

Prognostic significance of extranodal extension in patients with pathologic N1 non-small cell lung cancer undergoing complete resection

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Background: The prognostic significance of extranodal extension (ENE) remains unclear in patients with pathologic N1 (pN1) non-small-cell lung cancer (NSCLC) undergoing surgery. We evaluated the prognostic impact of ENE in patients with pN1 NSCLC.

Methods: From 2004 to 2018, we retrospectively analyzed the data of 862 patients with pN1 NSCLC who underwent lobectomy and more (lobectomy, bilobectomy, pneumonectomy, sleeve lobectomy). According to their resection status and the presence of ENE, patients were classified into R0 without ENE (pure R0) (n=645), R0 with ENE (R0-ENE) (n=130), and incomplete resection (R1/R2) groups (n=87). The primary and secondary endpoints were 5-year overall survival (OS) and recurrence-free survival (RFS), respectively.

Results: The prognosis of the R0-ENE group was significantly worse than the pure R0 group for both OS (5-year rate: 51.6% *vs.* 65.4%, P=0.008) and RFS (44.4% *vs.* 53.0%, P=0.04). According to the recurrence pattern, a difference of RFS was found only for distant metastasis (55.2% *vs.* 65.0%, P=0.02). The multivariable Cox analysis revealed that the presence of ENE was a negative prognostic factor in patients who did not undergo adjuvant chemotherapy [hazard ratio (HR) =1.58; 95% confidence interval (CI): 1.06–2.36; P=0.03], but it was not in those with adjuvant chemotherapy (HR =1.20; 95% CI: 0.80–1.81; P=0.38).

Conclusions: For patients with pN1 NSCLC, the presence of ENE was a negative prognostic factor for both OS and RFS, regardless of resection status. The negative prognostic effect of ENE was significantly associated with an increase in distant metastasis and was not observed in patients who underwent adjuvant chemotherapy.

Keywords: Extranodal extension (ENE); complete resection; pathologic N1 (pN1); non-small-cell lung cancer (NSCLC); prognosis

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Introduction

The presence of extranodal extension (ENE) has been reported to be a negative prognostic factor in patients with surgically resected non-small-cell lung cancer (NSCLC) (1-3). Recently, the International Association for the Study of Lung Cancer (IASLC) proposed refined definitions of complete resection that incorporated quality standards of tumor resection and lymph node (LN) staging. In detail, complete resection (R0) is defined when all of the following criteria are satisfied: (I) a free resection margin has been proven microscopically, (II) systemic lymph node dissection (LND) or lobe-specific LND must be performed, (III) no ENE in nodes removed separately or in those at the margin of the main lung specimen, and (IV) highest mediastinal node that has been removed must be negative (4,5). Among these patients, ENE of the tumor from nodes removed separately is defined as incomplete resection (R1/R2) even if the resection margin is clear. However, this definition of R0 resection seems to be considerably stricter than the traditional definition that requires only a clear resection margin, which surgeons have adopted in the past (6,7).

Highlight box

Key finding

 For patients with pN1 NSCLC, the presence of ENE was a negative prognostic factor for both OS and RFS, regardless of resection status. The negative prognostic effect of ENE was significantly associated with an increase in distant metastasis and was not observed in patients who underwent adjuvant chemotherapy.

What is known and what is new?

- IASLC definitions of R0 resection seems to be considerably stricter than the traditional definition that requires only a clear resection margin, which surgeons have adopted in the past.
- For patients with pN1 NSCLC, the presence of ENE was a negative prognostic factor for both OS and RFS even after complete resection.
- When ENE is confirmed postoperatively in a patient with R0 resection, it should be evaluated as an R1 resection in accordance with the recommendation in IASLC.
- A negative prognostic effect of ENE was found only in patients who skipped adjuvant CTx, which might be due to an increased rate of distant metastasis.

What is the implication, and what should change now?

• Even for patients with borderline medical conditions, adjuvant CTx might be considered more actively in completely resected pN1 NSCLC with the presence of ENE.

Therefore, this study aimed to compare the long-term outcomes according to the presence of ENE in patients with completely resected pathologic N1 (pN1) NSCLC. Furthermore, we sought to compare the recurrence pattern and evaluate the effect of adjuvant therapy in these patients. We present this article in accordance with the STROBE reporting checklist (available at https://jtd.amegroups.com/ article/view/10.21037/jtd-23-150/rc).

Methods

Patients

We retrospectively reviewed the data of patients with pN1 NSCLC who underwent lung surgery at Asan Medical Center, Seoul, South Korea, between January 2004 and December 2018. Patients with sublobar resection (biopsy, wedge resection, and segmentectomy), distant metastasis, history of neoadjuvant treatment or who had a concurrent malignancy were excluded from this study. According to their resection status and the presence of ENE, patients were classified into R0 without ENE (pure R0), R0 with ENE (R0-ENE), and R1/R2 groups. The primary and secondary endpoints were 5-year rates of overall survival (OS) and recurrence-free survival (RFS), respectively.

The patient's diagnosis, staging, and surgical resection were all carried out following generally acknowledged protocols, which were previously detailed elsewhere (8). The pathological staging was performed retrospectively in accordance with the 8th edition of the American Joint Committee on Cancer (AJCC) (9).

According to the multidisciplinary team approach, all patients who had pN1 disease were advised to have adjuvant chemotherapy (CTx), except those who were over 75 years old or in poor physical health. For 4-6 weeks following surgery, a total of four cycles of systemic CTx with a platinum-based therapy was suggested. After targeted therapy became more common in 2008 for patients with active epidermal growth factor receptor (EGFR) mutations, tyrosine kinase inhibitors have become increasingly popular for treating recurrences following adjuvant CTx. In patients who had a complete resection, adjuvant radiation (RTx) was given at 50-54 Gy in 1.8 to 2.0 Gy fractions. Patients with a positive resection margin were administered RTx up to 55-60 Gy. Adjuvant therapy was regarded to have been administered to patients only if the scheduled treatment was completed.

Data on follow-up were obtained from clinic visit records

and national insurance information. The postoperative follow-up strategy was typically followed up every three months until the first 2 years of surgery, then followed up every six months for the next 3 years, and after that, an annual visit was recommended. Computed tomography (CT) was performed whenever there was a clinic visit and additionally when there were signs of recurrence. Positron emission tomography (PET) was conducted when a recurrence was suspected on CT. Treatment modalities and chemotherapeutic regimens in relapsed cases were determined by a multidisciplinary team approach, including thoracic surgeons, radiologists, and medical oncologists.

Definition of variables

In a model predicting postoperative mortality, the individual comorbidities exceed the overall number of comorbid disorders in a patient (10). The latter was regarded as a categorical variable. R0 resection was defined according to the National Comprehensive Cancer Network (NCCN) guideline: (I) free resection margin, (II) systematic node dissection or sampling, and (III) highest mediastinal node negative for tumor. R1/R2 resection was defined as positive for resection margin, unremoved positive LNs, or malignant pleural effusion (7).

On pathological specimens, LN capsular invasion or spreading of neoplastic cells beyond the LN capsule was designated as ENE. Recurrence within the surgical field, such as anastomotic site recurrence, pleura seeding, or regional and mediastinal LNs, was described as locoregional recurrence. Recurrence at all other sites of failure, including the contralateral lung or outside the hemithorax, was regarded as a distant recurrence. OS was calculated using data from the Korean National Security Death Index Database as the time interval between the date of the operation and the date of death for any reason. RFS was calculated as the period between the date of operation and the date of recurrence; Patients who did not have a recurrence at the most recent workup were regarded as recurrence-free.

Statistical analysis

For continuous variables, the independent *t*-test was used; for categorical variables, the chi-square and Fisher's exact tests were used. Means and standard deviations were used to represent continuous variables, while frequencies and percentages were used to represent categorical variables. The Shapiro-Wilk test was used to determine the normality of individual parameter distributions. The Kaplan-Meier method was used to estimate the OS and RFS, and the logrank test was used to evaluate them. In both the univariable and multivariable analyses, the Cox proportional hazards model was utilized to evaluate the prognostic factors for OS in overall patients following adjuvant therapy classification. Following the elimination of correlated factors, independent variables with P≤0.05 in univariable analysis were included in the initial multivariate Cox model. The final multivariable model (model 1) was chosen using a backward stepwise selection procedure (P≤0.10 for entering and P≤0.05 for staying in the model). All statistical calculations were conducted using R version 4.0.4 (The R Foundation for Statistical Computing, Vanderbilt University, Nashville, TN, USA) using the survival, ggplot2, moonBook, and survminer packages. A P value less than 0.05 was considered to indicate statistical significance.

Ethical statement

The study was conducted in accordance with the Declaration of Helsinki (as revised in 2013). The study was approved by the Institutional Review Board of Asan Medical Center (No. 2021-1845) and individual consent for this retrospective analysis was waived.

Results

Patient characteristics

During the study period, 959 patients with surgically resected NSCLC were confirmed as pN1 disease. Among them, patients with sublobar resection (n=40), distant metastasis (n=26), neoadjuvant therapy (n=21), and concurrent malignancy (n=10) were excluded from the current study (Figure S1). Finally, 862 patients were confirmed as the final cohort. According to their resection status and the presence of ENE, there were 645 (74.8%), 130 (15.1%), and 87 (10.1%) patients with pure R0, R0-ENE, and R1/R2, respectively.

The baseline characteristics of the patients with pN1 NSCLC are summarized in *Table 1*. Compared to patients with pure R0, those with R0-ENE had a higher rate of smoking history (P=0.005), adenocarcinoma (P=0.03), and pathological multiple LN metastasis (pN1b) (P<0.001). Between patients with R0-ENE and R1/R2, there were significant differences in sex (P=0.02), smoking history

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| Table 1 Baseline characteristics of | of pN1 | patients | according to | resection | status and | ENE status |
|-------------------------------------|--------|----------|--------------|-----------|------------|------------|
| | | | | | | |

| Characteristics | Pure R0 (n=645) | R0-ENE (n=130) | R1/R2 (n=87) | P value (pure R0 <i>vs.</i> R0-ENE) | P value (R0-ENE vs. R1/R2) |
|-----------------------------|-----------------|----------------|--------------|--|-------------------------------|
| Age (years) | 62.1±9.5 | 62.0±8.6 | 63.9±8.3 | 0.90 | 0.10 |
| Sex, male | 465 (72.1) | 105 (80.8) | 81 (93.1) | 0.05 | 0.02 |
| Never smoker | 218 (33.8) | 27 (20.8) | 5 (5.7) | 0.005 | 0.004 |
| Comorbidity | | | | 0.05 | 0.006 |
| 0 | 318 (49.3) | 78 (60.0) | 33 (37.9) | | |
| 1 | 231 (35.8) | 33 (25.4) | 36 (41.4) | | |
| ≥2 | 96 (14.9) | 19 (14.6) | 18 (20.7) | | |
| Operative method | | | | 0.05 | 0.15 |
| Lobectomy | 498 (77.2) | 86 (66.2) | 45 (51.7) | | |
| Bilobectomy | 56 (8.7) | 15 (11.5) | 13 (14.9) | | |
| Pneumonectomy | 55 (8.5) | 19 (14.6) | 16 (18.4) | | |
| Sleeve lobectomy | 36 (5.6) | 10 (7.7) | 13 (14.9) | | |
| Preoperative PFT values | | | | | |
| FEV1 (%) | 86.9±15.6 | 84.6±15.7 | 78.4±15.2 | 0.12 | 0.004 |
| DLCO (%) | 86.4±18.2 | 87.7±19.0 | 80.1±18.3 | 0.44 | 0.004 |
| Cell type | | | | 0.03 | <0.001 |
| Adenocarcinoma | 256 (39.7) | 68 (52.3) | 65 (74.7) | | |
| Squamous cell carcinoma | 333 (51.6) | 53 (40.8) | 12 (13.8) | | |
| Others | 56 (8.7) | 9 (6.9) | 10 (11.5) | | |
| The number of harvested LNs | 29.7±11.3 | 32.0±12.4 | 30.6±13.6 | 0.04 | 0.43 |
| Pathological T factor | | | | 0.94 | 0.02 |
| pT1 | 147 (22.8) | 28 (21.5) | 13 (14.9) | | |
| pT2 | 321 (49.8) | 63 (48.5) | 31 (35.6) | | |
| pT3 | 130 (20.2) | 28 (21.5) | 25 (28.7) | | |
| pT4 | 47 (7.3) | 11 (8.5) | 18 (20.7) | | |
| Pathological TNM stage | | | | 0.60 | 0.006 |
| pll | 469 (72.7) | 91 (70.0) | 44 (50.6) | | |
| pIII | 176 (27.3) | 39 (30.0) | 43 (49.4) | | |
| Adjuvant treatment | | | | 0.36 | <0.001 |
| None | 174 (27.0) | 35 (26.9) | 13 (14.9) | | |
| CTx | 354 (54.9) | 63 (48.5) | 10 (11.5) | | |
| RTx | 56 (8.7) | 16 (12.3) | 28 (32.2) | | |
| CRTx | 61 (9.5) | 16 (12.3) | 36 (41.4) | | |

Table 1 (continued)

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pN1b

| Table I (continued) | | | | | | _ |
|------------------------|-----------------|----------------|--------------|--|--------------------------------------|---|
| Characteristics | Pure R0 (n=645) | R0-ENE (n=130) | R1/R2 (n=87) | P value (pure R0 <i>vs.</i> R0-ENE) | P value (R0-ENE <i>vs.</i> R1/R2) | _ |
| Subdivided node status | | | | <0.001 | 0.30 | - |
| pN1a | 576 (89.3) | 97 (74.6) | 71 (81.6) | | | |

Values are presented as numbers (%) or mean ± standard deviation unless otherwise indicated. ENE, extranodal extension; PFT, pulmonary function test; FEV1, forced expiratory volume in 1 s; DLCO, diffusing capacity to carbon monoxide; LNs, lymph nodes; TNM, tumor, node, and metastasis; CTx, chemotherapy; RTx, radiation therapy; CRTx, chemoradiation therapy.

33 (25.4)

16 (18.4)

69 (10.7)



Figure 1 Overall survival (A) of patients with pN1 NSCLC based on resection status, recurrence-free survival (B) locoregional recurrence-free survival (C) distant recurrence-free survival (D) stratified by ENE status of patients pN1 NSCLC who underwent complete surgical resection. R0, complete resection; ENE, extranodal extension; R1/R2, incomplete resection; pN1 NSCLC, pathologic N1 non-small-cell lung cancer.

(P=0.004), comorbidities (P=0.006), pulmonary function (P=0.004), histologic type (P<0.001), and pathological stage (P=0.006) (*Table 1*). The detailed characteristics of the R1/ R2 group was summarized in Table S1.

Survival outcomes

The mean follow-up duration was 49.8±38.0 months. During the study, 360 (41.8%) patients died. The median survival time (MST) and 5-year OS of the overall patients were 51 months [95% confidence interval (CI): 48–53 months] and 61.8%. According to the resection status, the 5-year OS rates in the pure R0, R0-ENE and R1/R2 groups were 65.4%, 51.6% and 49.9%, respectively. The R0-ENE group had significantly worse OS than the pure R0 group (P=0.008), but it was similar to the R1/R2 group (P=0.64) (*Figure 1A*). The 5-year RFS rate was significantly different between the pure R0 and R0-ENE

| Table 2 Multivariable Cox ana | lysis in patients | pN1 NSCLC after com | plete surgical resection |
|-------------------------------|-------------------|---------------------|--------------------------|
|-------------------------------|-------------------|---------------------|--------------------------|

| Madala | Overall sur | vival | Recurrence-free survival | | |
|-------------------------|------------------|---------|--------------------------|---------|--|
| Variables | HR (95% CI) | P value | HR (95% CI) | P value | |
| Age | 1.03 (1.02–1.05) | <0.001 | | | |
| Sex | | | | | |
| Female | 1 | ref. | | | |
| Male | 1.63 (1.19–2.23) | 0.002 | | | |
| Cell type | | | | | |
| Adenocarcinoma | 1 | ref. | 1 | ref. | |
| Squamous cell carcinoma | 1.41 (1.08–1.84) | 0.01 | 2.13 (1.66–2.75) | <0.001 | |
| Others | 2.25 (1.53–3.30) | <0.001 | 2.11 (1.39–3.21) | <0.001 | |
| Pathological TNM stage | | | | | |
| pll | 1 | ref. | 1 | ref. | |
| pIII | 1.74 (1.37–2.22) | <0.001 | 1.93 (1.53–2.44) | <0.001 | |
| Adjuvant treatment | | | | | |
| None | 1 | ref. | 1 | ref. | |
| CTx | 0.49 (0.36–0.65) | <0.001 | 0.69 (0.54–0.89) | 0.004 | |
| RTx | 0.68 (0.47–0.99) | 0.04 | 0.67 (0.44–1.02) | 0.06 | |
| CRTx | 0.73 (0.50–1.08) | 0.11 | 0.68 (0.46–1.00) | 0.05 | |
| Subdivided node status | | | | | |
| pN1a | 1 | ref. | 1 | ref. | |
| pN1b | 1.59 (1.17–2.17) | 0.003 | 1.66 (1.25–2.20) | <0.001 | |
| Extranodal extension | | | | | |
| Negative | 1 | ref. | 1 | ref. | |
| Positive | 1.34 (1.00–1.78) | 0.05 | 1.39 (1.05–1.85) | 0.02 | |

pN1, pathologic N1; NSCLC, non-small-cell lung cancer; HR, hazard ratio; Cl, confidence interval; ref., reference; TNM, tumor, node, and metastasis; CTx, chemotherapy; RTx, radiation therapy; CRTx, chemoradiation therapy.

groups (53.0% vs. 44.4%, P=0.04) (*Figure 1B*). The 5-year OS rate and RFS rate of the R0-ENE and R1 groups were compared (Figure S2). No statistically significant differences were observed between the two groups [OS (P=0.85), RFS (P=0.22)]. According to the recurrence pattern, there was no significant difference between the pure R0 and R0-ENE groups in locoregional recurrence (P=0.89) (*Figure 1C*) but a significant difference was observed for distant recurrence (65.0% vs. 55.2%, P=0.02) (*Figure 1D*). In the multivariable Cox analysis, the presence of ENE was a significant prognostic factor for both OS [hazard ratio (HR) =1.34; 95% CI: 1.00–1.78; P=0.05] and RFS (HR =1.39; 95% CI:

1.05–1.85; P=0.02) for patients with completely resected pN1 NSCLC (*Table 2*, Table S2).

To evaluate the effectiveness of adjuvant treatment, subgroup analysis was performed of patients with pN1 NSCLC after complete resection. The 5-year OS rates were 72.4%, 63.3%, 54.9%, and 46.4% in the CTx group, chemoradiation (CRTx) group, RTx group, and no treatment group, respectively (*Figure 2*). Patients who underwent CTx or CRTx had comparable prognoses, which were superior to those who underwent RTx or no adjuvant therapy (*Figure 2A*). The 5-year RFS rates were 45.3% in the no treatment group, 52.6% in the CTx group, 55.8% in



Figure 2 Overall survival (A) and recurrence-free survival (B) based on adjuvant treatment modality of patients pN1 NSCLC after R0 resection. CTx, chemotherapy; CRTx, chemoradiation therapy; RTx, radiation therapy; pN1 NSCLC, pathologic N1 non-small-cell lung cancer; R0, complete resection.

the CRTx group, and 58.2% in the RTx group (*Figure 2B*). The 5-year OS rate and RFS rate was shown in R0-ENE group based on adjuvant treatment modality (Figure S3).

Patients with completely resected pN1 NSCLC were divided according to the performance of adjuvant CTx. In patients who underwent adjuvant CTx, a significant difference between the pure R0 group and R0-ENE group was not found for both OS (P=0.38) and RFS (P=0.28) (Figure 3A, 3B). Meanwhile, among patients without adjuvant CTx, the presence of ENE was significantly associated with poor OS (P=0.004) (Figure 3C), and the RFS had a marginally significant difference (P=0.06) (Figure 3D). According to the univariate Cox analysis, the presence of ENE was not a prognostic factor in patients who underwent adjuvant CTx (HR =1.20; 95% CI: 0.80-1.81; P=0.38) (Table S3). However, it was an independent risk factor for patients who did not undergo adjuvant CTx after adjustment for several cofactors through multivariable Cox analysis (HR =1.58; 95% CI: 1.06-2.36; P=0.03) (Table 3).

Discussion

In this study, we demonstrated that the presence of ENE was significantly associated with inferior outcomes for both OS and RFS, although complete resection was performed in patients with pN1 NSCLC. Significant differences between pure R0 and R0-ENE were not observed for locoregional recurrence but were for distant metastasis. When patients were divided according to the performance of adjuvant

CTx, the prognostic impact of ENE was significant in patients who skipped adjuvant CTx even after adjustment with several cofactors through multivariate Cox analysis. However, it was not significant in patients who underwent adjuvant CTx.

Several studies have reported that the presence of ENE is an important prognostic factor in patients with surgically resected NSCLC (2,11,12). However, those studies performed their analysis without stratification of patients according to resection status. Thus, the clinical impact of ENE in patients whose resection margin is clear remained unclear. In the present study, the importance of ENE was investigated by dividing the patients into three groups according to their resection status: pure R0, R0-ENE, and R1/R2. The findings in this study are in line with previous studies that reported the prognostic significance of ENE and, at the same time, verify for the first time the recently proposed definition of R1 resection status by the IASLC (4,13).

In pN1 NSCLC with a clear resection margin, the significant difference of RFS associated with the presence of ENE was attributed to distant recurrence rather than locoregional recurrence (*Figure 1C*, *1D*). This means the R0-ENE group might have a relatively more advanced status than the pure R0 group even in the same pathological stage. In fact, the proportion of patients with multiple N1 metastases (pN1b) was significantly higher in the R0-ENE group than the pure R0 group, which is reported as a negative prognostic factor compared to a single N1 metastasis (pN1a) (14,15). However, the presence of ENE



Figure 3 Overall survival (A) and recurrence-free survival (B) based on the ENE status of patients pN1 NSCLC who underwent adjuvant chemotherapy after R0 resection. Overall survival (C) and recurrence-free survival (D) based on the ENE status of patients pN1 NSCLC who did not receive adjuvant chemotherapy after R0 resection. R0, complete resection; ENE, extranodal extension; pN1 NSCLC, pathologic N1 non-small-cell lung cancer.

was still an independent prognostic factor after adjustment by cofactors including subdivided node status (pN1b vs. pN1a) in patients with completely resected pN1 NSCLC (HR =1.34; 95% CI: 1.00–1.78; P=0.05) (*Table 2*). Given the different prognosis according to the type of adjuvant therapy, we divided the patients into two groups by whether adjuvant CTx was performed. As a result, a significant prognostic impact of ENE was shown in patients without adjuvant CTx (P=0.004) (*Figure 3C*), but not in those who underwent adjuvant CTx (P=0.38) (*Figure 3A*), which is closely related to distant recurrence.

According to the NCCN guideline, adjuvant CTx is recommended as category 1 for patients with stage IIB (T1abc-T2ab, N1) after R0 resection, and resection + CTx or CRTx is recommended for R1 patients. Similarly, for stage IIIA (T3N1) patients, CTx is recommended after R0 resection, and CRTx is recommended for R1/R2 patients (7). However, for several reasons, these patients could not receive adjuvant therapy in the real world. Previous studies have reported that only 46% to 68% of patients with pN1 NSCLC undergo adjuvant CTx or CRTx, consistent with guideline recommendations (16,17). In our study, 36.3% (281/775) of patients with completely resected pN1 NSCLC did not receive adjuvant CTx, up to 39.2% (51/130) in the R0-ENE group. Reasons for skipping adjuvant CTx among eligible patient include older age (23.5%, n=12/51), poor performance status (23.5%, n=12/51), underlying comorbidities (21.6%, n=11/51), and patient refusal (19.6%, n=10/51). However, considering that the presence of ENE acts as a negative prognostic factor in pN1 NSCLC without adjuvant CTx, we think adjuvant CTx should be recommended more aggressively in R0-ENE than the pure R0 group.

This study has several limitations. First, this study is

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Table 3 Multivariable Cox analysis for overall survival after complete surgical resection of patients pN1 NSCLC with and without adjuvant chemotherapy

| | Overall survival | | | | | | |
|-------------------------|-------------------|-----------|---------------------------|---------|--|--|--|
| Variables | Adjuvant chemothe | erapy (+) | Adjuvant chemotherapy (-) | | | | |
| | HR (95% CI) | P value | HR (95% CI) | P value | | | |
| Age | 1.03 (1.01–1.06) | <0.001 | 1.03 (1.01–1.06) | 0.002 | | | |
| Sex, male | 1.62 (1.06–2.48) | 0.03 | | | | | |
| Histology | | | | | | | |
| Adenocarcinoma | 1 | ref. | | | | | |
| Squamous cell carcinoma | 1.59 (1.11–2.27) | 0.01 | | | | | |
| Others | 2.64 (1.52–4.56) | 0.001 | | | | | |
| Pathological TNM stage | | | | | | | |
| pll | 1 | ref. | 1 | ref. | | | |
| pIII | 1.66 (1.19–2.33) | 0.003 | 1.79 (1.27–2.52) | <0.001 | | | |
| Subdivided node status | | | | | | | |
| pN1a | | | 1 | ref. | | | |
| pN1b | | | 1.99 (1.25–3.16) | 0.004 | | | |
| Extranodal extension | | | | | | | |
| Negative | | | 1 | ref. | | | |
| Positive | | | 1.58 (1.06–2.36) | 0.03 | | | |

pN1, pathologic N1; NSCLC, non-small-cell lung cancer; HR, hazard ratio; CI, confidence interval; ref., reference; TNM, tumor, node, and metastasis.

a retrospective study conducted in a single institution. Therefore, external validation through multi-center and large sample-size studies is needed in the future. Second, the association with adjuvant treatment cannot be evaluated in more detail due to the lack of data on the specific protocols applied for CTx, RTx and CRTx. Third, there is a lack of data for cancer-related mortality. Fourth, although the detailed status of ENE could affect prognosis, only the presence or absence of ENE was used as prognostic factor (18,19). It is because when referring to the pathology reports of the patients who participated in this study, detailed information on ENE status could not be obtained. However, there are still only a few studies on which methods of describing the extent of ENE are most appropriate for NSCLC (12,20). Therefore, follow-up studies are needed regarding the detailed status of ENE. Fifth, there are significantly more pN1b patients in the R0-ENE group. Although the status of LN metastasis had no significant correlation with OS in the subgroup analysis,

the relatively small sample size for pN1b ENE (+) patients (N=33) may have reduced statistical power (Figure S4). Therefore, further research is needed to overcome these limitations.

Conclusions

For patients with pN1 NSCLC, the presence of ENE was a negative prognostic factor for both OS and RFS even after complete resection. When ENE is confirmed postoperatively in a patient with R0 resection, it should be evaluated as an R1 resection in accordance with the recommendation in IASLC. A negative prognostic effect of ENE was found only in patients who skipped adjuvant CTx, which might be due to an increased rate of distant metastasis. Consequently, even for patients with borderline medical conditions, adjuvant CTx might be considered more actively in completely resected pN1 NSCLC with the presence of ENE.

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Footnote

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