

Body mass index and mortality following primary graft dysfunction: A Lung Transplant Outcomes Group study



Rachel M. Bennett, MD,^a John P. Reilly, MD MS,^a Joshua M. Diamond, MD MS,^a Edward Cantu, MD MS,^b Michael Shashaty, MD MS,^a Luke Benvenuto, MD,^c Jonathan P. Singer, MD MS,^d Scott M. Palmer, MD MS,^e Jason D. Christie, MD MS,^a and Michaela R. Anderson, MD MS^{a,*}

^aDepartment of Medicine, Division of Pulmonary, Allergy, and Critical Care, University of Pennsylvania, Philadelphia, Pennsylvania

^bDepartment of Surgery, University of Pennsylvania, Philadelphia, Pennsylvania

^cDepartment of Medicine, Division of Pulmonary, Allergy, and Critical Care, Columbia University, New York, New York

^dDepartment of Medicine, Division of Pulmonary and Critical Care Medicine, University of California, San Francisco, San Francisco, California

^eDepartment of Medicine, Division of Pulmonary Medicine, Duke University, Durham, North Carolina

KEYWORDS:

body mass index;
obesity;
underweight;
primary graft
dysfunction;
lung transplant survival

Higher body mass index (BMI) increases the risk of developing primary graft dysfunction (PGD) after lung transplantation; whether BMI is associated with decreased survival after PGD is unknown. We utilized the Lung Transplant Outcomes Group cohort of 1,538 subjects from 2011-2018. We evaluated the association between preoperative BMI and graft survival among subjects with severe PGD using Cox proportional hazards models with linear splines. Models were stratified by center and adjusted for sex, age, Lung Allocation Score, and diagnosis. PGD developed in 383 subjects. Among subjects with PGD, low BMI was associated with increased mortality while high BMI was not associated with differential mortality, compared to normal BMI. Results were similar for 90-day and 1-year survival. While high BMI increases the risk of developing PGD, it does not appear to be associated with survival after PGD. Future work should focus on PGD prevention rather than PGD management in patients with obesity.

JHLT Open 2024;5:100107

© 2024 The Authors. Published by Elsevier Inc. on behalf of International Society for Heart and Lung Transplantation. This is an open access article under the CC BY-NC-ND license (<http://creativecommons.org/licenses/by-nc-nd/4.0/>).

Primary graft dysfunction (PGD) is acute lung injury occurring within 72 hours of lung transplantation affecting

15% to 30% of recipients.¹ Death due to PGD partly accounts for excess post-transplant mortality among obese patients.¹⁻³ Yet, it is unknown whether this is due to increased PGD incidence in patients with obesity¹ or because body mass index (BMI) influences survival after PGD.

BMI could influence survival after PGD in multiple ways. Excess adipose tissue may have protective immunomodulatory effects,⁴ alter intensive care unit management,⁵ or lead to

*Corresponding author: Michaela R. Anderson, MD MS, University of Pennsylvania Perelman School of Medicine, 3400 Spruce Street, Gates 9W 09062, Philadelphia, PA 19104.

E-mail address: Michaela.Anderson@pennmedicine.upenn.edu.

atelectasis with overestimation of lung injury. Alternatively, adipose tissue may produce proinflammatory cytokines that worsen lung injury.^{6,7} Low BMI may also alter survival after PGD. Malnutrition may result in the inability to meet the metabolic demands of critical illness, alter immune function, or reflect greater disease severity.⁸ We sought to evaluate the association between BMI and survival among subjects with PGD after lung transplantation. We hypothesized that both high and low BMI would be associated with an increased risk of death after PGD.

We performed a retrospective analysis of subjects enrolled in the multicenter Lung Transplant Outcomes Group cohort study between 2011 and 2018. We included subjects with severe PGD defined as the ratio of the partial pressure of oxygen in arterial blood (PaO₂) to the fraction of inspiratory oxygen concentration (FiO₂) (PaO₂/FiO₂) < 200 or extracorporeal membrane oxygen (ECMO) and bilateral infiltrates at 48 or 72 hours after transplantation.¹

Our primary exposure variable was BMI at transplantation. Our primary outcome was graft survival defined as the

time from transplantation to death or retransplantation. Subjects who were still alive were censored on October 9, 2021. Secondary outcomes were 90-day and 1-year survival. We used Cox proportional hazards models stratified by center, with linear splines to allow for nonlinear associations between BMI and graft survival. Knots were placed at values of 18.5, 24, 28, and 32 kg/m² to maintain consistency with the World Health Organization's definition of underweight, prior literature identifying potential inflection points at 24 and 28 kg/m², and reports of use of 32 kg/m² as threshold for candidate selection.^{3,9} To maximize interpretability of spline models and demonstrate how the association differs over a range of BMI, we reported the hazards of death or retransplantation for an individual with a given BMI relative to the same subject if their BMI was 24 kg/m². We used the "pspline" function (R for statistical computing, v4.1) to display the relationship between BMI and survival. We confirmed the proportional hazards assumption via regression of Schoenfeld residuals over time. We used directed acyclic graphs to identify variables that

Table 1 Recipient, Donor, and Operative Characteristics of Subjects Who Developed PGD by Body Mass Index Group

	BMI < 18.5 kg/m ²	BMI 18.5-23.9 kg/m ²	BMI 24-27.9 kg/m ²	BMI 28-32 kg/m ²	BMI > 32 kg/m ²
Recipient characteristics	N = 25	N = 103	N = 109	N = 113	N = 32
Age, years	32 (23-51)	51 (37-62)	61 (52-66)	58 (53-64)	55 (50-61)
Sex, female	18 (72%)	53 (51%)	44 (40%)	41 (36%)	15 (47%)
LAS at transplantation	85 (52-90)	47 (37-69)	43 (37-53)	47 (38-64)	49 (41-85)
Race					
White	22 (92%)	86 (85%)	82 (80%)	88 (81%)	24 (77%)
Black	0 (0%)	9 (9%)	9 (9%)	17 (16%)	7 (23%)
Other	2 (8%)	6 (6%)	12 (12%)	4 (4%)	0 (0%)
Hispanic ethnicity	1 (4%)	5 (5%)	6 (6%)	13 (12%)	1 (3%)
Prior smoking	4 (16%)	41 (44%)	56 (54%)	71 (65%)	20 (67%)
Diagnosis group					
Obstructive lung disease	1 (4%)	19 (18%)	17 (16%)	12 (11%)	5 (16%)
Pulmonary vascular disease	1 (4%)	16 (16%)	10 (9%)	9 (8%)	0 (0%)
Cystic fibrosis	10 (42%)	25 (24%)	4 (4%)	0 (0%)	0 (0%)
Interstitial lung disease	13 (52%)	43 (42%)	78 (72%)	92 (81%)	27 (84%)
Preoperative steroid	18 (75%)	57 (56%)	53 (51%)	58 (55%)	21 (66%)
Preoperative mechanical ventilation	8 (32%)	14 (14%)	6 (6%)	10 (9%)	3 (10%)
Operative characteristics					
Single lung transplant	2 (8%)	17 (17%)	18 (17%)	22 (19%)	9 (28%)
Intraoperative PRBC	23 (96%)	84 (83%)	84 (80%)	85 (80%)	26 (81%)
Total ischemic time, hours	6 (5-8)	6 (5-8)	6 (5-8)	6 (5-7)	6 (5-6)
Intraoperative bypass or ECMO	21 (84%)	76 (75%)	74 (70%)	86 (79%)	23 (74%)
Donor characteristics					
Donor age, years	39 (23-51)	35 (25-50)	38 (28-51)	35 (27-50)	34 (24-49)
Donor sex, female	12 (48%)	35 (35%)	51 (47%)	45 (40%)	12 (38%)
Donor smoking history	13 (57%)	47 (48%)	48 (53%)	43 (45%)	14 (47%)

Abbreviations: BMI, body mass index; ECMO, extracorporeal membrane oxygen; LAS, Lung Allocation Score; PGD, primary graft dysfunction; pRBC, packed red blood cell.

Continuous variables are reported as median (interquartile range).

Missing values: 14 (4%) for race and ethnicity; 19 (5%) for prior smoking; 16 (4%) for recipient preoperative steroid use; 10 (3%) for preoperative mechanical ventilation; 14 (4%) for intraoperative transfusion; 19 (5%) for intraoperative ECMO; 3 (1%) for donor age and sex; 47 (12%) for donor smoking history.

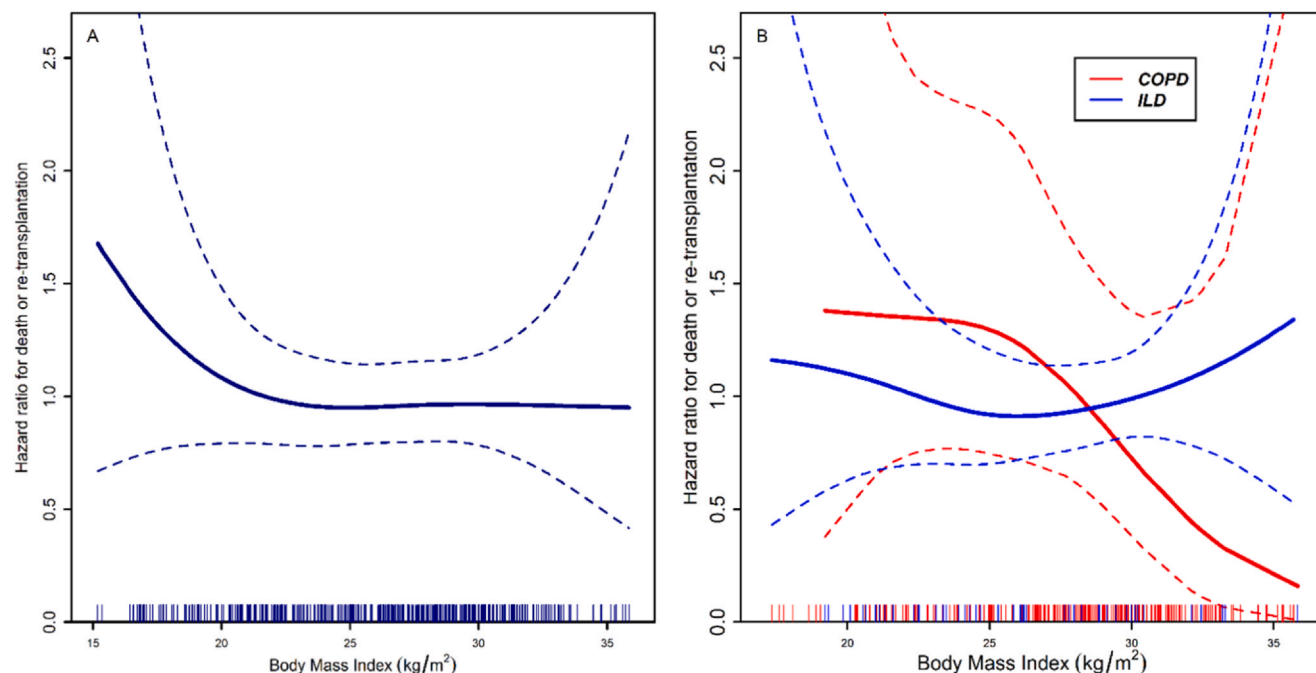


Figure 1 Hazard ratio for death or retransplantation (A) in all subjects with PGD, and (B) by diagnosis. Models are configured in a penalized spline function that smooths the line segments to allow for the curved transitions over ranges of BMI values; estimates may differ from primary models with linear splines. Dashed lines represent 95% confidence intervals. Vertical lines along the x axis each represent an individual subject. BMI, body mass index; PGD, primary graft dysfunction.

adequately adjust for confounding: age, sex, Lung Allocation Score (LAS), and diagnosis (Fig. S1). We used likelihood ratios to evaluate effect modification by LAS diagnosis category, age, intraoperative ECMO, and preoperative mechanical ventilation. Analyses were performed in STATA (STATA v17.0, StataCorp).

Of 1,528 subjects, 383 (25%) developed PGD. One subject was excluded due to missing BMI (Fig. S2). Eighteen subjects with missing vital status were discharged from their index hospitalization alive and were censored at hospital discharge. Subject characteristics are reported in Table 1. Subjects with lower BMI were younger, female, had higher LAS, and had cystic fibrosis as their transplant indication.

Thirty-six subjects (9%) died within 90 days, and 132 (35%) died over median (interquartile range) follow-up of 2.9 (1.3-4.0) years. Compared to a subject with a BMI of 24 kg/m², a subject with a BMI of 16 kg/m² was at 6.2 times increased risk of death or retransplantation (95% confidence interval (CI) 1.5-25.9, Fig. 1A, Table 2) while a subject with a BMI of 34 kg/m² was at similar risk of death or retransplantation (hazard ratio (HR) 1.1, 95% CI 0.5-2.5). Low BMI was associated with increased risk of death within 90 days of transplant in unadjusted but not adjusted models, and increased risk of death within 1 year in both unadjusted and adjusted models (Table 2). High BMI was not associated with 90-day or 1-year risk of death or retransplantation.

The association between BMI and survival was modified by LAS diagnosis group (p -for interaction = 0.0008). Higher BMI may be associated with decreased survival among those with interstitial lung disease but increased survival among those with chronic obstructive pulmonary disease, though small subgroups limit interpretation and preclude further

investigation of other diagnoses (Fig. 1B, Supplemental Table). The association between BMI and survival was not modified by age (p -for interaction 0.25), preoperative mechanical ventilation (p = 0.38), preoperative ECMO (p = 0.43), or intraoperative ECMO (p = 0.76).

Among subjects with PGD, low BMI was associated with decreased survival, while high BMI was not associated with significantly different survival. Diagnosis may modify the association between BMI and survival though wide confidence intervals limit interpretation.

Our findings are consistent with prior work demonstrating that subjects with a low BMI are at increased risk of death from critical illness.⁸ Low BMI subjects appeared sicker though findings were similar after adjustment for LAS. Underweight, frailty, and malnutrition may increase the risk of death due to failure to meet the catabolic demands of prolonged critical illness, impaired immunity, or increased risk of death due to acute insults after transplant.

We previously demonstrated that patients with obesity have decreased survival after lung transplantation partly attributable to death from PGD.³ Results reported herein suggest that this excess obesity-related mortality is likely due to the increased risk of developing PGD rather than differential survival from PGD.^{1,3} A similar association has previously been demonstrated with donor smoking.¹⁰ Further research should therefore focus on PGD prevention in patients with obesity, as well as treatment of obesity in the preoperative period. Similar survival across normal and overweight/obese patients could also reflect the limitations of BMI as a measure of body composition, as we previously demonstrated that >50% of normal-weight lung transplant candidates were obese by dual-energy X-ray absorptiometry.²

Table 2 Association Between BMI and Hazards of Death or Retransplantation, Relative to Those With a BMI of 24 kg/m²

BMI (kg/m ²)	Risk of death or retransplantation within 90 days		Risk of death or retransplantation within 1 year		Risk of death or retransplantation overall	
	Unadjusted HR (95% CI)	Adjusted HR (95% CI)	Unadjusted HR (95% CI)	Adjusted HR (95% CI)	Unadjusted HR (95% CI)	Adjusted HR (95% CI)
16	7.0 (1.1-45.1)	6.5 (0.6-66.8)	6.9 (1.7-28.2)	12.4 (1.9-79.0)	4.8 (1.6-14.1)	6.2 (1.5-25.9)
18.5	0.9 (0.2-4.2)	0.8 (0.1-5.1)	0.7 (0.2-2.4)	0.8 (0.2-3.0)	0.8 (0.3-1.9)	0.8 (0.3-2.0)
22	1.0 (0.6-1.7)	0.9 (0.5-1.8)	0.9 (0.6-1.4)	0.9 (0.5-1.5)	0.9 (0.7-1.3)	0.9 (0.6-1.3)
24	Ref	Ref	Ref	Ref	Ref	Ref
26	0.7 (0.4-1.5)	0.7 (0.3-1.6)	1.0 (0.6-1.6)	1.1 (0.6-1.9)	1.1 (0.8-1.5)	1.1 (0.8-1.5)
28	0.5 (0.1-2.1)	0.5 (0.1-2.6)	1.0 (0.4-2.6)	1.2 (0.4-3.6)	1.2 (0.6-2.3)	1.2 (0.6-2.4)
30	0.5 (0.2-1.5)	0.6 (0.2-2.0)	0.8 (0.4-1.8)	1.0 (0.4-2.6)	1.0 (0.6-1.7)	1.0 (0.5-1.8)
32	0.5 (0.2-1.5)	0.6 (0.1-2.7)	0.7 (0.3-1.8)	0.9 (0.3-2.6)	0.8 (0.4-1.6)	0.8 (0.4-1.7)
34	0.7 (0.1-3.7)	0.8 (0.1-4.7)	0.9 (0.3-2.9)	1.1 (0.3-3.8)	1.1 (0.5-2.5)	1.1 (0.5-2.5)

Abbreviations: BMI, body mass index; CI, confidence interval; HR, hazard ratio.

Estimates are from linear spline models. Adjusted models include covariates for age, sex, diagnosis, Lung Allocation Score at transplantation, and stratified by listing center. Unadjusted models are stratified by the listing center.

Our study has limitations. Our modest sample size may be underpowered to detect small differences in mortality. Analysis in diagnosis-specific subgroups was limited by small subgroup sizes with few deaths. We are unable to assess whether BMI influenced postoperative management. Cohort ascertainment by PGD status could induce collider bias if other PGD risk factors are associated with survival.

Low BMI was associated with increased risk of death and high BMI was associated with similar risk of death among subjects with PGD after lung transplantation. This may influence patient counseling in the preoperative and immediate postoperative periods. Further work should consider the use of advanced body composition measurements, evaluation within diagnosis groups, and interventions focused on PGD prevention in patients with obesity.

Disclaimer

The content is the responsibility of the authors alone and does not necessarily reflect the view or policies of the Department of Health and Human Services, nor does mention of trade names, commercial products or organizations imply endorsement by the U.S. Government.

CRediT authorship contribution statement

Conception and design: R.M.B, J.D.C, M.R.A. Acquisition, analysis, and interpretation of data: all authors. First draft: R.M.B. Drafting or revising the manuscript for important intellectual content: all authors. Statistical analysis: R.M.B, M.R.A. Final approval of the version to be published: all authors.

Disclosure statement

Dr Diamond reports consulting fees from CSL Behring. Dr Palmer receives research grant funding from Astra-Zeneca, Bristol-Myers Squibb, CareDx, and Boehringer-Ingelheim Pharmaceuticals, royalties from UpToDate, and payment for educational events from Altavant Sciences and Bristol-Myers Squibb. Dr Singer reports consulting fees from Altavant Pharmaceuticals and Mallinckrodt Pharmaceuticals. Dr Christie receives grant funding from the NHLBI and the Cystic Fibrosis Foundation, and serves on the DSMB for the NIH PETALnet study and NHLBI. Dr Benvenuto receives grant funding from Ambion Pharmaceuticals and the Boomer Esiason Foundation, support for attending meetings for the Cystic Fibrosis Foundation, and serves in a leadership role on the Cystic Fibrosis Foundation Lung Transplant Consortium Steering Committee. Dr Cantu reports grant funding from the NHLBI, XVIVO Inc, CareDx, Pulmocide, consulting fees from CSL Behring and United Therapeutics, meeting support from Pulmocide, and leadership roles in the International Society of Heart and Lung Transplantation, UNOS Lung Committee, and the FDA. Dr Reilly reports grant funding from the NHLBI and the Department of Defense. Dr Shashaty reports grant funding from the NIH, meeting support from the Society of Critical Care Medicine and the International Society of Heart and Lung Transplantation. Drs Anderson and Bennett report grant support from the NHLBI.

Supported by National Institute of Health/NHLBI Grants: NIH NHLBI K23 HL150280, R01 HL087115, R01 HL114626, R01 HL155821, K24 HL115354, U01 HL145435, T32 HL007891, and NIH NIDDK R01 DK111638.

Appendix A. Supporting information

Supplementary data associated with this article can be found in the online version at [doi:10.1016/j.jhlto.2024.100107](https://doi.org/10.1016/j.jhlto.2024.100107).

References

1. Diamond JM, Lee JC, Kawut SM, et al. Clinical risk factors for primary graft dysfunction after lung transplantation. *Am J Respir Crit Care Med* 2013;187:527-34. <https://doi.org/10.1164/rccm.201210-1865OC>. [published Online First: 20130110].
2. Singer JP, Peterson ER, Snyder ME, et al. Body composition and mortality after adult lung transplantation in the United States. *Am J Respir Crit Care Med* 2014;190:1012-21. <https://doi.org/10.1164/rccm.201405-0973OC>.
3. Anderson MR, Cantu E, Shashaty M, et al. Body mass index and cause-specific mortality after lung transplantation in the United States. *Ann Am Thorac Soc* 2023;20:825-33. <https://doi.org/10.1513/AnnalsATS.202207-613OC>.
4. Marques MB, Langouche L. Endocrine, metabolic, and morphologic alterations of adipose tissue during critical illness. *Crit Care Med* 2013;41:317-25. <https://doi.org/10.1097/CCM.0b013e318265f21c>.
5. Anderson MR, Shashaty MGS. Impact of obesity in critical illness. *Chest* 2021;160:2135-45. <https://doi.org/10.1016/j.chest.2021.08.001>. [published Online First: 20210805].
6. Diamond JM, Arcasoy S, McDonnough JA, et al. Adipose gene expression profile changes with lung allograft reperfusion. *Am J Transplant* 2017;17:239-45. <https://doi.org/10.1111/ajt.13964>. [published Online First: 20160818].
7. Anderson MR, Edwin EA, Diamond JM, et al. Aryl-hydrocarbon receptor repressor gene in primary graft dysfunction after lung transplantation. *Am J Respir Cell Mol Biol* 2019;61:268-71. <https://doi.org/10.1165/rcmb.2018-0404LE>.
8. Abhyankar S, Leishear K, Callaghan FM, et al. Lower short- and long-term mortality associated with overweight and obesity in a large cohort study of adult intensive care unit patients. *Crit Care* 2012;16(6):R235. <https://doi.org/10.1186/cc11903>. [published Online First: 20121218].
9. Fernandez R, Safaeinili N, Kurihara C, et al. Association of body mass index with lung transplantation survival in the United States following implementation of the lung allocation score. *J Thorac Cardiovasc Surg* 2018;155:1871-1879.e3. <https://doi.org/10.1016/j.jtcvs.2017.11.031>. [published Online First: 20171120].
10. Diamond JM, Cantu E, Calfee CS, et al. The impact of donor smoking on primary graft dysfunction and mortality after lung transplantation. *Am J Respir Crit Care Med* 2024;209:91-100. <https://doi.org/10.1164/rccm.202303-0358OC>.