

**Research Paper** 



2019; 10(15): 3517-3525. doi: 10.7150/jca.28734

# Comparison of the MAID (AI) and CAV/IE regimens with the predictive value of cyclic AMP-responsive element-binding protein 3 like protein 1 (CREB3L1) in palliative chemotherapy for advanced soft-tissue sarcoma patients

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Received: 2018.07.24; Accepted: 2019.05.05; Published: 2019.06.09

#### Abstract

**Background**: Palliative chemotherapy is currently the first-line treatment for advanced soft tissue sarcoma. The purpose of this study was to compare the efficacies of the MAID (AI) and CAV/IE alternating regimens in advanced soft-tissue sarcoma patients. Since resistances to ADM-based chemotherapy and toxicity from doxorubicin are frequently observed in clinical practice, we investigated the association between CREB3L1 expression and survival in advanced soft-tissue sarcomas patients treated with doxorubicin-based palliative chemotherapy.

**Methods**: The cohort under investigation comprised 152 patients who underwent doxorubicin-based first-line palliative chemotherapy for advanced soft-tissue sarcoma at our institution between January 2010 and April 2017. Immunohistochemical analysis and the reverse transcription polymerase chain reaction were used to determine the expression of CREB3L1 in soft-tissue sarcoma specimens prior to first-line palliative chemotherapy. Univariate and multivariate analyses were performed on chemotherapy regimens and CREB3L1 expression levels. The relationship between CREB3L1 expression and survival was also analyzed.

**Results:** The CAV/IE alternating regimen yielded favorable outcomes for response and survival in patients compared with those who received MAID (AI) treatment. The most common toxicity of grades 3 and 4 was leukopenia (58.5 % in the MAID (AI) regimen; 37.1 % in the CAV/IE regimen). The incidence of febrile neutropenia after CAV/IE treatment (7.1 %) was lower than after MAID (AI) treatment (13.4 %). Grade 3 neuralgia was observed in 1.2 % of patients receiving the MAID regimen versus 8.6 % in patients receiving the CAV/IE regimen. High CREB3L1 expression was observed in 48 of 152 patients (31.6 %). Overall survival was significantly higher for CREB3L1 high-expression patients than for CREB3L1 low-expression patients, especially for those also treated with the MAID (AI) regimen. The CREB3L1 expression level was identified as an independent prognostic factor for survival by multivariate analysis.

**Conclusions:** Our study suggests that the CAV/IE alternating regimen may be associated with a better response and more favorable survival than the MAID (AI) regimen in advanced soft-tissue sarcoma patients. Furthermore, the CREB3L1 expression level may predict the efficacy and survival of doxorubicin-based palliative chemotherapy for advanced soft-tissue sarcoma.

Key words: Doxorubicin-based chemotherapy, CREB3L1, Soft-tissue sarcoma, Prognostic marker

### Introduction

Soft-tissue sarcomas are a heterogeneous group of relatively rare mesenchymal neoplasms.[1] Although typically incurable, patients with advanced soft-tissue sarcomas may derive some benefit from the appropriate use of chemotherapy.[2] Single-agent doxorubicin or doxorubicin-based combination regimens have been widely used to treat advanced soft-tissue sarcomas for decades.[3]

Therapeutic regimens predominantly containing doxorubicin are currently first-line chemotherapies used to treat advanced soft-tissue sarcomas.[4] The most commonly used palliative chemotherapeutic regimen is a combination of mesna, doxorubicin, ifosfamide, dacarbazine (MAID (AI)), which was compared with doxorubicin and DTIC in randomized trials for advanced sarcomas.[3] Arndt et al. explored an alternating regimen of cyclophosphamide, doxorubicin and vincristine (CAV), and ifosfamide and etoposide intermediate-risk (IE) in rhabdomyosarcoma and undifferentiated sarcoma.[5] The National Comprehensive Cancer Network (NCCN) recommends this CAV/IE alternating regimen as the first-line systemic therapy for non-pleomorphic rhabdomyosarcoma based on an updated report written by Arndt et al.[6] However, there are no studies directly comparing the MAID (AI) and CAV/IE alternating regimens.

Additionally, some patients with soft-tissue sarcomas do not benefit from doxorubicin-based palliative chemotherapy.[7, 8] Therefore, selecting patients with advanced soft-tissue sarcomas who are expected to show good responses to doxorubicin-based palliative chemotherapy and achieve favorable survival is important.[9-11] Various pathological factors have been reported as prognostic markers of poor survival in patients with soft-tissue sarcomas; however, these factors are inadequate for predicting survival in soft-tissue sarcomas patients. Therefore, molecular markers that predict survival and response in advanced soft-tissue sarcomas patients treated with doxorubicin-based palliative chemotherapy are needed.

Cyclic AMP-responsive element-binding protein 3 like protein 1 (CREB3L1) belongs to a family of transcription factors that are synthesized as membrane-bound precursors in the endoplasmic reticulum (ER).[12] CREB3L1 is then transported to the Golgi, where it becomes activated by regulated intramembrane proteolysis (RIP).[13] Using tumor cells cultured *in vitro*, Jing et al. reported that doxorubicin inhibited the proliferation of cancer cells expressing CREB3L1 but not that of those in which the gene was not expressed.[14] These findings led us to hypothesize that the presence of CREB3L1 may predict clinical responses to doxorubicin-based chemotherapy in advanced soft-tissue sarcomas. Therefore, the present study examined the association between CREB3L1 expression and the efficacy of doxorubicin-based palliative chemotherapy in clinical practice for patients with advanced soft-tissue sarcoma.

## Methods

#### **Patients and samples**

The cohort under investigation comprised 152 patients who underwent doxorubicin-based first-line palliative chemotherapy for advanced soft-tissue sarcoma at our institution between January 2010 and April 2017. Archival paraffin-embedded tissue and clinical data from patients with complete follow-up were available. The diagnostic work-up included the initial pathological sectioning of soft-tissue sarcoma, magnetic resonance imaging (MRI) and computed tomography (CT). Tumors were graded histologically in accordance with World Health Organization (WHO) classifications per the FNCLCC grading system.

The investigated variables recorded included sex, age, histological type, FNCLCC histological grade, tumor size, primary site, lung metastases and chemotherapy regimen (Table 1). Eighty-three (54.6 %) patients were male, and eighty-four (55.3 %) patients were greater than 40 years old. Among the 152 patients, 83 (54.6 %) exhibited tumor sizes larger than 5 cm, and 105 (69.1 %) had lung metastases.

Palliative chemotherapy was performed only after the patient consented to therapy, and written informed consent was obtained from all patients. Anticancer agents administered as first-line palliative chemotherapy consisted of doxorubicin at  $50 \text{ mg/m}^2$ . The doxorubicin-based chemotherapy regimens included a combination treatment of mesna, doxorubicin, ifosfamide and dacarbazine (MAID (AI)) or an alternating regimen of cyclophosphamide, doxorubicin and vincristine (CAV), and ifosfamide and etoposide (IE). In the present study, 82 patients received the MAID (AI) regimen, and 70 patients alternating CAV/IE chemotherapy. received Responses to chemotherapy were evaluated using imaging results according to RECIST 1.1. The treatment toxicity was graded according to International Common Toxicity Criteria (version 2.0). Clinical assessments of safety, including a physical examination, performance status, blood chemistry, and urinalysis, were performed at baseline and before each cycle of treatment.

The primary endpoint of the present study was overall survival (OS); defined as the time from the administration of first-line palliative chemotherapy to the reported date of death or the most recent follow-up if the patient was alive. Progression-free survival (PFS) was the time from the administration of first-line palliative chemotherapy to the first progression or death. All patients were followed-up in the outpatient clinic or by telephone interview, and the mean follow-up time was 67.2 months. Ethical approval for this study was obtained from the Medical Ethics Committee of Sun Yat-sen University Cancer Center.

 Table 1. Clinicopathological features of patients with advanced soft-tissue sarcoma.

	Patients (%)
All patients	152 (100 %)
Sex	
Male	83 (54.6 %)
Female	69 (45.4 %)
Age	
< 40 years old	84 (55.3 %)
>= 40 years old	68 (44.7 %)
Histological type	
Rhabdomyosarcomas	57 (37.5 %)
Synovial sarcomas	33 (21.7 %)
Undifferentiated sarcomas	30 (19.7 %)
Other STS entity	32 (21.1 %)
FNCLCC histological grade	
Low grade (Score 1)	80 (52.6 %)
High grade (Score 2 & 3)	72 (47.4 %)
Tumor size	
< 5 cm	69 (45.4 %)
≥5 cm	83 (54.6 %)
Primary site	
Head and neck	58 (38.2 %)
Trunk and extremities	94 (61.8 %)
Lung metastases	
No	47 (30.9 %)
Yes	105 (69.1 %)
Chemotherapy regimen	
MAID (AI)	82 (53.9 %)
CAV / IE	70 (46.1 %)

#### Immunohistochemical analysis

CREB3L1 expression levels were determined by immunohistochemical (IHC) staining of paraffinembedded tissue sections from pathological specimens prior to first-line palliative chemotherapy. Paraffin-embedded tissue sections were deparaffinized in xylene, and an alcohol gradient was utilized to rehydrate the sections. Next, sections were treated with a citrate antigen retrieval solution (pH = 6.0) in a pressure cooker for 5 min. Subsequently, 5 % bovine serum albumin (BSA) in PBS (25 mM Tris, 0.8 % NaCl, 2.68 mM KCl (pH 7.4)) was added to block non-specific binding, and sections were then incubated with a rabbit anti-CREB3L1 antibody (1:50, Proteintech, 11235-2-AP) in a moist chamber overnight at 4°C. Secondary antibodies were incubated for 45 min at 37°C the next day. Finally, the sections were incubated in 3,3-diaminobenzidine for 2 min and counterstained with 10 % Maver's hematoxylin before being dehydrated and mounted. As a negative control, the primary antibody was replaced with phosphate buffered saline.

Stained tumor tissues were blindly evaluated to clinical patient data and with respect independently scored by two pathologists. Cytoplasmic staining was considered positive, and staining intensity was scored as 0, 1, or 2, corresponding to no, weak, and strong intensity, respectively (Figure 1). The percentage scores of cells showing cytoplasmic staining were also counted as follows: 0 (< 5 %), 1 (5 - 25 %), 2 (25 - 50 %), or 3 (> 50 %). The total histochemical score (H-score 0 - 6) was calculated by multiplying the intensity score by the percentage score. Tumor tissues with H-scores higher than 3 were considered to have high CREB3L1 expression. Tumors were classified as CREB3L1 low expression (H-score 0 - 3) or CREB3L1 high expression (H-score 4 - 6).



Figure 1. Immunohistochemical staining of paraffin-embedded sections before doxorubicin-based first-line palliative chemotherapy with an anti-CREB3L1 antibody. CREB3L1 protein expression was localized within the cytoplasm. Magnification 400×. A. CREB3L1-negative staining pattern (no intensity: score 0). B. CREB3L1-positive staining pattern (weak intensities: score 1). C. CREB3L1-positive staining pattern (strong intensities: score 2).

# Real-time quantitative reverse transcription polymerase chain reaction (qRT-PCR)

Total RNA was extracted from formalin-fixed paraffin-embedded tissue specimens using TRIzol reagent (Invitrogen, Carlsbad, CA, USA) according to the manufacturer's instructions. The reverse transcription kit (Promega, Madison, Wisconsin, USA) was used to synthesize complementary DNA (cDNA) from 2 µg of total RNA. qRT-PCR was performed using Power SYBR Green qPCR SuperMix-UDG (Invitrogen, Carlsbad, CA, USA) to detect the mRNA levels of target genes with a LightCycler 480 II (Roche, Basel, Switzerland). β-actin was used as the internal control. All reactions were performed in triplicate over three independent experiments. The sequences of real-time PCR primers are as follows: CREB3L1 sense: ATGGACGCCG TCTTGGAACC; CREB3L1 anti-sense: CTAGGAGA GTTTGATGGTGG; β-actin sense: CGC GAG AAG ATG ACC CAG AT; and  $\beta$ -actin anti-sense: GGG CAT ACC CCT CGT AGA TG.

**Table 2.** Responses in patients with advanced soft-tissue sarcoma after doxorubicin-based first-line palliative chemotherapy.

No         Yes         P value           All patients         78 (51.3 %)         74 (48.7 %)         Sex         NS           Male         40 (48.2 %)         43 (51.8 %)         Female         38 (55.1 %)         31 (44.9 %)           Age         0.001         44.9 (48.7 %)         State         0.001           < 40 years old         33 (39.3 %)         51 (60.7 %)         ≥40 years old         45 (66.2 %)         23 (33.8 %)           Histological type         NS         NS         Rhabdomyosarcomas         23 (40.4 %)         34 (59.6 %)           Synovial sarcomas         20 (60.6 %)         13 (39.4 %)         Undifferentiated sarcomas         18 (60.0 %)         12 (40.0 %)           Other STS entity         17 (53.1 %)         15 (46.9 %)         0.022           Low grade (Score 1)         34 (42.5 %)         46 (57.5 %)         High grade (Score 2 & 3)         44 (61.1 %)         28 (38.9 %)           Tumor size           <0.001             < 5 cm         24 (34.8 %)         45 (65.2 %)              < 5 cm         24 (51.1 %)         29 (34.9 %)              Primary site         NS		Overall respon	se	
All patients       78 (51.3 %)       74 (48.7 %)         Sex       NS         Male       40 (48.2 %)       43 (51.8 %)         Female       38 (55.1 %)       31 (44.9 %)         Age       0.001         < 40 years old		No	Yes	P value
Sex       NS         Male       40 (48.2 %)       43 (51.8 %)         Female       38 (55.1 %)       31 (44.9 %)         Age       0.001         <40 years old	All patients	78 (51.3 %)	74 (48.7 %)	
Male       40 (48.2 %)       43 (51.8 %)         Female       38 (55.1 %)       31 (44.9 %)         Age       0.001         < 40 years old	Sex			NS
Female       38 (55.1 %)       31 (44.9 %)         Age       0.001         < 40 years old       33 (39.3 %)       51 (60.7 %)         ≥ 40 years old       45 (66.2 %)       23 (33.8 %)         Histological type       NS         Rhabdomyosarcomas       23 (40.4 %)       34 (59.6 %)         Synovial sarcomas       20 (60.6 %)       13 (39.4 %)         Undifferentiated sarcomas       18 (60.0 %)       12 (40.0 %)         Other STS entity       17 (53.1 %)       15 (46.9 %)         Other STS entity       17 (53.1 %)       15 (46.9 %)         FNCLCC grade       0.022         Low grade (Score 1)       34 (42.5 %)       46 (57.5 %)         High grade (Score 2 & 3)       44 (61.1 %)       28 (38.9 %)         Tumor size           < 5 cm       24 (34.8 %)       45 (65.2 %)         Primary site       NS         Head and neck       24 (41.4 %)       34 (58.6 %)         Trunk and extremities       54 (57.4 %)       40 (42.6 %)         No       24 (51.1 %)       23 (48.9 %)       S         No       24 (51.1 %)       23 (48.9 %)       S         No       24 (51.1 %)       23 (48.9 %)       S	Male	40 (48.2 %)	43 (51.8 %)	
Age       0.001         < 40 years old	Female	38 (55.1 %)	31 (44.9 %)	
< 40 years old	Age			0.001
≥ 40 years old       45 (66.2 %)       23 (33.8 %)         Histological type       NS         Rhabdomyosarcomas       23 (40.4 %)       34 (59.6 %)         Synovial sarcomas       20 (60.6 %)       13 (39.4 %)         Undifferentiated sarcomas       18 (60.0 %)       12 (40.0 %)         Other STS entity       17 (53.1 %)       15 (46.9 %)         FNCLCC grade       0.022         Low grade (Score 1)       34 (42.5 %)       46 (57.5 %)         High grade (Score 2 & 3)       44 (61.1 %)       28 (38.9 %)         Tumor size       < 0.001	< 40 years old	33 (39.3 %)	51 (60.7 %)	
Histological type       NS         Rhabdomyosarcomas       23 (40.4 %)       34 (59.6 %)         Synovial sarcomas       20 (60.6 %)       13 (39.4 %)         Undifferentiated sarcomas       18 (60.0 %)       12 (40.0 %)         Other STS entity       17 (53.1 %)       15 (46.9 %)         FNCLCC grade       0.022         Low grade (Score 1)       34 (42.5 %)       46 (57.5 %)         High grade (Score 2 & 3)       41 (41.1 %)       28 (38.9 %)         Tumor size       < 0.001	≥ 40 years old	45 (66.2 %)	23 (33.8 %)	
Rhabdomyosarcomas       23 (40.4 %)       34 (59.6 %)         Synovial sarcomas       20 (60.6 %)       13 (39.4 %)         Undifferentiated sarcomas       18 (60.0 %)       12 (40.0 %)         Other STS entity       17 (53.1 %)       15 (46.9 %)         FNCLCC grade       0.022         Low grade (Score 1)       34 (42.5 %)       46 (57.5 %)         High grade (Score 2 & 3)       44 (61.1 %)       28 (38.9 %)         Tumor size       < 0.001	Histological type			NS
Synovial sarcomas       20 (60.6 %)       13 (39.4 %)         Undifferentiated sarcomas       18 (60.0 %)       12 (40.0 %)         Other STS entity       17 (53.1 %)       15 (46.9 %)         FNCLCC grade       0.022         Low grade (Score 1)       34 (42.5 %)       46 (57.5 %)         High grade (Score 2 & 3)       44 (61.1 %)       28 (38.9 %)         Tumor size       < 0.001	Rhabdomyosarcomas	23 (40.4 %)	34 (59.6 %)	
$\begin{array}{c c c c c c c } Undifferentiated sarcomas & 18 (60.0 \%) & 12 (40.0 \%) \\ Other STS entity & 17 (53.1 \%) & 15 (46.9 \%) \\ \hline FNCLCC grade & & 0.022 \\ Low grade (Score 1) & 34 (42.5 \%) & 46 (57.5 \%) \\ High grade (Score 2 & 3) & 44 (61.1 \%) & 28 (38.9 \%) \\ \hline Tumor size & < 0.001 \\ < 5 cm & 24 (34.8 \%) & 45 (65.2 \%) \\ < 5 cm & 24 (34.8 \%) & 45 (65.2 \%) \\ < 5 cm & 54 (51.4 \%) & 34 (58.6 \%) \\ \hline Primary site & NS \\ Head and neck & 24 (41.4 \%) & 34 (58.6 \%) \\ Trunk and extremities & 54 (57.4 \%) & 40 (42.6 \%) \\ \hline Lung metastases & NS \\ No & 24 (51.1 \%) & 23 (48.9 \%) \\ Yes & 54 (51.4 \%) & 51 (48.6 \%) \\ \hline Chemotherapy regimen & < 0.001 \\ \hline MAID (AI) & 53 (64.6 \%) & 29 (35.4 \%) \\ CAV / IE & 25 (53.7 \%) & 40 (41.9 \%) \\ \hline High & 17 (36.2 \%) & 30 (63.8 \%) \\ \hline \end{array}$	Synovial sarcomas	20 (60.6 %)	13 (39.4 %)	
Other STS entity       17 (53.1 %)       15 (46.9 %)         FNCLCC grade       0.022         Low grade (Score 1)       34 (42.5 %)       46 (57.5 %)         High grade (Score 2 & 3)       44 (61.1 %)       28 (38.9 %)         Tumor size       < 0.001	Undifferentiated sarcomas	18 (60.0 %)	12 (40.0 %)	
FNCLCC grade       0.022         Low grade (Score 1) $34$ ( $42.5$ %) $46$ ( $57.5$ %)         High grade (Score 2 & 3) $44$ ( $61.1$ %) $28$ ( $38.9$ %)         Tumor size       < 0.001	Other STS entity	17 (53.1 %)	15 (46.9 %)	
Low grade (Score 1) $34 (42.5 \%)$ $46 (57.5 \%)$ High grade (Score 2 & 3) $44 (61.1 \%)$ $28 (38.9 \%)$ <b>Tumor size</b> < 0.001	FNCLCC grade			0.022
High grade (Score 2 & 3)       44 (61.1 %)       28 (38.9 %)         Tumor size       < 0.001	Low grade (Score 1)	34 (42.5 %)	46 (57.5 %)	
Tumor size       < 0.001         < 5 cm	High grade (Score 2 & 3)	44 (61.1 %)	28 (38.9 %)	
< 5  cm 24 (34.8  %) 45 (65.2  %)  ≥ 5  cm 54 (65.1  %) 29 (34.9  %)  Primary site NS  Head and neck 24 (41.4 $ %)$ 34 (58.6 $ %)  Trunk and extremities 54 (57.4  %) 40 (42.6  %)  Lung metastases NS  No 24 (51.1  %) 23 (48.9  %)  Yes 24 (51.4  %) 51 (48.6  %)  Chemotherapy regimen            Chemotherapy regimen (0.001)         53 (64.6  %) 29 (35.4  %)  CAV / IE 25 (35.7  %) 45 (64.3  %)CREB3L1 expression 0.012Low 61 (58.1  \%) 44 (41.9  \%)  High 17 (36.2  \%) 30 (63.8  \%)  $	Tumor size			< 0.001
≥ 5 cm 54 (65.1 %) 29 (34.9 %)  Primary site NS Head and neck 24 (41.4 %) 34 (58.6 %) Trunk and extremities 54 (57.4 %) 40 (42.6 %) Lung metastases NS No 24 (51.1 %) 23 (48.9 %) Yes 24 (51.1 %) 23 (48.9 %) Yes 54 (51.4 %) 51 (48.6 %) Chemotherapy regimen < 0.001 MAID (AI) 53 (64.6 %) 29 (35.4 %) CAV / IE 25 (35.7 %) 49 (35.4 %) CREB3L1 expression 0.012 Low 61 (58.1 %) 44 (41.9 %) High 17 (36.2 %) 30 (63.8 %)	< 5 cm	24 (34.8 %)	45 (65.2 %)	
Primary site         NS           Head and neck         24 (41.4 %)         34 (58.6 %)           Trunk and extremities         54 (57.4 %)         40 (42.6 %)           Lung metastases         NS           No         24 (51.1 %)         23 (48.9 %)           Yes         54 (57.4 %)         51 (48.6 %)           Chemotherapy regimen         < 0.001	≥5 cm	54 (65.1 %)	29 (34.9 %)	
Head and neck     24 (41.4 %)     34 (58.6 %)       Trunk and extremities     54 (57.4 %)     40 (42.6 %)       Lung metastases     NS       No     24 (51.1 %)     23 (48.9 %)       Yes     54 (51.4 %)     51 (48.6 %)       Chemotherapy regimen     < 0.001	Primary site			NS
Trunk and extremities     54 (57.4 %)     40 (42.6 %)       Lung metastases     NS       No     24 (51.1 %)     23 (48.9 %)       Yes     54 (51.4 %)     51 (48.6 %)       Chemotherapy regimen     < 0.001	Head and neck	24 (41.4 %)	34 (58.6 %)	
Lung metastases         NS           No         24 (51.1 %)         23 (48.9 %)           Yes         54 (51.4 %)         51 (48.6 %)           Chemotherapy regimen         < 0.001	Trunk and extremities	54 (57.4 %)	40 (42.6 %)	
No         24 (51.1 %)         23 (48.9 %)           Yes         54 (51.4 %)         51 (48.6 %)           Chemotherapy regimen         < 0.001           MAID (AI)         53 (64.6 %)         29 (35.4 %)           CAV / IE         25 (35.7 %)         45 (64.3 %)           CREB3L1 expression         0.012           Low         61 (58.1 %)         44 (41.9 %)           High         17 (36.2 %)         30 (63.8 %)	Lung metastases			NS
Yes     54 (51.4 %)     51 (48.6 %)       Chemotherapy regimen     < 0.001       MAID (AI)     53 (64.6 %)     29 (35.4 %)       CAV / IE     25 (35.7 %)     45 (64.3 %)       CREB3L1 expression     0.012       Low     61 (58.1 %)     44 (41.9 %)       High     17 (36.2 %)     30 (63.8 %)	No	24 (51.1 %)	23 (48.9 %)	
Chemotherapy regimen         < 0.001           MAID (AI)         53 (64.6 %)         29 (35.4 %)           CAV / IE         25 (35.7 %)         45 (64.3 %)           CREB3L1 expression         0.012           Low         61 (58.1 %)         44 (41.9 %)           High         17 (36.2 %)         30 (63.8 %)	Yes	54 (51.4 %)	51 (48.6 %)	
MAID (AI)         53 (64.6 %)         29 (35.4 %)           CAV / IE         25 (35.7 %)         45 (64.3 %)           CREB3L1 expression         0.012           Low         61 (58.1 %)         44 (41.9 %)           High         17 (36.2 %)         30 (63.8 %)	Chemotherapy regimen			< 0.001
CAV / IE         25 (35.7 %)         45 (64.3 %)           CREB3L1 expression         0.012           Low         61 (58.1 %)         44 (41.9 %)           High         17 (36.2 %)         30 (63.8 %)	MAID (AI)	53 (64.6 %)	29 (35.4 %)	
CREB3L1 expression         0.012           Low         61 (58.1 %)         44 (41.9 %)           High         17 (36.2 %)         30 (63.8 %)	CAV / IE	25 (35.7 %)	45 (64.3 %)	
Low 61 (58.1 %) 44 (41.9 %) High 17 (36.2 %) 30 (63.8 %)	CREB3L1 expression			0.012
High 17 (36.2 %) 30 (63.8 %)	Low	61 (58.1 %)	44 (41.9 %)	
	High	17 (36.2 %)	30 (63.8 %)	

NS: not significant.

#### Statistical analysis

Associations between CREB3L1 expression and clinicopathological factors were analyzed using Fisher's exact test. Response rate, progression-free survival and overall survival were compared using the Chi-square test and the Kaplan-Meier method. Differences in survival among groups were compared using log-rank tests. We used a logistical model and Cox proportional hazards regression analysis to assess chemotherapeutic regimens and CREB3L1 expression in relation to response and survival. *P*-values < 0.05 were considered statistically significant. All statistical analyses were performed using SPSS version 17.0 statistical software (IBM Corp, Armonk, NY, USA).

#### Results

# Comparison of the efficacies of the MAID (AI) and CAV/IE regimens

In this study, the overall response rate to doxorubicin-based first-line palliative chemotherapy in patients with advanced soft-tissue sarcoma was 48.7 %. The response rate differed significantly between the two regimens in favor of the CAV / IE regimen. Twenty-nine of eighty-two (35.4 %) patients in the MAID (AI) regimen group and forty-five of seventy (64.3 %) patients in the CAV / IE regimen group exhibited an overall response (Table 2; P<0.001).

# Prognostic analysis of the MAID (AI) and CAV/IE regimens on survival

Patients who received the CAV/IE alternating regimen experienced a more favorable outcome with respect to progression-free survival in comparison with those who underwent MAID (AI) treatment (Figure 3A; P = 0.001). The median progression-free survival was 6 months in the MAID (AI) regimen group versus 10 months in the CAV/IE alternative regimen group. Compared to patients who received the MAID (AI) regimen, there was increased overall survival in patients who received CAV/IE alternating regimens (Figure 3B; P < 0.001). The overall survival at 1 year was 52.2 % in the MAID (AI) regimen group versus 85.1 % in the CAV/IE alternative regimen group. The overall survival at 2 years was 13.1 % in the MAID (AI) regimen group versus 57.5 % in the CAV/IE alternating regimen group. In multivariate analysis with possible confounding factors, chemotherapeutic regimen remained independent prognostic factors for both progression-free survival (Table 3; P < 0.001) and overall survival (Table 3; P =0.001).

For patients with primary lesions in the head and neck, the CAV/IE regimen conveyed a more favorable overall survival compared with the MAID (AI) regimen (P = 0.036; Table 4). The overall survival in synovial sarcoma was significantly longer in the CAV/IE alternative regimen group than in the MAID (AI) regimen group (P = 0.009; Table 4).

Tab	le 3.	. Survival	in pa	tients	with	advanced	soft-tissue	sarcoma
after	dox	orubicin-	based	first-l	ine pa	lliative ch	emotherapy	<i>'</i> .

	Progression-free survival		Overall survival	
	HR (95 % CI)	P value	HR (95 % CI)	P value
All patients				
Sex		NS		NS
Male	NA		NA	
Female	NA		NA	
Age		< 0.001		0.013
< 40 years old	1.00 (Reference)		1.00 (Reference)	
≥ 40 years old	2.25 (1.47 - 3.46)		2.02 (1.16 - 3.54)	
Histological type		0.003		< 0.001
Rhabdomyosarcomas	1.00 (Reference)		1.00 (Reference)	
Synovial sarcomas	1.69 (1.02 - 2.82)		2.53 (1.36 - 4.71)	
Undifferentiated sarcomas	0.64 (0.35 - 1.12)		0.55 (0.25 - 1.17)	
Other STS entity	0.56 (0.32 - 0.98)		0.87 (0.43 - 1.78)	
FNCLCC grade		NS		< 0.001
Low grade (Score 1)	NA		1.00 (Reference)	
High grade (Score 2 & 3)	NA		2.36 (1.47 - 3.79)	
Tumor size		NS		0.019
< 5 cm	NA		1.00 (Reference)	
≥ 5 cm	NA		1.87 (1.11 - 3.17)	
Primary site		0.006		< 0.001
Head and neck	1.00 (Reference)		1.00 (Reference)	
Trunk and extremities	1.91 (1.21 - 3.02)		4.67 (2.45 - 8.90)	
Lung metastases		0.020		0.003
No	1.00 (Reference)		1.00 (Reference)	
Yes	1.79 (1.10 - 2.91)		2.39 (1.34 - 4.29)	
Chemotherapy regimen		0.034		< 0.001
MAID (AI)	1.00 (Reference)		1.00 (Reference)	
CAV / IE	0.62 (0.40 - 0.97)		0.29 (0.16 - 0.52)	
CREB3L1 expression		< 0.001		0.001
Low	1.00 (Reference)		1.00 (Reference)	
High	0.43 (0.27 - 0.67)		0.40 (0.23 - 0.69)	

NS: not significant. NA: data not available

**Table 4.** Overall survival in patients with head and neck primary lesions or synovial sarcomas after doxorubicin-based first-line palliative chemotherapy.

	Head and neck primary lesion		Synovial sarcoma		
	Median P value for survival		Median	P value for survival	
	OS	curve	OS	curve	
MAID	11	0.036	9 months	0.009	
regimen	months				
CAV/IE	12.5		17		
regimen	months		months		

## Adverse events for the MAID (AI) and CAV/IE regimens

The common toxicity (grade  $\geq$  3) for first-line palliative chemotherapy in all 152 advanced soft-tissue sarcoma patients is summarized in Table 5. Grade  $\geq$  3 adverse events were more common in patients treated with the MAID (AI) regimen than in those treated with the CAV/IE alternative regimen. The most common grade  $\geq$  3 toxicity was leukopenia (58.5 % of patients with MAID (AI) regimen; 37.1 % of patients with CAV/IE regimen). Febrile neutropenia was observed in 11 of 82 patients (13.4 %) from the MAID (AI) regimen and in 5 of 70 patients (7.1 %) from the CAV/IE regimen. Grade 3 neuralgia was observed in 1.2 % of patients receiving the MAID (AI) regimen versus 8.6 % of patients receiving the CAV/IE regimen.

**Table 5.** Common adverse events (grade  $\geq$  3) after doxorubicin-based first-line palliative chemotherapy for advanced soft-tissue sarcoma.

Adverse event	Total	MAID (AI) regimen (n = 82)	CAV/IE regimen (n = 70)
Leukopenia	74 (48.7 %)	48 (58.5 %)	26 (37.1 %)
Febrile neutropenia	16 (10.5 %)	11 (13.4 %)	5 (7.1 %)
Anemia	6 (3.9 %)	3 (3.7 %)	3 (4.3 %)
Thrombocytopenia	3 (2.0 %)	1 (1.2 %)	2 (2.9 %)
Neuralgia	7 (4.6 %)	1 (1.2 %)	6 (8.6 %)
Nausea	18 (11.8 %)	8 (9.8 %)	10 (14.3 %)
Mucositis	7 (4.6 %)	2 (2.4 %)	5 (7.1 %)
Fatigue	17 (11.2 %)	9 (11.0 %)	8 (11.4 %)
Diarrhea	1 (0.7 %)	1 (1.2 %)	0 (0.0 %)
Vomiting	10 (6.6 %)	3 (3.7 %)	7 (10.0 %)

## Assessment of CREB3L1 expression in advanced soft-tissue sarcoma

CREB3L1 was localized to the cytoplasm in advanced soft-tissue sarcoma cells. Representative cases of different immunohistochemical staining levels are presented in Figure 1. Stained tumors with H-scores  $\geq$  3 were deemed CREB3L1 high expression. Low expression of CREB3L1 was observed in one hundred and four specimens (68.4 %), whereas forty-eight CREB3L1 high-expression specimens (31.6 %) were detected among the 152 advanced soft-tissue sarcoma patients.

CREB3L1 mRNA expression was assessed in 78 samples. We detected the levels of CREB3L1 mRNA in CREB3L1 high-expression and CREB3L1 low-expression samples as classified by IHC analysis. The relative mRNA levels of CREB3L1 were significantly higher in specimens with high CREB3L1 protein expression (mRNA  $4.87 \pm 0.05$ ; Figure 2).



**Figure 2.** Relative levels of CREB3L1 mRNA in CREB3L1 high-expression and CREB3L1 low-expression cases as determined by IHC analysis. The CREB3L1 mRNA levels were significantly higher in CREB3L1 high-expression patients than in CREB3L1 low-expression patients (P < 0.01).



Figure 3. Kaplan-Meier survival analysis of patients being treated with the MAID (AI) or CAV/IE regimens. Differences in survival between the subgroups were analyzed by log-rank tests. Progression-free survival (A) and overall survival (B) were significantly longer for the CAV/IE alternating regimen than for the MAID (AI) regimen.

# Association between CREB3L1 expression and patient characteristics

The relationships between CREB3L1 expression and clinicopathological factors are summarized in Table 6. CREB3L1 expression was significantly associated with histological type (P = 0.016), FNCLCC histological grade (P = 0.028), and lung metastases (P= 0.038). With respect to the histological type of soft-tissue CREB3L1 advanced sarcoma, high-expression specimens were found in 17.5 %, 45.5 % and 43.3 % patients with rhabdomyosarcomas, synovial sarcomas and undifferentiated sarcomas, respectively. There were no significant associations observed between CREB3L1 expression and sex, age, tumor size, or primary site.

 Table 6.
 CREB3L1 expression in patients with advanced soft-tissue sarcoma.

	CREB3L1 expression	on	
	Low	High	P value
All patients	105 (69.1 %)	47 (30.9 %)	
Sex			NS
Male	57 (68.7 %)	26 (31.3 %)	
Female	48 (69.6 %)	21 (30.4 %)	
Age			NS
< 40 years old	55 (65.5 %)	29 (34.5 %)	
≥ 40 years old	50 (73.5 %)	18 (26.5 %)	
Histological type			0.016
Rhabdomyosarcomas	47 (82.5 %)	10 (17.5 %)	
Synovial sarcomas	18 (54.5 %)	15 (45.5 %)	
Undifferentiated sarcomas	17 (56.7 %)	13 (43.3 %)	
Other STS entity	23 (71.9 %)	9 (28.1 %)	
FNCLCC grade			0.028
Low grade (Score 1)	49 (61.3 %)	31 (38.8 %)	
High grade (Score 2 & 3)	56 (77.8 %)	16 (22.2 %)	
Tumor size			NS
< 5 cm	44 (63.8 %)	25 (36.2 %)	
≥ 5 cm	61 (73.5 %)	22 (26.5 %)	
Primary site			NS
Head and neck	44 (75.9 %)	14 (24.1 %)	
Trunk and extremities	61 (64.9 %)	33 (35.1 %)	
Lung metastases			0.038
No	27 (57.4 %)	20 (42.6 %)	
Yes	78 (74.3 %)	27 (25.7 %)	

NS: not significant.

# Predictive analysis of CREB3L1 expression for chemotherapeutic efficacy

Thirty of forty-seven (63.8 %) patients with high CREB3L1 expression and forty-four of one hundred and five (41.9 %) patients with low CREB3L1 expression exhibited an overall response (P = 0.012; Table 2). For the MAID (AI) regimen, the response rate of patients with high CREB3L1 expression (57.7 %; 15 of 26) was greater than that for low CREB3L1 expression (25.0 %; 14 of 56) (Table 7; P = 0.004). For the CAV/IE alternating regimen, although there was no significant association observed between the CREB3L1 expression and response rate (P = 0.414), 15 of 21 (71.4 %) CREB3L1 high-expression patients exhibited overall responses compared with 30 of 49 (61.2 %) CREB3L1 low-expression patients.

# Prognostic analysis of CREB3L1 expression association with overall survival

Overall survival was significantly longer for CREB3L1 high-expression patients than for CREB3L1 low-expression patients (Figure 4A; P < 0.001). The overall survival at 1 year was 57.6 % in the low CREB3L1 expression group versus 83.5 % in the high CREB3L1 expression group. The median overall survival was 13 months in the low CREB3L1 expression group versus 24 months in the high CREB3L1 expression group. CREB3L1 expression remained an independent prognostic factor after multivariate analysis (Table 3). The overall survival for CREB3L1 high-expression patients was significantly longer than that for CREB3L1 low-expression patients in both the MAID (AI) regimen (Figure 4B; P = 0.002) and the CAV/IE alternating regimen subgroups (Figure 4C; P = 0.008). The expression of CREB3L1 exhibited good prognostic significance for overall survival in patients with advanced soft-tissue sarcoma, particularly for those treated with the MAID (AI) regimen.



Figure 4. Kaplan-Meier survival analysis of patients with high or low levels of CREB3L1 expression. (A) Differences in overall survival between the subgroups were analyzed by log-rank tests. Overall survival was significantly longer for CREB3L1 high-expression patients than for CREB3L1 low-expression patients (P < 0.001). Kaplan-Meier survival analysis of patients who received the MAID(AI) regimen (B) or the CAV/IE alternative regimen (C).

 Table 7. Responses to first-line palliative chemotherapy between CREB3L1 high-expression patients and CREB3L1 low-expression patients.

MAID (AI) regimen			P value CAV/IE regimen			P value		
CREB3L1 expression	Total	Non-response	Response	-	Total	Non-response	Response	-
Low	56	42 (75.0 %)	14 (25.0 %)	0.004	49	19 (38.8 %)	30 (61.2 %)	0.414
High	26	11 (42.3 %)	15 (57.7 %)		21	6 (28.6 %)	15 (71.4 %)	

#### Discussion

Doxorubicin and ifosfamide are currently the main therapeutic regimens used to treat advanced soft-tissue sarcomas [15, 16]. The most common palliative chemotherapeutic regimen is the MAID (AI) regimen [3, 17], while the CAV/IE alternating regimen is the first-line systemic therapy for rhabdomyosarcomas and PNET/Ewing's tumors [5, 6]. Because the CAV/IE alternating regimen may possess broad-spectrum antitumor activity with more chemotherapeutic agents than the MAID (AI) regimen, one of the purposes of the present study was to compare efficacies of the MAID (AI) and CAV/IE alternating regimens in advanced soft-tissue sarcomas patients.

The CAV/IE alternating regimen is indispensable for treating patients with advanced PNET/Ewing's sarcoma, rhabdomyosarcoma, undifferentiated sarcoma, or sarcoma of the bone [5, 18] In addition, chemotherapy with cyclophosphamide, doxorubicin, vincristine, ifosfamide and etoposide is also a commonly used approach to small cell lung cancer and diffuse large B

cell lymphoma [19, 20]. Toxicity in the CAV/IE alternating regimen is quite tolerable [5], consistent with the results in this study. Even reducing the interval between chemotherapy cycles from three weeks to two weeks increased the favorable efficacy with no increase in toxicity [21]. The improvement in the outcome of patients receiving the CAV/IE alternating regimen may be due to the inhibition of topoisomerase II and, consequently, the impairment of DNA uncoiling after alkylating agent-induced DNA damage in response to ifosfamide [22].

There are no studies directly comparing the effects and toxicities of the MAID (AI) and CAV/IE alternating regimens in advanced soft-tissue sarcoma. In our study, the response rates differed significantly between the two groups in favor of the CAV/IE regimen. Patients exhibited a more favorable outcome with respect to both progression-free survival and overall survival in the CAV/IE regimen in comparison to the MAID (AI) treatment. For patients with synovial sarcoma or rhabdomyosarcomas as well as patients with primary lesions in the head and neck, the CAV/IE regimen also resulted in a more favorable overall survival in comparison with the MAID (AI)

regimen. Since there is no effective chemotherapeutic regimen for patients with primary sarcoma lesions in the head and neck, the CAV/IE alternating regimen may be worth attempting. In addition, grade  $\geq 3$  adverse events were less common in patients treated with the CAV/IE alternating regimen than in those treated with the MAID (AI) regimen.

Concerning the resistance to ADM-based chemotherapy in clinical practice [23, 24], we investigated the associations between CREB3L1 expression and survival in advanced soft-tissue sarcomas patients treated with doxorubicin-based palliative chemotherapy. The present study revealed a significant association between CREB3L1 expression and the efficacy of doxorubicin-based first-line palliative chemotherapy for advanced soft-tissue sarcoma patients. The CREB3L1 expression, chemotherapy regimen and clinicopathological factors were included in the Cox proportional hazards model. High levels of CREB3L1 expression were associated with increased overall survival times in advanced soft-tissue sarcoma patients treated with doxorubicin-based chemotherapy in both the MAID (AI) regimen and the CAV/IE alternative regimen. Using multivariate analysis, CREB3L1 expression levels emerged as an independent prognostic marker of survival. CREB3L1 may therefore predict prognosis independent of common prognostic factors, such as clinical T stage and histological grade.

CREB3L1 is an important factor in tumor development and metastasis as a transducer of the unfolded protein response. Transfecting CREB3L1 into cells that normally express low levels of CREB3L1 reduced cell migration, invasion, anchorageindependent growth and tolerance to hypoxia.[25] Deborah et al. reported the role of CREB3L1 as a suppressor in breast cancer metastasis and demonstrated that epigenetic silencing is a major regulator of CREB3L1 expression loss.[26] In the present study, a significant association was observed between CREB3L1 expression and lung metastases. Jing et al. reported that higher levels of CREB3L1 protein are correlated with increased doxorubicin sensitivity of xenograft RCC tumors in vitro.[27] Upon doxorubicin treatment, CREB3L1 is cleaved so that the N-terminal domain of the protein can reach the nucleus, where it activates the transcription of genes that inhibit cell proliferation[26]. As the first-line chemotherapeutic regimens to treat advanced predominantly soft-tissue sarcoma contain doxorubicin, CREB3L1 has good potential as a useful biomarker of sensitivity to doxorubicin-based palliative chemotherapy for advanced soft-tissue sarcoma.

Effort has been made to identify biomarkers in advanced soft-tissue sarcoma that can predict disease progression and clinical outcomes. Merimsky et al. reported that erbB-4 expression may predict survival in patients with soft-tissue sarcoma treated with chemotherapy.[28] Moreover, Nakatani et al. reported that miR-34a expression may directly influence cell chemo-sensitivity and malignancy in soft-tissue sarcoma.[29] Marklein et al. reported that PI3K inhibition enhances doxorubicin-induced apoptosis in sarcoma cells.[30] However, few markers have been confirmed to predict survival in soft-tissue sarcoma patients.

The results of the present study indicate that CREB3L1 can help identify patients with advanced soft-tissue sarcoma that will respond to doxorubicinbased palliative chemotherapy, especially for those treated with the MAID (AI) regimen. Therefore, advanced soft-tissue sarcoma patients with high CREB3L1 expression may benefit from doxorubicin-based chemotherapy, while those with low CREB3L1 expression may fare better with other chemotherapeutic regimens, radiotherapy or combined treatment. Prospective studies applying this selective approach are needed in the future.

One limitation of the present study is that we did not show a direct role of CREB3L1 in advanced soft-tissue sarcoma. However, our CREB3L1 staining results of clinical samples suggest that an abundance of CREB3L1 is associated with responses to doxorubicin-based palliative chemotherapy. Other limitations of this study include a small sample size for analysis and a retrospective study design. More multi-center prospective researches with independent cohorts for validation are needed to address these limitations.

## Conclusions

The present study suggests that the CAV/IE alternating regimen may be associated with more favorable survival than the MAID (AI) regimen. Our study also indicates that CREB3L1 could be a useful biomarker for predicting the efficacy of doxorubicin-based palliative chemotherapy in advanced soft-tissue sarcoma.

## Acknowledgments

This work was supported by the National Scientific Foundation of China (No. 81572403, 81772863).

## **Competing Interests**

The authors have declared that no competing interest exists.

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