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Highly selective hydrogenation of CO_2 into C_{2+} alcohols by homogeneous catalysis[†]

Qingli Qian,* Meng Cui, Zhenhong He, Congyi Wu, Qinggong Zhu, Zhaofu Zhang, Jun Ma, Guanying Yang, Jingjing Zhang and Buxing Han*

The hydrogenation of CO₂ to produce alcohols with two or more carbons (C₂₊ alcohols) is of great importance, but is challenging. In this work, we found that a Ru₃(CO)₁₂/Rh₂(CO)₄Cl₂-Lil system could catalyze the reaction effectively in 1,3-dimethyl-2-imidazolidinone (DMI) under mild conditions. Methanol, ethanol, propanol, 2-methyl propanol, butanol, and 2-methyl butanol were produced in the homogeneous catalytic reaction. The C₂₊ alcohols could be generated at 160 °C, which is the lowest temperature reported so far for producing C₂₊ alcohols *via* CO₂ hydrogenation. The selectivity for the C₂₊ alcohols could be as high as 96.4% at the optimized conditions, which is higher than those reported in the literature. In addition, the catalytic system could be easily recycled. The route of the reaction for forming the C₂₊ alcohols was discussed on the basis of control experiments.

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Introduction

Carbon dioxide (CO_2) is a renewable, abundant, and cheap C_1 feedstock.¹ The use of CO_2 as a carbon source to produce fuels and value-added chemicals is of great importance for the sustainable development of our society. The transformation of CO_2 into various compounds, such as urea, salicylic acid, carbonates, polymers, alcohols, and formic acid, has been studied extensively.²

The hydrogenation of CO₂ to produce alcohols is one of the most important routes for converting CO₂, which has received much attention, especially for producing methanol.³ In many cases, ethanol and larger alcohols (C₂₊ alcohols hereafter) are more desirable products, as neat fuels, fuel additives, and chemicals, than methanol.⁴ However, producing C₂₊ alcohols by CO₂ hydrogenation is more difficult than producing methanol. Up to now, heterogeneous catalysts have been designed and used in the synthesis of $C_{2\scriptscriptstyle +}$ alcohols by the catalytic hydrogenation of CO2, and some excellent results have been obtained.5-15 For example, it was found that alkali-promoted Mo/SiO₂ catalysts could catalyze CO₂ hydrogenation to form C₂₊ alcohols at 250 °C. The content of C_{2+} alcohols in the alcohol mixture could be 75.6%.5 Supported Rh, Fe-based, and Cubased catalysts were combined for the synthesis of C_{2+} alcohols, and the multi-functional heterogeneous catalysts could promote the reaction effectively at 330–370 °C. The major C_{2+}



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As discussed above, the synthesis of C_{2+} alcohols by the hydrogenation of CO_2 has received considerable attention. However, in general, the heterogeneous catalysts suffer from low activity, low C_{2+} alcohol selectivity, and high reaction temperature. There is no doubt that exploration of the routes for highly selective CO_2 hydrogenation to produce C_{2+} alcohols under relatively mild conditions is of great importance. In this work, we studied the hydrogenation of CO_2 into C_{1-5} alcohols catalyzed by a Ru–Rh bimetallic homogeneous catalyst using LiI as the promoter (Scheme 1).

It was found that the catalytic system could catalyze the reaction effectively under mild conditions. The liquid products



Scheme 1 Synthesis of C₂₊ alcohols from CO₂ hydrogenation.

Beijing National Laboratory for Molecular Sciences, CAS Key Laboratory of Colloid, Interface and Chemical Thermodynamics, Institute of Chemistry, Chinese Academy of Sciences, Beijing 100190, China. E-mail: qianql@iccas.ac.cn; hanbx@iccas.ac.cn; Tel: +86-10-62562821

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were mainly methanol, ethanol, propanol, 2-methyl propanol, butanol and 2-methyl butanol, including both linear and branched alcohols. The products were distinct from those generated *via* homogeneous CO_2 or CO hydrogenation reported in the literature. The alcohols could be generated at 160 °C. The selectivity for C_{2+} alcohols could be as high as 96.4% at the optimized conditions. In addition, the catalytic system could be recycled and reused.

Results and discussion

Different catalytic systems were tested for the reaction, and the results are listed in Table 1. The corresponding selectivities for the different alcohols are shown in detail in Table S1.† When LiI was used as the promoter and 1,3-dimethyl-2-imidazolidinone (DMI) as the solvent, the combined catalyst $Ru_3(CO)_{12}$ $Rh_2(CO)_4Cl_2$ could catalyze the hydrogenation of CO_2 very effectively, with methanol, ethanol, propanol, 2-methyl propanol, butanol, and 2-methyl butanol as the products, and other products being negligible in the reaction solution (Fig. S1[†]). The alcohol products, including both linear and branched C1-C5 alcohols, are different from those obtained via CO hydrogenation using Ru and/or Rh catalysts, since they give largely linear hydrocarbons over heterogeneous catalysts, and produce only C1 and C2 oxygenates in homogeneous catalysis.17 Very interestingly, the selectivity for C2+ alcohols could be as high as 96.4% (Entry 1), which is much higher than those reported in the literature.

The promoter LiI played an important role in accelerating the reaction. Without the promoter, a little amount of methanol was generated, and the amount of the C_{2+} alcohols was negligible (Entry 2). In the presence of LiI, the reaction solution was clear after reaction, but black fine metal powder was found when LiI was not added, indicating that LiI could stabilize the catalyst. When LiI was replaced by KI, the catalyst was also stable at the reaction conditions with a high yield of methanol, but the selectivity to C_{2+} alcohols was very low (Entry 3). The results show that the promoter affected the activity, selectivity, and stability of the catalyst. The superiority of LiI in promoting the synthesis of C_{2+} alcohols may be partly attributed to the stronger Lewis acidity of the lithium cation, which could offer suitable coordination sites during the catalytic reaction. The anionic counterpart of the promoter also evidently influenced the catalytic performance. When LiCl was used, the selectivity for C_{2+} alcohols was much lower (Entry 4). The better performance of the iodide anion may be ascribed to its stronger nucleophilicity, which would promote the chain growth reaction.

We also used $Ru_3(CO)_{12}$ (Entry 5) and $Rh_2(CO)_4Cl_2$ (Entry 6) separately, but the yield of the product and the selectivity to the C2+ alcohols was very low, indicating the synergistic effect of the two catalysts in accelerating the reaction. Thus we choose the space time yield (STY) to express the catalytic activity, which may give an integrated evaluation of the bimetallic catalytic system. The reaction was also carried out in other solvents, and it was demonstrated that DMI was the best solvent for the reaction (Entries 1 and 7-12). One of the main reasons is that the catalyst was stable in DMI, but it was not stable in most of the other solvents used. In N-methyl-2-pyrrolidone (NMP), the catalyst was also stable, but the efficiency of the reaction was lower than that in DMI. This indicates that the solvent effect is also important for the reaction. Using LiI as the promoter and DMI as the solvent, the performance of other mixed catalysts, such as $RuCl_3 \cdot 3H_2O/Rh_2(CO)_4Cl_2$, $Ru_3(CO)_{12}/RhCl_3 \cdot xH_2O$, and $Ru_3(CO)_{12}/Rh_6(CO)_{16}$, were also studied (Entries 13–15), but the efficiencies were lower than that of Ru₃(CO)₁₂/Rh₂(CO)₄Cl₂ because of their poor stability. The results above indicate that the catalytic system composed of Ru₃(CO)₁₂/Rh₂(CO)₄Cl₂, LiI, and DMI had good activity, selectivity, and stability for the hydrogenation of CO₂ to generate C₂₊ alcohols. Therefore, the effects of the reaction conditions were further studied using this catalytic system.

Table 1 The performances of various catalytic systems for CO_2 hydrogenation to C_{2+}
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Entry	Catalyst	Promoter	Solvent	STY ^{<i>c</i>} of alcohols	C2+OH%
1	$Ru_3(CO)_{12}$, $Rh_2(CO)_4Cl_2$	LiI	DMI	12.86	96.4
2^{b}	$Ru_3(CO)_{12}$, $Rh_2(CO)_4Cl_2$	_	DMI	0.36	2.8
3	$Ru_3(CO)_{12}$, $Rh_2(CO)_4Cl_2$	KI	DMI	14.36	8.3
4	$Ru_3(CO)_{12}$, $Rh_2(CO)_4Cl_2$	LiCl	DMI	16.17	17.7
5	$Ru_{3}(CO)_{12}$	LiI	DMI	2.43	0.4
6 ^{<i>b</i>}	$Rh_2(CO)_4Cl_2$	LiI	DMI	1.07	2.9
7	$Ru_3(CO)_{12}$, $Rh_2(CO)_4Cl_2$	LiI	NMP	5.11	72.4
8 ^b	$Ru_3(CO)_{12}$, $Rh_2(CO)_4Cl_2$	LiI	1-Methyl piperidine	2.07	0.0
9^b	$Ru_3(CO)_{12}$, $Rh_2(CO)_4Cl_2$	LiI	DMF	7.64	0.0
10^{b}	$Ru_3(CO)_{12}$, $Rh_2(CO)_4Cl_2$	LiI	THF	0.0	_
11^{b}	$\operatorname{Ru}_{3}(\operatorname{CO})_{12}, \operatorname{Rh}_{2}(\operatorname{CO})_{4}\operatorname{Cl}_{2}$	LiI	Cyclohexane	0.0	_
12^b	$Ru_3(CO)_{12}$, $Rh_2(CO)_4Cl_2$	LiI	Water	1.45	6.5
13^b	$RuCl_3 \cdot 3H_2O$, $Rh_2(CO)_4Cl_2$	LiI	DMI	2.73	7.4
14^b	$Ru_3(CO)_{12}$, $RhCl_3 \cdot xH_2O$	LiI	DMI	3.38	5.7
15^b	$Ru_3(CO)_{12}$, $Rh_6(CO)_{16}$	LiI	DMI	3.40	25.4

^{*a*} Reaction conditions: 28.2 µmol Ru catalyst and 51.5 µmol Rh catalyst (based on the metal), 2.26 mmol promoter, 2 mL solvent, 4 MPa CO₂ and 4 MPa H₂ (at room temperature), 200 °C and 12 h. ^{*b*} Precipitate was observed after the reaction. ^{*c*} STY stands for space time yield (C mmol L⁻¹ h⁻¹), which is one of the commonly used units, especially when multi-metals are utilized.

Fig. 1 depicts the results of the CO₂ hydrogenation conducted at different temperatures. At 150 °C, only methanol and ethanol were formed, and methanol was the major product. When the temperature reached 160 °C, the yields of methanol and ethanol increased, and C₃₊ alcohols emerged. Thus, 160 °C was the initial temperature for the obvious formation of C_{3+} alcohols. So far this is the lowest temperature reported for the formation of these alcohols. The yields of all the alcohols increased as the temperature rose. From 180 to 200 °C, the methanol yield underwent a dramatic drop, accompanied with an evident increase in the yields of the target C₂₊ alcohols. In the range of 200-220 °C, the yields of methanol and ethanol were nearly unchanged with increasing temperature, but the yields of the other alcohols increased continuously with increasing temperature. The main reason is that the methanol formed can be further transformed into ethanol, and the ethanol can be converted into larger alcohols, which will be discussed in more detail in the following paragraphs. The yield of methanol is much lower than that of ethanol in this temperature range because methanol is more reactive than ethanol. Therefore, the methanol generated was converted into ethanol quickly.

The results in Fig. 1 suggest that 200 °C is a suitable temperature. We further studied the effects of other parameters on the reaction at this temperature, and the results are given in Table 2. The corresponding selectivities to different alcohols are shown in Table S2.[†] The C₂-C₅ alcohols were generated at all the conditions. At a fixed pressure ratio of CO_2 and H_2 (1 : 1), the total yield of the alcohols and the selectivity to the C_{2+} alcohols increased remarkably as the total pressure was raised from 2 MPa to 10 MPa (Entries 1-5). At the same pressure, the total yield of the alcohols increased with the partial pressure of H_2 (Entries 4, 6, 7), but the selectivity to C_{2+} alcohols was highest at a CO_2 : H₂ pressure ratio of 1 : 1. The dosage of LiI was crucial for the alcohols generation (Entry 2 of Table 1, Entries 4, 8, and 9 of Table 2). When the LiI dosage was in the range of 0-1.13 mmol, both the total yield of the alcohols and the selectivity to C2+ alcohols increased significantly with the increase in the dosage. As the LiI dosage was increased to 2.26 mmol, the



Fig. 1 The space time yields (STY) of the alcohols at different temperatures. Reaction conditions: 28.2 μ mol Ru₃(CO)₁₂ and 51.5 μ mol Rh₂(CO)₄Cl₂ (based on the metal), 2.26 mmol Lil, 2 mL DMI, 4 MPa CO₂ and 4 MPa H₂ (at room temperature), and 12 h.

amount of the alcohols generated decreased slightly but the C_{2+} alcohols selectivity increased greatly. However, as the dosage further increased to 3.39 mmol, the selectivity to C_{2+} alcohols remained high, but the total yield of the alcohols reduced considerably. The main reason may be that more active sites were occupied by iodide anions as an excess amount of LiI was used, inhibiting the hydrogenation reaction. The atomic ratio of Ru and Rh also affected the yield of the reaction. At the same total amount of Ru and Rh (79.7 µmol), 28.2 µmol Ru and 51.5 µmol Rh gave the highest total yield of the alcohols and the selectivity for C_{2+} alcohols (Entries 5 and 6 of Table 1, Entries 4, 10, 11, 12 of Table 2). As expected, the total yield of the alcohols increased with an increasing amount of the catalyst (Entries 4, 13, 14, 15), but the yield was less sensitive to the amount of catalyst as the amount was large enough.

We carried out experiments on the reuse of the catalytic system. After reaction, the alcohols generated in the reaction were removed under vacuum, which was confirmed by GC analysis. Then the catalyst, solvent (DMI), and the LiI were used directly for the next run. The results of the reuse experiments are given in Table S3.[†] The yield of the total alcohols and the selectivity to C_{2+} alcohols did not change obviously after five cycles (12 h each cycle), indicating that the catalyst was stable for at least 60 h at this temperature.

Fig. 2 presents the time course for the formation of the alcohols. Methanol, ethanol and propanol were generated within 1 h and their yields increased with time. After 6 h, a considerable amount of 2-methyl propanol, butanol, and 2-methyl butanol could be detected and their amounts increased with time. The methanol content began to decrease quickly and the amounts of the higher alcohols increased continuously with the reaction proceeding. After 12 h, the methanol content was low and did not change considerably with time. At the same time, the ethanol content began to decrease slowly, and the content of the C_{3+} alcohols continued to increase with reaction time. The yield of methanol passed through a maximum with increasing reaction time. With the increase of reaction time, some of the methanol is transformed into ethanol and the ethanol can be further converted, and so on.

The results above suggest that methanol was formed from CO_2 and H_2 in the reaction. The methanol acts as the intermediate for the generation of ethanol, and the ethanol can be converted into larger alcohols in the reaction process. In order to obtain more evidence to support this argument, we carried out tracer experiments by adding small amounts of ${}^{13}CH_3OH$ or ${}^{13}C_2H_5OH$ in the reaction system at 200 °C with a reaction time of 12 h. The GC-MS results with ${}^{13}CH_3OH$ and ${}^{13}C_2H_5OH$ are shown in Fig. S2 and S3,† respectively. When ${}^{13}CH_3OH$ was used as the tracer, C_{2+} alcohols containing ${}^{13}C$ were yielded. Similarly, when the ${}^{13}C_2H_5OH$ tracer was added in the reaction system, ${}^{13}C$ was present in some C_{3+} alcohols. Therefore, it can be concluded that in the hydrogenation of CO_2 for obtaining the alcohols, the methanol and ethanol formed act as intermediates for forming the larger alcohols.

Only methanol and ethanol were produced as alcohol products in the homogeneous CO₂ hydrogenation.¹⁶ It was also reported that only C₁ and C₂ oxygenates were yielded *via* CO

Table 2 Effect of reaction parameters on hydrogenation of CO₂ to alcohols^a

Entry	Ru/Rh [µmol]	LiI [mmol]	CO_2/H_2 [MPa]	STY of alcohols	C_{2^+} [%]
1	28.2/51.5	2.26	1/1	1.13	77.0
2	28.2/51.5	2.26	2/2	3.39	90.6
3	28.2/51.5	2.26	3/3	5.37	92.6
4	28.2/51.5	2.26	4/4	12.86	96.4
5	28.2/51.5	2.26	5/5	14.10	96.1
6	28.2/51.5	2.26	2/6	20.66	39.0
7	28.2/51.5	2.26	6/2	3.17	84.2
8	28.2/51.5	1.13	4/4	14.25	40.6
9	28.2/51.5	3.39	4/4	5.88	97.1
10	8.0/71.7	2.26	4/4	3.32	84.0
11	39.9/39.9	2.26	4/4	12.07	76.9
12	55.8/23.9	2.26	4/4	8.57	80.4
13	0/0	2.26	4/4	0	_
14	14.1/25.8	2.26	4/4	4.48	47.8
15	42.3/77.3	2.26	4/4	16.31	93.9

^{*a*} Reaction conditions: $Ru_3(CO)_{12}/Rh_2(CO)_4Cl_2$ were used as the catalysts and their dosage was based on the metal, LiI was used as the promoter, 2 mL DMI, 200 °C, and 12 h.



Fig. 2 Time course of the alcohol formation. Reaction conditions: 28.2 μ mol Ru₃(CO)₁₂ and 51.5 μ mol Rh₂(CO)₄Cl₂ (based on the metal), 2.26 mmol Lil, 2 mL DMI, 4 MPa CO₂ and 4 MPa H₂ (at room temperature), and 200 °C.

hydrogenation using homogeneous Ru and/or Rh catalysts.^{17,18} Whereas the alcohols generated by our catalytic system included C_1 – C_5 alcohols of both linear and branched structures. This suggests that the reaction pathway of CO_2 hydrogenation using our catalytic system is obviously different from those of the CO_2 or CO hydrogenation reported in the literature.^{16–18}

Conclusions

In summary, we have studied the performance of different catalysts, promoters, and solvents for the synthesis of C_{2+} alcohols by the hydrogenation of CO_2 . It is discovered that $Ru_3(CO)_{12}$, $Rh_2(CO)_4Cl_2$, and LiI exhibit an excellent synergistic effect in catalyzing the reaction using DMI as the solvent. The $Ru_3(CO)_{12}/Rh_2(CO)_4Cl_2/LiI-DMI$ homogeneous catalytic system can catalyze the reaction effectively and selectively at relatively mild conditions. The target C_{2+} alcohols start to form at 160 °C. The selectivity to the C_{2+} alcohols can reach 96.4%, and the

catalytic system can be reused. In the reaction, methanol is first formed, and the small alcohol can act as the intermediate for generating the larger ones. The C_{2+} alcohols include both linear and branched alcohols, which is distinct from those produced *via* homogeneous CO₂ or CO hydrogenation reported in the literature. We believe that many other catalytic systems can be explored for the hydrogenation of CO₂ by combination of various homogeneous catalysts, co-catalysts, and solvents.

Experimental

Chemicals

Ruthenium carbonyl ($Ru_3(CO)_{12}$, purity > 98%) was purchased Adamas from Reagent, Ltd. Tetracarbonyl-di-µ-chlorodirhodium(I) ($Rh_2(CO)_4Cl_2$, Rh 50.1–52.9%), rhodium(III) chloride hydrate (RhCl₃·xH₂O, Rh 38.5–45.5%), anhydrous lithium iodide (LiI, 99.95%), potassium iodide, (KI, 99.9%), and 1-methyl piperidine (99%) were obtained from Alfa Aesar China Co., Ltd. Hexarhodiumhexadecacarbonyl ($Rh_6(CO)_{16}$, 98%) was provided by J&K Chemical Ltd. (Shanghai). Ruthenium(III) chloride hydrate (RuCl₃·3H₂O, Ru 36.7%) was provided by Shenyang Jinke Reagent Co., Ltd. 1,3-Dimethyl-2-imidazolidinone (DMI, 99%) was purchased from TCI Shanghai Co., Ltd. N-Methyl-2-pyrrolidone (NMP, 99.5%), N,N-dimethylformamide (DMF, 99.5%) and cyclohexane (99.5%) were provided by Sinopharm Chemical Reagent Co., Ltd. Tetrahydrofuran (THF, A.R. Grade) was obtained from Beijing Chemical Company. Toluene (99.8%, HPLC) was obtained from Xilong Chemical Co., Ltd. Methanol-¹³C (99 atom% ¹³C) and ethanol-¹³C₂ (99 atom% ¹³C) were purchased from Sigma-Aldrich Co. LLC. The CO₂ (99.99%) and H₂ (99.99%) were provided by Beijing Analytical Instrument Company.

Hydrogenation of CO₂

All the reactions were conducted in a 16 mL Teflon-lined stainless steel reactor equipped with a magnetic stirrer. In a

typical experiment, known amounts of the Ru and/or Rh catalysts, LiI or another promoter, tracer (methanol-¹³C or ethanol-13C2 if used), and 2 mL solvent were loaded into the reactor. The reactor was sealed and purged three times with CO₂ of 3 MPa, and then CO₂ and hydrogen were charged to the desired pressure at room temperature, respectively. The reactor was placed in an air bath of constant temperature, and the magnetic stirrer was started at 800 rpm. After reaction, the reactor was cooled in an ice-water bath for 1 h, the residual gas was released carefully in a hood. The liquid mixture was analyzed by GC (Agilent 7890B) equipped with a flame ionization detector and a HP-5 capillary column (0.32 mm in diameter and 30 m in length) using toluene as the internal standard. Identification of the liquid products was done using a GC-MS (SHIMADZU-OP2010) as well as by comparing the retention times of the standards in the GC traces (Fig. S4[†]). The yields of the products were calculated from the GC data.

To test the reusability of the catalytic system, the alcohols formed in the reaction were removed at 80 °C under vacuum for 1.5 h, and the catalytic system $(Ru_3(CO)_{12}-Rh_2(CO)_4Cl_2-LiI/DMI)$ was used directly for the next run.

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