1 Intranasal virus-particle mimicking vaccine enhances SARS-CoV-2 clearance in the

2 Syrian hamster model

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29 ABSTRACT

30 Severe acute respiratory syndrome coronavirus-2 (SARS-CoV-2) has caused a pandemic and 31 multiple vaccines have been developed and authorized for human use. While these vaccines 32 reduce disease severity, they do not prevent infection allowing SARS-CoV-2 to continue to 33 spread and evolve. To confer protection against infection and limit transmission, vaccines must 34 be developed that induce mucosal immunity in the respiratory tract. Therefore, we performed 35 proof-of-principle pre-clinical vaccine and challenge studies with a virus-particle mimicking 36 intranasal vaccine against SARS-CoV-2. The vaccine candidate consisted of the self-37 assembling 60-subunit I3-01 protein scaffold covalently decorated with the SARS-CoV-2 38 receptor binding domain (RBD) using the SpyCatcher-SpyTag system. We verified the intended 39 antigen display features by reconstructing the I3-01 scaffold to 3.4A using cryo-EM, and 40 established RBD decoration through both SDS-PAGE and negative stain TEM. Using this RBD 41 grafted SpyCage scaffold (RBD+SpyCage), we performed two vaccination studies in Syrian 42 hamsters using an intranasal prime and boost vaccine regiment followed by SARS-CoV-2 43 challenge. The initial study focused on assessing the immunogenicity of RBD+SpyCage, which 44 indicated that vaccination of hamsters induced a non-neutralizing antibody response that 45 enhanced viral clearance but did not prevent infection. In an expanded study, we demonstrated 46 that covalent bonding of RBD to the scaffold was required to induce an antibody response. 47 Consistent with the initial study, animals vaccinated with RBD+SpyCage more rapidly cleared SARS-CoV-2 from both the upper and lower respiratory tract, whereas admixtures of SpyCage 48 49 and RBD, or either components alone did not. These findings demonstrate the intranasal 50 SpyCage vaccine platform can induce protection against SARS-CoV-2 and, with additional 51 modifications to improve immunogenicity, is a versatile and adaptable system for the 52 development of intranasal vaccines targeting respiratory pathogens.

53

54 INTRODUCTION

Severe acute respiratory syndrome coronavirus- 2 (SARS-CoV-2) is the etiological agent of 55 56 coronavirus disease 2019 (COVID-19)¹. In March 2020, COVID-19 was declared a pandemic ^{1,2} 57 , and as of August 2022, SARS-CoV-2 has caused more than 557 million infections resulting in 58 more than 6.3 million deaths worldwide³. SARS-CoV-2 is an enveloped betacoronavirus with a 59 non-segmented positive-sense single-stranded RNA genome. The genome encodes 4 structural 60 proteins: spike (S), membrane (M), envelope (E), and nucleocapsid (N) as well as multiple non-61 structural proteins⁴. The S protein is the major surface protein and mediates viral entry and 62 fusion within a host cell. The receptor-binding domain (RBD) of the S protein binds the host 63 receptor angiotensin-converting enzyme 2 (ACE2) leading to endocytosis of the virion and 64 infection of the host^{4,5}. Importantly, the antibody responses against SARS-CoV-2 in humans and 65 experimentally infected animals are predominantly directed towards the S protein. Moreover, 66 titers of RBD-binding antibodies correlate with neutralizing activity, and RBD is considered the immunodominant region of the S protein ^{6,7}. Therefore, RBD represents a suitable immunogen 67 68 for vaccine development and blocking this domain has the potential to prevent infection. 69 Prior to the SARS-CoV-2 pandemic, vaccines targeting coronaviruses in humans had not been 70 advanced through late-stage clinical trials and licensed. Development of multiple SARS-CoV-2 71 vaccine candidates was enabled by rapid sequencing of the viral genome as well as pre-existing 72 knowledge about vaccination against severe acute respiratory syndrome coronavirus (SARS-73 CoV) and Middle Eastern respiratory syndrome coronavirus (MERS-CoV)². Currently, there are 74 at least 12 vaccines approved for human use ^{8,9}. Licensed vaccines such as CoronaVac and QazCovid-in contain inactivated virus ¹⁰⁻¹⁴, while vaccines developed by Pfizer/BioNTech and 75 76 Moderna consist of mRNA encoding the pre-fusion S protein enclosed in a lipid nanoparticle¹⁵. The Novavax vaccine contains recombinant S protein, and vaccines from Johnson & Johnson, 77 and AstraZeneca use viral vectors to deliver DNA encoding the S protein ¹⁶. Importantly, all 78

licensed vaccines are delivered by intramuscular (*i.m.*) injection and these vaccines have been
shown to reduce the severity of SARS-CoV-2 infection^{12,17,18}; however, these vaccines do not
prevent infection, and vaccinated individuals can develop symptomatic infections and transmit
the virus onwards.

83 Intramuscular vaccination induces a systemic immune response with high titers of IgG 84 antibodies that enter the lungs limiting viral replication and reducing disease severity^{2,19}. 85 However, the delivery of vaccines via the *i.m.* route does not induce a strong mucosal immune 86 response², and a mucosal response is required to prevent infection of the upper respiratory tract 87 and transmission. In contrast to *i.m.* administered vaccines, an efficacious intranasal vaccine 88 has the potential to protect mucosal surfaces via the induction of secretory IgA antibodies and 89 mucosal T cells. Moreover, these vaccines can also induce a serum IgG response that can 90 impart similar disease reductions as observed for existing vaccines (reviewed in ²⁰). On-going 91 analysis of licensed SARS-CoV-2 vaccine efficacy has shown that vaccine-induced immunity 92 wanes over time resulting in breakthrough infections ²¹⁻²³. As a result, there is a growing need 93 to develop a second generation of SARS-CoV-2 vaccines that can be administered through 94 intranasal routes to induce mucosal immunity, which can limit infection and viral 95 transmission^{24,25}.

96 To date a limited number of intranasal vaccine candidates have been developed against SARS-97 CoV-2. Most of these candidates are viral vectors or live-attenuated vaccines; however, there 98 have been safety concerns with viral vectored SARS-CoV-2 vaccines and their administration is 99 limited to individuals older than 18 years of age^{26,27}. Moreover, due to safety concerns and poor 100 immunogenicity in older individuals, the only licensed live-attenuated intranasal vaccine is 101 against influenza, and its use is restricted to individuals less than 50 years of age without pre-102 existing health conditions²⁸. Therefore, there is a need to develop intranasal vaccines that 103 would be suitable for individuals of all ages.

105 To address this gap, we adapted the I3-01 self-assembling protein into a nanoparticle bearing a 106 flexible SpyCatcher domain (SpyCage) to display SARS-CoV-2 RBD/SpyTag (RBD+SpyCage) 107 for intranasal vaccination studies in hamsters. The I3-01-based platform has been shown to be 108 an excellent immunization scaffold to present a variety of antigens from viral (SARS-CoV-2, 109 influenza, EBV, CSFV) and parasitic (*Plasmodium*) pathogens that reproducibly boosts immune 110 responses as compared to the unscaffolded antigen²⁹⁻³⁶. However, these trials have been 111 restricted to *i.m.* injections with immune responses as endpoint readouts, with a few notable 112 studies proceeding through challenges with live pathogens^{35,37}. 113 Here we tested the impact of displaying RBD on the SpyCage scaffold (RBD+SpyCage) as an 114 intranasal vaccine in Syrian Golden hamsters, which are highly permissive to SARS-CoV-2 infection and support contact and airborne transmission³⁸⁻⁴⁰. We performed two separate 115 116 efficacy studies in which hamsters were given a prime and boost vaccination and challenged 117 with SARS-CoV-2. We demonstrated covalent grafting of RBD to SpyCage was required to 118 induce an IgG antibody response in vaccinated animals. Upon SARS-CoV-2 challenge, 119 regardless of vaccination status all hamsters became infected and exhibited weight loss; 120 however, animals vaccinated with RBD+SpyCage more rapidly cleared virus from both the 121 upper and lower respiratory tract and had reduced lung pathology. Collectively, these studies 122 demonstrate the potential for SpyCage as the basis of an intranasal vaccine platform for SARS-123 CoV-2 and possibly other respiratory pathogens.

124 MATERIALS AND METHODS

125 **Production and Purification of Apo Cage and SpyCage**

126 The Apo Cage scaffold is based upon the 6xHis/I3-01 protein described previously by Hsia and 127 colleagues^{41,42}. The SpyCage scaffold consists of a genetic fusion of a 6xHis tag, the 128 SpyCatcher domain, a flexible linker, and the I3-01 protein^{41,43}. These proteins were expressed 129 in the E. coli BL21 (DE3) CodonPlus strain bearing either plasmid pSL1013 (Apo Cage) or 130 pSL1040 (SpyCage) using a modified pET28 vector. Cultures were grown in LB media at 37C to 131 an OD600 of ~0.5, at which point protein expression was induced by the addition of 0.5mM 132 (final concentration) for 2.5 hours. Cell pellets were suspended in 50mL of resuspension buffer 133 (50 mM Tris-Cl pH8.0@RT, 500 mM NaCl) per 1L of culture, and cells were lysed by sonication 134 using a disruptor horn attachment, using 3 pulses of 30 seconds each at 70% amplitude and 135 50% duty cycle (model 450 Branson Digital Sonifier). The crude extract was spun 15500 xg for 136 20 minutes at 4C, and the soluble fraction was then incubated in batch with 2 ml of equilibrated 137 Ni-NTA resin for 1 hour at 4C. The resin was applied to a gravity flow column and washed with 138 50mL of resuspension buffer followed by 50mL of Mid-Imidazole buffer (25 mM Tris-CI 139 pH7.5@RT, 500 mM NaCl, 50 mM Imidazole, 250 mM dextrose, 10% v/v glycerol). Apo cage 140 and SpyCage protein were eluted using elution buffer (50 mM Tris-Cl pH8.0@RT, 500 mM 141 NaCl, 300 mM imidazole), and then were exhaustively dialyzed into 50 mM Tris-Cl pH8.0@RT, 142 500 mM NaCl, 1 mM DTT, 10% v/v glycerol. The dialyzed material was then concentrated to 143 ~2.0 mg/ml using Amicon Ultra Centrifugal Filters (Fisher Scientific Cat#: UFC9-003-08) and 144 snap frozen in liquid nitrogen for long-term storage at -80C. Complete plasmid sequences are 145 provided in Supp File 1.

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147 Production and Purification of SARS-CoV-2 Spike RBD

The Receptor-Binding Domain (RBD) of SARS-CoV2-2 Spike protein was produced with and
without a C-terminal SpyTag for covalent attachment to SpyCage using plasmid pSL1515 and

150 pSL1510 respectively⁴³. Plasmid DNA was purified (Qiagen HiSpeed Maxiprep Kit) precipitated 151 with ethanol and resuspended in water before transfection using the Expi293 Expression 152 System (ThermoFisher, Expi293F cells, Expi293 Media, and the ExpiFectamine 293 153 Transfection Kit) by the Penn State Sartorius Cell Culture Facility as per manufacturer 154 instructions. Briefly, cells maintained in log phase growth at 37C and 8% CO2 in baffled flasks 155 shaking at 120-130 rpm were transfected at a concentration of 5E6/ml, and were supplemented 156 by addition of ExpiFectamine 293 Transfection Enhancer 1 & 2 approximately 20 hours post 157 transfection. Culture supernatant was harvested by centrifugation (273.5 xg, 5 minutes, room 158 temperature) on day three, and was incubated in batch with Ni-NTA (ThermoSci HisPur) resin 159 pre-equilibrated in 1xPBS at 4C for 1 hour on a nutator. The resin was then applied to a gravity 160 flow column and was washed four times with 10 column volumes of wash buffer (57 mM 161 NaH2PO4 pH 6.3@RT, 30 mM NaCl, 20mM imidazole). Protein was eluted with 4 column 162 volumes of elution buffer (57 mM NaH2PO4 pH 7.9@RT, 30 mM NaCl, 235 mM imidazole). 163 Eluted protein was dialyzed to completion in 1xPBS and snap frozen in liquid nitrogen for long-164 term storage at -80C. Complete plasmid sequences are provided in Supp File 1. 165 166 Covalent Bonding of SARS-CoV-2 Spike RBD to SpyCage

Purified SpyCage was dialyzed into 1xPBS with 1mM DTT, and then mixed with purified SARS-CoV-2 Spike RBD either with (RBD+SpyTag) or without (RBD only) at a 1.2:1 molar ratio of RBD to SpyCage monomer in a buffer consisting of 1xPBS and 1mM DTT. The binding reaction was allowed to go to completion by incubation for 3 hours at room temperature. The extent of SpyCage saturation was assessed by SDS-PAGE as previously described⁴³. The binding reaction was then dialyzed into 1xPBS and stored at -80C until use in immunization efforts.

174 Cryo-EM specimen preparation and data collection

Purified apo cage protein complex based upon I3-01^{41,42} and RBD+SpyCage were first
assessed by negative staining to check sample quality and concentration before preparing TEM
grids for data collection. Briefly, a 3.5 ul aliquot was applied to a glow-discharged Cu-grid
coated with a thin film of continuous carbon, washed, stained with 0.75% w/v uranyl formate for
15 sec, blotted, air-dried and loaded on EFI Tecnai G2 Spirit BioTwin microscope (120 kV) for
imaging.

181 TEM grids (QUANTAFOIL R2/1; QUANTAFOIL, Germany) were plasma cleaned using a 182 PELCO Glow Discharge System (Ted Pella, Redding CA). Aliguots of 3.5 ul of the apo cage 183 sample at approximately 0.1 mg/ml were applied to the grids, blotted for 2 sec, and then plunge-184 frozen in liquid ethane using a vitrification robot (Vitrobot, Thermo Fisher). Grids were stored in 185 liquid nitrogen until the date of screening and data collection. Data was acquired on a Thermo 186 Fisher Titan Krios electron microscope (300 kV) equipped with Falcon 3EC direct detection 187 camera. EPU software (V 2.13.0.3175REL) was used to setup data acquisition at a nominal 188 magnification of x59,000 and physical pixel size of 1.11 Å/pixel. A total of 1,220 micrographs 189 were recorded as movies (stacks of 39 frames) at an exposure rate of 1.15 $e/Å^2/frame$ and a 190 total exposure time of 69.8 s. The nominal defocus range of -1.2 to -3.0 µm was applied during 191 data collection.

192

193 Cryo-EM Image processing

Image analysis was performed using cryoSPARC software package (v3.3.2)⁴⁴. Aligned movie stacks were generated from raw micrographs after correcting for stage drift and anisotropic motion using patch motion correction. Parameters of the contrast transfer function (CTF) were estimated for each aligned movie in patch mode. Manually selected 283 particles from 11 micrographs were used to train a Topaz model for particle picking; a box of 420x420 pixel size was used for particle extraction⁴⁵. The trained model was applied to pick 129,792 particles from 1,202 micrographs. Further cleaning of the data using 2D-classification resulted in 63,430

particles for subsequent data processing. A map from an *ab initio* model (generated using
10,000 particles) along with the selected clean particles were subjected to homogenous
refinement in cryoSPARC. Local motion correction⁴⁶ of the refined particles followed by
homogenous refinement with higher-order CTF terms enabled (including beam-tilt, spherical
aberration, trefoil and tetrafoil) and icosahedral symmetry (I1) enforced resulted in a final map at
3.4 Å resolution.

207

208 Cryo-EM Model building

The initial model of the apo cage monomer was extracted from the published I3-01 model^{41,42}. The monomer model was manually fitted into the 3.4 Å map in ChimeraX⁴⁷; a full icosahedral model of apo cage was generated from the asymmetric unit. PHENIX real-space refinement was used to refine the model against the sharpened map with non-crystallographic symmetry parameters applied⁴⁸. The refined model was visually inspected in Coot and validated by MolProbity^{49,50}. All figures of the protein structure and cryo-EM map were created using ChimeraX.

216

217 Culture of SARS-CoV-2

218 The SARS-CoV-2/USA/WA1/2020 isolate was received from The World Reference Center for 219 Emerging Viruses and Arboviruses (WRCEVA), University of Texas Medical Branch at 220 Galveston (UTMB). The virus was obtained at passage 4 and was sub-cultured once on Vero 221 E6/TMPRSS2 cells (Japanese Collection of Research Bioresources Cell Bank). All titrations of 222 virus stocks and tissue homogenates were performed on Vero E6 cells (ATCC) cultured in 223 Dulbecco's modified Eagle Medium (Cytiva) supplemented with 10% FBS, 4 mM L-glutamine, 1 224 mM sodium pyruvate (Corning), 1X non-essential amino acids and 1X antibiotic and antimycotic 225 (Corning) at 37° C with 5% CO₂. For culture of the VeroE6/TMPRSS2 cells 1 mg/mL geneticin 226 was added to the media and the FBS was reduced to 5%. To determine the titer of viral stocks,

the tissue culture infectious dose 50% (TCID50) was determined by inoculating cells grown in
24-well plates with serial dilutions of the virus. The plates were incubated at 37° C with 5% CO₂
and scored for cytopathic effect at 96 hours post-infection. The TCID₅₀ was then calculated
using the method of Reed and Muench ⁵¹.

231

232 Vaccination and Challenge Experiments

233 Equal numbers of male and female, six to eight-week-old Syrian hamsters (HsdHan:AURA, 234 Envigo, Haslett, MI) were used for all studies. After acclimatization, animals were implanted 235 with a subcutaneous transponder chip (Bio Medic Data Systems) and a pre-vaccination blood 236 sample was collected. For intranasal vaccination and virus inoculation, animals were sedated 237 and intranasally inoculated with vaccine (70 ul in 1xPBS) or SARS-CoV-2 (100 ul in DMEM). 238 For all experimental procedures hamsters were sedated with 150 mg/kg ketamine, 7.5 mg/kg 239 xylazine, and 0.015 mg/kg atropine via intraperitoneal injection. After completion of the 240 procedure, hamsters were given 1 mg/kg atipamezole subcutaneously. For tissue collection and 241 at the end of each study, hamsters were humanely euthanized via CO_2 asphyxiation. 242 Trial 1: Evaluation of immunogenicity and efficacy of the SpyCage-RBD vaccine candidate 243 To evaluate the immunogenicity and efficacy of the SpyCage RBD vaccine, groups of hamsters 244 (n=14/group) were vaccinated with PBS (mock), SpyCage (15 ug), SARS-CoV-2 RBD (10 ug), 245 or SARS-CoV-2 RBD (10 ug) bound to SpyCage (15 ug, "RBD+SpyCage"). Animals received a 246 primary (1°) vaccination and a secondary (2°) vaccination 28 days later. Blood samples were 247 collected via gingival vein from 6 animals (3 males and 3 females) per group on days 14, 26, 42, 248 and 55 post- 1° vaccination. Blood samples were centrifuged at 1000 xg for 10 minutes at room 249 temperature, and serum was collected and stored at -20° C. On day 56 post-1° vaccination, all 250 animals were intranasally inoculated with 10⁵ TCID₅₀ SARS-CoV-2/USA/WA1/2020. On days 3 251 and 6 post-infection (day 59 and 62 post-1° vaccination), lung and nasal turbinate tissues were

252	collected (n=4/group, 2 males and 2 females) and stored at -80C. The remaining 6 hamsters
253	were monitored for weight-loss until day 14 (day 70 post-primary vaccination).
254	Trial 2: Assessment of the requirement for grafting of RBD to SpyCage
255	Groups of hamsters (n=18/group) were intranasally vaccinated with PBS (mock), SpyCage (15
256	ug), SARS-CoV-2 RBD (10 ug), SARS-CoV-2 RBD without SpyTag (10 ug) mixed with
257	SpyCage (15 ug) (<i>i.e.,</i> RBD could not covalently bond to SpyCage, "RBD SpyCage"), and
258	SARS-CoV-2 RBD (10 ug) grafted to SpyCage (15 ug, "RBD+SpyCage"). The vaccination and
259	blood collection protocol were the same as in the initial study, and on day 56 post-1° vaccination
260	animals were challenged with 1000 TCID50 of SARS-CoV-2. On days 3, 5, and 7 post-
261	challenge (days 59, 61, and 63 post-primary vaccination), lung and nasal turbinates were
262	collected (n=4/group (2 males and 2 females)). One lung lobe was fixed with 10% v/v normal
263	buffered formalin and the remaining lung lobes and nasal turbinates were stored at -80°C. The
264	remaining 6 hamsters/group were monitored for weight-loss until day 14 post-SARS-CoV-2
265	challenge (day 70 post-primary vaccination). All animals were euthanized on day 15 post-
266	SARS-CoV-2 challenge.

267

268 Viral titration of tissue samples

269 Collected lungs and nasal turbinates were homogenized in 2% FBS-DMEM containing 2X

270 antibiotic and antimycotic using an Omni tissue homogenizer. The homogenates were

271 centrifuged at 1000 x g for 10 minutes at 4C and the supernatant was titrated to determine the

tissue culture infectious dose 50% (TCID50) on Vero E6 cells as previously described⁵².

273

274 Microneutralization Assay

To determine titers of neutralizing antibodies, microneutralization assays were performed on
Vero E6 cells as previously described⁵².

278 **ELISA**

To assess the levels of RBD-binding IgG and IgA antibodies, ELISA assays were performed
according to a protocol generously provided by Dr. Sabra Klein, Johns Hopkins, School of
Public Health^{53,54}.

282

283 Histopathology

Formalin-fixed lung samples were processed and stained with haematoxylin and eosin as

previously described⁵⁴. Slides were scored by a board-certified veterinary pathologist using

established methods⁵⁵. Each animal was scored for extent of lesions (0-4), alveolar damage (0-

3), bronchial damage (0-3), blood vessel damage (0-3), hemorrhage (0-2), and type II

288 pneumocyte hyperplasia (0-2). For each animal a total pathology score was obtained by

289 calculating the sum of scores.

290

291 Biocontainment and Animal Care and Use

All experiments using SARS-CoV-2 were conducted in an animal biosafety level 3 enhanced laboratory. This facility is approved by the US Department of Agriculture and the Centers for Disease Control and Prevention. All animal studies were conducted in compliance with the Institutional Animal Care and Use Committee under protocol number 202001440 to TCS.

296

297 Statistical Analysis

Prism GraphPad (v9.0) was used to perform all statistical analysis with p<0.05 considered
significant. Weight loss and viral titers at each time point were evaluated for normality by
D'Agostino & Pearson test. For data sets that passed the normality test, one-way ANOVA with
post-hoc Tukey's test was performed. When data sets did not pass the normality test, KruskalWallis tests with a post-hoc Dunn's multiple comparison test were performed. Histopathological

303 scores were also compared using non-parametric Kruskal-Wallis tests with a post-hoc Dunn's

304 multiple comparison.

305 RESULTS

306 Cryo-EM reconstruction and refinement of an atomic model of the apo cage scaffold

307 To establish a robust, multimeric, spherical protein-based scaffold for intranasal immunizations 308 that would mimic the size of a viral particle, we selected a wireframe dodecahedron based upon 309 the previously described I3-01 protein, which was designed to self-assemble from 60 310 monomers^{41,42}. To validate this scaffold structurally, we assessed a purified sample of this apo 311 cage complex by electron microscopy. Samples were first quality controlled by negative staining 312 with uranyl formate to assess particle integrity and concentration, and were then vitrified on gold 313 grids for cryo-EM data collection on our home-source Titan Krios electron microscope equipped 314 with a Falcon 3EC direct detection camera. Data processing and all aspects of the cryo-EM

315 workflow were conducted in cryoSPARC software $(v.3.3.2)^{44}$.

316

317 To create an experimentally determined high resolution model, we collected a cryo-EM dataset 318 with 1,220 recorded movies to yield 1,202 processed micrographs with good quality ice. These 319 were used to auto-pick and extract 129,792 particles using a box size of 420x420 pixels 320 (calibrated pixel size = 1.11 Å) (Fig. 1A, Supplemental Table 1). Then 2D-classification was 321 used to clean up the data by removing junk particles (Fig. 1B) to produce a total of 63,430 322 particles for cryo-EM map reconstruction and refinement while imposing icosahedral symmetry. 323 The refinement produced a cryo-EM map at an average resolution of 3.4 Å and estimated local 324 resolution in the range of 3.0 Å to 5.0 Å (Fig. 1C). Map resolution was determined based on the 325 gold-standard criterion that applies a Fourier Shell Correlation (FSC) cutoff value of 0.143 (Fig. 326 **1D**)⁵⁶. The 3.4 Å resolution map showed the typical features of the designed apo cage with an 327 average diameter of 25 nm and the trimeric protein units occupying the vertices of the 328 pentameric faces of the dodecahedron. The I3-01 design PDB of this protein structure was 329 modified and used to initiate model building^{41,42}. The map density was clear enough to build the 330 atomic structure using the published model of I3-01 to provide the starting coordinates. Real-

space refinement of the icosahedral model in PHENIX resulted in a 3.4 Å resolution model (Fig. 331 332 1E) with cross-correlation value for model vs. map of 0.77 (CC masked, Supplemental Table 333 1). The geometrical parameters of the refined model checked by MolProbity revealed a good 334 quality model with a MolProbity score of 1.5, with approximately 98% of residues in the favored 335 region and no residues in the disallowed region of the Ramachandran plot. Quality-check 336 parameters of the model and map-model agreement are listed in **Supplemental Table 1**. The 337 3.4 Å resolution map showed clear density of most sidechains of the amino acids constituting 338 the apo cage protein (aa 22-222) (Fig. 1F). However, as expected for structures solved in the 339 range of 3.0 to 4.0 Å resolution⁵⁷, directionality of some carbonyl groups could not be resolved 340 unambiguously. 341 Comparing the solved cryo-EM structure and that from the computationally designed I3-01

model^{41,42} showed that the two structures are almost identical [r.m.s. values of 0.57 Å for 200
 Cα atoms and 1.29 Å for all non-hydrogen atoms], but that the sidechain atoms of surface
 residues had minor differences between our experimental and the computationally designed

- 345 structures.
- 346

347 Covalent Bonding of SARS-CoV-2 Spike RBD to SpyCage

348 As the wireframe cage scaffold was robust, spherical, symmetrical, and could outwardly present 349 up to 60 fused proteins-of-interest, we selected it for further modification for antigen display. 350 Because genetic fusion of antigens directly to protein-based scaffolds can influence expression 351 levels, solubility, and purification conditions needed, we leveraged the SpyTag/SpyCatcher 352 system to covalently link antigens-of-interest to the scaffold following its purification⁴³. This 353 approach enables substantial versatility to load different proteins and their variants without 354 modifying the scaffold itself and has been used for a variety of viral and parasitic pathogens to 355 enhance immune responses^{29-31,34-36,58}. To this end, we appended a SpyCatcher domain with a 356 flexible linker to the N-terminus of I3-01 (schematic in Fig. 2A) and observed it displayed

excellent solubility and stability profiles when expressed in *E. coli* (Fig. 2B). A comparable
arrangement has been described for the mi3 variant of I3-01, which also exhibited favorable
display properties³⁰. This scaffold, which we have termed SpyCage, was advanced for all
immunization studies presented here.

361 The use of SpyTag/SpyCatcher elements permits the versatile loading of antigens that are 362 independently expressed in an ideal expression system for that protein, thus ensuring that 363 proper post-translational modifications and processing events occur. Here, we produced the 364 receptor-binding domain (RBD) of SARS-CoV-2 Spike protein in 293F suspension cells with a 365 C-terminal 6xHis tag, and either with or without an additional C-terminal SpyTag. Secreted 366 protein was purified from the culture supernatant to >99% purity via Ni-NTA affinity 367 chromatography (Fig. 2B). Mixing of SpyCage with RBD (1 to 1.2 molar ratio) in 1xPBS led to 368 the formation of a covalent bond that could be detected by a mobility shift by SDS-PAGE with 369 >95% saturation of the scaffold with RBD (Fig. 2B). This covalent bond therefore permits 370 permanent grafting of RBD to SpyCage ("RBD+SpyCage"). In contrast, mixing of SpyCage with 371 RBD lacking a SpyTag produced no covalent linkage, as intended (Fig. 2B). This second 372 combination creates an admixture ("RBDISpyCage") that permits testing of the effect that 373 covalent bonding of the antigen to the scaffold has upon efficacy. Further evidence of the 374 covalent grafting of RBD to SpyCage was provided by negative stain transmission electron 375 microscopy of RBD-loaded scaffolds, which revealed additional, nonuniform density along the 376 wireframe bars of the scaffold consistent with the size of RBD that was not seen in unloaded 377 scaffolds (Fig. 2C). From this we concluded that SpyCage is a stable and saturable antigen 378 display platform capable of presenting antigens-of-interest in a versatile mix-and-go format. As 379 SpyCage has similar structural properties as a virus particle and displays 5 copies of an antigen 380 on a single face of the scaffold (up to a total of 60 antigens per particle), we hypothesized 381 SpyCage grafted with RBD would be a greatly improved vaccine candidate. As there is an

382 urgent need for the development of vaccines inducing mucosal immunity, we proceeded to

383 evaluate SpyCage as an intranasal vaccine candidate.

384

385 **RBD grafting to SpyCage is required to induce an antibody response**.

386 To assess the immunogenicity of RBD+SpyCage as an intranasal vaccine candidate (*i.e.*, Trial 387 1), hamsters were given a 1° and 2° intranasal vaccine consisting of PBS (mock), SpyCage, 388 RBD, or RBD+SpyCage. The two vaccine doses were administered 28 days apart and serum 389 samples were collected prior to each vaccination and viral challenge. To assess the antibody 390 response, levels of RBD-binding IgG antibodies in the serum were quantified by ELISA and 391 neutralizing antibodies were assayed by a microneutralization assay. We found that only the 392 RBD+SpyCage-vaccinated animals developed an IgG antibody response (Fig. S1A). On day 393 28, one animal had IgG antibodies against RBD, while on day 55, 4/6 animals given 394 RBD+SpyCage developed an antibody response (Fig. S1A); however, while these antibodies 395 were able to bind RBD, they did not exhibit neutralizing activity (Fig. S1B). 396 Subsequently, we conducted an expanded vaccine study (designated Trial 2) to 397 determine if grafting of RBD directly to SpyCage through covalent bonding was required to 398 induce an antibody response. Animals were vaccinated according to the same regimen; 399 however, an additional group in which RBD without SpyTag was mixed with SpyCage 400 (RBD|SpyCage) was included. Therefore, the experimental groups consisted of animals given 401 the following vaccines: 1) Mock (PBS), 2) RBD, 3) SpyCage, 4) RBD mixed with SpyCage 402 (RBD|SpyCage), and 5) RBD grafted to SpyCage (RBD+SpyCage). In both vaccination studies, 403 animals were monitored for 7 days post-1° and 2° vaccination for adverse effects and none of 404 the animals exhibited weight loss or clinical signs, indicating the vaccine was well-tolerated 405 (data not shown).

Evaluation of the antibody response by ELISA and microneutralization assay (Fig. 3) showed
that none of the mock, SpyCage, RBD alone, or RBD|SpyCage immunized animals developed

408 RBD-directed antibodies on day 28 or 55. In contrast, animals vaccinated with RBD+SpyCage 409 developed IgG antibodies against RBD on day 28 post-1º vaccination (2/6 animals positive) and 410 on day 55 (5/6 animals positive) (Fig. 3A). While most animals in the RBD+SpyCage group 411 developed IgG antibodies, only 1 animal developed an IgA antibody response (Fig. 3B). This 412 was one of the two animals that developed IgG antibodies early (day 28) and was the animal 413 with the highest IgG antibody titer on day 55 (1: 12800) (Fig. 3A). In addition, the serum from 414 this animal exhibited neutralizing activity (Fig. 3C), while none of the other animals developed a 415 neutralizing antibody response. Thus, while we did not observe 100% seroconversion, the 416 RBD+SpyCage vaccine candidate was reproducibly capable of inducing IgG antibodies. As one 417 animal developed both a neutralizing antibody response and IgA antibodies indicative of 418 mucosal immunity, our findings indicate that, with additional modifications to enhance 419 immunogenicity, intranasal vaccination with RBD+SpyCage could induce protective mucosal 420 immunity. Furthermore, these findings demonstrate the RBD+SpyCage vaccine provides a 421 substantial boost in antibody responses compared to RBD alone or an RBD|SpyCage admixture 422 where the covalent bond needed for grafting cannot form. 423 To address the immunogenicity of SpyCage itself, we also evaluated the antibody response to 424 SpyCage by ELISA (Fig. 3D). All animals given a vaccine containing SpyCage developed IgG 425 antibodies directed towards the scaffold. While there were no statistically significant differences 426 between different vaccine groups that received the SpyCage, the RBD|SpyCage admixture 427 increased the antibody response 2-fold compared to animals given SpyCage alone, and 428 RBD+SpyCage further increased the response by 2-fold (*i.e.*, 4-fold relative to SpyCage alone). 429 These findings indicate the SpyCage scaffold is immunogenic and our collective findings 430 indicate that grafting the antigen to SpyCage enhances the antibody response to both the

431 scaffold and the antigen.

432

Intranasal vaccination with RBD+SpyCage enhances clearance of SARS-CoV-2 from the respiratory tract

435 To assess the efficacy of the RBD+SpyCage vaccine, in both trials of this study we assessed 436 whether RBD+SpyCage enhanced viral clearance and reduced clinical illness. In the second trial, we also evaluated the effect of vaccination on reducing lung pathology. In Trial 1, 437 438 vaccinated hamsters were challenged with 10⁵ TCID50 SARS-CoV-2 on day 56 post-1° 439 vaccination (day 28 post-2° vaccination). After viral challenge, animals were monitored for 440 weight loss for 14 days, and on days 3 and 5 post-infection (p.i.), lung and nasal turbinate 441 samples were collected from a subset of animals (n=4/group/timepoint) (Fig. S2). After viral 442 challenge, animals in all experimental groups lost weight (Fig. S2A) and there were no 443 statistically significant differences between the groups; however, the animals that received 444 RBD+SpyCage had reduced weight loss, and by the end of the study these animals exceeded 445 their pre-challenge weight. When we evaluated viral titers in the lungs and nasal turbinates, on 446 day 3 p.i. all experimental groups had high titers of replicating virus in these tissues with no 447 significant differences between groups. On day 6 p.i., viral titers in both tissues were reduced 448 for all groups; however, while the mock and SpyCage-vaccinated animals had replicating virus 449 in the nose and lungs, no replicating virus was recovered from the RBD only and 450 RBD+SpyCage vaccinated animals (**Fig. S2 B,C**). These findings suggested vaccination with 451 RBD or RBD+SpyCage facilitated viral clearance.

While vaccination with either RBD only or RBD+SpyCage reduced viral load on day 6, only the RBD+SpyCage vaccinated animals developed an IgG antibody response. We therefore performed a second, expanded vaccination study (i.e., Trial 2) to evaluate if grafting of RBD to SpyCage via covalent bonding was required for protection. Here we repeated the vaccination study with an additional group of animals that were vaccinated with an admixture of RBD and SpyCage where the covalent bond needed for grafting could not form (RBD|SpyCage). As the 10⁵ TCID₅₀ challenge dose used in the initial study is 2-3 orders of magnitude higher than the

459 estimated infectious dose for humans (*i.e.*, 100-1000 infectious units)^{59,60}, we reduced the 460 challenge dose to 1000 TCID50 of SARS-CoV-2. This challenge dose was previously shown to 461 induce weight loss in hamsters⁶¹, which we also verified with our virus stock (Fig. S3). Finally, 462 to more comprehensively evaluate the dynamics of viral clearance, we also modified the time 463 points of tissue collection such that tissues were collected on days 3, 5, and 7 p.i. 464 After viral challenge, the animals in all experimental groups lost weight with peak weight-loss at 465 day 6 or 7. However, while there were no statistically significant differences in weight loss 466 between experimental groups (Fig. 4A), consistent with our first study, we also observed that 467 animals vaccinated with RBD+SpyCage trended toward reduced weight loss compared to the 468 other groups (Fig. 4A). We next evaluated viral replication in the nasal turbinates and lungs. 469 On day 3 p.i., mean viral load in the nasal turbinates and lungs for all groups were comparable, 470 with titers greater than 10^5 and 10^6 TCID50/gm in each tissue, respectively (**Fig. 4 B,C**). 471 However, on day 5 p.i. the mean viral titer in the nasal turbinates for the RBD+SpyCage group 472 was significantly lower (25 TCID50/gm) compared to the other experimental groups (titer range: 473 881 – 3955 TCID50/gm) (Fig. 4B). Similarly, in the lungs, RBD+SpyCage vaccinated animals 474 also had significantly lower titers (1183 TCID50/gm) (titer range for other experimental 475 groups:11,988 – 59,356 TCID50/gm) (Fig. 4C). On day 7 p.i., replicating virus was not detected 476 in the nasal turbinates or lungs from any of the experimental groups (Fig. 4 B,C). Therefore, 477 while viral titers on days 3 and 7 in the nasal turbinates and lungs were comparable for all 478 groups, on day 5 viral titers in the RBD+SpyCage vaccinated animals were more than 10-fold 479 lower, indicating that the RBD+SpyCage vaccinated animals more rapidly cleared SARS-CoV-2 480 from both the upper and lower respiratory tract. 481 Last, we performed a histopathology analysis to determine if the RBD+SpyCage vaccinated

481 Last, we penomed a histopathology analysis to determine in the RDD+SpyCage vaccinated
482 animals had reduced lung inflammation and damage. Lung tissue sections were blinded and
483 scored for extent of lesions, alveolar, bronchial, and blood vessel damage, as well as
484 hemorrhage and type II pneumocyte hyperplasia. These scores were then combined to give a

485	total pathology score. Representative images of lung pathology and inflammation from each
486	group are shown in Fig. 5A. The largest differences in pathology scores were observed in the
487	total pathology score and the extent of lesions (Fig. 5 B,C), with additional scores reported in
488	Fig. S4. On day 3, all groups exhibited similar pathology. For the mock vaccinated animals, the
489	total pathology score and extent of lesions peaked on day 5 and then declined on day 7. The
490	RBD+SpyCage vaccinated animals exhibited the lowest scores compared to all other groups on
491	both days 5 and 7. Animals receiving SpyCage, RBD, or the SpyCage RBD admixture had
492	intermediate scores between the mock and SpyCage+RBD groups on day 5, and had pathology
493	scores comparable to mock infected animals on day 7. While the pathology scoring shows a
494	trend towards reduced pathology and clearance with the SpyCage+RBD group, this difference
495	was not statistically significant due to the limited number of animals used at each time point.
496	Future studies can leverage these observed effect sizes to establish expanded group sizes to
497	determine if these promising trends are maintained.

498 **DISCUSSION**

499 Effective vaccines are required to limit the spread and reduce the disease burden of SARS-500 CoV-2. Currently licensed vaccines reduce disease severity, but do not prevent infection. As a 501 result, SARS-CoV-2 has continued to evolve to generate new variants⁶². The development of 502 intranasal vaccines has the potential to prevent infection and reduce transmission as they could 503 induce immunity at the mucosal surfaces of the upper respiratory tract⁶². To date several pre-504 clinical intranasal vaccine candidates have been developed against SARS-CoV-2⁶³. Most of 505 these candidates are live-attenuated or viral vector vaccines. Due to safety concerns, the 506 administration of these vaccines is often limited to healthy adults (18-55 years old) and/or older 507 children. In contrast, recombinant protein or inactivated vaccines are widely used in individuals 508 of all ages. Therefore, we sought to develop a recombinant protein-based intranasal vaccine. 509 Because immune responses can be substantially enhanced when antigens-of-interest are 510 displayed on a scaffold that mimics the structure of a pathogen, we evaluated multiple protein-511 based scaffold options for the generation of an intranasal vaccine candidate. These included 512 bacteriophage, VLPs, and engineered proteins. We established design criteria that prioritized 1)

rigid bodies, 2) spherical shapes of ~20-30 nm in diameter, and 3) genetically accessible Nand/or C-termini presented in an outward facing manner. Among these, I3-01 met all of these
design criteria and was selected as the strongest candidate.

To date, only a lower resolution cryo-EM reconstruction and a computational model of I3-01 have previously been published⁴¹. Therefore, we used both negative stain TEM and cryo-EM to structurally evaluate an I3-01-based scaffold (apo cage). We resolved the structure to a 3.4A average resolution and validated that the experimentally derived atomic model closely matched the computationally designed protein (**Fig. 1**). We therefore proceeded with using I3-01 to create SpyCage by further modifying I3-01 to bear an N-terminal SpyCatcher domain with a 12 amino acid flexible linker to reduce steric hindrance and permit greater saturation of antigens.

523 As anticipated, the SpyTag/SpyCatcher system enabled rapid, covalent linkage of RBD to 524 SpyCage (RBD+SpyCage) to near saturation as seen by a mobility shift by SDS-PAGE and by 525 negative stain TEM (Fig. 2). Importantly, the RBD+SpyCage preparation remained highly 526 soluble and stable over time, which further supports its feasibility as a vaccine candidate. 527 Subsequently, we assessed the immunogenicity of the RBD+SpyCage vaccine in the hamsters 528 (*i.e.*, Trial 1). Animals were given a prime-boost intranasal vaccination, and then challenged 529 with SARS-CoV-2. On day 26 post-primary vaccination, 1 of 6 RBD+SpyCage vaccinated 530 hamsters developed serum IgG antibodies, and by day 55, most animals (4/6) had a 531 measurable IgG response. None of the animals developed neutralizing antibodies (Fig. S1). 532 Following viral challenge, all animals, regardless of vaccination status, lost weight, although 533 there was a trend towards reduced weight loss and earlier recovery in the RBD+SpyCage 534 vaccinated animals (Fig. S2). Based on these outcomes, we next sought to determine the 535 properties of the vaccine that enhanced immunogenicity. Studies on intramuscular vaccination 536 have shown that presenting viral antigens on the surface of particles enhances the immune 537 presentation and protective efficacy of vaccines⁶⁴. As there is limited evidence on whether this 538 phenomenon also holds for intranasal vaccination, we expanded upon our initial study and 539 compared RBD+SpyCage (in which RBD is covalently bound to the scaffold) to an 540 RBD|SpyCage admixture lacking this covalent attachment. Covalent grafting was shown to be a 541 requirement for immunogenicity, as 2/6 and 5/6 animals given RBD+SpyCage developed an 542 IgG response on days 28 and 55, respectively against RBD (**Fig 3**). Importantly, the one animal 543 that exhibited the highest IgG titers on day 28 and day 55, also developed an IgA response, and 544 these antibodies exhibited neutralizing activity. In contrast, animals vaccinated with admixed 545 RBD|SpyCage did not develop an antibody response to RBD. Further studies are warranted to 546 explore strategies to enhance the immunogenicity of RBD+SpyCage to match the neutralizing 547 IgG and IgA response we observed in this one animal. As a final component of our antibody

548 analyses, we evaluated the response generated against the SpyCage scaffold. All animals that 549 received SpyCage as a component of the vaccine developed antibodies directed towards the 550 scaffold. While our results do not suggest this immunity interfered with the immunogenicity of 551 the vaccine, as boost vaccination increased the response to RBD, if immunity against the 552 scaffold interferes with immunogenicity for other vaccine antigens, future studies can explore 553 the use of alternative scaffolds⁶⁵.

554 Consistent with the requirement that RBD be covalently grafted to SpyCage to induce an 555 antibody response, covalent grafting to SpyCage was also a requirement for vaccine efficacy. 556 Upon SARS-CoV-2 challenge in Trial 2, all animals lost weight; however, compared to the other 557 groups, RBD+SpyCage vaccinated animals had a trend towards reduced weight loss and 558 reduced lung pathology. This was associated with significantly reduced levels of replicating virus 559 in the respiratory tract on day 5 indicating rapid viral clearance in the RBD+SpyCage group 560 relative to the RBD/SpyCage group (Fig 4). Collectively, the induction of a non-neutralizing 561 antibody response associated with accelerated viral clearance and trends towards reduced 562 disease severity and pathology is consistent with an induced non-neutralizing antibody 563 response.

564 To our knowledge, this is the first report of a scaffolded antigen being used as an intranasal 565 protein-based vaccine. Other groups have used the I3-01 scaffold successfully as a vaccine 566 platform to display antigens for influenza, SARS-CoV-2, and *Plasmodium*, but have only 567 explored intramuscular administration^{30,37,58,66-69}. To generate SARS-CoV-2 vaccines, several 568 groups have expressed either the RBD or S-protein on I3-01 and evaluated the immunogenicity of these vaccines in several animal models^{37,58,66-68}. In these studies, intramuscular vaccines 569 570 were administered with an adjuvant (e.g., Addavax, Alum, CpG) and potent neutralizing 571 antibody responses were induced in mice, hamsters, pigs, or non-human primates^{37,58,66-68}. 572 Given both the route of administration and the inclusion of adjuvants, this is the expected

573 antibody response. In comparison, we administered the RBD+SpyCage vaccine via the 574 intranasal route without an adjuvant which induced a non-neutralizing IgG antibody response. 575 Prior studies have evaluated the protective efficacy of intramuscular vaccination with RBD 576 grafted to I3-01 against SARS-CoV-2 challenge^{37,58}. When hamsters were intramuscularly 577 vaccinated and challenged with SARS-CoV-2, consistent with our results, all animals lost weight 578 and the RBD-I3-01 vaccinated animals (designated "RBD-VLP" in that study) had reduced 579 weight loss relative to animals vaccinated with RBD alone³⁷. Unfortunately, in this study, viral 580 titers were not evaluated in lung or nasal turbinate samples after viral infection, precluding a 581 comparison with our findings. In another study, when transgenic K18-hACE2 mice were 582 vaccinated with a similar construct, SARS-CoV-2 Beta RBD-mi3, and challenged, all vaccinated 583 mice survived a lethal challenge while only 20% of control animals survived. In parallel, with 584 enhanced survival, no replicating virus was detected in the lungs of the RBD-mi3 vaccinated 585 animals⁵⁸. Similarly, when Rhesus macaques were vaccinated with the same construct and 586 challenged with SARS-CoV-2, at both day 2 and 4 p.i. significantly lower titers of virus were 587 detected in nasal swabs compared to unimmunized controls. Moreover, in RBD-mi3 vaccinated 588 animals, replicating virus was not recovered from bronchioloalveolar lavage fluids (BAL) (i.e., 589 0/4), while 3/4 unimmunized controls had between 10³ - 10⁶ TCID50/mL of SARS-CoV-2 in the 590 BAL⁵⁸. In addition to I3-01, the bipartite I53-50 icosahedral scaffold consisting of 120-subunit 591 proteins has also been decorated with either the RBD or S-protein and utilized as an 592 intramuscular vaccine^{29,70}. Consistent with intramuscular vaccination with I3-01, intramuscular 593 vaccination with RBD or S-protein grafted to I53-50 combined with an adjuvant induced a 594 neutralizing antibody response in mice, rabbits, or macaques ^{29,70}. In these studies, only 595 macaques vaccinated with S-protein on I53-50 nanoparticles were challenged with SARS-CoV-596 2. Following viral challenge, relative to unimmunized controls, vaccinated animals had reduced 597 clinical manifestations associated with significantly reduced viral titers in the upper airways and BAL from day 1 until resolution on day 7 p.i.²⁹. In contrast to these studies, when we challenged 598

599 the RBD+SpyCage vaccinated animals, we did not observe an initial reduction in SARS-CoV-2 600 replication as all animals had similar titers on day 3 p.i.; however, the RBD+SpyCage 601 vaccinated animals had reduced titers on day 5 indicating accelerated viral clearance. We also 602 observed trends towards reduced weight loss and reduced pathology; however, these were not 603 statistically significant. The reduced efficacy observed in our studies relative to intramuscular 604 vaccination is most likely due to a lack of a neutralizing antibody response following intranasal 605 vaccination. In our studies, we purposefully did not include an adjuvant as there are no licensed 606 intranasal adjuvants for human use; however, future development of the intranasal 607 RBD+SpyCage vaccine warrants the inclusion of intranasal adjuvants to enhance the quality of 608 the antibody response and vaccine efficacy. 609 Collectively, we demonstrate intranasal vaccination with RBD grafted to SpyCage induced a 610 serum IgG response in hamsters. Upon viral challenge, this response was associated with 611 accelerated viral clearance from both the upper and lower respiratory tract. RBD+SpyCage 612 vaccinated animals also exhibited non-significant reductions in weight loss and lung pathology 613 consistent with a non-neutralizing antibody response. We further show the immunogenicity and 614 efficacy of the RBD+SpyCage vaccine required that RBD was covalently linked to the SpyCage 615 scaffold. These studies demonstrate the potential for intranasal delivery of SpyCage scaffolded 616 antigens as a vaccine platform, and additional vaccine development is warranted with the 617 inclusion of intranasal adjuvants to enhance immunogenicity. Moreover, given the relative ease 618 with which vaccine antigens can be grafted to the scaffold and the potential to induce mucosal 619 immunity, SpyCage derived intranasal vaccines can be developed to target other respiratory 620 viruses, and if successful, this platform could also be used as a rapid response vaccine platform 621 to target novel or pandemic pathogens.

622 DATA AVAILABILITY

The icosahedral map of the solved apo cage structure is deposited in EM data bank under

624 accession code EMD-27812. The apo cage atomic model is deposited in the PDB data bank

- 625 under ID 8E01. <u>A validation report is provided as Supp File 2 for peer review purposes</u>.
- 626

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- 651

652 **DISCLOSURES**

- 653 We wish to disclose the following intellectual property claims related to this study:
- 654 Lindner, S.E. & Hafenstein, S. US Patent Application 16/494,502 "Versatile Display for
- 655 Proteins"
- 656 Lindner, S.E., Hafenstein, S., & Butler, N. PCT/US2020/033785 "Specific Selection of Immune
- 657 Cells Using Versatile Display Scaffolds"
- Lindner, S.E., Sutton, T.C., Hafenstein, S., & Butler, N. US Trademark Application 9063755
- 659 "SPYCAGE"

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- 853 Protein Nanoparticle Vaccines for SARS-CoV-2. Cell 183, 1367-1382 e1317,
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856 **FIGURE LEGENDS**

857 Figure 1. Single-particle analysis and Cryo-EM reconstruction of the apo cage used for 858 immunizations. (A) A representative cryo-EM micrograph of apo cage particles (scale bar, 25 859 nm) is provided. (B) Examples of representative class averages from a 2D classification of the 860 particles extracted from cryo-EM micrographs are provided. (C) A reconstructed icosahedral 861 map of the apo cage structure is colored according to the estimated local resolution; color key is 862 shown to the left of the map. Red numbers in gray boxes on the structural model indicate the 863 two-, three- and five-fold symmetry axes of the dodecahedron. Apo cage particles have an 864 approximate diameter of 25 nm. (D) A fourier shell correlation (FSC) curve of the reconstructed 865 map using gold-standard refinement in cryoSPARC is presented. An approximate map 866 resolution of 3.4 Å based on 0.143 FSC cutoff is indicated. (E) An atomic model of the apo cage 867 was built by applying icosahedral symmetry in ChimeraX to an asymmetric unit fitted to the 868 density of the map shown in (C). (F) Left: A portion of the map covering a single I3-01 monomer 869 is rendered as a transparent surface, with the fitted model (aa 22-222) shown as a light blue 870 cartoon with side chains represented as sticks. Right: A close-up view of residues Val183, 871 Cys184 and the C-terminal helix (aa 205-222) showing clear density of the assigned sidechains 872 is shown with the map contoured at level 0.9 in ChimeraX. The quality of density is sufficient to 873 observe the disulfide bond between Cys184 and the C-terminal Cys222.

874

Figure 2. Display of RBD via the SpyCage scaffold. (A) A schematic of the SpyCage scaffold illustrates 6xHis purification tags, the SpyCatcher capture domain, a flexible linker, and a Cterminal I3-01 variant used to create the self-assembling protein wireframe platform. (B) The covalent bonding ("grafting") of RBD to SpyCage is evident when RBD bears a SpyTag, but not in its absence as per a mobility shift seen by SDS-PAGE. SpyCage approaches saturation with RBD at a 1-to-1.2 molar ratio of SpyCage-to-RBD. (C) Differences in appearance of unloaded

37

and RBD-loaded SpyCage by negative stain transmission electron microscopy (TEM) further
 indicates the grafting of RBD has occurred.

883

Figure 3. Binding and neutralizing antibody responses to intranasal vaccination with
RBD+SpyCage. Antibody titers were measured in serum samples on days 0, 28, and 56, prior
to primary vaccination, boost vaccination, and viral challenge, respectively. Plotted are (A) antiRBD IgG, (B) IgA titers, (C) neutralizing antibody titers against SARS-CoV-2, and (D) IgG
antibody titers against the SpyCage scaffold. * significantly different from all other groups by
Kruskal-Wallis with Dunn's multiple comparison. † significantly different from mock and RBD
groups.

891

892 Figure 4. Weight loss and viral titers in the nasal turbinates and lungs after SARS-CoV-2

893 challenge of vaccinated hamsters. After viral challenge, hamsters were monitored for (A)

894 weight loss, and viral titers were evaluated in (B) nasal turbinates and (C) lung tissues on days

3, 5 and 7 post-infection. *significantly different from RBD and RBD|Spycage. **significantly

896 different from RBD|SpyCage. Non-parametric Kruskal-Wallis test with Dunn's multiple

897 comparisons were used to determine significant differences.

898

Figure 5. SARS-CoV-2 induced lung pathology in vaccinated hamsters. On days 3, 5, and 7, post-infection, lung tissues were processed for H&E staining and scored by a veterinary pathologist. Panel (A) displays representative images from each group of hamsters on days 5 and 7 post-infection. This panel also includes images of uninfected hamster lung tissues (far right panels). Panels (B) and (C) display total pathology scores and the extent of lesions scoring, respectively.

905 SUPPLEMENTAL FIGURES, TABLES, AND FILES

906	Supplemental Figure 1. Evaluation of the immunogenicity of RBD+SpyCage determined
907	by serum IgG and neutralizing antibody titers. Shown are the antibody titers from Trial 1 that
908	evaluated immunogenicity of the RBD+SpyCage vaccine in hamsters. Plotted are (A) IgG
909	antibody titers in serum samples collected on days 0, 26, and 55, and (B) neutralizing antibody
910	titers against SARS-CoV-2 on day 0 and 55. * significantly different from all other groups,
911	(p<0.03) determined by Kruskal-Wallis test with Dunn's multiple comparison correction.
912	
913	Supplemental Figure 2. Weight loss and viral titers in the nasal turbinates and lungs after
914	SARS-CoV-2 challenge of vaccinated hamsters. After viral challenge, hamsters in Trial 1
915	(immunogenicity study) hamsters were monitored for (A) weight loss, and viral titers were
916	evaluated in (B) nasal turbinates and (C) lung tissues on days 3 and 6 post-infection.
917	
918	Supplemental Figure 3. Weight loss in unvaccinated hamsters after challenge with 10 ⁵ ,
918 919	Supplemental Figure 3. Weight loss in unvaccinated hamsters after challenge with 10 ⁵ , 10 ⁴ , and 10 ³ TCID50 of SARS-CoV-2. To determine if lower challenge doses of SARS-CoV-2
918 919 920	Supplemental Figure 3. Weight loss in unvaccinated hamsters after challenge with 10 ⁵ , 10 ⁴ , and 10 ³ TCID50 of SARS-CoV-2. To determine if lower challenge doses of SARS-CoV-2 would induce weight loss, equal numbers of male and female hamsters (n=3/sex, n=6/group)
918 919 920 921	Supplemental Figure 3. Weight loss in unvaccinated hamsters after challenge with 10 ⁵ , 10 ⁴ , and 10 ³ TCID50 of SARS-CoV-2. To determine if lower challenge doses of SARS-CoV-2 would induce weight loss, equal numbers of male and female hamsters (n=3/sex, n=6/group) were intranasally inoculated with 10 ⁵ , 10 ⁴ , and 10 ³ TCID50 of SARS-CoV-2/USA/WA1/2020.
918 919 920 921 922	Supplemental Figure 3. Weight loss in unvaccinated hamsters after challenge with 10 ⁵ , 10 ⁴ , and 10 ³ TCID50 of SARS-CoV-2. To determine if lower challenge doses of SARS-CoV-2 would induce weight loss, equal numbers of male and female hamsters (n=3/sex, n=6/group) were intranasally inoculated with 10 ⁵ , 10 ⁴ , and 10 ³ TCID50 of SARS-CoV-2/USA/WA1/2020. Weight loss was then monitored for 14 days until the animals recovered.
918 919 920 921 922 923	Supplemental Figure 3. Weight loss in unvaccinated hamsters after challenge with 10 ⁵ , 10 ⁴ , and 10 ³ TCID50 of SARS-CoV-2. To determine if lower challenge doses of SARS-CoV-2 would induce weight loss, equal numbers of male and female hamsters (n=3/sex, n=6/group) were intranasally inoculated with 10 ⁵ , 10 ⁴ , and 10 ³ TCID50 of SARS-CoV-2/USA/WA1/2020. Weight loss was then monitored for 14 days until the animals recovered.
918 919 920 921 922 923 924	Supplemental Figure 3. Weight loss in unvaccinated hamsters after challenge with 10 ⁵ , 10 ⁴ , and 10 ³ TCID50 of SARS-CoV-2. To determine if lower challenge doses of SARS-CoV-2 would induce weight loss, equal numbers of male and female hamsters (n=3/sex, n=6/group) were intranasally inoculated with 10 ⁵ , 10 ⁴ , and 10 ³ TCID50 of SARS-CoV-2/USA/WA1/2020. Weight loss was then monitored for 14 days until the animals recovered. Supplemental Figure 4. Lung histopathology scoring for multiple parameters in
918 919 920 921 922 923 924 925	Supplemental Figure 3. Weight loss in unvaccinated hamsters after challenge with 10 ⁵ , 10 ⁴ , and 10 ³ TCID50 of SARS-CoV-2. To determine if lower challenge doses of SARS-CoV-2 would induce weight loss, equal numbers of male and female hamsters (n=3/sex, n=6/group) were intranasally inoculated with 10 ⁵ , 10 ⁴ , and 10 ³ TCID50 of SARS-CoV-2/USA/WA1/2020. Weight loss was then monitored for 14 days until the animals recovered. Supplemental Figure 4. Lung histopathology scoring for multiple parameters in vaccinated hamsters challenged with SARS-CoV-2. On days 3, 5, and 7 post-infection, lung
918 919 920 921 922 923 924 925 926	Supplemental Figure 3. Weight loss in unvaccinated hamsters after challenge with 10 ⁵ , 10 ⁴ , and 10 ³ TCID50 of SARS-CoV-2. To determine if lower challenge doses of SARS-CoV-2 would induce weight loss, equal numbers of male and female hamsters (n=3/sex, n=6/group) were intranasally inoculated with 10 ⁵ , 10 ⁴ , and 10 ³ TCID50 of SARS-CoV-2/USA/WA1/2020. Weight loss was then monitored for 14 days until the animals recovered. Supplemental Figure 4. Lung histopathology scoring for multiple parameters in vaccinated hamsters challenged with SARS-CoV-2. On days 3, 5, and 7 post-infection, lung tissues were processed for H&E staining and scored by a veterinary pathologist. Panels display
918 919 920 921 922 923 924 925 926 927	Supplemental Figure 3. Weight loss in unvaccinated hamsters after challenge with 10 ⁵ , 10 ⁴ , and 10 ³ TCID50 of SARS-CoV-2. To determine if lower challenge doses of SARS-CoV-2 would induce weight loss, equal numbers of male and female hamsters (n=3/sex, n=6/group) were intranasally inoculated with 10 ⁵ , 10 ⁴ , and 10 ³ TCID50 of SARS-CoV-2/USA/WA1/2020. Weight loss was then monitored for 14 days until the animals recovered. Supplemental Figure 4. Lung histopathology scoring for multiple parameters in vaccinated hamsters challenged with SARS-CoV-2. On days 3, 5, and 7 post-infection, lung tissues were processed for H&E staining and scored by a veterinary pathologist. Panels display scoring for (A) Type II Pneumocyte Hyperplasia, (B) Alveoli Pathology, (C) Hemorrhage, (D)
918 919 920 921 922 923 924 925 926 927 928	Supplemental Figure 3. Weight loss in unvaccinated hamsters after challenge with 10 ⁵ , 10 ⁴ , and 10 ³ TCID50 of SARS-CoV-2. To determine if lower challenge doses of SARS-CoV-2 would induce weight loss, equal numbers of male and female hamsters (n=3/sex, n=6/group) were intranasally inoculated with 10 ⁵ , 10 ⁴ , and 10 ³ TCID50 of SARS-CoV-2/USA/WA1/2020. Weight loss was then monitored for 14 days until the animals recovered. Supplemental Figure 4. Lung histopathology scoring for multiple parameters in vaccinated hamsters challenged with SARS-CoV-2. On days 3, 5, and 7 post-infection, lung tissues were processed for H&E staining and scored by a veterinary pathologist. Panels display scoring for (A) Type II Pneumocyte Hyperplasia, (B) Alveoli Pathology, (C) Hemorrhage, (D) Blood Vessels Pathology, and (E) Bronchi Pathology. Black horizontal lines indicate median

930

- 931 Supplementary Table 1: Cryo-EM data collection, processing, and model refinement
- 932 statistics.
- 933
- 934 Supplemental File 1. Sequences of plasmids used in this study.
- 935
- 936 Supplemental File 2. A validation report for the cryo-EM reconstruction of the apo
- 937 scaffold based on I3-01 is provided for peer review purposes.

Patel et al. Figure 1







Figure 3



Figure 4



Figure 5









Supplementary Table 1: Cryo-EM data collection, processing, and model refinement statistics

Data collection and processing

Microscope	Titan Krios
Detector	Falcon 3EC
Voltage (kV)	300
Recording mode	Counting
Nominal magnification	x59,000
Electron exposure (e ⁻ /Å ²)	45
No. of frames	39
Defocus range (µm)	-1.2 to -3.0
Pixel size (Å)	1.11
Symmetry	Icosahedral
Initial particles (no.)	129,792
Final particles (no.)	63,430
Map resolution (Å)	3.4
FSC Threshold	0.143
Map sharpening B-factor (Å ²)	-196.1
Model refinement	
Initial model used	13-01
FSC model vs. map at FSC=0.5	3.77
CC model vs. map (masked)	0.77
Average B-factor (Å ²)	69.1
Bond length rmsd (Å)	0.002
Bond angle rmsd (°)	0.562
Model composition	
No. of chains	60
Non-hydrogen atoms/chain	1,519
protein residues/chain	201 (aa 22-222)
Validation	
Molprobity score	1.5
Clashscore	9.4
Poor rotamers (%)	none
Ramachandran plot	
Favored (%)	97.99
Allowed (%)	2.01
Disallowed (%)	none

Supplemental File 1: Sequences of plasmids used in this study.

>pSL1013_Apo_Cage_Expression_Plasmid LOCUS pSL1013 5916 bp DNA circular 20-JUL-2022 DEFINITION . ACCESSION VERSION SOURCE ORGANISM . COMMENT pET29b(+) from 1 to 5370 COMMENT pET29b(+) COMMENT ApEinfo:methylated:0 FEATURES Location/Qualifiers misc feature 5076..5750 /locus tag="6xHis 4xGGS I3-01" /label="6xHis 4xGGS I3-01" /ApEinfo_label="6xHis_4xGGS_I3-01" /ApEinfo fwdcolor="cyan" /ApEinfo revcolor="green" /ApEinfo_graphicformat="arrow_data {{0 1 2 0 0 -1} {} 0} width 5 offset 0" 1421..2103 rep origin /locus tag="ColE1 origin" /label="ColE1 origin" /ApEinfo_label="ColE1 origin" /ApEinfo fwdcolor="gray50" /ApEinfo revcolor="gray50" /ApEinfo_graphicformat="arrow_data {{0 1 2 0 0 -1} {} 0} width 5 offset 0" 29..335 rep_origin /locus_tag="F1 ori" /label="F1 ori" /ApEinfo label="F1 ori" /ApEinfo fwdcolor="gray50" /ApEinfo_revcolor="gray50" /ApEinfo_graphicformat="arrow_data {{0 1 2 0 0 -1} {} 0} width 5 offset 0" 12..467 rep_origin /locus_tag="M13 origin" /label="M13 origin" /ApEinfo label="M13 origin" /ApEinfo fwdcolor="gray50" /ApEinfo_revcolor="gray50" /ApEinfo_graphicformat="arrow_data {{0 1 2 0 0 -1} {} 0} width 5 offset 0" misc binding 5007..5029 /locus tag="LacO" /label="LacO" /ApEinfo_label="LacO" /ApEinfo fwdcolor="#6495ed" /ApEinfo revcolor="#6495ed" /ApEinfo_graphicformat="arrow_data {{0 1 2 0 0 -1} {} 0} width 5 offset 0" CDS complement(3518..4474) /locus tag="Lacl" /label="Lacl" /ApEinfo_label="Lacl" /ApEinfo_fwdcolor="gray50" /ApEinfo revcolor="gray50"

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ORIGIN

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>pSL1515 SARS-CoV-2-Spike-RBD-WithSpyTag_Expression Plasmid pSL1515 5512 bp DNA circular 12-MAY-2022 LOCUS **DEFINITION** . ACCESSION VERSION SOURCE ORGANISM . COMMENT COMMENT ApEinfo:methylated:1 Location/Qualifiers FEATURES primer bind complement(3011..3031) /locus tag="M13-rev" /label="M13-rev" /ApEinfo label="M13-rev" /ApEinfo fwdcolor="cyan" /ApEinfo_revcolor="green" /ApEinfo graphicformat="arrow data {{0 1 2 0 0 -1} {} 0} width 5 offset 0" misc_feature 1898..2462 /locus tag="SARS-CoV-2 Spike SP+RBD+6xHis" /label="SARS-CoV-2 Spike SP+RBD+6xHis" /ApEinfo label="SARS-CoV-2 Spike SP+RBD+6xHis" /ApEinfo fwdcolor="cyan" /ApEinfo_revcolor="green" /ApEinfo_graphicformat="arrow_data {{0 1 2 0 0 -1} {} 0} width 5 offset 0" complement(3744..4426) rep_origin /locus tag="ColE1 origin" /label="ColE1 origin" /ApEinfo_label="ColE1 origin" /ApEinfo fwdcolor="gray50" /ApEinfo revcolor="gray50" /ApEinfo graphicformat="arrow data {{0 1 2 0 0 -1} {} 0} width 5 offset 0" misc_binding complement(3037..3059) /locus tag="LacO" /label="LacO" /ApEinfo label="LacO" /ApEinfo_fwdcolor="#6495ed" /ApEinfo revcolor="#6495ed" /ApEinfo graphicformat="arrow data {{0 1 2 0 0 -1} {} 0} width 5 offset 0" misc_feature 3389..3519 /locus tag="SV40 early poly-A signal" /label="SV40 early poly-A signal" /ApEinfo label="SV40 early poly-A signal" /ApEinfo fwdcolor="#808000" /ApEinfo_revcolor="green" /ApEinfo graphicformat="arrow data {{0 1 2 0 0 -1} {} 0} width 5 offset 0" misc_feature complement(4524..5183) /locus tag="AmpR" /label="AmpR" /ApEinfo label="AmpR" /ApEinfo fwdcolor="#ffff00" /ApEinfo_revcolor="#ffff00" /ApEinfo_graphicformat="arrow_data {{0 1 2 0 0 -1} {} 0} width 5 offset 0"

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ORIGIN

1 GTCGACATTG ATTATTGACT AGTTATTAAT AGTAATCAAT TACGGGGTCA TTAGTTCATA 61 GCCCATATAT GGAGTTCCGC GTTACATAAC TTACGGTAAA TGGCCCGCCT GGCTGACCGC 121 CCAACGACCC CCGCCCATTG ACGTCAATAA TGACGTATGT TCCCATAGTA ACGCCAATAG 181 GGACTTTCCA TTGACGTCAA TGGGTGGAGT ATTTACGGTA AACTGCCCAC TTGGCAGTAC 241 ATCAAGTGTA TCATATGCCA AGTACGCCCC CTATTGACGT CAATGACGGT AAATGGCCCG 301 CCTGGCATTA TGCCCAGTAC ATGACCTTAT GGGACTTTCC TACTTGGCAG TACATCTACG 361 TATTAGTCAT CGCTATTACC ATGGTCGAGG TGAGCCCCAC GTTCTGCTTC ACTCTCCCCA 421 TCTCCCCCC CTCCCCACCC CCAATTTTGT ATTTATTAT TTTTTAATTA TTTTGTGCAG 481 CGATGGGGGC GGGGGGGGGG GGGGCGCGCG CCAGGCGGGG CGGGGCGGGG CGAGGGGCGG 541 GGCGGGGCGA GGCGGAGAGG TGCGGCGGCA GCCAATCAGA GCGGCGCGCT CCGAAAGTTT 601 CCTTTTATGG CGAGGCGGCG GCGGCGGCGG CCCTATAAAA AGCGAAGCGC GCGGCGGGCG 661 GGAGTCGCTG CGCGCGCTGC CTTCGCCCCG TGCCCCGCTC CGCGCCGCCT CGCGCCGCCC 721 GCCCCGGCTC TGACTGACCG CGTTACTCCC ACAGGTGAGC GGGCGGGACG GCCCTTCTCC 781 TCCGGGCTGT AATTAGCGCT TGGTTTAATG ACGGCTTGTT TCTTTTCTGT GGCTGCGTGA 841 AAGCCTTGAG GGGCTCCGGG AGGGCCCTTT GTGCGGGGGG GAGCGGCTCG GGGGGTGCGT 901 GCGTGTGTGT GTGCGTGGGG AGCGCCGCGT GCGGCTCCGC GCTGCCCGGC GGCTGTGAGC 961 GCTGCGGGCG CGGCGCGGGG CTTTGTGCGC TCCGCAGTGT GCGCGAGGGG AGCGCGGCCG 1021 GGGGCGGTGC CCCGCGGTGC GGGGGGGGCT GCGAGGGGAA CAAAGGCTGC GTGCGGGGTG 1081 TGTGCGTGGG GGGGTGAGCA GGGGGTGTGG GCGCGTCGGT CGGGCTGCAA CCCCCCCTG 1141 CACCCCCCTC CCCGAGTTGC TGAGCACGGC CCGGCTTCGG GTGCGGGGCT CCGTACGGGG 1201 CGTGGCGCGG GGCTCGCCGT GCCGGGCGGG GGGTGGCGGC AGGTGGGGGT GCCGGGCGGG 1261 GCGGGGCCGC CTCGGGCCGG GGAGGGCTCG GGGGAGGGGC GCGGCGGCCC CCGGAGCGCC 1321 GGCGGCTGTC GAGGCGCGGC GAGCCGCAGC CATTGCCTTT TATGGTAATC GTGCGAGAGG 1381 GCGCAGGGAC TTCCTTTGTC CCAAATCTGG CGGAGCCGAA ATCTGGGAGG CGCCGCCGCA 1441 CCCCCTCTAG CGGGCGCGGG GCGAAGCGGT GCGGCGCCGG CAGGAAGGAA ATGGGCGGGG 1501 AGGGCCTTCG TGCGTCGCCG CGCCGCCGTC CCCTTCTCCC TCTCCAGCCT CGGGGCTGCC 1561 GCGGGGGGAC GGCTGCCTTC GGGGGGGGACG GGGCAGGGCG GGGTTCGGCT TCTGGCGTGT 1621 GACCGGCGGC TCTAGAGCCT CTGCTAACCA TGTTCATGCC TTCTTCTTTT TCCTACAGCT 1681 CCTGGGCAAC GTGCTGGTTA TTGTGCTGTC TCATCATTTT GGCAAAGGCC ACCATGTTCG 1741 TGTTTCTGGT GCTGCTGCCT CTGGTGTCCA GCCAGCGGGT GCAGCCCACC GAATCCATCG 1801 TGCGGTTCCC CAATATCACC AATCTGTGCC CCTTCGGCGA GGTGTTCAAT GCCACCAGAT 1861 TCGCCTCTGT GTACGCCTGG AACCGGAAGC GGATCAGCAA TTGCGTGGCC GACTACTCCG 1921 TGCTGTACAA CTCCGCCAGC TTCAGCACCT TCAAGTGCTA CGGCGTGTCC CCTACCAAGC 1981 TGAACGACCT GTGCTTCACA AACGTGTACG CCGACAGCTT CGTGATCCGG GGAGATGAAG 2041 TGCGGCAGAT TGCCCCTGGA CAGACAGGCA AGATCGCCGA CTACAACTAC AAGCTGCCCG 2101 ACGACTTCAC CGGCTGTGTG ATTGCCTGGA ACAGCAACAA CCTGGACTCC AAAGTCGGCG 2161 GCAACTACAA TTACCTGTAC CGGCTGTTCC GGAAGTCCAA TCTGAAGCCC TTCGAGCGGG 2221 ACATCTCCAC CGAGATCTAT CAGGCCGGCA GCACCCCTTG TAACGGCGTG GAAGGCTTCA 2281 ACTGCTACTT CCCACTGCAG TCCTACGGCT TTCAGCCCAC AAATGGCGTG GGCTATCAGC 2341 CCTACAGAGT GGTGGTGCTG AGCTTCGAAC TGCTGCATGC CCCTGCCACA GTGTGCGGCC 2401 CTAAGAAAAG CACCAATCTC GTGAAGAACA AATGCGTGAA CTTCCACCAT CACCATCACC 2461 ATggttccgg tggagcacat attgtgatgg ttgacgctta caagccaacc aaataatgac

2521 tcgagCTAGC AGATCTTTTT CCCTCTGCCA AAAATTATGG GGACATCATG AAGCCCCTTG 2581 AGCATCTGAC TTCTGGCTAA TAAAGGAAAT TTATTTTCAT TGCAATAGTG TGTTGGAATT 2641 TTTTGTGTCT CTCACTCGGA AGGACATATG GGAGGGCAAA TCATTTAAAA CATCAGAATG 2701 AGTATTTGGT TTAGAGTTTG GCAACATATG CCCATATGCT GGCTGCCATG AACAAAGGTT 2761 GGCTATAAAG AGGTCATCAG TATATGAAAC AGCCCCCTGC TGTCCATTCC TTATTCCATA 2821 GAAAAGCCTT GACTTGAGGT TAGATTTTTT TTATATTTTG TTTTGTGTTA TTTTTTCTT 2881 TAACATCCCT AAAATTTTCC TTACATGTTT TACTAGCCAG ATTTTTCCTC CTCTCCTGAC 2941 TACTCCCAGT CATAGCTGTC CCTCTTCTCT TATGGAGATC CCTCGACCTG CAGCCCAAGC 3001 TTGGCGTAAT CATGGTCATA GCTGTTTCCT GTGTGAAATT GTTATCCGCT CACAATTCCA 3061 CACAACATAC GAGCCGGAAG CATAAAGTGT AAAGCCTGGG GTGCCTAATG AGTGAGCTAA 3121 CTCACATTAA TTGCGTTGCG CTCACTGCCC GCTTTCCAGT CGGGAAACCT GTCGTGCCAG 3181 CGGATCCGCA TCTCAATTAG TCAGCAACCA TAGTCCCGCC CCTAACTCCG CCCATCCCGC 3241 CCCTAACTCC GCCCAGTTCC GCCCATTGC CGCCCCATGG CTGACTAATT TTTTTATTT 3301 ATGCAGAGGC CGAGGCCGCC TCGGCCTCTG AGCTATTCCA GAAGTAGTGA GGAGGCTTTT 3361 TTGGAGGCCT AGGCTTTTGC AAAAAGCTAA CTTGTTTATT GCAGCTTATA ATGGTTACAA 3421 ATAAAGCAAT AGCATCACAA ATTTCACAAA TAAAGCATTT TTTTCACTGC ATTCTAGTTG 3481 TGGTTTGTCC AAACTCATCA ATGTATCTTA TCATGTCTGG ATCCGCTGCA TTAATGAATC 3541 GGCCAACGCG CGGGGAGAGG CGGTTTGCGT ATTGGGCGCT CTTCCGCTTC CTCGCTCACT 3601 GACTCGCTGC GCTCGGTCGT TCGGCTGCGG CGAGCGGTAT CAGCTCACTC AAAGGCGGTA 3661 ATACGGTTAT CCACAGAATC AGGGGATAAC GCAGGAAAGA ACATGTGAGC AAAAGGCCAG 3721 CAAAAGGCCA GGAACCGTAA AAAGGCCGCG TTGCTGGCGT TTTTCCATAG GCTCCGCCCC 3781 CCTGACGAGC ATCACAAAAA TCGACGCTCA AGTCAGAGGT GGCGAAACCC GACAGGACTA 3841 TAAAGATACC AGGCGTTTCC CCCTGGAAGC TCCCTCGTGC GCTCTCCTGT TCCGACCCTG 3901 CCGCTTACCG GATACCTGTC CGCCTTTCTC CCTTCGGGAA GCGTGGCGCT TTCTCATAGC 3961 TCACGCTGTA GGTATCTCAG TTCGGTGTAG GTCGTTCGCT CCAAGCTGGG CTGTGTGCAC 4021 GAACCCCCCG TTCAGCCCGA CCGCTGCGCC TTATCCGGTA ACTATCGTCT TGAGTCCAAC 4081 CCGGTAAGAC ACGACTTATC GCCACTGGCA GCAGCCACTG GTAACAGGAT TAGCAGAGCG 4141 AGGTATGTAG GCGGTGCTAC AGAGTTCTTG AAGTGGTGGC CTAACTACGG CTACACTAGA 4201 AGAACAGTAT TTGGTATCTG CGCTCTGCTG AAGCCAGTTA CCTTCGGAAA AAGAGTTGGT 4261 AGCTCTTGAT CCGGCAAACA AACCACCGCT GGTAGCGGTG GTTTTTTGT TTGCAAGCAG 4321 CAGATTACGC GCAGAAAAAA AGGATCTCAA GAAGATCCTT TGATCTTTTC TACGGGGTCT 4381 GACGCTCAGT GGAACGAAAA CTCACGTTAA GGGATTTTGG TCATGAGATT ATCAAAAAGG 4441 ATCTTCACCT AGATCCTTTT AAATTAAAAA TGAAGTTTTA AATCAATCTA AAGTATATAT 4501 GAGTAAACTT GGTCTGACAG TTACCAATGC TTAATCAGTG AGGCACCTAT CTCAGCGATC 4561 TGTCTATTTC GTTCATCCAT AGTTGCCTGA CTCCCCGTCG TGTAGATAAC TACGATACGG 4621 GAGGGCTTAC CATCTGGCCC CAGTGCTGCA ATGATACCGC GAGACCCACG CTCACCGGCT 4681 CCAGATTTAT CAGCAATAAA CCAGCCAGCC GGAAGGGCCG AGCGCAGAAG TGGTCCTGCA 4741 ACTTTATCCG CCTCCATCCA GTCTATTAAT TGTTGCCGGG AAGCTAGAGT AAGTAGTTCG 4801 CCAGTTAATA GTTTGCGCAA CGTTGTTGCC ATTGCTACAG GCATCGTGGT GTCACGCTCG 4861 TCGTTTGGTA TGGCTTCATT CAGCTCCGGT TCCCAACGAT CAAGGCGAGT TACATGATCC 4921 CCCATGTTGT GCAAAAAGC GGTTAGCTCC TTCGGTCCTC CGATCGTTGT CAGAAGTAAG 4981 TTGGCCGCAG TGTTATCACT CATGGTTATG GCAGCACTGC ATAATTCTCT TACTGTCATG 5041 CCATCCGTAA GATGCTTTTC TGTGACTGGT GAGTACTCAA CCAAGTCATT CTGAGAATAG 5101 TGTATGCGGC GACCGAGTTG CTCTTGCCCG GCGTCAATAC GGGATAATAC CGCGCCACAT 5161 AGCAGAACTT TAAAAGTGCT CATCATTGGA AAACGTTCTT CGGGGCGAAA ACTCTCAAGG 5221 ATCTTACCGC TGTTGAGATC CAGTTCGATG TAACCCACTC GTGCACCCAA CTGATCTTCA 5281 GCATCTTTTA CTTTCACCAG CGTTTCTGGG TGAGCAAAAA CAGGAAGGCA AAATGCCGCA 5341 AAAAAGGGAA TAAGGGCGAC ACGGAAATGT TGAATACTCA TACTCTTCCT TTTTCAATAT 5401 TATTGAAGCA TTTATCAGGG TTATTGTCTC ATGAGCGGAT ACATATTTGA ATGTATTTAG 5461 AAAAATAAAC AAATAGGGGT TCCGCGCACA TTTCCCCGAA AAGTGCCACC TG

 \parallel





Full wwPDB EM Validation Report

Aug 9, 2022 – 12:48 PM ED1

		0001
PDB ID	:	8E01
EMDB ID	:	EMD-27812
Title	:	Structure of engineered nano-cage fusion protein
Deposited on	:	2022-08-08
Resolution	:	3.40 Å(reported)

This wwPDB validation report is for manuscript review

This is a Full wwPDB EM Validation Report.

This report is produced by the wwPDB biocuration pipeline after annotation of the structure.

We welcome your comments at *validation@mail.wwpdb.org* A user guide is available at https://www.wwpdb.org/validation/2017/EMValidationReportHelp with specific help available everywhere you see the (i) symbol.

The types of validation reports are described at http://www.wwpdb.org/validation/2017/FAQs#types.

The following versions of software and data (see references (1)) were used in the production of this report:

EMDB validation analysis	:	0.0.1.dev8
MolProbity	:	4.02b-467
Percentile statistics	:	20191225.v01 (using entries in the PDB archive December 25th 2019)
Ideal geometry (proteins)	:	Engh & Huber (2001)
Ideal geometry (DNA, RNA)	:	Parkinson et al. (1996)
idation Pipeline (wwPDB-VP)	:	2.29

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(*For Manuscript Review*)

EMD-27812, 8E01

1 Overall quality at a glance (i)

The following experimental techniques were used to determine the structure: *ELECTRON MICROSCOPY*

The reported resolution of this entry is 3.40 Å.

Percentile scores (ranging between 0-100) for global validation metrics of the entry are shown in the following graphic. The table shows the number of entries on which the scores are based.



The table below summarises the geometric issues observed across the polymeric chains and their fit to the map. The red, orange, yellow and green segments of the bar indicate the fraction of residues that contain outliers for >=3, 2, 1 and 0 types of geometric quality criteria respectively. A grey segment represents the fraction of residues that are not modelled. The numeric value for each fraction is indicated below the corresponding segment, with a dot representing fractions <=5% The upper red bar (where present) indicates the fraction of residues that have poor fit to the EM map (all-atom inclusion < 40%). The numeric value is given above the bar.

Mol	Chain	Length	Quality	v of chain		
1	A	224	70%		20%	10%



bioRxiv preprint doi: https://doi.org/10.1101/2022.10.27.514054; this version posted October 27, 2022. The copyright holder for this preprint (which was not certified by peer review) is the author/funder, who has granted bioRxiv a license to display the preprint in perpetuity. It is made available under a DBB CMC-No align at an attachment of the preprint of the pr

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(*For Manuscript Review*)

EMD-27812, 8E01

2 Entry composition (i)

There is only 1 type of molecule in this entry. The entry contains 1518 atoms, of which 0 are hydrogens and 0 are deuteriums.

In the tables below, the AltConf column contains the number of residues with at least one atom in alternate conformation and the Trace column contains the number of residues modelled with at most 2 atoms.

• Molecule 1 is a protein called 2-dehydro-3-deoxyphosphogluconate aldolase/4-hydroxy-2-ox oglutarate aldolase.

Mol	Chain	Residues		A	toms			AltConf	Trace
1	А	201	Total 1518	C 988	N 248	0 272	S 10	0	0

There are 24 discrepancies between the modelled and reference sequences:

Chain	Residue	Modelled	Actual	Comment	Reference
А	1	MET	+	initiating methionine	UNP Q9WXS1
А	2	HIS		expression tag	UNP Q9WXS1
А	3	HIS	/ -	expression tag	UNP Q9WXS1
А	4	HIS	- (expression tag	UNP Q9WXS1
А	5	HIS	-	expression tag	UNP Q9WXS1
А	6	HIS		expression tag	UNP Q9WXS1
А	7	HIS	-	expression tag	UNP Q9WXS1
А	8	GLY		expression tag	UNP Q9WXS1
А	9	GLY	-	expression tag	UNP Q9WXS1
А	10	SER	-	expression tag	UNP Q9WXS1
А	11 /	GLY	- Y	expression tag	UNP Q9WXS1
А	12	GLY	- /	expression tag	UNP Q9WXS1
А	13	SER	- /	expression tag	UNP Q9WXS1
A	14	GLY	+	expression tag	UNP Q9WXS1
A	15	GLY	/ -	expression tag	UNP Q9WXS1
A	16	SER	/ -	expression tag	UNP Q9WXS1
A	17	GLY	-	expression tag	UNP Q9WXS1
A	18	GLY	-	expression tag	UNP Q9WXS1
Ą	19	SER	-	expression tag	UNP Q9WXS1
A	45	LYS	GLU	conflict	UNP Q9WXS1
A	52	LEU	GLU	conflict	UNP Q9WXS1
A	80	MET	LYS	conflict	UNP Q9WXS1
A	206	VAL	ASP	conflict	UNP Q9WXS1
A	209	ALA	ARG	conflict	UNP Q9WXS1



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3 Residue-property plots (i)

These plots are drawn for all protein, RNA, DNA and oligosaccharide chains in the entry. The first graphic for a chain summarises the proportions of the various outlier classes displayed in the second graphic. The second graphic shows the sequence view annotated by issues in geometry and atom inclusion in map density. Residues are color-coded according to the number of geometric quality criteria for which they contain at least one outlier: green = 0, yellow = 1, orange = 2 and red = 3 or more. A red diamond above a residue indicates a poor fit to the EM map for this residue (all-atom inclusion < 40%). Stretches of 2 or more consecutive residues without any outlier are shown as a green connector. Residues present in the sample, but not in the model, are shown in grey.

• Molecule 1: 2-dehydro-3-deoxyphosphogluconate aldolase/4-hydroxy-2-oxoglutarate aldolase



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4 Experimental information (i)

Property	Value	Source
EM reconstruction method	SINGLE PARTICLE	Depositor
Imposed symmetry	POINT, I	Depositor
Number of particles used	63430	Depositor
Resolution determination method	FSC 0.143 CUT-OFF	Depositor
CTF correction method	PHASE FLIPPING AND AMPLITUDE	Depositor
	CORRECTION	
Microscope	FEI TITAN KRIÓS	Depositor
Voltage (kV)	300	Depositor
Electron dose $(e^-/\text{\AA}^2)$	44.85	Depositor
Minimum defocus (nm)	1200	Depositor
Maximum defocus (nm)	3000	Depositor
Magnification	59000	Depositor
Image detector	FEI FALCON III (4k x 4k)	Depositor
Maximum map value	6.362	Depositor
Minimum map value	-4.024	Depositor
Average map value	0.007	Depositor
Map value standard deviation	0.216	Depositor
Recommended contour level	0.9	Depositor
Map size (Å)	462.0, 462.0, 462.0	wwPDB
Map dimensions	420, 420, 420	wwPDB
Map angles (°)	90.0, 90.0, 90.0	wwPDB
Pixel spacing (Å)	1.1, 1.1, 1.1	Depositor


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5 Model quality (i)

5.1 Standard geometry (i)

The Z score for a bond length (or angle) is the number of standard deviations the observed value is removed from the expected value. A bond length (or angle) with |Z| > 5 is considered an outlier worth inspection. RMSZ is the root-mean-square of all Z scores of the bond lengths (or angles).

Mol	Chain	Bond lengths		Bond angles	
		RMSZ	# Z > 5	RMSZ	# Z > 5
1	А	0.25	0/1547	0.45	0/2086

There are no bond length outliers.

There are no bond angle outliers.

There are no chirality outliers.

There are no planarity outliers.

5.2 Too-close contacts (i)

In the following table, the Non-H and H(model) columns list the number of non-hydrogen atoms and hydrogen atoms in the chain respectively. The H(added) column lists the number of hydrogen atoms added and optimized by MolProbity. The Clashes column lists the number of clashes within the asymmetric unit, whereas Symm-Clashes lists symmetry-related clashes.

Mol	Chain	Non-H	H(model)	H(added)	Clashes	Symm-Clashes
1	А	1518	0	1587	26	0
All	All	1518	0	1587	26	0

The all-atom clashscore is defined as the number of clashes found per 1000 atoms (including hydrogen atoms). The all-atom clashscore for this structure is 8.

All (26) close contacts within the same asymmetric unit are listed below, sorted by their clash magnitude.

Atom-1	Atom-2	Interatomic distance (Å)	Clash overlap (Å)
1:A:202:LYS:O	1:A:211:LYS:NZ	2.31	0.60
1:A:148:LYS:NZ	1:A:175:THR:OG1	2.35	0.59
1: <mark>A:171:</mark> LYS:HD2	1:A:192:LEU:HD22	1.85	0.58
1:A:136:LEU:HD11	1:A:172:PHE:HZ	1.72	0.55
1:A:178:VAL:HG11	1:A:194:VAL:HG11	1.89	0.55

Continued on next page...



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Continuea from previous page						
Atom-1	Atom-2	Interatomic	Clash			
		distance (A)	overlap (A)			
1:A:37:ALA:H	1:A:64:VAL:HG22	1.73	0.54			
1:A:35:LEU:HA	1:A:201:VAL:HG11	1.96	0.48			
1:A:160:VAL:HG12	1:A:172:PHE:CD2	2.48	0.48			
1:A:33:ALA:HB2	1:A:55:VAL:HG11	1.94	0.48			
1:A:56:HIS:ND1	1:A:81:GLY:O	2.28	0.48			
1:A:31:ILE:HG21	1:A:55:VAL:HG22	1.97	0.47			
1:A:149:LEU:HB3	1:A:174:PRO:HA	1.98	0.46			
1:A:149:LEU:HD23	1:A:174:PRO:HB3	1.98	0.45			
1:A:184:CYS:SG	1:A:218:LYS:NZ	2.89	0.45			
1:A:132:THR:OG1	1:A:135:GLU:OE2	2.35	0.45			
1:A:200:LEU:HD11	1:A:212:ALA:HA	1.98	0.44			
1:A:31:ILE:HG22	1:A:55:VAL:HG13	2.00	0.44			
1:A:57:LEU:HD23	1:A:83:ILE:HB	2.00	0.44			
1:A:68:ASP:OD2	1:A:68:ASP:N	2.51	0.43			
1:A:163:MET:O	1:A:163:MET:HG3	2.19	0.43			
1:A:40:VAL:HG13	1:A:70:VAL:HG22	2.01	0.42			
1:A:129:GLY:HA2	1:A:148:LYS:HB3	2.01	0.42			
1:A:30:LYS:HB3	1:A:187:PHE:HZ	1.84	0.42			
1:A:58:ILE:O	1:A:84:ILE:HA	2.20	0.42			
1:A:74:LEU:O	1:A:74:LEU:HD23	2.21	0.40			
1:A:96:ARG:HA	1:A:99:VAL:HG22	2.02	0.40			

Continued from previous page...

There are no symmetry-related clashes.

5.3 Torsion angles (i

5.3.1 Protein backbone (1)

In the following table, the Percentiles column shows the percent Ramachandran outliers of the chain as a percentile score with respect to all PDB entries followed by that with respect to all EM entries.

The Analysed column shows the number of residues for which the backbone conformation was analysed, and the total number of residues.

Mol	Chain	Analysed	Favoured	Allowed	Outliers	Percentiles
1	A	199/224~(89%)	195 (98%)	4 (2%)	0	100 100

There are no Ramachandran outliers to report.



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5.3.2 Protein sidechains (i)

In the following table, the Percentiles column shows the percent sidechain outliers of the chain as a percentile score with respect to all PDB entries followed by that with respect to all EM entries.

The Analysed column shows the number of residues for which the sidechain conformation was analysed, and the total number of residues.

Mol	Chain	Analysed	Rotameric	Outliers	Percentiles
1	А	164/179~(92%)	160 (98%)	4 (2%)	49 74

All (4) residues with a non-rotameric sidechain are listed below:

Mol	Chain	Res	Type
1	А	110	HIS
1	А	174	PRO
1	А	181	ASP
1	А	215	PHE

Sometimes sidechains can be flipped to improve hydrogen bonding and reduce clashes. There are no such sidechains identified.

5.3.3 RNA (i)

There are no RNA molecules in this entry.

5.4 Non-standard residues in protein, DNA, RNA chains (i)

There are no non-standard protein/DNA/RNA residues in this entry.

5.5 Carbohydrates (i)

There are no monosaccharides in this entry.

5.6 Ligand geometry (i)

There are no ligands in this entry.

5.7 Other polymers (i)

There are no such residues in this entry.



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5.8 Polymer linkage issues (i)

There are no chain breaks in this entry.



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6 Map visualisation (i)

This section contains visualisations of the EMDB entry EMD-27812. These allow visual inspection of the internal detail of the map and identification of artifacts.

Images derived from a raw map, generated by summing the deposited half-maps, are presented below the corresponding image components of the primary map to allow further visual inspection and comparison with those of the primary map.

6.1 Orthogonal projections (i)

6.1.1 Primary map



The images above show the map projected in three orthogonal directions.



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X Index: 210

Y Index: 210

Z Index: 210

The images above show central slices of the map in three orthogonal directions.



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X Index: 158

Y Index: 158

Z Index: 261

The images above show the largest variance slices of the map in three orthogonal directions.



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The images above show the 3D surface view of the map at the recommended contour level 0.9. These images, in conjunction with the slice images, may facilitate assessment of whether an appropriate contour level has been provided.

6.4.2 Raw map



These images show the 3D surface of the raw map. The raw map's contour level was selected so that its surface encloses the same volume as the primary map does at its recommended contour level.



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6.5 Mask visualisation (i)

This section shows the 3D surface view of the primary map at 50% transparency overlaid with the specified mask at 0% transparency

A mask typically either:

- Encompasses the whole structure
- Separates out a domain, a functional unit, a monomer or an area of interest from a larger structure

6.5.1 D_1000267547_em-mask-volume_P1.map.V3 (1)



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7 Map analysis (i)

This section contains the results of statistical analysis of the map.

7.1 Map-value distribution (i)



The map-value distribution is plotted in 128 intervals along the x-axis. The y-axis is logarithmic. A spike in this graph at zero usually indicates that the volume has been masked.



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The volume at the recommended contour level is 694 nm^3 ; this corresponds to an approximate mass of 627 kDa.

The volume estimate graph shows how the enclosed volume varies with the contour level. The recommended contour level is shown as a vertical line and the intersection between the line and the curve gives the volume of the enclosed surface at the given level.





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8 Fourier-Shell correlation (i)

Fourier-Shell Correlation (FSC) is the most commonly used method to estimate the resolution of single-particle and subtomogram-averaged maps. The shape of the curve depends on the imposed symmetry, mask and whether or not the two 3D reconstructions used were processed from a common reference. The reported resolution is shown as a black line. A curve is displayed for the half-bit criterion in addition to lines showing the 0.143 gold standard cut-off and 0.5 cut-off.

8.1 FSC (i)



*Reported resolution corresponds to spatial frequency of 0.294 $\rm \AA^{-1}$



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8.2 Resolution estimates (i)

R esolution estimate (λ)	Estim	Estimation criterion (FSC cut-off)		
Resolution estimate (A)	0.143	0.5	Half-bit	
Reported by author	3.40	-	- / 🥠	
Author-provided FSC curve	3.43	3.80	3.54	
Unmasked-calculated*	4.07	4.39	4.09	

*Resolution estimate based on FSC curve calculated by comparison of deposited half-maps. The value from deposited half-maps intersecting FSC 0.143 CUT-OFF 4.07 differs from the reported value 3.4 by more than 10 %



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9 Map-model fit (i)

This section contains information regarding the fit between EMDB map EMD-27812 and PDB model 8E01. Per-residue inclusion information can be found in section 3 on page 4.

9.0.1 Map-model overlay (i)



The images above show the 3D surface view of the map at the recommended contour level 0.9 at 50% transparency in yellow overlaid with a ribbon representation of the model coloured in blue. These images allow for the visual assessment of the quality of fit between the atomic model and the map.





Contour level At the recommended contour level, 85% of all backbone atoms, 72% of all non-hydrogen atoms, are inside the map.

