

Efficacy of a Synbiotic Containing *Lactobacillus paracasei* DKGF1 and *Opuntia humifusa* in Elderly Patients with Irritable Bowel Syndrome: A Randomized, Double-Blind, Placebo-Controlled Trial

Joo Hyun Oh^{1,2}, Yeon Sil Jang¹, Danbee Kang^{3,4}, Hong Seog Kim⁵, Eui-Joong Kim⁶, So-Young Park^{7,8}, Cheol-Hyun Kim⁸, Yang Won Min¹, and Dong Kyung Chang¹

¹Department of Medicine, Samsung Medical Center, Sungkyunkwan University School of Medicine, ²Department of Medicine, Nowon Eulji Medical Center, Eulji University School of Medicine, ³Department of Clinical Research Design and Evaluation, Samsung Advanced Institute for Health Science and Technology (SAIHST), Sungkyunkwan University School of Medicine, ⁴Center for Clinical Epidemiology, Samsung Medical Center, Sungkyunkwan University School of Medicine, 5Youdam Co., Ltd., Cheonan, ⁶Genofocus, Inc., Daejeon, ⁷Laboratory of Pharmacognosy, College of Pharmacy, Dankook University, and ⁸Department of Animal Resources Science, College of Biotechnology and Bioengineering, Dankook University, Cheonan, Korea

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Corresponding Author

Yang Won Min ORCID https://orcid.org/0000-0001-7471-1305 E-mail yangwonee@gmail.com **Background/Aims:** There is increasing evidence that supplementation with pre- and probiotics appears to have positive effects on irritable bowel syndrome (IBS). The aim of this study was to determine the effects of a new synbiotic formulation on gastrointestinal symptoms in elderly patients with IBS.

Methods: Sixty-seven IBS patients aged \geq 60 years were randomly assigned to either a placebo group (n=34) or a synbiotic group (n=33). During a 4-week intervention, subjects used a placebo or a synbiotic containing *Lactobacillus paracasei* DKGF1 and extracts of *Opuntia humifusa* once a day. Patients were evaluated with the subject global assessment, visual analog scale, and Bristol stool chart. The primary outcome was the overall responder rate and the secondary outcome was the responder rates for abdominal symptom reduction at week 4.

Results: Overall, responder rates were significantly higher in the synbiotic group (51.5%) than in the placebo group (23.5%) (p=0.017). Abdominal pain (58.8% vs 81.8%) and psychological wellbeing (26.4% vs 60.6%) were noticeably improved in the synbiotic group (p=0.038 and p=0.004, respectively). However, there were no significant differences in gas and bloating symptoms (p=0.88 and p=0.88, respectively). In patients with constipation-dominant and diarrhea-dominant IBS (n=16), the synbiotic significantly improved abdominal pain and defecation symptoms (responder rates for the placebo vs the synbiotic: 22.2% vs 85.7%, p=0.04). There were no adverse events in either group.

Conclusions: The results indicate that this new synbiotic supplement can potentially relieve abdominal symptoms in elderly IBS patients. (Gut Liver 2023;17:100-107)

Key Words: Irritable bowel syndrome; Synbiotics; Lactobacillus paracasei; Opuntia humifusa; Aged

INTRODUCTION

Irritable bowel syndrome (IBS) is a disease including complex of symptoms characterized by recurrent abdominal pain, bloating, and altered bowel habits in the absence of any structural or inflammatory abnormalities.¹ IBS is estimated to affect approximately one in 10 people globally.² The condition is common in women and young people but generally uncommon in elderly people. However, the impact of IBS on elderly patients is not negligible. The prevalence of IBS in elderly people has been estimated to be 9%–13%,^{3,4} suggesting that IBS is an important health problem in elderly people. As aging increases the risk of organic abnormalities, the management of IBS in elderly people is more difficult and complicated than in the younger population.⁵

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The pathophysiology of IBS is complex. Abnormal stress response, infection, or inflammatory response may alter intestinal permeability and trigger a series of events (e.g., inflammatory cell infiltration, local edema, and cytokine or chemokine release) that result in the development of IBS symptoms.⁶ Recent data have demonstrated that changes in the gut microbiome may play an important role in IBS. There have been consistent results of lower concentrations of *Lactobacilli*⁷ and *Bifidobacteria*⁸ and of higher amounts of *Enterobacteriaceae* (coliforms) and *Bacteroides* in IBS patients.⁹ These observations led to the use of prebiotics, probiotics and synbiotics in the treatment of IBS.

Probiotics are live microorganisms that, when administered in adequate amounts, confer a health benefit to the host.¹⁰ Prebiotics are selectively fermented ingredients that induce specific changes in the composition and/or activity of the gastrointestinal microbiota, thus benefiting the health of the host. Synbiotics are formulations that contain both probiotics and prebiotics, with reported health benefits.11 Probiotics and synbiotics beneficially affect patients with IBS in terms of improvement in overall global symptom, abdominal pain, bloating, and flatulence scores.¹² Lactobacilli and Bifidobacteria are the most commonly studied probiotics in patients with IBS.^{9,13} Lactobacillus paracasei DKGF1 has been isolated from kimchi, a traditional Korean food.¹⁴ We chose *L. paracasei* DKGF1 over several other Lactobacillus strains (L. casei, L. plantarum, and L. acidophilus) based on its in vitro activities (antioxidant effect, heat resistance, and intestinal adhesion). Clinical trials on probiotics or synbiotics of L. paracasei showed significant improvement in symptoms in patients with IBS.^{15,16} Among many sources of prebiotics, there is increasing interest in Opuntia, prickly pear cactus, with high-fiber source and antioxidants.¹⁷ Isorhamnetin, a flavonoid from Opuntia humifusa, possess potential anti-oxidative and anti-inflammatory activities.¹⁸ In addition, mucilage¹⁹ and pectin²⁰ may be ingested by lactic acid microorganisms, which can increase the short-chain fatty acids production. We conducted an animal study with L. paracasei DKGF1 and extract of Opuntia. Wistar rats with induced IBS who consumed L. paracasei DKGF1 and Opuntia extract showed greater improvement in stool consistency compared to those who consumed placebo and the L. paracasei DKGF1 only group. These findings indicated that synbiotic supplements have therapeutic effects on IBS, and that the extract of Opuntia may enhance the effects of L. paracasei DKGF1.21

The treatment of IBS in elderly patients is similar to that in young patients. However, greater caution is needed when treating elderly patients. According to a systematic review, probiotics and synbiotics are well tolerated, and no significant events were reported in previous trials.¹² Safe medication is needed for the treatment of elderly patients with IBS, and synbiotics may constitute one such treatment option. However, there is significant heterogeneity across previous studies that have examined this issue. Moreover, most studies were limited by suboptimal design or unqualified data. Furthermore, there is limited information on the effect of synbiotics on IBS symptoms in elderly patients. Thus, the aim of this study was to investigate the effects of a novel synbiotic on IBS symptoms in elderly patients.

MATERIALS AND METHODS

1. Study design, setting, and participants

The 4-week randomized, double-blind, placebo-controlled trial was conducted at the Samsung Medical Center, Seoul, South Korea. Elderly IBS patients, aged \geq 60 years and who fulfilled the Rome IV criteria,²² were enrolled. Patients were excluded if they had previous abdominal surgery except appendectomy and caesarian section, history of inflammatory bowel disease, and evidence of other severe illnesses (liver cirrhosis, cancer, or psychological, cardiovascular, or pulmonary diseases). Additionally, patients who had used antibiotics and probiotics within the 2 weeks preceding the study were also excluded.

At baseline, eligible patients were instructed to complete the visual analog scale (VAS)²³ and the Bristol stool chart (BSC).²⁴ Sixty-eight patients were diagnosed with IBS according to the Rome IV criteria. One patient from the synbiotic group was excluded because of poor compliance. A total of 67 patients were randomized. During the intervention, subjects used a synbiotic mixture or placebo once daily with water or a beverage (except for acidic juices such as orange juice or soda). During the study period, all subjects were prohibited from consuming laxatives, antidiarrheal agents, antibiotics, and probiotics. The eligible patients recorded their IBS symptoms weekly using subject global assessment (SGA),²⁵ VAS, and BSC. Signed informed consent was obtained prior to enrollment in the trial. The study protocol was reviewed and approved by the Institutional Review Board of Samsung Medical Center (IRB number: SMC IRB 2019-06-127). This study was registered with the Clinical Research Information Service after enrollment completion (KCT0005449, https://cris. nih.go.kr; date of registration: 10/7/2020).

2. Synbiotic and placebo preparation

The synbiotic mixture, packed in sachets, contained *L. paracasei* DKGF1 and extracts from *O. humifusa* (eastern prickly pear cactus). Each synbiotic sachet contained 1.0×10^{11} colony-forming units of *L. paracasei* DKGF1, 0.2 g of *O. humifusa* extract, and 1.59 g of maltodextrin. The placebo only contained maltodextrin (1.98 g) and its appearance was identical to that of the synbiotic preparation. The products were refrigerated at a temperature lower than 10°C.

3. Outcome measurement

During study periods, all patients recorded the degree of symptom improvement using a 5-point Likert scale on the SGA (0: unchanged, 1: somewhat relieved, 2: moderately relieved, 3: considerably relieved, and 4: completely relieved).²⁵ They also provided VAS scores (0: very good to 10: very bad) for the severity of IBS symptoms including abdominal pain, gas, and bloating, as well as for psychological well-being.²⁶ Stool form and consistency were evaluated using the BSC.²⁴ The primary end point was overall responder rates of improvement in global IBS symptoms. Overall responders were patients who fulfilled improvements in overall symptoms assessed by SGA more than 2 of the 4 weeks. Weekly responders were patients who fulfilled improvements in SGA score of two or higher each week. The secondary outcome was the responder rates for abdominal symptom reduction (more than 30% decrease in the VAS score from the baseline) at week 4. Among patients with constipation-dominant IBS (IBS-C), those with improved abdominal pain and stool form or frequency (increase in the Bristol score or one or more bowel movements per week compared with baseline) were considered responders. Among patients with diarrheadominant IBS (IBS-D), those with improved abdominal pain and stool form or consistency (decrease in the Bristol score or number of bowel movements per week compared with baseline) were considered responders according to the U.S. Food and Drug Administration's guidance for IBS.

Adverse events were also recorded during the intervention period. Medication adherence was measured by pill counts and a compliance rate of more than 90% was set as the minimum.

4. Statistical analysis

The sample size was calculated to provide 80% power and to estimate at least 35% difference in symptom improvement between the two groups. It was estimated that at least 31 patients per group were required. Allowing for an 8% dropout rate, total of 68 patients (34 per group) were randomized. The random allocation sequence was conducted using a computer-generated, blocked randomization list, independent of the research group, and with a concealed block. All data were presented as median (interquartile range) or number (%). Analyses were performed with the Kolmogorov-Smirnov test to determine the normality of variable distribution; age was not distributed normally. Continuous variables were analyzed by the Student t-test or the Mann-Whitney U-test as appropriate. The Chi-square and the Fisher exact tests were used to compare the responder rates between the placebo and synbiotic groups. IBM SPSS Statistics for Windows, version 25.0 (IBM Corp., Armonk, NY, USA) was used for all statistical analyses.

RESULTS

1. Baseline characteristics

Sixty-eight elderly patients fulfilled the Rome IV criteria of IBS. One patient from the synbiotic group was excluded for poor compliance. Finally, 33 patients received the synbiotic and 34 received the placebo (Fig. 1).

The baseline characteristics are shown in Table 1. The

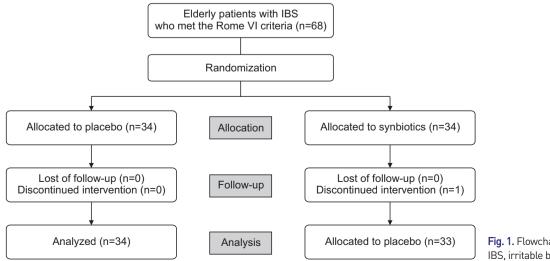


Fig. 1. Flowchart of participants. IBS, irritable bowel syndrome.

mean age was 64.0 years (range, 60 to 76 years), and 68.6% of the subjects were female. The synbiotic and placebo groups were comparable with respect to age, sex, body mass index, and abdominal symptoms.

2. Primary outcomes

Overall responder rates for overall IBS symptom improvement evaluated using the SGA were significantly higher in the synbiotic group than in the placebo group (51.5% vs 23.5%, p=0.017) (Fig. 2). Additionally, the synbiotic group had consistently higher responder rates than

Table 1. Baseline Characteristics of the Randomized Patients

Characteristics	Placebo (n=34)	Synbiotic (n=33)
Age, yr*	64.0 (61.0–66.0)	63.0 (62.0–67.0)
Female sex	25 (73.5)	21 (66.6)
BMI, kg/m ²	24.1 (22.7–25.7)	24.2 (22.8–26.5)
Never smoker	27 (79.4)	28 (84.8)
Never drinker	16 (48.4)	17 (51.5)
IBS subtype		
IBS-C	3 (8.8)	4 (12.1)
IBS-D	6 (17.7)	3 (9.1)
IBS-M	2 (6.9)	3 (9.1)
IBS-U	23 (67.6)	23 (69.7)
VAS		
Abdominal pain	3.0 (3.0–3.0)	3.0 (3.0-4.0)
Gas	4.0 (3.0-6.0)	4.0 (2.0-6.0)
Bloating	4.0 (3.0-5.8)	4.0 (2.0-6.0)
Psychological well-being	3.0 (1.0-3.0)	3.0 (2.0–5.0)
Stool frequency, /day	1.0 (1.0–2.0)	1.0 (1.0–2.0)
Stool form (BSC)	5.0 (3.0–5.8)	5.0 (3.0–6.0)

Data are presented as median (interquartile range) or number (%). BMI, body mass index; IBS, irritable bowel syndrome; IBS-C, constipation-dominant IBS; IBS-D, IBS with predominant diarrhea; IBS-M, IBS with mixed bowel habits; IBS-U, IBS unclassified; VAS, visual analog scale; BSC, Bristol stool chart.

*Age was not distributed normally.

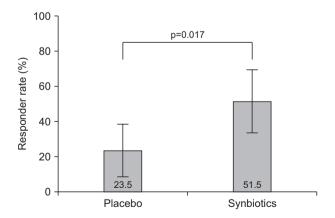


Fig. 2. Overall responder rates for overall irritable bowel syndrome symptom improvement assessed with the subject global assessment score. The overall responder rates were significantly higher in the synbiotic group than in the placebo group.

those in the placebo group. The weekly responder rates for the SGA are presented in Supplementary Table 1.

3. Secondary outcomes

The overall responder rates for the improvement of specific symptoms were assessed with the VAS. The proportions of subjects achieving VAS score reduction in abdominal pain and psychological well-being were significantly higher in the synbiotic group than in the placebo group. The responder rates for gas and bloating were slightly higher in the synbiotic group; however, the differences were not statistically significant (Table 2). After 4 weeks, the median VAS score for abdominal pain improved from 3.0 to 1.0 in the synbiotic group and from 3.0 to 2.0 in the placebo group (p=0.003). The VAS score for psychological well-being also significantly improved in the synbiotic group (from 3.0 to 1.0) compared to the placebo group (from 3.0 to 2.0) (p=0.005). No significant differences were observed between the groups for gas and bloating (Supplementary Fig. 1).

Sixteen patients were classified as having IBS-C or IBS-D. The rates of responders for whom both abdominal pain and stool form or consistency improved were significantly higher in the synbiotic group (85.7%) than

Table 2. Overall Res	ponder Rates for	Improvement of	of Symptoms

Variable	Placebo (n=34)	Synbiotics (n=33)	p-value
Abdominal pain	20 (58.8)	27 (81.8)	0.038
Gas	20 (58.8)	20 (60.6)	0.880
Bloating	19 (55.8)	19 (57.5)	0.880
Psychological well-being	9 (26.4)	20 (60.6)	0.004

Data are presented as number (%).

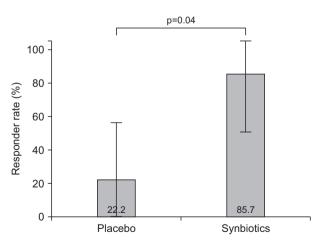


Fig. 3. Improvements in abdominal pain and defecation symptoms in patients with constipation- or diarrhea-dominant irritable bowel syndrome. The overall responder rates were significantly higher in the synbiotic group than in the placebo group.

in the placebo group (22.2%) (p=0.04) (Fig. 3). Among the patients with IBS-C, we noted a positive response in no patient in the placebo group and in all four patients in the synbiotic group (0% and 100%, respectively, p=0.029). Among the patients with IBS-D, two of six patients in the placebo group and two of three patients in the synbiotic group showed a response (33.3% and 66.6%, respectively, p=0.52).

4. Safety

No adverse events were reported for either group during the 4-week trial. The treatment was well-tolerated, and all of the study participants showed 100% drug compliance.

DISCUSSION

In this randomized, double-blind, placebo-controlled trial, consumption of a synbiotic combination, consisting of *L. paracasei* DKGF1 and prebiotics extracted from *O. humifusa*, was associated with overall relief of IBS symptoms in elderly patients. In particular, abdominal pain and psychological well-being noticeably improved. When stratified by the type of IBS, the synbiotic alleviated not only abdominal pain, but also defecation symptoms in patients with IBS-C or IBS-D. There were no adverse events in either group during the study period.

Several studies have examined the effect of synbiotics providing different results. A placebo-controlled trial of Lactobacillus, Bifidobacterium, and prebiotic short chain fructo-oligosaccharides with 68 patients with IBS showed reduced abdominal pain and bloating.²⁷ A multicenter controlled trial of L. paracasei and prebiotic xylo-oligosaccharides showed beneficial effects in terms of global IBS symptoms and reduced stool frequency in patients with IBS-D.¹⁶ In contrast, in a trial with 132 patients with IBS, Lactobacillus, Bifidobacterium, Streptococcus, and prebiotic fructo-oligosaccharides showed no beneficial effects over placebo during a 2-week treatment.²⁸ A systematic review also reported that synbiotics had no statistically significant effect on symptom reduction, although the involved trials were individually positive.²⁹ However, there is considerable heterogeneity across the studies, and these studies were limited by their study designs and sample sizes. Furthermore, most studies lacked background on the mechanisms of synbiotics. In this study, we used validated parameters and instruments. The 5-point Likert scale of the SGA is a useful tool to identify IBS responders during pharmacologic studies.²⁵ The VAS and BSC are required to interpret the results of IBS clinical trials as per Food and Drug Administration's IBS guidance. Additionally, our

previous animal model revealed the possible mechanisms of the synbiotic used in this study, including a reduction of serum corticosterone levels, low levels of tumor necrosis factor α in the colonic mucosa, and an increase in the expression of tight junction proteins.²¹ After using these validated parameters and based on our previously acquired *in vivo* data, the findings of the present study are consistent with those of previous studies, which reported the clinical benefits of synbiotics in patients with IBS.

The human microbiota changes in terms of microbial diversity and variation with age. The gut microbiota is established at birth, and its composition remains relatively stable throughout adulthood.³⁰ However, the core microbiota groups including *Lactobacilli* decrease³¹ and their stability is reduced in old age.³² In this population, synbiotics may yield greater clinical benefits than in other age groups. Unfortunately, most clinical trials have excluded elderly patients, and it is uncertain whether synbiotic use is safe in the elderly. To meet the unmet needs, the present study focused on elderly patients with IBS. The synbiotic in this study was superior to placebo with respect to global IBS symptom improvement and showed a good safety profile.

Lactobacillus is commonly used to treat IBS symptoms. In line with the present findings, the effectiveness of *L. pa-racasei* in improving IBS symptoms (global IBS symptoms, stool frequency, and stool consistency) has been reported by other clinical studies.^{15,16} The plausible mechanisms are that *L. paracasei* exhibits broad-spectrum antimicrobial activity and can inhibit pathogenic bacteria by producing both D- and L-lactate.^{14,33} *L. paracasei* also induces a significant decrease of the pro-inflammatory cytokine interleukin-15 and significant increase in short-chain fatty acids.³⁴ These mechanisms may provide effective symptomatic relief in patients with IBS. Moreover, additional prebiotics may provide synergistic benefits in combination with *L. paracasei*.

Carbohydrates from fruit, vegetables, and other edible plants may function as prebiotics.³⁵ These carbohydrates have five properties: (1) resistance to digestion in the upper parts of the gastrointestinal tract, (2) fermentation by intestinal microbiota, (3) beneficial effects on host health, (4) selective stimulation of the growth of probiotics, and (5) stability in different food and feed processing.³⁶ We found that *O. humifusa* extracts induced growth and survival of *L. paracasei* DKGF1 in an *in vivo* study. According to the animal model, the amount of fecal *L. paracasei* was increased in the treatment group, indicating that a sufficient number of live bacteria reached the intestine.²¹ The marker compound was 3-O- β -D-(6-O- α -L-rhamnosyl) glucoside. Flavonoids, metabolites of isorhamnetin 3-O- β -D-(6-O- α -Lrhamnosyl) glucoside, were reported to have anti-oxidative effects via both direct scavenging activity and the modulation of inflammatory cytokines.³⁷ Additionally, through electrostatic interactions, the dietary fibers, mucilage, and pectin have the potential to protect probiotic bacteria. This enhances the survival of *Lactobacillus* species exposed to low pH, bile acids, and digestive enzymes.^{38,39} These substances may synthetically play a role as prebiotics.

The present study has several strengths. This study targeted elderly patients and the sample size was sufficient for analysis. We provided evidence of the efficacy of a new synbiotic combination for the treatment of IBS in elderly patients. This trial also has some limitations. We did not directly measure fecal microbial levels for the restoration of the normal flora following synbiotic supplementation. As we only included patients elderly than 60 years, it is unclear whether this synbiotic has similar effects in other age groups. Additionally, the distribution of subtypes of IBS was different from generally known. Although the reason for the higher prevalence of IBS-U is unclear, there are two plausible explanations. First, the prevalence of subtypes of IBS may be different in elderly IBS patients. Qumseya et al.⁴⁰ conducted a population-based, cross-sectional study to determine the prevalence of IBS (Rome III) and its subtypes in middle-aged and elderly Palestinians. Among 1,352 participants, the overall prevalence of IBS was 30% and mixed IBS was the most common subtypes (55%). This study suggested the ratio of subtypes could be different depending on the age group. IBS subtypes may overlap considerably and vary over time in elderly patients. Moreover, not only IBS subtypes overlap but other functional gastrointestinal disorders may overlap in these patients' group.⁴¹ The overlapping symptoms make it difficult to divide elderly patients into four subtypes. Second, patientreported outcome is a validated assessment strategy for IBS symptoms. The challenge persists, however, because the researcher does not know which symptoms patients use as the basis for their judgement, and the symptoms might be confusing, especially in elderly patients.⁴² Since patientreported outcomes may be subjective, it would be more accurate if researchers involve while patients filled out the questionnaires. Further studies involving various age groups and including microbial analysis are required.

In conclusion, this randomized controlled trial indicated that the synbiotic containing *L. paracasei* DKGF1 and *O. humifusa* extracts is effective and safe for the treatment of global IBS symptoms in elderly patients with IBS. This finding supports the use of synbiotics as a treatment option for elderly patients with IBS.

CONFLICTS OF INTEREST

No potential conflict of interest relevant to this article was reported.

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AUTHOR CONTRIBUTIONS

Study concept and design: Y.W.M. Data acquisition: Y.S.J. Data analysis and interpretation: J.H.O. Drafting of the manuscript: J.H.O. Critical revision of the manuscript for important intellectual content: Y.W.M., D.K.C. Statistical analysis: J.H.O., D.K. Obtained funding: Y.W.M. Administrative, technical, or material support; study supervision: H.S.K., E.J.K., S.Y.P., C.H.K. Approval of final manuscript: all authors.

ORCID

Joo Hyun Oh https://orcid.org/0000-0002-9140-9498 Yeon Sil Jang https://orcid.org/0000-0003-1737-5426 Danbee Kang https://orcid.org/0000-0003-0244-7714 Hong Seog Kim https://orcid.org/0000-0002-6546-3976 Eui-Joong Kim https://orcid.org/0000-0001-5814-5112 So-Young Park https://orcid.org/0000-0002-1065-6746 Cheol-Hyun Kim https://orcid.org/0000-0002-1905-9948 Yang Won Min https://orcid.org/0000-0001-7471-1305 Dong Kyung Chang https://orcid.org/0000-0001-8925-4629

SUPPLEMENTARY MATERIALS

Supplementary materials can be accessed at https://doi. org/10.5009/gnl210478.

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