway is developed (Figure 1). The results show that the relative magnitude of  $E_a$  of  $V_O$  migration is highly dependent on the charge state of the defect, even at locations nonadjacent to the dopant. Furthermore, trapping of  $V_O$  at dopant sites is found to be a non-nearest-neighbor event with energetically favorable complexation spanning several neighbor shells.

## COMPUTATIONAL METHODS

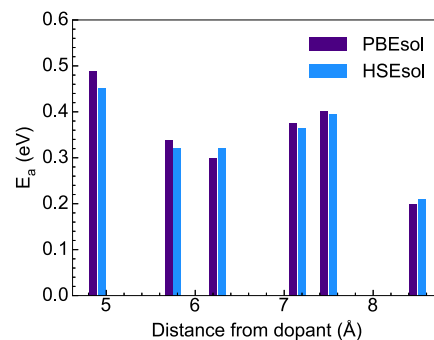
To obtain a quantum mechanical description of  $V_O$  diffusion in  $SrTiO_3$ , the electron projector-augmented wave (PAW) scheme of DFT, as implemented in the Vienna Ab initio Simulation Package (VASP),<sup>27</sup> was used. PBEsol,<sup>28</sup> a 600 eV cutoff for the plane-wave basis set, and pseudopotentials with core/valence configuration: Sr:[Ar]3d/4s4p5s, Ti:Ne/3s3p4s3d, O:He/2s2p, Cr:Ne 3s/3p4s3d, Mn:Ne/3s3p4s3d, and Fe:Ar/4s3d were used. All calculations were performed with spin polarization where each element was initialized in its high-spin configuration and an electronic convergence of  $10^{-6}$  eV. Only the atom positions were relaxed, and calculations were carried out until the maximum force on any atom was less than  $0.05 \text{ eV } \text{\AA}^{-1}$  in any direction. NEB calculations with 3 images along the path between the optimized initial and final  $V_O$  locations were carried out to calculate the  $E_a$  for each hop of the vacancy. Because of the high computational expense of performing calculations on such large unit cells, all calculations were performed at the  $\Gamma$ -point only.

PBEsol enabled the use of a large 325 atom  $4 \times 4 \times 4$  repeat of the primitive  $SrTiO_3$  unit cell, allowing a direct quantum mechanical examination of  $V_O$  diffusion at distances far from the defect and at a defect concentration of approximately  $10^{20} \text{ cm}^{-3}$ . Previous calculations of  $V_O$  diffusion in  $SrTiO_3$  have introduced the Hubbard  $U$  correction to account for the shortcomings of using a simple GGA functional to describe a highly correlated material.<sup>29</sup> To understand the ability of the PBEsol functional to reproduce the results of higher-level methods, calculated  $E_a$  was compared with single-point energies at the PBEsol-optimized geometries using a more accurate hybrid functional, HSEsol.<sup>30</sup> For 6 randomly selected transition states in a  $3 \times 3 \times 3 \text{ Mn}_{Sr}^x-V_O^{\bullet\bullet}$  super cell, PBEsol predicted reasonable  $E_a$  values, with maximum deviation between the two methods at approximately 0.05 eV (Figure 2). This indicates that the relative energies predicted by PBEsol are reasonable.

Dopant structures were created by inserting the respective metal atom onto a Sr site, followed by optimization to a 5-



**Figure 1.**  $SrTiO_3$  structure where the green, blue, red, and magenta spheres correspond to Sr, Ti, O, and dopant atoms, respectively. (a) Single layer in 2D and (b) simulated diffusion pathway for  $V_O$  in a four-layer perovskite crystal. Each site is labeled by the letters in black circles.  $V_O$  starts at the farthest possible site from the dopant (A) and diffuses directly toward the dopant, ending at the nearest possible nonbonding site (E).

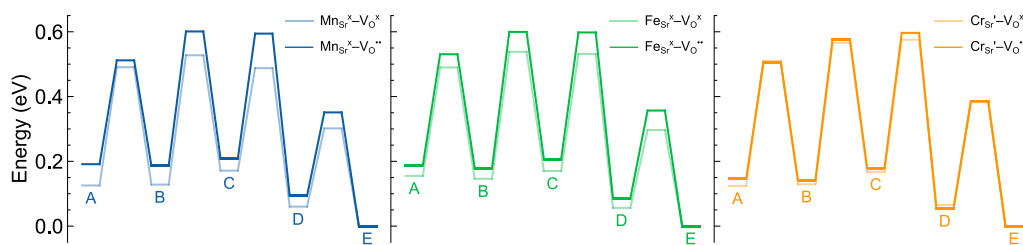


**Figure 2.** Comparison of PBEsol and HSEsol predicted  $E_a$  for  $Mn_{Sr}^x-V_O^{\bullet\bullet}$  across 6 randomly selected hops in a  $3 \times 3 \times 3$  unit cell.

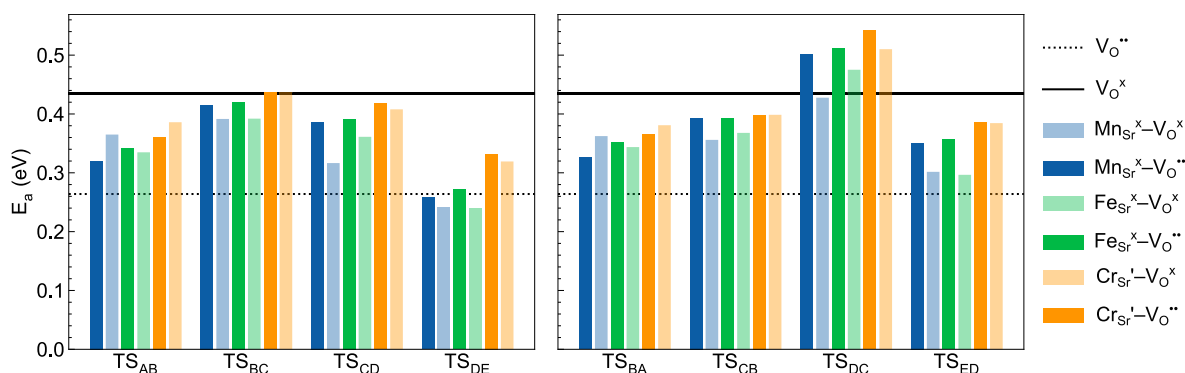
coordinate square pyramidal geometry that was previously shown to best reproduce the experimental hyperfine values for the A-site doping of  $Mn_{Sr}^x$ .<sup>31</sup> A  $V_O$  was then created and optimized at varying distances from the dopant to simulate a diffusion path in the crystal (Figure 1). The path was selected such that  $V_O$  began at the oxygen site furthest from the dopant (A), and over 3 intermediates (B,C,D) diffuses directly toward the dopant, terminating at one of the oxygens in the defected perovskite A-cell (but not bonded to the dopant, E). Both  $V_O^{\bullet\bullet}$  (vacancy of  $O^{2-}$ ) and  $V_O^x$  (vacancy of  $O^0$ ) oxygen vacancies were examined, with the latter leading to 2 additional electrons in the supercell.

## RESULTS AND DISCUSSION

The barrier for  $V_O^{\bullet\bullet}$  migration in undoped  $SrTiO_3$  supercells was approximately 0.2 eV. This slightly underestimates most previous experimental and theoretical results, reproducing similar values to those previously obtained using the same uncorrected GGA functional.<sup>29</sup>  $V_O^x$ -defected super cells predict a barrier of approximately 0.4 eV, which agrees with previous DFT results.<sup>14</sup> It is noted that deviation from the experimental value of 0.6–0.7 eV is expected from the under-localized charge density predicted by PBEsol. Previous studies of oxide materials have utilized the Hubbard  $U$  correction; however, the barrier is highly dependent on the chosen  $U$  value,<sup>32</sup> making it difficult to compare different dopants. The complex interplay of metal orbital energies, dopant electron density, ligand field, unpaired spins, and the resulting magnetic moments on  $Cr^{3+}$  and  $Mn^{2+}$  is multiconfigurational and highly correlated, which makes obtaining accurate energy levels of dopants in  $SrTiO_3$  challenging for even the highest quality functionals available. Therefore, a careful analysis of any DFT



**Figure 3.** Energy profiles for  $V_O^{\bullet\bullet}$  and  $V_O^x$  along the simulated diffusion path (Figure 1).  $V_O$  becomes associated with the dopant at D due to a large reversion barrier ( $TS_{DC}$ ). Activation energies for the forward and reverse paths are plotted as bars in Figure 4.



**Figure 4.** Activation energies ( $E_a$ ) for the forward (left) and reverse (right) paths for  $V_O^{\bullet\bullet}$  and  $V_O^x$  along the simulated diffusion path.  $TS_{\alpha\beta}$  corresponds to the transition states from  $\alpha$  to  $\beta$  geometries.

solution is required. In fact, even hybrid methods like HSE06 are unable to correctly predict the experimental spin state of Mn doped on the B-site,<sup>31</sup> limiting the current study to A-site dopants.

In all calculations, Bader analysis<sup>33</sup> revealed positive charges of +1.7, +1.4, and +1.4 for  $Cr^{3+}$ ,  $Fe^{2+}$ , and  $Mn^{2+}$  dopants, respectively (Table S2). These values may only provide a partial picture of the electronic density around the dopant as meaningful charge assignment is dependent on factors like integration grid size<sup>33</sup> and choice of pseudopotential.<sup>34</sup> Furthermore, Bader and other charge partitioning schemes neglect effects such as polaron formation, which extends several neighbor shells around the dopant beyond the typical cutoff values. Previous first-principles calculations of the  $Cr_{Sr}$  have indicated that the Cr 3d gap states appear near the bottom of the conduction band,<sup>35</sup> which have been a possible explanation for the visible-light absorption observed in experiment.<sup>23</sup> Transition levels for  $Mn_{Sr}^x$  defects have been found to occupy two midgap positions that were largely insensitive to the presence of a nearest neighbor  $V_O$ .<sup>31</sup> Here, calculations of the  $Fe_{Sr}^x-V_O^x$  and  $Fe_{Sr}^x-V_O^{\bullet\bullet}$  reveal a similar electronic structure to the previously reported  $Mn_{Sr}^x-V_O$  defect with two nondegenerate occupied defect levels. Calculated formation energies<sup>36</sup> for each dopant and vacancy location (Figure S2) reveal that creation of all configurations is exothermic, with favorability generally increasing when the vacancy is nearer to the dopant.

For most  $V_O$  transition states studied here, doping with all elements raised the diffusion barrier relative to  $V_O^{\bullet\bullet}$  diffusion and lowered relative to  $V_O^x$  diffusion in  $SrTiO_3$  (Figure 4). In general, activation energy of the  $V_O$  hopping for the forward path, toward the dopant, ranged from  $\sim 0.24$  to 0.44 eV (Table S1), with the largest barriers occurring at  $TS_{BC}$  for most dopants. Despite small differences in  $E_a$  at different points along the path, there were no geometric differences at any of

the transition states for all hops and dopants. Furthermore, each geometry well represents those previously calculated for the undoped structure using DFT and DFT +  $U$  methods.<sup>29</sup> Additional attempts were made to relate the magnitude of each  $E_a$  to structural parameters on the basis of Sr–Sr separation at the diffusion site, however, no correlation was found (Figure S3). Similar parameters have been previously suggested for descriptors of pure crystals.<sup>37</sup> The small differences in both migration  $E_a$  and total energy when  $V_O$  is far from the defect may be due to subtle long-range reconstructions of the unit cell.

The increased  $E_a$  for  $V_O^{\bullet\bullet}$  in doped supercells can be justified by considering electrostatic repulsion between the positively charged  $V_O^{\bullet\bullet}$  defect and the positively charged dopant ions. Interestingly, the reaction profiles indicate that both  $V_O^{\bullet\bullet}$  and  $V_O^x$  are capable of dopant association regardless of the charge (Figure 3). Specifically, the energy stabilization of the vacancy-dopant-associated complex occurs at the second neighbor shell (at structure D) in all cells (Figure 3). The large barrier for migration away from the dopant ( $TS_{DC}$ ) effectively traps the vacancy approximately 6 Å from the dopant. The dissociation barriers were 0.50, 0.51, and 0.54 eV for the  $V_O^{\bullet\bullet}$  moving away from  $Mn^{2+}$ ,  $Fe^{2+}$ , and  $Cr^{3+}$  dopants, respectively. The barriers for dissociation of  $V_O^x$  and the dopant are lower in energy, at 0.48, 0.43, and 0.51 eV for  $Fe^{2+}$ ,  $Mn^{2+}$ , and  $Cr^{3+}$ , respectively.

Experimentally, oxygen vacancy dynamics are measured as bulk diffusion and reported as the diffusion constant ( $D$ ). While the barriers clearly show that oxygen vacancy diffusion is not constant across the structure, insights may be gained by comparing the effect of each dopant on the overall diffusion in order to find the best dopants for either restricting or increasing vacancy migration. Experimental determinations of  $D$  for  $SrTiO_3$  have been reported extensively.<sup>12</sup> Here, the average diffusion constant ( $D$ ) over  $N$  hops for the forward



and reverse diffusion paths was calculated for each dopant using rates from the transition-state theory

$$D = \frac{1}{6N} \sum_i^N r^2 k_i \quad (1)$$

where  $r$  is the distance between adjacent O atoms in the pure crystal structure, and  $k$  is the rate of the reaction determined from the calculated  $E_a$  via the Eyring equation

$$k_i = \frac{k_B T}{h} \exp\left(-\frac{E_{a,i}}{k_B T}\right) \quad (2)$$

where  $h$  is Planck's constant,  $k_B$  is Boltzmann's constant, and  $T$  is the temperature. Here, we report  $D$  at  $T = 1000$  K as it is commonly reported in experimental measurements of  $V_O$  diffusion. If neglecting the effects of dopant trapping, linear scaling between temperatures is expected.

$D$  for  $V_O^{\bullet\bullet}$  systems is reduced vs the pure structure, as shown in Table 1. Specifically, average diffusivity decreases

**Table 1. Average Activation Energies ( $\bar{E}_a$ ) and Diffusion Constants ( $D$ ) at 1000 K for  $V_O^{\bullet\bullet}$  and  $V_O^{x\bullet}$**

defect	$\bar{E}_a$ /eV	$D/\text{cm}^2 \text{ s}^{-1}$ [1000 K]
$V_O^x$	0.43	$1.10 \times 10^{-4}$
$V_O^{\bullet\bullet}$	0.26	$7.94 \times 10^{-4}$
$\text{Mn}_{\text{Sr}}^x - V_O^x$	0.35 (0.05)	$3.81 \times 10^{-4}$
$\text{Mn}_{\text{Sr}}^x - V_O^{\bullet\bullet}$	0.37 (0.07)	$3.12 \times 10^{-4}$
$\text{Fe}_{\text{Sr}}^x - V_O^x$	0.35 (0.06)	$3.74 \times 10^{-4}$
$\text{Fe}_{\text{Sr}}^x - V_O^{\bullet\bullet}$	0.38 (0.07)	$2.67 \times 10^{-4}$
$\text{Cr}_{\text{Sr}}^x - V_O^x$	0.40 (0.05)	$1.85 \times 10^{-4}$
$\text{Cr}_{\text{Sr}}^x - V_O^{\bullet\bullet}$	0.40 (0.06)	$1.87 \times 10^{-4}$

<sup>a</sup>Standard deviations are in parentheses.

$V_O^{\bullet\bullet} > \text{Mn}_{\text{Sr}}^x - V_O^x > \text{Fe}_{\text{Sr}}^x - V_O^x > \text{Mn}_{\text{Sr}}^x - V_O^{\bullet\bullet} > \text{Fe}_{\text{Sr}}^x - V_O^{\bullet\bullet} > \text{Cr}_{\text{Sr}}^x - V_O^{\bullet\bullet} > \text{Cr}_{\text{Sr}}^x - V_O^x > V_O^x$ . This represents a range of  $D$  of almost an order of magnitude at 1000 K. It is critical to note that this approach to calculate  $D$  neglects effects like the ionic trapping as discussed above, which would require considerably more advanced analytical models<sup>13</sup> or possibly kinetic Monte Carlo techniques. Development of such models that can reliably calculate the effect of doping on measurable properties should be a priority for future studies.

## CONCLUSIONS

Overall, this study provides quantum mechanical insights into  $V_O$  diffusion in A-site-doped  $\text{SrTiO}_3$ . The results indicate that dopants either raise or lower the barriers of  $V_O$  diffusion depending on the charge of the vacancy.  $V_O$  trapping through dopant association was observed even for dopants and vacancies with the same charge. Furthermore, the radius of  $V_O$  trapping is not dependent on the charge of the vacancy or the charge of the dopant. Such insights represent the first quantum mechanical picture of  $V_O$  diffusion in A-site-doped  $\text{SrTiO}_3$  and will be critical in developing design criteria to targeted diffusion characteristics in  $\text{SrTiO}_3$  through doping.

## ASSOCIATED CONTENT

### Supporting Information

The Supporting Information is available free of charge at <https://pubs.acs.org/doi/10.1021/acsomega.4c04099>.

$\text{SrTiO}_3$  structure and  $V_O$  diffusion pathway, all diffusion barriers, formal charges on the dopant, formation energies for each dopant and vacancy configuration, and correlation between  $E_a$  for  $V_O$  diffusion and the separation of two nearest neighbor Sr atoms (PDF)

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### Notes

The authors declare no competing financial interest.

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