



## EMDpen Liquid biopsy for HER2-positive breast cancer brain metastasis: the role of the cerebrospinal fluid

Leticia De Mattos-Arruda

To cite: De Mattos-Arruda L. Liquid biopsy for HER2positive breast cancer brain metastasis: the role of the cerebrospinal fluid. ESMO Open 2017:2:e000270. doi:10.1136/ esmoopen-2017-000270

Received 1 September 2017 Accepted 5 September 2017

Vall d'Hebron Institute of Oncology (VHIO), Barcelona, Spain

Correspondence to Dr Leticia De Mattos-Arruda; Idemattos@vhio.net

Cell-free tumour DNA (ctDNA) released from tumours has changed the paradigm for non-invasively identifying and monitoring genomic alterations of patients with cancer.<sup>12</sup> For patients with brain metastasis, however, plasma ctDNA may not reflect the central nervous system (CNS) tumour burden.<sup>3</sup> The cerebrospinal fluid (CSF), which is often directly or indirectly in contact with brain tumours, has been explored as a 'liquid biopsy' and as source of genetic material derived from brain tumours.<sup>3</sup>

In this edition, Siravegna *et al*<sup>o</sup> report the molecular results of liquid biopsies from a patient with epidermal growth factor receptor 2 (HER2)-positive metastatic breast cancer, who developed CNS progression and leptomeningeal carcinomatosis at a time when the systemic extracranial metastases showed clinical radiological response to treatment with ado-trastuzumab emtansine (T-DM1). T-DM1 is an antibody-drug conjugate that combines the antitumour properties of the trastuzumab (a humanised antihuman HER2 antibody) with the maytansinoid, DM1, a potent microtubule-disrupting agent, joined by a stable linker.

Paired ctDNA from the CSF (CSF ctDNA) and from plasma (plasma ctDNA) were genomically characterised before and after the fourth line of systemic therapy with T-DM1. The paired analysis of CSF ctDNA and plasma ctDNA mirrored CNS and systemic extracranial tumour burden, respectively. ERBB2 amplification, a hallmark for HER2-positive breast cancer, MYC amplification and mutations in breast cancer driver genes (ie, PIK3CA and TP53) were enriched in the CSF ctDNA as compared with plasma ctDNA. That possibly reflects the magnitude of CNS infiltration or high tumour burden in the CNS identified as per CSF ctDNA.

The case study provides evidence of divergent CNS and extracranial responses that are identified by different sources of non-invasive

tumour-derived ctDNA. The persistent high levels of ctDNA in the CSF at the T-DM1 post-treatment timepoint measurement revealed that the patient had CNS progressive disease. By contrast, decreasing mutant allelic frequencies of selected mutations in plasma ctDNA reflected partial clinical response in extracranial metastases. The discrepancies in CNS and extracranial responses represent a problem with modern targeted systemic therapies, particularly in the context of HER2-positive breast cancer. Some anti-HER2 therapies (eg, trastuzumab) tend to control systemic disease, but to spare the CNS as they do not penetrate the blood-brain barrier well, and are not retained in the CNS. Lapatinb combined with capecitabine<sup>7 8</sup> and T-DM1, on the other hand, have shown some in-brain tumour activity.<sup>9</sup>

Editorial

Previous studies have explored the CSF ctDNA as a 'liquid biopsy' to more precisely characterise and monitor brain cancers, considering the lack or minimal levels of CNS-derived ctDNA present in the plasma.<sup>5</sup> <sup>10–12</sup> Somatic alterations have been identified in the CSF ctDNA of patients with brain malignancies in 50%-75% of the cases.<sup>5 10–12</sup> In a series that included analyses of multiregional metastatic sites from postmortem specimens of patients with breast cancers with brain metastasis and primary brain tumours, CSF ctDNA and plasma ctDNA were compared.<sup>5</sup> The genomic alterations of CNS disease were better captured by CSF ctDNA than by plasma ctDNA.<sup>5</sup> Notably, in the case of highly disseminated systemic metastatic disease, plasma ctDNA was shown to capture the repertoire of mutations from both CNS and extracranial metastases; by contrast, CSF ctDNA was a suitable tool for identifying genomic alterations of patients with absent or minimal extracranial tumour burden.

The authors of the present article suggested that ctDNA was more informative and sensitive





than radiologic imaging. However, detailed information on CNS or peripheral tumour volume measurements was missing. In addition, the authors were not able to comment on whether ctDNA anticipated a clinicoradiological response because CSF ctDNA was not collected systematically over a longer follow-up. Previously, the measurement of tumour volume was shown to correlate with plasma variant allele frequency.<sup>13</sup> As for patients with primary brain tumours and brain metastasis, CSF ctDNA was shown to be modulated over time, following the same trend as the variation in brain tumour burden and also complementing the diagnosis of leptomeningeal carcinomatosis.<sup>5</sup>

The case study also alludes to spatial tumour genetic heterogeneity, in which each histological component of the mixed primary breast tumour (micropapillary and invasive ductal NST (non-special type)) had a specific repertoire of mutations. The micropapillary component had a *PIK3CA* mutation that was detected in the CSF with high allelic frequencies. It was hypothesised that this aggressive component might have given rise to brain and other distant metastasis. However, only a thorough analvsis using systematic brain tumour multisampling in postmortem specimens would have provided firm evidence for spatial tumour heterogeneity in this case. Combined histological analysis and highly depth sequencing could then be performed in the brain and extracranial implants to permit confirmation of whether they had originated from the micropapillary or invasive ductal NST components of the primary breast cancer.

This is important because even though the genomics landscape of primary breast cancer has been well described, less is known about metastasis from breast cancers.<sup>14–18</sup> Brain metastasis from breast cancers may be clonally related to their primary tumour but may acquire driver mutations later on and harbour clinically actionable mutations that are not detected in primary tumour samples.<sup>15–16</sup> Therefore, the use of CSF ctDNA may be important as a real-time and organ-specific approach to identify the genomic alterations in the brain site in addition to plasma profiling.

In the context of HER2-positive breast cancer with brain and systemic extracranial metastasis, 'liquid biopsies' are not ready yet to substitute radiological imaging or to stratify patients for specific targeted therapies. However, the combined analyses of CSF, plasma and radiological imaging may benefit patients with HER2-positive metastatic breast cancer with discordant in-brain and extracranial responses, potentially outlining tumour genetic heterogeneity, clonal evolution and mechanisms of resistance to explain such clinical discordances. CSF ctDNA has the potential to identify brain metastasis-specific actionable genomic alterations that may facilitate <u>6</u>

the design of personalised treatments to target brain metastasis.

Competing interests None declared.

Provenance and peer review Commissioned; internally peer reviewed.

**Open Access** This is an Open Access article distributed in accordance with the Creative Commons Attribution Non Commercial (CC BY-NC 4.0) license, which permits others to distribute, remix, adapt, build upon this work non-commercially, and license their derivative works on different terms, provided the original work is properly cited and the use is non-commercial. See: http://creativecommons.org/ licenses/by-nc/4.0/

© European Society for Medical Oncology (unless otherwise stated in the text of the article) 2017. All rights reserved. No commercial use is permitted unless otherwise expressly granted.

## REFERENCES

- 1. De Mattos-Arruda L, Caldas C. Cell-free circulating tumour DNA as a liquid biopsy in breast cancer. *Mol Oncol* 2016;10:464–74.
- Bardelli A, Pantel K. Liquid biopsies, what we do not know (yet). Cancer Cell 2017;31:172–9.
- Bettegowda C, Sausen M, Leary RJ, et al. Detection of circulating tumor DNA in early- and late-stage human malignancies. Sci Transl Med 2014;6:224ra24.
- Chen WW, Balaj L, Liau LM, *et al.* BEAMing and droplet digital PCR analysis of mutant IDH1 mRNA in glioma patient serum and cerebrospinal fluid extracellular vesicles. *Mol Ther Nucleic Acids* 2013;2:e109.
- De Mattos-Arruda L, Mayor R, Ng CK, et al. Cerebrospinal fluidderived circulating tumour DNA better represents the genomic alterations of brain tumours than plasma. Nat Commun 2015;6:8839.
- Siravegna G, Geuna E, Mussolin B, et al. Genotyping tumor DNA in cerebrospinal fluid and plasma in a HER2 positive breast cancer with brain metastases. ESMO Open 2017.
- Lin NU, Diéras V, Paul D, et al. Multicenter phase II study of lapatinib in patients with brain metastases from HER2-positive breast cancer. *Clin Cancer Res* 2009;15:1452–9.
- Bachelot T, Romieu G, Campone M, et al. Lapatinib plus capecitabine in patients with previously untreated brain metastases from HER2-positive metastatic breast cancer (LANDSCAPE): a single-group phase 2 study. *Lancet Oncol* 2013;14:64–71.
- Askoxylakis V, Ferraro GB, Kodack DP, et al. Preclinical efficacy of ado-trastuzumab emtansine in the brain microenvironment. J Natl Cancer Inst 2016;108:108.
- Pan W, Gu W, Nagpal S, et al. Brain tumor mutations detected in cerebral spinal fluid. *Clin Chem* 2015;61:514–22.
- Wang Y, Springer S, Zhang M, et al. Detection of tumor-derived DNA in cerebrospinal fluid of patients with primary tumors of the brain and spinal cord. Proc Natl Acad Sci U S A 2015;112:9704–9.
- Pentsova EI, Shah RH, Tang J, et al. Evaluating cancer of the central nervous system through next-generation sequencing of cerebrospinal fluid. J Clin Oncol 2016;34:2404–15.
- Abbosh C, Birkbak NJ, Wilson GA, et al. Phylogenetic ctDNA analysis depicts early-stage lung cancer evolution. Nature 2017;545:446–51.
- Yates LR, Gerstung M, Knappskog S, et al. Subclonal diversification of primary breast cancer revealed by multiregion sequencing. Nat Med 2015;21:751–9.
- Brastianos PK, Carter SL, Santagata S, et al. Genomic characterization of brain metastases reveals branched evolution and potential therapeutic targets. *Cancer Discov* 2015;5:1164–77.
- Yates LR, Knappskog S, Wedge D, et al. Genomic evolution of breast cancer metastasis and relapse. Cancer Cell 2017;32:169–84.
- Lefebvre C, Bachelot T, Filleron T, et al. Mutational profile of metastatic breast cancers: a retrospective analysis. PLoS Med 2016;13:e1002201.
- De Mattos-Arruda L, Weigelt B, Cortes J, et al. Capturing intratumor genetic heterogeneity by de novo mutation profiling of circulating cell-free tumor DNA: a proof-of-principle. Ann Oncol 2014;25:1729–35.