



# Crizotinib Versus Conventional Chemotherapy in First-Line Treatment for ALK-Positive Non-Small Cell Lung Cancer: A Systematic Review and Meta-Analysis

Barbara D. Cruz · Mariana M. Barbosa · Lucas L. Torres ·

Pamela S. Azevedo · Vânia E. A. Silva · Brian Godman ·

Juliana Alvares-Teodoro

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## ABSTRACT

**Introduction:** Lung cancer is the most frequently diagnosed type of cancer and the main cause of death from malignant neoplasms worldwide. One of the most recent discoveries in the context of non-small cell lung cancer (NSCLC) was the mutation of the anaplastic lymphoma kinase receptor (ALK). This genetic alteration is found in approximately 2–5% of NSCLC patients, and crizotinib was the first

targeted therapy discovered for its first-line treatment.

**Objective:** To conduct a systematic review and meta-analysis to estimate the magnitude of the overall survival (OS) and progression-free survival (PFS) from using crizotinib as treatment compared to traditional chemotherapy to guide future decision making.

**Methods:** PRISMA and Cochrane recommendations were followed using the findings based on studies published in the main international electronic databases. Selection criteria included the following: randomized clinical trials (RCT) or cohort studies that had assessed the efficacy and effectiveness of crizotinib as monotherapy in patients with NSCLC with ALK fusions.

**Results:** From 2504 publications identified in the literature, only eight publications referring to seven studies met the selection criteria, with high heterogeneity identified between the studies. Overall, there was a significant gain in PFS (HR 0.38; 95% CI 0.30–0.49;  $p < 0.00001$ ); however, there was no significant gain in OS (HR 0.68; 95% CI 0.43–1.08;  $p = 0.10$ ).

**Conclusion:** The study highlighted and confirmed that treatment with crizotinib led to clinical improvement in PFS among patients with advanced NSCLC with ALK fusion, as previously reported. However, there was no increase in overall survival in patients with NSCLC with genetic alterations of ALK. This must be considered when reviewing and funding treatments for NSCLC patients with this

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B. D. Cruz (✉) · L. L. Torres · P. S. Azevedo ·  
J. Alvares-Teodoro  
Programa de Pós-Graduação Em Medicamentos E  
Assistência Farmacêutica, Faculdade de Farmácia,  
Universidade Federal de Minas Gerais, Belo  
Horizonte, Brazil  
e-mail: bdelanocruz@yahoo.com.br

M. M. Barbosa  
Câmara de Regulação Do Mercado de Medicamentos  
(CMED), Agência Nacional de Vigilância Sanitária  
(ANVISA), Governo Federal, Brasília, Brazil

V. E. A. Silva  
Pontifícia Universidade Católica de Minas Gerais,  
PUC Minas, Belo Horizonte, Brazil

B. Godman  
Strathclyde Institute of Pharmacy and Biomedical  
Sciences, University of Strathclyde, Glasgow G4 0RE,  
UK

B. Godman  
School of Pharmacy, Sefako Makgatho Health  
Sciences University, Garankuwa, South Africa

mutation. **Keywords:** Anaplastic lymphoma kinase; Crizotinib; Effectiveness; Efficacy; Lung cancer; Systematic review

### Key Summary Points

#### Why carry out this study?

Lung cancer is the main cause of death from malignant cancers worldwide; consequently, there is an appreciable need to improve the care of these patients.

A mutation of the anaplastic lymphoma kinase (ALK) receptor is present in a small minority of patients with non-small cell lung cancer (NSCLC), which offers potential for future drug therapies.

#### What did the study ask?/What was the hypothesis of the study?

Crizotinib was developed targeting the ALK receptor, and there is a need to assess its effectiveness in managing pertinent NSCLC patients through a systematic review.

#### What was learned from the study?

Overall in this systematic review, whilst there was a gain in progression-free survival versus standard of care with crizotinib, this did not translate into an overall survival benefit, which must be considered when reviewing treatment and funding options in these patients.

## INTRODUCTION

The World Health Organization (WHO) estimates that one in five men and one in six women worldwide will develop cancer during their lifetime, and that one in eight men and one in 11 women will die from this disease. In this way, cancer has become the main global public health problem, and is among the top four causes of premature death in most countries [1].

The situation is more critical among low- and middle-income countries (LMICs), representing the majority of cancer deaths [2, 3], with LMICs accounting for approximately 65% or more of all cancer deaths and rising [3–5]. Nevertheless, cancer is also the leading cause of death among high-income countries [6], with cancer accounting for at least 20% of deaths globally from noncommunicable diseases (NCDs) [4]. New cancer cases are expected to reach 29.5 million by 2040, up from 18.1 million currently [7, 8]. Alongside this, the global outlay on oncology medicines is expected to increase to \$237 billion by 2024 [9], assisted by growing prevalence rates, new and often costly cancer medicines with limited health gain in a number of cases, and the appreciable number of companies pursuing the development of new oncology medicines [8–11]. Spending on specialty medicines, including those for cancer, is driving up the cost of medicines, with these therapies envisaged to account for at least 50% of total medicine costs by 2023, which will place considerable pressure on countries seeking to continue providing universal health care [12]. Among the estimated 18.1 million new cancer cases worldwide in 2018 and 9.6 million cancer deaths, lung cancer was the most frequently diagnosed cancer type (11.6% of the total cases) and the main cause of death (18.4% of total cancer deaths) when considering both genders [3, 6]. Consequently, there is a constant need to continually re-evaluate the role and value of treatments used in the management of patients with lung cancer.

However, lung cancer is no longer considered a single disease. The histological and genetic variations are now considered critical to

## DIGITAL FEATURES

This article is published with digital features, including a summary slide, to facilitate understanding of the article. To view digital features for this article, go to <https://doi.org/10.6084/m9.figshare.14605395>.

defining future treatment. Lung cancer is currently divided into two main types: non-small cell lung cancer (NSCLC), representing about 80% of the new cases, and small cell lung cancer (SCLC) for most of the remainder. WHO further subdivides NSCLC into three main subtypes: adenocarcinoma, squamous cell carcinoma, and large cell carcinoma [13, 14]. Within this scenario, new target therapies have emerged for treating advanced NSCLC, leading to increased expectations among patients and oncologists [15].

Within this context, NSCLC is the solid tumor with the widest variety of potential therapeutic targets, representing both an effective opportunity and a challenge in identifying predictive biomarkers for clinical use. Therefore, we discuss some of the biomarkers that are essential for testing in all patients with this type of solid tumor, to be performed in specimens collected by biopsy in patients with advanced NSCLC. Table 1 shows the main predictive changes to be identified and their test methods.

One of the more recent discoveries of genetic alterations in the field of NSCLC was the ALK mutation, in 2007. Since then, many variants of the rearrangements of the *ALK* gene have been identified and researched, mapping those that have clinical and pathological importance and are associated with malignant tumor growths in patients with NSCLC. The first oncology medicine to treat NSCLC based on the echinoderm microtubule-associated protein-like 4-anaplastic lymphoma kinase (EML4-ALK) rearrangement was crizotinib, a tyrosine kinase inhibitor

capable of blocking the site of action and inhibiting the transmission of tumor replication intracellular signals [16]. Crizotinib was first approved in 2011 by the Food and Drug Administration (FDA) in the USA.

Since then, crizotinib has already been studied in several phase I, II and III trials for first- and second-line treatment, in addition to systematic reviews with or without meta-analysis. This is important, since whilst the occurrence of the ALK mutation is low, currently found in only 2–5% of diagnosed NSCLC, the high number of lung cancer patients worldwide makes EML4-ALK an important genetic biomarker [16].

In order to enhance the practice of evidence-based medicine, especially with the envisaged continued growth in expenditure on medicines for patients with cancer, a systematic review with meta-analysis was conducted to synthesize the scientific evidence regarding the use of crizotinib for the first-line treatment of advanced or metastatic NSCLC for patients with a fusion type rearrangement. We are aware that there have been systematic reviews comparing different ALK inhibitors for NSCLC as well as specific subtypes and safety issues [17–21]. However, we wanted to specifically concentrate on crizotinib due to the typically higher price of some newer agents [22, 23]. In addition, there were concerns initially with the cost-effectiveness of crizotinib alleviated by discounts and rebates [24, 25]. Consequently, we wanted to provide baseline data for any subsequent evaluation of therapies for NSCLC patients with

**Table 1** NSCLC biomarkers testing methodology

Biomarker	Alteration	Methodology
<i>EGFR</i>	Mutation	PCR: sanger, real-time PCR and NGS
<i>ALK</i>	Rearrangement	IHC, FISH and NGS
<i>ROS1</i>	Rearrangement	IHC (screening), FISH and NGS
<i>BRAF V600</i>	Mutation	PCR: sanger, real-time PCR and NGS
PD-L1	Overexpression	IHC

*EGFR* epidermal growth factor receptor, *ALK* anaplastic lymphoma kinase receptor, *FISH* fluorescence in situ hybridisation, *IHC* immunohistochemistry, *NGS* next-generation sequencing, *PCR* polymerase chain reaction, *PD-L1* programmed death ligand-1

ALK mutations, especially with Brazil starting to consider new reimbursement approaches such as risk-sharing arrangements to help fund new premium-priced therapies [26]. We believe this is the first systematic review and meta-analysis to summarize the scientific evidence on the use of this drug exclusively in the first line of treatment for NSCLC ALK+, building on previous publications [27–33].

## METHODS

### Search Strategy and Study Identification

The criteria of the Cochrane Handbook for Systematic Reviews of Interventions (Cochrane) methodological guide were followed. The research registration was submitted and accepted on the International Prospective Register of Systematic Reviews (PROSPERO) platform under the protocol CRD42020164482 [34–37].

Articles were searched from inception to November 2020, and the search was carried out in MEDLINE databases via PubMed (Medical Literature Analysis and Retrieval System Online), EMBASE (Excerpta Medica dataBASE), Cochrane Library and LILACS (Latin American and Caribbean Health Science Literature). A manual search was also undertaken on all references of the included studies in addition to searches on the databases of ClinicalTrials.gov, EU clinical trials, the records of clinical studies in Brazil–Brazilian Registry of Clinical Trials (ReBEC), and the WHO International Clinical Trials Registry Platform.

The following words were used in the search: crizotinib, cisplatin, carboplatin, etoposide, mitomycin C, vinblastine, vinorelbine, gemcitabine, docetaxel, paclitaxel, pemetrexed, bevacizumab, alone or in combination as a structured search, and for those, descriptors were used that included a combination of keywords, synonyms, and controlled descriptors: health sciences descriptors (DECs) and Medical Subject Heading (MeSH), free terms, truncated terms and planned text according to each type of database. In the PubMed and EMBASE databases, the controlled descriptors MeSH and Emtree were used, respectively, in order to

locate and retrieve all relevant available evidence.

Our study protocol strictly followed the recommendations of the Preferred Reporting Items for Systematic Reviews and Meta-Analyses (PRISMA) statement [38, 39]. This article is based on previously conducted studies and does not contain any new studies with human participants or animals performed by any of the authors.

### Selection Criteria and Abstract Screening

The selected studies were entered into Rayyan QCRI<sup>®</sup>, allowing two independent and blinded reviewers to screen the titles and abstracts of all included articles. Subsequently, the full text of the selected papers was read by both reviewers (LLT, PSA). Cases of conflict were resolved by a third independent reviewer (BDC).

Studies were eligible if they fulfilled the criteria as randomized controlled trials (RCT) or cohort studies and had assessed the efficacy and/or effectiveness of crizotinib as monotherapy in patients with NSCLC with *ALK* fusions. Studies were excluded from the systematic review if they did not include the following characteristics: (a) lung cancer, (b) first-line treatment for stage III and IV, (c) a comparator arm with chemotherapy, and (d) an RCT or observational cohort study. We included published studies in a number of languages including English, Portuguese, Spanish and French for completeness.

Trials in more than one database were counted as duplicates. However, publications other than the same trial were counted as one unit and two reports.

### Full-Text Screening and Data Extraction

The extracted characteristics and qualitative information from the studies included the following: first author, year of publication, study publication date, publication language, type of study design, location, and whether to include in the systematic review and meta-analysis, with description of the exclusion criteria and characteristics of the patients (gender, age,

performance status, smoking history, histology, disease stage), along with the general characteristics of the trials (design, inclusion and exclusion criteria, treatments of the intervention and control groups, evaluated outcomes) and their results. The results of the trials were reported according to the outcome and in terms of overall survival (OS) or progression-free survival (PFS) as the two main outcome measures typically reported. We are aware that there is controversy surrounding the value of PFS in solid tumors, although this is not always the case [40–44]. Consequently, we included PFS, as it is typically the principal outcome measure reported in most studies with new cancer medicines. The data extraction was performed by the same two independent and blinded reviewers, with the third acting as a judge harmonizing the data.

### Data Analysis

The eligibility criteria adopted in this systematic review were quite sensitive; however, they still resulted in high statistical heterogeneity due to the range of observational studies included. The random model method was subsequently selected as a strategy to mitigate this in carrying out statistical analyses of the different subgroups [34].

Statistical analyses were performed using Review Manager (RevMan) software version 5.3. For continuous variables, the results are expressed in hazard ratios (HR) with their respective 95% confidence intervals (CI) extracted directly from each original study. To evaluate the heterogeneity between the included studies, the  $I^2$  statistic was performed, as this was the form of evaluation and guaranteed that the percentage of the total variation between the studies could be attributed to chance. We classified the heterogeneity across studies as low if  $25\% < I^2 \leq 50\%$ , moderate if  $50\% < I^2 \leq 75\%$ , and high if  $I^2 > 75\%$ . Publication bias was not subsequently analyzed by funnel plots, since this statistical method is recommended when analyzing 10 or more studies, which was not achieved in this situation [34].

## RESULTS

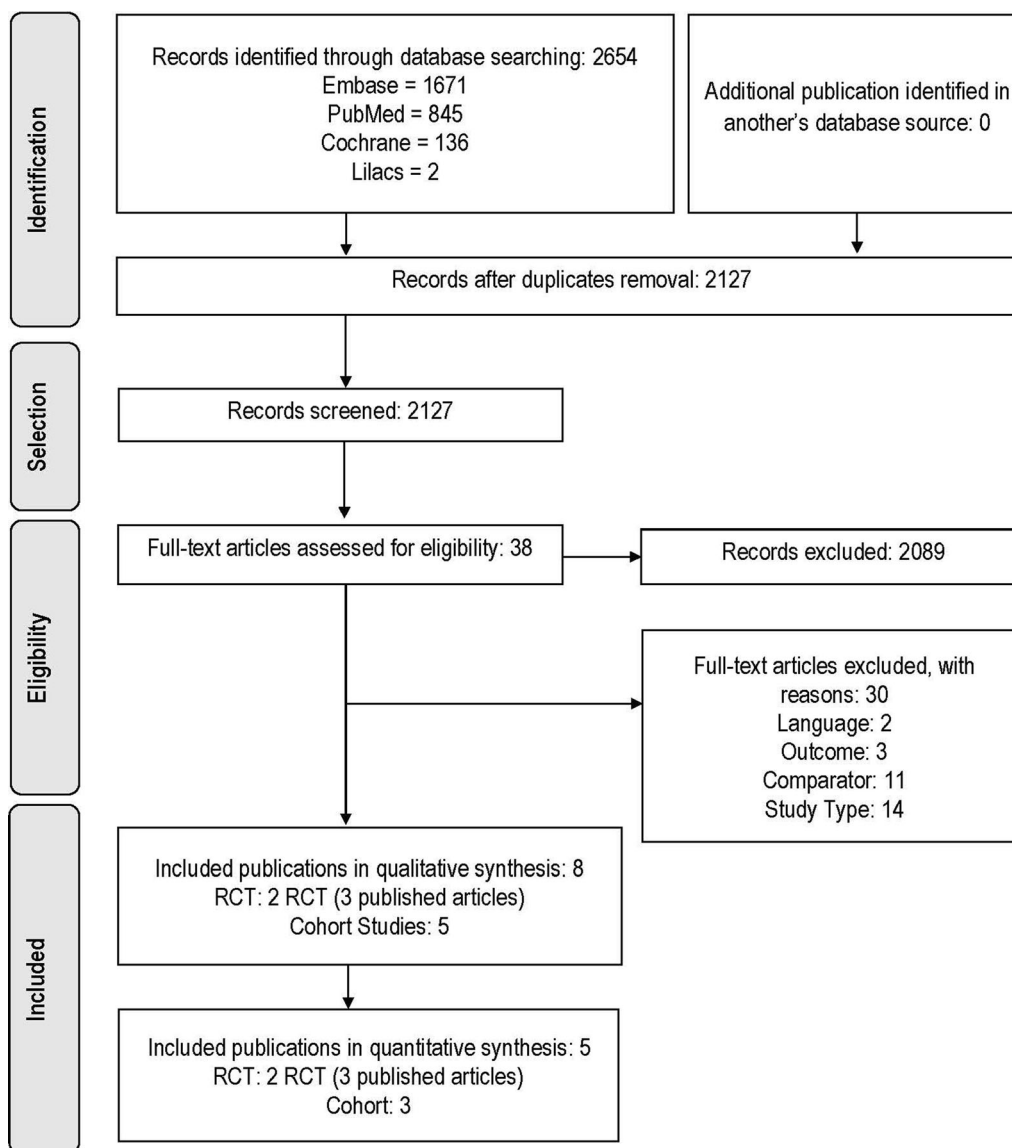
The predefined search strategy identified 2654 potential publications. After removing duplicate studies ( $n = 527$ ), the eligibility criteria were applied to the remaining titles and abstracts. This resulted in 2127 potential studies. Further applying the eligibility criteria resulted in 38 articles considered relevant for reading in full. In the second stage of the process, 30 papers were subsequently excluded for not meeting the following eligibility criteria: language ( $n = 2$ ), one in Czech and one in Chinese; outcomes different from OS and PFS ( $n = 3$ ); absence of a comparator arm as established by the selection criteria ( $n = 11$ ); and study design different from that stipulated for this systematic review and meta-analysis, such as case–control reports and phase II clinical trials ( $n = 14$ ) (Fig. 1).

The search yielded eight publications, and the characteristics of the included studies are shown in Table 2. All included studies recruited patients with advanced-stage NSCLC. The initial dose for crizotinib was 250 mg twice daily in all studies. Treatment efficacy and tumor response were assessed using the Response Evaluation Criteria in Solid Tumors (RECIST) classification [45]. Treatment arms and outcomes of the clinical trials and cohorts included in the systematic review are shown in Tables 3 and 4, respectively.

### Progression-free survival

With respect to PFS, it was possible to statistically analyze five of the seven studies selected to analyze the data expressed in HRs. The result of the meta-analysis was obtained from 391 patients treated with crizotinib (experimental group) and 419 with conventional chemotherapy (control group).

The meta-analysis of these studies indicated that there was a statistically significant difference between the experimental and control groups for the outcome of PFS, favoring the group of patients who were treated with crizotinib, with a reduction in the risk of progression or death by any cause of 62% (HR 0.38; 95% CI



**Fig. 1** PRISMA flowchart with the results of the search for systematic reviews and selection of eligible studies

0.30–0.49) in relation to the control arm, and this reduction was statistically significant ( $p < 0.001$ ) (Fig. 2).

As a limitation of this meta-analysis, the result showed moderate heterogeneity ( $I^2 = 41\%$ ); however, it was statistically significant and may be due to both clinical and methodological factors, since the included studies had different designs (RCT and cohorts). However, it is not believed that this heterogeneity is due to the sample population, since criteria such as the age range and gender

distribution were well distributed among the groups, as assessed and described in Table 1 where the studies presented a range of age and proportion of similar genders.

When evaluating the subgroup by study type, it was identified that the heterogeneity measured for the RCTs was  $I^2 = 0\%$  ( $p = 0.60$ ), being considered non-significant. This an expected effect since the characteristics of the studies are very similar since, they were conducted by the same sponsor, with similar inclusion criteria, blinded randomization,

**Table 2** Characteristics of the sample population of the studies included in the systematic review, grouped into cohort studies and randomized controlled trials

Study type	Chen et al. [46]		Cui et al. [47]		Jin et al. [48]		Tsimafeyu et al. [49]		Zhou et al. [50]		Solomon et al. [51]		Wu et al. [52]													
	Cohort		Cohort		Cohort		Cohort		Cohort		RCT		RCT													
<b>Sample summary</b>	ALK+ <sup>a</sup> N = 97 CR: 52-Chemo: 36 n (%)		CR N = 30 n (%)		Chemo N = 50 n (%)		ALK+ <sup>a</sup> N = 83 CR: 33-Chemo: 50 n (%)		CR N = 96 n (%)		Chemo N = 53 n (%)		CR N = 32 n (%)		Chemo N = 41 n (%)		CR N = 172 n (%)		Chemo N = 171 n (%)		CR N = 104 n (%)		Chemo N = 103 n (%)			
Age in years																										
Median	-		58		52		50		-		53.3		49.6		52		54		48		50		50			
Range	-		37–83		26–72		23–79		-		30–73		23–71		22–76		19–78		24–67		23–69		23–69			
Gender																										
Male	52 (53.1)		15 (50)		23 (46)		36 (43.4)		40 (42)		21 (40)		17 (41.46)		68 (40)		63 (37)		50 (48.1)		43 (41.7)		43 (41.7)			
Female	45 (45.9)		15 (50)		27 (54)		47 (56.6)		56 (58)		32 (60)		24 (58.54)		104 (60)		108 (63)		54 (51.9)		60 (58.3)		60 (58.3)			
ECOG																										
0 or 1	-		29 (97)		48 (96)		-		-		30 (93.8)		39 (95.12)		161 (94)		163 (95)		100 (96.2)		99 (96.1)		99 (96.1)			
2	-		1 (3)		2 (4)		-		-		2 (6.2)		2 (4.88)		10 (6)		8 (5)		4 (3.8)		4 (3.9)		4 (3.9)			
Smoking status																										
Never smoked	69 (70.4)		23 (77)		36 (72)		51 (64.4)		76 (79)		36 (64)		30 (73.17)		106 (62)		112 (65)		78 (75)		72 (69.9)		72 (69.9)			
Former smokers	-		-		-		c		9 (10)		8 (15)		c		56 (33)		54 (32)		19 (18.3)		22 (21.4)		22 (21.4)			
Current smokers	28(28.6)		7 (23) <sup>2</sup>		14 (28) <sup>2</sup>		32 (38.6) <sup>c</sup>		7 (7) <sup>b</sup>		11 (21)		13 (40.6) <sup>c</sup>		10 (6)		5 (3)		7 (6.7)		9 (8.7)		9 (8.7)			
Disease stage																										
IIIB	33 (34.7)		1 (3)		5 (10)		7 (8.4)		12 (12.5)		2 (4)		8 (19.51)		4 (2)		3 (2)		13 (12.5)		7 (6.8)		7 (6.8)			
IV	64 (65.3)		29 (97)		45 (90)		76 (91.6)		84 (87.5)		54 (96)		33 (80.49)		168 (98)		168 (98)		91 (87.5)		96 (93.2)		96 (93.2)			
Histological characteristics of tumor																										
Adenocarcinoma	89 (90.8)		-		-		77 (92.8)		91 (95)		51 (96)		-		161 (94)		161 (94)		100 (96.2)		101 (98.1)		101 (98.1)			
Non-adenocarcinoma <sup>1</sup>	8 (8.2)		-		-		6 (7.2)		5 (5)		2 (4)		-		11 (6)		10 (6)		4 (3.8)		2 (1.9)		2 (1.9)			

Table 2 continued

Study	Chen et al. [46]	Cui et al. [47]	Jin et al. [48]	Tsimafeyeu et al. [49]	Zhou et al. [50]	Solomon et al. [51]	Wu et al. [52]
Study type	Cohort	Cohort	Cohort	Cohort	Cohort	RCT	RCT
Sample summary	ALK <sup>+</sup> <sup>a</sup> N = 97 CR: 52-Chemo: 36 n (%)	CR N = 30 n (%)	ALK <sup>+</sup> <sup>a</sup> N = 83 CR: 33-Chemo: 50 n (%)	Chemo N = 96 n (%)	CR N = 32 n (%)	Chemo N = 172 n (%)	Chemo N = 104 n (%)
Detection test –ALK	FISH	IHC – Clone D5F3	IHC – Clone D5F3	FISH/IHC/PCR	FISH	FISH	FISH
Follow-up time (median)	27.3 months	25 months	23.6 months (1.2–66.9 meses)	No clone or kit specified	Vysis Alk-Kit	Vysis Alk-Kit	Vysis Alk-Kit
				15 months	30.2 months	46 months	25 months

CR crizotinib; Chemo chemotherapy

<sup>a</sup> Does not subdivide the sample population by experimental arm and comparator arm

<sup>b</sup> The tobacco consumption profile of 4 patients (4%) in this group is not known

<sup>c</sup> In the studies by Zhou et al. [50] and Jin et al. [48], patients who were smokers or ex-smokers were added to the same group

<sup>d</sup> In the Zhou et al. study [50], patients with performance status 0 and 1 were grouped in the same group

<sup>1</sup> Non-adenocarcinoma histology involves the other histologies found in NSCLC including squamous cell carcinoma, large cell carcinoma, and mixed and/or rare histologies

<sup>2</sup> The Cui et al. study [47] subdivides the classification of smokers by the number of cigarette packs smoked per year, ≤ 10 packs/year and > 10 packs/year; in the table, the two classifications were grouped into smokers. However, it should be kept in mind that the values are: crizotinib (≤ 10 packs/year: 1 (3) and > 10 packs/year: 6 (20)) and chemotherapy (≤ 10 packs/year: 5 (10) and > 10 packs/year: 9 (18))

central evaluation for analysis of outcomes, and similar follow-up times.

For the cohort studies, the main differences between the trial designs were considered in terms of intervals for assessing progression, number of patients allocated in each arm, and chemotherapy treatment schedules as comparator arms. This justified the moderate heterogeneity of this subgroup,  $I^2 = 52\%$ , however, not significant ( $p = 0.13$ ).

A sensitivity analysis was conducted in the forest plot PFS results to identify the possible cause of the heterogeneity found in the cohort studies. Following the analysis, it was found that the Cui et al. [47] study was the one responsible for causing the increase in heterogeneity. This was probably caused by a longer time interval between evaluation for patients in the crizotinib and control arms. The treatment scheme in the experimental arm (crizotinib) diverged from the one described in the pivotal study which would be continuous use of oral therapy with crizotinib. Another cause for the high heterogeneous result seen could be the higher dose of gemcitabine chosen as treatment (1250 mg/m<sup>2</sup>—D1 and D8), docetaxel administered in a different scheme than usual (75 mg/m<sup>2</sup>—D1 and D8), and only adenocarcinomas NSCLC histology selected as study patients and presented a high grade of non-smokers as a 75% of the study total population [47].

## Overall survival

Three studies were included for the systematic review relating to OS of patients with NSCLC ALK +. Two were RCTs [51–53], and one observational cohort study [48]. One study was excluded from the OS meta-analysis because HR survival analysis estimate was not possible since the data were presented in median–time intervals with continuous distribution [49]. Another three studies did not present any data related to the OS outcome so they were also excluded [46, 47, 50].

The analysis of the data presented by the forest plot graph for OS, expressed in HR unifying the RCT and cohort studies, demonstrated there was a beneficial trend towards the



**Table 3** Treatment arms and outcomes of clinical trials included in the systematic review

Randomized clinical trial	Author, year	Phase	Treatment groups	Number of patients	Outcomes		Funding		
					Overall survival			Progression-free survival	
					Median (months)	HR		Median (months)	HR
Profile 1014	Solomon et al. [51]; Solomon et al. [53]	III	Crizotinib 250 mg orally twice daily  Intravenous chemotherapy with a platinum combination scheme (cisplatin 75 mg/m <sup>2</sup> or carboplatin AUC 5-6 mg/min/ml) with pemetrexed 500 mg/m <sup>2</sup> every 3 weeks for a minimum of 6 cycles	172	NR (95% CI 45.8 months to NR)	0.760 (95% CI 0.548–1.053) <i>p</i> = 0.0978 <sup>1</sup>	10.9 months (95% CI 8.3–13.9) <i>p</i> < 0.001	0.45 (95% CI 0.35–0.60)	Pfizer Inc.
				171	47.5 months (95% CI 32.2 months to NR)	0.346 (95% CI 0.081–0.718) <sup>2</sup>	7.0 months (95% CI –8.2)		

Table 3 continued

Randomized clinical trial	Author, year	Phase	Treatments groups	Number of patients	Outcomes		Funding		
					Overall survival			Progression-free survival	
					Median (months)	HR		Median (months)	HR
Profile 1029	Wu et al. [52]	III	Crizotinib 250 mg orally twice daily	104	28.5 months (95% CI 26.4–NR)	0.897 (95% CI 0.556–1.445) $p = 0.33$	11.1 months (95% CI 8.3–12.6) $p < 0.001$	0.402 (95% CI 0.286–0.565) $p < 0.001$	Pfizer Inc.
			Intravenous chemotherapy with a platinum combination scheme (cisplatin 75 mg/m <sup>2</sup> or carboplatin AUC 5–6 mg/min/ml) with pemetrexed 500 mg/m <sup>2</sup> every 3 weeks for a minimum of 6 cycles	103	27.7 months (95% CI 23.9–NR)		6.8 months (95% CI –7.0)		

95% CI 95% confidence interval, NR not reached, AUC area under the curve, HR hazard ratio or risk ratio

<sup>1</sup> HR of overall survival without crossover adjustment

<sup>2</sup> HR of overall survival with crossover adjustment

**Table 4** Treatment arms and outcomes of observational studies included in the systematic review

Author, year	Treatment groups	Number of patients	Outcomes		Funding	
			Overall survival	Progression-free Survival		
						Median (month)
Chen et al. [46]	Crizotinib 250 mg orally twice daily	52	NA	17.6 months	0.487 (95% CI 0.255–0.932) <i>p</i> = 0.030	NA
	Chemotherapy (not specified by the authors)	36		4.8 months		
Cui et al. [47]	Crizotinib 250 mg–orally–twice daily/continuous	30	NA	13.3 months (95% CI 6.5 – 20.0)		Content Ed Net, Shanghai Co. Ltd
	Intravenous chemotherapy based on a combination of platinum (cisplatin 75 mg/m <sup>2</sup> or carboplatin AUC 5–6 mg/ml/min) with: pemetrexed 500 mg/m <sup>2</sup> , docetaxel 75 mg/m <sup>2</sup> or gemcitabine 1250 mg/m <sup>2</sup> (D1 and D8)–cycles of 21/21 days	50		5.4 months (95% CI 4.4–6.5)	0.20 (95% CI 0.11–0.36) <i>p</i> < 0.001	

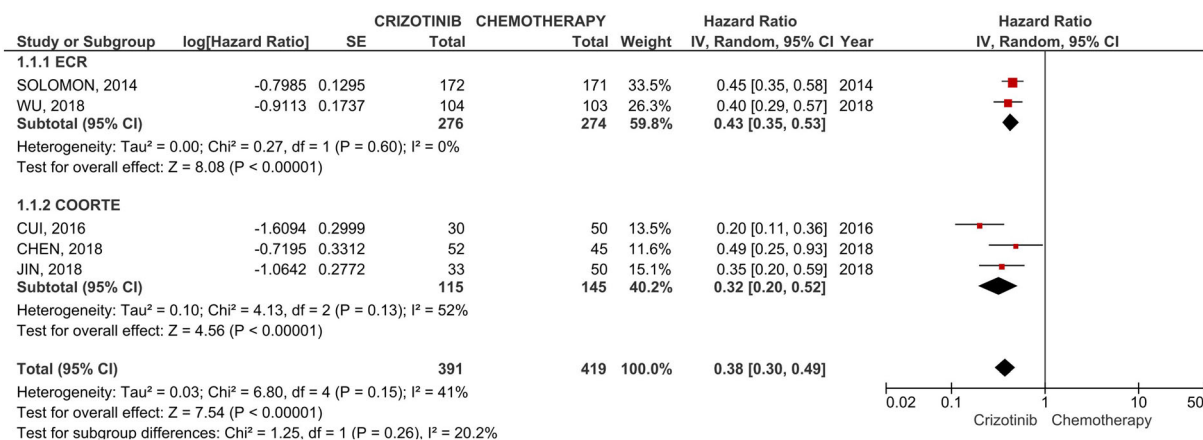
Table 4 continued

Author, year	Treatment groups	Number of patients	Outcomes		Funding		
			Overall survival	Progression-free Survival			
			Median (month)	HR	Median (month)	HR	
Jin et al. [48]	Crizotinib 250 mg orally twice daily/continuous	33	NA	0.279 (95% CI 0.107–0.727) $p < 0.05$	18.5 months (95% CI 12.4–24.6)	NA	Wu Jie Ping Medical Foundation
	Combination-based intravenous chemotherapy: Pemetrexed 500 mg/m <sup>2</sup> + CDDP 25 mg/m <sup>2</sup> D1–D3; pemetrexed 500 mg/m <sup>2</sup> + Carboplatin AUC 5 mg/ml/min D1; Docetaxel 75 mg/m <sup>2</sup> D1 + a platinum (carbo or cisplatin, does not specify the dosage and range of this medication); Gemcitabine 1000 mg/m <sup>2</sup> D1 and D8—all regimens are: 21/21 days and 4–6 cycles	50			4.9 months (95% CI 2.8–7.1)	0.345 (95% CI 0.201–0.594) $p < 0.001$	
Tsimafeyev et al. [49]	Crizotinib 250 mg–orally–twice daily	96	31.0 months (95% CI 28.5–33.5)	NA	NA	NA	NA
	Intravenous chemotherapy based on a combination of platinum (cisplatin or carboplatin) with: pemetrexed, paclitaxel, Etoposide or gemcitabine	53	15.0 months (95% CI 9.0–21.0)				

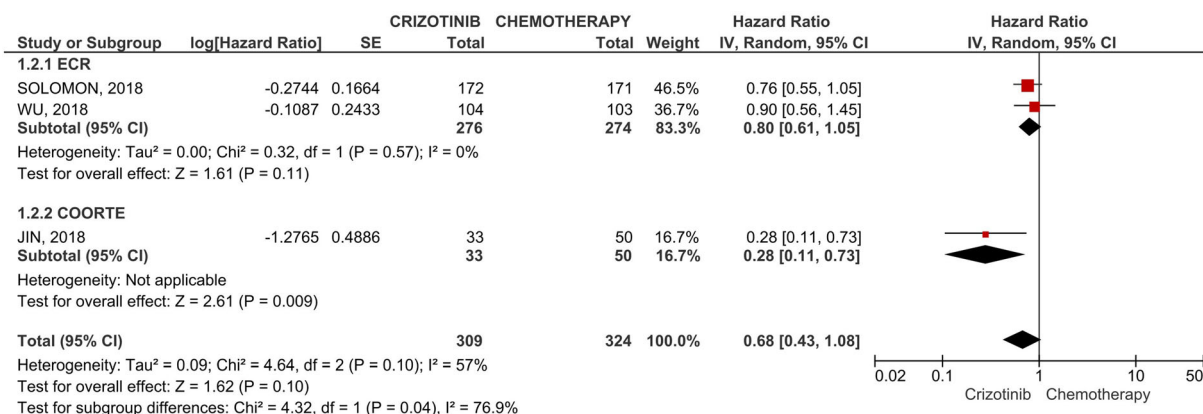
**Table 4** continued

Author, year	Treatment groups	Number of patients	Outcomes		Funding
			Overall survival Median (month)	Progression-free Survival Median (month) HR	
Zhou et al. [50]	Crizotinib 250 mg–orally–twice daily/continuous	32	NA	16.1 months (95% CI 12.7–19.4)	China National Natural Science Foundation, China State Scholarship Fund and Health Project
	Intravenous chemotherapy based on a combination of platinum and pemetrexed	28	NA	6.0 months (95% CI 3.6–8.4)	
	Intravenous chemotherapy based on a combination of platinum without pemetrexed	12	NA	2.9 months (95% CI 1.6–4.1)	Family Planning and Zhejiang Province Commission, China

HR hazard ratio or risk ratio  
95% CI 95% confidence interval, NA not applicable, AUC area under the curve, CDDP cisplatin



**Fig. 2** Progression-free survival forest plot



**Fig. 3** Overall Survival forest plot

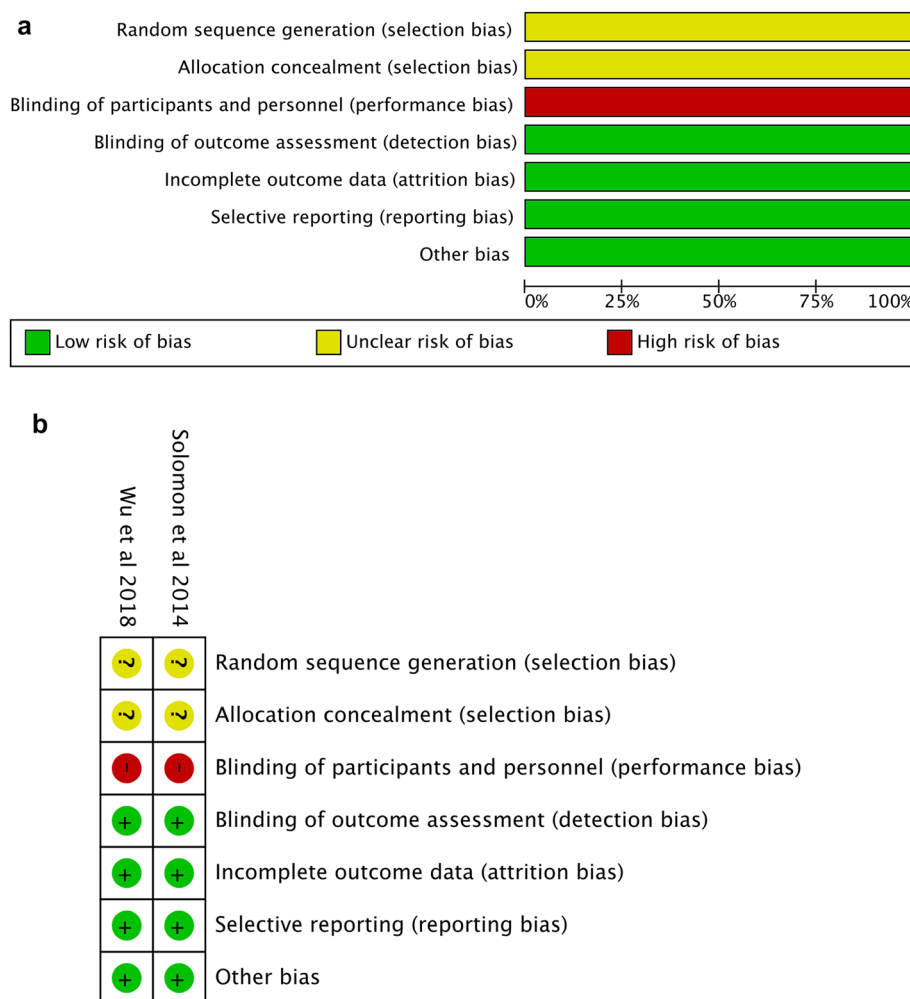
intervention arm (crizotinib). In contrast, the plots cross the non-effect interval, so it is not possible to affirm that the use of crizotinib will result in effective gains in overall survival—HR 0.68; 95% CI 0.43–1.08;  $p = 0.10$  (Fig. 3).

Overall, the heterogeneity from this meta-analysis is greater than desired, presenting  $I^2 = 57%$  with  $p = 0.10$ . However, this demonstrates a higher result than previously stipulated ( $p < 0.05$ ); therefore, the extent of heterogeneity is not statistically significant. When the included studies are evaluated, it can be seen that this moderate heterogeneity is due to the methodological variation from the included studies' designs, allowing the occurrence of bias. Performing the visual inspection assessment, a greater confidence interval of the cohort study

[48] is identified with a smaller sample, thereby increasing the variance of results. When the forest plot for OS is undertaken only with ECR, the heterogeneity becomes null, but even so the diamond goes through 1, crossing the line of no effect, demonstrating that the clinical benefit seen could be by chance rather than by the interventional drug.

### Methodological Quality Assessment

The RCTs selected presented the same risk of bias profile for all domains (Fig. 4). They were characterized as uncertain for the domains of generation of the allocation sequence and allocation secrecy, and as high risk of bias for the



**Fig. 4** Randomized controlled trials risk of bias assessment

blinding domain of the participants and staff. For the following four domains, i.e. blinding of the evaluators, incomplete outcome data, report selection of outcomes, other biases, the risk of bias was considered low [51, 52].

The five cohort studies included in the systematic review were also assessed for methodological quality using the Newcastle–Ottawa scale and all were classified as good methodological quality (Table 5).

## CONCLUSION

Overall, it appeared that crizotinib improved PFS for the treatment of advanced or metastatic

NSCLC ALK+ based on HR 0.38; 95% CI 0.30–0.49;  $p < 0.001$ . However, this result did not convert itself into a statistical meaningful gain in terms of an increase in OS; HR 0.68; 95% CI 0.43–1.08;  $p = 0.10$ . The result crosses the non-effect interval, and has no statistical significance. Consequently, it is not possible with our study design to affirm whether there is any real benefit of using crizotinib in improving OS in this patient population. The finding results are aligned with other systematic reviews and meta-analyses identified during the literature search [27–33].

The lack of any overall survival benefit may be due to the mechanism of patients crossing between the control and experimental

**Table 5** Cohort studies quality assessment

Studies	Selection	Comparability	Outcome	Total
Cui et al. [46]	****	*	***	Good
Chen et al. [47]	****	*	***	Good
Jin et al. [48]	****	*	***	Good
Tsimafeyeu et al. [49]	****	*	***	Good
Zhou et al. [50]	****	*	***	Good

treatment arms. Another possibility is that the use of subsequent therapies by the patient could also influence OS. It is not clear when analyzing the RCT data whether patients who started treatment in the control arm and migrated to therapy with experimental medication, when they were in a more advanced stage of the disease, experienced the same benefit as those who received intervention treatment from the point of randomization. In addition, crossover for OS was adjusted for patients who switched from chemotherapy to crizotinib. On the other hand, no correction was made for patients who changed from treatment with crizotinib to other subsequent therapies. All these factors can be considered plausible causes of interference in the OS results, making it impossible to perform a correct analysis of the data [51–53].

An important issue is that none of the studies showed which of the variants of ALK fusion were found in the patients, nor was a subgroup analysis of these variations performed. It is possible that this is an influencing factor in the differential response to crizotinib in non-small cell lung cancer ALK+ [55, 56]. However, this needs further investigation before any definitive statement can be made.

Since we started our analysis, crizotinib is now available for use in private clinical practice in Brazil. However, further studies are needed to fully analyze the budgetary impact and cost-effectiveness of crizotinib within the public health system, building on the data described here, before crizotinib is funded within the public healthcare system in Brazil. Further studies may also be needed to assess possible restrictions on the use of crizotinib given some

of the concerns regarding its impact on overall survival and the implications for calculating any cost/life-year saved or quality-adjusted life-year saved (QALY).

We are aware of a number of limitations with our systematic review and meta-analysis. Firstly, there is inevitable selection bias caused by the inclusion of only a limited number of studies in this review, preventing us from conducting any meaningful bias analyses, and only retrospective cohort studies were added. In addition, there was significant heterogeneity among the included studies, which might stem from differences in patient baseline characteristics, prior treatment regimens, and underlying genetic events. Despite these limitations, we believe our findings are robust and provide directions for future studies.

This meta-analysis demonstrated that, compared to chemotherapy, treatment with crizotinib is superior with regard to PFS in patients with non-small cell lung cancer ALK+. However, the apparent lack of an overall survival benefit probably reflects the effects of bias due to the cross-treatment allowed in randomized clinical trials and other potential limitations.

Overall, it is possible that patients with NSCLC ALK+ and good status performance do have an increase in PFS when treated with crizotinib compared to conventional chemotherapy, but without an increase in OS. Consequently, the effectiveness of crizotinib in patients with EML4-ALK-positive non-small cell lung cancer requires further confirmation of primary results, preferably with blinded designs and with subgroup stratification by genetic variations of ALK. These findings will enhance



discussions regarding the inclusion of crizotinib within the public healthcare system of Brazil and similar LMICs.

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**Compliance with Ethics Guidelines.** This article is based on previously conducted studies and does not contain any new studies with human participants or animals performed by any of the authors.

**Data Availability.** The datasets generated during and/or analyzed during the current study are available from the corresponding author on reasonable request.

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