## ORIGINAL RESEARCH



# The H3 Haplotype of the EPCR Gene Determines High sEPCR Levels in Critically Ill Septic Patients

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# **ABSTRACT**

*Introduction*: A soluble (s) form of the endothelial protein C receptor (EPCR) circulates in plasma and inhibits activated protein C (APC) activities. The clinical impact of sEPCR and its involvement in the septic process is under investigation. This study determined the

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S. E. Orfanos Second Department of Critical Care, Attikon Hospital, Medical School of the National and Kapodistrian University of Athens, Athens, Greece frequencies of *EPCR* haplotypes H1 and H3 to investigate possible associations with plasma admission levels of sEPCR in an intensive care unit (ICU) cohort of septic patients.

*Methods*: Three polymorphisms in the *EPCR* gene were genotyped in 239 Caucasian critically ill patients, and their plasma sEPCR levels were also measured at the time of admission to the ICU. Multivariate logistic regression analysis controlling for sepsis severity, age, acute physiology and chronic health evaluation (APACHE II) and sequential organ failure assessment (SOFA) scores, lactate level, sex, diagnostic category, length of ICU stay and hospital mortality was performed to determine the effect of EPCR haplotypes H1 and H3 on the levels of sEPCR. Results: Individuals carrying at least one H3 allele had significantly higher levels of sEPCR than individuals with no H3 alleles (p < 0.001). No differences were found in the distribution of the H3 allele in the patient groups categorized using the pre-existing and current sepsis-3 definitions.

*Conclusion*: Using the preceding and current sepsis definitions, sEPCR levels and the H3 haplotype were not associated with sepsis severity and the risk of poor outcomes in septic patients; however, the *EPCR* H3 allele contributed to higher levels of sEPCR.

Keywords: Haplotypes; qSOFA; sEPCR; Sepsis

# INTRODUCTION

Sepsis is defined as life-threatening organ dysfunction caused by a dysregulated host response to infections [1]. The protein C (PC) anticoagulant pathway controls thrombosis inflammation [2], and activation of PC to activated PC (APC) is enhanced by the presence of the endothelial protein C receptor (EPCR) [3]. Apart from an anti-thrombotic role, APC exerts anti-inflammatory, anti-apoptotic and cytoprotective functions that are EPCR-dependent [2, 4–7]. The presence of a soluble form of EPCR (sEPCR) in human physiologic plasma, which is elevated in inflammatory conditions strengthens the idea of EPCR shedding. sEPCR can be generated rapidly via metalloprotease tumor necrosis factor-α-converting enzyme/ metallopeptidase domain 17 (TACE/ADAM17) shedding as a response to an inflammatory stimulus [9, 10] and slowly via alternative mRNA splicing [11]. sEPCR binds both PC and APC with similar affinity to membrane-bound EPCR [12, 13], blocking, however, the antithrombotic activity of the latter [12–14]. While the role of the membrane form of EPCR is clearly anti-thrombotic and anti-inflammatory, the physiologic significance of sEPCR in vivo remains to be clarified. Apart from sEPCR, functionally relevant mutations in the EPCR gene have been reported, resulting in either reduced expression or dysfunction of the receptor, which can induce thrombosis development. These include a rare 23-bp insertion in exon 3 (position 6367) [15] and single-nucleotide polymorphisms (SNPs) at coding and non-coding regions of the EPCR gene; based on the SNPs that are present, human EPCR genotypes can be divided into four haplotypes, H1, H2, H3 and the very rare H4. The H2 consists of all the common alleles [16, 17]. The functional importance of these mutations has been mainly studied in patients with venous and coronary thrombosis [18–24], and results from these studies have suggested that the H3 haplotype may be a candidate risk factor for deep vein thrombosis, possibly by increasing the levels of sEPCR and promoting its procoagulant effects because of APC sequestration [13, 16, 24]. On

the other hand, the role of the EPCR gene haplotypes in sepsis has only been studied to some degree [25, 26], and even fewer have studied correlations between plasma sEPCR levels and EPCR gene polymorphisms in sepsis [26]. Therefore, the aim of the present study was to measure sEPCR levels in critically ill septic patients at admission to the intensive care unit (ICU) and to evaluate potential associations of sEPCR with patient genotype. We genotyped one haplotype-tagging single-nucleotide polymorphism (htSNP) belonging to H1 (C6333T) and two htSNPs belonging to H3 (A6936G and C1651G) (numbering according to GenBank accession no. AF106202) [15-17]. To investigate the role of the above EPCR mutations as predisposing factors to higher sEPCR levels, we performed multivariate logistic regression analysis controlling for potential confounding factors, such as disease severity, age, sex and diagnostic category.

# **METHODS**

#### **Compliance with Ethics Guidelines**

The study was approved by the Evangelismos Hospital Research Ethics Committee (76/4-3-2009). All procedures followed were in accordance with the ethical standards of the responsible committee on human experimentation (institutional and national) and with the Helsinki Declaration of 1964, as revised in 2013. Informed consent was obtained from all patients or patients' next-of-kin for being included in the study.

#### **Study Population and Measurements**

To determine the role of the *EPCR* haplotypes as possible contributors to higher levels of sEPCR in sepsis, we conducted a retrospective study on 239 patients with diagnosed sepsis admitted in the ICU of Evangelismos Hospital.

Patients were studied according to the preceding [27] and current sepsis-3 definitions [1]. Based on the former, patients were considered to have sepsis, severe sepsis or septic shock. We

subsequently divided patients in two groups: (1) those who either had severe sepsis and/or septic shock at ICU admission or subsequently developed it during their ICU stay (severe sepsis/septic shock positive, N=139) and (2) those who did not have severe sepsis and/or septic shock at ICU admission or develop it during their ICU stay, but had uncomplicated sepsis (severe sepsis/septic shock negative, N=100). Based on sepsis-3 definitions and related scores and using patients' files, our cohort was additionally grouped according to their quick SOFA (qSOFA) score prior to ICU admission.

#### Genetic Analysis

Genotyping had been previously performed in a larger cohort, and data generated were used in a different context [28]. Briefly, genotyping was performed by the 5'-nuclease/TagMan assay using the polymerase chain reaction with fluorescent allele-specific oligonucleotide probes (Assay-by-Design/Assay-on-Demand, Life Technologies Corp., Carlsbad, CA, USA) and the Type-it Fast SNP probe PCR kit from Qiagen (QIAGEN GmbH, Hilden, Germany). Fluorescence endpoint reading for allelic discrimination was performed on a PTC-200 (MJ Research Inc., Waltham, MA, USA) using the Opticon Monitor 2 software. One htSNP belonging to H1 (C6333T—rs2069952) and two htSNPs belonging to H3 (C1651G-rs2069940 and A6936Grs867186) were selected (Table 1). The presence of the minor allele determines the respective haplotype, while absence of all three minor alleles determines H2.

#### sEPCR Antigen Measurement

Plasma sEPCR levels at ICU admission were measured by enzyme-linked immunosorbent assay (ELISA), according to the manufacturer's instructions. The Human EPCR Immunoassay of R&D Systems was used (R&D Systems Inc., Minneapolis, MN, USA). The assay uses two different polyclonal antibodies against EPCR as the catching and tagging antibody. Samples were diluted 1/39 and assayed in triplicate.

### **Statistical Analysis**

Data are presented as individual values and mean  $\pm$  standard deviation (SD) for normally distributed variables and median interquartile range (IQR) for variables with skewed distribution. Two-group comparisons were performed by the t test or the non-parametric Mann-Whitney test for skewed data. Associations between qualitative variables were examined by the Chi-square test or Fisher's exact test when appropriate. Kruskal-Wallis ANOVA followed by Dunn's multiple comparison post hoc test was used to examine differences between more than two groups. Multivariate logistic regression analysis controlling for APACHE II and SOFA scores, lactate level, length of ICU stay, age (continuous variables), sepsis severity, diagnostic category, hospital mortality, sex and haplotype (categorical variables) was performed to identify potential risk factors for elevated sEPCR levels as a binary outcome. Median sEPCR levels (192 ng/ml) were used as a cutoff point. Results are described by the odds ratio (OR) and 95% confidence

Table 1 Nucleotide sequence surrounding the target SNPs

SNP ID	Context sequence [VIC/FAM]	Position
rs2069952	GCACCCTCTCTGCACAGTCCCCTGA[C/T]CCTGACTGTCTATCCACAGTTCCTC	Intron 2
rs2069940	GTTTCAAAAAGATTGCTTTAGGTAA[C/G]CAATCATCTTCTGAGATTTATACAG	5' UTR
rs867186	${\tt GCTGGTCCTGGGCGTCCTGGTGGGC[A/G]GTTTCATCATTGCTGGTGTGGCTGT}$	Exon 4

The first nucleotide in the brackets corresponds to the VIC allele and the second to the FAM allele. Oligonucleotide probes were purchased from Applied Biosystems

SNP single-nucleotide polymorphism, 5'UTR 5' untranslated region

interval (CI). All p values were two-sided; p < 0.05 was considered significant.

#### RESULTS

### Characteristics of the Study Population

Among the 239 critically ill septic patients studied, 166 were male (69.5%) and 73 were female (30.5%). Approximately half of the study sample consisted of surgical or trauma patients, while the other half had medical diagnoses. Severe sepsis and/or septic shock (SS/SS) occurred in 139 patients (58.16% of the total cohort). Of these, 104 patients had SS/SS at baseline, while 35 developed SS/SS during their ICU stay. Demographic characteristics of our critically ill septic cohort are given in Table 2.

### **EPCR Polymorphisms and sEPCR Levels**

Allelic frequencies of the H1, H2 and H3 haplotypes are given in Table 3 for this critically ill study population. The frequencies of the H1, H2 and H3 haplotypes were similar in the SS/SSpositive and SS/SS-negative groups, as well as in the three qSOFA groups (Table 3). The distributions of the genotypes were in Hardy-Weinberg equilibrium (chi-square test, p > 0.05).

Soluble EPCR was quantified concurrently in all blood samples collected at ICU admission (N = 239). At the time of ICU admission, sEPCR levels were similar in the SS/SS-positive and negative patients (Fig. 1a). When patients were categorized using the sepsis-3 classification, patients with a qSOFA score of 1, 2 or 3 had comparable sEPCR levels (Fig. 1b). However, when patients were grouped according to their genotypes, carriers of at least one H3 allele had significantly higher sEPCR levels (Fig. 2; p < 0.05).

In our patient cohort, sEPCR levels were similar between male and female subjects; however, trauma patients exhibited higher sEPCR levels than patients with medical pathologies (Fig. 3a; p < 0.01). Nonetheless, in the trauma subgroup, H3 carriers had much higher sEPCR levels than non-H3 carriers

**Table 2** Characteristics of the 239 critically ill septic patients enrolled in the study

Parameters	All patients		
Number of patients $(N)$	239		
sEPCR (ng/ml)	192 (136–245)		
Diagnosis			
Medical	88 (36.8%)		
Surgical	98 (41.0%)		
Trauma	53 (22.2%)		
APACHE II score	22 (16–27)		
SOFA score	8 (6–11)		
Age (years)	$60 \pm 18$		
Sex			
Male	166 (69.5%)		
Female	73 (30.5%)		
ARDS	46 (19.3%)		
Mortality	98 (41.0%)		
Length of stay (days)	10 (3–25)		

Data are expressed as number of patients (N) and percentages of total (%), mean  $\pm$  SD or median (25–75% interquartile range). sEPCR, APACHE II and SOFA scores were measured at admission in the intensive care unit

APACHE acute physiology and chronic health evaluation, ARDS acute respiratory distress syndrome, sEPCR soluble endothelial protein C receptor, SOFA sequential organ failure assessment

(Fig. 3b; p < 0.05). Moreover, patients with very high APACHE II score levels ( $\geq 25$ ) had lower sEPCR levels compared with patients with < 25 APACHE II scores (Fig. 4a; p < 0.0001). When the severely ill patients with an APACHE II score of 25 and over were assigned to groups based on their alleles, H3 carriers had higher sEPCR levels compared with non-H3 carriers (Fig. 4c; p < 0.05). Patients were additionally assigned to groups based on hospital mortality; sEPCR levels at ICU admission were higher in survivors compared with non-survivors (Fig. 5a; p < 0.001). When the survivors and non55.4

H2

ns

		-					
Classification		SS/SS-negative (N = 100) [%]		qSOFA 2 (N = 197) [%]	qSOFA 3 (N = 35) [%]	All patients (N = 239) [%]	p value
Allele							
H3	10.4	15.5	7.0	13.2	10.0	12.6	ns
H1	34.2	34.5	50.0	32.5	34.3	33.3	ns

Table 3 Allelic frequencies of the single-nucleotide polymorphisms

50.0

Data are expressed as percentages of total (%). H1: 6333TC or CC; H3: 1651CG or GG and/or 6936AG or GG; H2: all common alleles

54.3

43.0

qSOFA quick sequential organ failure assessment, SS/SS severe sepsis and/or septic shock, ns non-significant (p > 0.05)

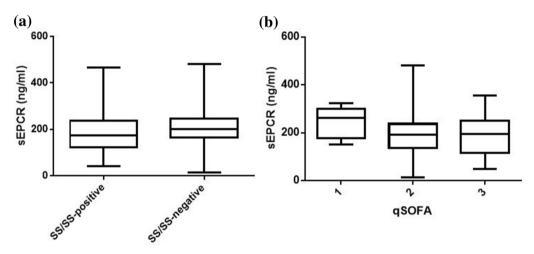


Fig. 1 sEPCR levels in patients classified according to the preceding and current sepsis-3 definitions. The 239 critically ill septic patients were classified according to a the preceding and b current sepsis-3 definitions. Soluble endothelial protein C receptor (sEPCR) was quantified in blood samples harvested at ICU admission (within the first 24 h). a Severe sepsis and/or septic shock (SS/SS) was present or subsequently developed in 139 patients (SS/SS-positive patients), while 100 suffered from uncomplicated sepsis and did not develop SS/SS (SS/SS-negative patients).

**b** Patients were also assigned to groups according to their qSOFA score prior to ICU admission. qSOFA 1, N=7 patients; qSOFA 2, N=197 patients; qSOFA 3, N=35 patients. Line in the box, median value; box edges, 25th to 75th centiles; whiskers, range of values. Two-group comparisons were performed by the Mann-Whitney test for skewed data, whereas Kruskal-Wallis ANOVA followed by Dunn's multiple comparison post hoc test was used to examine differences between more than two groups

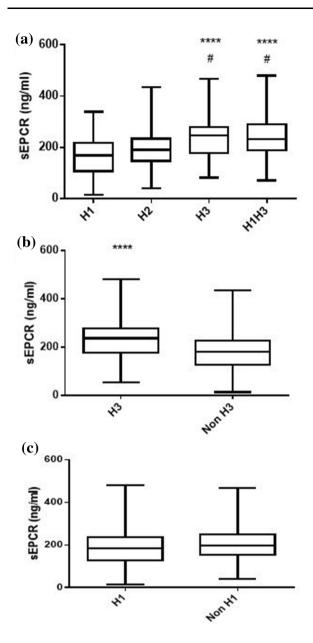
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55.7

survivors were divided according to their alleles, H3 carriers had higher sEPCR levels compared with non-H3 carriers in either case (Fig. 5b, c; p < 0.0001 for survivors and p < 0.05 for non-survivors).

Multivariate logistic regression analysis to identify potential risk factors for elevated sEPCR

levels was performed using median sEPCR levels as a cut-off point ( $\geq$  192 ng/ml) and controlling for possible confounding factors, showing that H3 haplotype, trauma and lower APACHE II scores are associated with increased levels of sEPCR (Table 4).



# DISCUSSION

To the best of our knowledge, this is the first study that investigated the association of the three most common haplotypes in the *EPCR* gene with the risk of elevated sEPCR levels in ICU septic patients. Our results demonstrated that critically ill septic patients who are carriers of at least one H3 allele have higher sEPCR levels at ICU admission, independent of sepsis severity or risk of poor outcomes, as predicted by their qSOFA score.

◆Fig. 2 sEPCR levels and EPCR haplotypes. The 239 critically ill septic patients were grouped according to their genotype combination and presence of haplotype-tagging alleles. Soluble endothelial protein C receptor (sEPCR) was quantified in blood samples harvested at ICU admission (within the first 24 h). a Patients categorized according to their genotype combination. b Patients categorized according to carriage of at least one H3 allele. c Patients categorized according to carriage of at least one H1 allele. Line in the box, median value; box edges, 25th to 75th centiles; whiskers, range of values. a \*\*\*\*\*p < 0.0001 from the H1 group and \*\*p < 0.05 from the H2 group with Kruskal-Wallis ANOVA followed by Dunn's multiple comparison post hoc test; b \*\*\*\*\*p < 0.0001 with the Mann-Whitney test</p>

Since the question still remains whether sEPCR responds to changes in disease, and plasma sEPCR levels have been shown to be lower in patients with increased disease severity when controlling for rs867186-G variant (H3 haplotype) [29], we reasoned that EPCR mutations are solely responsible for increased sEPCR levels in critically ill septic patients rather than sepsis severity or the risk of poor outcomes. The H3 haplotype has been shown to be a candidate risk factor for deep vein thrombosis, possibly by increasing the levels of sEPCR and promoting the procoagulant effects due to APC sequestration [13, 16, 24]. In a previous investigation the frequency of H3 in critically ill septic patients was not associated with severe sepsis and/or septic shock development [28]. However, it has been shown that deep vein thrombosis patients and critically ill septic patients have a higher H3 haplotype frequency compared with healthy controls [16, 24, 28].

sEPCR increases in response to injury and selective regulatory mechanisms exist upon inflammation. The mechanisms controlling the expression of cell-bound and circulating soluble EPCR are still unclear and include chronic and pathological processes. There is evidence that the H3 haplotype-associated Gly219 involves increased EPCR shedding from the endothelium [30], and Guitton et al. [31] demonstrated the cumulative effect of gender and the H3

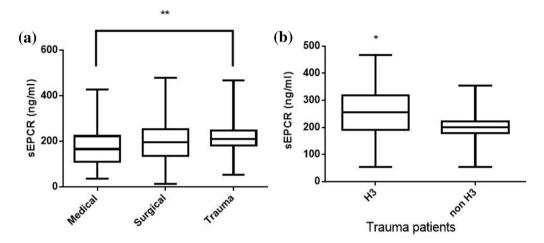


Fig. 3 sEPCR levels and diagnostic category. Soluble endothelial protein C receptor (sEPCR) was quantified in blood samples harvested at ICU admission (within the first 24 h). a Patients were categorized according to diagnostic category at admission. Line in the box, median value; box edges, 25th to 75th centiles; whiskers, range of

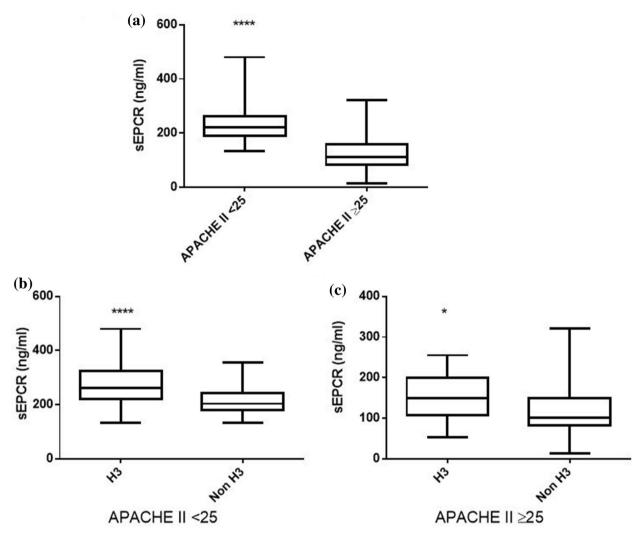
values. \*\*p < 0.01 with Kruskal-Wallis ANOVA followed by Dunn's multiple comparison post hoc test. **b** Trauma patients (N = 53) were further divided according to the presence of at least one H3 allele. Line in the box, median value; box edges, 25th to 75th centiles; whiskers, range of values. \*p < 0.05 with the Mann-Whitney test

haplotype on sEPCR in primary cultures of vascular endothelial cells. In previous studies, sEPCR levels in septic patients were found to be significantly higher [8, 32], unchanged [33] or lower [34] compared with healthy volunteers. This inconsistency among studies could be partially explained by different frequencies of the EPCR H3 haplotype in the various study populations. Our laboratory has shown that sEPCR may act as an early indicator of sepsis prior to its clinical appearance [35], supporting the hypothesis that elevated sEPCR levels are implicated in the pathogenesis of the septic syndrome. The results of the present study indicate that severe sepsis, septic shock, higher qSOFA prior to ICU admission or high APACHE II scores are not associated with increased plasma sEPCR levels. Our results rather indicate that in our critically ill septic patient cohort H3 carriers have higher levels of sEPCR at ICU admission compared with non-H3 carriers. Our

results additionally demonstrate that sEPCR levels at admission are not predictive of hospital mortality.

Our study has the following limitations: first, it was a single-center study with a modest sample size. Also, we did not obtain sEPCR measurements prior to sepsis appearance. We also only studied in-hospital mortality and not 30-day mortality. Finally, it was a pilot study, exploratory in nature and observational, not allowing conclusions about causative mechanisms. However, our patients were well characterized and all sEPCR measurements were made in septic patients. Our study was also conducted in a general ICU. We additionally genotyped a small non-septic patient cohort with systemic inflammatory response syndrome (SIRS) [27] and observed that their sEPCR levels are not dependent on haplotype (data not shown).

To our knowledge, this is the first study that demonstrated that in septic patients the *EPCR* 

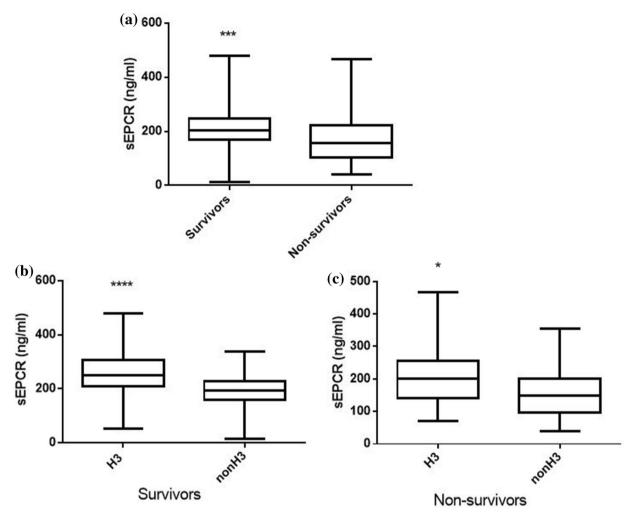


**Fig. 4** sEPCR levels and APACHE II score. Soluble endothelial protein C receptor (sEPCR) was quantified in blood samples harvested at ICU admission (within the first 24 h). **a** Patients were categorized according to APACHE II scores at admission. A cut-off value of 25 was used. **b** Patients with APACHE II score < 25 (N = 137) and

c patients with APACHE II score  $\geq 25~(N=92)$  were further divided according to the presence of at least one H3 allele. Line in the box, median value; box edges, 25th to 75th centiles; whiskers, range of values. \*p < 0.05; \*\*\*\*p < 0.0001 with the Mann-Whitney test

H3 haplotype and sEPCR levels are not associated with sepsis severity or risk of worse outcomes; on the other hand, we were able to show that the presence of at least one H3 allele

increases the levels of sEPCR in critically ill septic patients. The biological significance and function of the elevated sEPCR produced in H3 carriers are unknown.



**Fig. 5** sEPCR levels and hospital mortality. Soluble endothelial protein C receptor (sEPCR) was quantified in blood samples harvested at ICU admission (within the first 24 h). **a** Patients were categorized according to hospital mortality; ICU admission sEPCR levels were compared between survivors (N = 141) and non-survivors

(N=98). **b** Survivors and **c** non-survivors were further divided according to the presence of at least one H3 allele. Line in the box, median value; box edges, 25th to 75th centiles; whiskers, range of values. \*p < 0.05, \*\*\*\*p < 0.001, \*\*\*\*p < 0.0001 with the Mann-Whitney test

# **CONCLUSION**

In conclusion, we provide evidence that the *EPCR* H3 haplotype is strongly associated with sEPCR levels in septic patients. However, we

observed no association of the H3 haplotype or greater sEPCR levels with risk of poor outcomes in septic patients.

**Table 4** Effect on elevated sEPCR levels of the presence of alleles belonging only to the H1, or simultaneously to both the H1 and H3 haplotypes or all common alleles (H2) compared with the presence of only the H3 haplotype

Variable	OR	95% CI	p				
Genotype combination							
Only H3	Reference						
H2	0.266	0.094-0.754	0.013*				
Only H1	0.222	0.082-0.600	0.003*				
H1 and H3	0.717	0.177-2.912	0.642				
Alleles							
H3	Reference						
H1	0.272	0.126-0.587	0.001*				
Diagnosis							
Trauma	Reference						
Medical	0.366	0.149-0.899	0.028*				
Surgical	0.276	0.115-0.665	0.004*				
APACHE II score	0.865	0.825-0.908	< 0.0001*				

Multivariable logistic regression was performed to investigate associations between risk of high sEPCR levels ( $\geq 192~\text{ng/ml})$  and genotypes, mutually adjusted for all other factors in the table. Multiple logistic regression analysis was performed with genotype combination used as key predictor variable (categorical: only H3, H2, only H1 and H1 + H3) and high ( $\geq 192~\text{ng/ml})$  versus low (< 192~ng/ml) sEPCR plasma levels as a binary outcome, controlling for APACHE II and SOFA scores, lactate level, length of ICU stay, age (continuous variables), sepsis severity, diagnostic category, hospital mortality, sex and haplotype (categorical variables)

APACHE acute physiology and chronic health evaluation, CI confidence intervals, OR odds ratio, SOFA sequential organ failure assessment

Asterisk indicate p < 0.05 values are statistically significant

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Compliance with Ethics Guidelines. The study was approved by the Evangelismos Hospital Research Ethics Committee (76/4-3-2009). All procedures followed were in accordance with the ethical standards of the responsible committee on human experimentation (institutional and national) and with the Helsinki Declaration of 1964, as revised in 2013. Informed consent was obtained from all patients or patients' next-of-kin for being included in the study.

**Data Availability.** The data sets generated during and/or analyzed during the current study are available from the corresponding author on reasonable request.

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