REVIEW



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Immunotherapy as sensitizer for local radiotherapy

Ben G.L. Vanneste[®], Evert J Van Limbergen^a, Ludwig Dubois[®], Iryna V. Samarska^c, L. Wieten^d, M. J.B. Aarts^e, T. Marcelissen^f, and Dirk De Ruysscher^a

^aDepartment of Radiation Oncology (MAASTRO Clinic), GROW - School for Oncology and Developmental Biology, Maastricht University Medical Center, Maastricht, The Netherlands; ^bThe M-Lab, Department of Precision Medicine, GROW - School for Oncology and Developmental Biology, Maastricht University, Maastricht, The Netherlands; ^cDepartment of Pathology, Maastricht University Medical Center, Maastricht, The Netherlands; ^dDepartment of Transplantation Immunology, Tissue Typing Laboratory, GROW School for Oncology and Developmental Biology, Maastricht University Medical Center+, Maastricht, The Netherlands; ^eDepartment of Medical Oncology, GROW - School for Oncology and Developmental Biology, Maastricht University Medical Center, Maastricht, The Netherlands; ^fDepartment of Urology, Maastricht University Medical Center, Maastricht, The Netherlands; The Netherlands

ABSTRACT

The purpose of this report was to systematically review the radiation enhancement factor (REF) effects of immunotherapy on radiotherapy (RT) to the local tumor in comparison with other traditional radiation sensitizers such as cisplatin. PubMed and Medline databases were searched until February 2019. Reports with abscopal effect in the results were excluded. Graphs of the selected papers were digitized using Plot Digitizer (Sourceforge.net) in order to calculate the tumor growth delay (TGD) caused by immunotherapy. To enable comparison between different studies, the TGD were used to define the REF between RT versus the RT/immunotherapy combination. Thirty-two preclinical papers, and nine clinical series were selected. Different mouse models were exposed to RT doses ranging from 1 to 10 fractions of 1.8 to 20 Gray (Gy) per fraction. Endpoints were heterogeneous, ranging from regression to complete local response. No randomized clinical studies were identified. The median preclinical REF effect of different immunotherapy was varying from 1.7 to 9.1. There was no relationship observed either with subclasses of immunotherapy orRT doses. In the clinical studies, RT doses ranged from 1 to 37 fractions of 1.8 to 24 Gy per fraction. Most clinical trials used ipilimumab and interleukin-2. Local control rate in the clinical series ranged from 66% to 100%. A strong REF of immunotherapy (1.7 to 9.1) was observed, this being higher than traditionally sensitizers such as cisplatin (1.1). This result implies that for the same RT dose, a higher local control was achieved with a combination of immunotherapy and RT in preclinical settings. This study therefore supports the use of combined RT and immunotherapy to improve local tumor control in clinical settings without exacerbation of toxicities.

Introduction

Radiotherapy (RT) is one of the three anticancer treatments, besides surgery and systemic therapies like chemotherapy, hormonal therapy, or immunotherapy. Several randomized trials and meta-analyses have shown that the addition of either cisplatin or 5-fluorouracil-based chemotherapy to RT significantly improves local control and survival over RT alone in several cancer subtypes such as esophagus, head and neck, lung, rectum, anal, cervix, and bladder cancer.¹⁻⁷ Although RT primarily damages the DNA of local cancer cells, it also changes the tumor microenvironment by generating local inflammatory reactions and enhancing tumor cell recognition by the host's immune system. These local processes can even be enhanced when triggering the immune system by immunotherapy.^{8,9} RT-induced cancer cell damage exposes tumor-specific antigens to the immune system through a process called immunogenic cell death (ICD).¹⁰ This process leads to improved priming and activation of cytotoxic T cells.¹¹ Furthermore, RT leads to the release of T-cell-attracting chemokines and the upregulation

of surface receptors that makes tumor cells more vulnerable to T-cell-mediated cell killing. Such a combination may lead to increased effectiveness of *local* RT. Additionally, the RT + immunotherapy combination may even lead to an improved *systemic* effect, also known as the 'abscopal' effect (ab scopus: on a distant site) where the immune system starts to combat tumor deposits outside the radiation field more efficiently.¹² However, the abscopal effect is not within the scope of this review. The primary aim of this article is to systematically review the literature on the *local* effect of immunotherapy on RT in preclinical and clinical data. To this end, an estimation of the radiation enhancement factor (REF) for (the different forms of) immunotherapy was derived from the literature.

Materials and methods

A systematic review of the relevant literature search in the PubMed/Medline database was performed in February 2019 by BV. Search terms included 'radiotherapy' AND 'immunotherapy' AND 'local effect(s)'. Furthermore, an additional

CONTACT Ben GI Vanneste 🖾 ben.vanneste@maastro.nl 🖃 MAASTRO Clinic, Maastricht, NA, 6202, The Netherlands

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 Table 1. The three levels of response according to their assumed clinical relevance and reliability of the study endpoints (Table 1).

| Level of response | Study Endpoints | Clinical Releavance |
|-------------------|--------------------------------|------------------------------------|
| 1 | Local Tumor Control > 6 months | Sustained complete Response = Cure |
| 2 | Local tumor control < 6 months | Complete Response |
| 3 | Growth Delay | Partial Response |

search was performed using the terms 'radiotherapy' AND 'immunotherapy' AND 'local' NOT 'review' NOT 'abscopal' NOT 'metastatic'. Results were limited to manuscripts in the English language. Preclinical and clinical data were included. A manual review of filtered records was conducted for relevance by screening on their titles and abstracts alone. Articles were excluded if solely describing the (systematic) abscopal effect, or if other concurrent cytotoxic treatments (chemotherapy, hyperthermia) were also administered. Clinical case reports on single patients were excluded. Finally, the selected clinical and preclinical papers from prior knowledge of the authors were also screened for additional papers that met the selection criteria.

To assess the quality differences of the preclinical studies, we divided these into three levels of response according to their assumed clinical relevance and reliability of the study endpoints (Table 1). Level 1 represented the highest level of response with a complete remission of the local tumor over a long follow-up period of at least 6 months to exclude regrowth.¹³ The 6 months threshold was chosen because in several experiments this level is taken as a cutoff, f.e. in a clinical trial, results would be reported as a percentage of complete responses. This level is denoted as cure and was scored as a percentage of test animals with a complete remission after a long time. Level 2 response represented a complete remission over a shorter follow-up period of less than 6 months. This level is defined as complete disappearance of the tumor after treatment, followed by regrowth within 6 months. Level 3 response represented growth delay as the reported endpoint, without achieving cure.

To obtain a quantitative number of the local RT sensitizing effect of immunotherapy for the Level 3 studies, all graphs in the selected papers were digitized using Plot Digitizer (v2.6.8, Oct 2015, downloaded from https://sourceforge.net). Tumor



Figure 1. Flowchart of studies, which were identified by the literature search, screened excluded or included from analysis.

growth delayed (TGD) was obtained for every specific immunotherapy agent and was calculated as:

 $TGD = [T_{tv} x 4] - [T_{cv} x4]$

where $T_{tv} x 4$ and $T_{cv} x 4$ is the time to reach four fold tumor volume increase compared to treatment start, based on an exponential growth fit in treated tumors (tv) and in untreated control tumors (cv), respectively.

When $T_{tv} x 4$ was not reached due to stable disease, i.e. tumor was not growing or tumor was cured (progression-free): the volume of the last day of follow-up was used.

These calculated TGD were used to obtain the radiation enhancement factor (REF) by this formula:

 $REF = TGD_{RT + IO}/TGD_{RT}$

When no graphics of tumor volume were available for calculating REF, the specific ratios are used: when survival curves were available, the REF was calculated as:

 $REF = Median Survival_{RT + IO}/Median Survival_{RT}$ Again, if the median survival was not reached, the last day of follow-up was used.

When percentages of responses were available, the REF was calculated as:

 $REF = \% DFS_{RT + IO} / \% DFS_{RT}$

where DFS is the disease-free survival.

Beside the three levels of responses in preclinical studies, the clinical results are reported as a percentage of partial responses.

All forms of immunotherapy were divided into different subclasses according to their working mechanism: immune checkpoint inhibitors: anti-PD-(L)1; anti-CTLA4; cytokines: r-IL2; vaccines/dendritic cells; CPG/Toll-like receptor; and others.

A non-parametric Kruskal–Wallis test is performed with a Dunn's multiple comparisons test to obtain a significant differentiation of the subclasses of immunotherapy and in comparison of immunotherapy with cisplatin. A *p*-value <0.05 was considered statistically significant.

Results

We identified 1172 PubMed/Medline references (Figure 1). Thirty-seven preclinical papers were retrieved that directly reported local effects, which are summarized in Tables 2 and 3. All experiments were performed in mice except one report described experiments performed in rats, ¹⁷ All selected studies used RT in combination with immunotherapy to sensitize the local radiotherapy effect. Some reports also described the systemic effect of RT.

Seven different immune-competent mouse strains had been used: the C57BL/6 and Balb/c were most frequently presented. These mice had been mostly used because the tumor models were syngeneic with these genetic strains (See Table 2). Only one report used nude mice to investigate the role of T cells in

| | | | | | Radiotherapy | | | | |
|---|-----------------------------|--|---|-----------------------------------|---|--|---|----------------------|---|
| Tumor typ | ъ | Implantation site | Animal strain | lmmune competent/ Syngeneic | (Site – Total Dose [Gy]/ Fractions) | Immunotherapy | Observed Effect | Level of response | Suggested mediator |
| fibrosarcomaN | CA | i.c. | C57BL/6 J | +/+ | Whole Body | Adoptive transfer of SEC2- | CR 100% | 2 | CD4 |
| 205 | | 1 | (B6) mice | | 5 Gy/1x | activated tumor- draining lymph node cells from MCA 205 | | I | CD8 MHC-I MHC-II |
| SL2 lymphoma M8013 mammary carcinoma | _ | s.c on one or both thighs | DBA/2JIco mice C57BL/ 6 JIcoU | +/+ | Local tumor – 10 to 25 Gy/ 1-4 x | subcutaneous tumor- bearing B6 mice 7000 IU/day rlL-2 20,000 IU/day rlL-2 daily p.t. 5 to 10 d | CR 100% | 7 | NA |
| SL2 lymphom M8013 mammary carcinoma | ø | s.c. on one or both flanks | mice DBA/2JIco mice C57BL/ 6JIcoU | +/+ | Local tumor – 10 to 25 Gy/ 1- 10x | 7000 IU/day rlL-2 20,000 IU/day rlL-2 daily p.t. 5 to 10 d | CR 90% | 7 | CD8 CD4 |
| 9 L glioma | | s.c. into the right flank or the right leg | mice Fisher rat | +/+ | Flank – 30 Gy/ 10x | CpG oligodeoxynucleotide 20 | CR: 66% | 7 | Toll-like Receptor 9 |
| Fibrosarcoma C3Hf | <u>'</u> | i.m. of the right hind leg | KamLaw mice | +/+ | Leg – 10 to 90 Gy/10x | zo CpG oligodeoxynucleotide | CR: 25 to 88% | 2 | Toll-like Receptor 9 |
| C51colon carcinoma lung carci or 4T1 ma | l, Lewis noma, immary | s.c. Flank | C57Bl/6 + Balb/c mice | +/+ | Flank – 10 Gy/ 1x | 10-1L2 | C51: CR: 75% LLC: additive effect 4T1: no effect | 7 | an increased combination of NK and cytotoxic T cells 4T1: low/negative ED- B-expressing |
| carcinoma Lewis lung carcinoma | Cells | i.m. right quadriceps muscle | C57Bl/6 mice | +/+ | Leg – 3.6 Gy/2x | NHS-IL2 | CR 80 to 100% | 2 | Upregulated expression of effector T cells (CD3, CD4, CD8 |
| pancreatic adenocarc cell lines: a+ BxPC3 Colorectal carcinome | Panc-1 | s.c. in the right flank | BALB/c fg + C57Bl/ 6 mice | +/+ | Flank – 10 Gy/ 5x | Toll-like receptor 7/8 agonists | CR: 50% | 7 | Upregulate antigen-presenting activity of dendritic cells + T cells |
| lines H129 116, and C Colon38, Glioma261 | , HCI- T26 , Line1 | i.m. left leg | C57BL/6 + BALB/ cJ mice | +/+ | Leg – 15 Gy / 1x | CCR2/CCR5 antagonist | CR: 40% | 7 | Increase of circulating + intratumoral inflammatory monocytes, chemokines; promote migration of myeloic cells. inneciulation of C(1 2 and |
| BNL-P2 HCC | cells | s.c. in the right flank | Balb/c mice | +/+ | Flank – 10 Gy/ 1x | adenoviral vector +,IL 12 | CR: 40%; PR: 50% | 2 | CCL5 transcripts expression of MHC class II + CD40, CD86 on tumor- |
| Lewis lung carcinom | a -cells | s.c. in the right leg | C57BL/ 6mice | +/+ | Leg – 8 Gy/1x | CpG (intratumoral), Anti-PD-1 | CR: 100% | 2 | innitrating denortic cells Toll-like Receptor 9, CD8 + T-cell infiltration + PD-L1 expression |

Table 2. Overview of level 2 preclinical studies according to the search criteria.

ic.: intracranial injection, s.c.: subcutaneous, i.m.: inta-musculair CR: Complete Response, PR: Partial Response, NA: not appropriated

| $ \begin{array}{c c c c c c c c c c c c c c c c c c c $ | First Author | Year | Tumor type | Implantation site | Animal | Immune competent / Svnaeneic | Radiotherapy (Site – Total Dose [Gy] / Fractions) | Immunotherapy | Observed Effect | Level of response | Suggested mediator |
|--|------------------------------------|------|---|--|---|------------------------------------|--|--|---|----------------------|--|
| | Buchegger ²⁵ | 1995 | Col 12 and LS174T | Transplants on the middle of their backs at 2 cm from the | nude mice | + / - | Back – 16 Gy/2x | 3 monoclonal anti-CEA antibodies (mAb 35, CE25-B7, and B93) | Tumor growth delay | Υ | NA |
| | Chiang ²⁶ | 2000 | Fibrosarcoma – C3H/ HeN | s.c. in the right thigh | C3H/HeJ mice | + / + | right thigh – 25 to 35 Gy/1 x | lL-3 tumor vaccin | Tumor growth delay | m | increased intra tumoral levels of intercellular adhesion molecule-1, Mac-1, EB22/5.3, tumor |
| Tehe 203 D5melanoma cs, in the mid- spin. 578U, sime spin. 3 File. production by host-derived spin. Hundry ² 2007 real cell carcinoma cs, in the right 578U, sime sc, in the right 258U, sime sc, in the right 578U, sime sc, in the right 258U, sime sc, in the right 258U, sime sc, in the right 558U, sime sc, in the right 558U, sime sc, in the right 558U, sime sc, in the right 55U, sime sc, in the right 50U, sime s | Lohr ²⁷ | 2000 | 4T1 mammary tumor cfC3H – B16 melanoma | s.c. in the right hind leg | BALB + C57BL/ 6 mice | + / + | Leg – 18 to 33 Gy/3 x | Adenovirus, IL-12 – B7.1 | Tumor growth delay | Μ | necrosis factor Upregulation T-cells and NK-cells |
| $ \begin{array}{l lllllllllllllllllllllllllllllllllll$ | Teitz- Tennenbaum ^{2£} | 2003 | D5melanoma or MCA 205 sarcoma | s.c. in the mid- right flank | C57BL/6 mice | + /+ | Flank – 42.5 Gy/5x | Dendritic cell | D5: Tumor inhibition 65.9% MCA 205: tumor inhibition | m | IFN- production by host-derived T cells |
| Meng ¹⁰ 2012 melanoma cell line s.c. in the right leg 57B/6 mice +/+ Right leg - 6 m poly(ADP-ribose) Turnor growth delay 3 expression of TMFa, L-12, T-cell up aveloant Wang ¹¹ 2012 T23 an unne colon s.c. in the right leg 57B/6 mice +/+ Right leg - 5 m of VADP-ribose) 1 mor growth delay 3 expression of TMFa, L-12, T-cell up aveloant Wel ¹² 2013 murine DS s.c. in the right G57B/6 kgb +/+ biake Lunor growth 3 expression of TMFa, L-12, T-cell up aveloant Wel ¹² 2013 murine DS s.c. in the right G57B/6 kgb +/+ biake Lunor growth 3 expression of TMFa, L-12, T-cell up eveloant Wel ¹² 2013 murine DS s.c. in the right G57B/6 kgb +/+ Lunor growth 3 expression of the effector cytokines Melanoma into the lower G57B/6 kgb +/+ Los 11 Lunor growth 3 expression of TMFa, L-12, T-cell up equivation Melanoma into the lower G57B/6 kgb +/+ Los 11 Lunor growth 3 expression of TMFa, L-12, T-cell up equivatin 1 M | Huang ²⁹ | 2007 | renal cell carcinoma | s.c. in the right axilla | C57Bl/6 mice | + / + | Right Axilla – 35 Gv / 5x | Dendritic cell | Tumor growth delav | m | Down-regulation of Bcl-2, up-regulation of Bax, Expression of TNFg. II -2, II -4 m IFN-v. Ing. IoM. |
| Wang ³¹ 2012T-26, a murine colonscin the left flankBALB/c mice+++Left flank-Dendrific cell ++Tumor growth3Expression of TNG, IL-12, T-cell upWei ³² 2013murine D5scin the rightGSP/ KRecombinant heatdelay3Expression of TNG, IL-12, T-cell upWei ³² 2013murine D5scin the rightGSP/ KRecombinant heatdelay3Expression of TNG, IL-12, T-cell upWei ³² 2014CT26 murine colonsc not furtherBALB/c and+/+Local - 10 Gy anti PD-L1Tumor growth3expression of TNG, IL-12, T-cell upDovedi ³³ 2014CT26 murine colonsc not furtherBALB/c and+/+Local - 10 Gyanti PD-L1Tumor growth3expression of TNG, IL-12, T-cell upDovedi ³³ 2014CT26 murine colonsc not furtherBALB/c and+/+Local - 10 Gyanti PD-L1Tumor growth3Expression of TNG, IL-12, IC-14 andLim ³⁴ 2014BIGmiceCSBL/GI+/+Local - 10 Gyanti PD-L1delaya ph donor and host CO4+ andLim ³⁴ 2014BIGmiceinto the loweState - 10 Gyanti PD-L1delaya ph donor and host CO4+ andLim ³⁴ 2014BIGmiceinto the loweState - 10 Gyanti PD-L1delaya ph goon and post CO4+ andLim ³⁴ 2014BIGmiceinto the loweState - 10 Gyanti PD-L1delaya ph goon and post CO4+ and< | Meng ³⁰ | 2012 | melanoma cell line B16SlY | s.c. in the right leg | C57Bl/6 mice | + / + | Right Leg – 6 or 12 Gy / 1x | poly(ADP-ribose) polymerase inhibitor veliparib | Tumor growth delay | ε | express immune stimulatory express immune stimulatory cytokines (CCL2, CCL5, CXCL9, CXCL10, and CXCL11) to activate cytotoxic T lymphocytes |
| | Wang ³¹ | 2012 | T-26, a murine colon carcinoma cell line | s.c.in the left flank | BALB/c mice | +/+ | Left flank – 8 Gy / 1x | Dendritic cell + Recombinant heat shock protein 70 | Tumor growth delay | m | Expression of TNFq, IL-12, T-cell upregulation |
| Doved1 ³³ 2014 CT26 murine colon s.c not further specified 657B/J6 +/+ Local - 10 Gy/ atti PD-L1 Tumor growth 3 NK cells, CD8+ Lim ³⁴ 2014 B16 melanoma cells specified C57B/J6 +/+ Leg - 15 Gy/ Listeria monocytogenes- Tumor growth 3 NK cells, CD8+ Lim ³⁴ 2014 B16 melanoma im C57B/J6 +/+ Leg - 15 Gy/ Listeria monocytogenes- Tumor growth 3 Increase in intratunoral numbers c nico the lower into the lower 1x based cancer vaccine delay 7 Tells, antigen-specific CD8+ Rekers ³⁵ 2015 F9 terato-carcinoma s.c. in the flank 129/SvHsd 1 <t< td=""><td>Wei³²</td><td>2013</td><td>murine D5 melanoma</td><td>s.c.in the right flank</td><td>C57BL/6 (B6) and B6.PL- Thy1a/CyJ (CD90.1) mice</td><td>+/+</td><td>bilateral flanks – 8.5 Gy / 5x</td><td>II-2</td><td>Tumor growth delay</td><td>Ω</td><td>expression of the effector cytokines IFN-γ and TNF- α by donor and host CD4+ and CD8 + T cells</td></t<> | Wei ³² | 2013 | murine D5 melanoma | s.c.in the right flank | C57BL/6 (B6) and B6.PL- Thy1a/CyJ (CD90.1) mice | +/+ | bilateral flanks – 8.5 Gy / 5x | II-2 | Tumor growth delay | Ω | expression of the effector cytokines IFN-γ and TNF- α by donor and host CD4+ and CD8 + T cells |
| Lim ³⁴ 2014 B16 melanoma im. C57BL/6J +/+ Leg - 15 Gy/ Listeria monocytogenes- Tumor growth 3 increase in intratumoral numbers of effectormo into the lower into the lower into the lower 1X based cancer vaccine delay X T cells, antigen-specific CD8 + traiter of effectorm o into the lower Rekers ³⁵ 2015 F9 terato-carcinoma s.cin the flank 129/SvHsd Flank - 12 Gy/ L19-L2 Tumor growth 3 Extra Domain-B expression Blanchard ³⁶ 2015 B16-OVA melanoma s.c.in the hind C57BL/6 mice +/+ Lower limb - vesicular stomatits Tumor growth 3 Dregulation T cells and infiftration of cytotoxic T cells Mondini ³⁷ 2015 B16-OVA melanoma s.c. in the hind C57BL/6 mice +/+ Lower limb - vesicular stomatits Tumor growth 3 Upregulation T cells and infiftration of cytotoxic T cells Mondini ³⁷ 2015 TC1/Luccells / HNSCC submucosal site (57BL/6 mice +/+ head and neck STB- tumor infiltrating, antigen-specific cells Mondini ³⁷ 2015 TC1/Luccells / HNSCC submucosal site | Dovedi ³³ | 2014 | CT26 murine colon carcinoma cells | s.c. – not further specified | BALB/c and C57Bl/6 mice | + / + | Local – 10 Gy / 5x | anti PD-L1 | Tumor growth delay | m | NK cells, CD8+ T-cell upregulation |
| Rekers ³⁵ 2015 F9 terato-carcinoma s.c.in the flank 129/SvHsd Flank - 12 Gy / L19-IL2 Tumor growth 3 Extra Domain-Berpersion Blanchard ³⁶ 2015 B16-OVA melanoma s.c. in the hind C57BL/6 mice +/+ Lower limb - vesicular stomatitis Tumor growth 3 Extra Domain-Berpersion Blanchard ³⁶ 2015 B16-OVA melanoma s.c. in the hind C57BL/6 mice +/+ Lower limb - vesicular stomatitis Tumor growth 3 Upregulation T cells Mondini ³⁷ 2015 T01/Luccells / HNSCC sesociated antigen virus- tumor- delay 3 Upregulation T cells Mondini ³⁷ 2015 T01/Luccells / HNSCC sesociated antigen virus- tumor- delay 3 tumor-infiltration of cytotoxic T cells Mondini ³⁷ 2015 T01/Luccells / HNSCC sesociated antigen virus- tumor- delay 3 tumor-infiltration of cytotoxic T cells Mondini ³⁷ 2015 T01/Luccells / HNSCC sesociated antigen virus- tumor- delay 3 tumor-infiltration of cytotoxic T cells Mondini ³⁷ 2015 T01/Luccells / HNSCC <td>Lim³⁴</td> <td>2014</td> <td>B16 melanoma</td> <td>i.m. into the lower left thigh</td> <td>C57BL/6J</td> <td>+ / +</td> <td>Leg – 15 Gy / 1x</td> <td>Listeria monocytogenes- based cancer vaccine</td> <td>Tumor growth delay</td> <td>Ś</td> <td>increase in intratumoral numbers of activated T cells, antigen-specific CD8 + t cells, natural killer cells + levels of effector molecules, such as interferon v and cranxvme B</td> | Lim ³⁴ | 2014 | B16 melanoma | i.m. into the lower left thigh | C57BL/6J | + / + | Leg – 15 Gy / 1x | Listeria monocytogenes- based cancer vaccine | Tumor growth delay | Ś | increase in intratumoral numbers of activated T cells, antigen-specific CD8 + t cells, natural killer cells + levels of effector molecules, such as interferon v and cranxvme B |
| Blanchard ³⁶ 2015 B16-OVA melanoma s.c. in the hind C57BL/6 mice +/+ Lower limb - vesicular stomatitis Tumor growth 3 Upregulation T cells limb. 20 Gy/1x virus- tumor- delay 3 Upregulation T cells associated antigen viral immunotherapy Mondini ³⁷ 2015 TC1/Luccells / HNSCC submucosal site C57BL/6 mice +/+ head and neck STxB- Tumor 3 tumor-infiltrating, antigen-specific implantation of the right 2.6 –7.5 Gy / delay 4 delay 4 delay 3 tumor-infiltrating, antigen-specific 4 delay 4 de | Rekers ³⁵ | 2015 | F9 terato-carcinoma cells | s.c.in the flank | 129/SvHsd mice | | Flank – 12 Gy / 1x | L19-IL2 | Tumor growth delay | m | Extra Domain-B expression and infiltration of cytotoxic T cells. |
| Mondini ³⁷ 2015 TC1/Luccells / HNSCC submucosal site C57BL/6 mice + / + head and neck STxB- Tumor 3 tumor-infiltrating, antigen-specific implantation of the right region E7vaccine growth growth model inner lip 2.6 – 7.5 Gy / delay 1-4x | Blanchard ³⁶ | 2015 | B16-OVA melanoma | s.c. in the hind limb. | C57BL/6 mice | +/+ | Lower limb – 20 Gy/1x | vesicular stomatitis virus- tumor- associated antigen viral immunotherapy | Tumor growth delay | m | Upregulation T cells |
| | Mondini ³⁷ | 2015 | TC1/Luccells / HNSCC implantation model – | submucosal site of the right inner lip | C57BL/6 mice | + /+ | head and neck region – 2.6 –7.5 Gy / 1-4x | STxB- E7vaccine | Tumor growth delay | m | tumor-infiltrating, antigen-specific CD8 + T cells |

Table 3. (Continued).

| Iddie J. (Collinia | 'n: | | | | | | | | | |
|------------------------|-----------|---|--|---|-----------------------|--|---|-----------------------|----------|--|
| | | | | | lmmune competent / | Radiotherapy (Site – Total Dose [Gy] / | | Observed | Level of | |
| First Author | Year | Tumor type | Implantation site | Animal | Syngeneic | Fractions) | Immunotherapy | Effect | response | Suggested mediator |
| Sharabi ³⁸ | 2015 | MC38-OVA cells; B16-OVA melanoma cells; 4T1HA breast carcinoma cell | s.c.in the right flank | C57BL/6, BALB/cJ, and MHC Class I knockout mices | +/+ | Right flank – 10 to 20 Gy / 1x | Anti- PD-L1 | Tumor growth delay | m | increased T-cell infiltration in tumor: CD8+, CD4+ CD25 + Foxp3 + T-regulatory cells |
| Monjazeb ³⁹ | 2016 | B16 melanoma or 4T1 breast adenocarci-noma | into the flank | C57BL/6 or BALB/c mice | + / + | Mammary fat pad / flank – 8 Gy/ 1x | CpG oligodeoxynucleotide, enzyme indolamine- 2,3-dioxygenase blockade | Tumor growth delay | m | upregulation Toll-like Receptor 9, CD4+ |
| Young ⁴⁰ | 2016 | CT26 murine colorectal carcinoma | s.c.in the right hind limb | BALB/c and FVB mice | +/+ | Limb – 20 Gy / 1x | OX40 (CD134) Anti-CTLA4 | Tumor growth delay | m | Depletion of CD4+ or CD25 |
| Zheng ⁴¹ | 2016 | Panc02 and MC57- SIY cells | s.c. on the back of the mice | C57BL/6 mice | + / + | Local – 20 Gy/ 1x | Vaccination+ antiPD-L1 | Tumor growth delay | ς | CD8 + T cell infiltration; upregulation of CXCL10 and CCL5 chemokine |
| Oweida ⁴² | 2017 | LY2 + B4B8 squamous cell carcinoma | Submucosal via the buccal mucosa | BALB/c mice | +/+ | Buccal + regional neck level - 10 Gv/1v | anti PD-L1 | Tumor growth delay | m | upregulation of PD-L1 increased T-cell infiltration in tumor |
| Weiss ⁴³ | 2017 | SMA glioma cell lines | intracranial (the right striatum) | C57BL/6 CD45.2 mice | +/+ | Cranial – 4 Gy/ 1x | NKG2D-Based CAR T Cells | Tumor growth delay | £ | high IFNg production and cytolytic activity in vitro |
| Choi ⁴⁴ | 2018 | CT-26 colon carcinoma cells | s.c. into the right legs and left flanks | BALB/c mice | +/+ | Leg – 15 Gy/ 1x – | Dendritic cell | Tumor growth delay | m | Maximum Dendritic cell sensitization and T-cell stimulation with IL-10, IL-12, and interferon (IFN)-v production |
| Wang ^{45–50} | 2019 | Lewis lung carcinoma | s.c. into the left upper flank | C57Bl/6 mice | +/+ | Flank – 24 Gy/ 3x | α-PD-L1 | Tumor growth delay | 3 | CD8 T-cell infiltration; PD-L1 expression |
| s.c.: subcutaneous, | i.m.: int | ta-musculair, PR: Partial | Response, NA: not al | ppropriated | | | | | | |

ONCOIMMUNOLOGY 😓 5



Figure 2. The radiotherapy sensitizing effect of Immunotherapy is compared between different studies: therefore tumor growth delay(TGD) was calculated and used to define the Radiation Enhancement factor (REF) between radiotherapy combined with immunotherapy and radiotherapy alone. The X axis displays the various classes of immunotherapies used in the studies: (1) anti-PD-(I)-1, (2) CTLA4, (3) cytokines: r-IL-2, (4) Vaccination / Dendritic cells, (5) CPG / Toll-like receptor, and (6) others. The Y-axis represents the value of the REF, from 0 to 90. The blue-dashed line is a REF value of 1, meaning RT + immunotherapy has the same effect as RT solely. Every dot represents a single calculated REF of one preclinical study. Several dots are calculated per study. A horizontal line represents the mean REF per immunotherapy. A green dot represents the calculated REF's based on survival curves or on response rates: these are based on the volume of the last day of follow-up because the tumor was progression free. Therefore these dots are a minimal representation of the REF because in reality it concerns a higher REF.

the association of RT and immunotherapy. Radiation doses varied from conventional schedules of 1.8 to 2 Gy per fraction to extreme hypofractionation, ranging from 1 to 10 fractions of 1.8 to 20 Gray (Gy) per fraction (Table 2). Responses varied from local regression to complete cure. Data were available from many different immunotherapy classes in regards to their working mechanisms, see Table 2.

Table 4. Overview of clinical studies according to the search criteria.

Radiotherapy (Site - Dose PFS after Tumor: [Gy]/ First Author Year Histology (Origin) Ν Fractions) Immunotherapy Local response response Brinkmann⁵¹ 2005 RCC (Renal) 20 Bone/ Kidney -IL-2, IFN-a 15% CR, 15% PR, 45% NA 45-50 Gy/ 25x SD, 25% PD Jacobs⁵² Nasopharyngeal 70 Gy/35x IL-2 Local control 77% 63% 5 y 2005 10 Carcinomas Seung⁵³ 2012 RCC(renal) + 12 60 Gy /3x IL-2 LC: 100% M+ 16 months Melanoma (skin) Barker⁵⁴ 2013 Ipililumab 39 months Melanoma 29 30 Gy / 5x Local response: 77% Abei⁵⁵ 2013 HCC (Hepato 9 52.8-87.6 Gv / In situ injection of "CalTUMP"(BCG extract + Local response: 66% 6 months Cellular 22-37x hydroxyapatite +microparticulated tuberculin) Carcinoma) Kiess⁵⁶ 2015 Melanoma (Skin) 46 Brain - 15-Ipililumab 1-y LC 87 to100%* NA 24 Gy/1x Twyman-Saint 2015 Melanoma Skin) 22 12- 24 Gy/2-3X Ipililumab 5% CR; 28% PR; 41% 3.8 months Victor⁵ SD; 18% PD - 8% NA Nardin⁵⁸ 2018 Melanoma Skin) Pembrolizumab LC: 80% M+ 74 Brain 4 months

Results from level 1 studies

No studies reported on level 1 outcome with a follow-up of longer than 6 months. Several studies observed a long followup, however, none longer than 180 days have been described.

Results from level 2 studies

Table 2 provides an overview of the 11 studies reporting Level 2 response. The preclinical reports describing complete responses in 100% of cases were using Staphylococcal enterotoxins (SEC2)-activated T lymphocytes, IL-2, CpG (intratumoral), anti-PD-1, and adenoviral vector + IL-12. The calculated REF's are represented in Figure 2. Thirty-four graphics are analyzed with median REF of 9.1, 1.7, 2.8, 7.3, and 3.1 for anti-PD-(L)1; cytokines: r-IL2; vaccines/dendritic cells; CPG/Toll-like receptor; and other immunotherapies, respectively. REF varied between 0.4 and 52.1.

Results from level 3 studies

Table 3 shows an overview of the 21 studies reporting level 3 response. REF varied between 0.4 and 84.3. These calculated REF's are represented in Figure 2. Sixty-five graphics are analyzed with median REF of 2.5, 1.9, 1.9, 2.7, 2.3, and 1.8 for anti-PD-(L)1; anti-CTLA4; cytokines: r-IL2; vaccines/dendritic cells; CPG/Toll-like receptor; and other immunotherapies, respectively.

All forms of immunotherapy were divided into different classes to obtain more differentiation of the subclasses. However, neither a relationship was observed between the type of immunotherapy, nor in the dose, nor the timing of RT. A significant difference was observed of the immunotherapy subclasses of vaccines/dendritic cells, and others versus cisplatin; p = .0484 and 0.0324, respectively.

Results from clinical studies

No randomized clinical studies were identified. Table 4 provides an overview of the clinical studies. Eight series of patients have been reported, from which melanoma and renal cell carcinoma were the most frequent tumor histology types. RT doses were widely dispersed, ranging from 1 to 37 fractions of 1.8 to 24 Gy per fraction. The two most commonly used immunotherapy agents were ipilimumab and IL-2, administered in 3 and 2 clinical reports, respectively. In four trials the immunotherapy has been prescribed during RT, whereas in two trials it was prescribed before, during, and after RT. In two other trials, the immunotherapy started several days after commencing RT. Local tumor control rates varied from 66% to 100%.

Discussion

Radiation sensitizers such as chemotherapy, monoclonal antibodies, and targeted agents, increase the local tumor effects of RT, without the need for higher RT doses and these have been clinically used in different cancer subtypes. These sensitizers increase the local and systemic control approximately with 10% to 20%. 54-57 However, with these regimens, radiation toxicity (such as oral mucositis) has been exacerbated. Many other common side effects such as myelosuppression, nausea, and vomiting have been observed.^{11,54} In this review, immunotherapy was critically analyzed as a sensitizer for RT: different multiply sensitizing factors ranging from 0.4 to 84 have been derived from the reviewed literature for different subtypes of immunotherapy. This increase is enormous compared to the 0.1 increase found for the classical radiosensitizing drug cisplatin. When comparing the combination of RTimmunotherapy with RT in preclinical studies, mostly shortterm responses were observed. The complete responses in all cases for more than 6 months were not documented in any preclinical setting. However, the mean life span of a mouse is 1.5 years, which means that this cutoff time will be barely observable in the pre-clinical setting. Most reports showed tumor growth delay, which meant that the optimal combination of specified immunotherapy is not yet known. Moreover, a wide range of different immunotherapy agents with different working mechanisms have been described. However, in the preclinical setting, the experimental set-up was generally not intended to quantify complete responses over a long time period: the sensitization effect therefore still needs to be demonstrated. Therefore, conscious decisions have been made to choose low RT doses in combination with different immunotherapy.

Local radiosensitization in patients

The local immunological potential of certain tumors also comes to the forefront in different case reports that described the combination of RT + immunotherapy treatment: a number of manuscripts have already confirmed the presence of *abscopal* effects of RT + immunotherapy.¹² Table 4 summarizes the *local* effect in patients and circumscribe the preclinical analyses, findings, and conclusions: immunotherapy is an

extremely good local radiosensitizer in comparison with cisplatin or 5-Fluororacil. Ipilimumab is the most clinically cited immunotherapy in malignant melanoma. Ipilimumab causes CTLA-4 (cytotoxic T-lymphocyte-associated protein 4) blockade leading to a decreased exhausted phenotype on CD8 T cells and decreased regulatory T-cell (Treg) activity.⁵⁸ This synergizes well with RT since Tregs lead to a suppressed immune response and tend to be more radio-resistant than other T cells .⁵⁹ These Treg inhibitions increase the CD8/Treg-ratio resulting in modest peripheral expansion of TCR (T-cell receptor)clonotypes in the tumor. RT has the effect of diversifying the TCR repertoire of tumor-infiltrating lymphocytes and further shapes the repertoire of expanded clones, resulting in better local outcomes. Several reports of combinations of multiple immunotherapy have been are published reporting better overall survival than solely using immunotherapy: 5 years overall survival was 52% in the nivolumab-plus-ipilimumab group, in comparison with 44% in the nivolumab group, and 26% in the ipilimumab group.⁶⁰

Timing and dose of radiotherapy

RT induces inflammation and necrosis, attracting in-field dendritic cells (DC) and other types of Antigen Presenting Cells (APC) into the tumor micro-environment.⁶¹ Immune cells appear to be highly radiosensitive: in the body, naïve lymphocytes are one of the most radiosensitive among all cells: doses of 0.5 Gy have proven to be already cytotoxic.⁶² DC and APC may survive higher RT doses, however, more rapid function loss has been observed.⁶³ Therefore, the choice of fractionation schedule and (consequently) the time point between different fractionations could impact on the availability of local immune effectors. The results are mainly dependent on the type of immunotherapy and the RT dose. In some reports, fractionation has been useful, while in others a single high-dose of RT appears to be best. High-dose RT seems to be good at producing immunogenic modulation of tumors resulting in intense CD8⁺ T-cell tumor infiltration, and a loss of myeloid-derived suppressor cells (MDSC).⁶⁴ Due to the shorter period of treatment, this may avoid continued eradication of responding lymphocytes.⁶⁵ Furthermore, high-dose RT results in more vascular and stromal damage and increased apoptosis of tumor cells, thus creating a tumor microenvironment with increased levels of tumor-associated antigens.⁶⁶ When combining immunotherapy with RT, concurrent administration reveals a better superior sensitizing effect.

Limitations

This review has shown that different forms of immunotherapy have large potential to improve local tumor control within the radiation field. For the first time, systematic review has been performed to compare the effectiveness of different forms of immune treatment, and doing so in a quantitative way, using Radiation Enhancement Factors. An original approach was introduced enabling comparison of the results from different studies. This was done by extracting and digitizing the growth data of tumors from different experimental setups, determining the tumor growth delay for radiotherapy as well as for the combined immune treatment. These data could then be used to determine the radiation enhancement factor as the ratio of the growth delay for combined treatment to that for radiation-only treatment. Since this methodology can be used to compare the potential of any kind or class of radiosensitizers, the methodology can be applied to address many alternative questions in this field. And, as growth delay experiments are the most widely used preclinical in vivo experiments assessing the efficacy of a radiosensitizer, our approach can move the field forward significantly in other areas, based on already available data.

However, this review has also some limitations.

Firstly, most reports were preclinical, including only small numbers of cases. The modeling of animals has biological and physical limitations, so this should be considered when interpreting preclinical RT trials. Murine tumor and normal tissue radiation response has been shown to vary from humans in regards to cellular and molecular pathways.⁶⁷ Secondly, as no randomized phase III trials were available, no good control groups have been reported to compare the combination therapy in the clinical reports. Thirdly, with the search strategy employed, abscopal reports were specifically excluded. Hence, it is possible that certain reports with a focus on abscopal effects but also reporting on local control have not been included in this review. Moreover, the search and screening method could be optimized.

Further, the evaluation of clinical local responses has not been consistent in every report: the disease progression is often reported without mentioning specific details of the local control. However, local control evaluation after extreme high-RT dose in combination with immunotherapy is obsolete: the tumor has already been destroyed by the RT itself. Response criteria are sometimes according to the traditional Response Evaluation Criteria In Solid Tumors (RECIST) criteria.⁶⁸ However, the evaluation criteria of the response of immunotherapy can differ from those with traditional therapies: a progression of known lesions or even the appearance of new lesions, before stabilization of the disease or even regression can be observed.⁶⁹ Therefore, consensus-based criteria for response to immunotherapy (iRECIST) have been developed recently for use in trials testing immunotherapy.⁷⁰ Moreover, a possible time delay could exist between the systemic treatment and the evaluation of the response to RT, and the presence or absence of control, in order to distinguish this effect of systemic treatment or RT.

Next, the levels of responses that we used to stratify the quality differences among the several preclinical studies consisted of only three levels. However, level 1 response was more a theoretical level, since no mice-related work had follow-ups of greater than 6 months which were as per our definition the highest demand for clinical work, which is described as a *knowledge* gap. Additionally, the review is based on a relatively small amount of papers with a broad amount of variables: seven different immune-competent mouse strains with a disease heterogeneity (cancer type and subtype) using radiation doses varying from conventional schedules to extreme hypo-fractionation, with the application of different immunotherapies at various time points during, before and after the RT. Response of immune-radiotherapy combinations further depends on total dose, and probably also other

parameters like the treated tumor volume and the patients' condition or in preclinical studies the specified immunocompetence of the animal used. This study did take such parameters into account while comparing the different results over the described experiments.

Finally, the number of clinical studies is limited and varies in methodologies. This can definitely be extended toward parameters like total dose, dose fractionation, and timing as discussed.

Perspectives

More clinical and mechanistic knowledge is needed about the precise immune reaction created by RT. This additional information will give us supplementary knowledge to individualize the best sensitizing effect of immunotherapy on RT. This can ultimately lead to decreasing RT doses, with consequently decreasing toxicity levels, while preserving excellent local control, thus leading the way forward toward new organ preservation strategies. However, immunotherapy can also lead to increased toxicities like dermatologic (rashes), colitis (diarrhea), hepatotoxicity, pneumonitis, and endocrinopathies (such as thyroid, hypophysitis). More research is therefore needed to examine these combination treatment strategies.

Conclusion

We concluded that different forms of immunotherapy can act as a local sensitizer for RT with good local control rates. Local effects were observed in a variety of tumor types, with different RT doses and fractionation schedules. Further research is needed to confirm the optimal RT-immunotherapy combination.

Disclosure statement

All authors declare to have no conflict of interest.

ORCID

Ben G.L. Vanneste p http://orcid.org/0000-0003-2334-5207 Ludwig Dubois p http://orcid.org/0000-0002-8887-4137

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Author Contributions

BV and EvL did the systematic review and selection of all the publications. LD did the analysis using Plot Digitizer to obtain a tumor growth delayed for every specific Immunotherapy. BV, EvL, DDR wrote the first draft of the manuscript. All authors edited and contributed to the development of the final manuscript.

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