# REPORT

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# Activity of murine surrogate antibodies for durvalumab and tremelimumab lacking effector function and the ability to deplete regulatory T cells in mouse models of cancer

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#### ABSTRACT

Preclinical studies of PD-L1 and CTLA-4 blockade have relied heavily on mouse syngeneic tumor models with intact immune systems, which facilitate dissection of immunosuppressive mechanisms in the tumor microenvironment. Commercially developed monoclonal antibodies (mAbs) targeting human PD-L1, PD-1, and CTLA-4 may not demonstrate cross-reactive binding to their mouse orthologs, and surrogate antimouse antibodies are often used in their place to inhibit these immune checkpoints. In each case, multiple choices exist for surrogate antibodies, which differ with respect to species of origin, affinity, and effector function. To develop relevant murine surrogate antibodies for the anti-human PD-L1 mAb durvalumab and the anti-human CTLA-4 mAb tremelimumab, rat/mouse chimeric or fully murine mAbs engineered for reduced effector function were developed and compared with durvalumab and tremelimumab. Characterization included determination of target affinity, in vivo effector function, pharmacokinetic profile, and anti-tumor efficacy in mouse syngeneic tumor models. Results showed that anti-PD-L1 and anti-CTLA-4 murine surrogates with pharmacologic properties similar to those of durvalumab and tremelimumab demonstrated anti-tumor activity in a subset of commonly used mouse syngeneic tumor models. This activity was not entirely dependent on antibody-dependent cellular cytotoxicity, antibody-dependent cellular phagocytosis effector function, or regulatory T-cell depletion, as antibodies engineered to lack these features showed activity in models historically sensitive to checkpoint inhibition, albeit at a significantly lower level than antibodies with intact effector function.

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# Introduction

Monoclonal antibodies (mAbs) that block programmed cell death protein 1 (PD-1), PD-1 ligand (PD-L1), or cytotoxic T-lymphocyte-associated protein 4 (CTLA-4) to enhance antitumor T-cell activity have shown promising clinical efficacy in multiple tumor types.<sup>1</sup> This success has led to the approval of anti-PD-1 and anti-PD-L1 antibodies by the U.S. Food and Drug Administration (FDA) for melanoma, non-small-cell lung cancer (NSCLC), small-cell lung cancer, triple-negative breast cancer, renal cell carcinoma, Merkel cell carcinoma, classical Hodgkin lymphoma, head and neck squamous cell carcinoma, urothelial carcinoma, cervical cancer, hepatocellular carcinoma, gastric cancer, and microsatellite instabilityhigh or mismatch repair-deficient cancers (MSI-H/dMMR), including colorectal cancer<sup>2</sup> (Table 1). Likewise, anti-PD-1 and anti-PD-L1 antibodies used in combination with anti-CTLA-4 antibodies have shown signs of additive clinical

benefit in melanoma, renal cell carcinoma, MSI-H/dMMR cancers, and NSCLC and have the potential to change the standard of care in these malignancies.<sup>2</sup>

The FDA-approved anti–PD-1 antibodies nivolumab (Opdivo) and cemiplimab (Libtayo) are fully human antibodies, pembrolizumab (Keytruda) is a humanized antibody, and all are of the immunoglobulin G4 (IgG4) isotype (Table 1). This isotype choice is designed to minimize effector functions such as antibody-dependent cellular cytotoxicity (ADCC), antibody-dependent cellular phagocytosis (ADCP), and complement-dependent cytotoxicity.<sup>15</sup> Amelioration of effector functions is important because anti–PD-1 mAbs with these functions run the risk of depleting activated anti-tumor T cells expressing PD-1 within the tumor microenvironment or peripheral blood of patients with cancer.<sup>16,17</sup> Anti–PD-1 antibodies block the interaction of PD-1 (CD279) with both of its ligands, PD-L1 (CD274, B7-H1) and PD-L2 (CD273, B7-DC).

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#### Table 1. Characteristics of FDA-approved anti-human PD-1, PD-L1, and CTLA-4 mAbs.

mAb generic (brand) name	Target	Targeted interactions	Immunoglobulin isotype	Fc engi- neering	Rationale for isotype or Fc engineering choice	Reported treatment- emergent ADA incidence	Monotherapy or combination FDA regu- latory approvals as of March 31, 2020
Pembrolizumab (Keytruda)	PD-1	PD-1-PD- L1 PD1- PD-L2	Humanized IgG4	Ser → Pro228 in CH2 hinge	Human IgG4 has minimal effector function; Fc engineering prevents Fab arm exchange	1.7% <sup>3</sup> to 2.1% <sup>4</sup>	Melanoma, NSCLC, cHL, UC, MSI-high /dMMR cancer, gastric cancer, cervical cancer, PMBCL, hepatocellular carcinoma, Merkel cell carcinoma, RCC, HNSCC, SCLC, esophageal cancer, endometrial cancer
Nivolumab (Opdivo)	PD-1	PD-1-PD- L1 PD-1- PD-L2	Fully human lgG4	Ser → Pro228 in CH2 hinge	Human IgG4 has minimal effector function; Fc engineering prevents Fab arm exchange	11% (233/ 2,085) to 13% (138/ 1,086) <sup>5,6</sup>	Melanoma, NSCLC, RCC, cHL, HNSCC, UC, MSI-high/dMMR colorectal cancer, hepatocellular carcinoma, SCLC
Cemiplimab (Libtayo)	PD-1	PD-1-PD- L1 PD-1- PD-L2	Fully human IgG4	Ser → Pro228 in CH2 hinge	Human IgG4 has minimal effector function; Fc engineering prevents Fab arm exchange	1.3% (5/398) <sup>7</sup>	Cutaneous squamous cell carcinoma
Durvalumab (Imfinzi)	PD-L1	PD-L1-PD -1 PD- L1-CD80	Fully human lgG1	Triple mutation in Fc domain <sup>8</sup>	Fc engineering minimizes effector function	3.1% (44/ 1,199) <sup>9</sup>	UC, NSCLC, SCLC
Atezolizumab (Tecentriq)	PD-L1	PD-L1-PD -1 PD- L1-CD80	Humanized IgG1	Single mutation in Fc domain <sup>10</sup>	Fc engineering minimizes effector function	30% to 48% <sup>11</sup>	UC, NSCLC, triple-negative breast cancer, SCLC
Avelumab (Bavencio)	PD-L1	PD-L1-PD -1 PD- L1-CD80	Fully human lgG1	None	Effector function is intact for human IgG1 isotype	15% (66/453) to 19% (62/325) <sup>12</sup>	Merkel cell carcinoma, UC, RCC
Tremelimumab	CTLA- 4	CTLA-4– CD80 CTLA-4– CD86	Fully human lgG2	None	Human IgG2 has minimal ADCC effector function	1.8% (1/53) in	combination with durvalumab <sup>13</sup>
None (orphan drug designation for mesothelioma)							
lpilimumab (Yervoy)	CTLA- 4	CTLA-4– CD80 CTLA-4– CD86	Fully human lgG1	None	Effector function is intact for human IgG1 isotype	1.1% (11/ 1,024) to 4.9% (7/ 144) <sup>14</sup>	Melanoma, RCC, MSI-high/dMMR tumors*

\* In combination with nivolumab for renal cell carcinoma and microsatellite instability-high/deficient mismatch repair tumors.

cHL, classical Hodgkin lymphoma; dMMR, deficient mismatch repair; HNSCC, head and neck squamous cell carcinoma; MSI, microsatellite instability; PMBCL, primary mediastinal B-cell lymphoma; RCC, renal cell carcinoma; SCLC, small-cell lung cancer; UC, urothelial carcinoma; USPI, U.S. Prescribing Information.

Similar to anti-PD-1 mAbs, clinically approved anti-PD-L1 mAbs block the interaction of PD-L1 with PD-1. However, in contrast to anti-PD-1 mAbs, anti-PD-L1 mAbs also block the interaction of PD-L1 with CD80 while leaving the PD-1-PD-L2 interaction intact.<sup>18</sup> The currently approved anti-PD-L1 antibodies durvalumab (Imfinzi), atezolizumab (Tecentriq), and avelumab (Bavencio) are either fully human (durvalumab, avelumab) or humanized (atezolizumab) antibodies of the IgG1 isotype<sup>2</sup> (Table 1). Each differs, however, in its fragment crystallizable (Fc) domain. Avelumab has an unmodified IgG1 Fc domain and is therefore capable of mediating effector functions, such as ADCC, against target cells that express PD-L1.<sup>19-25</sup> Both durvalumab and atezolizumab have engineered Fc domains that dramatically lower their affinities for Fc gamma receptor (FcyR) binding, thus minimizing their potential for effector functions such as ADCC<sup>8,10,26</sup> (Table 1). In the case of durvalumab, this action is represented by a three-amino acid change,<sup>8</sup> and for atezolizumab, a single amino acid substitution<sup>10</sup> in the Fc domain.

The anti-CTLA-4 mAb ipilimumab is an unmodified, fully human IgG1, and the anti-CTLA-4 mAb tremelimumab is an unmodified, fully human IgG2 isotype antibody (Table 1). As expected, ipilimumab is capable of mediating ADCC and ADCP of CTLA-4-expressing target cells in vitro.<sup>27-29</sup> In contrast, tremelimumab does not induce natural killer (NK) cellmediated ADCC, because the IgG2 Fc has low affinity for CD16/FcyRIII.<sup>30,31</sup> Data have shown that surrogate antimouse CTLA-4 mAbs that mediate regulatory T-cell (Treg) depletion are highly active in certain syngeneic tumor models compared with mAbs that lack effector function.<sup>32-34</sup> These findings led to the hypothesis that the anti-tumor activity of anti-CTLA-4 mAbs is dependent on effector function.<sup>18,33,34</sup> However, the relevance of Treg depletion for the clinical activity of ipilimumab and tremelimumab has been called into question because a lack of intratumoral Treg depletion has been observed in melanoma cancer patients treated with these antibodies.30,31,35

Mouse syngeneic tumor models have been used for many years to better understand the in vivo mechanisms of action of anti–PD-1, anti–PD-L1, and anti–CTLA-4 mAbs. These models have the advantage of harboring a fully intact immune system in which the effect of immune modulation can be carefully studied. However, because none of the approved anti-human mAbs against PD-1, PD-L1, and CTLA-4 listed in Table 1, with the exception of avelumab and atezolizumab, cross-react with the mouse orthologs of their human target, their use is irrelevant in unmodified mouse syngeneic tumor models. Murine surrogates of these antibodies that bind their mouse targets have therefore been developed and are widely used in studies of anti-tumor immunity.

Despite the availability and utility of commercially available murine surrogate checkpoint blockade antibodies, the choice of surrogate must be judicious to ensure the highest chance of clinical translatability. Some of the antibody characteristics that are important to match between a clinical anti-human drug antibody and a surrogate antibody are target affinity, epitope, potency of receptor-ligand blockade for antagonist antibodies, and the relative potential for effector functions such as ADCC and ADCP. The characteristics of the ideal surrogate would qualitatively and quantitatively equal those of its human counterpart. Because anti-human PD-1, anti-human PD-L1, and anti-human CTLA-4 mAbs that are approved or in clinical development differ in these characteristics, it is important to develop murine surrogate antibodies with properties that are similar to those of these particular anti-human antibodies whose pharmacology is to be mimicked in mouse models.

Commercially available murine surrogate antibodies for PD-L1 and CTLA-4 have immunoglobulin isotypes that either do not resemble those of durvalumab or tremelimumab in their potential for effector function or are not intended for in vivo use (Table 1, S1 Table). Moreover, commercially available antimouse PD-L1 mAbs are not typically derived from mice and contain antibody sequences from other species, such as rats or rabbits (S1 Table). For this reason, antidrug antibodies (ADAs) may develop after repeated administration in mice, which may be seen even in murine chimeras.<sup>36</sup> In contrast, ADA formation has not been commonly observed in human clinical trials with durvalumab or tremelimumab<sup>13,37,38</sup> (Table 1). Because of these undesirable features, we developed a rat/mouse chimeric anti-PD-L1 surrogate antibody, termed "clone 80," with anti-PD-L1 binding and lack of effector function similar to that of durvalumab, through a de novo hybridoma screening campaign. Likewise, we engineered a murine surrogate anti-CTLA-4 antibody to more closely resemble the binding and effector potential of tremelimumab. Each was assessed for pharmacokinetic (PK) properties, anti-tumor efficacy, and pharmacodynamic activity in mouse syngeneic tumor models.

#### Results

# Characterization of anti-human PD-L1 and CTLA-4 mAbs

The properties of anti-human PD-L1 and CTLA-4 mAbs were characterized with respect to affinity, biological activity, and effector function to enable selection and evaluation of appropriate mouse surrogate mAbs. The values for 50% receptor saturation under equilibrium binding conditions (EC<sub>50</sub>s) that represent

bivalent (avid) antibody binding for durvalumab, atezolizumab, and avelumab to human PD-L1 on the surface of activated primary human T cells, PD-L1-expressing human Jurkat T cells, and human tumor cell lines were in the range of 10-180 pM (Table 2 and S1 Fig). Durvalumab showed  $EC_{50}s$  (mean  $\pm$  standard deviation) of 27 ± 4.6 for binding to primary human CD3 T cells,  $10 \pm 5.7$  for PD-L1-overexpressing Jurkat T cells,  $86 \pm 22$  for MDA MB-231 human breast cancer, and 51 ± 15 pM for A498 human lung cancer cell lines. An affinity equilibrium dissociation constant (K<sub>D</sub>) of 831 pM was found for durvalumab binding to recombinant human PD-L1, as determined by surface plasmon resonance (SPR) measurement (Table 3). The EC<sub>50</sub>s of the anti-CTLA-4 mAb tremelimumab were 0.80 ± 0.42 nM for human CTLA-4 on the surface of CTLA-4-overexpressing Jurkat human T cells and  $4.3 \pm 4.6$  nM for human CTLA-4–expressing Chinese hamster ovary (CHO) cells (Table 2 and S1 Fig). Ipilimumab

Table 2. Apparent binding  $EC_{50}$ s of anti-human or anti-mouse PD-L1 and CTLA-4 mAbs to target-expressing cells.

mAb	Cell line used in binding assay	Mean ± SD EC <sub>50</sub> (pM)	No. of binding assays
Anti-mouse PD-L1 clone 80 mlgG1 D265A	CT26 mouse colon cancer cell line	2,500 ± 990	3
Anti-mouse PD-L1 clone 80 mlgG1 D265A	EMT6 mouse breast cancer cell line	270 ± 99	3
Anti-human PD-L1 durvalumab	Activated human CD3 T cells	27 ± 4.6	3
Anti-human PD-L1 atezolizumab	Activated human CD3 T cells	41 ± 11	3
Anti-human PD-L1 avelumab	Activated human CD3 T cells	80 ± 9.6	3
Anti-human PD-L1 durvalumab	Human PD- L1–expressing Jurkat	10 ± 5.7	3
Anti-human PD-L1 atezolizumab	T cells Human PD- L1–expressing Jurkat	21 ± 5.6	3
Anti-human PD-L1 avelumab	T cells Human PD- L1–expressing Jurkat T cells	36 ± 9.5	3
Anti-human PD-L1 durvalumab	H441 human lung cancer cell line	86 ± 22	3
Anti-human PD-L1 atezolizumab	H441 human lung cancer cell line	130 ± 31	3
Anti-human PD-L1 avelumab	H441 human lung cancer cell line	180 ± 58	3
Anti-human PD-L1 durvalumab	MDA MB-231 human breast cancer cell line	51 ± 15	3
Anti-human PD-L1 atezolizumab	MDA MB-231 human breast cancer cell line	79 ± 33	3
Anti-human PD-L1 avelumab	MDA MB-231 human breast cancer cell line	120 ± 54	3
Anti-human CTLA-4 tremelimumab	Human CTLA-4– expressing CHO cells	4,300 ± 4600	3
Anti-human CTLA-4 ipilimumab	Human CTLA-4– expressing CHO cells	2,300 ± 610	3
Anti-human CTLA-4 tremelimumab	Human CTLA-4– expressing Jurkat T cells	800 ± 420	4
Anti-human CTLA-4 ipilimumab	Human CTLA-4– expressing Jurkat T cells	2,900 ± 2,100	4
Anti-mouse CTLA-4 clone 9D9 mlgG1	Mouse CTLA-4– expressing CHO cells	342 ± 194	3
Anti-mouse CTLA-4 clone 9D9 mlgG2b	Mouse CTLA-4– expressing CHO cells	743 ± 452	3

SD, standard deviation of the mean.

Table 3. Binding affinities of anti-mouse and anti-human PD-L1 and CTLA-4 mAbs
to recombinant protein.

mAb	Binding protein	K <sub>D</sub> (M)	Standard devia- tion or error (M)	No. of experiments
Anti-mouse PD-L1 clone	Mouse	2.78E-	± 2.12E-11	2
	PD-I 1	2.786-	± 2.12E-11	Z
80 mlgG1 D265A Anti-mouse PD-L1 clone		•••	NIA	1
	Mouse	1.89E-	NA	1
10 F9.G2	PD-L1	09		-
Anti-human PD-L1	Human	8.31E-	± 1.62E-10	2
durvalumab	PD-L1	10		
Anti-human PD-L1	Human	1.56E-	± 1.57E-12	2
atezolizumab	PD-L1	10		
Anti-human PD-L1	Human	1.02E-	± 7.71E-11	2
avelumab	PD-L1	10		
Anti-mouse CTLA-4 clone	Mouse	1.89E-	9.78E-10	3
9D9 mlgG1	CTLA-	08		
505 mgei	4			
Anti-mouse CTLA-4 clone	Mouse	1.01E-	5.80E-10	3
9D9 mlgG2b	CTLA-	08	5.00L 10	5
9D9 migdzb	4	00		
Anti human CTLA 4	•	2 1 4 5	0.725.10	4
Anti-human CTLA-4	Human	2.14E-	9.73E-10	4
tremelimumab	CTLA-	09		
	4			
Anti-human CTLA-4	Human	4.42E-	1.01E-09	5
ipilimumab	CTLA-	09		
	4			

NA, not applicable

demonstrated EC<sub>50</sub>s of 2.9  $\pm$  2.1 nM and 2.3  $\pm$  0.61 nM, respectively, for these cells (Table 2 and S1 Fig). The K<sub>D</sub> for binding of recombinant human CTLA-4 was 2.14  $\pm$  0.97 nM for tremelimumab and 4.42  $\pm$  1.01 nM for ipilimumab (Table 3).

The biological activity of each anti-human PD-L1 and antihuman CTLA-4 mAb was measured in Jurkat luciferase reporter systems (S2 Fig). The anti-PD-L1 mAbs demonstrated human PD-L1 neutralization (EC<sub>50</sub>s) of approximately 300–400 pM (Table 4). Likewise, the anti-human CTLA-4 mAbs showed potencies for blocking the CTLA-4–CD80 interaction and inducing reporter activity, with EC<sub>50</sub>s of 13 nM for tremelimumab and 32 nM for ipilimumab (Table 4). These biological activity values, which reflected the relative potencies of the antibodies, maintained trends that were similar to those found for the cell based binding EC50s described above, suggesting that the binding potency of antibodies to targets on cells is positively correlated to their subsequent biological function.

The potential for ADCC by the human anti-PD-L1 and anti-CTLA-4 mAbs was determined by incubating isolated primary human NK cells together with PD-L1-expressing human tumor cells or human CTLA-4-expressing CHO cells,

Table 4. Reporter bioassay data, ADCC, and ADCP activity of anti-human PD-L1 and CTLA-4 mAbs.

mAb	PD-L1 reporter activity (pM, mean ± SD)	CTLA-4 reporter activity (pM, mean ± SD)	ADCC activity	ADCP activity
Durvalumab	298 ± 17		None detected	Minimal
Atezolizumab detected	317 ± 4		None detected	None
Avelumab	409 ± 7		Yes	Yes
Tremelimumab		12,900 ± 7,200	None detected	Yes
Ipilimumab		31,700 ± 21,000	Yes	Yes

SD, standard deviation of the mean.

respectively. Consistent with previous reports, we found that atezolizumab did not mediate NK cell-mediated killing, and minimal-to-moderate ADCC (<15% specific activity) was observed for durvalumab<sup>10,26</sup> whereas avelumab demonstrated this activity<sup>19-13</sup> (S3 Fig, Table 4). Likewise, ipilimumab demonstrated NK cell ADCC but tremelimumab did not, consistent with previously published results.<sup>28,30,31</sup> The ability of the mAbs to mediate phagocytosis of target-expressing cells was measured by the ability of in vitro-derived macrophages to engulf target cells in the presence of antibody. Minimal ADCP of ES2 cells that endogenously express PD-L1 was observed for durvalumab but not for atezolizumab, but avelumab did mediate antibody-mediated phagocytosis (S4 Fig, Table 4). None of the anti-PD-L1 mAbs demonstrated macrophage-mediated cell killing of non-phagocytosed cells, as determined by uptake of dead cell stain (S4 Fig). As reported elsewhere,<sup>27,29</sup> we confirmed that both tremelimumab and ipilimumab induced ADCP of CTLA-4-expressing cells in vitro, with ipilimumab demonstrating greater potency (S4 Fig, Table 4). Likewise, both antibodies demonstrated the ability to mediate macrophagemediated killing of non-phagocytosed target cells, again with ipilimumab demonstrating superior potency.

# Generation and characterization of the anti-mouse PD-L1 surrogate antibody clone 80

Together with existing clinical data, the preclinical data presented here and in previously published reports<sup>26,38</sup> suggest that the ideal murine surrogate for durvalumab would be an antibody of relatively high affinity that lacks effector function and demonstrates a relatively low incidence of ADA formation. Therefore, we sought to generate an anti-mouse PD-L1 surrogate mAb with these properties.

To generate candidates for anti-mouse PD-L1 mAb surrogates, rats were immunized with a recombinant mouse PD-L1-human Fc chimeric fusion protein, and hybridomas were generated. A total of 3,405 hybridoma clones secreting IgG were selected and screened in two binding assays (binding to mouse PD-L1 and non-binding to mouse PD-L2-human Fc). Positive clones were further characterized in three epitope competition inhibition assays, including blockade of interactions between mouse PD-L1 and mouse PD-1, between mouse PD-L1 and mouse CD80, and between mouse PD-L1 and the commercially available rat anti-PD-L1 clone 10 F.9G2 (referred to here as "clone 10 F.9G2") mAbs, using homogeneous time-resolved fluorescence resonance energy transfer (HTRF) (S5 Fig). Of the 136 antibodies identified from the primary highthroughput binding assays, 125 were purified and tested in the three competition HTRF assays, using an 11-point titration as single replicates. Thirty-six showed weak or no inhibition in at least one of the three competition assays. In total, 85 hits were identified that bound natively expressed mouse PD-L1 on Renca cells (and not the closely related mouse PD-L2 protein or human Fc tag; data not shown), showed inhibition in receptor-ligand competition assays with mouse PD-1 and mouse CD80, and competed for binding with the mouse PD-L1-positive control clone 10 F.9G2 (Figure 1a-d). For the 85 hits identified, the amino acid sequences of the variable heavy  $(V_H)$  and light  $(V_L)$ chains showed high sequence diversity, based on V<sub>H</sub> and V<sub>L</sub>

complementarity-determining region 3 (CDR3) sequences, with only 13% of the clones having identical HCDR3 and LCDR3 pairings. The CDR3 regions typically are principle drivers of antibody paratope-epitope recognition. Of these same 85 hits, four were selected for further testing in additional binding and competition assays based on their activity in screening assays and sequence diversity information. Each were confirmed as highly potent competitors of mouse PD-1–mouse PD-L1 and mouse CD80–mouse PD-L1 receptor-ligand inhibitors, having 50% inhibitory concentrations (IC<sub>50</sub>s) of 10–25 pM, and all competed for the same epitope as clone 10 F.9G2 and bound to mouse tumor cells expressing mouse PD-L1 (S2 Table). The four antibodies in the final panel also had highly diverse variable-chain sequences (Figure 1e).

Based on the mouse PD-L1 binding, mouse PD-1 and mouse CD80 receptor-ligand blocking, and antibody purification characteristics, the anti-mouse PD-L1 antibody AB740080 was taken forward for further Fc engineering and characterization. The rat  $V_L$  of clone AB740080 was grafted onto the mouse constant kappa light chain, and the rat V<sub>H</sub> of clone AB740080 was grafted on to the mouse IgG1 backbone (CH1-CH3), which contains a single amino acid substitution (D265A) designed to minimize binding of the antibody to mouse Fc $\gamma$ Rs and negate Fc-mediated effector function.<sup>39</sup> This rat/ mouse chimeric antibody was thereafter referred to as "clone 80."

To determine whether the affinity of clone 80 for mouse PD-L1 was comparable to those of the anti-human PD-L1 mAbs and clone 10 F.9G2, we measured the binding affinity of clone 80 for recombinant mouse PD-L1 and determined the  $EC_{50}$ s for natively expressed mouse PD-L1 on the surface of murine tumor cell lines by flow cytometry. The  $K_D$  of clone 80 binding to mouse PD-L1 was 2.8 nM, whereas that of clone 10 F.9G2 was 1.9 nM (Table 3). The  $EC_{50}$  for native mouse PD-L1 on the surface of mouse tumor cell lines was 270 pM for EMT6 tumor cells and 2,500 pM for CT26 cells (Table 2). Based on these results, clone 80 appeared to have a binding potency for recombinant PD-L1 and native PD-L1 expressed



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Clone # Clone ID VH FW1 VH CDR1 VH FW2 VH CDR2 VH FW3 VH CDR3 VH FW4 17 AB740021 EVQLVESGGLVQPGASLKLSCVASGFTF5DYWMSWVRQNPGKTUEWICDLKYDGSYTDYAPSLKNRFTISRDNAKSTLYLQMSVRSEDTATYYCTRATGRRDGYYPTDYFDYWGQGVMVTVSS 37 AB740051 EVQLVESGGLVQPGRSMKLSCAASGFTFTHYYMAWVRQAPFKGLEWVASISIGGGNYYPTDSVKGFTISRDNAKSTLYLQMSLRSEDTATYYCARHYGYKGF.....DYWGQGVMVTVSS 56 AB740078 QIQLVQSGPELKKPGESLKISCKASGYIFTDYLMWQVRQAPKGLEWVASISIGGNTYTGRATYAADFTERFVFSLETSASTAYLQINNLKNEDTATYFCARDLYYGYPM....DAWGQGASVTVSS 58 AB740080 QVKLLQSGALVKPGDSLKNSCKASGYFTDYLHWVKQSHGKSLEWIGYINPYSGNTNYDEKFRSMATLTVDKSSSTAYMEFSRLTSEDSAIYYCARKDSYIGGIWF...AYWGQGTLVTVSS

Clone# Clone ID	VL FW1	VL CDR1	VL FW2	VL CDR2	VL FW3	VL CDR3	VL FW4
17 AB740021 DIVLT	OSPALAVSLGORATISC	WASOSVSTSBYNLMH	NYOOKPGOOF	KLLTYRA, SNLAGT	PPRESGSGSGTDETLTINPVO	ADDTATYYCO	OSBESPNTEGAGTKLELK

37 AB740050 DIQMTQSPSVLSASVGDRVTLNCKASQ....NINNYLNWYQQKLGEAPKLLIYNINNLQTGIPSRFSGSGSGTDFTLTISSLQPEDFATYFCFQHNTWPYTFGAGTKLELK

56 AB740078 DIVLTQSPVLAVSLGQRATISC RASQNVSISIINLMHWYQQKPGQQPKLLIYRASNLASGIPARFSGSGSGTDFTLTIDPVQADDIAAYYCQQSRESPWTFGGGTKLELK 58 AB740080 DTVLTQSPALAVSPGERVTISC WASE....SVSTLTHWYQQKPGQQPKLLIYLASHLESGVPARFSGSGSGTDFTLTIDPVEADDTATYYCHQTWNNPPTFGAGTKLELT

**Figure 1.** Characterization of antibodies identified from a hybridoma screen in biochemical competition and cell binding assays. Hybridoma clone testing in the (a) mouse PD-L1–mouse CD80, (b) mouse PD-L1–mouse PD-1, and (c) mouse PD-L1–mAb 10 F.9G2 competition assays. (d) Of the inhibitory antibodies, 85 bound mouse PD-L1–positive Renca cells by flow cytometry with geomean fluorescence above the negative control. The dotted lines represent geomean fluorescence binding for the rat IgG2b control antibody (lower panel) and the 10 F.9G2-positive control mAb tested at 20  $\mu$ g/mL (upper panel). The four IgGs denoted by red triangles (clones 17, 37, 56, and 58) were subcloned into recombinant expression vectors and were expressed and purified for further characterization in vivo. (e) Amino acid sequences of the V<sub>H</sub> and V<sub>L</sub> regions of these four clones. Statistical *P* values indicate one-way analysis of variance with the Dunnet posttest. FW, framework.

on cell surfaces that was approximately 3.4- and 10-fold lower, respectively, than that of durvalumab. This was the most potent anti-mouse PD-L1 mAb isolated from the hybridoma screen, and so was progressed to in vivo PK and antitumor efficacy studies in combination with anti-mouse CTLA-4 mAbs, as described in the following section.

# Generation and characterization of an anti-mouse CTLA-4 tremelimumab surrogate antibody

To generate an anti-CTLA-4 mAb with reduced potential for effector function, the antigen-binding fragment (Fab) sequence of the anti-mouse CTLA-4 mIgG2b clone 9D9 mAb<sup>33</sup> (referred to here as "mIgG2b clone 9D9") was synthesized and inserted into an expression vector for production of mouse IgG1 Fc isotype variants. Previous studies have demonstrated that the mouse IgG2b isotype of anti-mouse CTLA-4 mAbs possesses effector function and is capable of depleting cells expressing high levels of CTLA-4 within tumors but not within tumordraining lymph nodes or spleen, whereas the mIgG1 isotype (referred to here as "mIgG1 clone 9D9") lacks this function.<sup>32-34</sup> After purification, the surrogate anti-mouse CTLA-4 mAbs were characterized to determine EC<sub>50</sub>s for binding to native mouse CTLA-4 expressed on CHO cells and to recombinant mouse CTLA-4. As expected, the two isotypes demonstrated comparable apparent EC50s of  $342 \pm 194$  pM for the mIgG2b isotype and  $743 \pm 452$  pM for the mIgG1 isotype (Table 2). For binding to recombinant mouse CTLA-4, affinity K<sub>D</sub>s were determined to be 10.1  $\pm$  0.58 nM for the mIgG2b isotype and 18.9  $\pm$  0.928 nM for the mIgG1 isotype. The binding affinity for mIgG1 clone 9D9 was relatively comparable to that for tremelimumab ( $K_D$ ratio, 8.8). Based on this and the murine isotype having minimal effector function potential, mIgG1 clone 9D9 was progressed to in vivo studies.

# In vivo PK of durvalumab and tremelimumab murine surrogates

To further elucidate the PK characteristics of the anti-mouse PD-L1 surrogate mAbs, two PK studies, one using a single dose and one a multiple dose, were completed in CD1 mice. In the single-dose study, a single intravenous administration of clone 80 or clone 10 F.9G2 was administered (Figure 2a). Serum concentration data from multiple animals were used to estimate PK parameters (Table 5). A comparison of the PK for the 10-mg/kg single intravenous doses indicated that the maximum observed concentration for clone 80 (1,490 µg/mL) was greater than that for clone 10 F.9G2 (671 µg/mL), driven by a smaller terminal volume of distribution (10.9 and 21.3 mL/ kg, respectively). Clone 80 had a slower rate of clearance than clone 10 F.9G2 (0.111 and 0.390 mL/h/kg, respectively) and as a result, a longer terminal half-life (68.3 and 37.9 h, respectively). A single 1-mg/kg dose of clone 80 was also administered and was eliminated almost three-fold more rapidly than the 10-mg/kg dose (0.273 and 0.111 mL/h/kg, respectively), suggesting nonlinear PK. Because a 1-mg/kg dose of clone 10 F.9G2 was not tested, it was not possible to determine whether nonlinear PK would be observed at that dose.

In the second, multiple-dose PK study, 1- and 10-mg/kg doses of clone 80, clone 10 F.9G2, and mIgG1 clone 9D9 were administered twice weekly to CD1 mice (Figure 2b). Serial PK samples from multiple mice were used to estimate PK parameters for each antibody and dose level (Table 6). Of the three antibodies tested, clone 80 had the greatest exposure in terms of average concentration and area under the concentration-time curve for each dose interval (AUC<sub> $\tau$ </sub>), with an exposure of up to eight-fold greater than those of clone 10 F.9G2 and mIgG1 clone 9D9 for the 1-mg/kg dose (AUC $\tau$  of 4,940, compared with 431 and 656 µg/mL<sup>\*</sup>h, respectively) and six-fold greater for the 10-mg/kg dose (AUC $\tau$  of 61,000, compared with 9,360 and 10,900 µg/mL<sup>\*</sup>h, respectively). Clone 80 also



Figure 2. PK profiling of mAbs. In vivo PK profiles from (a) a single-dose study and (b) a multiple-dose study of anti-mouse PD-L1 clone 80 mlgG1 D265A, anti-mouse PD-L1 clone 10 F.9G2, and anti-mouse mlgG1 CTLA-4. Measured serum mAb concentrations are indicated on the y-axis.



Figure 2. (Continued).

Table 5. PK parameters from the single-dose study\*.

mAb	Dose (mg/ kg)	Volume of distribution <sup>†</sup> (mL/kg)	CL (mL/ h/kg)	t <sub>½</sub> (h)	C <sub>max</sub> (µg/ mL)	AUC <sub>0-240h</sub> (h*µg/ mL)
Anti-mouse PD- L1 clone 10 F.9G2	10	21.3	0.39	37.9	671	25400
Anti-mouse PD- L1 clone 80	1	11.9	0.273	30.2	82.3	3610
Anti-mouse PD- L1 clone 80	10	10.9	0.111	68.3	1490	76900

\*In the single-dose study, anti-mouse PD-L1 clone 80 and anti–PD-L1 clone 10 F.9G2 were administered intravenously to CD1 mice.

†Volume of distribution based on the terminal-phase PK.

AUC<sub>0-240h</sub>, area under the concentration-time curve between dose time and 240 h after dose time; CL, clearance (calculated as dose/area under the curve); C<sub>max</sub>, maximum observed concentration;  $t_{\gamma_2}$ , half-life based on terminal volume (calculated as 0.693/(clearance/terminal volume)].

had the slowest clearance rate, but did not have the longest terminal half-life of all the antibodies tested. The mIgG1 clone 9D9 had the longest terminal half-life, which was five-fold longer than those of clone 80 and clone 10 F.9G2. The terminal half-lives in the multiple-dose study were generally shorter than those in the single-dose studies, which is probably indicative of enhanced clearance due to ADAs. The longer half-life of mIgG1 clone 9D9 resulted in accumulation that was greater than two-fold those of clone 80 and clone 10 F.9G2. Accumulation was not observed for clone 80 or clone 10 F.9G2; instead, enhanced elimination was observed after four to six doses, probably owing to ADAs in mouse sera at these time points. Confirmatory assays would be needed to verify the presence of ADAs.

Table 6 PK	narameters	from th	e multiple-dose	*vhutv
Table 0. Fix	parameters	monn un	ie munipie-uose	study.

mAb and dose	AUC <sub>τ</sub> (h*μg/mL)	Accumulation index	CL <sub>ss</sub> (mL/h/kg)	t <sub>1/2</sub> (h)	C <sub>avg</sub> (µg/mL)	AUCτ/dose (h*kg*μg/mL/μg)
Anti-mouse CTLA-4 mlgG1 9D9	656	2.6	1.52	103	9.12	0.656
1 mg/kg	10,900	4.9	0.916	219	152	1.09
10 mg/kg						
Anti-mouse PD-L1 clone 10 F.9G2	431	1.02	2.32	12.4	5.99	0.431
1 mg/kg	9,360	1.08	1.07	19.2	130	0.936
10 mg/kg						
Anti-mouse PD-L1 clone 80	4,940	1.26	0.202	31.7	68.7	4.94
1 mg/kg	61,000	1.07	0.164	18	848	6.1
10 mg/kg						

\*In the multiple-dose study, clone 80, clone 10 F.9G2, and mlgG1 clone 9D9 were administered intravenously twice weekly to CD1 mice.

Accumulation index, change in exposure between the first and last dose event;  $AUC_{\tau}$ , area under the concentration-time curve for the dose interval;  $AUC_{\tau}$ /dose, dose-normalized  $AUC_{\tau}$ ,  $C_{avg}$ , steady-state concentration (calculated as  $AUC_{\tau}/\tau$ );  $CL_{ss}$ , clearance at steady state (calculated as  $AUC_{\tau}/\tau$ )

# In vivo anti-tumor activity of clone 80 and anti-CTLA-4 murine surrogates of various effector function potentials

After determination of the PK properties of clone 80, the in vivo anti-tumor efficacy of the antibody in mouse syngeneic tumor models was tested as monotherapy and in combination with either effector function-deficient (mIgG1clone 9D9) or enabled (mIgG2b clone 9D9) anti-mouse CTLA-4 mAbs. The efficacy varied in tumor models, as drug-mediated anti-tumor effects were observed in some models (CT26 colon, EMT6 breast, and MCA205 fibrosarcoma), but were minimal to absent in others (Table 7, Figure 3a-c, S6 and S7 Fig, S3 Table). As expected, mixed responses (stronger tumor control in some mice relative to others) were observed within each syngeneic model. In the immunogenic EMT6 breast cancer model, strong monotherapy activity was observed for clone 80 and for both the mIgG1 and mIgG2b isotypes of clone 9D9, with complete responses commonly observed (Figure 3a, Table 7, S6 Fig). Combination of clone 80 with either isotype of clone 9D9 likewise produced strong activity in this model, as most mice achieved complete anti-tumor responses. Moderate activity was observed for clone 80 monotherapy in both the CT26 colon and MCA205 sarcoma models, with few complete responses (Figure 3b, c, Table 7, S6 Fig). In these models, monotherapy with the mIgG2b isotype of clone 9D9 produced moderate to strong activity, whereas minimal activity was observed for the mIgG1 isotype. Combination of clone 80 with either isotype of clone 9D9 in the CT26 model showed additive anti-tumor activity. For the MCA205 model, combination of clone 80 with the mIgG1 isotype of clone 9D9 demonstrated additive activity, whereas additive activity of clone 80 combined with the mIgG2b isotype could not be assessed due to the high percentage of responses to monotherapy with the mIgG2b isotype in this model (Figure 3c, Table 7, S6 Fig).

In line with the anti-tumor efficacy observed in the CT26, EMT6, and MCA205 models, pharmacodynamic activity was observed for the anti-CTLA-4 mAbs administered as monotherapy and in combination with the anti-PD-L1 mAbs. The presence of proliferating CD4 or CD8 T cells in tumors, as measured by Ki67-positive cells, was significantly higher for the mIgG2b isotype of clone 9D9 administered alone or in combination with clone 80 than that for the isotype control group (Figure 3d–g). Proliferation or induction of infiltration of proliferating cells induced by monotherapy with mIgG1 clone 9D9 was also numerically higher (although not statistically significant) in the EMT6 and MCA205 models and was statistically significantly higher in the CT26 model than in the isotype control group. Likewise, combination of the mIgG1 or mIgG2b isotype of clone 9D9 with clone 80 induced proliferation or infiltration of proliferating cells that was numerically higher than in monotherapy controls, although the differences did not reach statistical significance.

Other studies have reported that depletion of CTLA-4-expressing Tregs may be required for the activity of anti-mouse CTLA-4 antibodies in mouse syngeneic tumor models.<sup>33,34</sup> We therefore examined Treg levels within tumor and spleen after treatment with clone 80, the mIgG1 or mIgG2b isotype of clone 9D9, or combinations thereof in the mouse EMT6, CT26, and MCA205 syngeneic models that showed response to these therapies. Consistent with previous reports, the mIgG2b isotype of clone 9D9, as well as the effector-capable mIgG2a isotype, significantly reduced tumor Treg percentages 3 days after drug treatment without affecting levels in tumor-draining lymph nodes or spleen (Figure 3h-j). In contrast, the mIgG1 isotype did not alter Treg percentages in any of these tissues at 3 or 10 days after treatment, consistent with the relative lack of effector function for this isotype. These data, which showed a complete lack of Treg depletion in models where mIgG1 clone 9D9 showed monotherapy or combination therapy activity, suggest that intratumoral Treg depletion augmented the activity of CTLA-4 antibodies in some mouse tumor models, but is not an absolute requirement for antitumor efficacy.

#### Discussion

Commercially available, receptor-ligand blocking antibodies for mouse PD-L1 and CTLA-4 are often used in anti-tumor studies to assess their mechanisms of action and anti-tumor efficacy. These reagents are surrogates for their anti-human PD-L1 and CTLA-4 counterparts, and the intention of these studies is generally to generate or test hypotheses regarding targeting PD-L1 or CTLA-4 that could be translated to the human clinical setting. If this is the intention, then the murine surrogates ought to resemble their anti-human counterparts as closely as possible. With this in mind, we generated anti-mouse PD-L1 and anti-mouse CTLA-4 surrogate mAbs that were similar to durvalumab and tremelimumab, respectively, in their binding and effector functions and tested them for their PK profiles and efficacy in mouse syngeneic tumor models.

To generate candidates for anti-mouse PD-L1 mAb surrogates for durvalumab, rat hybridomas were produced and antibodies screened for mouse PD-L1 binding and ability to

Table 7. Complete response rate of anti-mouse PD-L1 clone 80 and anti-mouse CTLA-4 mAbs across eight mouse syngeneic tumor models.

Monotherapy efficacy				_			
		Clon	ie 80	mlgG1 C	lone 9D9	Combination efficacy of clo	one 80 + mlgG1 clone 9D9
Mouse model	Strain background	Experiment 1	Experiment 2	Experiment 1	Experiment 2	Experiment 1	Experiment 2
EMT6 breast cancer	Balb/c	7 of 12	10 of 12	7 of 12	7 of 12	10 of 12	11 of 12
CT26 colon cancer	Balb/c	2 of 12	2 of 12	1 of 12	2 of 12	9 of 12	6 of 12
MCA205 sarcoma	C57BL6	0 of 11	4 of 11	0 of 12	0 of 11	2 of 12	4 of 11
4T1 breast cancer	Balb/c	None		None		None	
B16F10 melanoma	C57BL6	None		None		None	
Renca renal cell carcinoma	Balb/c	None		None		None	
MC38 colon cancer	C57BL6	None		None		None	
E0771 breast cancer	C57BL6	None		None		None	



Figure 3. Anti-tumor efficacy and pharmacodynamic profiling of mAbs. Shown are in vivo efficacy and pharmacodynamic effects of anti-mouse PD-L1 and CTLA-4 surrogates in mouse syngeneic tumor models that are sensitive to checkpoint inhibition. (a–c) Kaplan-Meier survival curves of mice engrafted with EMT6, CT26, or MCA205 tumors and treated with anti–PD-L1 or anti–CTLA-4 mAbs. (d–g) Pharmacodynamic activity of monotherapy or combination anti-PD–L1 plus anti–CTLA-4 therapy in EMT6, CT26, and MCA205 models as measured by CD8 or conventional CD4 T-cell proliferation (Ki67 positivity). (h–j) Proportion of FoxP3+ Tregs in tumors, tumor-draining lymph nodes, and spleens of mice engrafted with CT26 tumors.

block mouse PD-L1 binding to mouse PD-1 and mouse CD80. From this screen, the top candidate was identified and grafted to a mouse IgG1 lacking effector potential to produce "clone 80." This anti-mouse PD-L1 surrogate was engineered to eliminate effector function (ADCC and ADCP) and resembles durvalumab in this regard. The relative affinity of the antibody for mouse PD-L1 was less than that of durvalumab for human PD-L1, and some degree of epitope difference may exist between clone 80 and durvalumab. However, with its potent blockade of both mouse PD-1 and mouse CD80 binding to mouse PD-L1, Fc engineering to limit effector function, and in vivo activity, clone 80 has been identified as an appropriate murine surrogate for durvalumab. Of note, although clone 10 F.9G2 has similar binding and receptor-ligand binding properties, it differs from clone 80 in having effector function potential as a rat IgG2b. This ability to mediate effector function is not desired for murine surrogates of durvalumab, due to the minimal potential of these antibodies to mediate effector function in humans. In this case, clone 10 F.9G2 may be a better anti-mouse PD-L1 surrogate for avelumab, which as a human IgG1 isotype has demonstrated in vitro effector function.<sup>19–25</sup> As an alternative to a de novo hybridoma antibody approach, we could have modified existing surrogate antibodies, such as 10 F.9G2, to the mouse IgG1 isotype with a D265A mutation; however, since the protein sequence of 10 F.9G2 was not available to us at the time of surrogate generation, we did not take this approach. Instead, we took advantage of the availability of the protein sequence of anti-mouse CTLA-4 clone 9D9 for anti-mouse CTLA-4 generation and generated the tremelimumab surrogate from existing 9D9 sequence information.

Because durvalumab and tremelimumab have a low propensity to develop ADA responses in patients treated with these immunotherapies,<sup>37,38</sup> the ideal murine surrogates would also infrequently generate ADAs in mice. For single doses of clone 80 and clone 10 F.9G2, PK parameters were consistent with those of an IgG in mouse. Clone 80 had generally more favorable PK properties; the 10-mg/kg dose was eliminated three-fold more slowly than the equivalent dose of clone 10 F.9G2. The 1-mg/kg dose of clone 80 was eliminated at a greater rate than the 10-mg/kg dose, which could be indicative of target-mediated drug disposition. Because the 1-mg/kg dose of clone 10 F.9G2 was not tested, it is not possible to predict whether nonlinearity would be present. In the multiple-dose study, drug concentrations dropped considerably between the fourth and sixth doses for both clone 80 and clone 10 F.9G2. These large drops in serum drug concentrations are consistent with enhanced clearance from ADA responses. Due to sample volume limitations, it was not possible to perform ADA confirmatory assays, but the timing and behavior of the enhanced clearance was a strong indicator of the presence of ADAs. Both clone 80 and clone 10 F.9G2 contain substantial numbers of rat amino acids; rat variable sequence is present on clone 80 and unspecified rat sequences are present in clone 10 F.9G2. The ADA responses were probably triggered by these rat CDR sequences, as murinization of clone 80, by engrafting the rat CDRs onto mouse framework regions, had little impact on PK properties or ADA responses observed in vivo (data not shown).

These data show that caution must be exercised in predicting long-term drug exposure when using species-unrelated immunoglobulins in mice. Although these surrogates are efficacious in some mouse anti-tumor models, the efficacy probably results from anti-tumor effects created by receptor-ligand blockade that was present before precipitous drops in serum drug levels (apparent ADA response). Therefore, potentially ADAgenerating murine surrogates must be used with caution in models where chronic administration is required beyond a time when ADAs may form. In these studies, it is prudent to measure drug exposure in treated animals to verify target coverage during in vivo experiments. Likewise, given these limitations, there is a need to generate fully mouse anti-target surrogate mAbs that may not generate the ADAs we have observed for the rat/mouse chimeric anti-mouse PD-L1 clone 80 and the rat anti-mouse PD-L1 clone 10 F.9G2.

Despite the apparent appearance of ADAs for clone 80, we verified the anti-tumor activity of the durvalumab and tremelimumab surrogate antibodies when administered as monotherapy and in combination in syngeneic mouse tumor models. The results showed that clone 80 was effective when given as monotherapy or in combination with mIgG1 clone 9D9 in mouse models known to demonstrate sensitivity to checkpoint inhibitors. Use of a Treg-depleting anti-CTLA-4 surrogate mAb (mIgG2b clone 9D9) produced superior antitumor activity compared with a non-Treg-depleting tremelimumab surrogate (mIgG1 clone 9D9). However, the activity of mIgG1 clone 9D9 as monotherapy and in combination with clone 80 suggests that, although Treg depletion may augment the activity of CTLA-4 blockade in these mouse models, it is not an absolute requirement for effective anti-tumor immunity, as has been suggested by others.<sup>18,33,34,40-42</sup> Given the apparent lack of Treg depletion observed for anti-CTLA-4 mAbs in human clinical trials,<sup>30,31,35</sup> we propose that the non-Treg-depleting, anti-mouse CTLA-4, mIgG1 clone 9D9 mAb is the appropriate surrogate to mimic the mechanism of action of tremelimumab. Although a D265A mutation could be added to the Fc domain of an anti-mouse CTLA-4 mAb to further ensure lack of effector function, we found that the mIgG1 did not lead to Treg depletion, as has been previously reported for the mIgG1 and mIgG1-D265A isotypes of clone 9D9,<sup>33</sup> and thus chose not to further modify mIgG1 clone 9D9.

In summary, we generated anti-mouse surrogate antibodies for durvalumab and tremelimumab that were more similar to their human counterparts than commercially available antibodies. Our finding of poor exposure for clone 80 and clone 10 F.9G2 upon repeat dosing suggests that ADAs are likely to form and highlights the need for the development of fully mouse anti-PD-L1 surrogate mAbs that lack this attribute. The finding that non-depleting anti-mouse CTLA-4 surrogate antibodies demonstrate efficacy in murine syngeneic tumor models shows that Treg depletion is not an absolute requirement for efficacy and likewise suggests that the anti-mouse CTLA-4 mIgG1 clone 9D9 mAb is an appropriate surrogate for the anti-human CTLA-4 drug tremelimumab.

#### Materials and methods

#### Use of laboratory animals

All animal experiments were conducted in a facility accredited by the Association for Assessment and Accreditation of Laboratory Animal Care under the guidelines of the Institutional Animal Care and Use Committee and appropriate animal research approvals.

# Immunization for anti-mouse PD-L1 mAb

Female Sprague–Dawley rats (6–8 weeks of age; Charles River Laboratories) were injected subcutaneously with 20  $\mu$ g of recombinant mouse PD-L1–Fc fusion protein (R&D Systems, catalog no. 1019-B7-100) in Freund complete adjuvant (Sigma-Aldrich). Three subsequent subcutaneous booster injections of 20  $\mu$ g of recombinant mouse PD-L1–Fc protein in Freund incomplete adjuvant (Sigma-Aldrich) were administered at 7, 14, and 22 days after the initial priming. Two days after the fourth booster injection, a final injection of 20  $\mu$ g of recombinant mouse PD-L1–Fc in phosphate-buffered saline (PBS) was administered intraperitoneally. Four days after the last injection, rats were euthanized and lymph nodes were harvested.

# Hybridoma generation

Cells were isolated from lymph nodes by mechanical disruption with a gentleMACS dissociator (Miltenvi) and were fused with SP2/0 myeloma cells (American Type Culture Collection), using a Legacy ECM2001 electrofuser (BTX Molecular Delivery Systems). After electrofusion, cells were resuspended in semisolid selection media containing fluorescein isothiocyanate (FITC)-conjugated anti-rat IgG mAbs (CloneMatrix concentrate; Genetix, catalog #K8510), Dulbecco modified Eagle medium (DMEM) powder (Gibco), 20% fetal bovine serum (SAFC Biosciences), 2% GlutaMAX (Gibco), 1% sodium pyruvate (Sigma-Aldrich), 1% penicillin-streptomycin (Gibco), 10% hybridoma cloning factor (Roche), 2% oxaloacetatepyruvate-insulin (Sigma-Aldrich), 2% hypoxanthine-azaserine (Sigma-Aldrich), 11 µg/mL goat anti-rat IgG-FITC (Jackson ImmunoResearch, catalog no. 115-095-003), 4 µg/mL goat anti-rat IgG1-FITC (Novus Biologicals, catalog no. NB7119), and 4 µg/mL goat anti-rat IgG2b-FITC (Novus Biologicals, catalog no. NB7129). Medium containing cells was divided into Nunc OmniTrays (Thermo Fisher Scientific), and cells were grown at 37°C under a 7.5% CO<sub>2</sub>-enriched atmosphere. At 13-16 days after fusion, IgG-secreting hybridomas were detected and picked into 96-well plates (Costar) containing medium consisting of DMEM, 20% fetal calf serum, 2% GlutaMAX (Gibco), 1% penicillin-streptomycin, 10% hybridoma cloning factor, 2% oxaloacetate-pyruvate-insulin, and 2% hypoxanthine and thymidine (Sigma-Aldrich), using a ClonePix robot (Molecular Devices). Hybridomas were grown for 3-7 days before supernatant was harvested from each well with a MiniTrak robot (PerkinElmer).

# Complementary DNA preparation and variable-chain sequencing

Hybridoma messenger RNA (mRNA) for  $V_H$  and  $V_L$  regions were isolated with Oligo (dT)<sub>25</sub> Magnetic Beads (Novagen) in combination with a Kingfisher 96 automated magnetic separator (Thermo Fisher Scientific). Contaminating SP2/0 MOPC abV $\kappa$  mRNA was removed by targeted digestion with RNAse H (NEB) at 37°C for 1 h. Purified mRNA was transcribed to complementary DNA by using Superscript III reverse transcriptase (Invitrogen) at 44°C for 1 h and then tailed with poly(G) by incubation with excess deoxyguanosine triphosphate and terminal transferase (New England Biolabs) at 37° C for 1 h. V<sub>H</sub> and V<sub>L</sub> genes were amplified with Taq polymerase (Thermo Fisher Scientific) in separate reactions, using oligo(dC)<sub>25</sub> and specific primers to either the CH1 or kappa constant domain. Chain termination method was used to sequence the polymerase chain reaction product.

# IgG purification

Selected hybridomas were cultured in serum-free medium (HL-1 [Lonza], 2% HybER-Zero [Statins Serum Institute], 2% GlutaMAX [Gibco]) for 10 days at 37°C under a 7.5% CO<sub>2</sub>-

enriched atmosphere. IgG was purified from the culture supernatants using either ProPlus or a Phytip column containing CaptureSelect Multi-Species Resins (Phynexus) on a MiniTrak robot (PerkinElmer). Absorbance of purified material was read using an EnVision plate reader (PerkinElmer) at 280 nm, and IgG concentration was determined using rat IgG isotype calibration curves.

#### Converting IgGs to mouse IgG1 D265A

Clones were converted to a mouse IgG1 D265A antibody format essentially as described by Persic et al.,43 with the following modifications. An oriP fragment was included in the expression vectors to facilitate use with CHO transient cells and to allow episomal replication. The V<sub>H</sub> domain was cloned into a vector containing the mouse heavy-chain constant domains and regulatory elements to express whole IgG1 heavy chain in mammalian cells. This constant region contained the D265A mutation, resulting in a mouse IgG1 with reduced effector function, as previously described.<sup>44</sup> Similarly, the V<sub>L</sub> domain was cloned into a vector for the expression of the mouse light-chain (kappa) constant domains and regulatory elements to express whole IgG light chain in mammalian cells. To obtain IgGs, the heavy- and light-chain IgG-expressing vectors were transfected into CHO transient mammalian cells.<sup>45</sup> IgGs were expressed and secreted into the medium. Harvested media were filtered before IgG purification from culture supernatant, using AKTAxpress (GE Healthcare) and 1-mL MabSelect Sure columns (GE Healthcare). IgGs were eluted from the columns with 0.1 M sodium citrate (pH 3.0) and buffer exchanged into PBS with PD10 columns (GE Healthcare). The concentration of the antibodies was determined spectrophotometrically, using extinction coefficients based on the individual amino acid sequences of the IgGs.<sup>46</sup> Integrity and purity of purified IgGs were determined by sodium dodecyl sulfate-polyacrylamide gel electrophoresis and high-pressure liquid chromatography-size exclusion chromatography, and endotoxin levels were quantified by using a LAL Kinetic QCL Kit (Lonza). Purified IgGs all had a purity of greater than 95% and endotoxin levels of less than 0.5 endotoxin units per mg.

# Flow cytometry binding experiments with hybridoma supernatants

To determine the binding of antibody to mouse PD-L1 in hybridoma supernatants, Renca mouse renal carcinoma cells were incubated with either 10-fold diluted supernatants from hybridoma cultures, 20 µg/mL rat anti-mouse PD-L1 IgG2b antibody (clone 10 F.9G2) (Bio X cell, catalog no. BE0101), or rat IgG2b control antibody (AstraZeneca) for 1 h at 4°C. After washing, cells were resuspended in 2.5 µg/mL Alexa Fluor\* 488-conjugated goat anti-rat IgG secondary antibody (Invitrogen, catalog no. A-11006) and incubated for a further 20 min at 4°C. Immunolabeled Renca cells were fixed in 3.7% formaldehyde and analyzed with a BD FACSCanto II instrument (BD Biosciences). Data analysis was performed using FlowJo software (Becton Dickinson).

# HTRF competition assays

Biochemical assays with HTRF technology<sup>47</sup> were established to measure the binding of mouse PD-L1 to mouse PD-1, mouse CD80, and clone 10 F.9G2. Recombinant mouse PD-1 Fc chimera protein (R&D Systems, catalog #1021-PE-100), recombinant mouse B7-1/CD80 Fc chimera His-tag protein (R&D Systems, catalog #740-B1-100), and purified antimouse PD-L1 clone 10 F.9G2 (BioLegend, catalog #124302) were conjugated with DyLight-650 (Innova Biosciences) according to the manufacturer's directions. PD-L1 Fc was conjugated with europium cryptate trisbipyridine-N-hydroxysuccinimide (Cisbio) according to the manufacturer's directions, and the cryptate conjugate assay dilution factor was determined by comparing the fluorescence (at 590 nm) with the supplied calibrator. For the mouse PD-L1-PD-1 competition assay, the final assay mixture consisted of 0.5 nM DyLight-650-conjugated mouse PD-1, a 1:7,500 dilution of europium cryptate-labeled mouse PD-L1, and 25% (vol/vol) hybridoma supernatant in a total reaction volume of 10 µL diluted in 1× assay buffer (50 mM HEPES [4-(2-hydroxyethyl)-1-piperazineethanesulfonic acid], pH 7.4; 400 mM potassium fluoride; and 0.1% [wt/vol] bovine serum albumin [BSA]) in a 384-well plate (Corning). Nonspecific binding was defined with 150 nM clone 10 F.9G2. For the mouse PD-L1-CD80 competition assay, the final assay mixture consisted of 0.5 nM DyLight-650-conjugated mouse CD80, a 1:7,500 dilution of europium cryptate-labeled mouse PD-L1, and 25% (vol/vol) hybridoma. For the clone 10 F.9G2mouse PD-L1 competition assay, the final assay mixture consisted of 0.04 nM DyLight-650-conjugated clone 10 F.9G2, a 1:7,500 dilution of europium cryptate-labeled mouse PD-L1, and 25% (vol/vol) hybridoma supernatant. The plates were sealed and incubated overnight at room temperature before the fluorescence was measured on an EnVision plate reader (PerkinElmer), using an excitation filter of 320 nm (75-nm bandwidth) and emission filters of 665 nm (7.5-nm bandwidth) and 590 nm (20-nm bandwidth). Time-resolved fluorescence (TRF) was measured using a delay time of 70 µs and an integration time of 500 µs with 100 flashes. The raw data were initially analyzed with the equation

$$\mathrm{TRF} = \frac{665\mathrm{nm}}{590\mathrm{nm}} \times 10,000$$

and were then expressed as percent delta function, using the equation

$$TRF = \frac{\text{sampleratio} - \text{negative control ratio}}{\text{negative control ratio}} \times 100,$$

where the nonspecific binding (NSB) wells were used for the negative controls. The percent specific binding was calculated from the percent delta function using the equation

%specific binding = 
$$\frac{\text{sample} - \text{NSB}}{\text{total} - \text{NSB}} \times 100.$$

For determination of  $IC_{50}$ , purified IgG samples were serially diluted into the assay over 11 points. The data were then analyzed using Prism, version 5.01 for Windows (GraphPad Software), with the four-parameter equation

$$y = \frac{bottom + (top - bottom)}{1 + 10^{(\log IC_{50} - x) * Hillslope}}$$

where x is the logarithm of the sample concentration and Y is the percent inhibition.

# HTRF direct binding assays

Biochemical assays using HTRF technology were established to measure direct binding of antibodies to recombinant mouse PD-L1 Fc chimera and recombinant mouse PD-L2 Fc chimera (R&D Systems, catalog nos. 1019-B7-100 and 1022-PL-100). Conjugations with europium cryptate trisbipyridine-N-hydroxysuccinimide (Cisbio) were performed according to the manufacturer's directions, and the cryptate conjugate assay dilution factor was determined by comparing the fluorescence (at 590 nm) with the supplied calibrator. For assay of direct binding to mouse PD-L1, the final assay mixture consisted of 5 nM biotin goat anti-rat IgG (Jackson ImmunoResearch, catalog no. 112-065-003), 8 nM streptavidin-XLent! (Cisbio), a 1:7,500 dilution of europium cryptate-labeled PD-L1, and 2.5% (vol/vol) hybridoma supernatant in a total reaction volume of 10 µL diluted in 1× assay buffer (50 mM HEPES, pH 7.4; 400 mM potassium fluoride; 0.1% BSA [wt/vol]) in a 384-well plate (Corning). Clone 10 F.9G2, 1 nM, was used as a positive control, and 1 nM NIP228 control mAb was used to define nonspecific binding. For assay of the direct binding to mouse PD-L2, the final assay mixture consisted of 5 nM biotin goat anti-rat IgG, 8 nM streptavidin-XLent!, a 1:8,000 dilution of europium cryptate-labeled PD-L2, and 2.5% (vol/vol) hybridoma supernatant in a total reaction volume of 10 µL in 1× assay buffer (50 mM HEPES, pH 7.4; 400 mM potassium fluoride; 0.1% [wt/vol] BSA). Anti-mouse PD-L2 antibody TY25, 1 nm (eBioscience), was used as a positive control, and 1 nM NIP228 control mAb was used to define nonspecific binding. The plates were sealed and incubated overnight at room temperature before the fluorescence was measured on an EnVision plate reader (PerkinElmer), using an excitation filter of 320 nm (75-nm bandwidth) and emission filters of 665 nm (7.5-nm bandwidth) and 590 nm (20-nm bandwidth). TRF was measured using a delay time of 70 µs and an integration time of 500 µs with 100 flashes. The raw data were initially analyzed using the equation

$$\mathrm{TRF} = \frac{665\mathrm{nm}}{590\mathrm{nm}} \times 10,000$$

and was then expressed as percent delta function by using the equation

$$TRF = \frac{\text{sample ratio} - \text{negative control ratio}}{\text{negative control ratio}} \times 100,$$

where the NSB wells were used for the negative controls. The percent specific binding was calculated from the percent delta function values by using the equation

$$\text{\$specific binding} = \frac{\text{sample} - \text{NSB}}{\text{total} - \text{NSB}} \times 100.$$

# SPR affinity measurements

All SPR assays were performed using a Biacore T200 biosensor instrument (GE Healthcare) at 25°C with a carboxymethyl Series S Sensor chip CM5 (GE Healthcare) and assay buffer consisting of HBS-EP+ Buffer (0.01 M HEPES, 0.15 M NaCl, 3 mM ethylenediaminetetraacetic acid [EDTA], and 0.05% (vol/vol) Surfactant P20, pH 7.4) (GE Healthcare).

The His-tagged recombinant proteins human PD-L1 (catalog no. 10084-H08H), human CTLA-4 (catalog no. 11159-H08H), and mouse CTLA-4 (catalog no. 50503-M08H) were purchased from Sino Biological. For human anti-PD-L1 and mouse anti-CTLA-4 binding assays, mouse antihistidine-tagged antibody (Bio-Rad, catalog no. MCA1396) was diluted to 20 µg/mL in 10 mM sodium acetate, pH 5.0, and immobilized by amine coupling chemistry to two flow cells of a sensor chip. Approximately 7,000 response units of protein was immobilized on both flow cells. His-tagged recombinant human PD-L1 protein (R&D Systems, catalog no. 9049-B7 -100) at 2 µg/mL or recombinant mouse CTLA-4 protein (R&D Systems, catalog no. 434-CT-200/CF) at 0.125 µg/mL was captured at a low density only on the experimental flow cell, and the second flow cell served as the reference surface. A dilution series of the human anti-PD-L1 or mouse anti-CTLA-4 antibody was then passed over the protein surface at 30 µL/min. The human anti-PD-L1 antibody dilution series was 30, 10, 3, 3, 1, 1, and 0.37 nM, and association and dissociation data were collected for 180 s and 900 s, respectively. The mouse anti-CTLA-4 antibody dilution series was 200, 67, 22, 7.4, and 2.5 nM, and association and dissociation data were collected for 120 s and 180 s, respectively. Both flow cells were regenerated with a 35-s injection of 20 mM HCl. The data were subtracted from the reference flow cell and a buffer cycle to remove the effects of nonspecific binding and were then fit to a 1:1 or bivalent binding model, using Biacore Evaluation software (GE Healthcare). Both models fit well, having low chi^2 values and similar  $R_{\rm max}$  values, but  $K_{\rm D}$  values from the bivalent model are reported here to account for potential avidity effects in the assay.

For the human anti-CTLA-4 binding assays, approximately 500 response units of protein A (Thermo Fisher Scientific) was immobilized to two flow cells of a Series S Sensor Chip CM5 (GE Healthcare) by amine coupling. The human anti-CTLA-4 antibody was captured only on the experimental flow cell, and the second flow cell served as the reference surface. The human anti-CTLA-4 antibodies were diluted to approximately 1 µg/ mL and injected over 30 s at 10 µl/min. The human CTLA-4 protein dilution series (100, 33, 11, 3.7, and 1.2 nM) was injected using an association time of 180 s and a dissociation time of 180 s. Both surfaces were regenerated with a 40-s injection of glycine, pH 1.7, after each assay cycle. The data were subtracted by the reference flow cell and a buffer cycle to account for the effects of nonspecific binding and were then fit to a 1:1 binding model, using Biacore Evaluation software (GE Healthcare).

For mouse and rat anti-mouse PD-L1 binding assays, Histagged recombinant protein murine PD-L1 (catalog no. 9048-B7 -100) was purchased from R&D Systems. Rabbit anti-murine IgG antibody (catalog no. BR-1008-38; GE) was diluted to 30 μg/ mL in 10 mM sodium acetate, pH 5.0, and immobilized by amine coupling chemistry to two flow cells of a sensor chip. Approximately 4,500 response units of protein was immobilized on both flow cells. Anti-murine PD-L1 mAb at 25 nM was captured only on the experimental flow cell, and the second flow cell served as the reference surface. A dilution series of the murine-PD-L1 was then passed over the mAb and reference surfaces at 75 µL/min. The murine-PD-L1 dilution series was 150, 50, 16.7, 5.6, 1.9, 0.6, 0.2, and 0.06 nM, and association and dissociation data were collected for 120 s and 900 s, respectively. Both flow cells were regenerated with a 120-s injection of 10 mM glycine, pH 1.7. The data were subtracted from the reference flow cell, and a buffer cycle was used to remove the effects of nonspecific binding, bulk shift, injection noise, and drift. The data were then fit to a 1:1 binding model, using Biacore Evaluation software (GE Healthcare).

#### **Generation of anti-mouse CTLA-4 mAbs**

The mouse anti-mouse CTLA4 antibody clone 9D9 was originally generated by immunization of human CTLA-4 transgenic mice in the laboratory of James Allison (University of Texas, MD Anderson Cancer Center, Houston, TX) as described previously.<sup>33</sup> The mIgG1 and mIgG2b isotypes of clone 9D9 were generated by subcloning of V<sub>H</sub> and V<sub>L</sub> sequences from the original 9D9 clone into expression vectors, which resulted in the production of the mIgG1 and mIgG2b isotypes of clone 9D9. Briefly, the 9D9  $V_H$  domain was cloned into a vector containing the mouse IgG1 or IgG2a heavy-chain constant domain and regulatory elements to express whole IgG heavy chain in mammalian cells. Similarly, the 9D9 V<sub>L</sub> domain was cloned into a vector for the expression of the mouse light chain (kappa) constant domains and regulatory elements to express whole IgG light chain in mammalian cells. Expression and purification were carried out as described above.

# Cell lines and tissue culture reagents and conditions

Cell lines used in binding, biological and tumor engraftment studies included CHO, CT26 mouse colon cancer, EMT6 mouse breast cancer, Renca mouse renal cancer, 4T1 mouse breast cancer, B16F10 mouse melanoma, LL2 mouse lung cancer, MC38 mouse colon cancer, EO771 mouse breast cancer, Raji human B cells, Jurkat human T cells, H441 human lung cancer, MDA MB-231 human breast cancer, and ES2 human ovarian cancer cells, all obtained from the American Type Culture Collection. MCA205 mouse fibrosarcoma cells were obtained from the Providence Cancer Center, Oregon Health and Science University. CT26, EMT6, Renca, MCA205, Jurkat, H441, MDA MB-231, and ES2 cells were cultured in RPMI 1640 complete medium containing glutamine and glucose supplements (catalog no. A1049101; Life Technologies) plus 10% (vol/vol) heat-inactivated newborn calf serum and incubated in a humidified tissue culture incubator at 37°C with 5% CO<sub>2</sub> before being used in binding or biological assays. CHO cells were cultured in DMEM plus 10% newborn calf serum and 1% nonessential amino acids, and Raji B cells were cultured in

RPMI 1640 medium plus GlutaMAX (Gibco), 10% newborn calf serum, and 100 mM sodium pyruvate, before being used in reporter bioactivity assays.

#### mAbs

Rat anti-mouse PD-L1 mAb clone 10 F.9G2 and mouse antimouse CTLA-4 mIgG2B clone 9D9 were purchased from Bio X Cell (catalog nos. BE0101 and BE0164). The anti-human PD-L1 mAbs atezolizumab (lot no. 3166643; Tecentriq) and avelumab (lot no. AU020120; Bavencio) and the anti-human CTLA-4 mAb ipilimumab (lot no. 930944; Yervoy) were purchased from Blue Door Pharma. These antibodies were stored at 4°C until use and before their expiration dates, as recommended in their package inserts. The anti-human PD-L1 mAbs durvalumab (Imfinzi) and tremelimumab and mIgG2a clone 9D9 were produced and purified at AstraZeneca. These antibodies were stored at - 80°C until use.

#### Cell-based flow cytometry binding assays

Cultured cell lines were removed from cell culture plastic with 0.05% trypsin-EDTA (Thermo Fisher Scientific), suspended in cold culture medium, and centrifuged to pellet cells. Primary human CD3 T cells were obtained from sodium heparin anti-coagulated whole blood from healthy human donors through negative selection of peripheral mononuclear blood cells (PBMCs), using Robosep automated cell separation (StemCell Technologies). Human CD3 T cells were cultured in tissue culture plates coated overnight at 4°C with 2 µg/mL each anti-human CD3 (clone OKT3; BioLegend, catalog no. 317326) and anti-human CD28 (clone 28.2; BioLegend, catalog no. 302934) to activate T cells and upregulate PD-L1. After counting,  $1 \times 10^5$  activated human T cells, PD-L1-expressing Jurkat T cells, and cultured tumor cells were then bound to test mAbs in flow cytometry buffer (2% neonatal calf serum in PBS, pH 7.2, without Ca<sup>2+</sup> and Mg;<sup>2+</sup> Thermo Fisher Scientific) for 4 h at 4°C. After washes, bound primary antibody was detected with either Alexa Fluor 647-labeled goat anti-human IgG or goat antimouse IgG secondary antibody (Thermo Fisher Scientific), as appropriate for the primary antibody species, by incubation at 10 µg/mL in flow cytometry buffer containing 5 µg/mL propidium iodide for 20 min at 4°C. After further washes, bound secondary antibody was detected by flow cytometry on an LSR II cytometer (BD Biosciences) and gating on live (propidium iodide-negative) cells, using FlowJo software (BD Biosciences). The apparent (avidity-driven) EC<sub>50</sub>s for each antibody were determined after background subtraction (secondary antibody binding only), using nonlinear regression analysis (log[mAb] vs. mean fluorescence intensity [variable slope/four parameter fit]) in Prism, version 7.1 for Windows (GraphPad Software).

# ADCP and macrophage cytotoxicity assay

PBMCs were isolated from whole blood obtained from healthy donors in the AstraZeneca blood donor program, using

lymphocyte separation medium (MP Biomedicals) density centrifugation, and primary human monocytes were isolated with the EasySep Human Monocyte Enrichment Kit without CD16 depletion (StemCell Technologies). Monocytes were subsequently cultured in RMPI 1640 medium containing 4.5 g/L glucose and L-glutamine (catalog no. A1049101; Thermo Fisher Scientific), supplemented with 10% newborn calf serum (complete RPMI 10 medium; Thermo Fisher Scientific) and 100 ng/mL recombinant human macrophage colony-stimulating factor (R&D Systems, catalog no. 216-MC-025), for 6 days in a humidified tissue culture chamber at 37°C with 5% CO<sub>2</sub> to generate in vitroderived macrophages. Cells were removed from tissue culture plastic with Accutase (StemCell Technologies) and labeled with CellTrace Violet, using the CellTrace Violet Cell Proliferation Kit (Thermo Fisher Scientific) according to the manufacturer's recommended protocol. Subsequently,  $4 \times 10^4$  cells were seeded in sterile, flat-bottomed, 96-well tissue culture plates overnight in complete RPMI 10 medium without human macrophage colonystimulating factor. Human ES2 ovarian carcinoma cells expressing PD-L1, or CHO cells engineered to express human CTLA-4, were trypsinized, suspended in complete RPMI 10 medium, pelleted, and labeled with carboxyfluorescein succinimidyl ester (CFSE) using a CellTrace CFSE Cell Proliferation Kit for flow cytometry (Thermo Fisher Scientific) according to the manufacturer's protocol. After labeling,  $4 \times 10^4$  cells were added per well (macrophage-to-target cell ratio, 1:1) for ADCP assays with anti-PD-L1 mAb (for ES2 cells) or anti-CTLA-4 mAb (for CTLA-4-expressing CHO cells). CFSE-labeled target cells were incubated for 4 h with macrophages labeled with CellTrace Violet (Thermo Fisher Scientific) to allow macrophage phagocytosis and killing of target cells. After 4 h of incubation, cells were removed from culture wells with Accutase (StemCell Technologies); collected in a separate round-bottomed, 96-well plate; washed with PBS; and suspended in PBS containing Live-Dead Fixable Far Red Dead Cell Stain (Thermo Fisher Scientific) for 30 min at room temperature in the dark. Cells were subsequently washed in flow cytometry buffer (PBS plus 2% newborn calf serum) and fixed with 4% (wt/vol) formalin in PBS. Cells were analyzed by flow cytometry on an LSR II cytometer (BD Biosciences) and events were analyzed with FlowJo software (BD Biosciences). Phagocytosed target cells were defined as the percentage of total cells with dual CellTrace Violet and CFSE positivity (S3 Fig), which we had previously verified as macrophage-engulfed target cells by immunofluorescence microscopy. Target cells that were killed directly by macrophages in the absence of phagocytosis were identified as nonviable (positive for Live-Dead Fixable Far Red Dead Cell staining), CFSE-labeled target cells that did not demonstrate a signal indicative of phagocytosed target cells when labeled with CellTrace Violet (Thermo Fisher Scientific).

#### ADCC assay

Primary human NK cells were purified from heparinanticoagulated whole human blood after PBMC isolation, using an EasySep human NK cell isolation kit (StemCell Technologies). After isolation, cells were suspended in warm complete RPMI medium (RPMI 1640 medium, 10% fetal bovine serum, 1× penicillin-streptomycin), and  $2 \times 10^5$  effector NK cells were added to each well of round-bottomed, non-tissue culture-

treated, 96-well plates (catalog no. 3788; Costar). CHO cells expressing CTLA-4 and ES2 human ovarian cancer cells expressing endogenous PD-L1 were labeled with DiO dye, using Vybrant DiO cell labeling solution (Thermo Fisher Scientific), and  $2 \times 10^4$  DiO-labeled target cells were added to each well for an effector-to-target ratio of 10:1. Control wells included unlabeled target cells, DiO-labeled target cells alone, NK effector cells alone, and NK cells plus DiO-labeled target cells. Anti-PD-L1, anti-CTLA-4, and control mAbs were diluted in complete medium and added to wells containing effector and target cells, and plates were incubated for 18 h to allow for target cell killing. Nonviable DiO-positive target cells were detected by propidium iodide uptake after flow cytometry analysis on an LSR II flow cytometer, and data were analyzed with FlowJo software (BD Biosciences). Specific NK cell activity was determined by subtracting mean values from wells containing NK cells plus DiOlabeled target cells in the absence of drug and was graphed versus the log drug concentration, using Prism version 7.1 for Windows (GraphPad Software) to assess dose-dependent activity.

## Anti-human PD-L1 reporter cell-based bioassay

The biological activity of anti-PD-L1 antibodies was measured with a reporter gene bioassay. CHO cells expressing anti-CD3 single-chain variable fragment from clone OKT3 and human PD-L1 were prepared to  $0.2 \times 10^6$  cells per mL in cell culture medium (DMEM, 10% FBS, 1% nonessential amino acids), and 50  $\mu$ L (1  $\times$  10<sup>4</sup> cells) was added to tissue culture-treated, white-walled, 96-well assay plates. The assay plates were incubated at 37°C with 5% CO2 in a humidified tissue culture incubator for 3 h. An 11-point dilution series of the anti-PD-L1 antibodies was prepared in assay medium (RPMI 1640 medium, 10% FBS). Jurkat human T-lymphocyte cells expressing PD-1 and an NFAT (nuclear factor of activated T cells) luciferase reporter were prepared to  $2.0 \times 10^6$  cells per mL in assay medium. One hundred microliters of diluted antibody and 100  $\mu$ L of Jurkat cells were mixed in 96-well preincubation plates and incubated at 37°C for 2 h. Fifty microliters of the antibody-Jurkat mixture was added to the CHO cells in the assay plates, which were then incubated at 37°C with 5% CO<sub>2</sub> in a humidified tissue culture incubator for 18 h. Steady-Glo luciferase substrate (Promega) was added to the assay plates and incubated according to the manufacturer's instructions. The amount of luminescence proportional to T-cell activity was quantified with a luminometer.

# Anti-CTLA-4 reporter cell-based bioassay

The biological activity of the anti–CTLA-4 antibodies was measured with a reporter gene bioassay. Raji human B-lymphocyte cells were prepared to  $0.33 \times 10^6$  cells per mL in cell culture medium (RPMI 1640 medium, GlutaMAX [Gibco], 10% FBS, 100 mM sodium pyruvate) containing 50 pg/mL staphylococcal enterotoxin E (SEE) and incubated for 60 min with shaking. An 11-point dilution series of anti– CTLA-4 antibodies was prepared in cell culture medium. Jurkat human T-lymphocyte cells that had been engineered to stably express human CTLA-4, and a luciferase reporter gene under the control of the transcription factor NF $\kappa$ B (nuclear factor kappa-B), were prepared to  $1.33 \times 10^6$  cells per mL in a preincubated Raji cell suspension. Anti–CTLA-4 antibodies and the Jurkat-Raji-SEE mixture was then added to 96-well assay plates at a 1:1 ratio. The plates were incubated at 37°C with 5% CO<sub>2</sub> in a humidified tissue culture incubator for 19 h and then allowed to cool for 10 min at room temperature. An equal volume of Steady-Glow luciferase substrate (Promega) was added to the assay plates, which were incubated for 1.5 h at room temperature. The amount of luminescence that was proportional to the Jurkat reporter cell activity was quantified with a luminometer.

# PK analysis

PK studies were performed in female CD1 mice (Envigo and Charles River). Blood samples for the multiple-dose PK study were collected from the retroorbital sinuses of mice into serum separator tubes (Prepared Microtubes, Z-Gel; Sarstedt), except for the final collection, which was collected via cardiac puncture at the time of euthanasia. For the single-dose PK study, blood was collected from the tail veins of mice, with one final collection via cardiac puncture. Mice were bled before administration of the test article and after intravenous dosing per body weight with clone 80, clone 10 F.9G2, or mIgG1 clone 9D9. All serum samples were stored at – 80°C until analyzed by PK enzyme-linked immunosorbent assay (ELISA) for the multiple-dose study or by electrochemiluminescence (ECL) (Meso Scale Discovery Diagnostics) for the single-dose study.

For analysis of clone 80 in serum by ELISA, half-well ELISA plates (Costar, catalog no. 3690; VWR) were coated overnight at 4°C with 30 µL of 1-µg/mL recombinant mouse PD-L1 (catalog no. 1019-B7; R&D Systems) in PBS. Plates were blocked in 4% milk-PBS for 1 h at room temperature and then washed four times with 100 µL of PBS. Standards or diluted samples at 30 µL per well were incubated for 2 h at room temperature with shaking on an orbital shaker. After four washes in PBS, 30 µL of horseradish peroxidase (HRP)conjugated goat anti-mouse IgG Fc (0.8 mg/mL stock; Southern Biotech, catalog #1033-01) per well, at a dilution of 1:15,000 in 1% milk-PBS, was added to the plate and incubated for 1 h at room temperature. Plates were washed four times with PBS and then developed with 50 µL of tetramethylbenzadine substrate (Life Technologies) for 3-5 min. To terminate the tetramethylbenzadine reaction, 25 µL of 2 N sulfuric acid was added to each well, and plates were read on an M5 spectrophotometer (Molecular Devices) at 450 nm absorbance.

For analysis of clone 80 in serum by electrochemiluminescence, MSD Standard Bind 96-well Sector plates (Meso Scale Discovery) were coated overnight at 4°C with recombinant mouse PD-L1 (R&D Systems) in PBS. After washes in PBS plus 0.1% Tween 20 (PBS-Tween), plates were blocked for 1 h at room temperature with PBS plus 1% BSA (PBS-BSA). After washes in PBS-Tween, 12.5  $\mu$ L of PBS-BSA was added to each well, followed by 12.5  $\mu$ L of standards or diluted samples. Plates were subsequently incubated for 2 h at room temperature with shaking on an orbital shaker to bind free anti-mouse PD-L1 mAb. After three washes in PBS-Tween, 25  $\mu$ L of biotin-SP AffiniPure goat anti-mouse IgG, Fc fragment specific (Jackson ImmunoResearch Laboratories, catalog no. 115–065-008), per well at 1 µg/mL in PBS-BSA containing 2% normal goat serum was added to the plate and incubated with shaking for 2 h at room temperature. Plates were washed three times with PBS-Tween, and then 25 µL of Streptavidin Sulfo-TAG at 0.5 µg/mL in PBS-BSA (Meso Scale Discovery) was added and incubated for 1 h at room temperature. After three washes in PBS-Tween, the electrochemiluminescence signal was detected by using 150 µL per well of 2× read buffer T and an MSD Sector imager (Meso Scale Discovery).

PK analysis of clone 10 F.9G2 was conducted by ELISA and electrochemiluminescence methods similar to that used to detect clone 80, with the modifications described here. For ELISA, plates were coated with 30  $\mu$ L of 2.5  $\mu$ g/mL anti-rat IgG Fc capture antibody (catalog no. 112–005-071; Jackson ImmunoResearch) per well in PBS, and captured clone 10 F.9G2 was detected with goat anti-rat light-chain HRP (0.8 mg/mL stock, catalog no. 112–035-175; Jackson ImmunoResearch) at a 1:13,000 dilution in 1% milk–PBS. For electrochemiluminescence, biotinylated goat anti-rat IgG at a concentration of 1  $\mu$ g/mL was used in place of biotin-SP AffiniPure goat anti-mouse IgG to detect rat IgG (10 F.9G2).

The mIgG1 isotype of clone 9D9 was measured by ELISA, using plates coated with 30  $\mu$ L of 2.5  $\mu$ g/mL anti-His antibody (catalog no. AB9136; Abcam) per well in PBS, and then bound to 30  $\mu$ L of 2  $\mu$ g/mL His-tagged recombinant mouse CTLA-4 (Sino Biological) per well to allow for capture of free mouse anti–CTLA-4 mAb in serum. HRP goat anti-mouse light chain was used at a 1:2,000 dilution in 1% milk–PBS to detect bound mIgG1 clone 9D9, but otherwise the protocol remained as described for the clone 80 ELISA.

PK parameters were estimated by noncompartmental analysis in Phoenix WinNonlin software, version 8.1 (Certara). PK figures were produced using the ggplot2 package in R studio (R project, R studio version 1.1.463, R version 3.6.1).

#### Pharmacodynamic assays

Tumors, regional tumor-draining lymph nodes, and spleens were removed from mice after the beginning of drug treatments (20 mg/mL each for anti-PD-L1 and anti-CTLA-4) and prepared for flow cytometry assessment. Briefly, tumors were cut into small pieces with a scalpel and digested for 30 min at 37°C in a collagenase solution containing 200 U/mL type 3 collagenase (catalog no. LS004208; Worthington Biochemical Corporation), 0.3 mM CaCl<sub>2</sub> (Sigma Aldrich), and 1× DNase 1 (Sigma Aldrich) in 1× Hanks buffered saline solution (Thermo Fisher Scientific). After tissue digestion, cells were strained through a 70-µm filter, washed in culture medium, and suspended in flow cytometry buffer (PBS plus 2% newborn calf serum), and cell viability and number were determined. Splenocytes and immune cells from tumor-draining lymph nodes were isolated by physical disruption of spleens or lymph nodes through a 100-µm filter. Removal of red blood cells from splenocytes was accomplished using 1× RBC Lysis Buffer (BioLegend) for 3 min at room temperature. After further filtering and suspension in flow cytometry buffer, splenocyte and lymph node immune-cell viability and number

were determined. Cells from tumors, spleens, or tumordraining lymph nodes were analyzed by immunophenotyping flow cytometry after live/dead viability staining with the Live/ Dead Fixable Aqua Kit (Thermo Fisher Scientific) and incubated with a cocktail of anti-mouse antibodies consisting of BV786 anti-CD45 (catalog no. 564225; BD Biosciences), eBioscience APC-780 anti-CD8 antibodies (catalog no. 47-0081-82; Thermo Fisher Scientific), BUV395 anti-CD4 antibodies (catalog no. 563790; BD Biosciences), Alexa Fluor 488 anti-CD25 antibodies (catalog no. 102017; BioLegend), and PerCP anti-CD69 antibodies (catalog no. 104522; BioLegend), and after fixation and permeabilization with FoxP3/Transcription Factor Fixation/Permeabilization Concentrate and Diluent (catalog no. 00-5521, eBioscience; Thermo Fisher Scientific) according to the manufacturer's protocol (eBioscience PE anti-FoxP3, catalog no. 25-5773-82, Thermo Fisher Scientific; APC anti-granzyme B, catalog no. 515405, BioLegend; and eBioscience efluor 450 Ki67, catalog no. 48-5698-82, Thermo Fisher Scientific).

#### In vivo tumor growth inhibition studies

Syngeneic mouse colon cancer (CT26, MC38), breast cancer (EMT6, 4T1, EO771), melanoma (B16F10), renal cell cancer (RENCA), lung cancer (LL/2 lewis lung), or fibrosarcoma (MCA205) tumor cells were engrafted in 6- to 8-week-old female Balb/C (CT26, EMT6, 4T1, RENCA) or female C57BL/6 (B16F10, LL/2 lewis lung, MC38, EO771, MCA205) mice (Envigo). Cells were implanted subcutaneously in the flank at  $5 \times 10^5$  (CT26, RENCA, LL/2 lewis lung) or  $2.5 \times 10^5$  (B16F10, MC38, MCA205) cells per site. EMT6 and 4T1 breast tumors were established in the mammary fat pads of female Balb/c mice at  $1 \times 10^5$  cells per site and EO771 in the fat pads of female C57BL/6 mice at  $2.5 \times 10^5$  cells per site. Tumor growth was monitored over the course of the study, and tumor volume was calculated as

tumor volume(mm<sup>3</sup>) = 
$$\frac{[\text{length}(\text{mm})] \times [\text{width}(\text{mm})]^2}{2}$$
.

When the tumors reached 100–150 mm<sup>3</sup>, mice were randomly assigned into groups with equal median tumor volumes, using the deterministic randomization method built into the Study Director software package (Studylog Systems). Mice were then either left untreated or were administered intraperitoneal injections of 20 mg/kg twice a week for four doses of clone 80, mIgG1 clone 9D9, a combination of each, or an isotype control antibody (NIP228 mouse IgG1 D265A) at the indicated doses. Mouse body weight and tumor measurements were determined twice weekly for the duration of the study. Mice were euthanized for evidence of significant disease burden (weight loss of more than 20%, hind limb paralysis, extreme lethargy, or tumor mass exceeding 2,000 mm<sup>3</sup>), which was considered the survival endpoint for Kaplan-Meier analysis.

#### Statistical analyses

Statistical significance of differences between pharmacodynamic changes in CD4, CD8, or Tregs observed in the CT26, EMT6, and MCA205 tumor models was calculated by one-way analysis of variance followed by Dunnett correction for multiple comparisons, using Prism, version 8.0.0 for Windows (GraphPad Software). Statistical analysis of survival differences between treatment groups in animal studies was performed using the log-rank (Mantel-Cox) test, also in Prism software.

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All authors are or were employees of AstraZeneca at the time this work was performed and may have stock and/or stock options or interests in AstraZeneca.

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# Abbreviations

ADA	anti-drug antibody
ADCC	antibody-dependent cellular cytotoxicity
ADCP	antibody-dependent cellular phagocytosis
CDR	complementarity-determining region
СНО	Chinese hamster ovary
CTLA-4	cytotoxic T lymphocyte associated antigen-4
ELISA	enzyme-linked immunosorbent assay
Fab	antigen binding fragment
Fc	fragment crystallizable
FcγR	fragment crystallizable gamma receptor
HTRF	homogenous time-resolved fluorescence energy transfer
K <sub>D</sub>	affinity equilibrium dissociation constant
NK	natural killer
PBMC	peripheral blood mononuclear cell
PD-1	programmed cell death protein-1
PD-L1	programmed death-ligand 1
PD-L2	programmed death-ligand 2
РК	pharmacokinetics
SPR	surface plasmon resonance
Treg	regulatory T cell
V <sub>H</sub>	variable heavy
VL	variable light

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