

Spine Degenerative Conditions and Their Treatments: National Trends in the United States of America

Global Spine Journal 2018, Vol. 8(1) 57-67 © The Author(s) 2017 Reprints and permission: sagepub.com/journalsPermissions.nav DOI: 10.1177/2192568217696688 journals.sagepub.com/home/gsj



Zorica Buser, PhD¹, Brandon Ortega, BA¹, Anthony D'Oro, BS¹, William Pannell, MD¹, Jeremiah R. Cohen, MD², Justin Wang, BS¹, Ray Golish, MD, PhD, MBA³, Michael Reed, DPT, OCS⁴, and Jeffrey C. Wang, MD¹

Abstract

Study Design: Retrospective database study.

Objective: Low back and neck pain are among the top leading causes of disability worldwide. The aim of our study was to report the current trends on spine degenerative disorders and their treatments.

Methods: Patients diagnosed with lumbar or cervical spine conditions within the orthopedic subset of Medicare and Humana databases (PearlDiver). From the initial cohorts we identified subgroups based on the treatment: fusion or nonoperative within I year from diagnosis. Poisson regression was used to determine demographic differences in diagnosis and treatment approaches.

Results: Within the Medicare database there were 6206578 patients diagnosed with lumbar and 3156215 patients diagnosed with cervical degenerative conditions between 2006 and 2012, representing a 16.5% (lumbar) decrease and 11% (cervical) increase in the number of diagnosed patients. There was an increase of 18.5% in the incidence of fusion among lumbar patients. For the Humana data sets there were 1160495 patients diagnosed with lumbar and 660721 patients diagnosed with cervical degenerative disorders from 2008 to 2014. There was a 33% (lumbar) and 42% (cervical) increases in the number of diagnosed patients. However, in both lumbar and cervical groups there was a decrease in the number of surgical and nonoperative treatments.

Conclusions: There was an overall increase in both lumbar and cervical conditions, followed by an increase in lumbar fusion procedures within the Medicare database. There is still a burning need to optimize the spine care for the elderly and people in their prime work age to lessen the current national economic burden.

Keywords

retrospective, database study, trends, degenerative spine conditions, fusion, conservative treatment, Medicare, Humana

Introduction

Cervical and lumbar spine disorders represent the most common medical problems worldwide. The recent Global Burden of Disease Study 2013 reported that low back pain was the top cause for years lived with disability (YLD) in 1990 and 2013, with a 56.75% increase from 1990 to 2013.¹ Neck pain was the fourth leading cause, with a 54% increase from 1990 to 2013. Back pain was the leading cause in 45 developed and 94 developing countries. Aging maybe the main cause for the increase, and current treatments have not demonstrated a reduction of YLD with spinal disorders, making them one of the biggest driving causes in health care expenditures worldwide.

- ¹ University of Southern California, Los Angeles, CA, USA
- ² University of California Los Angeles, CA, USA
- ³ Jupiter Medical Center, Palm Beach, FL, USA
- ⁴ The Spine Foundation, a Division of the North American Spine Society, Burr Ridge, IL, USA

Corresponding Author:

Zorica Buser, Department of Orthopaedic Surgery, Keck School of Medicine, University of Southern California, Elaine Stevely Hoffman Medical Research Center, HMR 710, 2011 Zonal Ave, Los Angeles, CA 90033, USA. Email: zbuser@usc.edu



This article is distributed under the terms of the Creative Commons Attribution-NonCommercial-NoDerivs 4.0 License (http://www.creativecommons.org/ licenses/by-nc-nd/4.0/) which permits non-commercial use, reproduction and distribution of the work as published without adaptation or alteration, without further permission provided the original work is attributed as specified on the SAGE and Open Access pages (https://us.sagepub.com/en-us/nam/open-access-at-sage).

		Lumbar Degenerative Conditi	ons	
ICD-9-D-72210 ICD-9-D-7213	ICD-9-D-72273 ICD-9-D-72142	ICD-9-D-72402	ICD-9-D-72252	ICD-9-D-72293
Fi	usion		Nonsurgical	
ICD-9-P-8106 ICD-9-P-8107 ICD-9-P-8108 CPT-63017 CPT-63030	CPT-22558 CPT-22630 CPT-22633 CPT-63047	CPT-97010 CPT-97012 CPT-97014 CPT-97018 CPT-97024 CPT-97026 CPT-97032 CPT-97035	CPT-97112 CPT-97113 CPT-97124 CPT-97140 CPT-97530 CPT-97810 CPT-97813 CPT-98925	CPT-62281 CPT-62282 CPT-62310 CPT-62311 CPT-62318 CPT-62319 CPT-98940 CPT-97110
		Cervical Degenerative Conditi	ons	
ICD-9-D-7220 ICD-9-D-7230	ICD-9-D-72271 ICD-9-D-7224	ICD-9-D-72291	ICD-9-D-7210	ICD-9-D-7211
Fu	usion		Nonsurgical	
CPT-22548 CPT-22551 CPT-22554 CPT-22590 CPT-22595 CPT-22600 ICD-9-P-8101	ICD-9-P-8102 ICD-9-P-8103 CPT-63015 CPT-63020 CPT-63045 CPT-63050 CPT-63075	CPT-97010 CPT-97012 CPT-97014 CPT-97018 CPT-97024 CPT-97026 CPT-97032 CPT-97035	CPT-97110 CPT-97112 CPT-97113 CPT-97124 CPT-97140 CPT-97530 CPT-97810 CPT-97813	CPT-98925 CPT-98940 CPT-62281 CPT-62310 CPT-62318

Table I. ICD-9 and CPT codes for Lumbar and Cervical Degenerative Conditions and Treatments.

Abbreviations: ICD-9, International Classification of Diseases, Ninth Edition; CPT, Current Procedural Terminology.

Degenerative changes within intervertebral discs and endplates alter the loading patterns on vertebral bodies and associated spinal structures, introducing increased stress on the facet joints, spinal ligaments and tendons, and traversing neurological tissues, contributing to further deterioration. Choosing the correct or most optimal treatment is very challenging due to the various comorbidities and psychosocial conditions. Nonoperative options were, and often are, the treatment of choice, especially in the older population.² At the same time, improvements in surgical techniques; development of new cages, instrumentation, and biologics; and advancements in imaging and magnetic resonance imaging use along with higher patient demands have contributed to an increase in the rate of surgical treatments for spinal conditions.²⁻⁶

Increasing rates of diagnosed spinal degenerative conditions and treatments were accompanied by a hike in medical costs and health care use. A survey following the population of the United States from 1997 to 2005 reported an increase of 4% in the number of people with reported neck or back problems (20.7% in 1997 and 24.7% in 2005) and a 65% increase in neck and back expenditures between 1997 and 2005.⁷ In another US national survey, 26.4% of respondents had low back pain and that percentage was strongly related to the participant's educational attainment.⁸ Just within Medicare, lumbar spine surgery costs doubled from 1992 to 2003, reaching 1 billion dollars.⁹ A recent study found that among patients with adult spine deformities, only 40.7% were below the threshold for cost-effectiveness per quality adjusted life year over a 5-year time period.¹⁰ In the current wake of legislative changes in health care models (value-based purchasing and pay-per-per-formance) there is a need to provide spine treatments that are cost-effective and provide long-term favorable outcomes.

The aim of our study was to provide the most current trends on spinal degenerative disorders and treatments within the United States.

Materials and Methods

Orthopedic records within the PearlDiver (PearlDiver Inc, Warsaw, IN) Medicare and Humana private insurance databases were used in this study. The Medicare database spans from 2005 to 2012 with, on average, 25 million tracked patients per year and is derived from Medicare Parts A and B. The Humana database spans from 2007 to 2014 with, on average, 7.5 million patients entered per year, a private insurance provider including part of Medicare/Medicare Advantage plans. Patients diagnosed with lumbar or cervical spine conditions were isolated using the International Classification of Diseases, Ninth Edition (ICD-9) diagnosis codes (Table 1). Degenerative conditions included intervertebral disc degeneration, intervertebral disc disorder with myelopathy, displacement of intervertebral disc with myelopathy, stenosis, and spondylosis with and without myelopathy. From the initial cohorts, we identified subgroups based on the treatment: fusion surgery or nonoperative

		Cervical D	Cervical Degeneration			Lumbar Degeneration		
Variable	Total Number of Patients	Number of Patients	Incidence	P Value	Number of Patients	Incidence	P Value	
Sex								
Female	100 288 179	1 890 605	18.9		3772751	37.6		
Male	96 527 324	1 265 541	13.1		2 433 826	25.2		
				<.0001			<.0001	
US geographical region								
Midwest	49 550 1 1 5	871 940	17.6		1 723 372	34.8		
Northeast	46 977 209	476 394	10.1		988116	21.0		
South	48 75 4 7	I 320 670	27.4		2 502 674	51.9		
West	52112762	487 42	9.3		992 288	19.0		
				<.0001			<.0001	
Age								
<65 years	34 322 047	713331	20.8		1 186 42 1	34.6		
65-69 years	33 684 488	539 320	16.0		377 320	40.9		
70-74 years	32 52 020	470 88 1	14.6		03 8	34.3		
75-79 years	32 339 635	383 845	11.9		1 002 858	31.0		
80-84 years	32 035 669	371 583	11.6		821 092	25.6		
>84 years	32 281 644	677 186	21.0		715769	22.2		
,				<.0001			<.0001	
Year of diagnosis								
2006	28 044 526	432 769	15.4		1018583	36.3		
2007	28 24 986	431 398	15.3		932 969	33.2		
2008	26 859 677	384 222	14.3		790015	29.4		
2009	27 788 293	446 384	16.1		849780	30.6		
2010	28 36 823	460 555	16.4		841261	29.9		
2011	28670186	499 96 1	17.4		890 206	31.0		
2012	29 191 012	500 926	17.2		883 764	30.3		
				<.0001			<.0001	
Total	196815503	3 56 2 5	16.0		6 206 578	31.5		

Table 2. Patient Demographics for Cervical and Lumbar Degenerative Conditions Within the Medicare Database^a.

^aIncidence (per 1000 patients).

within 1 year from diagnosis. This was achieved using Current Procedural Terminology (CPT) and ICD-9 procedural codes (Table 1). For both diagnosis and treatment options, we collected annual trends, and patients were further stratified by age, gender, and region. Both databases have 5-year age increments, with Medicare age groups ranging from <65 to \geq 85 and Humana 10 to \geq 90 years of age. Regions within the United States were broken down into the South, Midwest, West, and Northeast. Patient distribution among those 4 regions was uneven: 52.8% were in the South, 26.9% in the Midwest, 13.6% in the West, and 6.6% in the Northeast. Institutional review board approval was unnecessary since all the patient information was de-identified prior to release for this study.

Poisson regressions were used to analyze degeneration, fusion, and nonoperative treatment rates for both cervical and lumbar disease. Year, gender, age, and region were included in each model to control for variable interactions. Data for patients with cervical or lumbar degeneration was analyzed using an exposure variable to control for demographic differences in database totals. Data for fusion and nonoperative treatment rates was analyzed using an exposure variable to control for differences in rates for cervical or lumbar degeneration by demographics. Model fit was tested using McFadden's R^2 , and models were checked for overdispersion using the α value in a negative binomial regression. Significance was set at P < .05.

Results

Medicare Database

Lumbar Region. Within the Medicare database there were 6206578 patients (32 per 1000 patients) between 2006 and 2012 diagnosed with lumbar degenerative conditions. The incidence of patients decreased from 2006 (36 per 1000 patients) to 2008 (29 per 1000 patients), followed by a slight increase in 2011 (Table 2). From the patients diagnosed with lumbar degeneration, 5.9 per 100 patients progressed to lumbar fusion within 1 year and 35 per 100 patients had nonoperative treatment within 1 year between 2006 and 2011 (Table 3). There was an increase of 18.5% in the incidence of fusion procedures within 1 year of diagnosis between 2006 and 2011. The incidence of nonoperative procedures decreased from 2006 (38 per 100 patients) to 2011 (32 per 100 patients; Table 3). Females were diagnosed with lumbar degeneration more frequently compared with males (38 vs 25 per 1000 patients); however, males were more likely to undergo a fusion procedure

	Number of Patients		Fusion Cases			Nonoperative Cases		
Variable	With Lumbar Degeneration	Number	Incidence	P Value	Number	Incidence	P Value	
Sex								
Female	3 250 736	180 428	5.6		l 203 697	37.0		
Male	2072078	134398	6.5		678 944	32.8		
				.7325			<.0001	
US geographical region								
Midwest	I 489 858	76 493	5.I		612757	41.1		
Northeast	845 64 1	38 40	4.5		311374	36.8		
South	2 40 384	144 656	6.8		711354	33.2		
West	846 93 1	55 537	6.6		247 56	29.2		
				<.0001			<.0001	
Age								
<65 years	998 53	68 788	6.9		296817	29.7		
65-69 years	1 1 53 474	99 579	8.6		420 897	36.5		
70-74 years	950 797	67 098	7.1		351956	37.0		
75-79 years	879 393	48 09 1	5.5		330 408	37.6		
80-84 years	721918	23 723	3.3		267 23	37.0		
>84 years	619079	7547	1.2		215440	34.8		
,				<.0001			<.0001	
Year of procedure								
2006	1018583	54 624	5.4		389 878	38.3		
2007	932 969	48 898	5.2		338 540	36.3		
2008	790015	46 983	5.9		296 423	37.5		
2009	849 780	52016	6.1		295 000	34.7		
2010	841261	55 535	6.6		277 65 1	33.0		
2011	890 206	56770	6.4		285 149	32.0		
				.0595			<.0001	
Total	5322814	314826	5.9		882 64	35.4		

Table 3. Demographics of Patients Undergoing Lumbar Fusion or Nonoperative Treatment Within the Medicare Database^a.

^aIncidence (per 100 patients).

compared with females (6.5 vs 5.6 per 1000 patients). The highest incidence of lumbar degeneration was observed in the South (52 per 100 patients) and the lowest in the West (19 per 100 patients; Table 2). The South also had the highest incidence of fusions (6.8 per 100 patients), whereas the Midwest had the highest incidence of nonoperative treatments (41 per 100 patients). Looking at age, the 65 to 69 years age group had the highest incidence of patients diagnosed with lumbar degeneration as well as the highest incidence of patients that underwent fusion within 1 year of diagnosis (Tables 2 and 3). Furthermore, this age group had a 15% increase in the incidence of a degeneration-related diagnosis between 2008 and 2012 (Table 4). Patients 80 to 84 and >85 years of age had the greatest relative increase in fusion incidence between 2008 and 2011 (13% and 11%, respectively). Patients in groups 70 to 74 and 75 to 79 years of age had the highest incidence of nonoperative treatments. However, the overall incidence of nonoperative treatment decreased from 2008 to 2011 for all age groups (Table 4).

The Poisson regressions significantly predicted the number of patients with lumbar degeneration who underwent fusion or nonoperative treatment while controlling for year, region, gender, and age (P < .0001), and model fits were excellent ($R^2 = .991$). Each variable was also individually predictive

Table 4. Changes in the Age Incidence for Diagnosis of Degeneration and Type of Treatment Within the Medicare Database.

	Degeneration (2012/2008)	Fusion (2011/2008)	Nonoperative (2011/2008)
Lumbar spine			
<65 years	1.13	0.92	0.86
65-69 years	1.15	1.08	0.87
70-74 years	0.99	1.08	0.84
75-79 years	0.94	1.10	0.85
80-84 years	0.93	1.13	0.86
>84 years	0.97	1.11	0.86
Cervical spine			
<65 years	1.19	0.88	0.87
65-69 years	1.08	0.98	0.84
70-74 years	1.07	0.95	0.83
75-79 years	1.17	0.88	0.86
80-84 years	1.47	0.85	0.87
>84 years	1.32	0.98	0.86

of the number of patients with lumbar degeneration (P < .0001; Table 2). The Poisson regressions significantly predicted the number of patients with lumbar degeneration who underwent fusion or nonoperative treatment while controlling for year, region, gender, and age (P < .0001), and model fits were excellent

			Fusion Cases			Nonoperative Cases		
Variable	Cervical Degeneration	Number	Incidence	P Value	Number	Incidence	P Value	
Sex								
Female	l 594 526	95 497	6.0		537 946	33.7		
Male	I 060 763	91 665	8.6		314124	29.6		
				.0167			<.0001	
US geographical region								
Midwest	740 696	40 508	5.5		269 56 1	36.4		
Northeast	399314	22 26	5.5		131 978	33.1		
South	1 107 782	92720	8.4		337 950	30.5		
West	407 497	31808	7.8		112581	27.6		
				<.0001			<.0001	
Age								
<65 years	596 053	68 5 56	11.5		168708	28.3		
65-69 years	456 41 7	32 20 1	7.1		154377	33.8		
70-74 years	403 0 1 9	20 083	5.0		135 387	33.6		
75-79 years	328 40	9670	2.9		106 926	32.6		
80-84 years	310198	3730	1.2		96 396	31.1		
>84 years	561 462	52 922	9.4		190276	33.9		
,				<.0001			<.0001	
Year of procedure								
2006	432 769	30 568	7.1		147 109	34.0		
2007	431 398	30 2 2 3	7.0		142034	32.9		
2008	384 222	28176	7.3		133 298	34.7		
2009	446 384	31330	7.0		143 555	32.2		
2010	460 555	32667	7.1		138309	30.0		
2011	499 96 1	34 98	6.8		147765	29.6		
				.0089			<.0001	
Total	2 655 289	187 62	7.0		852 070	32.1		

Table 5. Demographics of Patients With Cervical Spine Disorders Undergoing Fusion or Nonoperative Treatment Within the Medicare Database^a.

^aIncidence (per 100 patients).

 $(R^2 = .967 \text{ fusion}, R^2 = .947 \text{ nonoperative})$. For the fusion cohort, region and age were individually significant predictors of patient counts (P < .0001; Table 3). For the nonoperative cohort, all variables were individually predictive of patient counts (P < .0001; Table 3).

Cervical Region. There were 3156215 patients (16 per 1000 patients) diagnosed with cervical degenerative problems between 2006 and 2012 in the Medicare database (Table 2). Among those patients, 7.0% underwent fusion within the first year of diagnosis and 32% had nonoperative treatment. After 2008 there was a steady increase in the number of newly diagnosed patients, with a diagnostic incidence increase of 1.0 per 1000 patients per year between 2008 and 2011 (Table 2). Fusion trends remained stable between 2006 and 2011 at 7.0 per 100 patients with cervical degeneration. Nonoperative trends also remained stable from 2006 to 2011 at 32 per 100 patients with cervical degeneration (Table 5). Gender and region trends were similar to lumbar values. There were more female than male patients diagnosed with degeneration (19 vs 13 per 1000 patients) and female patients had more nonoperative treatment (34 vs 30 per 100 patients with cervical degeneration); however, male patients had more fusions as was seen

in the Medicare lumbar cohort (8.6 vs 6.0 per 100 patients with cervical degeneration; Table 5). The South had the highest incidence of degeneration and fusion, whereas the Midwest had the highest incidence of nonoperative treatments (Tables 2 and 5). The age group >84 years of age had the highest incidence of patients diagnosed with cervical degeneration, followed by the <65 years age group (Table 2). The <65 years age group had the highest incidence of fusions, whereas the >84 years age group had the highest incidence of nonoperative treatment. A steady increase in the diagnostic incidence of cervical degeneration was observed after 2008 among all age groups (Table 4). For both the fusion and nonoperative groups, the overall incidence of both procedures decreased from 2008 to 2011 for all age groups (Table 4).

The Poisson regressions significantly predicted the number of patients with cervical degeneration while controlling for year, region, gender, and age (P < .0001), and model fits were excellent ($R^2 > .994$). Gender, region, age, and year were individually significant predictors of the number of patients with cervical degeneration (P < .0001; Table 2). The Poisson regressions also significantly predicted the number of patients with cervical degeneration who underwent fusion or nonoperative treatment while controlling for year, region, gender, and age

		Cervical De	Cervical Degeneration			Lumbar Degeneration		
Variable	Total Number of Patients	Number of Patients	Incidence	P Value	Number of Patients	Incidence	P Value	
Sex								
Female	24752728	389768	15.7		673 300	27.2		
Male	17836374	270 953	15.2		487 95	27.3		
				.2533			<.0001	
US geographical region								
Midwest	350 495	161767	14.3		290 266	25.6		
Northeast	2 969 893	14208	4.8		27 250	9.2		
South	22 291 680	417382	18.7		722 773	32.4		
West	5977034	67 364	11.3		120206	20.1		
				<.0001			.0003	
Age								
30-34 years	l 208 576	8226	6.8		16581	13.7		
, 35-39 years	320 90	13809	10.5		24381	18.5		
, 40-44 years	l 564 938	22 898	14.6		35 659	22.8		
, 45-49 years	l 876 523	35 67	18.7		51 923	27.7		
, 50-54 years	2 2 5 2 2 3 9	50176	22.3		75 609	33.6		
, 55-59 years	2 422 075	58 08 1	24.0		92 787	38.3		
, 60-64 years	2 48 1 30 1	59 500	24.0		101709	41.0		
65-69 years	8291454	132637	16.0		241 623	29.1		
, 70-74 years	7 407 680	107 309	14.5		197519	26.7		
, 75-79 years	5 529 193	76257	13.8		143286	25.9		
, 80-84 years	3 944 768	51667	13.1		97 09 1	24.6		
, 85-89 years	1316296	16875	12.8		29 588	22.5		
>90 years	2 973 869	28119	9.5		49 560	16.7		
,				<.0001			<.0001	
Year of diagnosis								
2008	5631917	66 345	11.8		122880	21.8		
2009	4654070	70 0 37	15.0		124846	26.8		
2010	4928124	80 46 1	16.3		145 181	29.5		
2011	5 655 649	91 186	16.1		159483	28.2		
2012	6291841	97 096	15.4		167269	26.6		
2013	6921787	113386	16.4		194419	28.1		
2014	8505714	142210	16.7		246417	29.0		
				<.0001			<.0001	
Total	42 589 102	660721	15.5		I 160 495	27.2		

Table 6. Demographics of Patients With Cervical and Lumbar Degenerative Conditions Within Humana Database^a.

^aIncidence (per 1000 patients).

(P < .0001), and model fits were excellent $(R^2 = .967$ fusion, $R^2 = .874$ nonoperative). For both the fusion and nonoperative cohorts, all variables were individually predictive of patient counts (P < .0167; Table 5).

Humana Database

Lumbar Spine. There were 1 160 495 patients diagnosed with lumbar degenerative disorders from 2008 to 2014. There was a steady increase in the number of diagnosed patients, with a 33% increase from 2008 to 2014 (Table 6). Of the patients diagnosed with lumbar degeneration, 4% underwent fusion surgery within 1 year of diagnosis, whereas 37.8% had some type of nonoperative treatment within 1 year of diagnosis (Table 7). There were more male patients diagnosed with degeneration (27.2 per 1000 patients) and male patients had more fusions (4.7 per 100 patients) compared with females. The South and Midwest were the regions with the highest incidence of initial diagnosis as well as fusions (Table 7). The incidence of lumbar degeneration was highest in the 60 to 64 years age group followed by the 55 to 59 years age group (Table 6). Patients in the youngest age group, 30 to 34 years of age, had the highest incidence of fusion and nonoperative treatments (Table 7). Between 2008 and 2014, patients older than 40 years of age had an overall increase in the number of lumbar degenerative conditions, 75 to 79 year olds having the greatest increase, with an increase of 67%. Patients 75 to 79 years of age had the greatest increase in the number of fusions, with an increase of 8%. Patients 80 to 84 years of age had the greatest increase in the number of age had the greatest increase in the number of fusions, with an increase of 6% (Table 8).

The Poisson regression significantly predicted the number of patients with lumbar degeneration while controlling for year, region, gender, and age (P < .0001), and model fit was

	Number of Patients		Fusion Cases			Nonoperative Cases		
Variable	With Lumbar Degeneration	Number	Incidence	P Value	Number	Incidence	P Value	
Sex								
Female	530 888	18370	3.5		206 41 5	38.9		
Male	383 190	18084	4.7		138824	36.2		
				.0172			.2362	
US geographical region								
Midwest	240 760	10238	4.3		106 094	44.1		
Northeast	21 745	373	1.7		8018	36.9		
South	553 994	22 022	4.0		196 649	35.5		
West	95 035	3766	4.0		33 636	35.4		
				.0401			.3296	
Age								
30-34 years	13 308	690	5.2		5959	44.8		
35-39 years	19617	995	5.I		8434	43.0		
40-44 years	28 426	1277	4.5		11610	40.8		
45-49 years	41 543	1841	4.4		15789	38.0		
50-54 years	58 823	2475	4.2		21 268	36.2		
55-59 years	70 55 I	3014	4.3		24 897	35.3		
60-64 years	76 843	3561	4.6		27 293	35.5		
65-69 years	192 093	9119	4.7		75 442	39.3		
70-74 years	155 466	6815	4.4		60 708	39.0		
75-79 years	114283	4138	3.6		43 706	38.2		
80-84 years	78 690	1940	2.5		29 059	36.9		
85-89 years	19 392	253	1.3		6857	35.4		
>90 years	45 043	336	0.7		14217	31.6		
,				<.0001			<.0001	
Year of procedure								
2008	122880	5208	4.2		48 706	39.6		
2009	124846	5017	4.0		48 63	38.6		
2010	145 181	5833	4.0		55 794	38.4		
2011	159483	6006	3.8		59734	37.5		
2012	167269	6574	3.9		61 070	36.5		
2013	194419	7816	4.0		71 772	36.9		
				.2409			.9189	
Total	914078	36 454	4.0		345 239	37.8		

Table 7. Demographics of Patients With Lumbar Spine Degenerative Conditions Undergoing Fusion or Nonoperative Treatment Within Humana Database^a.

^aIncidence (per 100 patients).

excellent ($R^2 = .966$). Each variable was also individually predictive of the number of patients with lumbar degeneration (P < .0003; Table 6). The Poisson regressions significantly predicted the number of patients with lumbar degeneration who underwent fusion or nonoperative treatment while controlling for year, region, gender, and age (P < .0001), and model fits were moderate ($R^2 = .597$ fusion, $R^2 = .603$ nonoperative). For the fusion cohort, region, gender, and age were individually significant predictors of patient counts (P < .0401; Table 7). For the nonoperative cohort, only age was individually a predictor of patient counts (P < .0001; Table 7).

Cervical. From 2008 to 2014, there were 660721 patients diagnosed with a cervical degenerative condition. There was a constant increase with each year, with 2014 having 42% more patients with a diagnosis of cervical degeneration than in 2008 (Table 6). Fusion was performed in 3.4% of the patients and conservative treatment in 36.8% (Table 9). Although

females had a higher incidence of diagnosed cervical degeneration (15.7 per 1000 patients) and nonoperative treatments (38.2 per 100 patients), males had a higher incidence of fusions (4.3 per 100 patients; Table 9). The South and Midwest regions had the highest number of patients for all 3 variables (Tables 6 and 9). The greatest number of diagnosed cervical degenerative conditions occurred in the 65 to 69 year olds, followed by 70 to 74 year olds (Table 6). Similar trends were also seen for the fusion and nonoperative variables. Although the overall number was greatest in 65 to 69 year olds, 45 to 49 year olds and 30 to 34 year olds had the highest incidence of fusion and nonoperative treatments, respectively (Table 9). Between 2008 and 2014, patients greater than 45 years of age had an overall increase in the number of diagnosed cervical degenerative conditions, with 80 to 84 year olds having the greatest increase of 73%. Similarly, patients 80 to 84 years of age had 153% more fusions and 24% more conservative treatments between 2008 and 2013 (Table 8).

	Lumbar				Cervical	
	Degeneration (2014/2008)	Fusion (2013/2008)	Nonoperative (2013/2008)	Degeneration (2014/2008)	Fusion (2013/2008)	Nonoperative (2013/2008)
30-34 years	0.91	0.74	0.92	0.93	0.91	0.84
35-39 years	0.97	0.95	0.84	0.96	0.92	0.85
40-44 years	1.03	0.73	0.82	0.99	0.84	0.85
45-49 years	1.09	0.76	0.84	1.06	0.87	0.87
50-54 years	1.17	0.87	0.84	1.16	0.90	0.88
55-59 years	1.24	0.94	0.85	1.28	0.95	0.85
60-64 years	1.31	0.88	0.85	1.33	1.07	0.86
65-69 years	1.31	1.06	0.95	1.36	1.05	0.98
, 70-74 years	1.50	1.02	0.97	1.64	1.23	0.97
, 75-79 years	1.67	1.08	1.03	1.68	1.18	1.03
80-84 years	1.38	1.00	1.06	1.73	2.53	1.24
85-89 years	n/a	n/a	n/a	n/a	n/a	n/a
>90 years	1.09	0.00	0.94	1.56	0.00	1.09

Table 8. Changes in the Age Incidence for Diagnosis of Degeneration and Type of Treatment in Humana Database.

The Poisson regression significantly predicted the number of patients with cervical degeneration while controlling for year, region, gender, and age (P < .0001), and model fit was excellent ($R^2 = .955$). Region, age, and year were individually significant predictors of the number of patients with cervical degeneration (P < .0001; Table 6). The Poisson regressions also significantly predicted the number of patients with cervical degeneration who underwent fusion or nonoperative treatment while controlling for year, region, gender, and age (P < .0001), and model fits were moderate ($R^2 = .655$ fusion, $R^2 = .536$ nonoperative). For both the fusion and nonoperative cohorts, only age was individually a predictor of patient counts (P < .0001; Table 9).

Discussion

Lumbar and cervical conditions have been diagnosed and treated for many decades. In the past 20 years, there has been immense development and improvement in surgical techniques, implants, and instrumentation. At the same time, advanced imaging studies and an enhanced understanding of biomechanics have provided surgeons with better data to diagnose spinal conditions, giving an improved foundation from which to decide on a treatment option.

In our Medicare and Humana database study, we observed an overall increase in the diagnosis of both degenerative lumbar and cervical conditions, followed by an increase in the number of lumbar fusion treatments within the Medicare database and certain age groups. A recent study done by the Global Burden of Disease reported incidence, prevalence, and YLD for the most common and chronic disease and injuries worldwide between 1990 and 2013.¹ The top cause of worldwide YLD in both 1990 and 2013 was low back pain, with a stunning 57% increase in 2013. Neck pain was the fourth leading cause in both Global Burden of Disease reporting years with a 54% increase in 2013 compared with 1990. When stratified by location, low back pain was also the leading cause of YLD in the United States.¹ In our study, we found an increase of 33% for lumbar and 42% for diagnosed cervical degenerative conditions between 2008 and 2014 within the Humana database. Starting after 2008, there was also an increase in cervical diagnosis among Medicare patients, but the lumbar trends fluctuated between the years studied.

Cowan and coworkers reported an over 100% increase in fusion procedures from 1997 and 2003, with spine fusions being the 19th most performed surgical procedure in 2003.¹¹ Along these lines, Weinstein et al found almost a 20-fold increase in fusion rates between 2002 and 2003 among Medicare beneficiaries.9 In our 2006 to 2012 Medicare population, we observed an 18% increase in lumbar fusion procedures in patients who were diagnosed with degenerative disc disease. Cowan and coworkers found that most of the cervical fusions were performed in 40 to 59 years age group of patients between 1993 and 2003.¹¹ At the same time, a study focusing on anterior cervical discectomy and fusion found that the highest increase in the number of fusion procedures was in patients \geq 65 years of age between 1990 and 2004.¹² Furthermore, the age group >85 years had the highest cervical fusion incidence in the period between 2000 and 2004. Our data aligns with these reports. In our study, annually, cervical fusion was the most common in those aged <65 years (Medicare) and 40 to 49 years (Humana). However, the highest shift in cervical fusions between 2008 and 2013 was in the age groups 80 to 84 years (153%) and 70 to 74 years (23%), Humana). Improvements in the procedures and postoperative care can be one of the factors contributing to such a dramatic increase in the older population.¹² When lumbar fusion trends were reviewed, the age profiles matched the cervical data. Similarly, studies on fusion trends in the United States between 1993 and 2001 found that the highest annual incidences of lumbar fusion were in those patients aged >60 years.^{4,11} Furthermore, within our data set, the private insurance carrier, Humana, experienced a greater increase between 2008 and 2013 than Medicare between 2006 and 2011.

	Number of Designs		Fusion Cases			Nonoperative Cases		
Variable	With Cervical Degeneration	Number	Incidence	P Value	Number	Incidence	P Value	
Sex								
Female	305 948	8644	2.8		116983	38.2		
Male	212563	9083	4.3		74021	34.8		
				.1154			.5178	
US geographical region								
Midwest	133 67 1	4295	3.2		56700	42.4		
Northeast	11 188	69	0.6		3661	32.7		
South	319359	11884	3.7		111707	35.0		
West	54 293	1479	2.7		18936	34.9		
				.6288			.4280	
Age								
30-34 years	6602	98	1.5		3033	45.9		
35-39 years	11109	551	5.0		4962	44.7		
40-44 years	18400	1030	5.6		7866	42.8		
45-49 years	28 294	1648	5.8		11408	40.3		
50-54 years	39262	2119	5.4		15012	38.2		
55-59 years	44 330	2164	4.9		16326	36.8		
60-64 years	45 030	2071	4.6		16022	35.6		
65-69 years	104 146	3783	3.6		39814	38.2		
70-74 years	84069	2420	2.9		31279	37.2		
75-79 years	60 072	1266	2.1		21 437	35.7		
80-84 years	41 236	542	1.3		13703	33.2		
85-89 years	10882	13	0.1		3332	30.6		
>90 years	25 079	22	0.1		6810	27.2		
				<.0001			<.0001	
Year of procedure								
2008	66 345	2386	3.6		25 782	38.9		
2009	70 037	2541	3.6		26 222	37.4		
2010	80 46 I	2814	3.5		29781	37.0		
2011	91 186	3024	3.3		33 243	36.5		
2012	97 096	3148	3.2		35 63	36.2		
2013	113386	3814	3.4		40813	36.0		
				.9751			.9503	
Total	518511	17727	3.4		191004	36.8		

Table 9. Demographics of Cervical Patients Undergoing F	Fusion or Nonoderative [–]	Treatment Within Humana Database ⁴
---	-------------------------------------	---

^aIncidence (per 100 patients).

With regard to nonoperative treatments, our data showed a decrease in the number of patients for both lumbar and cervical spine. The scientific/surgical community has been divided on the effect of various conservative treatments for degenerative spine conditions of the neck and low back pain. Some of the critical elements in decision making are patient symptoms, disease severity, comorbidities, and need for surgery. In a systematic review, Carreon et al found that, for spondylolisthesis and degenerative disc disease, patients undergoing fusion had better improvements than nonsurgical patients.¹³ At the same time, Todd reported that patients with axial neck pain or cervical radiculopathy seemed to benefit from nonoperative treatments.¹⁴ However, patients with myelopathy related to cervical degenerative disorders fail to respond to conservative treatments.¹⁵ In line with these studies, Simotas and coworkers reported that among patients with lumbar spondylolisthesis who underwent nonoperative treatments, 18% had undergone surgery and, subsequently, 39% had worse symptoms or no improvements after 3 years.¹⁶

Regional trends in spine treatment have been attributed to very intricate correlations between parameters such as surgeon density, type of medical institution, treatment preference, surgeon education, and several others.^{17,18} There is a large body of literature on national trends for various spinal conditions and treatments for various time periods.^{9,19-22} In our study, the South region had the highest rate of diagnosis and procedures. In a study on cervical discectomy and fusion performed between 1990 and 1999, Angevine and coworkers found that most of the fusions were performed in the South.¹⁹ Similarly, Pannell et al found that the highest overall fusion rates were in the South and Midwest.²¹ Our results are in agreement with these previous studies, as we found that the Northeast region, for the most part, had the lowest rates. Providers' presence could potentially contribute to our regional trends, as approximately 53% of the patients within Humana were in the South. However, our statistical analysis controlled for regional variation. Previous studies have pointed out that physician training

and experience might contribute to regional variations.¹⁸ Irwin et al found that orthopedic surgeons would more often opt for fusion compared to neurosurgeons, for the same clinical case.¹⁸ In 2010, based on the census regions within the United States, the South and the Northeast had the highest number of physicians. The number of orthopedic and neuro surgeons was 8768 and 2098 (South) and 5354 and 1152 (Northeast), respectively.²³ Differences in number and type of specialty might contribute to the trends, as well as the provider presence in the

to associated regional differences. The age distribution was well in line with previous studies.²¹ We found that female patients had more nonoperative treatments, whereas male patients underwent more fusion procedures.

South region, but additional factors could potentially be related

Limitations

Medical billing data and surveys are the most commonly used tools to evaluate the severity of spine conditions and treatment outcomes, offering advantages and disadvantages. A survey's main disadvantage is recall bias, which can have an important consequence in the spine field, given the difficulty associated with diagnosing many conditions. Studies have shown that there is a drastic difference between the 2 methodologies, billing data versus surveys, when it comes to analyzing the incidence trends of low back pain, with surveys reporting up to 40% and database studies up to 15% prevalence of low back pain.^{8,24} As any database study relying on the ICD9 and CPT codes, we are able to obtain only certain demographics and patient outputs without being able to definitively find the causality for the observed trends. In addition, there can be inherent error in recording as a dependence on physician coding for diagnosis and treatment can be flawed. Another limitation with database studies is that each insurance data set represents a cross-section of their patients and not the overall national trend. However, by including both Medicare and Humana databases, our data provides a realistic overview of the current state of diagnosed spine degenerative conditions and treatments, with the trends being in agreement between both sources.

Despite those limitations, our data sheds light on the current trends in spine care. There is still a burning requirement to optimize the spine care for the elderly and people in their prime work age to lessen the current national economic burden.

Declaration of Conflicting Interests

The author(s) declared the following potential conflicts of interest with respect to the research, authorship, and/or publication of this article: ZB—Xenco Medical (consultancy), AO Spine (consultancy, past). JCW—Royalties: Aesculap, Biomet, Amedica, Seaspine, Synthes; Stock Ownership: Fziomed; Private Investments: Promethean Spine, Paradigm spine, Benevenue, NexGen, Vertiflex, electrocore, surgitech, expanding orthopaedics, osprey, bone biologics, curative biosciences, pearldiver; Board of Directors: North American Spine Society (non-financial, reimbursement for travel for board meetings, courses, etc.), North American Spine Foundation (non-financial), Cervical Spine Research Society (non-financial, reimbursement for travel for board meetings), AO Spine/AO Foundation (honorariums for board position); Fellowship Support: AO Foundation (spine fellowship funding paid to institution). RG-US Food and Drug Administration, Paid Consultant, 2010–2020. American Academy of Orthopaedic Surgeons, Chairman Biomedical Engineering Committee, 2016-2018. ASTM Intl., Co-Chairman F04.25 Spinal Devices Committee, 2016present. Ziehm Imaging GmbH, Paid consultant, November 2016–present. Simplify Medical, Paid consultant, July 2016–present. icotec AG, Paid consultant, July 2016–present. Intrinsic Therapeutics, Paid consultant, June 2016–present. Medacta USA, Paid consultant, June 2016– present. Cytonics Inc., Unpaid consultant, Former paid consultant, Stockholder, January 2010–present.

Funding

The author(s) received no financial support for the research, authorship, and/or publication of this article.

References

- Global Burden of Disease Study 2013 Collaborators. Global, regional, and national incidence, prevalence, and years lived with disability for 301 acute and chronic diseases and injuries in 188 countries, 1990-2013: a systematic analysis for the Global Burden of Disease Study 2013. *Lancet*. 2015;386:743-800. doi:10.1016/ S0140-6736(15)60692-4.
- Good CR, Auerbach JD, O'Leary PT, Schuler TC. Adult spine deformity. *Curr Rev Musculoskelet Med.* 2011;4:159-167. doi:10. 1007/s12178-011-9101-z.
- Bono CM, Lee CK. Critical analysis of trends in fusion for degenerative disc disease over the past 20 years: influence of technique on fusion rate and clinical outcome. *Spine (Phila Pa 1976)*. 2004; 29:455-463.
- Deyo RA, Gray DT, Kreuter W, Mirza S, Martin BI. United States trends in lumbar fusion surgery for degenerative conditions. *Spine* (*Phila Pa 1976*). 2005;30:1441-1445.
- O'Lynnger TM, Zuckerman SL, Morone PJ, Dewan MC, Vasquez-Castellanos RA, Cheng JS. Trends for spine surgery for the elderly: implications for access to healthcare in North America. *Neurosurgery*. 2015;77(suppl 4):S136-S141.
- Taylor VM, Deyo RA, Cherkin DC, Kreuter W. Low back pain hospitalization. Recent United States trends and regional variations. *Spine (Phila Pa 1976)*. 1994;19:1207-1212.
- Martin BI, Deyo RA, Mirza SK, et al. Expenditures and health status among adults with back and neck problems. *JAMA*. 2008; 299:656-664. doi:10.1001/jama.299.6.656.
- Deyo RA, Mirza SK, Martin BI. Back pain prevalence and visit rates: estimates from U.S. national surveys, 2002. *Spine (Phila Pa* 1976). 2006;31:2724-2727.
- Weinstein JN, Lurie JD, Olson PR, Bronner KK, Fisher ES. United States' trends and regional variations in lumbar spine surgery: 1992-2003. *Spine (Phila Pa 1976)*. 2006;31:2707-2714.
- Terran J, McHugh BJ, Fischer CR, et al. Surgical treatment for adult spinal deformity: projected cost effectiveness at 5-year follow-up. *Ochsner J*. 2014;14:14-22.
- Cowan JA Jr, Dimick JB, Wainess R, Upchurch GR Jr, Chandler WF, La Marca F. Changes in the utilization of spinal fusion in the United States. *Neurosurgery*. 2006;59:15-20.

- Marawar S, Girardi FP, Sama AA, et al. National trends in anterior cervical fusion procedures. *Spine (Phila Pa 1976)*. 2010;35: 1454-1459.
- Carreon LY, Glassman SD, Howard J. Fusion and nonsurgical treatment for symptomatic lumbar degenerative disease: a systematic review of Oswestry Disability Index and MOS Short Form-36 outcomes. *Spine J.* 2008;8:747-755.
- 14. Todd AG. Cervical spine: degenerative conditions. *Curr Rev Musculoskelet Med.* 2011;4:168-174.
- Epstien JA, Epstein WE. The surgical management of cervical spinal stenosis, spondylosis, and myeloradiculopathy by means of the posterior approach. In: Benzel EC, ed. *The Cervical Spine*. Philadelphia, PA: JB Lippincott; 1989.
- Simotas AC, Dorey FJ, Hansraj KK, Cammisa F Jr. Nonoperative treatment for lumbar spinal stenosis. Clinical and outcome results and a 3-year survivorship analysis. *Spine (Phila Pa 1976)*. 2000; 25:197-203.
- Chassin MR. Explaining geographic variations. The enthusiasm hypothesis. *Med Care*. 1993;31(5 suppl):YS37-YS44.

- Irwin Z, Hilibrand A, Gustavel M, et al. Variations in surgical decision making for degenerative spinal disorders. Part I: lumbar spine. *Spine (Phila Pa 1976)*. 2005;30:2208-2213.
- Angevine PD, Arons RR, McCormick PC. National and regional rates and variation of cervical discectomy with and without anterior fusion, 1990-1999. *Spine (Phila Pa 1976)*. 2003;28:931-939.
- Yoshihara H, Yoneoka D. National trends in the surgical treatment for lumbar degenerative disc disease: United States, 2000 to 2009. *Spine J.* 2015;15:265-271. doi:0.1016/j.spinee.2014.09.026.
- Pannell WC, Savin DD, Scott TP, Wang JC, Daubs MD. Trends in the surgical treatment of lumbar spine disease in the United States. *Spine J.* 2015;15:1719-1727.
- Cook C, Santos GC, Lima R, Pietrobon R, Jacobs DO, Richardson W. Geographic variation in lumbar fusion for degenerative disorders: 1990 to 2000. *Spine J.* 2007;7:552-557.
- 23. American Medical Association. *Physician Characteristics and Distribution in the U.S.* Chicago, IL: American Medical Association; 2012.
- 24. Katz JN. Lumbar spinal fusion. Surgical rates, costs, and complications. *Spine (Phila Pa 1976)*. 1995;20(24 suppl):78S-83S.