

1 **Contributions of associative and non-associative learning to the dynamics of defensive**  
2 **ethograms**

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10

11 **Abstract**

12           Defensive behavior changes based on threat intensity, proximity, and context of  
13 exposure, and learning about danger-predicting stimuli is critical for survival. However, most  
14 Pavlovian fear conditioning paradigms focus only on freezing behavior, obscuring the  
15 contributions of associative and non-associative mechanisms to dynamic defensive responses.  
16 To thoroughly investigate defensive ethograms, we subjected male and female adult C57BL/6J  
17 mice to a Pavlovian conditioning paradigm that paired footshock with a serial compound  
18 stimulus (SCS) consisting of distinct tone and white noise (WN) stimulus periods. To investigate  
19 how associative and non-associative mechanisms affect defensive responses, we compared  
20 this paired SCS-footshock group with four control groups that were conditioned with either  
21 pseudorandom unpaired presentations of SCS and footshock, shock only, or reversed SCS  
22 presentations with inverted tone--WN order, with paired or unpaired presentations. On day 2 of  
23 conditioning, the paired group exhibited robust freezing during the tone period with switching to  
24 explosive jumping and darting behaviors during the WN period. Comparatively, the unpaired  
25 and both reverse SCS groups expressed less tone-induced freezing and rarely showed jumping  
26 or darting during WN. Following the second day of conditioning, we observed how defensive  
27 behavior changed over two extinction sessions. During extinction, the tone-induced freezing  
28 decreased in the paired group and mice rapidly shifted from escape jumping during WN to a  
29 combination of freezing and darting. The unpaired, unpaired reverse, and shock-only groups  
30 displayed defensive tail rattling and darting during the SCS, with minimal freezing and jumping.  
31 Interestingly, the paired reverse group did not jump to WN, and tone-evoked freezing was  
32 resistant to extinction. These findings demonstrate that non-associative factors promote some  
33 defensive responsiveness, but associative factors are required for robust cue-induced freezing  
34 and high-intensity flight expression.

35

## 36 **Introduction**

37           Defensive responses have evolved to maximize survival (Anderson and Adolphs, 2014),  
38 and animals rapidly switch behaviors depending on threat imminence, context of exposure, and  
39 previous experience with stimuli (Perusini and Fanselow, 2015). Understanding the  
40 mechanisms underlying adaptive defensive behavior may grant insight into the pathophysiology  
41 of post-traumatic stress and panic disorders, wherein heightened responses to external stimuli  
42 are observed, yet neuroscientists need more tractable methods with which to investigate how  
43 the nervous system controls complex experience-dependent behavior.

44           Pavlovian fear conditioning has been widely used as a model system to understand the  
45 neural mechanisms underlying fear-related learning and memory (Bolles, 1970; Bolles and  
46 Collier, 1976; Grewe et al., 2017; Roy et al., 2017; Bouton et al., 2021). In standard Pavlovian  
47 conditioning paradigms, freezing is the dominant defensive behavior evoked by contexts and  
48 learned cues that are paired with an aversive unconditioned stimulus (US), like footshock  
49 (Blanchard and Blanchard, 1969; Bolles and Collier, 1976). Other defensive responses like  
50 escape jumping (Chu et al., 2022) and darting (Gruene et al., 2015) are measured less often  
51 within conditioning, limiting insight into defensive response dynamics. To address this critical  
52 need, we developed a modified Pavlovian conditioning paradigm that elicits both freezing and  
53 flight behaviors in response to conditioned stimuli (Fadok et al, 2017; Borkar et al., 2020; Borkar  
54 and Fadok, 2021; Borkar et al., 2024), findings that have been replicated by others in both mice  
55 and rats (Dong et al., 2019; Totty et al., 2021). In this paradigm, mice are conditioned with a  
56 serial compound stimulus (SCS) consisting of a pure tone followed by white noise (WN), which  
57 terminates with a strong electrical footshock. After conditioning, mice exhibit contextual freezing  
58 which significantly increases in response to tone, and mice switch to robust flight responses  
59 upon WN presentation. These findings demonstrate that the magnitude and mode of defensive

60 behavior change with the psychological distance of threat, consistent with the predatory  
61 imminence continuum theory (Perusini and Fanselow, 2015).

62         However, the influence of non-associative elements on this ethological profile has  
63 recently been discussed (Fanselow et al., 2019; Hersman et al, 2020; Trott et al. 2022). It has  
64 been suggested that the inherent salience of the WN stimulus contributes more to WN-evoked  
65 flight response than its predictive association with the US (Hersman et al, 2020). Others claim  
66 that the immediate transition from freezing to flight behavior is a result of the rapid change and  
67 relative increase in stimulus intensity from tone to WN that is caused by non-associative  
68 sensitization, or by inherent stimulus properties, akin to an acoustic startle response (Fanselow  
69 et al., 2019, Trott et al. 2022). In addition, sensitization and stimulus salience are known to  
70 intensify freezing responses to auditory stimuli (Kamprath and Wotjak, 2004), and mice show  
71 increased reactivity to a WN stimulus after experiencing stress (Hoffman et al., 2022). These  
72 findings highlight the need to better elucidate the associative and non-associative elements of  
73 Pavlovian fear conditioning that influence expression of defensive behavior.

74         To address this, we utilized four control groups for non-associative effects of  
75 conditioning. To test the importance of the SCS-shock contingency, we utilized an unpaired  
76 control procedure in which the US and the SCS were presented in a separated, pseudorandom,  
77 and non-predictive fashion (Rescorla, 1967). To test the effects of sensitization by the shock, we  
78 presented footshock alone during conditioning. To test the impact of stimulus intensity and  
79 salience, we conducted paired and unpaired conditioning using a reversed SCS where the WN  
80 preceded the tone. We compared these four control groups against a paired SCS-shock  
81 conditioning group to determine the effects of associative learning on SCS-evoked fear  
82 behavior. All groups went through two extinction sessions with SCS presentations alone to  
83 elucidate the extent to which prior associative pairing affects de-escalating response strategies,

84 as well as to identify defensive behaviors that are expressed in the absence of a strict threat-  
85 signaling association.

## 86 **Materials & Methods**

### 87 *Animal Subjects*

88 We used C57BL/6J mice (Jackson Laboratory, Bar Harbor, Maine, Stock #000664),  
89 aged 3-6 months in this study. Equal numbers of males and females were used in all  
90 experiments. All mice were individually housed on a 12 h light/dark cycle throughout the study  
91 with *ad libitum* access to food and water. Behavioral experiments were performed during the  
92 light cycle. All animal procedures were performed in accordance with institutional guidelines and  
93 were approved by the Institutional Animal Care & Use Committee of Tulane University.

### 94 *Apparatus*

95 Behavioral testing was performed in two contexts. Context A consisted of a 30 cm  
96 diameter transparent acrylic cylinder with a smooth acrylic floor, cleaned with 1% acetic acid  
97 between each subject. Context B consisted of a modular fear conditioning chamber (ENV-  
98 307W, Med Associates, Inc., Fairfax, Vermont) with metal grid flooring and walls of  
99 polycarbonate, stainless steel, and polyurethane, cleaned with 70% ethanol solution between  
100 sessions. Alternating current footshocks (ENV-414S, Med Associates, Inc.) were delivered to  
101 the mice during conditioning in Context B. A programmable audio generator (ANL-926, Med  
102 Associates, Inc.) generated auditory stimuli that were delivered at 75 dB in each context via an  
103 overhead speaker (ENV-224AM, Med Associates, Inc.). A serial compound stimulus (SCS) was  
104 used as previously described (Fadok et al., 2017, Borkar and Fadok, 2021, Borkar et al., 2020).  
105 The SCS consisted of ten pips of tone (7.5 kHz, 0.5 ms at 1 Hz) followed by ten pips of white  
106 noise (0.5 ms at 1 Hz), and the reversed SCS consisted of ten pips of white noise followed by

107 ten pips of tone. Behavioral protocols were generated using Med-PC software (Med Associates,  
108 Inc.) to control auditory stimuli and shock with high temporal precision.

109 *Experimental Design: SCS Conditioning and Extinction Paradigm*

110 Mice were randomly allocated to one of five groups: Paired (PA), Paired Reverse (PA-  
111 R), Unpaired (UN), Unpaired Reverse (UN-R), and Shock Only (SO). Behavioral testing took  
112 place over 5 days. For all days of the paradigm, PA-R and UN-R mice experienced the reversed  
113 SCS at identical presentation timings as their respective PA and UN counterparts. On Day 1  
114 (Pre-Exposure), subjects were placed in Context A for a baseline period of 3 min, followed by 4  
115 presentations of the SCS with a pseudorandom inter-stimulus interval (ISI) period of 90-100 s  
116 and a period of 40 s following the final SCS presentation, totaling 590 s per session. Day 2 and  
117 Day 3 (Conditioning) took place in Context B. On each conditioning day (CD1 and CD2), mice  
118 were subjected to one of three conditions after a 3 min baseline period. For all groups, each  
119 conditioning session lasted 820 s. PA mice (n=16 males, 16 females) and PA-R mice (n=5  
120 males, 5 females) were presented with 5 pairings of the SCS co-terminating with a 1 s, 0.9 mA  
121 footshock, with pseudorandom ISI periods of 90-150 s and a period of 60 s following the final  
122 footshock of the session. UN mice (n=10 males, 10 females) and UN-R mice (n=5 males, 5  
123 females) were presented with pseudorandom presentations of SCS and footshocks separate  
124 from one another with ISI periods of 40-60 s, with a period of 90 s following the final stimulus of  
125 the session. Stimuli were ordered such that the SCS could not reliably predict footshock. PA,  
126 PA-R, UN, and UN-R mice all received the same number of SCS and footshock presentations,  
127 only differing by SCS-footshock contingency. SO mice (n=10 males, 10 females) did not receive  
128 presentations of the SCS during conditioning and were given 5 footshocks with pseudorandom  
129 ISI periods of 120-160 s each session, with a period of 80 s following the final shock of the  
130 session. For all groups, stimulus timing and ISI differed between CD1 and CD2 to avoid  
131 predictable anticipation of stimuli before presentation. Days 4 and 5 (Extinction) took place in

132 Context B, and each session consisted of 16 presentations of the SCS with pseudorandom ISI  
133 periods of 60-120 s, with a period of 50 s following the final SCS of the session. Each Extinction  
134 session (Ext1 and Ext2) lasted 1910 s. Subjects were sacrificed after the conclusion of  
135 behavioral testing.

### 136 *Behavioral Recording and Analysis*

137 All sessions were recorded to video using a camera (Pike, Allied Vision, Stadtroda,  
138 Germany) mounted above the behavioral contexts with stimulus events encoded to the same  
139 files using TTL pulses (Omniplex, Plexon, Dallas, Texas). Contour tracking (Cineplex, Plexon)  
140 was used to automatically detect freezing based on frame-by-frame changes in pixels. Freezing  
141 behavior was defined as a complete cessation of movement for at least 1 s, and results were  
142 confirmed with an observer blinded to condition. By determining a calibration coefficient using  
143 the known size of the behavioral context and the camera's pixel dimensions, speed (cm/s) was  
144 extracted using the animal's center of gravity. An activity index was calculated for each animal  
145 using a ratio of its speed during either the tone or WN stimulus (CS) period and its average  
146 speed from the combined 10 s periods prior to each SCS presentation (pre-SCS) during the  
147 session; the number of jumps performed during that stimulus period was then added to this ratio  
148 ( $\text{Speed}_{\text{CS}} / \text{Speed}_{\text{avg pre-SCS}} + \text{Jumps}$ ). Previously we calculated flight scores per trial using speed  
149 from each trial's pre-SCS period (Fadok et al., 2017; Borkar et al., 2020), but here we utilized an  
150 average from all pre-SCS periods in our calculations to avoid denominators that were close to or  
151 equal to 0, a complication noted by other groups (Hersman et al., 2020). Reflecting this change,  
152 we now refer to this measure of locomotor change as an "activity index" instead of a "flight  
153 score" as before. Escape jumps and tail rattling behaviors were manually classified by an  
154 observer blinded to condition. Jumps were defined as the period where the mouse had all four  
155 paws above the chamber floor. Tail rattling was defined as rapid back-and-forth vibrations of the  
156 tip and midsection of the tail. Darting behavior was detected and classified using machine

157 learning software as described below and was defined as rapid bursts of movement across the  
158 floor of the chamber. Distance traveled over pre-SCS, tone, and WN periods was calculated per  
159 mouse by plotting its average speed per 0.5 s intervals and integrating the area under the curve.

160 When performing behavioral analyses that reported cumulative frequencies per group,  
161 20 random subjects from the PA group were used to match the population sizes of the UN and  
162 SO control groups. Due to the lower number of subjects in the PA-R and UN-R groups, they  
163 were excluded from frequency-based comparisons.

#### 164 *Analysis of Darting Using Machine Learning*

165 Darts were scored using the program Simple Behavior Analysis (SimBA, Nillson et al.,  
166 2020) to generate a machine learning algorithm capable of automatically detecting the  
167 occurrence of the behavior of interest. To generate this model, top-down footage (640x480 pixel  
168 resolution, 30 frames per second) of 16 male and female C57BL/6J mice that underwent SCS  
169 fear conditioning in Context B was collected and analyzed in DeepLabCut (DLC) (Mathis et al.,  
170 2018) to assign 8 tracking points (Nose, L Ear, R Ear, Center of Mass, L Flank, R Flank, Tail  
171 Base, Tail Tip) to subjects. The DLC markerless tracking model was generated using manually  
172 assigned points from ~2,000 frames trained using the ResNet50 Neural Network for 125,000  
173 iterations. 2,370 frames containing darting behavior were identified and added to the training set  
174 for SimBA. The darting start point was defined as the first frame in which the mouse began  
175 accelerating from a resting position, and the end point was defined as the last frame before the  
176 mouse returned to a full stop. Once the model was generated, all videos from all subjects were  
177 analyzed using a discrimination threshold of .37 and a minimum duration of 266 ms (8 frames).

#### 178 *Statistical Analysis*

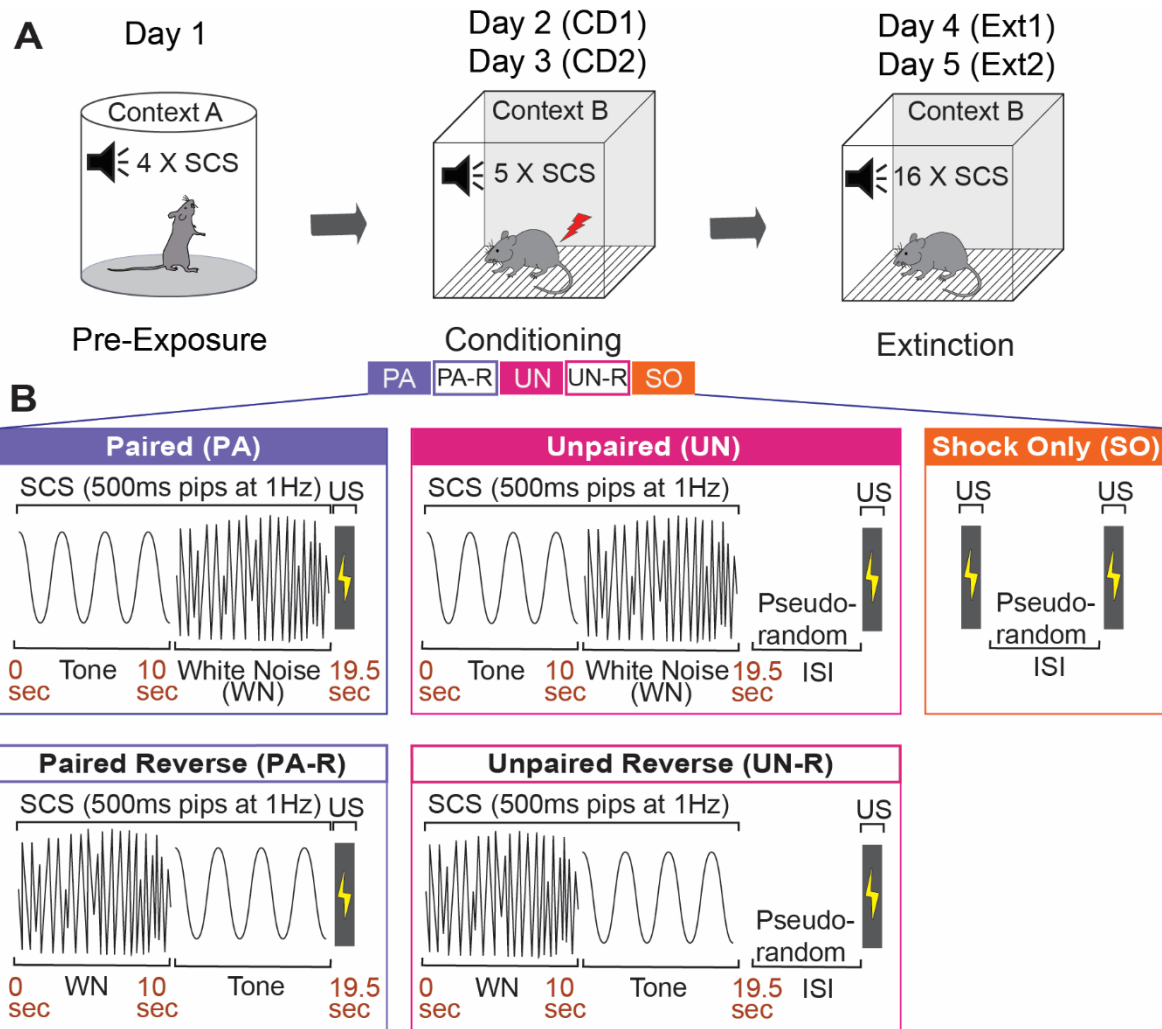
179 Sample sizes for each group were justified via power analysis ( $\alpha = 0.05$ , power = 80%).  
180 Data were analyzed for statistical significance using Prism 9 (GraphPad Software Inc., San



181 Diego, California). For all tests, the definition of statistical significance was  $p < 0.05$ . All data were  
182 checked for normal distribution using the Shapiro-Wilk normality test ( $\alpha = 0.05$ ). For pairwise  
183 comparisons between groups, unpaired t-test with Welch's correction was used to assess  
184 behavioral differences since all relevant datasets had normal distributions. One-way analysis of  
185 variance (ANOVA) was used to assess behavioral differences between all conditioning groups.  
186 Two-way ANOVA was used to assess interactions of time point and conditioning variant  
187 between groups, as well as interactions of stimulus and conditioning variant within groups.  
188 When either ANOVA yielded significant interactions, Tukey's post-hoc multiple comparisons test  
189 was used to detect significant behavioral differences between groups.

## 190 **Results**

191 *Stimulus-evoked freezing and activity are affected by SCS-shock contingency and stimulus*  
192 *order*



193

194 **Figure 1.** Experimental Design. **A**, Graphical representation of the three stages of the SCS  
 195 conditioning paradigm. **B**, Five SCS-shock association variants were used during conditioning.  
 196 SCS, Serial compound stimulus; CD1, Conditioning Day 1; CD2, Conditioning Day 2; Ext1,  
 197 Extinction Day 1; Ext2, Extinction Day 2; US, Unconditioned stimulus; ISI, Inter-stimulus interval.

198

199 Equal numbers of male and female mice were randomly assigned to either a paired  
 200 (**PA**), unpaired (**UN**), shock-only (**SO**), paired reverse (**PA-R**), or unpaired reverse (**UN-R**) group  
 201 for fear conditioning and fear extinction training (**Fig 1**). Data from the PA, UN, and SO groups  
 202 were statistically tested for sex differences and the significant results from these analyses are  
 203 listed in **Table 1**. Given that most comparisons did not yield significant differences, data from

204 male and female mice were pooled for statistical comparisons between groups. Additionally,  
205 given the minimal sex differences observed within the PA, UN, and SO groups, we reduced the  
206 number of subjects in the PA-R and UN-R groups and therefore did not statistically test for sex  
207 differences.

208

Figure	Group	Behavioral Comparison	Statistical Test	p-value	Result
2E	UN	Average tone-evoked % freezing	Welch's unpaired t-test	p=0.0053	Males froze more to tone than females in CD2
2F	UN	Average tone-evoked activity index score	Welch's unpaired t-test	p=0.0178	Males had higher activity to tone compared to females in CD2

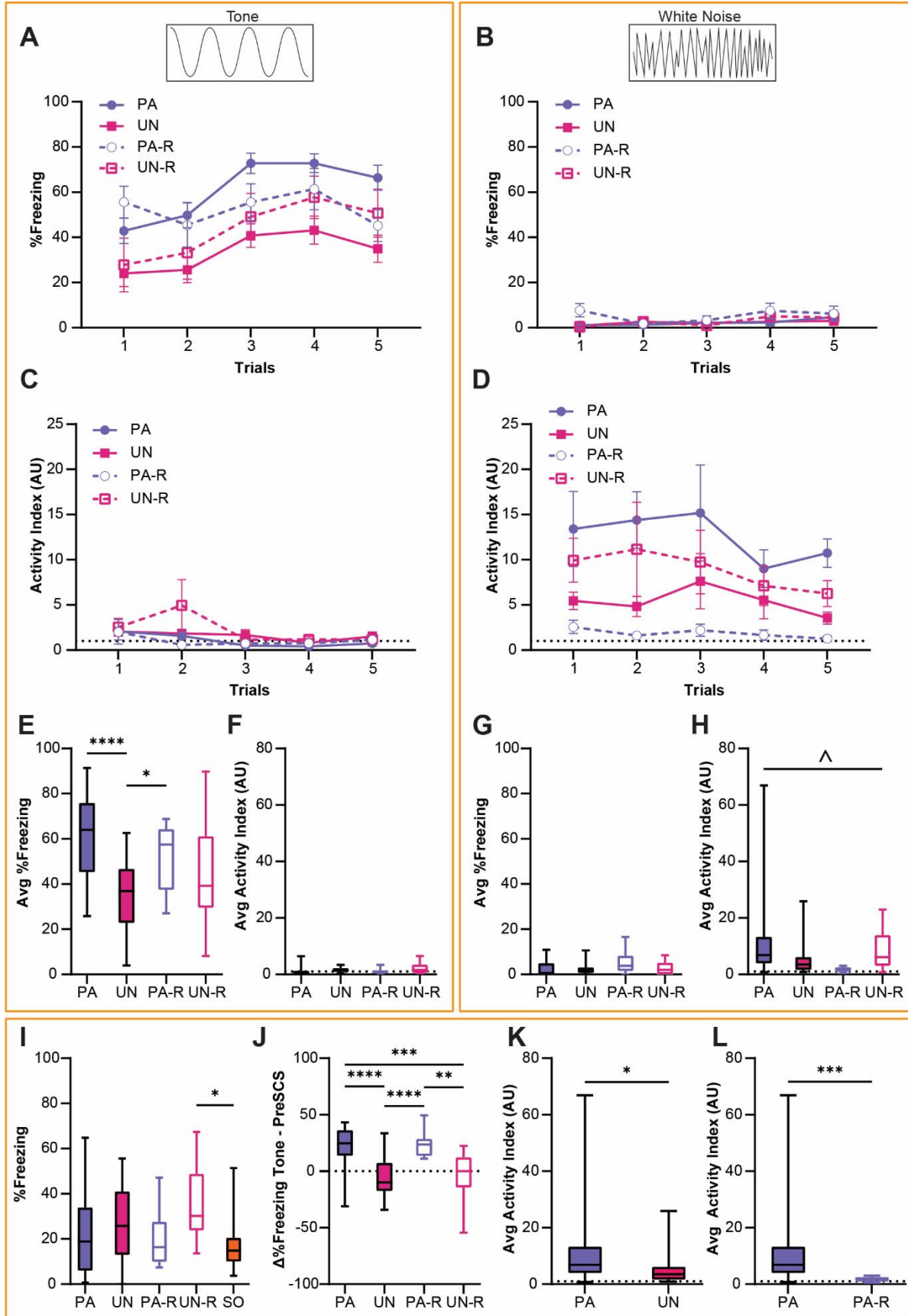
209

210 **Table 1.** Statistical analysis of sex differences in defensive behavior.

211

212 Behavioral data from the PA, UN, PA-R, and UN-R groups during the second day of fear  
213 conditioning (CD2) were compared to observe how conditioned defensive behavior differs  
214 based on the associative value and stimulus order of the SCS. A two-way ANOVA was used to  
215 analyze the effect of trial and group on freezing during the tone and WN. There was no  
216 statistically significant interaction between trial and group for tone-induced freezing (**Fig. 2A**;  
217  $F_{(12, 340)} = 0.65, p=0.80$ ); however, there was a significant main effect of trial ( $F_{(4, 340)} = 6.3,$   
218  $p<0.0001$ ) and group ( $F_{(3, 340)} = 19.6, p<0.0001$ ). All groups showed little freezing to the WN (**Fig**  
219 **2B**), and no significant interaction between trial and group ( $F_{(12, 340)} = 0.76, p=0.69$ ) or main

220 effect of trial ( $F_{(4, 340)} = 2.07, p=0.08$ ) were found. We did find a main effect of group ( $F_{(3, 340)} =$   
221 3.00,  $p=0.03$ ), which was attributed to greater freezing from the PA-R group during Trial 1.



223

224 **Figure 2.** Stimulus-evoked freezing and activity during CD2 are affected by SCS-shock  
225 contingency. **A**, Trial-by-trial freezing during the tone period. **B**, Trial-by-trial freezing during the  
226 WN period. **C**, Trial-by-trial activity index during the tone period. **D**, Trial-by-trial activity index  
227 during the WN period. **E**, Average freezing during the tone period from all trials of CD2. **F**,  
228 Average activity index scores during the tone period from all trials of CD2. **G**, Average freezing  
229 during the WN period from all trials of CD2. **H**, Average activity index scores during the WN  
230 period from all trials of CD2. **I**, Baseline contextual freezing levels during CD2. **J**, Differences in  
231 freezing between pre-SCS and tone periods from all trials of CD2. **K**, Average activity index  
232 scores during the WN period for the PA and UN groups from all trials of CD2. **L**, Average activity  
233 index scores during the WN for the PA and PA-R groups from all trials of CD2. Data from  
234 Figures **2A-2D** are presented as mean  $\pm$  SEM. Data from Figures **2E-2L** are presented as box-  
235 and-whisker plots from min to max. \* $p < 0.05$ ; \*\* $p < 0.01$ ; \*\*\* $p < 0.001$ ; \*\*\*\* $p < 0.0001$ ; ^ $p < 0.05$ , effect  
236 of group.

237

238 An activity index was calculated for each mouse as a combined measurement of cue-  
239 induced locomotion with escape jumping (see Methods), and a two-way ANOVA was used to  
240 analyze tone- and WN-evoked activity indices (**Fig 2C, D**). The activity indices for all groups  
241 were very low during the tone period (**Fig 2C**), and there was no significant interaction between  
242 trial and group ( $F_{(12, 340)} = 0.98$ ,  $p = 0.47$ ). The activity index during the tone decreased over trials,  
243 concomitant with the observed increase in freezing behavior (main effect of trial,  $F_{(4, 340)} = 3.58$ ,  
244  $p = 0.007$ ). There was a significant effect of group ( $F_{(3, 340)} = 2.7$ ,  $p = 0.045$ ), which was attributed  
245 to the UN-R group displaying higher activity during Trial 2. While the WN-evoked activity indices  
246 in all groups showed no significant trial by group interaction ( $F_{(12, 340)} = 0.15$ ,  $p = 0.99$ ), or main  
247 effect of trial ( $F_{(4, 340)} = 0.54$ ,  $p = 0.70$ ), a significant main effect of group (**Fig 2D**,  $F_{(3, 340)} = 9.03$ ,  
248  $p < 0.0001$ ) was observed.

249 An ordinary one-way ANOVA was used to compare average freezing and activity indices  
250 between all groups, and Tukey's multiple comparisons test was used for post-hoc comparisons.  
251 The PA and PA-R group showed significantly higher freezing during the tone than the UN group  
252 (**Fig 2E**,  $F_{(3, 68)} = 9.56$ ,  $p < 0.0001$ ; PA vs UN,  $p < 0.0001$ ; PA-R vs UN,  $p = 0.046$ ), and there was no  
253 significant difference between groups in their activity indices during tone (**Fig 2F**,  $F_{(3, 68)} = 2.14$ ,  
254  $p = 0.10$ ). On the contrary, while there were no differences in WN-evoked freezing between

255 groups (**Fig 2G**,  $F_{(3, 68)} = 2.62$ ,  $p=0.058$ ), there was a significant interaction when analyzing  
256 activity indices during WN (**Fig 2H**,  $F_{(3, 68)} = 3.04$ ,  $p=0.035$ ). However, post-hoc comparisons did  
257 not yield significant pairwise differences.

258 An ordinary one-way ANOVA was used to test for between-group differences in  
259 contextual freezing during the initial three minutes of the session preceding the first SCS  
260 presentation, and Tukey's multiple comparisons test was used for post-hoc comparisons. There  
261 was a main effect of group for baseline contextual freezing (**Fig 2I**,  $F_{(3, 68)} = 3.18$ ,  $p=0.017$ ), but  
262 significant differences were found only between the UN-R and SO groups (UN-R vs SO,  
263  $p=0.013$ ). Welch's unpaired t-test was used to compare the differences in freezing during the  
264 pre-SCS and tone periods to determine the extent to which the tone increased freezing. The PA  
265 and PA-R groups showed significantly greater increases in freezing from pre-SCS to tone  
266 compared to the UN and UN-R groups (**Fig 2J**,  $F_{(3, 68)} = 15.92$ ,  $p<0.0001$ ; PA vs UN,  $p<0.0001$ ;  
267 PA vs UN-R,  $p=0.0009$ ; PA-R vs UN,  $p<0.0001$ ; PA-R vs UN-R,  $p=0.0055$ ).

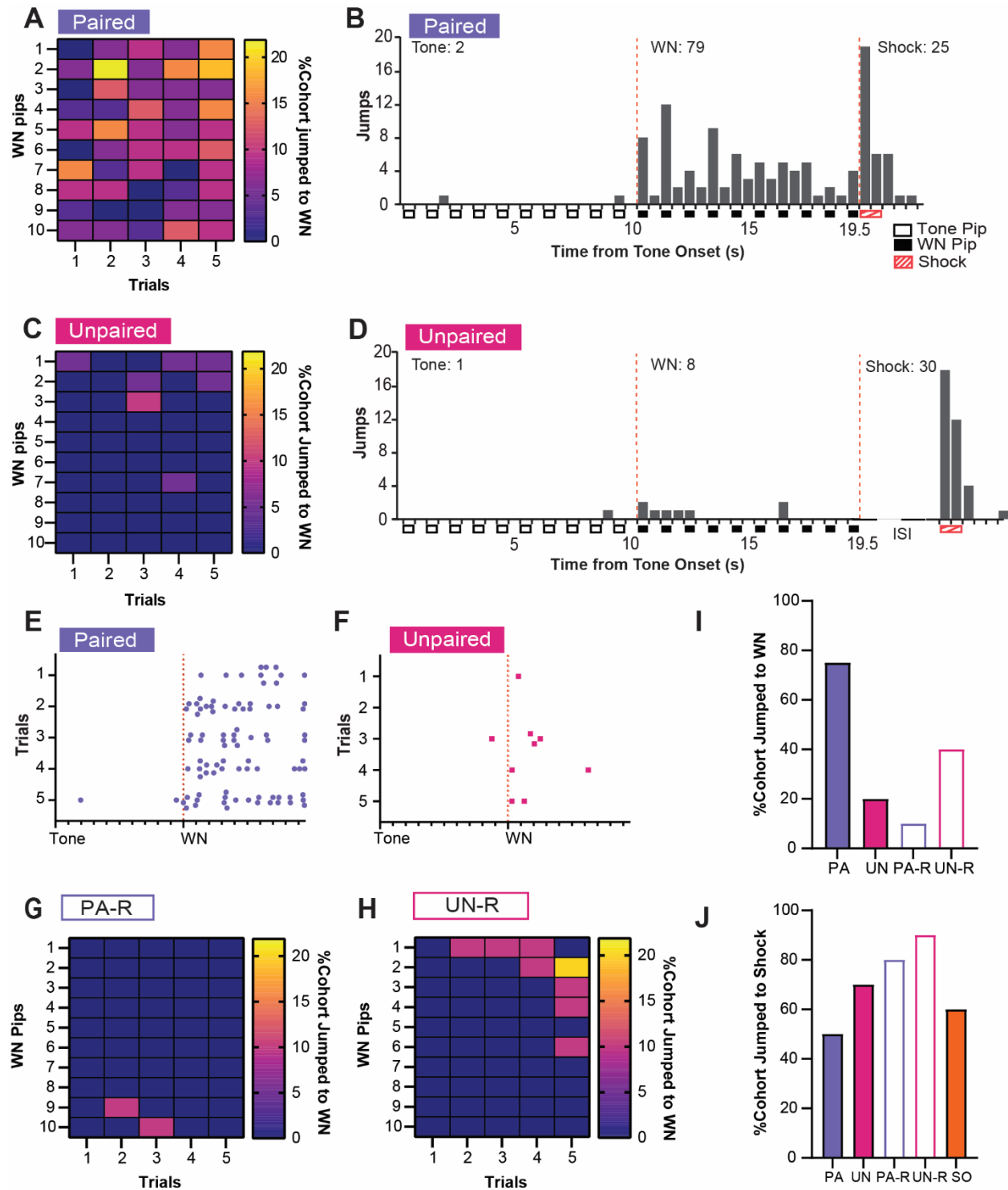
268 Given the interaction found in **Fig 2H**, we performed Welch's unpaired t-test to conduct  
269 pairwise comparisons between average activity indices during WN. WN-evoked activity was  
270 higher in the PA group compared to the UN group (**Fig 2K**, PA vs UN,  $t_{(43.08)} = 2.36$ ,  $p=0.023$ )  
271 and the PA-R group (**Fig 2L**, PA vs PA-R,  $t_{(31.62)} = 3.89$ ,  $p=0.0005$ ). Additionally, the PA-R group  
272 displayed lower WN-evoked activity than both of the UN groups (PA-R vs UN,  $t_{(20.65)} = 2.65$ ,  
273  $p=0.015$ ; PA-R mean  $\pm$  SEM =  $1.86 \pm 0.28$ ; UN mean  $\pm$  SEM =  $5.39 \pm 1.31$ ) and the UN-R group  
274 (PA-R vs UN-R,  $t_{(9.215)} = 2.75$ ,  $p=0.022$ ; UN-R mean  $\pm$  SEM =  $8.84 \pm 2.52$ ). There was no  
275 difference between the UN and UN-R groups with regards to WN-evoked activity (UN vs UN-R,  
276  $t_{(14)} = 1.214$ ,  $p=0.24$ ). While the PA and UN groups significantly differed in average activity  
277 indices during WN, the PA and UN-R groups did not (PA vs UN-R,  $t_{(30.42)} = 0.9962$ ,  $p=0.33$ ; PA  
278 mean  $\pm$  SEM =  $12.54 \pm 2.73$ ).

279 Overall, these data show that the respective changes in defensive behavior during tone  
280 and WN were significantly affected by the explicit pairing of SCS and shock during fear  
281 conditioning, and that the order of tone and WN presentation influenced the intensity of WN-  
282 evoked responses.

283 *Associative pairing of the SCS and shock elicits escape jumping and darting responses to WN*  
284 *after conditioning*

285 Although the UN or UN-R groups did not receive an associative pairing between SCS  
286 and shock like the PA and PA-R groups, all groups still displayed increased activity indices to  
287 WN (**Fig 2D**). To determine if this behavioral response was due to defensive flight or a more  
288 basic locomotor response, we investigated the occurrence of escape jumping and darting  
289 behaviors during WN presentation on CD2. A substantial percentage of PA mice jumped during  
290 WN on every trial, and these jumps were distributed across the entire WN period (**Fig 3A, B**). In  
291 contrast, an exceedingly small percentage of the UN group jumped during WN (**Fig 3C**), and  
292 when jumps occurred, they occurred at the onset of the WN (**Fig 3D**). Both groups responded to  
293 shock with a similar number of jumps (**Fig 3B, D**). Trial-by-trial, PA mice displayed more  
294 jumping behavior across the WN period (**Fig 3E**) compared to UN mice (**Fig 3F**). Like the UN  
295 group, the reverse SCS groups also displayed lower jumping percentages to WN, with jumps in  
296 the PA-R group occurring rarely near the transition from WN to tone, (**Fig 3G**) and jumps in the  
297 UN-R group occurring near WN onset (**Fig 3H**). The PA group had the largest percentage of  
298 mice that jumped to WN during CD2 compared to all other groups (**Fig 3I**). Lastly, all groups  
299 exhibited jumps to shock (**Fig 3J**), with greater percentages of the unpaired and reverse cohorts  
300 responding with jumps.





301

302

303 **Figure 3.** Associative pairings of the SCS and shock lead to robust escape jumping during WN.  
 304 **A,** The percentage of the PA group that exhibited jumping during WN on CD2. Data are  
 305 distributed across 1 s bins, each coinciding with one of the ten pips of WN that occurred during  
 306 each SCS presentation. **B,** The cumulative distribution of jumps from 20 randomly selected

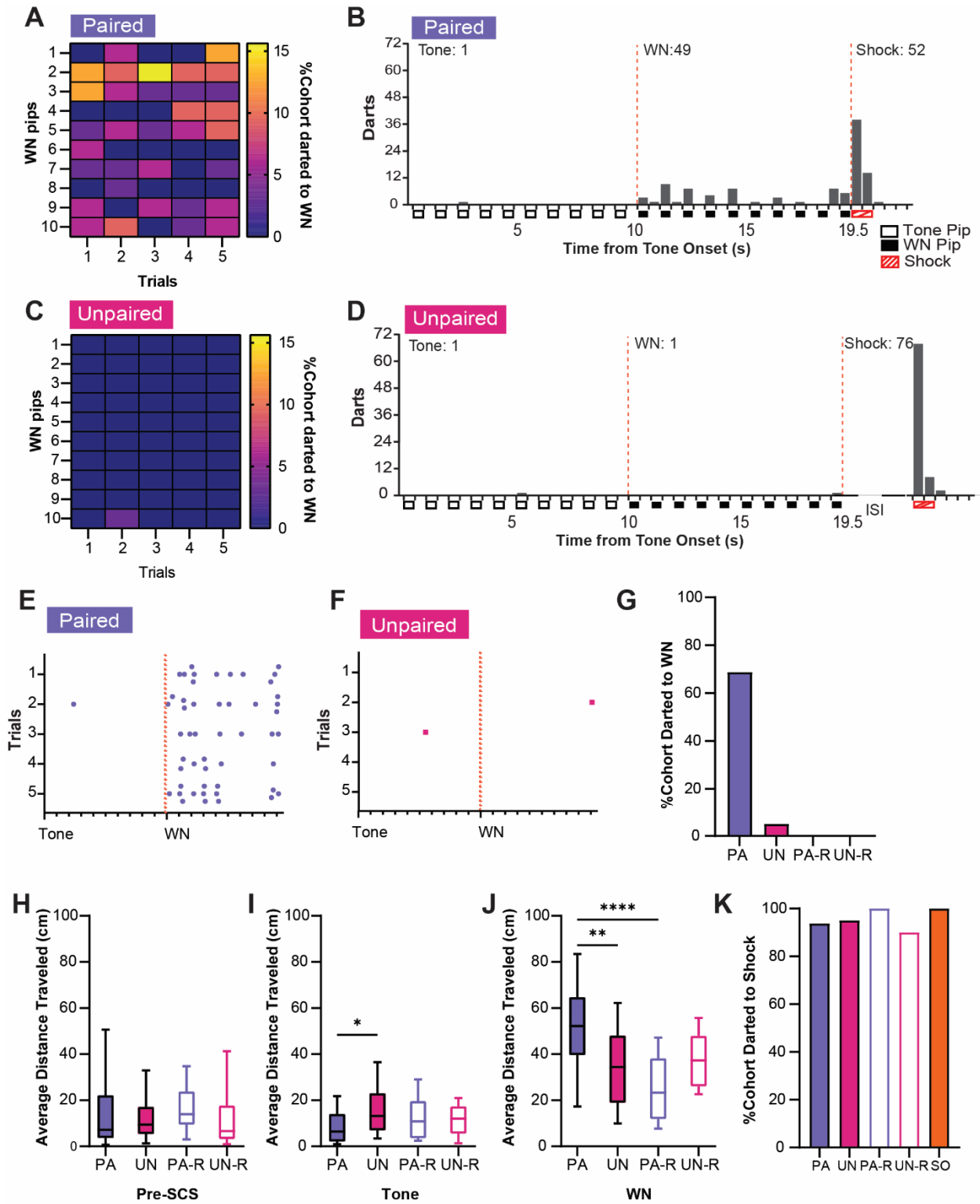
307 subjects of the PA group across the duration of the SCS from all 5 trials of CD2. Empty boxes  
308 represent each 0.5 s pip of tone, filled boxes represent each 0.5 s pip of WN, and striped boxes  
309 represent the 1 s shock stimulus. The vertical dotted lines depict the onset and termination of  
310 the WN period. Total jumps per stimulus are listed above histogram bars. **C**, The percentage of  
311 the UN group that exhibited jumping during WN on CD2. Data are distributed across 1 s bins,  
312 each coinciding with one of the ten pips of WN that occurred during each SCS presentation. **D**,  
313 The cumulative distribution of jumps from the UN group across the duration of the SCS from all  
314 5 trials of CD2. Empty boxes represent each 0.5 s pip of tone, filled boxes represent each 0.5 s  
315 pip of WN, and striped boxes represent the 1 s shock stimulus. The vertical dotted lines depict  
316 the onset and termination of the WN period. ISI represents the period between SCS and shock.  
317 Total jumps per stimulus are listed above histogram bars. **E**, The distribution of jumps across  
318 the duration of the SCS from 20 randomly selected subjects of the PA group for each trial of  
319 CD2. Each dot represents a single jump event, and each tick on the x-axis represents the onset  
320 of each pip of tone or WN. The vertical dotted line depicts the onset of the WN period. **F**, The  
321 distribution of jumps across the duration of the SCS from the UN group for each trial of CD2.  
322 Each dot represents a single jump event, and each tick on the x-axis represents the onset of  
323 each pip of tone or WN. The vertical dotted line depicts the onset of the WN period. **G**, The  
324 percentage of the PA-R group that exhibited jumping during WN on CD2. Data are distributed  
325 across 1 s bins, each coinciding with one of the ten pips of WN that occurred during each SCS  
326 presentation. **H**, The percentage of the UN-R group that exhibited jumping during WN on CD2.  
327 Data are distributed across 1 s bins, each coinciding with one of the ten pips of WN that  
328 occurred during each SCS presentation. **I**, Total percentage of cohort that jumped during WN  
329 over the whole CD2 session. **J**, Total percentage of cohort that jumped to shock over the whole  
330 CD2 session.

331

332 Similar analyses were performed for darting behavior. A high percentage of PA mice  
333 showed darting during WN (**Fig 4A**), darts were specific for the WN, and they were spread  
334 across the stimulus period (**Fig 4B**). Mice in the UN group almost never darted during the tone  
335 or WN (**Fig 4C, D**). Further, PA mice displayed darts across the WN period on every trial (**Fig**  
336 **4E**), whereas UN mice did not (**Fig 4F**). The PA group also had the largest percentage of mice  
337 that darted during WN compared to all other groups (**Fig 4G**). Interestingly, we did not detect  
338 darting from the reverse groups during the SCS in CD2. The non-PA groups only rarely  
339 expressed escape jumping or darting, yet they did have elevated activity indices (**Fig 2D**).  
340 Therefore, we measured the average distance traveled over the WN period, and we used a one-  
341 way ANOVA to determine if these higher activity levels were due to a simpler locomotor  
342 response. The groups did not differ in distance traveled during preSCS (**Fig 4H**,  $F_{(3, 68)} = 0.28$ ,  
343  $p=0.84$ ), but we did observe differences during tone (**Fig 4I**,  $F_{(3, 68)} = 3.34$ ,  $p=0.024$ ) and WN  
344 (**Fig 4J**,  $F_{(3, 68)} = 9.83$ ,  $p<0.0001$ ). During the tone, the UN group had significantly greater

345 distance traveled than the PA group (PA vs UN,  $p=0.014$ ), which is reflective of the elevated  
346 freezing during the tone in the PA group (**Fig 2E**). During the WN, the PA group had greater  
347 distance traveled than the UN (PA vs UN,  $p=0.0018$ ) and the PA-R groups (PA vs PA-R,  
348  $p<0.0001$ ), but not the UN-R group (PA vs UN-R,  $p=0.083$ ). Finally, all groups darted to shock in  
349 similar percentages (**Fig 4K**).

350



351

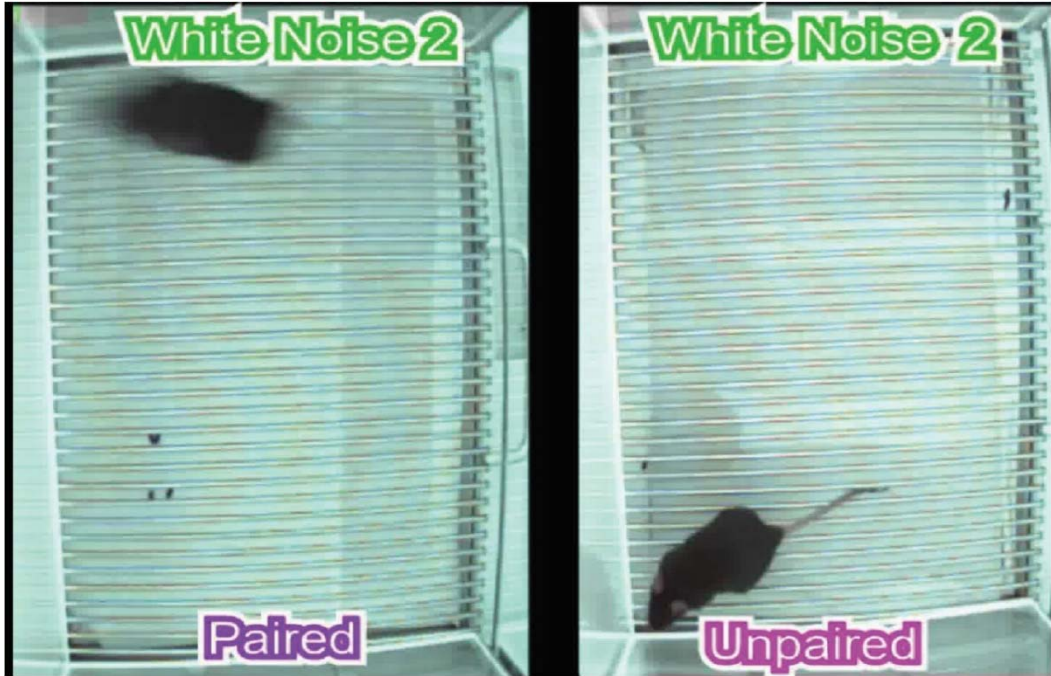
352 **Figure 4.** Associative SCS-shock pairings elicit darting responses to WN during CD2. **A**, The  
 353 percentage of the PA group that exhibited darting responses to WN. Data are distributed across

354 1 s bins, each coinciding with one of the ten pips of WN that occurred during each SCS  
355 presentation. **B**, The cumulative distribution of darts from 20 randomly selected subjects of the  
356 PA group across the duration of the SCS. Empty boxes represent each 0.5 s pip of tone, filled  
357 boxes represent each 0.5 s pip of WN, and striped boxes represent the 1 s shock stimulus. The  
358 vertical dotted lines depict the onset and termination of the WN period. Total darts per stimulus  
359 are listed above the histogram. **C**, The percentage of the UN group that exhibited darting  
360 responses during WN. Data are distributed across 1 s bins, each coinciding with one of the ten  
361 pips of WN that occurred during each SCS presentation. **D**, The cumulative distribution of darts  
362 from the UN group across the duration of SCS. Empty boxes represent each 0.5 s pip of tone,  
363 filled boxes represent each 0.5 s pip of WN, and striped boxes represent the 1 s shock stimulus.  
364 The vertical dotted lines depict the onset and termination of the WN period. ISI represents the  
365 period between SCS and shock. Total darts per stimulus are listed above the histogram. **E**, The  
366 distribution of darts across the duration of SCS from 20 randomly selected subjects of the PA  
367 group. Each dot represents a single dart event, and each tick on the x-axis represents the onset  
368 of each pip of tone or WN. The vertical dotted lines depict the onset of the WN period. **F**, The  
369 distribution of darts across the duration of SCS from the UN group. Each dot represents a single  
370 dart event, and each tick on the x-axis represents the onset of each pip of tone or WN. The  
371 vertical dotted lines depict the onset of the WN period. **G**, The total percentage of each group  
372 that jumped during WN over the whole session. **H**, Average distance traveled during the  
373 preSCS period. **I**, Average distance traveled during the tone period. **J**, Average distance  
374 traveled during the WN period. **K**, The total percentage of each group that jumped to shock over  
375 the whole session. Data from Figures **4H-4J** are presented as box-and-whisker plots from min  
376 to max. \* $p < 0.05$ ; \*\* $p < 0.01$ ; \*\*\*\* $p < 0.0001$ .

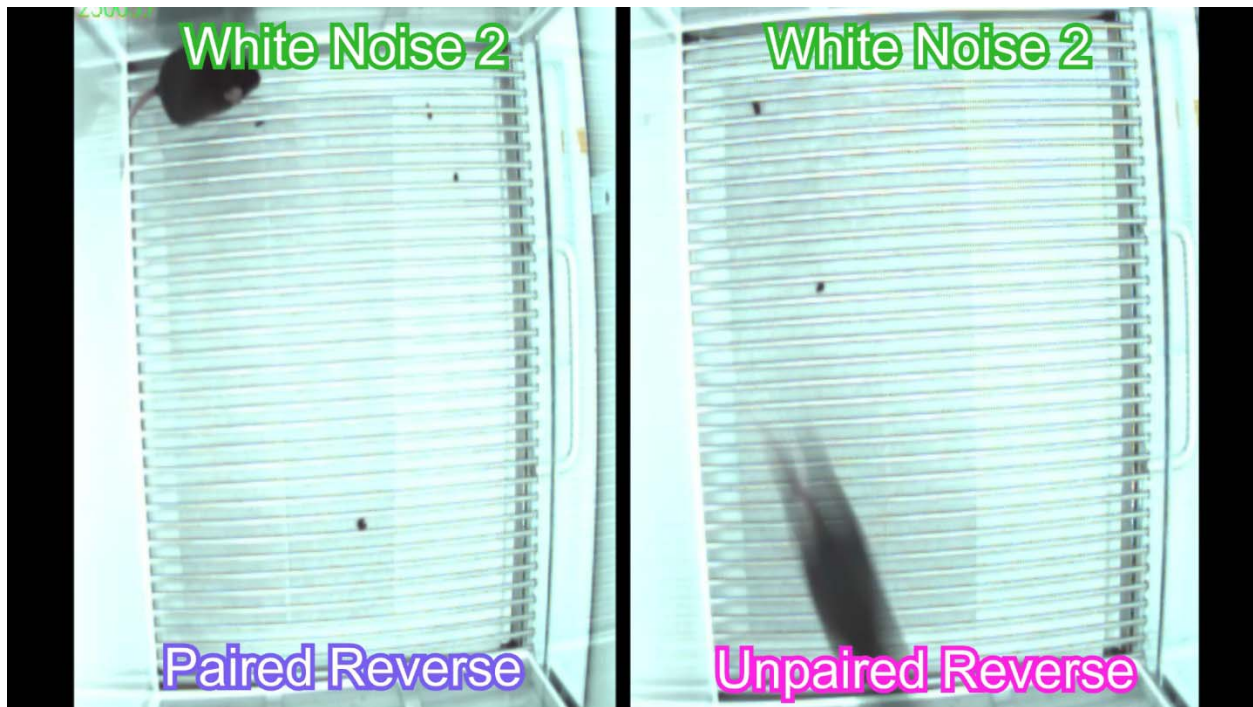
377

378 In summary, associative pairings of SCS and shock produced significant cue-induced  
379 freezing to the tone, as well as robust jumping and darting behaviors that occurred over the  
380 entirety of WN presentations. Altering the contingency between WN and shock in the unpaired  
381 or reversed SCS conditions profoundly reduced these defensive behaviors, but the increased  
382 activity in all groups during WN suggests that inherent properties of the WN interact with non-  
383 associative processes to induce locomotor responses. This is reflected in the increased  
384 distance traveled during WN in all groups compared to the tone period. Representative  
385 behavioral responses of the PA, UN, PA-R, and UN-R groups to the SCS during conditioning  
386 are provided in **Video 1** and **Video 2**.





388 **Video 1.** Representative comparison of PA and UN groups' response to SCS during  
389 conditioning. The video features audio of the SCS, which consists of 10 pips of tone followed by  
390 10 pips of WN.

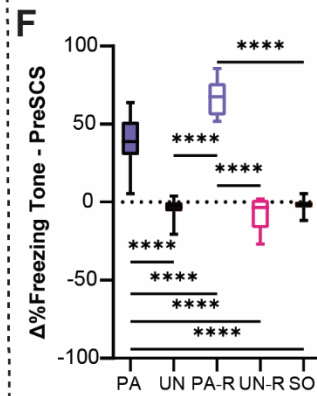
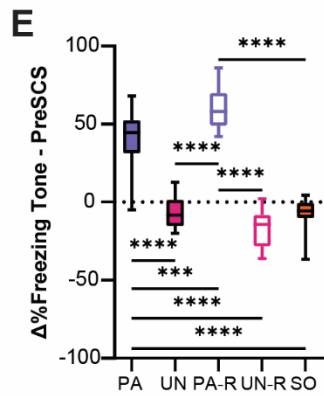
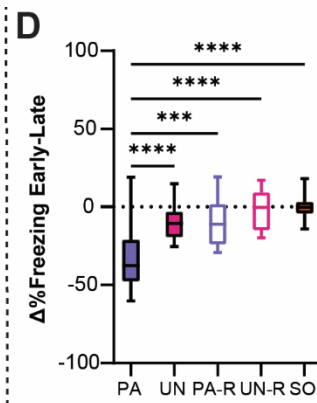
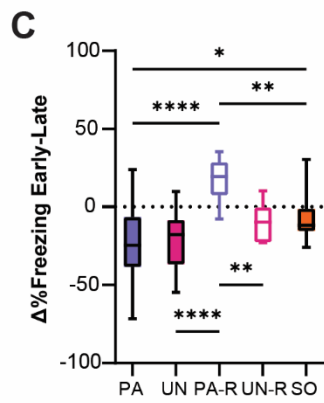
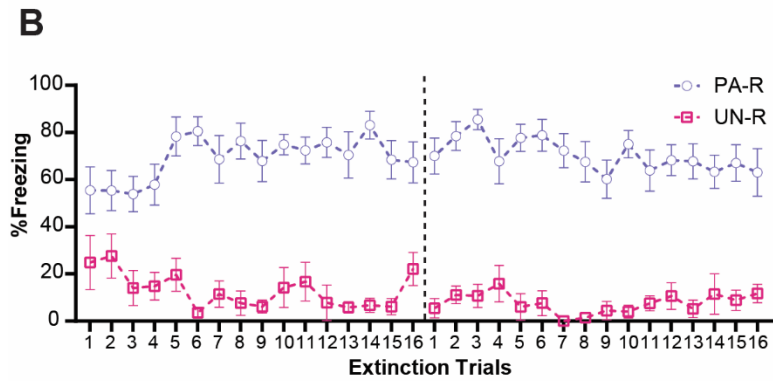
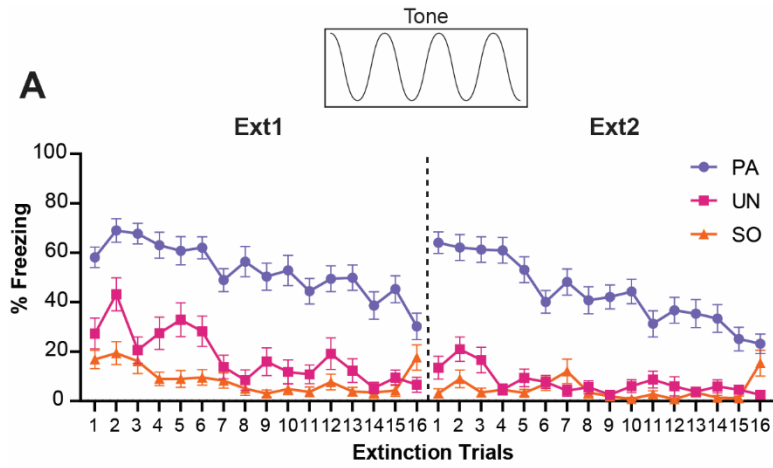


392 **Video 2.** Representative comparison of PA-R and UN-R groups' response to reverse SCS  
393 during conditioning. The video features audio of the reverse SCS, which consists of 10 pips of  
394 WN followed by 10 pips of tone.

395

396 *Tone-evoked freezing in the PA group is reduced by extinction learning*

397 We next analyzed how the defensive ethogram of each group changed over the course  
398 of two extinction sessions. A two-way ANOVA was used to analyze the effect of trial and group  
399 on freezing during the tone, and Tukey's multiple comparisons test was used for post-hoc  
400 comparisons. When analyzing tone-evoked freezing across extinction within the PA, UN, and  
401 SO groups (**Fig 5A**), a significant interaction between trial and group ( $F_{(62, 2208)} = 2.3, p < 0.0001$ )  
402 was found. For every trial except the last, the PA group exhibited a higher level of freezing  
403 during tone compared to the control groups ( $p < 0.05$  for Trials 1-15, for both sessions). Tone-  
404 evoked freezing presented similarly between the PA-R and UN-R groups (Fig 5B), yielding a  
405 significant interaction between trial and group ( $F_{(31, 576)} = 1.63, p = 0.018$ ). The PA-R group  
406 maintained consistently high freezing during the tone across both extinction sessions, freezing  
407 more than the UN-R group for nearly all trials ( $p < 0.05$  for Trials 1, 3-16 on Ext1, all trials on  
408 Ext2).





410 **Figure 5.** Tone-evoked freezing in the PA group is reduced by extinction learning. **A**, Percent  
411 freezing during the tone period for the PA, UN, and SO groups. **B**, Percent freezing during the  
412 tone period for the PA-R and UN-R. **C**, The difference in average freezing during the tone period  
413 between the first and last 4-trial bins of Ext1. **D**, The difference in average freezing during the  
414 tone period between the first and last 4-trial bins of Ext2. **E**, The difference in average freezing  
415 between pre-SCS and tone periods during Ext1. **F**, The difference in average freezing between  
416 pre-SCS and tone periods during Ext2. Data from Figures **5A** and **5B** are presented as Mean  $\pm$   
417 SEM. Data from Figures **5C-5F** are presented as box-and-whisker plots from min to max.  
418 \* $p < 0.05$ , \*\* $p < 0.01$ , \*\*\* $p < 0.001$ , \*\*\*\* $p < 0.0001$ .

419

420 To quantify the relative change in freezing over each extinction session, we calculated  
421 the difference in freezing between the first four trials and the last four trials for each session. An  
422 ordinary one-way ANOVA was used to analyze the effect of group on changes in freezing during  
423 the tone, and Tukey's multiple comparisons test was used for post-hoc comparisons. There was  
424 a significant difference between groups on the first day of extinction (**Fig 5C**,  $F_{(4, 87)} = 11.9$ ,  
425  $p < 0.0001$ ), with the PA-R group being the only one to increase freezing during tone over the  
426 session (PA-R vs PA,  $p < 0.0001$  ; PA-R vs UN,  $p < 0.0001$  ; PA-R vs UN-R,  $p = 0.007$  ; PA-R vs  
427 SO,  $p = 0.0032$ ). Freezing for the PA and UN groups decreased similarly over the session (PA vs  
428 UN,  $p = 0.99$ ), and the SO group had significantly less change in freezing compared to the PA  
429 group (PA vs SO,  $p = 0.021$ ), which was attributed to the low level of freezing during the tone in  
430 the SO group (**Fig 2A**). A significant difference between groups was also detected for the  
431 second extinction session (**Fig 5D**,  $F_{(4, 87)} = 19.2$ ,  $p < 0.0001$ ). Only the PA group exhibited a  
432 decrease in freezing during tone compared to all other groups (PA vs UN,  $p < 0.0001$ ; PA vs PA-  
433 R,  $p = 0.0007$ ; PA vs UN-R,  $p < 0.0001$ ; PA vs SO,  $p < 0.0001$ ).

434 To determine if freezing during the tone was cue-induced, or was simply a continuation  
435 of contextual freezing, we calculated the difference between freezing in the pre-SCS period and  
436 freezing during the tone for each extinction session (**Fig 5E, F**). An ordinary one-way ANOVA

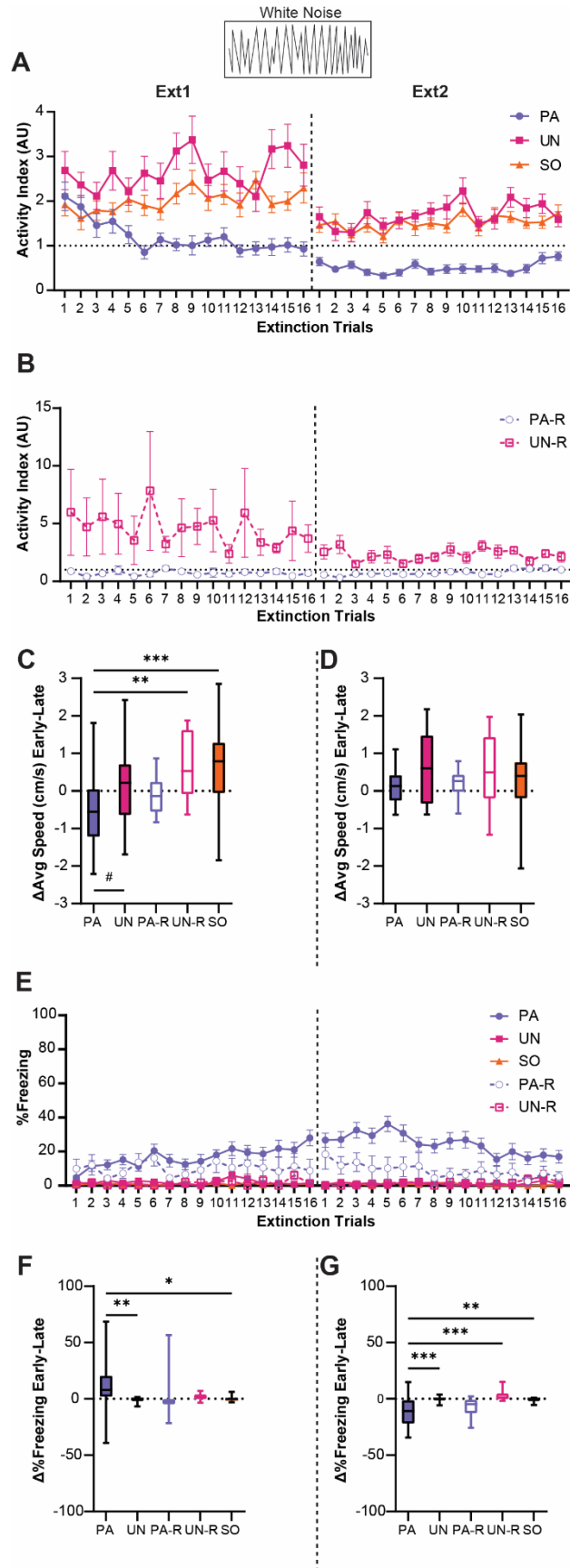
437 was used to analyze the effect of group, and Tukey's multiple comparisons test was used for  
438 post-hoc comparisons. For both the first (**Fig 5E**,  $F_{(4, 87)} = 105.5$ ,  $p < 0.0001$ ) and second (**Fig 5F**,  
439  $F_{(4, 87)} = 137.5$ ,  $p < 0.0001$ ) sessions of extinction, only the PA and PA-R groups increased  
440 freezing levels during the tone ( $p < 0.0001$  for all PA and PA-R comparisons to other groups for  
441 both sessions). The PA-R group showed a greater change in freezing from pre-SCS to tone  
442 than the PA group (Ext1, PA vs PA-R,  $p = 0.0003$ ; Ext2, PA vs PA-R,  $p < 0.0001$ ), whereas the  
443 UN, UN-R, and SO groups had equivalent freezing during the pre-SCS and tone periods  
444 ( $p > 0.25$  for all pairwise comparisons that excluded the PA and PA-R groups for both sessions).  
445 Taken together, these data suggest that the PA and PA-R groups associated the tone with  
446 threat, while freezing in the UN, UN-R, and SO groups was more indicative of contextual fear.  
447 Interestingly, while pairing either order of SCS with shock resulted in greater freezing during  
448 tone, we observe a phenotype more resistant to extinction within the PA-R group. These data  
449 indicate that the extinction of cue-induced freezing in the conditioned flight paradigm depends  
450 on its proximity to conditioned threat.

451

452 *Stimulus-induced flight is associative and is partially replaced by freezing during extinction*

453 Activity indices were calculated to analyze the effect of extinction learning on behavioral  
454 responses during the WN. The PA, UN, and SO groups had elevated activity indices in the early  
455 trials of extinction training, yet only the PA group showed a decrease in WN-evoked activity (**Fig**  
456 **6A**). A two-way ANOVA was used to analyze the effect of trial and group, and Tukey's multiple  
457 comparisons test was used for post-hoc comparisons. There was a significant interaction  
458 between trial and group ( $F_{(62, 2208)} = 1.9$ ,  $p < 0.0001$ ). Starting with the fifth trial of the first  
459 extinction session, the PA group expressed significantly less activity to WN compared to the UN  
460 and SO groups ( $p < 0.05$  compared to UN and SO for Trials 5, 6, and 8-13), and PA activity index  
461 scores remained below 1 for the entirety of the second extinction session ( $p < 0.05$  compared to

462 UN and SO for Trials 1, 2, and 4-16). For the UN and SO groups, WN-evoked activity indices  
463 remained above 1 across extinction trials, indicating levels of movement that were higher during  
464 the WN than the pre-SCS period. There was no interaction between trial and group (**Fig 6B**,  
465  $F_{(31, 576)} = 0.7, p=0.89$ ) for the PA-R and UN-R groups. There was an effect of group ( $F_{(1, 576)} =$   
466  $64.7, p<0.0001$ ), with activity being higher in the UN-R compared to the PA-R group. There was  
467 no significant effect of trial ( $F_{(31, 576)} = 0.66, p=0.92$ ).



469 **Figure 6.** Stimulus-induced flight is associative and is partially replaced by freezing during  
470 extinction. **A**, Trial-by-trial activity during the WN period for the PA, UN, and SO groups during  
471 Ext1 and Ext2. **B**, Trial-by-trial activity during the WN period for the PA-R and UN-R groups  
472 during Ext1 and Ext2. **C**, Difference in average speed during the WN period from the first and  
473 last 4-trial bins of Ext1. **D**, Difference in average speed during the WN period from the first and  
474 last 4-trial bins of Ext2. **E**, Trial-by-trial freezing during the WN period for all groups during Ext1  
475 and Ext2. **F**, Difference in freezing during the WN period from the first and last 4-trial bins of  
476 Ext1. **G**, Difference in freezing during the WN period from early and late 4-trial bins of Ext2.  
477 Data from Figures **6A**, **6B**, and **6E** are presented as Mean  $\pm$  SEM. Data from Figures **6C**, **6D**,  
478 **6F**, and **6G** are presented as box-and-whisker plots from min to max. #p=0.054, \*p<0.05,  
479 \*\*p<0.01, \*\*\*p<0.001.

480

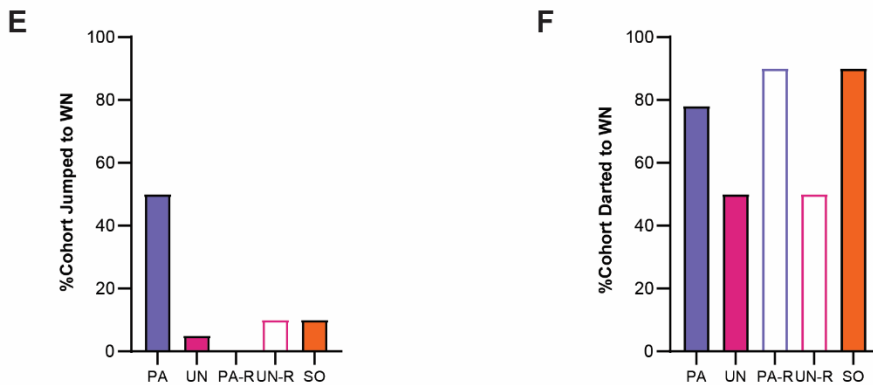
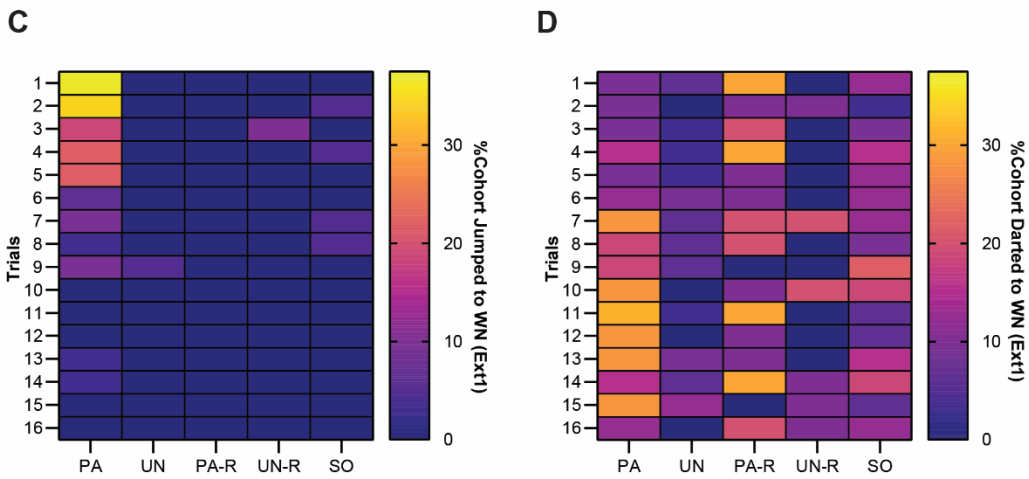
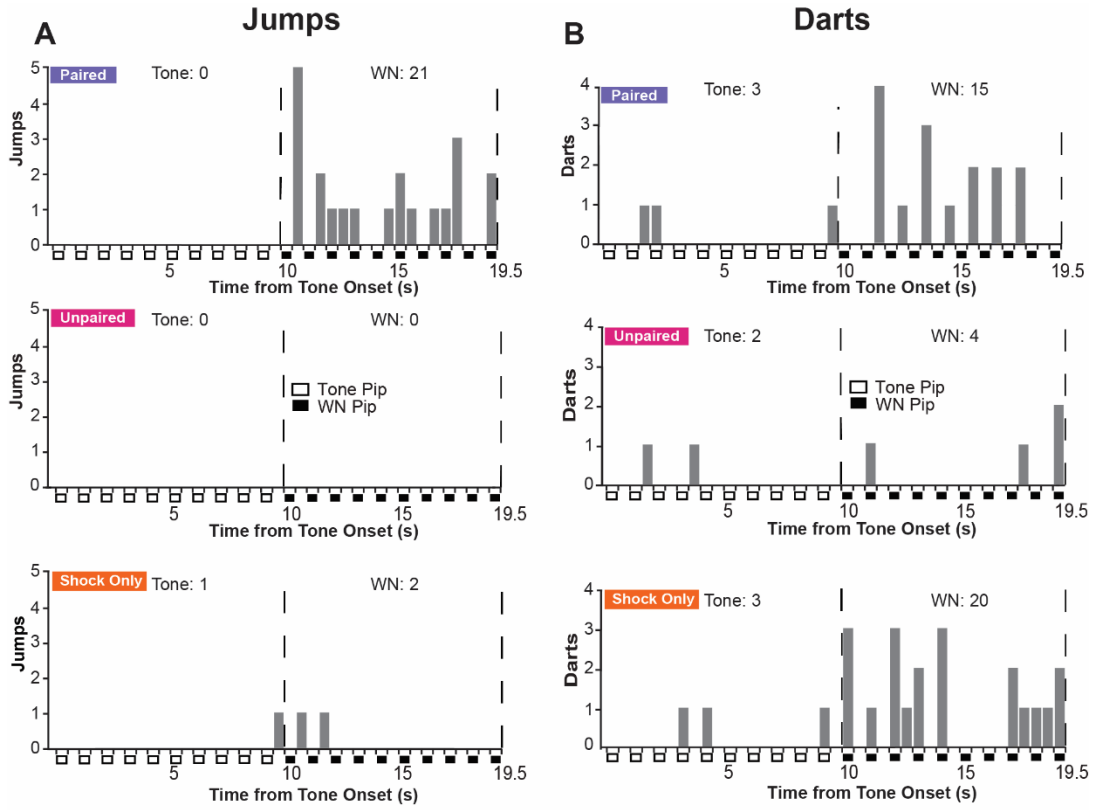
481 To illustrate the change in WN response over extinction, we calculated the difference in  
482 speed during WN between the first four and last four trials of each session. An ordinary one-way  
483 ANOVA was used to analyze the effect of group, and Tukey's multiple comparisons test was  
484 used for post-hoc comparisons. The PA group showed a significant decrease compared to the  
485 UN-R and SO groups (**Fig 6C**,  $F_{(4, 87)} = 6.4$ ,  $p < 0.0001$ ; PA vs UN-R,  $p = 0.0052$ ; PA vs SO,  
486  $p = 0.0003$ ) and a near-significant decrease compared to the UN group (PA vs UN,  $p = 0.054$ ).  
487 There were no significant differences between groups during the second extinction session (**Fig**  
488 **6D**,  $F_{(4, 87)} = 1.47$ ,  $p = 0.22$ ).

489 Interestingly, as WN-evoked activity decreased during extinction, the PA group  
490 developed and maintained a freezing response to WN, while the other groups displayed almost  
491 no freezing to WN (**Fig 6E**). A significant interaction between trial and group was detected ( $F_{(124,$   
492  $2784)} = 1.3$ ,  $p = 0.019$ ), and the PA group displayed greater freezing than the UN, UN-R, and SO  
493 groups for a majority of the first extinction session ( $p < 0.05$  for Trials 4, 6, and 10-16) and for  
494 most of the second session ( $p < 0.05$  for Trials 1-13). Additionally, the PA group froze more  
495 during WN than the PA-R group for several trials of the second session ( $p < 0.05$  for Trials 2-6, 8-

496 10). When comparing changes in WN-evoked freezing between the first and last four trials for  
497 the first extinction session (**Fig 6F**,  $F_{(4, 87)} = 4.2$ ,  $p=0.0036$ ), the PA group displayed significant  
498 increases compared to the UN and SO groups (PA vs UN,  $p=0.0086$ ; PA vs SO,  $p=0.017$ ), but  
499 did not differ from the PA-R or UN-R groups (PA vs PA-R,  $p=0.18$ ; PA vs UN-R,  $p=0.22$ ). For  
500 the second session (Fig 6G,  $F_{(4, 87)} = 8.1$ ,  $p<0.0001$ ), the PA group exhibited a significant  
501 decrease in WN-evoked freezing compared to the UN, UN-R, and SO groups (PA vs UN,  
502  $p=0.0005$ ; PA vs UN-R,  $p=0.0006$ ; PA vs SO,  $p=0.0015$ ), but not the PA-R group (PA vs PA-R,  
503  $p=0.85$ ). Collectively, these findings show changes in the magnitudes and modes of behavior to  
504 WN within the PA group across extinction, indicating that WN-evoked flight in the PA group can  
505 be extinguished and is associative.

#### 506 *Stimulus evoked escape jumping and darting during extinction*

507 To determine if the activity measured during extinction was related to defensive flight or  
508 a more basic locomotor response, we examined the expression of jumping and darting  
509 behaviors between groups. Within the first four trials of the first extinction session, PA mice  
510 displayed the most jumping behavior during WN, with the SO group displaying only two jumps  
511 occurring near the onset of WN, and the UN group displaying no jumps (**Fig 7A**). When  
512 examining darting behavior within the first four trials of extinction, minimal darting was observed  
513 during the tone period, the PA and SO groups displayed darting behavior spread across the WN  
514 period, while the UN group darted only a few times (**Fig 7B**). Jumps and darts were not present  
515 within the second extinction session for any group.



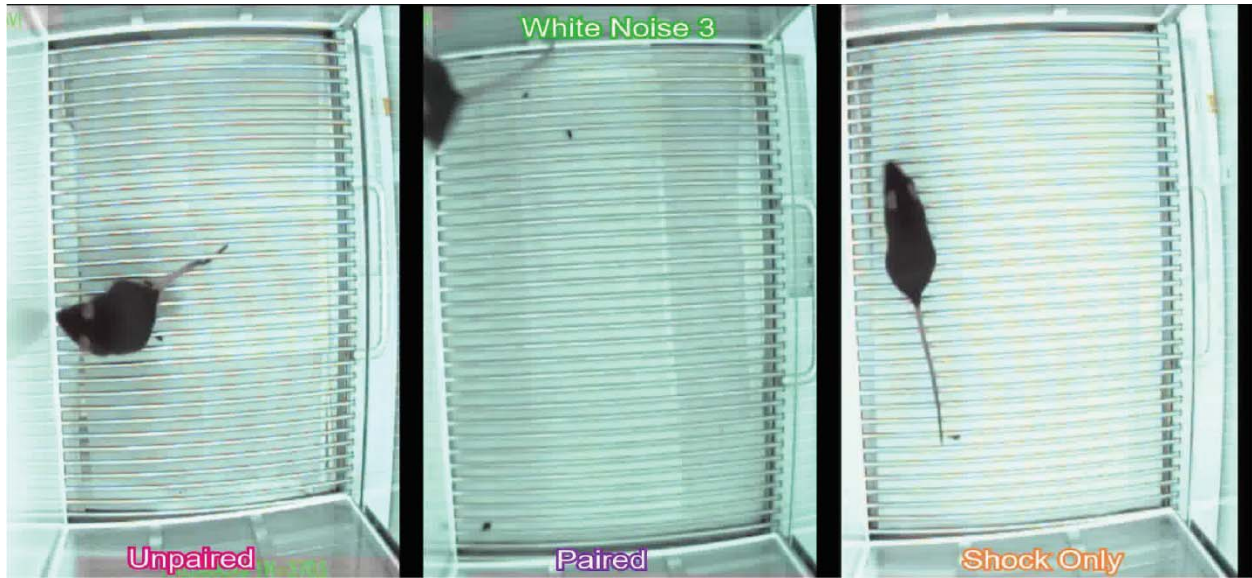
517 **Figure 7.** Stimulus-evoked escape jumping and darting during extinction. **A**, The cumulative  
518 distribution of jumps from the first 4 trials of Ext1 for 20 randomly selected subjects from the PA  
519 group (*top*), the UN group (*middle*), and the SO group (*bottom*). Empty boxes represent each  
520 0.5 s pip of tone, filled boxes represent each 0.5 s pip of WN, and the vertical dotted lines  
521 represent the onset and termination of the WN period. Total jumps per stimulus are listed above  
522 the histogram. **B**, The cumulative distribution of darts from the first 4 trials of Ext1 for 20  
523 randomly selected subjects from the PA group (*top*), the UN group (*middle*), and the SO group  
524 (*bottom*). Empty boxes represent each 0.5 s pip of tone, filled boxes represent each 0.5 s pip of  
525 WN, and the vertical dotted lines represent the onset and termination of the WN period. Total  
526 darts per stimulus are listed above the histogram. **C**, The percentage of each group that  
527 exhibited jumping responses during the WN period of SCS per trial on Ext1. **D**, The percentage  
528 of each group that exhibited darting responses to the WN period of SCS per trial on Ext1. **E**,  
529 Total percentage of each cohort that jumped to WN over the whole Ext1 session. **F**, Total  
530 percentage of each cohort that darted to WN over the whole Ext1 session.

531

532 During the first extinction session, only the PA group displayed a concentration of  
533 jumping responses during WN within the first block of trials (**Fig 7C**), and jumps to WN rarely  
534 occurred in any other group (**Fig 7E**). All groups displayed variable amounts of darting to WN,  
535 with the PA, PA-R, and SO groups having the largest proportions of darters within the session  
536 (**Fig 7D, F**). Notably, the increase in darting in the PA group occurs around Trial 7, which is  
537 approximately the timepoint at which jumping is fully extinguished. Within the PA-R and SO  
538 groups, WN-evoked darting is distributed throughout the session.

539 These data suggest that escape jumping is largely an associative response that  
540 switches to darting as the psychological distance of threat increases; however, darting is  
541 controlled by associative and non-associative mechanisms. Representative behavioral  
542 responses of the PA, UN, and SO groups to the SCS between early and late periods of the first  
543 extinction session are provided in **Video 3** and **Video 4**.





545 **Video 3.** Representative comparison of PA, UN, and SO groups' responses to the SCS during  
546 an early and late extinction trial of the first extinction session. The video features audio of the  
547 SCS, which consists of 10 pips of tone followed by 10 pips of WN.

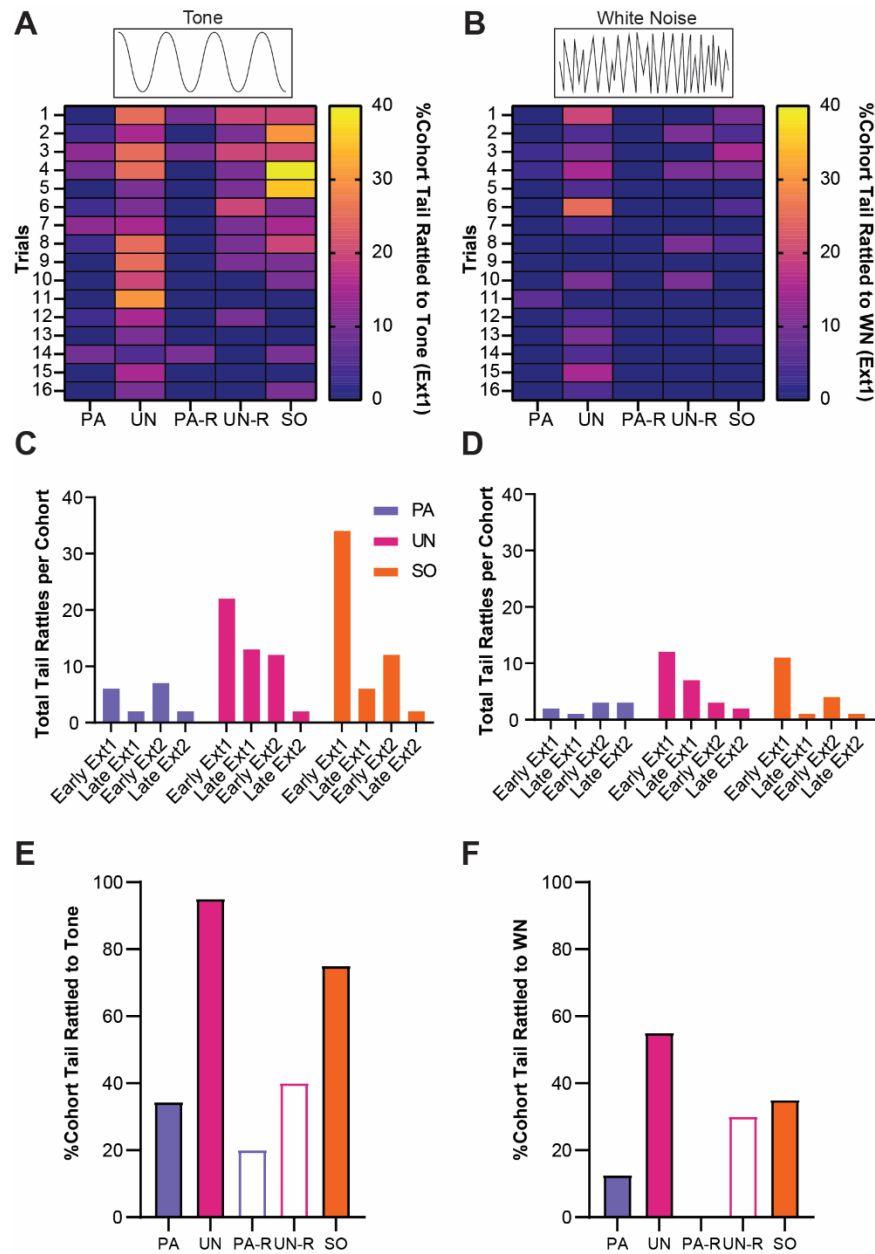


549 **Video 4.** Representative comparison of PA-R and UN-R groups' responses to the reverse SCS  
550 during an early and late extinction trial of the first extinction session. The video features audio of  
551 the reverse SCS, which consists of 10 pips of WN followed by 10 pips of tone.

552

553 *Tail rattling is a non-associative behavioral response during extinction*

554 We previously observed heightened tail rattling responses during the early trials of fear  
555 conditioning, which decreased with further conditioning (Borkar et al., 2020). Given that tail  
556 rattling has been shown to increase in the presence of uncertain threat (Salay et al., 2018), we  
557 measured tail rattling in all groups during extinction to determine the effects of associative and  
558 non-associative mechanisms on this defensive response. During the first extinction session, tail  
559 rattling behavior during SCS presentations was more prevalent in the UN, UN-R, and SO control  
560 groups and was most prominent during the tone period (**Fig 8A, B**). To monitor tail rattling within  
561 groups, cumulative behavioral frequencies were taken from the first and last four trials within  
562 each extinction session (reverse SCS groups were excluded due to lower N-values). During  
563 early extinction, SO and UN mice displayed more tail rattling than PA mice during the tone (**Fig**  
564 **8C**). The frequency of tail rattling was lower in all three groups during the second extinction  
565 session, yet the UN and SO groups both displayed more than the PA group (**Fig 8D**). All three  
566 groups displayed similar levels of tail rattling by the end of the second session. Over the first  
567 extinction session, a larger proportion of the UN and SO groups displayed tail rattle during to  
568 tone (**Fig 8E**), and the UN group had the greatest proportion of tail rattle to WN (**Fig 8F**).



569

570 **Figure 8.** Tail rattling is a non-associative behavioral response during extinction. **A**, The  
 571 percentage of each group that exhibited tail rattling to the tone. **B**, The percentage of each  
 572 group that exhibited tail rattling to the WN. **C**, Cumulative tail rattling during tone across early  
 573 and late periods of Ext1 and Ext2. **D**, Cumulative tail rattling during WN across early and late  
 574 periods of Ext1 and Ext2. **E**, Total percentage of each cohort that tail rattled to tone during Ext1.  
 575 **F**, Total percentage of each cohort that tail rattled to WN during Ext1.

576

577           These data suggest that tail rattling during the SCS is mostly a non-associative  
578 defensive response that is suppressed when the SCS predictably signals threat.

## 579 **Discussion**

580           This study investigated the contributions of associative and non-associative processes to  
581 the expression of cue-induced defensive behaviors. The results signify that associative pairings  
582 and a proximal stimulus-threat association during fear conditioning produce maximal expression  
583 of cue-induced freezing and flight responses. Non-associative elements such as cue salience,  
584 change in stimulus intensity, and shock-induced sensitization elicit stress-associated behaviors  
585 like tail rattling and activity bursts, as hypothesized before (Trott et al., 2022), but the addition of  
586 the WN-threat associative pairing contributes significantly to eliciting high-intensity defensive  
587 responses like escape jumping. Therefore, these associative and non-associative factors  
588 combine to produce distinct behavioral transitions between freezing and flight.

589           During conditioning, we observed distinct ethograms for the PA and UN groups in  
590 response to the SCS. Freezing to tone and activity to WN were both significantly higher in the  
591 PA group compared to the UN group (**Fig 2E, K**), highlighting the impact of SCS-shock  
592 contingency on the magnitude of defensive responses. Notably, comparable results were  
593 previously reported in rats conditioned using similar parameters (Totty et al., 2021). Additionally,  
594 we found that the PA and PA-R groups showed significant increases in freezing from pre-SCS  
595 to tone, while in the UN and UN-R groups, freezing to tone was no greater than contextual  
596 freezing, suggesting that the paired groups placed associative value on the tone (**Fig 2J**).  
597 Lastly, the PA group displayed much more intense flight behavior during WN than the PA-R  
598 group (**Fig 2L**), indicating that the proximity of WN to the footshock threat affects defensive  
599 scaling.

600           Previous studies have factored jumping behaviors into normalized measures to gauge  
601 conditioned flight behavior (Fadok et al., 2017; Hersman et al., 2020; Borkar et al., 2020), but

602 given the increased activity indices in the PA, UN, and UN-R groups, we examined if the escape  
603 jumping we observed was associative or non-associative (**Fig 3**). We found that the PA group  
604 exhibited consistent jumping responses to the WN stimulus during conditioning that were not  
605 reproduced in the UN, PA-R, or UN-R groups. Others have found that a salient stimulus is  
606 sufficient to induce conditioned jumping responses after multiple sessions (Furuyama et al.,  
607 2023). Given that the PA-R group did not display consistent jumping behavior to WN or tone,  
608 this suggests that a robust jumping response to WN in the SCS paradigm is not due to the non-  
609 associative salience of the WN as others have suggested (Hersman et al., 2020) but is instead  
610 due to its association with imminent threat.

611 Darting has been reported to be increased in rodents that undergo stress and fear  
612 conditioning, and it is more prevalent in female rats (Gruene et al., 2015, Brzozowska et al.,  
613 2017). Previous studies have measured darting to WN as a darts per minute measure (Morena  
614 et al., 2021; Hoffman et al., 2022; Trott et al., 2022) or as part of a composite escape score  
615 (Hersman et al., 2020), but our data suggests that examining darting requires a more detailed  
616 analysis. We found that the PA group exhibited darting across the entire WN period during  
617 conditioning, while the response was virtually nonexistent in the UN, PA-R, and UN-R groups  
618 (**Fig 4**). This is consistent with findings where conditioned darting has been shown to occur  
619 more often several seconds after a CS, rather than at its onset (Mitchell et al., 2023). This  
620 indicates that darting during conditioning is not caused by the salience of the tone-WN  
621 transition, but from an associative learned response. Additionally, the absence of darting in the  
622 PA-R group indicates that the temporal proximity of the WN to the shock also influences darting.  
623 Our data show that the control groups engage primarily in simple locomotor behaviors induced  
624 by stimulus salience (**Fig 4J**). Overall, darting behaviors during conditioning contribute to the  
625 higher activity score observed during WN in the PA group, and our data suggests that these



626 contributions are the result of associative learning and threat imminence, rather than non-  
627 associative stimulus salience.

628         During extinction, the PA and PA-R groups showed the highest level of freezing to tone  
629 compared to the non-associative control groups (**Fig 5A, B**). This indicates that the paired  
630 groups had the strongest association between the tone stimulus and threat. This is further  
631 reinforced by the larger difference between tone-evoked freezing and pre-SCS freezing in the  
632 PA and PA-R groups (**Fig 5E, F**). Freezing during tone in the non-associative control groups, on  
633 the other hand, is no greater than freezing in the interstimulus intervals. Interestingly, tone-  
634 evoked freezing in the PA group underwent within-session freezing, while that of the PA-R  
635 group was resistant to extinction (**Fig 5A-D**). The sustained tone-evoked freezing over multiple  
636 extinction sessions in the PA-R group is likely a product of the temporal proximity of the cue to  
637 the footshock, which is set at a greater intensity (0.9 mA) in the conditioned flight paradigm than  
638 is traditionally used in Pavlovian threat conditioning (typically 0.2-0.6 mA). Because freezing  
639 during the tone is not elevated over contextual freezing levels in the unpaired and SO groups,  
640 the reductions in freezing during the tone during extinction in these groups can be attributed to  
641 reduced freezing overall.

642         In response to WN during extinction, the PA group transitioned from explosive circa-  
643 strike flight responses containing escape jumps to a combination of anticipatory post-encounter  
644 freezing and darting (**Fig 6A, Fig 7**). This likely reflects a larger perceived psychological  
645 distance from threat that influences defensive strategy (Perusini and Fanselow, 2015). The  
646 control groups showed only slight changes in WN-evoked activity over extinction, similar to  
647 responses of stressed mice to an unfamiliar WN (**Fig 4**; Hoffman et al., 2022). The lack of  
648 decrease in WN-evoked activity over extinction in the PA-R group compared to the PA group,  
649 and the lack of the control groups transitioning to freezing behavior, suggests that WN-evoked

650 flight in the PA group is associative and is dependent on the perceived threat value of the WN  
651 stimulus.

652 An examination of jumping and darting behaviors over the first extinction session reveals  
653 a distinct ethogram in the PA group (**Fig 7**). The presence of jumping during early extinction  
654 trials in the PA group indicates that the WN initially signaled imminent threat, resulting in an  
655 explosive circa-strike escape response. However, the change from jumping to darting may  
656 reflect a change in perceived threat imminence in the same vein as the observed change from  
657 flight to freezing. This phenomenon was only observed in the PA group, indicating that this  
658 behavioral change is associative and is consistent with the predatory imminence continuum.  
659 This jumping behavior to WN is associative and can be extinguished, making it a suitable  
660 measure for future studies interested in how the nervous system controls experience-dependent  
661 high-intensity fear reactions.

662 The elevated activity indices from the UN and SO groups are similar to other studies that  
663 report heightened activity to WN after multiple footshocks, or a sudden change in stimulation  
664 (Hoffman et al., 2022; Trott et al., 2022). However, given the lack of darting and jumping from the  
665 UN group during extinction (**Fig 7A, B**), their increased activity is due to other locomotor  
666 behaviors unrelated to flight. The SO group maintained a consistent level of darting throughout  
667 the first extinction session, which contributed to their overall increased activity index (**Fig 7B, D**).  
668 Given that darting can be elicited in stressed mice (Brzozowska et al., 2017), it is probable that  
669 shock sensitization can prime an animal to dart more readily to an unfamiliar, but highly salient,  
670 stimulus upon stimulus transition. Indeed, darting behavior has been shown to change based on  
671 multiple parameters, decreasing both with increased shock intensity (Mitchel et al., 2023) and  
672 with prolonged extinction training (Demars et al., 2022), suggesting that darting is both an  
673 associative conditioned response to stimuli associated with threat and a response resulting from  
674 non-associative sensitization.

675           Within the UN, UN-R, and SO control groups, we observed a higher degree of tail rattling  
676 responses during extinction compared to the PA and PA-R groups, and tail rattling was more  
677 prominent during the tone period (**Fig 8**). Tail rattling has been observed in mice when  
678 determining hierarchical relationships (Haber and Simmel 1976, Terranova et al., 1998,  
679 Dorofeikova et al., 2023), anticipating fighting (Miczek et al., 2001), and encountering looming  
680 threat (Salay et al., 2018). Thus, tail rattling may be a behavior elicited in stressed mice in the  
681 face of uncertain threat. Previously, we found that tail rattling occurs most often during early  
682 trials of fear conditioning, with a prominent decline later in conditioning (Borkar et al., 2020).  
683 This is consistent with our results from the UN, UN-R, and SO groups, who displayed greater  
684 tail rattling to unpaired (UN, UN-R groups) or novel (SO group) SCS presentations within the  
685 conditioning context, with reductions in tail rattling over the course of extinction exposure. Taken  
686 together, this suggests that tail rattling is not a behavior exhibited during post-encounter or  
687 circa-strike levels of threat, but rather within stressful scenarios where danger is uncertain but  
688 anticipated. Future studies that are interested in measuring defensive responses to threat  
689 signals such as context, odor, or innately aversive sensory stimuli should consider measuring  
690 tail rattling as a marker of non-associative anticipatory fear.

691           Current studies are investigating behaviors beyond freezing within classical Pavlovian  
692 conditioning paradigms (Tryon et al., 2021; Laine et al., 2022), but responses like jumping and  
693 darting are not always reliably elicited during CS presentation (Colon et al., 2018; Akmesese et al.,  
694 2023; Biddle and Knox, 2023). Using the SCS flight conditioning paradigm, we elicit a robust  
695 continuum of consistent associative defensive responses during CS presentation that are  
696 seldom observed within classical Pavlovian conditioning. While behaviors like darting and tail  
697 rattling can occur due to non-associative stimulus-inherent properties, transitions between  
698 freezing and jumping are robustly present when associative factors signal threat imminence.  
699 Future studies can utilize this paradigm to investigate neuronal mechanisms that contribute to



700 threat association and direct dynamic responses to threat, with important implications for  
701 developing new treatments for those that suffer from fear and anxiety disorders.

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