



Since January 2020 Elsevier has created a COVID-19 resource centre with free information in English and Mandarin on the novel coronavirus COVID-19. The COVID-19 resource centre is hosted on Elsevier Connect, the company's public news and information website.

Elsevier hereby grants permission to make all its COVID-19-related research that is available on the COVID-19 resource centre - including this research content - immediately available in PubMed Central and other publicly funded repositories, such as the WHO COVID database with rights for unrestricted research re-use and analyses in any form or by any means with acknowledgement of the original source. These permissions are granted for free by Elsevier for as long as the COVID-19 resource centre remains active.



# Increased airborne transmission of COVID-19 with new variants, implications for health policies

Bertrand R. Rowe<sup>a,\*</sup>, André Canosa<sup>b</sup>, Amina Meslem<sup>c</sup>, Frantz Rowe<sup>d,e</sup>

<sup>a</sup> Rowe Consulting, 22 chemin des moines, 22750 Saint Jacut de la Mer, France

<sup>b</sup> CNRS, IPR (Institut de Physique de Rennes)-UMR 6251, Université de Rennes, 35000 Rennes, France

<sup>c</sup> Université de Rennes, LGCGM, 3 Rue du Clos Courtel, BP 90422, 35704, Rennes, CEDEX 7, France

<sup>d</sup> Nantes Université, LEMNA, Nantes, France

<sup>e</sup> SKEMA Business School, KTO, Sophia-Antipolis, France

## ARTICLE INFO

### Keywords:

Indoor ventilation

COVID-19

Airborne transmission

Infectious risk assessment

Health policies

Adequacy and respect of standards

## ABSTRACT

New COVID-19 variants, either of higher viral load such as delta or higher contagiousness like omicron, can lead to higher airborne transmission than historical strains. This paper highlights their implications for health policies, based on a clear analytical understanding and modeling of the airborne contamination paths, of the dose following exposure, and the importance of the counting unit for pathogens, itself linked to the dose-response law. Using the counting unit of Wells, i.e. the quantum of contagium, we develop the conservation equation of quanta which allows deriving the value of the quantum concentration at steady state for a well-mixed room. The link with the monitoring concentration of carbon dioxide is made and used for a risk analysis of a variety of situations for which we collected CO<sub>2</sub> time-series observations. The main conclusions of these observations are that 1) the present norms of ventilation, are both insufficient and not respected, especially in a variety of public premises, leading to high risk of contamination and that 2) air can often be considered well-mixed. Finally, we insist that public health policy in the field of airborne transmission should be based on a multi parameter analysis such as the time of exposure, the quantum production rate, mask wearing and the infector proportion in the population in order to evaluate the risk, considering the whole complexity of dose evaluation. Recognizing airborne transmission requires thinking in terms of time of exposure rather than in terms of proximal distance.

## 1. Introduction

Since its emergence at the end of 2019 a variety of public and health measures and recommendations have been decided in several countries to contain COVID-19 spreading. Recommendations pertain more to personal hygiene as, for example, washing hands, coughing in his elbow, and keeping a social distancing with other individuals. However, collective measures have been often more coercive. They include, amongst others, lockdown, closing of specific activities such as restaurant services, quarantine, sanitary pass and last but not least human surveillance data tracking. These mitigation measures have often had profound side effects, sometimes deleterious, on the economy and population mental health [1].

Developing a rational basis for prevention is necessary to avoid irrational measures such as forbidding outdoor activity in under-crowded

area or organizing a kind of carousel circulation in commercial centers. This requires identification of causal mechanisms, i.e. risk factors, explaining the spread of the disease. A rational public health policy requires careful evaluation of the pharmaceutical and non-pharmaceutical interventions. This should be the key role of epidemiology [2].

As described in a large number of publications, there are three routes of transmission of respiratory diseases. The first can be considered as a person-to-person transmission, occurring via direct close contact, when microdroplets of physiological fluids emitted by an infected person are projected directly on the mucosa (lips, nose, eyes) of another person in a kind of ballistic way. The second one is linked to self-touch of the face mucosa by hands contaminated by surfaces (fomites) or projections. The third route, known as “aerosol” or “airborne”, is due to the creation of a persistent aerosol of microdroplets in a range of size which prevents

\* Corresponding author.

E-mail addresses: [bertrand.rowe@gmail.com](mailto:bertrand.rowe@gmail.com) (B.R. Rowe), [andre.canosa@univ-rennes1.fr](mailto:andre.canosa@univ-rennes1.fr) (A. Canosa), [amina.meslem@univ-rennes1.fr](mailto:amina.meslem@univ-rennes1.fr) (A. Meslem), [frantz.rowe@univ-nantes.fr](mailto:frantz.rowe@univ-nantes.fr) (F. Rowe).

<https://doi.org/10.1016/j.buildenv.2022.109132>

Received 28 February 2022; Received in revised form 19 April 2022; Accepted 20 April 2022

Available online 12 May 2022

0360-1323/© 2022 Elsevier Ltd. All rights reserved.

their rapid sedimentation on the floor. This aerosol emitted by an infected person can be re-breathed leading to further contamination. Mainly due to historical reasons [3] it was outright denied by most of health authorities including WHO, or governmental agencies such as the CDC in the US (Center of Disease Control) or the HAS (Haute Autorité de Santé) in France. Then mitigation measures were decided considering the first two ways of transmission: social distancing, washing hands etc. Unfortunately for public health, the consideration of airborne transmission should have led to a variety of other decisions, especially in the field of indoor air quality (hereafter IAQ).

Ironically, knowledge was available for suspecting the importance of airborne transmission in the COVID-19 pandemic. As soon as the first half of the last century, Wells and his co-workers have led numerous experiments and developed concepts still largely in use nowadays in the field of respiratory diseases. Wells has exposed his visionary ideas and summarized his work in a book of 1955 that any epidemiologist should have read [4]. With his coworker Riley he developed the famous Wells-Riley model [5] which has been the basis of a lot of avatars and developments, especially in the last two decades [6,7].

The non-consideration of airborne transmission has led L. Morawska, a leading scientist in the field, to raise an alarm on its importance [8], followed by a call co-signed by more than two hundred researchers in the mainstream press [9]. Nowadays the very importance of airborne transmission of the COVID-19 disease is largely recognized and the reader is referred to the review in Science (and references therein) of Wang et al. [10], leading to the conclusion that airborne transmission is the major spreading route. Complementary details can be found in Refs. [11–16].

Viruses mutate constantly, leading to new variants, eventually more infectious than the previous strains, modifying the epidemiology of the disease. Variant classification is beyond the scope of the present paper and rather complicated since there is not a single nomenclature. Their scientific name refers to their lineage (a lineage is a group of closely related viruses with a common ancestor) and to mutations resulting from changes in the genetic code leading eventually to new variants [17]. An expert group of WHO has recommended using letters of Greek alphabet to name variants in non-specialized audience [18]. Recently it was shown that the  $\delta$  variant (B.1.617.2), which appeared first in India in October 2020, leads to a much higher viral load (hereafter VL) in respiratory fluids than initial strains, referred hereafter as IS [19–21]. According to recent observations, the new omicron variant, spreading very fast in a number of countries, has a smaller VL than the  $\delta$  one but is nevertheless more contagious for microbiological reasons.

We rationalize below why new variants lead to a much higher airborne transmission, essentially for the case of homogeneous transmission in indoor environment, following the Wells-Riley approach. The relative risk for different variants (following VL and microbiological characteristics), and various situations, is calculated. We have also performed measurements of CO<sub>2</sub> concentrations in a variety of environments, demonstrating that in the real-life ventilation is seriously insufficient and that the homogeneous hypothesis is most often verified. We finish by emphasizing the implications for health policy of the increased airborne transmission, which is certainly the main transmission way for new variants. Following other authors [22] we insist on the importance of the **time of exposure** although unfortunately most of the public policy is based on the **distance of exposure**, probably due to the initial denial of airborne transmission.

## 2. Basic notions and models in airborne transmission

### 2.1. Infectious particles and VL

Particles emitted by a human refer either to spherical microdroplets or to more or less hydrated “dry nuclei”, resulting from water evaporation of the respiratory fluids, which, beside water, contains minor components like mucus, proteins and viruses [23]. VL is a key parameter

of particle infective power and depends on the mean number of viruses per unit volume of respiratory fluids, which lead to a mean number per particle. This latter is statistical, i.e. it implies a large distribution of particles with various viral contents. A mean VL per particle lower than unity implies that some microparticles will contain a virus and others will not. Moreover, evaporation of exhaled microdroplets can result in particles of lower size without virus loss. Since the smallest particles are very abundant, they can be very efficient in airborne transmission.

These particles can be characterized by their size and composition, including VL which depends on the viral strain. Their size depends mainly on their origin from the respiratory tract and of their evolution in the ambient air, including evaporation. The largest droplets, behaving in a ballistic way, are most often emitted by talking, sneezing, or coughing. The smallest ones come from various parts of the respiratory tract, including the lungs. They have a large distribution of sizes, and many are below 10  $\mu\text{m}$ , especially after evaporation of some of the largest ones. In a kind of reversible way, the smallest ones (<5  $\mu\text{m}$ ) can penetrate deep in the lung when re-breathed and are known as respirable aerosols [10, 24].

One of the most sophisticated apparatuses used for the size characterization of these aerosols is the specific wind tunnel developed by L. Morawska and her coworkers at the Queensland University of Technology, at Brisbane, in Australia. It uses a variety of sizing techniques [25,26]. They found four main modes in the distribution of particle size, centered around 0.8, 1.8, 3.5, and 5.5  $\mu\text{m}$  respectively.

### 2.2. Concepts of dose and quantum

As discussed in Rowe et al. [6] and others [27,28] the notions of level and dose of exposure are easily defined for chemical or physical hazards (such as toxic gases or asbestos): the level of exposure is then the concentration of toxic and the dose the quantity inhaled, ingested etc. These definitions are much more difficult for biological pathogen agents that are not easy to measure and have the possibility to replicate in the target host [28,29]. Concerning aerosols and as stated by Haas et al. [28] “precise information on the concentration of pathogens in aerosols has a lot of uncertainty associated with it”. Moreover, and for any kind of disease (i.e. respiratory, digestive etc.), the effect of the dose could depend on the way of transmission: inoculation, ingestion, airborne etc. Having defined a dose, the work of epidemiology is to assess quantitatively the risk for a given dose: by nature, such an assessment is statistical; it results most often in a law linking the probability of infection to the dose.

For airborne transmission of respiratory diseases, the definition of a dose is far from being straightforward since measuring pathogen concentrations in the air is extremely difficult [28]. Therefore, Wells [4] **defined the quantum of contagium** as a hypothetical quantity that has been inhaled per susceptible individuals (men or animals) when 63.2% (correspondingly to a Poisson dose-response law, see sub-section 2.4) of these individuals display symptoms of infection. Quantum is used throughout the present paper and contrary to what has been sometimes claimed [30], it has no dimension **but is a counting unit** (like moles compared to molecules). It considers a variety of mechanisms: inhalation of airborne particles, pathogen inhibition by host defenses (see supplementary materials1, hereafter SM1-7) or other losses, before any replication will start in an infected cell. Therefore, it corresponds statistically to a number of pathogens higher than one.

However, these statistical concepts do not mean that very few pathogens are never enough to start infection, as assumed sometimes. Indeed, the so-called “single hit” models make statistical risk assessment considering a very small probability, although non-zero, of infection by a single pathogen [28,31–34]. Further, and as stated by Haas et al. [28], the term of Minimum Infective Dose is very misleading since “Minimum” suggests some threshold effect for the infection. They emphasize that it corresponds in fact to the average dose administered and most frequently relates to the value required to cause half of the subjects to

experience a response; they suggest that “median infectious dose” should be more appropriate, and they show that it is not possible to infer the probability of infection by a single pathogen from the magnitude of the median infectious dose.

### 2.3. Link between the quantum production rate and infectious aerosols

Evaluation of quantum concentration in air requires knowing the production rate of quanta by an infector, defined per unit time (unit:  $\text{h}^{-1}$  for example). It can be deduced from epidemiological observations [35] but also linked to the distributions of microdroplets emitted by humans, together with the knowledge of VL in respiratory fluids and of the efficiency of the viral strain.

Following Buonanno et al. [36] the production rate of quanta  $q$  can be written as:

$$q = VL \times c \times p \times \int_0^{10\mu\text{m}} N_d(D) \times dV_d(D) \quad (1)$$

where  $VL$  refers to unit volume viral load of respiratory fluid,  $c$  is a proportionality factor between the exhaled viral content (copies/unit time) and quanta,  $p$  is the pulmonary exhaled volume rate (volume/unit time),  $N_d(D)$  the size distribution of droplet concentration (diameter  $D$ ) of volume  $V_d$ . The factor  $c$  depends on the microbiological characteristics of the variant and can explain a higher value of  $q$  (and hence a higher contagiousness) even with a lower  $VL$ .

Equation (1) implies that **the production rate of quanta can be considered as proportional to VL in the respiratory fluids and to a factor (c) which depends on the virus microbiological characteristics**. Equation (1) assumes a single mean value of  $VL$ . This is a reasonable assumption since the quantum production rate is a statistical mean quantity that does not consider the diversity of particle emission processes, although  $VL$  depends probably on the particle origin from the respiratory tract. Note also that the integral in (1) is just the volume fraction of emitted microdroplets.

### 2.4. Dose calculation and infection probability

In absence of masks the dose of inhaled quanta can be expressed as the integral over time of exposure of the product of quantum concentration  $n_q$  (quanta per unit volume) by the pulmonary volume inhalation rate  $p$  (volume per unit time):

$$X = \int_0^t n_q \times p \times dt \quad (2)$$

Note that this definition does not require a homogeneous distribution of quanta in space. Only  $n_q(\vec{r}, t)$  at mouth and nostrils location has to be considered. Also due to the extremely low concentration of quanta in air,  $n_q(\vec{r}, t)$  is not really continuous but can be treated as such due to the statistical aspect of the problem (as discussed previously for the VL of microdroplets).

This dose  $X$  has no dimension but is dependent of the choice of the counting unit with its dose-response (probability) function, which, for quanta, is the Poisson law [5]:

$$P = 1 - \exp(-X) \quad (3)$$

For  $X \ll 1$ , this probability of transmission is then just  $X$ .

There are several other dose-response functions and dose definitions that can be used [27,28,37]. In any cases, the probability of infection must be a monotonically increasing function of the dose, starting from zero at dose zero and increasing toward an asymptote  $P = 1$  at large dose.

### 2.5. Models of transmission

Whatever the chosen counting unit for the pathogens (viruses,

quanta, particles), dose evaluation requires to determine spatio-temporal evolution of their concentrations. For quanta it is possible to distinguish between homogeneous models for which:

$$\frac{\partial n_q(\vec{r}, t)}{\partial \vec{r}} = 0 \quad (4)$$

and inhomogeneous ones which consider the possible gradients of  $n_q$  in space:

$$\frac{\partial n_q(\vec{r}, t)}{\partial \vec{r}} \neq 0 \quad (5)$$

In both cases the determination of  $n_q$  evolution uses conservation equations, described in SM2, together with the well-mixed room hypothesis employed in homogeneous models.

The temporal evolution of quantum concentration in the homogeneous case reads (see SM2):

$$n_q(t) = n_q^\infty \times \left[ 1 - \exp\left(-\frac{t}{\tau_1}\right) \right] \quad (6)$$

with:

$$\tau_1 = \frac{V}{q_2 + \frac{V}{\tau_i}} \quad (7)$$

$V$  being the room volume,  $q_2$  the room ventilation rate and  $\tau_i$  the virus lifetime.

The concentration of quanta for a number of  $I$  infectors, at stationary state i.e. for  $t \sim$  a few  $\tau_1$  is:

$$n_q^\infty = \frac{I \times q}{\left(q_2 + \frac{V}{\tau_i}\right)} \quad (8)$$

which, if the virus lifetime is long enough, reduces to:

$$n_q^\infty = \frac{I \times q}{q_2} \quad (9)$$

Note that if there is some air treatment (filtration or sterilization or both) for the volume  $V$ , it can be considered as an increase in the flow rate of fresh air and therefore results in an increase of  $q_2$  value. Indeed, it is also possible to introduce the virus lifetime as an increase in the ventilation flow rate through equations (7) and (8). The virus lifetime  $\tau_i$  depends on a variety of phenomena including UV irradiation.

In a situation where the stationary state has already been reached in a homogeneous volume at the beginning of exposure then, following equations (9) and (2), the inhaled dose is:

$$X = \frac{I \times q \times p \times t}{q_2} \quad (10)$$

which yields for the probability of transmission:

$$P = 1 - \exp\left(-\frac{I \times q \times p \times t}{q_2}\right) \quad (11)$$

Together with the quantum definition, these equations are the basis of the Wells-Riley model [5].

Note that conservation equation (see SM2) allows to consider any unsteady cases, including the case of very poorly ventilated rooms which is equivalent to  $q_2 \ll V/t$ ,  $t$  being the time of exposure. Then, assuming a zero quantum concentration at  $t = 0$  (case of a tutorial room at the beginning of a lecture after a weekend for example) the dose of exposure now reads:

$$X = \frac{p \times I \times q \times t^2}{2 \times V} \quad (12)$$

which is valid at  $t \ll \frac{V}{q_2}$  and can be used with the Poisson probability law.

In many circumstances homogeneous models are completely rele-

vant to indoor situations, as shown by measurement of CO<sub>2</sub> used as an indicator, or by considering turbulent indoor flow with typical velocities around 0.1–0.2 m/s induced by natural or mechanical ventilation or by air movement due to plumes from occupants or any hot surface. However, there are undoubtedly conditions where substantial gradients of pathogens (quantum) prevail leading to a risk which is dependent on the indoor position of infectors and susceptible persons. Two situations can be depicted for inhomogeneous transmission: the case of indoor viral transport on rather large distances, i.e., which are close to the space typical length [22] and the event of close contact between an infector and a susceptible person [37]. The concepts described above for homogeneous models are still valid but now the determination of  $n_q(\vec{r}, t)$  requires solving transport equations as described in SM2. Note that it is now largely admitted that the transmission of COVID-19 disease by close contact is most often an airborne one, referred in the literature as “short-range airborne transmission”. In their paper, Cortellessa et al. [37] have also considered large microdroplets which are assumed larger than 100  $\mu\text{m}$  in diameter in their model. Beyond this size the authors supposed that microdroplets do not suffer evaporation and have ballistic trajectories whereas below 100  $\mu\text{m}$ , they evaporate and consequently reduce in size in such a way that they can be airborne. From Cortellessa et al. analysis, the large microdroplets ( $>100 \mu\text{m}$ ) prevail only at very short distance ( $<60 \text{ cm}$ ), with a contribution to the dose being completely negligible further.

This demonstrates the airborne character of most airborne contamination in close contact, excepted intimate. Other implications of this work are found in SM3.

### 3. Relative risk assessment following variant VL and contagiousness

#### 3.1. General formulation

As developed previously, airborne models of infection usually introduce a dose of exposure  $X$  to an infective agent, which is assumed proportional to VL in the respiratory fluids. Then the probability of infection follows a dose-response function.

**All other parameters being equal** (time of exposure, flow rate of fresh air etc.), it is then possible to assess a relative risk between two variants (in a way similar to Rowe et al. [6] for the relative outdoor versus indoor risk). For sake of simplicity, we concentrate the following discussion on the initial strain and the  $\delta$  variant with different VL,  $VL_{IS}$  and  $VL_{\delta}$  respectively.

Let  $R$  be the ratio of the doses of exposure between  $IS$  and  $\delta$  in case of identical situations, from section 2 (Eqs. (1) and (2)),  $R$  can be reduced to the ratio of VLs and of the proportionality factors:

$$R = \frac{X_{\delta}}{X_{IS}} = \frac{VL_{\delta}}{VL_{IS}} \times \frac{c_{\delta}}{c_{IS}} \quad (13)$$

It is then easy to demonstrate that relative probabilities of being infected between respectively  $\delta$  and  $IS$  variants follow the next equation:

$$P_{\delta} = 1 - (1 - P_{IS})^R \quad (14)$$

which for  $P_{IS} \ll 1$  reduces to  $P_{\delta} = R \times P_{IS}$ .

It results that, from the recognized fact that  $VL_{\delta} \gg VL_{IS}$ , the airborne contamination by the  $\delta$  variant is much more efficient than with initial strains for comparable situations, as shown in Fig. 1 for  $R = 10$  and  $100$  respectively. Note that the same conclusion could apply with the omicron variant (the subscript  $\delta$  should be replaced by  $\omicron$ ) but then the  $c_{\omicron}$  factor would also explain the higher contagiousness.

#### 3.2. The case of public access area

We will examine first the case of an indoor space ventilated following the norm and at stationary state. Then, the dose of exposure is given by

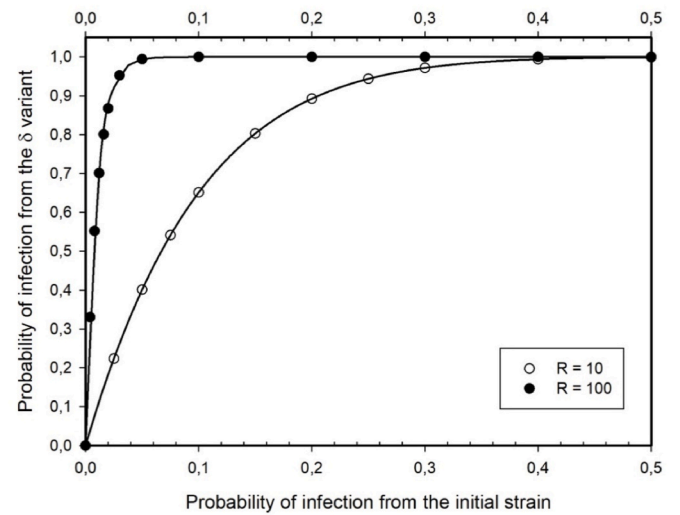


Fig. 1.  $\delta$  probability of airborne infection versus initial strain for a ratio of VL in respiratory fluids of 10 and 100 (all other parameters being equal).

equation (10), and, in the Wells-Riley model, the probability of infection follows the Poisson law (11). If the ventilation of the public space  $q_2$  conforms to the norm per person  $q_{norm}$ :

$$q_2 = q_{norm} \times N_p \quad (15)$$

with  $N_p$  being the number of persons within the area. This assumption is of course questionable either if this norm is not followed or if the value of  $q_2$  is fixed constant, independently of  $N_p$  as it is often the case.

Assuming an infector proportion  $r$ , we can express the number of infectors as:

$$I = r \times N_p \quad (16)$$

Strictly speaking it is the prevalence of infectors, including asymptomatic, that should be used for  $r$ . It is anyway probable that the number of infectors is proportional to  $N_p$ . As discussed in SM4 it is extremely difficult to have the exact value of  $r$  from the values of positivity rate or incidence rate reported by health agencies. Below we use a “reasonable” value for  $r$  consistent with the pandemic situation in Brittany in November and December 2021 during our series of measurements detailed in the next section 4.

the dose of exposure results:

$$X = \frac{r \times p}{q_{norm}} \times q \times t \quad (17)$$

which clearly shows the multifactorial character of the risk. In the case where the ventilation conforms to the norm and for a given value of  $r$ , the difference between a school, a restaurant and a commercial center comes essentially from the time of exposure  $t$ . Note that this time is a total time which does not need to be continuous but can be a summation of hourly and daily exposition in the various spaces that the individual went through, due to the fact that the risk is essentially probabilistic. **Clearly the difference in quantum production rate between  $\delta$  variant and previous strain, plays an enormous role in the dose, and hence in the probability of infection.** However, it is clear from equations (11) and (17) that the known parameters on which it is possible to play are the time of exposure  $t$ , the ventilation rate  $q_2$  itself, depending on the norm of ventilation  $q_{norm}$  and on the number of persons in the volume, if the total ventilation conforms to the norm.

Note that when  $N_p$  is not very high, Eq. (16) may lead to a number of infectors  $I$ ,  $I < 1$ , which could seem unrealistic. Instead of the use of Eq. (17) for the dose used with the Poisson probability (hereafter  $P_{WR}$  – Eq. (11)) the following value of the probability should be used:



$$P = \sum_{n=1}^{N_p} P_n(r) \times P_{WR}(n) \quad (18)$$

where  $P_n(r)$  is the probability to have  $n$  infectors and  $P_{WR}(n)$  the probability of being infected with  $n$  infectors.

Then, it can be shown, (see SM4) that equation (3) with (17) lead to a very similar result than the more exact calculation (18), assuming that the ventilation rate follows equation (15).

In Fig. 2, the curves of equal probability of infection versus the time of exposure and the ventilation volumetric flow rate (starting at  $5 \text{ m}^3/\text{h}/\text{person}$ ) are shown, for a quantum production rate of  $40 \text{ h}^{-1}$ , and an infector proportion  $r = 0.01$ . It is important to stress that quantum production rates found in the literature are very dispersed. For instance, in table 3 from Mikszewski et al. [38], a series of real cases are gathered with quantum production rates ranging from 15 to 4213 quanta per hour depending on the situation. Our choice aims at being somewhat representative although as commented in section 4, the influence of changing the present chosen parameters (within a reasonable range) on the probability calculation can be easily analyzed. Of course, in the real life, if the ventilation rate is fixed at the maximum space occupancy and not by equation (15) it would result in a smaller probability of infection in a non-fully occupied room. Note that this figure results from the assumption that the ventilation rate is proportional to the number of people in the well-mixed space.

In the case of very poor ventilation, we can use Eqs. (3) and (12) in order to estimate the risk in a public space as a function of the number of persons in the volume  $V$  and of the time of exposure, assuming that at time  $t = 0$  the concentration of quantum is zero. This could be for example the case of a poorly ventilated tutorial room (i.e.  $q_2 \ll \frac{V}{t}$ ) where the lecture (and hence the student presence) starts at  $t = 0$ ;  $t$  being the time of exposure. Fig. 3 displays the curves of equal probability of infection versus the time of exposure and the number of persons for an infector proportion of 0.01 and a volume of  $150 \text{ m}^3$ .

Note that the wearing of masks will of course alter these figures by reducing the quantum production rate as well as the quantum inhaled quantity (see SM5).

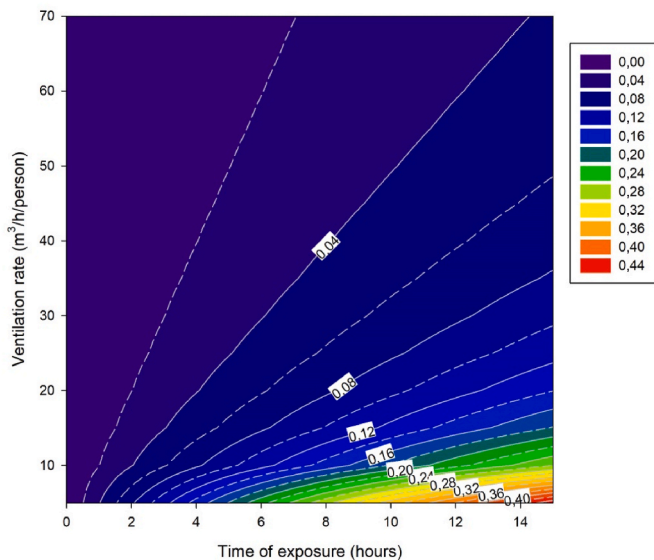


Fig. 2. Probability of infection contours as a function of time of exposure and ventilation rate per person, assuming a quantum rate of  $40 \text{ h}^{-1}$ , an expiratory rate of  $0.50 \text{ m}^3/\text{h}$  and an infector proportion of 0.01.

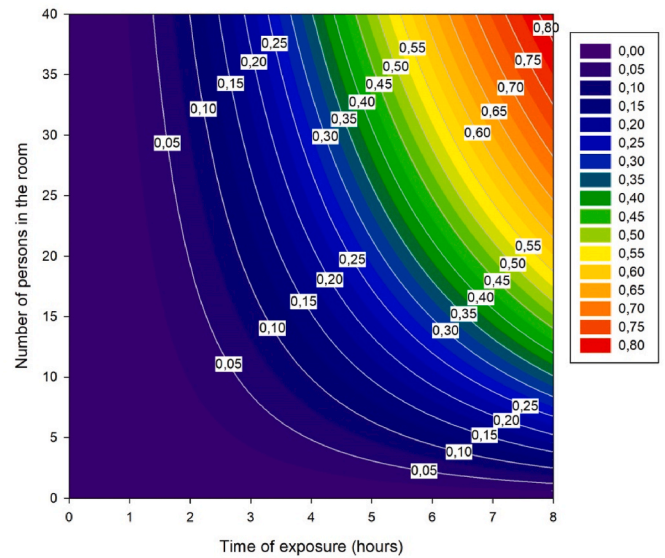


Fig. 3. Probability of infection when the ventilation is poor (see 2.5). Calculations are made using an expiratory rate of  $0.50 \text{ m}^3/\text{h}$ ; a quantum rate of  $40 \text{ h}^{-1}$ ; an infector proportion of 0.01 and a room volume of  $150 \text{ m}^3$  typical of a lecture room.

## 4. Analysis of some specific cases

### 4.1. Observations

As discussed earlier, aerosols are the main contamination routes of COVID-19 and exposure becomes critical indoors. It is now widely admitted that ventilation is, beside the mask, the most effective way for reducing indoor airborne transmission [8,13,39,40] in particular for highly insulated and airtight buildings, where the building envelop infiltration is reduced to a minimum to respect thermic regulation. The measure of indoor  $\text{CO}_2$  concentration is considered in standards as an indirect measure of IAQ [7] or as a proxy of ventilation rate. One should distinguish the indoor  $\text{CO}_2$  limit values (1000–1300 ppm) issued from building ventilation regulations [41,42] from maxima recommended in the current sanitary context: 800 ppm wearing a mask and 600 ppm without a mask [43,44]. In fact, as recalled by Li [40], outside of healthcare settings, existing ventilation standards do not account for infection control. When  $\text{CO}_2$  concentration exceeds threshold values, the ventilation flow rates are usually insufficient and aerosol route contamination risk is high as illustrated by Figs. 2 and 3.

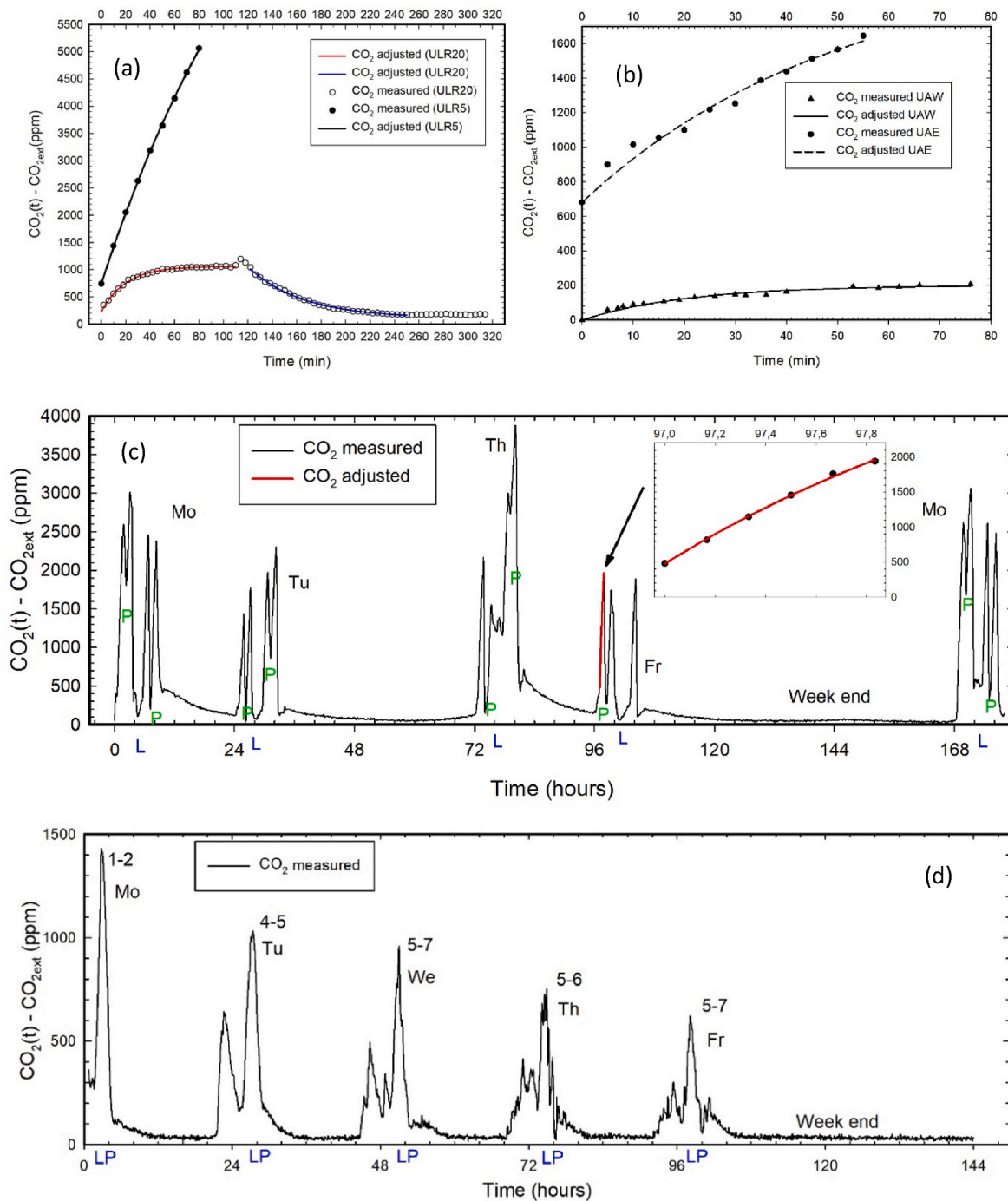
In this context, we carried out, in autumn 2021, a series of  $\text{CO}_2$  concentration measurements and observations in various environments. Measurements consisted in determining the  $\text{CO}_2$  time evolution within each room using non-dispersive infrared (NDIR)  $\text{CO}_2$  sensors (Aranet 4 or ZG-106 Protronix  $\text{CO}_2$  monitor). Their accuracy was  $\pm 3\%$  and  $\pm 5\%$  of reading for the Aranet 4 and the ZG-106 Protronix respectively. The sensors are factory-calibrated and allow raw data logging with time stamps. Sensors were positioned between 1 and 2 m height (corresponding to the occupants head position), at least 2 m far from every person and distant from windows or doors.

Further, when possible the mechanical ventilation was directly measured by using a balometer from ACIN (Flowfinder mk2). The accuracy in flow rate measurements was  $\pm 3\%$  of the reading. Three categories of spaces were investigated including two university lecture rooms (ULR5 and ULR20) and one pupil schoolroom; two university amphitheatres (UAW and UAE) and finally a restaurant. For each room, the main characteristics are given in Table 1. This includes, among others, the maximum allowed people from which the regulatory ventilation is determined according to French regulation [42] which specifies the flow rate per person (PFR hereafter) as being  $18 \text{ m}^3/\text{h}/\text{person}$  for

**Table 1**

Ventilation measurements for various environments with their own main characteristics.

Room	ULR5	ULR20	Schoolroom	UAW	UAE	Restaurant
Volume (m <sup>3</sup> )	136	402	173	900	1035	–
People/max	28/30	67/68	30/30	40/142	95/163	var./120
measurement duration/time step (min)	80/10	90/5	7days/10	56/var.	55/1	5days/5
Ventilation system <sup>a</sup>	U	B-dyn	H	B	B	B or B-dyn
Regulatory volumetric flow rate (m <sup>3</sup> /h)	540	1224	450	2556	2934	2640
Volumetric flow rate from CO <sub>2</sub> (m <sup>3</sup> /h)	53	1124/450	50–100	2576	1219	–
Measured volumetric flow rate (m <sup>3</sup> /h)	–	Max/Min = 1187/200	–	–	1009	~500

<sup>a</sup> B: bidirectional ventilation; B-dyn: bidirectional dynamic ventilation; U: unidirectional ventilation; H: hybrid ventilation.**Fig. 4.** CO<sub>2</sub> time evolution within examples of indoor spaces – complementary information are given in Table 1: (a) two lecture rooms (ULR5 and ULR20); (b) two lecture halls (UAE and UAW); (c) schoolroom over one week, L: Lunch, P: Playtime; (d) restaurant over a week (numbers close to the CO<sub>2</sub> peaks represent the strength of the wind in Beaufort scale, LP: Lunch Peak).

lecture rooms and amphitheatres; 15 m<sup>3</sup>/h/person for the schoolroom and 22 m<sup>3</sup>/h/person for the restaurant. A time step of 10 min was sometimes fixed in accordance with the French IAQ decree n° 2012-14 [45] for five-days monitoring to determine the ICONE index (see SM6).

The CO<sub>2</sub> time evolution followed the standard law:

$$[CO_2] - [CO_2]_0 = ([CO_2]_\infty - [CO_2]_0) \left\{ 1 - \exp\left(-\frac{Q}{V}t\right) \right\} \quad (19)$$

where [CO<sub>2</sub>]<sub>0</sub> is the CO<sub>2</sub> concentration, expressed in ppm, at the beginning of the analytical fit ( $t = 0$ ), [CO<sub>2</sub>]<sub>∞</sub> is the stationary CO<sub>2</sub> concentration ( $t = \infty$ ),  $Q$  the ventilation flow rate (m<sup>3</sup>/h),  $V$  the room volume and  $t$  the time at which the measurement was carried out. From this equation, it is straightforward to determine the ventilation flow rate  $Q$  from an exponential fit of the measurement when the volume  $V$  is known, at least when [CO<sub>2</sub>]<sub>∞</sub> is not ill-defined, a situation that occurs when the number of people constantly changes with time like in the restaurant (see Table 1).

The CO<sub>2</sub> time evolutions are illustrated in Fig. 4(a-d) where the reference of the CO<sub>2</sub> concentration has been taken as an outdoor [CO<sub>2</sub>]<sub>ext</sub> usual value of 400 ppm instead of considering [CO<sub>2</sub>]<sub>0</sub> as the reference. This makes it easier for the readers to return to the absolute value since the initial [CO<sub>2</sub>]<sub>0</sub> is never the same from one test to another.

Fig. 4-a compares two lecture rooms (ULR5 and ULR20, see Table 1). These lecture rooms are at a University building over 50 years old, which has not yet undergone any energy retrofit. The ULR5 is equipped with air intake vents installed in window frames. As the building envelope is not airtight and since the toilets facilities, equipped with mechanical air exhaust, are far away from ULR5, little fresh air enters by the windows intake vents. In addition, exhaust flow rates at the level of the building are too low compared to the regulatory ventilation needs. This explains the observed very poor IAQ with maximum concentrations of CO<sub>2</sub> exceeding 5000 ppm. This trend has been confirmed in a similar lecture room (ULR4, not shown for brevity) where CO<sub>2</sub> concentration measurements during five consecutive scholar days lead to an air stuffiness index ICONE of 4, i.e. very high confinement (see SM6).

The ULR20 is a lecture room, among three rooms of the same previous building, which were fitted more than ten years ago with a common dynamic two-way ventilation system, using the level of CO<sub>2</sub> in the exhaust circuit to control the ventilation flow rate. This system sized for a maximum flow rate of 1187 m<sup>3</sup>/h (for occupancy capacity of 68 students plus a teacher, i.e. 17.2 m<sup>3</sup>/h/person close to the French regulatory value of 18 m<sup>3</sup>/h/person). It is however set at a minimum flow of 200 m<sup>3</sup>/h during the unoccupied hours, and is manually switched off during holidays. In this room, on 2022/01/03, while the ventilation was still off after holidays, a maximum concentration of 3300 ppm was registered after 1 h during an exam gathering 64 persons. The corresponding evolution is not given for brevity. During normal operation of the ventilation system of full occupied ULR20, the CO<sub>2</sub> level does not exceed 1700 ppm (see Fig. 4-a). This threshold corresponds to a Category 3 classification (moderate level may be used for existing buildings) in the UE regulation [43,46] and is above the French limit value of 1300 ppm [42]. However, this remains acceptable in comparison with the previous ULR5 case.

Fig. 4-b presents CO<sub>2</sub> evolutions in two lecture halls (UAE and UAW). UAE is, as previously, over 50 years old, whereas UAW is inside a modern new building. One can observe that in UAE the CO<sub>2</sub> concentration reaches a high value of 2100 ppm after a 1 h lecture gathering 95 persons. This corresponds to a PFR of 13 m<sup>3</sup>/h/person. Note however that when the lecture hall is full, the PFR would then be equal to 8 m<sup>3</sup>/h/pers., which is very far from the regulatory value. On the opposite, UAW seems very well ventilated since the CO<sub>2</sub> concentration did not exceed 600 ppm in the presence of 40 persons. The deduced volumetric flow rate was as high as 2576 m<sup>3</sup>/h, which results in PFR = 18 m<sup>3</sup>/h/person when considering the UAW maximum capacity of 142. Therefore, this lecture hall complies with French regulations, and probably when it is

full, the CO<sub>2</sub> would be in the regulatory range 1000–1300 ppm [42]. However, we can regret, **for energy consumption reasons**, the apparent absence of flow rate control as a function of the occupancy density.

Fig. 4-c shows the CO<sub>2</sub> time evolution acquired during one full week in a classroom. The building is old (built almost a century ago) and has not benefited from any energy retrofit. The considered schoolroom receives 30 pupils 7-years-old. The insert in Fig. 4-c gives an example of a CO<sub>2</sub> rise from which the ventilation rate could be estimated. Since, the flow rate was found quite small, the measurements presented some dispersion from one day to the other but the observed range (50–100 m<sup>3</sup>/h) is very far below the regulatory flow rate for a schoolroom with a maximum occupancy of 30 persons (i.e. 450 m<sup>3</sup>/h according to the French regulation [42,47]). The corresponding air stuffiness index [45] is ICONE = 4, corresponding to very confined class. This observation joins those of the French IAQ observatory [48] and various literature studies of ventilation state in schools in France [49,50] and elsewhere, particularly in Europe or USA [51]. In this latter investigation, Fisk performed a thorough review, which demonstrated the widespread failure of ventilation systems to provide the minimum flow rates specified in standards for classrooms. He reported that the maximum peak CO<sub>2</sub> concentrations ranged from about 3000 to 6000 ppm. It is also important to stress that the French standard [42,47] makes the differentiation between young children (under 15 years old, PFR = 15 m<sup>3</sup>/h/person) and older teenagers or adults (older than 15 years, PFR = 18 m<sup>3</sup>/h/person), whereas this is not biologically relevant [52] because young children emit as much CO<sub>2</sub> as older ones or adults. Children being more fragile than adults, the individual PFR should on the contrary be higher for them. The UE Regulation [43] recommends a PFR = 36 m<sup>3</sup>/h/person in the best IAQ category (category 1) for sensitive and fragile persons with special requirements, which should be the case for young pupils.

Finally, we carried out a CO<sub>2</sub> monitoring during a week (Fig. 4-d) in a modern restaurant situated in a coastal location of the Department of “Côtes d’Armor” in France. We used two Aranet sensors each one set in one of the two lunchrooms of the restaurant which communicate to each other through a large aperture. The two sensors were approximately at a distance of 10 m to each other and demonstrate a similar CO<sub>2</sub> concentration along the week. This is a strong demonstration that for this case, the well-mixed assumption holds. Interestingly, the restaurant is exposed to the wind, which can cause large variations in air renewal flow rates. Observations correlate strongly with an enhancement of ventilation with the strength of the wind (and inversely for CO<sub>2</sub> concentration) which is shown on each peak of the figure in Beaufort scale (Bt = 1–2 on Monday; 4–5 on Tuesday; 5–7 on Wednesday; 5–6 on Thursday and 5–7 on Friday). Not indicated is the direction of the wind which has been changing continuously along the week. The high variability in peak CO<sub>2</sub> from day to day can be clearly seen in Fig. 4-d and wind effect on the level of airing appears obvious. On Monday, when there was no wind, a maximum concentration of 1800 ppm was recorded, which is a high level compared to the French public health committee recommendations to not exceeding 600 ppm in situations in which attendees are not wearing a mask [44].

Furthermore, in essence the restaurant is a place where conditions are continuously variable (customers do not arrive at the same time, doors open frequently) and it is not easy to establish stable conditions allowing to determine air flow rates from CO<sub>2</sub> concentrations. Moreover, even if we do not have the confirmation, it is very likely that the bi-directional ventilation is dynamic, which makes air flow rates variable. The in-situ volumetric flow rate measurements done in customers’ space (lunchrooms and bar), lead to a total air flow rate around 500 m<sup>3</sup>/h. The hood in the kitchen and the related compensation grille, placed on opposite exterior wall, have probably an effect on flow patterns in lunchrooms, as the kitchen door is kept open during lunchtime. Since our objective was to evaluate the potential risk of contamination in a space where masks fall, we did not focus too much on a precise



determination of the ventilation rate considering the above-mentioned difficulties. Rather we concentrated on the CO<sub>2</sub> levels achieved every day (see discussion in section 4.2).

Through all above observations, the poor ventilation of the investigated premises is evident since most of our measurements range between one third and one tenth of the regulatory volumetric flow rates. Further to this failure in respecting norms, it is essential to understand that the present ventilation standards worldwide are not designed for infectious control, whatever the respiratory virus is. The present work also agrees with the large surveys of various bibliographical sources (Ribéron 2016, Canha 2016, Batiactu 2018) not only in France as revealed by the thorough review from Fisk [51]. Interestingly, in this latter study, Fisk mentions that increasing ventilation with annual costs ranging from a few dollars to ten dollars per person constitutes less than 0.1% of typical public spending on elementary and secondary education in the US. Such spending is judged a small price to pay given the evidence of health and performance benefits. This observation is more than ever true in this pandemic period and could be extended to other countries and other sectors than education. In the same spirit, it is desirable to generalize the use of CO<sub>2</sub> sensors, a very affordable tool, in buildings to assist people in applying the suitable mitigation behaviours such as windows opening for instance to accelerate indoor air renewal.

#### 4.2. Risk assessment

For the various situations described above it is important to derive a risk probability for an exposed person (susceptible) as a function of the observed CO<sub>2</sub> concentration. From a statistical point of view and a large number of persons, the dose can be written (see SM7) as:

$$X = \int_{t_0}^{t_1} \frac{\Delta CO_2(t)}{CO_{2,exh}} \times r \times q \times dt \quad (20)$$

This relationship is valid for any situation including environments with poor ventilations and transient situations as well as stationary states ( $\frac{\partial \Delta CO_2}{\partial t} = 0$ ). It does not need the ventilation flow rate value. It can be extended to include a virus lifetime (omitted here for sake of simplicity), which does not change the conclusions. Parameters  $r$  and  $q$  are again the proportion of infectors and the quantum production rate respectively,  $\Delta t = t_1 - t_0$  is the time of exposure of the susceptible,  $CO_{2,exh}$  the quantity of CO<sub>2</sub> in the air exhaled by a human (~40000 ppm),  $\Delta CO_2$  the difference between the measured CO<sub>2</sub> in ppm and the outdoor natural level measured with sensors.

We can define a mean value of “human” CO<sub>2</sub> for the time of exposure  $\Delta t$  by:

$$CO_{2,mean} = \frac{\int_{t_0}^{t_1} \Delta CO_2(t) \times dt}{\Delta t} \quad (21)$$

Then, the dose can be written:

$$X = \frac{CO_{2,mean}}{CO_{2,exh}} \times r \times q \times \Delta t \quad (22)$$

which highlights, beside the CO<sub>2</sub> concentration, **the importance of the time of exposure  $\Delta t$  and of the number of infectors**. Note that the remarks made in section 3.2 for the  $r$  value remain valid. If a healthy subject is exposed to successive doses  $X_i$  corresponding to different periods of exposure  $\Delta t_i$ , then the total dose is just the sum of the successive doses (cumulative risk):

$$X = \sum_i X_i \quad (23)$$

Following these formulas, we can deduce some risk probabilities corresponding respectively to the situations described in section 4.1. They are summarized in Table 2:

In this table we have utilized the same values for  $r$  and  $q$  as for Figs. 2 and 3: 0.01 and 40 h<sup>-1</sup> respectively. Mostly for the values of calculated doses, the probability for a healthy susceptible to be infected is just nearly equal to the dose, due to its low value as explained previously. Therefore, the influence of changing the values of  $r$  and  $q$  can be easily estimated by a proportional calculation, as long as the dose remains small.

Some points in this table merit to be highlighted:

- For the school, the situation would be catastrophic without the risk reduction due to the mask. However, the precise quantitative impact of mask wearing is difficult to evaluate as discussed in the SM5. Also the social acceptability of mask wearing by children merits to be discussed.
- For the restaurant/bar, we have considered that customers are mainly workers who spend about 80 min at lunch. The risk is negligible for a single meal. In Table 2  $P$  is bracketed since conditions varied depending on the day. If the restaurant is visited on a daily basis (5 meals) risk could be raised to a few percent following equation (23). However, the calculation does not consider that the mask is partly worn in the restaurant. In any case our observation and calculation show that the risk here is not especially high, which questions public policy in this field.
- For the other premises, which are located at the university, observations show a considerable dispersion. The risk can be very high for a lecture room very poorly ventilated (case URL5 of Table 2) as well as reasonable in well ventilated area (case UAW). It must also be considered that for the university premises we have not considered either mask wearing or the cumulative aspect of the dose. As discussed in SM5, using masks induces a risk reduction of a factor of about 9. This is however easily counterbalanced within one week if students attend 9 lectures in the same room which is quite possible. It remains that in poorly ventilated areas the risk is high.

#### 5. Implications of increased airborne contamination for health policy

The previous sections highlight the multiparameter character of the risk, through the time of exposure and the concentration of airborne infectious particles, itself linked to the proportion of infectors and to the indoor ventilation flow rate. With new variants such as  $\delta$  or  $\omicron$  (omicron), the quantum emission rate  $q$  can be estimated orders of magnitude higher than with the original strain due to VL or microbiological characteristics. Then, the spread of the virus should be mainly airborne even for close contact, and much more efficient. This increased spreading is in fact observed [53] even if, fortunately, it seems that the new variants are much less lethal than the original Wuhan strain. Moreover, health policies have not been sufficient to slow down efficiently this new contamination, especially in Western Europe. With more dangerous variants or new respiratory diseases, either more lethal or more contagious or both, new intervention measures must be considered. In the  $\delta$  or  $\omicron$  variant cases, the models and concepts presented in this paper and the

**Table 2**  
Probability of infection for various scenarios.

	school		restaurant		UAE	UAW	URL5	URL20
$\Delta t$ (min)	1100		80		400	55	76	80
	No mask	mask	1 meal	5 meals	1 Lect	1 Lect.	1 Lect.	1 Lect.
$P$	0.237	0.027	0.005 < $P$ < 0.012	0.040	0.013	0.001	0.040	0.013

experimental measures reported, lead us to derive implications **for health policy**. Such an exercise has already been done previously by leading scientists of the field [13] but it **seems that it has not been sufficiently considered** by health policies. Moreover, we do think that, beside a variety of engineering solutions already preconized by Morawska et al. [13] other mitigation measures are necessary, and we insist that authorities have to **change their mind in matter of priority**.

Amongst the various interventions of public policy discussed below we focus on the non-pharmaceutical ones. We first consider interventions directly targeting IAQ, i.e., mask, air filters and sterilizers, and ventilation. In this context we will also discuss the influence of the way of life, which depends on the country and the climate, and could lead to take immediate measures with strong positive consequences. We will then turn to interventions that are not directly targeting IAQ but nevertheless have implications on IAQ (e.g., living conditions during lockdown) or whose effectiveness is dependent on our understanding of contamination routes (e.g., contact tracing).

**Such discussion is all the more needed** that vaccine efficiency has been reported dropping far from 100% with time and variants for most vaccines, including Pfizer, and that their ability to stop transmission by asymptomatic infection is questionable [54,55]. Vaccination alone will not be enough to stop the epidemic spreading via airborne contamination, because present vaccines do not provide 100% immunity, especially with new variants such as omicron, although they result in a strong reduction of illness gravity. Beside the need of a **large vaccination of people at risk** (elderly, diabetic, overweight etc.) to reduce disease severity, it is clear that mitigation measures especially toward the problem of IAQ, should be highlighted: checking of HVAC (Heating, Ventilation, Air Conditioning) systems, air monitoring or development of high flux air sterilizers. New variants or new respiratory viruses in the future require a change of paradigm in this field [56]. If measures implying technological developments can be implemented only on mid-term, measures directed toward people information and the way of life must be taken immediately.

### 5.1. Targeting IAQ

When IAQ is deficient, especially in indoor situation, **wearing a mask is certainly highly useful** [10,57,58], but its efficiency (especially for surgical ones) is not such that it could be the solution alone. It is possible to calculate that the risk probability  $P$  could be decreased by a factor of ten when both infectors and susceptibles wear it (see SM5). However, with new variants the quantum production rate increase could counterbalance this advantage. Moreover, in most countries, after a deny of mask interest, the choice of surgical ones in the general population has been made, although they are much less efficient than N95 respirators [59,60]. In some situations, the public should be informed of the better choice, depending on the IAQ (see sub-section 4.2). People must be told that wearing mask under the nostrils is inefficient.

Therefore we conclude that **wearing a mask alone, although useful, is insufficient to counterbalance the very high VL due to delta variant or the microbiological characteristics of omicron**. Also social acceptability of masks on the long term is most doubtful. Therefore, we must take further corrective measures to improve IAQ.

IAQ has been recognized as a concern for public health and is addressed by building norms. However, IAQ policy has mainly considered the issue of chemical and particulate matter pollutants, excepted in the context of health care buildings, such as hospitals [61]. It is time to address the question of airborne pathogens "pollution" in the general population and its consequences for respiratory diseases. This will need a considerable change in the norms and recommendations for buildings (Meslem et al., in preparation), since, from this point of view, they are still in their infancy.

The problem is closely linked to building ventilation, which has been for centuries a natural ventilation, i.e., fresh air intake by voluntary or involuntary leaks on the building envelope allowing entrance and

circulation of fresh air without real control. Since the first oil shock and the subsequent implementation of increasingly restrictive energy regulations, including today new constraints linked with environmental impact, things changed with buildings becoming more and more airtight and with HVAC technologies allowing ventilation control. The admission of fresh air is therefore minimized at the lowest value (hygienic flow rates) compatible with physicochemical IAQ, in order to save energy but frequently this leads to non-compliance with regulatory hygienic flow rates.

We recommend, in the context of new buildings and retrofit that is put in place, the in-situ **verification of regulatory flow rates**. This is often not done, because the regulations do not require it, as it is the case in France in the context of the regulation RT2012 [62]. It follows, as exemplified in this work, that **introduced fresh airflows are much lower than the regulatory values**. The ventilation professionals published an alarming report on the failure of the ventilation systems and demanded in 2018 that a certificate of receipt of these systems be delivered, like the certificate of receipt of airtightness of building envelopes mandatory in RT 2012 [63]. The next regulation RE2020 [64] applicable since January 2022 for residential buildings, takes a step forward by setting up an obligation to measure ventilation flow rates. However, one can object that this point is not subject to a building acceptance certificate. Another criticism is that verification of the airflows is not entrusted to an independent control office since ventilation system installers can make the flow rates measurements themselves. The Swedish experience of the OVK (Obligatory Ventilation Control) in place since 1991 [65] is shared in REHVA site [66] as an example to be followed by European countries and elsewhere. The Swedish regulation specifies that the first inspection of the ventilation system is mandatory when it is taken into operation. Then, regular inspections are mandatory every 3 or 6 years, depending on the building type (3 years interval for pre-schools, schools, and health-care buildings). Jan Sundell has fought for decades to put in place this OVK in Sweden, but he mentions in his last editorial letter [67] that it is not enough. HVAC engineers must be properly educated to the question of the IAQ, and its public health issues. He wrote in 2019 *"today in the United States or China, students are not taught properly about ventilation. They are taught to design air conditioning!!!"*

On the short term, either for natural or mechanical ventilations, increasing **their flow rate should be achieved** when possible. This could be done by slight opening of windows if necessary. In 2009, Nielsen [68] analyzed experimentally the transport process of particles and tracer gases and show that a high flow rate (i.e., an air change per hour ACH from 6 to 12 h<sup>-1</sup>) to the ventilated space reduces the level of viruses and bacteria in this space, without draught effect if sufficiently large supply areas are used. The increased energy cost has to be put in balance with the considerable cost (and economy impact) of present public policy in most countries. This is particularly true in public buildings.

In some cases like offices, classrooms, aircraft or cabins, where people stand mostly at the same desk/place, solutions as personalized, or piston ventilation [69], could be adopted. Computational Fluid Dynamics have shown recently that personalized ventilation performed the best to prevent cross-infection [70] compared to mixing ventilation, followed by displacement ventilation, impinging jet ventilation, stratum ventilation and wall attachment ventilation.

As discussed previously, sterilizing and filtering air has the same effect than fresh air ventilation. In his book of 1955 [4], Wells recommended a ventilation rate per pupil at school of 510 m<sup>3</sup>/h **which is an enormous value**, an order of magnitude higher than any current norm. Such flow rates imply an important energy consumption. Probably aware of this difficulty, Wells proposed a variety of solutions to sterilize air, and more particularly the use of UV lamps. Nowadays the insufficient ventilation of schools and nurseries is largely recognized [71].

In order to remove infectious particles of air, HEPA (High Efficiency Particulate Air) filters could be used. HEPA air filters can theoretically

remove at least 99.97% of airborne particles with a size of 0.3  $\mu\text{m}$ . For efficient operation, the filters should be inspected quite regularly, and changed periodically. A clogged HEPA filter can have a large leak rate through the peripheral gasket [72]. The pressure drop through the filter can result in rather large energy consumption, beside the cost of system equipment and maintenance.

The COVID-19 crisis has led to a considerable development of air purifiers and sterilizers that use, amongst others, UV germicidal power, which is well documented for viruses [73]. It can be shown by calculation that the UV power required to efficiently sterilize large air flow rates is rather small [74]. Unfortunately, most of the sterilizer systems found on the market treat a much too low air flow rate. The reason is probably that generally this kind of apparatus includes functions such as VOC (Volatile Organic Compounds) treatment, and HEPA filters which results in higher costs.

Therefore, development of **cheap air sterilization units of very high flux** is clearly needed on the mid-term. It is worth noting however, that employing such devices will make  $\text{CO}_2$  diagnostics no more relevant since the proportionality of the active virus concentration to the  $\text{CO}_2$  one will not hold anymore.

The way of life itself has implications on the disease transmission. More than a year ago Rowe et al. made the prediction [75] that sub-Saharan Africa will not be stricken so much by the pandemic in the future due to airborne considerations. This low spreading of the disease has been observed up to now and a variety of explanations have been proposed [76,77]. Rowe et al. [6] have rationalized this observation considering an “outdoor” way of life in these countries, which includes housing without air conditioning (AC), with large natural ventilation to ensure refreshment and open outdoor markets instead of supermarkets. South Africa where the prevalence of AC is much higher has been more stricken and COVID-19 clusters have occurred there in closed supermarkets, most often equipped with AC [78].

Therefore, it can be thought that in many places of low latitude, like West Indies or Guyana, coming back as far as possible to the outdoor way of life could have immediate benefits. This necessitates **waiving of AC when possible and turning back to natural cooling**, which implies large current of fresh air. In many locations where heating cannot be avoided implying indoor way of life, besides increasing ventilation, outdoor activities (for example outdoor markets) should be encouraged.

The cheapest way to monitor pathogen IAQ is measurement of carbon dioxide concentration. Too often, The concentration level alone is used as a sufficient risk proxy, and a limit around 800 ppm has been proposed [79] as safe. We have shown throughout the present paper that communication on this limit is misleading, as it ignores completely the question of the **time of exposure**. We propose the development of **intelligent sensors** that could provide several integrated values of carbon dioxide concentrations. Time of exposure and mean concentration, as defined by equation (21), could be displayed by such sensors.

Last, close contact risk (except intimate i.e. < 0.6 m) is recognized as essentially airborne with again a key role of exposure time [37]. In many situations, contact between two individuals lasts less than 15 min [80]. In this context, the risk drops to a very small value as soon as social distancing between individuals is higher than 1.5 m, correspondingly to the communication of government and health agencies. However, a misunderstanding of the real mode of transmission in this case has led to irrational measures such as organizing files in supermarket with obligation to use entrances different from exit. Although it has not been yet studied in the literature, staying in the wake of an infector in a file for several minutes is certainly riskier than crossing the infector. We recommend that, although social distancing must be encouraged, **such measures** directed against fast crossing **should be removed** since they are misleading for the public and could in fact induce higher airborne transmission.

## 5.2. Implications for interventions that are not directly targeting IAQ

The most radical intervention to mitigate the pandemic has certainly been the various forms of lockdowns that, notably in western societies, constitute a major limitation to liberties and was unprecedented in non-war conditions. While first lockdowns might have been necessary, given the lack of governmental readiness to fight such pandemics in western societies, we now realize that, beyond the obvious socio-economic implications, it has a significant downside related to psychological isolation and mental health. Poorer families, children, women, and people experiencing mental disorders have been particularly harmed by lockdowns [81–83], and this measure should be taken in only the most extreme circumstances. Moreover, the efficiency of lockdown strategies is a matter of debates [84,85]. Deleterious effects on people and families who live in small apartments and closed places where IAQ is low is clear: **gathering people that have not been tested in an indoor housing for a long time could be very counter-productive**: it has been shown indeed that a large number of contaminations occur in family environment [86,87].

Far less radical, although very recent, contact-tracing apps on smartphones are the typical intervention that any digital policy would have considered to support health policy. Such apps were first introduced to help policy to fight the very lethal Ebola disease. However, their efficiency is dubious and their ethical character questionable. When air monitoring measures, discussed in previous sections, indicate a significant risk, the public should be informed in appropriate ways so that behaviors can be adjusted. For risk induced by aerosol-based transmission, **intuitive and responsive user interfaces could be developed to visualize outbreak risks in various room of buildings and alert facility managers and users** in a way that could be similar and complement that outbreak risks related to fomite-based transmission [88]. But mitigation measures such as contact tracing apps will have little effect against long range transmission by aerosols. These apps have not been designed to fight this transmission path of the pandemic and aerosol transmission was ignored at their inception. When aerosols are emitted from delta variant, it is the exhaled microdroplets concentrations in a given space that creates the major risk. Focusing on close crossing (less than 1 m for more than 15 min as we did in France with stopCOVID) in a public space can be dangerous because people can feel safe (at least feel being well informed with their app), when in fact what they should be warned (possibly by their smartphone, but even better by public screens or specific systems) is about the situation over IAQ. Therefore, given the airborne danger of delta variant, we consider that contact tracing apps are inappropriate for at least three reasons: First, to be effective they require that a very large share of the population uses them for contact tracing which has been considered unrealistic [89] and is still the case. In fact, whereas contact tracing apps have been redesigned to be less intrusive (e.g Norway case) and their governmental communication to influence their adoption adapted in to be less coercive (e.g. France case), a common nudging tactic to influence their adoption has consisted in adding a number of features influencing individual benefits such as giving information about risky regions or allowing to show conformity to vaccination plans to access public places thus transforming a risk detection app into an information public health and a sanitary pass app. As a result, after vaccination campaigns, these apps have been hugely downloaded. However, the effective activation of the apps for personal risk detection is still very low. Second, as we emphasize in the present paper, relevant parameters, **notably time of exposure to risk**, and space, but not necessarily distance, to a likely infector, were not well understood by the project developers [90,91]. Typically, distance for technology such as bluetooth is critical for accuracy and reliability [90], but if the risk is related to the nearly homogeneous spread of virus in a given space, the issue is about detecting the level of risk in this space and not necessarily identifying the smartphone of the closest infector. Third, such apps may both develop bad habits in the population and creates another danger for increasing potential

discrimination and problems [89] such as fear of mass surveillance as in Germany or Switzerland [92]. Conversely **if major public spaces are equipped with air monitoring equipment – currently monitoring CO<sub>2</sub> as proxy - that display public information about IAQ, contact tracing apps would not be needed.** Such information would be permanently visible by the public on some fixed screens similar to clocks in such places. In addition, to increase their situational awareness [93], those who are or feel potentially at risk could check the safety of places on their smartphones by accessing a public website where measures of all displays would be available on a map with color indicators. Both of these solutions would require people being proactive. As the situation may vary a lot from place to place or evolve rapidly, the population at risk could also use a warning emergency system conveying alerts through a dedicated device [94] or some augmented reality app [88]. Given our experimentation measures, such public displays would be highly trustworthy thanks to their high representational fidelity (notably current, nearly exact and relevant (on these notions see Ref. [93])) of the CO<sub>2</sub> measures and thus limit the use of such warning emergency systems to those at risk and not coerce all the population to acquiesce to a rampant form of data surveillance. **The cost of such air monitoring equipment and public website will not be very high and they are a more ethical and scientifically valid choice, given the prevalence of the aerosol transmission path, than current digital policy based on smartphone close-contact tracing.**

## 6. Conclusions

The present health policies in many countries suffer from an original sin which was the deny of airborne transmission. The advent of strains such as  $\delta$  or  $\omicron$  leads to much higher quantum production rates, implying that spreading of the epidemic is now mainly airborne. However, the communication of most public authorities remains essentially directed toward avoiding close contact and fomites transmission. Even if the importance of ventilation and mask wearing is now acknowledged, strong decisions devoted to fight airborne transmission are not yet there. This is regrettable since some mitigations measures in this field will not negatively impact people life, as others such as lockdown.

Major implications for public health policies have not been drawn from the conclusion that new variants lead to dramatically airborne contamination. This is a significant conclusion of the present paper on which we draw attention. Following the approach of Wells [4,5] and of most recent researches ([36,37] amongst others) we derive simple formulas allowing to estimate risks in a variety of situations. Applied to some specific observations of CO<sub>2</sub> level in a variety of environments they highlight the importance of **the time of exposure** in risky situations.

Another major contribution of this paper is to highlight several interventions that need to be introduced, modified, or could be suppressed. Some measures can be immediately taken at minor costs, such as increasing ventilation when heating and using natural cooling in hot countries, coupled to **CO<sub>2</sub> monitoring** to bring back CO<sub>2</sub> concentration to a satisfying level for the time of exposure.

We have shown that the ventilation systems, either natural or mechanical are often far of following norms that are already insufficient. Therefore, **ventilation checking** should be promoted, and **norms need to be revised** to include risk of pathogen transmission. Norms **must include sterilizers** able to recirculate large air flows and which need to be developed at a reasonable cost.

In the short term, even if these measures are costly, a first plan to implement them in places where public services are crucial such as hospitals and medical services [95,96], schools [97] is necessary [98]. **Notably it must be clearly communicated that risk is not only dependent on CO<sub>2</sub> level, but also on the probability that an infector is or has been in the room and to the time of exposure.**

Finally, digital means should be directed at informing people (e.g. with appropriate screens or web applications possibly using augmented reality for particularly vulnerable persons, rather than digitally tracing

their (social) behavior and surveilling them). With the introduction of smartphone-based contact-tracing apps further embedded in sanitary passes, the pandemic has considerably accelerated the pace of the transformation of western societies towards digital surveillance. While some initial intentions were hoped to be good, such trend is dangerous and shows that ethical use of the digital is still in its infancy.

We insist that thinking only in terms of social distancing or social interactions has become a paradigm that needs to be changed. Scientific literature demonstrates that we can be infected by close contact, but other situations can be dangerous due to the very nature of airborne transmission. As viruses can stay infectious in the air, we should not only consider the possibility of contamination in co-presence, typically when people face each other, but also when people follow each other in a file or even when infected people have left a poorly ventilated room. These scenarios need to be highlighted in public information.

And last but not least, when the present pandemic will be over, what will stay in the mid and long term is the necessity to **change our mind and norms in matter of IAQ**, in order to include this problem of airborne pathogen transmission, an enormous challenge for building technology.

## CRedit authorship contribution statement

**Bertrand R. Rowe:** Writing – review & editing, Writing – original draft, Validation, Supervision, Investigation, Formal analysis, Conceptualization. **André Canosa:** Writing – review & editing, Investigation, Formal analysis. **Amina Meslem:** Writing – review & editing, Investigation, Formal analysis. **Frantz Rowe:** Writing – review & editing.

## Declaration of competing interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

## Acknowledgements

This research did not receive any specific grant from funding agencies in the public, commercial, or not-for-profit sectors.

## Appendix A. Supplementary data

Supplementary data to this article can be found online at <https://doi.org/10.1016/j.buildenv.2022.109132>.

## References

- [1] G.L. Carlin, J.S. Baumgartner, T. Moftakhar, D. Koenig, L.L. Negrin, Impact of COVID-19 lockdown on suicide attempts A retrospective analysis of the springtime admissions to the trauma resuscitation room at the Medical University of Vienna from 2015-2020, *Wien Klin. Wochenschr.* 133 (2021) 915–922.
- [2] L.H. Kuller, Epidemiology: then and now, *Am. J. Epidemiol.* 183 (2016) 372–380.
- [3] K. Randall, E. Ewing, L. Marr, J. Jimenez, L. Bourouiba, How did we get here: what are droplets and aerosols and how far do they go? A historical perspective on the transmission of respiratory infectious diseases, *Interf. Foc.* 11 (2021), 20210049.
- [4] W.F. Wells, Airborne Contagion and Air Hygiene. An Ecological Study of Droplet Infections, Harvard University Press, Cambridge, Massachusetts, 1955.
- [5] E.C. Riley, G. Murphy, R.L. Riley, Airborne spread of measles in a suburban elementary-school, *Am. J. Epidemiol.* 107 (1978) 421–432.
- [6] B.R. Rowe, A. Canosa, J.M. Drouffe, J.B.A. Mitchell, Simple quantitative assessment of the outdoor versus indoor airborne transmission of viruses and covid-19, *Environ. Res.* 198 (2021) 111189.
- [7] S.N. Rudnick, D.K. Milton, Risk of indoor airborne infection transmission estimated from carbon dioxide concentration, *Indoor Air* 13 (2003) 237–245.
- [8] L. Morawska, J. Cao, Airborne transmission of SARS-CoV-2: the world should face the reality, *Environ. Int.* 139 (2020) 105730.
- [9] New York Times, 239 experts with one big claim the coronavirus is airborne. <https://www.nytimes.com/2020/07/04/health/239-experts-with-one-big-claim-the-coronavirus-is-airborne.html>, 2021.
- [10] C.C. Wang, K.A. Prather, J. Sznitman, J.L. Jimenez, S.S. Lakdawala, Z. Tufekci, L. C. Marr, Airborne transmission of respiratory viruses, *Science* 373 (2021), eabd9149.



- [11] T. Greenhalgh, J.L. Jimenez, K.A. Prather, Z. Tufekci, D. Fisman, R. Schooley, Ten scientific reasons in support of airborne transmission of SARS-CoV-2, *Lancet* 397 (2021) 1603–1605.
- [12] J.W. Tang, W.P. Bahnfleth, P.M. Bluyssen, G. Buonanno, J.L. Jimenez, J. Kurnitski, Y. Li, S. Miller, C. Sekhar, L. Morawska, L.C. Marr, A.K. Melikov, W.W. Nazaroff, P. V. Nielsen, R. Tellier, P. Wargocki, S.J. Dancer, Dismantling myths on the airborne transmission of severe acute respiratory syndrome coronavirus-2 (SARS-CoV-2), *J. Hosp. Infect.* 110 (2021) 89–96.
- [13] L. Morawska, J.L.W. Tang, W. Bahnfleth, P.M. Bluyssen, A. Boerstra, G. Buonanno, J.J. Cao, S. Dancer, A. Floto, F. Franchimon, C. Haworth, J. Hogeling, C. Isaxon, J. L. Jimenez, J. Kurnitski, Y.G. Li, M. Loomans, G. Marks, L.C. Marr, L. Mazzarella, A. K. Melikov, S. Miller, D.K. Milton, W. Nazaroff, P.V. Nielsen, C. Noakes, J. Peccia, X. Querol, C. Sekhar, O. Seppanen, S. Tanabe, R. Tellier, K.W. Tham, P. Wargocki, A. Wierzbicka, M.S. Yao, How can airborne transmission of COVID-19 indoors be minimised? *Environ. Int.* 142 (2020), 105832.
- [14] L. Morawska, D.K. Milton, It is time to address airborne transmission of coronavirus disease 2019 (COVID-19), *Clinic. Infect. Dis.* 71 (2020) 2311–2313.
- [15] L. Setti, F. Passarini, G. De Gennaro, P. Barbieri, M.G. Perrone, M. Borelli, J. Palmisani, A. Di Gilio, P. Piscitelli, A. Miani, Airborne transmission route of COVID-19: why 2 meters/6 feet of inter-personal distance could not be enough, *Int. J. Environ. Res. Publ. Health* 17 (2020) 2932.
- [16] R. Zhang, Y. Li, A.L. Zhang, Y. Wang, M.J. Molina, Identifying airborne transmission as the dominant route for the spread of COVID-19, *Proc. Natl. Acad. Sci. Unit. States Am.* 117 (2020) 14857–14863.
- [17] CDC, SARS-CoV-2 variant classifications and definitions. <https://www.cdc.gov/coronavirus/2019-ncov/variants/variant-classifications.html>, 2021.
- [18] WHO, Tracking SARS-CoV-2 variants. <https://www.who.int/en/activities/tracking-SARS-CoV-2-variants/>, 2021.
- [19] B. Li, A. Deng, K. Li, Y. Hu, Z. Li, Q. Xiong, Z. Liu, Q. Guo, L. Zou, H. Zhang, M. Zhang, F. Ouyang, J. Su, W. Su, J. Xu, H. Lin, J. Sun, J. Peng, H. Jiang, P. Zhou, T. Hu, M. Luo, Y. Zhang, H. Zheng, J. Xiao, T. Liu, R. Che, H. Zeng, Z. Zheng, Y. Huang, J. Yu, L. Yi, J. Wu, J. Chen, H. Zhong, X. Deng, M. Kang, O.G. Pybus, M. Hall, K.A. Lythgoe, Y. Li, J. Yuan, J. He, J. Lu, Viral infection and transmission in a large well-traced outbreak caused by the Delta SARS-CoV-2 variant, *MedRxiv* (2021), <https://doi.org/10.1101/2021.07.07.21260122>.
- [20] E. Teyssou, H. Delagrèverie, B. Visseaux, S. Lambert-Niclot, S. Brichler, V. Ferre, S. Ma Ghidaoui, B. Abdi, S. Akhavan, N. Houhou-Fidouh, C. Charpentier, L. Morand-Joubert, D. Boutolleau, D. Descamps, V. Calvez, A.G. Marcelin, C. Soulie, The Delta SARS-CoV-2 variant has a higher viral load than the Beta and the historical variants in nasopharyngeal samples from newly diagnosed COVID-19 patients, *J. Infect.* 83 (2021) E1–E3.
- [21] C. von Wintersdorff, J. Dingemans, L. van Alphen, P. Wolffs, B. van der Veer, C. Hoebe, P. Savelkoul, Infections caused by the Delta variant (B.1.617.2) of SARS-CoV-2 are associated with increased viral loads compared to infections with the Alpha variant (B.1.1.7) or non-Variants of Concern, in: Research Square, 2021. <https://www.researchsquare.com/article/rs-77577/v1>.
- [22] V. Vuorinen, M. Aarnio, M. Alava, V. Alopæus, N. Atanasova, M. Auvinen, N. Balasubramanian, B. Bordbar, P. Erasto, R. Grande, N. Hayward, A. Hellsten, S. Hostikka, J. Hokkanen, O. Kaario, A. Karvinen, I. Kivisto, M. Korhonen, R. Kosonen, J. Kuusela, S. Lestinen, E. Laurila, H.J. Nieminen, P. Peltonen, J. Pokki, A. Puisto, P. Raback, H. Salmenjoki, T. Sironen, M. Oosterberg, Modelling aerosol transport and virus exposure with numerical simulations in relation to SARS-CoV-2 transmission by inhalation indoors, *Saf. Sci.* 130 (2020), 104866.
- [23] E.P. Vejerano, L.C. Marr, Physico-chemical characteristics of evaporating respiratory fluid droplets, *J. Roy. Soc. Interf.* 15 (2018), 20170939.
- [24] J.S. Brown, T. Gordon, O. Price, B. Asgharian, Thoracic and respirable particle definitions for human health risk assessment, *Part. Fib. Toxicol.* 10 (2013) 1–12.
- [25] G.R. Johnson, L. Morawska, Z.D. Ristovski, M. Hargreaves, K. Mengersen, C.Y. H. Chao, M.P. Wan, Y. Li, X. Xie, D. Katoshevski, S. Corbett, Modality of human expired aerosol size distributions, *J. Aerosol Sci.* 42 (2011) 839–851.
- [26] L. Morawska, G.R. Johnson, Z.D. Ristovski, M. Hargreaves, K. Mengersen, S. Corbett, C.Y.H. Chao, Y. Li, D. Katoshevski, Size distribution and sites of origin of droplets expelled from the human respiratory tract during expiratory activities, *Aerosol. Sci.* 40 (2009) 256–269.
- [27] A.F. Brouwer, M.H. Weir, M.C. Eisenberg, R. Meza, J.N.S. Eisenberg, Dose-response relationships for environmentally mediated infectious disease transmission models, *PLoS Comput. Biol.* 13 (2017), e1005481.
- [28] C.N. Haas, J.B. Rose, C.P. Gerba, Quantitative Microbial Risk Assessment, John Wiley & Sons, Inc., Hoboken, New Jersey, 2014.
- [29] M. Pan, J. Lednický, C. Wu, Collection, particle sizing and detection of airborne viruses, *J. Appl. Microbiol.* 127 (2019) 1596–1611.
- [30] G.N. Se To, C.Y.H. Chao, Review and comparison between the Wells-Riley and dose-response approaches to risk assessment of infectious respiratory diseases, *Indoor Air* 20 (2010) 2–16.
- [31] C.N. Haas, Estimation of risk due to low-doses of microorganisms - a comparison of alternative methodologies, *Am. J. Epidemiol.* 118 (1983) 573–582.
- [32] J. Louten, Virus transmission and epidemiology, in: J. Louten (Ed.), *Essential Human Virology*, Academic Press, Elsevier Inc., 2016, pp. 71–92.
- [33] P.F.M. Teunis, A.H. Havelaar, The Beta Poisson dose-response model is not a single-hit model, *Risk Anal.* 20 (2000) 513–520.
- [34] M.P. Zwart, L. Hemerik, J.S. Cory, J. de Visser, F.J. Bianchi, M.M. Van Oers, J. M. Vlak, R.F. Hoekstra, W. Van der Werf, An experimental test of the independent action hypothesis in virus-insect pathosystems, *Proc. Roy. Soc. B Biol. Sci.* 276 (2009) 2233–2242.
- [35] L. Gammaitoni, M.C. Nucci, Using a mathematical model to evaluate the efficacy of TB control measures, *Emerg. Infect. Dis.* 3 (1997) 335–342.
- [36] G. Buonanno, L. Stabile, L. Morawska, Estimation of airborne viral emission: quanta emission rate of SARS-CoV-2 for infection risk assessment, *Environ. Int.* 141 (2020), 105794.
- [37] G. Cortellessa, L. Stabile, F. Arpino, D.E. Faleiros, W.V. Bos, L. Morawska, G. Buonanno, Close proximity risk assessment for SARS-CoV-2 infection, *Sci. Total Environ.* 794 (2021), 148749.
- [38] A. Mikszewski, L. Stabile, G. Buonanno, L. Morawska, The airborne contagiousness of respiratory viruses: a comparative analysis and implications for mitigation, *Geosci. Front.* (2022), <https://doi.org/10.1016/j.gsf.2021.101285> in press.
- [39] J.X. Wang, X. Cao, Y.P. Chen, An air distribution optimization of hospital wards for minimizing cross-infection, *J. Clean. Prod.* 279 (2021), 123431.
- [40] Y.G. Li, W.W. Nazaroff, W. Bahnfleth, P. Wargocki, Y.P. Zhang, The COVID-19 pandemic is a global indoor air crisis that should lead to change: a message commemorating 30 years of Indoor Air, *Indoor Air* 31 (2021) 1683–1686.
- [41] P. Wargocki, What we know and should know about ventilation, *REHVA J.* (2021) 5–13.
- [42] JORF, Circulaire du 9 août 1978 modifiée relative à la révision du règlement sanitaire départemental (RSDT). <https://www.legifrance.gouv.fr/jorf/id/JORFTEXT00000871642>, 1978, 7188-7222.
- [43] CEN, EN 16798-1:2019, Part 1: indoor environmental input parameters for design and assessment of energy performance of buildings addressing indoor air quality, thermal environment, lighting and acoustics. <https://standards.iteh.ai/catalog/standards/cen/b4f68755-2204-4796-854a-56643dfcfe89/en-16798-1-2019>, 2019.
- [44] Haut Conseil de la Santé Publique, Covid-19 : aération, ventilation et mesure du CO<sub>2</sub> dans les ERP. <https://www.hcsp.fr/explore.cgi/avisrapportsdomaine?clef=1009>, 2021.
- [45] JORF, Décret n° 2012-14 du 5 janvier 2012 relatif à l'évaluation des moyens d'aération et à la mesure des polluants effectués au titre de la surveillance de la qualité de l'air intérieur de certains établissements recevant du public, *txt17*, <https://www.legifrance.gouv.fr/loda/id/JORFTEXT000025105291/2012-12-27/>, 2012.
- [46] D. Khovalyg, O.B. Kazanci, H. Halvorsen, I. Gundlach, W.P. Bahnfleth, J. Toftum, B.W. Olesen, Critical review of standards for indoor thermal environment and air quality, *Energy Build.* 213 (2020), 109819.
- [47] Direction Départementale des Affaires Sanitaires et Sociales, Règlement Sanitaire Départemental de l'Ille et Vilaine. [https://www.bretagne.ars.sante.fr/sites/default/files/2016-12/rsd35\\_0.pdf](https://www.bretagne.ars.sante.fr/sites/default/files/2016-12/rsd35_0.pdf), 2008.
- [48] Batiactu, La qualité de l'air intérieur des écoles françaises est-elle bonne ?. <https://www.batiactu.com/edito/qualite-air-interieur-ecoles-francaises-est-elle-bonne-53396.php>, 2018.
- [49] N. Canha, C. Mandin, O. Ramalho, G. Wyart, J. Riberon, C. Dassonville, O. Hanninen, S. Almeida, M. Derbez, Assessment of ventilation and indoor air pollutants in nursery and elementary schools in France, *Indoor Air* 26 (2016) 350–365.
- [50] J. Ribéron, O. Ramalho, M. Derbez, B. Berthineau, G. Wyart, S. Kirchner, C. Mandin, Air stuffiness index: from schools to dwellings, *Pollut. Atmos.* 228 (2016), <https://doi.org/10.4267/pollution-atmospherique.5466>.
- [51] W.J. Fisk, The ventilation problem in schools: literature review, *Indoor Air* 27 (2017) 1039–1051.
- [52] S. Déoux, Bâtir pour la santé des enfants, *Medieco, Sciences & Techniques*, 2010.
- [53] Institut Pasteur, Modélisation COVID-19: dynamique du variant Delta en France métropolitaine. <https://modelisation-covid19.pasteur.fr/realtime-analysis/delta-variant-dynamic/>, 2021.
- [54] E. Hacısuleyman, C. Hale, Y. Saito, N.E. Blachere, M. Bergh, E.G. Conlon, D. J. Schaefer-Babajew, J. DaSilva, F. Muecksch, C. Gaebler, R. Lifton, M. C. Nussenzweig, T. Hatzioannou, P.D. Bieniasz, R.B. Darnell, Vaccine breakthrough infections with SARS-CoV-2 variants, *N. Engl. J. Med.* 384 (2021) 2212–2218.
- [55] V. Servellita, A. Sotomayor-González, A.S. Gliwa, E. Torres, N. Brazer, A. Zhou, K. T. Hernández, M. Sankaran, B. Wang, D. Wong, C. Wang, Y. Zhang, K.R. Reyes, D. Glasner, X. Deng, J. Streithorst, S. Miller, E. Frias, M. Rodgers, G. Cloherty, J. Hackett Jr., S. Philip, S. Topper, D. Sachdev, C.Y. Chiu, Predominance of Antibody-Resistant SARS-CoV-2 Variants in Vaccine Breakthrough Cases from the San Francisco Bay Area, *MedRxiv*, California, 2021, <https://doi.org/10.1101/2021.08.19.21262139>.
- [56] L. Morawska, J. Allen, W. Bahnfleth, P.M. Bluyssen, A. Boerstra, G. Buonanno, J. Cao, S.J. Dancer, A. Floto, F. Franchimon, T. Greenhalgh, C. Haworth, J. Hogeling, C. Isaxon, J.L. Jimenez, J. Kurnitski, Y. Li, M. Loomans, G. Marks, L. C. Marr, L. Mazzarella, A.K. Melikov, S. Miller, D.K. Milton, W. Nazaroff, P. V. Nielsen, C. Noakes, J. Peccia, K. Prather, X. Querol, C. Sekhar, O. Seppanen, S. i. Tanabe, J.W. Tang, R. Tellier, K.W. Tham, P. Wargocki, A. Wierzbicka, M. Yao, A paradigm shift to combat indoor respiratory infection Building ventilation systems must get much better, *Science* 372 (2021) 689–691.
- [57] N.H. Leung, D.K. Chu, E.Y. Shiu, K.H. Chan, J.J. McDevitt, B.J. Hau, H.L. Yen, Y. Li, D.K. Ip, J. Peiris, W.H. Seto, G.M. Leung, D.K. Milton, B.J. Cowling, Respiratory virus shedding in exhaled breath and efficacy of face masks, *Nat. Med.* 26 (2020) 676–680.
- [58] D.K. Milton, M. Fabian, B.J. Cowling, M.L. Grantham, J.J. McDevitt, Influenza virus aerosols in human exhaled breath: particle size, culturability, and effect of surgical masks, *Plos Path.* 9 (2013), e1003205.
- [59] S. Rengasamy, B.C. Eimer, J. Szalajda, A quantitative assessment of the total inward leakage of NaCl aerosol representing submicron-size bioaerosol through N95 filtering facepiece respirators and surgical masks, *J. Occup. Environ. Hyg.* 11 (2014) 388–396.
- [60] A. Balazy, M. Toivola, A. Adhikari, S.K. Sivasubramani, T. Reponen, S. A. Grinshpun, Do N95 respirators provide 95% protection level against airborne

- viruses, and how adequate are surgical masks? *Am. J. Infect. Control* 34 (2006) 51–57.
- [61] W.J. Kowalski, Air-treatment systems for controlling hospital-acquired infections, heating, Piping *Air Cond. Eng.* 79 (2007) 2–22.
- [62] Ministère de la transition écologique, Réglementation thermique RT2012. <https://www.ecologie.gouv.fr/reglementation-thermique-rt2012>, 2020.
- [63] French Ventilation Professionals, Le Livre blanc de la ventilation. [https://www.batiment-ventilation.fr/fileadmin/A\\_PROPOS/Livre\\_Blanc\\_de\\_la\\_Ventilation\\_-Acte\\_I\\_Mai\\_2018\\_v2.pdf](https://www.batiment-ventilation.fr/fileadmin/A_PROPOS/Livre_Blanc_de_la_Ventilation_-Acte_I_Mai_2018_v2.pdf), 2018.
- [64] Ministère de la transition écologique, Réglementation environnementale RE2020. <https://www.ecologie.gouv.fr/reglementation-environnementale-re2020>, 2020.
- [65] Boverket - the Swedish national board of housing building and planning, the Swedish obligatory ventilation control. <https://www.boverket.se/en/start/building-in-sweden/swedish-market/laws-and-regulations/national-regulations/obligatory-ventilation-control/>, 2021.
- [66] L. Ekberg, Inspection of ventilation systems, *REHVA J.* (2021) 14–18.
- [67] J. Sundell, J. Spengler, P. Wargocki, VENTILATION: WHY does no one take it seriously? *Indoor Air* 31 (2021) 605–607.
- [68] P.V. Nielsen, Control of airborne infectious diseases in ventilated spaces, *J. Roy. Soc. Interf.* 6 (2009) S747–S755.
- [69] G. Cao, H. Awbi, R. Yao, Y. Fan, K. Siren, R. Kosonen, J. Zhang, A review of the performance of different ventilation and airflow distribution systems in buildings, *Build. Environ.* 73 (2014) 171–186.
- [70] W. Su, B. Yang, A. Melikov, C. Liang, Y. Lu, F. Wang, A. Li, Z. Lin, X. Li, G. Cao, R. Kosonen, Infection probability under different air distribution patterns, *Build. Environ.* 207 (2022), 108555.
- [71] ICEB, Crèches: Réglementation Thermique aux dépens de la santé des enfants. <https://www.asso-iceb.org/communiqu%C3%A9s/creches-la-reglementation-thermique-aux-depens-de-la-sante-des-enfants/>, 2014.
- [72] J. Casas, Integrity Testing of HEPA Filters: A Practical Approach, *Cleanroom Technology*, The International Journal of Contamination Control, 2019, pp. 41–43. [https://www.cleanroomtechnology.com/news/article\\_page/Integrity\\_testing\\_of\\_HEPA\\_filters\\_A\\_practical\\_approach/150453](https://www.cleanroomtechnology.com/news/article_page/Integrity_testing_of_HEPA_filters_A_practical_approach/150453).
- [73] C.D. Lytle, J.L. Sagripanti, Predicted inactivation of viruses of relevance to biodefense by solar radiation, *J. Virol.* 79 (2005) 14244–14252.
- [74] M. King, Calculating photolysis rates and estimating photolysis lifetimes, *ECG Environ. Briefs* 1 (2013) 1–2.
- [75] B.R. Rowe, J.B.A. Mitchell, M. Wathelet, N. Zekhini, Transmission aéroportée du Covid-19 : « Il est temps d'agir avant le retour du froid ! ». <https://www.lequotidienmedecin.fr/actus-medicales/sante-publique/transmission-aeroportee-du-covid-19-il-est-temps-dagir-avant-le-retour-du-froid>, 2020.
- [76] J. Adams, M.J. MacKenzie, A.K. Amegah, A. Ezech, M.A. Gadanya, A. Omigbodun, A.M. Sarki, P. Thistle, A.K. Ziraba, S. Stranges, M. Silverman, The conundrum of low COVID-19 mortality burden in sub-Saharan Africa: myth or reality? *COMMENT, Glob. Health Sci. Pract.* 9 (2021) 433–443.
- [77] R.G. Wamai, J.L. Hirsch, W. Van Damme, D. Alnwick, R.C. Bailey, S. Hodgins, U. Alam, M. Anyona, What could explain the lower COVID-19 burden in Africa despite considerable circulation of the SARS-CoV-2 virus? *Int. J. Environ. Res. Publ. Health* 18 (2021) 8638.
- [78] S. Engelbrecht, K. Delaney, B. Kleinhans, E. Wilkinson, H. Tegally, T. Stander, G. van Zyl, W. Preiser, T. de Oliveira, Multiple early introductions of SARS-CoV-2 to Cape Town, South Africa, *Viruses* 13 (2021) 526.
- [79] Health and Safety Executive, Ventilation during the coronavirus (COVID-19) pandemic. <https://www.hse.gov.uk/coronavirus/equipment-and-machinery/air-conditioning-and-ventilation/identifying-poorly-ventilated-areas.htm>, 2021.
- [80] N. Zhang, B. Su, P.T. Chan, T. Miao, P. Wang, Y. Li, Infection spread and high-resolution detection of close contact behaviors, *Int. J. Environ. Res. Publ. Health* 17 (2020) 1445.
- [81] A. Ellis, D. Briggs, A. Lloyd, L. Telford, A ticking time bomb of future harm: lockdown, child abuse and future violence, *Abuse: Int. Impact J.* 2 (2021) 37–48.
- [82] F. Hao, W. Tan, L. Jiang, L. Zhang, X. Zhao, Y. Zou, Y. Hu, X. Luo, X. Jiang, R. S. McIntyre, B. Tran, J. Sun, Z. Zhang, R. Ho, C. Ho, W. Tam, Do psychiatric patients experience more psychiatric symptoms during COVID-19 pandemic and lockdown? A case-control study with service and research implications for immunopsychiatry, *Brain Behav. Immun.* 87 (2020) 100–106.
- [83] E. Iob, A. Steptoe, D. Fancourt, Abuse, self-harm and suicidal ideation in the UK during the COVID-19 pandemic, *Br. J. Psychiatr.* 217 (2020) 543–546.
- [84] V. Chin, J.P. Ioannidis, M.A. Tanner, S. Cripps, Effect estimates of COVID-19 non-pharmaceutical interventions are non-robust and highly model-dependent, *J. Clin. Epidemiol.* 136 (2021) 96–132.
- [85] E. Bendavid, C. Oh, J. Bhattacharya, J.P. Ioannidis, Assessing mandatory stay-at-home and business closure effects on the spread of COVID-19, *Europ. J. Clin. Invest.* 51 (2021), e13484.
- [86] Institut Pasteur, COVID-19 in primary schools: no significant transmission among children or from students to teachers. <https://www.pasteur.fr/en/press-are-a/press-documents/covid-19-primary-schools-no-significant-transmission-among-children-students-teachers>, 2020.
- [87] Z.J. Madewell, Y. Yang, I.M. Longini, M. Halloran, N.E. Dean, Factors associated with household transmission of SARS-CoV-2 an updated systematic review and meta-analysis, *Jama Net. Open* 4 (2021), e2122240.
- [88] S. Li, Y. Xu, J. Cai, d. Hu, Q. He, Integrated environment-occupant-pathogen information modeling to assess and communicate room-level outbreak risks of infectious diseases, *Build. Environ.* 187 (2021), 197394.
- [89] F. Rowe, Contact tracing apps and values dilemmas: a privacy paradox in a neoliberal world, *Int. J. Inf. Manag.* 55 (2020), 102178.
- [90] H. Meijerink, C. Mauroy, M.K. Johansen, S.M. Braaten, C.U.S. Lunde, T.M. Arnesen, S.L. Feruglio, K. Nygard, E.H. Madslie, The first GAEN-based COVID-19 contact tracing app in Norway identifies 80% of close contacts in "real life" scenarios, *Front. Digit. Health* 3 (2021), 731098.
- [91] F. Rowe, O. Ngwenyama, J.L. Richet, Contact-tracing apps and alienation in the age of COVID-19, *Europ. J. Inf. Syst.* 29 (2020) 545–562.
- [92] O. Abramova, A. Wagner, C.M. Olt, P. Buxmann, One for all, all for one: social considerations in user acceptance of contact tracing apps using longitudinal evidence from Germany and Switzerland, *Int. J. Inf. Manag.* (2022) (accepted).
- [93] D. Bonaretti, D. Fischer-Pressler, Timeliness, trustworthiness, and situational awareness: three design goals for warning with emergency apps, in: *ICIS 2021 Proceedings*, vol. 2, 2021. [https://aisel.aisnet.org/icis2021/is\\_resilience/is\\_resilience/2](https://aisel.aisnet.org/icis2021/is_resilience/is_resilience/2).
- [94] A. Polenta, P. Rignanese, P. Sernani, N. Falcionelli, D.N. Mekuria, S. Tomassini, A. F. Dragoni, An internet of things approach to contact tracing-the BubbleBox system, *Inform* 11 (2020) 347.
- [95] B. Polednik, Exposure of staff to aerosols and bioaerosols in a dental office, *Build. Environ.* 187 (2021), 107388.
- [96] Y. Zhou, S. Ji, Experimental and numerical study on the transport of droplet aerosols generated by occupants in a fever clinic, *Build. Environ.* 187 (2021) 107402.
- [97] F. Villanueva, A. Notario, B. Cabanas, P. Martin, S. Salgado, M.F. Gabriel, Assessment of CO<sub>2</sub> and aerosol (PM<sub>2.5</sub>, PM<sub>10</sub>, UFP) concentrations during the reopening of schools in the COVID-19 pandemic: the case of a metropolitan area in Central-Southern Spain, *Environ. Res.* 197 (2021) 111092.
- [98] A. Eykelbosh, Indoor CO<sub>2</sub> Sensors for COVID-19 Risk Mitigation: Current Guidance and Limitations, National Collaborating Centre for Environmental Health, Vancouver, BC, 2021. <https://nceh.ca/documents/field-inquiry/indoor-co2-sensors-covid-19-risk-mitigation-current-guidance->.