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GABA_B-mediated rescue of altered excitatory– inhibitory balance, gamma synchrony and behavioral deficits following constitutive NMDAR-hypofunction

MJ Gandal¹, J Sisti¹, K Klook^{1,2}, PI Ortinski³, V Leitman¹, Y Liang¹, T Thieu¹, R Anderson¹, RC Pierce³, G Jonak¹, RE Gur⁴, G Carlson³ and SJ Siegel¹

Reduced *N*-methyl-D-aspartate-receptor (NMDAR) signaling has been associated with schizophrenia, autism and intellectual disability. NMDAR-hypofunction is thought to contribute to social, cognitive and gamma (30–80 Hz) oscillatory abnormalities, phenotypes common to these disorders. However, circuit-level mechanisms underlying such deficits remain unclear. This study investigated the relationship between gamma synchrony, excitatory–inhibitory (E/I) signaling, and behavioral phenotypes in NMDA-NR1^{neo-/-} mice, which have constitutively reduced expression of the obligate NR1 subunit to model disrupted developmental NMDAR function. Constitutive NMDAR-hypofunction caused a loss of E/I balance, with an increase in intrinsic pyramidal cell excitability and a selective disruption of parvalbumin-expressing interneurons. Disrupted E/I coupling was associated with deficits in auditory-evoked gamma signal-to-noise ratio (SNR). Gamma-band abnormalities predicted deficits in spatial working memory and social preference, linking cellular changes in E/I signaling to target behaviors. The GABA_B-receptor agonist baclofen improved E/I balance, gamma-SNR and broadly reversed behavioral deficits. These data demonstrate a clinically relevant, highly translatable neural-activity-based biomarker for preclinical screening and therapeutic development across a broad range of disorders that share common endophenotypes and disrupted NMDA-receptor signaling. *Translational Psychiatry* (2012) **2**, e142; doi:10.1038/tp.2012.69; published online 17 July 2012

Introduction

Disruptions in the NMDA-receptor signaling pathway have been associated with several neurodevelopmental, neuropsychiatric diseases. Polymorphisms in the obligatory NR1 subunit of the NMDA receptor (GRIN1) have been associated with schizophrenia, including in a recent large study from the Consortium on the Genetics of Schizophrenia (COGS).1-4 Seven proteins (GRIN2A, GRIN2B, GRIN3A, GRM1, GRM5, DRD1. DLG4) that directly interact with NR1 were linked to features of schizophrenia in the COGS study,⁴ including both NR2A and NR2B subunits of the NMDA-receptor, which have been previously associated with the disorder.^{5,6} NR2A, NR2B and NR2C have also been associated with autism spectrum disorders (ASD), and differential splicing of NR1 was reported in a recent postmortem study of ASD.⁷⁻¹⁰ Similarly, pathway analysis of gene associations in autism has implicated reduced NMDA-receptor-mediated neurotransmission.¹⁰ Recent work has also shown that reduced NMDA-receptor signaling is a key pathophysiological deficit in several transgenic mouse models of autism (SHANK3, NLGN1, NRXN1, FMRP1, MeCP2, DISC1, RELN), as well as rodents exposed in utero to immune challenge, a known autism risk factor.^{11–18} Finally, deleterious mutations in NR1, NR2A and NR2B have been identified in large studies of subjects with intellectual disability (ID).^{19,20} Taken together, these results indicate that disrupted NMDA-receptor signaling may be a molecular substrate common to a number of neurodevelopmental, neuropsychiatric disorders.

Schizophrenia, autism and ID share several treatmentresistant symptoms, including social and cognitive impairments, which may reflect common neural circuit insults. Neurophysiological studies of these clinical populations have demonstrated abnormal gamma frequency (30-80 Hz) neural synchrony.²¹⁻²⁷ which is critical for cognitive and sensory functions including working memory and perceptual feature binding.^{28–30} Deficits in gamma synchrony have been linked to treatment-refractory social and cognitive symptoms in patients with schizophrenia^{25,31-33} and ID,²⁷ a relationship that has also been proposed in autism.³⁴ Appropriate gammarange synchronization is known to require precise excitatoryinhibitory (E/I) balance,35 deficits in which are thought to contribute to core symptoms in both schizophrenia^{36,37} and autism.³⁸ In addition, proper levels of GABAergic signaling, in particular that of parvalbumin-expressing (PV+) fastspiking interneurons (FSI), are critical for gamma-band synchrony and cortical information processing.^{35,39} As such, abnormalities in gamma synchrony may reflect shared circuit

E-mail: siegels@mail.med.upenn.edu or siegels@upenn.edu

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¹Translational Neuroscience Program, Department of Psychiatry, University of Pennsylvania, Philadelphia, PA, USA; ²Department of Psychiatry and Psychotherapy, RWTH Aachen University, Aachen, Germany; ³Center for Neurobiology and Behavior, Department of Psychiatry, University of Pennsylvania, Philadelphia, PA, USA and ⁴Neuropsychiatry Program, Department of Psychiatry, University of Pennsylvania, Philadelphia, PA, USA

Correspondence: Professor SJ Siegel, Director, Translational Neuroscience Program, Department of Psychiatry, University of Pennsylvania, Translational Research Laboratories, 125 S. 31st Street, Philadelphia, PA 19104, USA.

abnormalities across disorders and may provide a novel biomarker for preclinical therapeutic development to target treatment-resistant symptoms.^{40,41}

This study investigated the relationship between abnormalities in E/I signaling, gamma synchrony and behavioral function in NR1^{neo-/-} mice, which were engineered to have constitutive, 85% downregulation of the obligate NR1 subunit of the NMDA receptor.42 Whereas previous studies have linked acute NMDAR blockade to several of these deficits, no studies have investigated the relationship between these phenotypes, gamma synchrony and E/I balance in transgenic mice that appropriately reflect the continuous NMDA-receptor dysfunction likely associated with schizophrenia, autism and ID. Likewise, to our knowledge, no studies have demonstrated the restoration of behavioral function and neural synchrony by pharmacologically normalizing E/I balance in such transgenic mouse models. As such, we present an activity-based approach to preclinical therapeutic screening for schizophrenia and related disorders. This approach provided a novel pharmacologic target for reversal of the treatmentresistant social and cognitive deficits seen in disorders associated with NMDAR-hypofunction.

Materials and methods

Animals. NR1 transgenic mice were bred and genotyped in house, as previously published.^{42,43} Only adult male homozygous mice and wild-type (WT) littermates were used in this study. Animals were housed 4–5 per cage on a 12-h light/dark cycle in a temperature-controlled facility with food and water available *ad libitum*. After electrode implantation surgery, mice were housed individually. All protocols were approved by the University of Pennsylvania Institutional Animal Care and Use Committees.

Parvalbumin cell counts. PV immunocytochemistry was performed on frozen tissue sections, using a parvalbumin monoclonal antibody (MAB1572, Chemicon, Billerica, MA, USA, 1:1000) and an HRP-conjugated secondary antibody. Stereological cell counts were made in (1) prefrontal cortex and (2) a broad region of sensorimotor neocortex (layers I-VI from -0.82 to 1.54 mm relative to bregma AP). PV cell density was assessed by *in situ* hybridization using the same region of interest (ROI) as above. For details, see Supplemental Information.

Molecular biology. Western blotting was performed according to standard lab protocols, using 50 μ g of left-hemisphere brain homogenate. The membrane was probed with anti-NMDAR1 (1:500, sc1468, Santa Cruz Biotechnology, Santa Cruz, CA, USA), anti-Parvalbumin (1:1000, MAB1572, Millipore, Billerica, MA, USA), anti-Calbindin (1:2000, AB1778, Millipore), anti-Calretinin (1:10 000, AB1550, Millipore), anti-GAD65 (1:500, ab75750, Abcam, Cambridge, MA, USA), anti-GAD67 (1:1000, 5305S, Cell Signaling Technology, Danvers, MA, USA) and anti- β -Actin (1:50 000, AB6276, Abcam), and the appropriate secondary antibodies. Given lower protein levels, expression of postsynaptic GABA-receptor subunits (GABRA1, GABRA2, GABBR1, GABBR2)

was assessed using quantitative PCR (qPCR). For details, see Supplemental Information.

Whole-cell recordings. Whole-cell current clamp recordings were made in CA3 pyramidal neurons from acute coronal hippocampal slices (300 μ m thickness) taken from adult mice (3–4 months). Evoked responses were triggered by constant-current pulses delivered at 0.2 Hz via a bipolar tungsten stimulation electrode positioned within 100 μ m of the recorded cell. Baclofen was bath perfused at 10 μ M. For details, see Supplemental Information.

In vivo electrophysiology. Adult animals (6.2 ± 0.2 months) were anesthetized with isoflurane and underwent stereotaxic implantation of tripolar electrode assemblies (PlasticsOne. Roanoke, VA, USA) for nonanesthetized recording of auditory event-related potentials, as published.^{21,43-45} Baseline and auditory-evoked electrophysiological signals were recorded following paired-click stimuli using low-impedance macroelectrodes placed in hippocampal CA3 and the ipsilateral frontal sinus. This differential recording configuration captures both early and late components of the auditory-evoked potential, including the acoustic brainstem response, midlatency P20 (for example, human P50/M50) and N40 (for example, human N100/M100), as well as the late P2 and P3a peaks, as published,^{46–48} with strong analogy to human scalp electroechocardiogram (EEG).^{21,49} Signal processing was performed using EEGLab in Matlab, as published.21,50 For details, see Supplemental Information.

Behavior and pharmacology. Behavioral testing for social interactions (7.8 ± 0.3 months), prepulse inhibition (8.2 ± 0.3 months) and locomotor activity (7.5 ± 0.4 months) was performed as published at the specified ages.⁴⁵ Spatial memory was assessed using a spontaneous alternation T-maze paradigm (9.6 ± 0.2 months), according to established protocols.^{51,52} Baclofen, risperidone and L-838,417 were administered via intrapreitoneal (IP) injections 15–30 min before electrophysiological and behavioral testing. Baclofen and risperidone were dissolved in saline, whereas L-838,417 was dissolved in a 10% DMSO solution in saline. For details, see Supplemental Information.

Results

Reduced excitatory-inhibitory balance. To begin dissecting the circuit-level effects of constitutive NMDAR-hypofunction, we investigated cellular and molecular changes in excitatory and inhibitory populations. We first assessed the integrity of pre- and postsynaptic interneuron markers, given the evidence of GABAergic dysfunction in schizophrenia, autism and ID,⁵³ as well as the importance of PV+ FSI for generation of gamma synchrony.^{24,35} Cortical cell counts of immunolabeled FSI were reduced by 40-70% in NR1^{neo-/-} mice across prefrontal and sensorimotor cortices (Figure 1a; main effect of group: $F_{1,24} = 15.18$, P = 0.0007) and western blotting revealed a 50% reduction in PV expression 1b, Supplementary Figure S1; (Figure aroup × marker: F_{4.44}=3.8, P<0.01; PV: P<0.001, Bonferroni



Figure 1 Constitutive NMDA-receptor hypofunction causes a selective disruption of parvalbumin-expressing (PV +), fast-spiking interneurons (FSI). (a) Immunor-eactivity for FSI was significantly reduced in NR1^{neo-/-} mice. (b) Protein expression for markers of GABA interneuron populations was assessed by western blot. Calbindin and calretinin are markers for nonfast-spiking interneurons, whereas GAD65 and GAD67 are expressed in all GABAergic interneurons. PV expression was significantly reduced in NR1^{neo-/-} mice, whereas other proteins were unaffected. (c) No group differences were observed following *in situ* hybridization for PV mRNA, indicating that FSI were present, but disrupted, in NR1^{neo-/-} mice. (d) Expression of postsynaptic GABA_A- and GABA_B-receptor subunits was measured by quantitative PCR (qPCR). The GABA_A-receptor alpha-2 subunit was significantly upregulated in NR1^{neo-/-} mice as seen in schizophrenia,⁵⁵ whereas other subunits were unaffected. Figures show mean +/- s.e.m., *P < 0.05, **P < 0.01, ***P < 0.001.

post-test). However, group differences were not observed following *in situ* hybridization (Figure 1c; t_{10} =0.45, P=0.7), indicating that the total number of FSI was not reduced.

Postsynaptically, $\alpha 2$ subunit-containing GABA_A-receptors, known to be enriched in the axon initial segment opposing FSI terminals,^{24,54} were significantly upregulated in NR1 transgenic mice (Figure 1d; group: $F_{1,28}=8.1$, P<0.01; P<0.05, post-test), as observed in schizophrenia.⁵⁵ In contrast, there were no group differences in expression of GABA_B-receptor subunits, expression of markers for non-FSI (calbindin, calretinin) and total interneuron populations (GAD65, GAD67). These findings indicate that NMDARhypofunction induces multiple, selective GABAergic deficits.

To determine the effect of NMDAR-hypofunction on excitatory signaling, we next examined membrane properties and synaptic events in hippocampal pyramidal cells using patch clamp. Despite genetic reduction in a major excitatory signaling pathway, the major effect of constitutive NMDAreceptor downregulation was an increase in intrinsic excitability, as measured by the slope of the spike frequency (I-F) curve in current clamp (WT: $0.023 \pm 0.002 \text{ Hz pA}^{-}$ NR1^{neo-/-}: $0.085 \pm 0.002 \text{ Hz pA}^{-1}$; $F_{1,27} = 272.4$, P < 0.0001; see Figure 3). This neuronal hyperexcitability is consistent with reports of increased blood flow in limbic regions of patients with schizophrenia and autism.^{56,57} Spontaneous and evoked excitatory postsynaptic currents (EPSCs) did not differ between groups. Together, these results demonstrate that constitutive NMDAR-hypofunction is sufficient to impair E/I balance, favoring excitation.

Reduced gamma signal-to-noise ratio. E/I balance and FSI function are integral for gamma oscillatory activity,^{35,58} which is disrupted in several clinical populations with overlapping symptom domains.^{21,22,27} Consistent with circuit hyperexcitability, NR1^{neo-/-} mice showed a broadband increase in spontaneous local field potential (LFP) power (Figures 2a and e; F_{1.34}=87.64, P<0.0001), with groups differing most significantly at gamma frequencies (P<0.001, post-test). Conversely, evoked power was reduced in NR1^{neo-/-} mice in response to auditory stimulation (Figures 2b and f; group \times frequency: $F_{2,34} = 9.18$, P<0.001), selectively at gamma frequencies (P<0.001, post-test). These results mirror clinical findings using analogous recording and analysis methods, demonstrating elevated background LFP power ('noise') and reduced stimulus-evoked gamma-band activity ('signal').22,27 Indeed. background and stimulus-evoked gamma-band responses were negatively correlated (R = -0.71, P < 0.0001), yielding an underlying deficit in gamma signal-to-noise ratio (SNR). We therefore calculated SNR by dividing the gamma-bandevoked response by the background (pre-stimulus) level of gamma power. These data fit with a recent report that abnormalities in spontaneous gamma power serve as a biomarker for altered E/I balance.

Gamma abnormalities predict social and working memory deficits. Behaviorally, gamma-band abnormalities in schizophrenia have been associated with treatment-resistant cognitive and negative symptoms, including working memory and social deficits, suggesting a common underlying mechanism.^{25,32} To determine if gamma abnormalities mice were predictive of similar phenotypes in a preclinical setting, we measured spatial memory using a spontaneous



Figure 2 NMDAR-circuit disruption causes gamma-band deficits, which predict behavioral deficits. (**a**) Baseline, pre-stimulus power is plotted as a function of frequency. (**b**) Evoked (that is, phase-locked) power is shown as function of time and frequency. (**c**) Raw and gamma-filtered traces are shown for wild type (WT) (red) and NR1^{neo-/-} (black) mice. (**d**) Gamma-filtered responses demonstrate reduced stimulus-evoked activity for NR1 mice, consistent with the time-frequency plot. (**e**) NR1^{neo-/-} mice demonstrated significantly elevated baseline local field potential (LFP) power, most significantly at gamma frequencies. (**f**) Auditory-evoked power was selectively reduced at gamma frequencies. Baseline gamma power inversely correlated with auditory-evoked synchronous gamma activity, yielding disrupted gamma signal-to-noise in NR1^{neo-/-} mice. (**g**) Gamma power abnormalities predict deficits in social interactions and spatial memory. Figures show mean ± s.e.m. (*P < 0.05, **P < 0.01, ***P < 0.001).

alternation T-maze paradigm and sociability in an approach/ avoidance paradigm. As expected,^{43,59} NR1^{neo-/-} mice demonstrated reduced social preference (see Figure 4; t_{18} =7.76, *P*<0.0001) and impaired spatial memory (t_{22} =4.58, *P*<0.0001). Intriguingly, baseline gamma power was highly correlated with both deficits (Figure 2g; Social: *R*=-0.72, *P*=0.002; T-maze: *R*=-0.61, *P*<0.025; Bonferroni corrected), suggesting that phenotypic and neural oscillatory abnormalities are related to a common circuit mechanism. Importantly, these results demonstrate that gamma synchrony is a translational biomarker with crossspecies predictive utility.

Circuit hyperexcitability reduced by baclofen. Having linked changes in circuit excitability and neural synchrony to target social and cognitive phenotypes, we next investigated whether we could pharmacologically normalize circuit E/I balance. We chose the metabotropic GABA_B-receptor agonist baclofen, which is known to increase inhibition via pre- and postsynaptic mechanisms. This would tonically reduce the likelihood of a cell firing, in contrast to the actions of a GABA_A-receptor agonist, which would phasically modulate spike timing. Indeed, baclofen reduced the intrinsic hyperexcitability of pyramidal cells in NR1^{neo-/-} mice (Figure 3a; $F_{1,27}$ = 144.9, P<0.0001) such that spike frequency approached levels in WT cells at baseline. Although group differences were not observed for the following measures at baseline, baclofen reduced evoked EPSC amplitudes ($F_{1,28}$ = 13.4, P = 0.001), spontaneous EPSC amplitudes ($F_{1,28}$ = 8.1, P<0.01) and spontaneous



Figure 3 Hyperexcitability of pyramidal cells following constitutive NMDAR hypofunction is normalized by baclofen. (a) $NR1^{neo-/-}$ cells demonstrated significant hyperexcitability, measured by the slope of the frequency–current relationship, which was reduced by baclofen (10 μ M). Excitability of $NR1^{neo-/-}$ cells treated with baclofen approached levels seen in wild type (WT) cells at baseline. (b) Synaptic events (evoked excitatory postsynaptic currents (eEPSCs)) were evoked by local stimulation within 100 μ m of the cell body. Although group differences were not observed, baclofen reduced eEPSC amplitudes. The bottom panel shows average traces of eEPSCs evoked with 100- μ s pulses; stimulus artifacts are omitted. (c) Baclofen reduced spontaneous synaptic events (sEPSC), in terms of frequency (*left*) and amplitude (*right*). The bottom panel shows segments of raw sEPSC recordings and averaged sEPSC amplitudes. Figures show mean ± s.e.m. (*P < 0.05,**P < 0.01,***P < 0.001).

EPSC frequency ($F_{1,24}$ =5.6, P<0.03). Therefore, baclofen improved E/I homeostasis via synaptic and intrinsic mechanisms.

Baclofen reverses gamma and behavioral deficits. Given that baclofen normalizes multiple aspects of circuit E/I balance, we investigated whether baclofen can reverse deficits in gamma SNR and target behavioral phenotypes (Figure 4, Supplementary Figure S2). To assess drug specificity, we also screened the antipsychotic risperidone and L-838,417, a subunit-selective GABA_A-receptor α -2,3,5 (α -1 sparing) agonist similar to MK-0777, which was investigated on comparable measures in recent schizophrenia clinical trials.^{54,60} L-838,417 represents a new class of benzodiazepines that lack the tolerance, withdrawal and adverse cognitive properties mediated by activity at the GABA_A α -1 subunit. Both risperidone

(dose × drug: $F_{2,41} = 4.30$, P = 0.02) and L-838,417 (drug: $F_{2,74} = 3.66$, P = 0.03) worsened gamma-SNR in NR1^{neo-/-} mice. Conversely, baclofen dose-dependently improved gamma-SNR (drug: $F_{2,49} = 8.39$, P = 0.0007).

If gamma synchrony and target behaviors are truly linked via a common mechanism of E/I balance, we hypothesized that baclofen would rescue phenotypic deficits in mice with NMDAR-hypofunction. Indeed, baclofen reversed social deficits in a dose-dependent manner (Figure 4b; drug: $F_{2,52} = 5.09$, P < 0.01) and significantly improved T-maze performance in NR1^{neo-/-} mice (Figure 4c; drug: $F_{1,22} = 6.31$, P < 0.02). Neither risperidone nor L-838,417 affected social or T-maze performance, consistent with negative clinical trials evaluating similar compounds (Supplementary Figure S2). Baclofen also reversed prepulse inhibition deficits (Figure 4d; drug: $F_{2,28} = 4.3$, P = 0.02), elevated acoustic startle responses (Figure 4e; group × drug: $F_{2,28} = 5.14$,



Figure 4 Constitutive NMDAR circuit disruption causes electrophysiological and behavioral deficits that are reversed by the GABA_B-receptor agonist baclofen. (a) Baclofen, but not risperidone or the selective GABA_A-receptor agonist L-838,417, improved gamma signal-to-noise (SNR) in NR1^{neo-/-} mice. (b) Social and (c) spatial memory deficits in NR1^{neo-/-} mice were selectively reversed by baclofen. Baclofen reverses (d) deficits in prepulse inhibition and (e) elevated auditory startle responses in NR1-transgenic mice. (e) Locomotor hyperactivity is normalized by baclofen. Figures show mean \pm s.e.m., (*P < 0.05, **P < 0.01, ***P < 0.001).

P=0.01) and locomotor hyperactivity (Figure 4f; drug: $F_{2,42}=9.0$, P<0.001) in NR1^{neo-/-} mice, which are all considered behavioral measures relevant to neuropsychiatric diseases that are less selective for treatment-resistant symptoms. Some of these behavioral deficits were also reversed by risperidone and/or L-838,418 (Supplementary Figure S2). These results fit with emerging evidence of reduced GABA_B-signaling in schizophrenia, which predicts negative symptoms.⁶¹

Discussion

PV interneurons & gamma signal-to-noise ratio. *In vivo* electrophysiological studies demonstrated a pattern of reduced gamma frequency signal-to-noise (SNR) in mice with dysregulation of NMDA-receptor signaling. In particular, NR1^{neo-/-} mice show a broad-spectrum increase in spontaneous LFP power ('noise') that was most significantly elevated at high (gamma) frequencies. In contrast, phase-locked auditory-evoked responses were significantly reduced, but only at gamma frequencies. This pattern is highly consistent with clinical studies, which demonstrate increased baseline activity,^{27,62,63} coupled with reduced sensory-evoked gamma synchrony,^{21,23,25,27,33} as we recently reviewed.²² Our data suggest that altered E/I balance,

coincident with selective reduction of parvalbumin expression and pyramidal cell hyperexcitability, likely serves as a cellular mechanism underlying observed electrophysiological deficits.

Recent optogenetic work has shown that activation of PV + interneurons is critical for generation of (stimulus-evoked) gamma synchrony in vivo.35,39 Cardin et al.39 demonstrated that brief, rhythmic excitation of FSI at various frequencies selectively increases LFP gamma power, an effect that was not seen by driving pyramidal cells (even at gamma frequencies). Interestingly, this effect was blocked by NMDAR-antagonists. Sohal et al.35 investigated gamma synchrony in vivo by optogenetically stimulating pyramidal neurons while concomitantly inhibiting FSI. PV-cell silencing reduced LFP power and phase-locking in the gamma band (but not other frequencies), indicating that FSI activity is necessary to generate stimulus-evoked gamma synchrony. In the absence of pyramidal cell stimulation, however, PV-cell inhibition increased spontaneous LFP power, consistent with our findings of elevated background power in NR1^{neo-/-} mice. Taken together, these findings indicate that precise PV-cell firing is critical for synchronizing stimulus-evoked gamma oscillations. However, in the absence of sensory (or optogenetic) stimulation, PV-cell silencing does not lead to a reduction in gamma synchrony, but rather causes an increase in LFP power. Behaviorally, targeted removal of FSI from

hippocampal CA1 was recently shown to impair spatial working memory,⁶⁴ in accordance with our findings. Recent work has demonstrated that NMDA-receptors on FSI are critical for the generation of gamma synchrony^{65,66} (although pyramidal cell-specific perturbations also modulate gamma-band activity⁵⁸).

Elevated microcircuit noise. These results indicate that appropriate PV cell function is integral for the generation of stimulus-evoked gamma-band synchrony, which is disrupted in NR1^{neo-/-} mice. In addition, these findings indicate that PV cell firing is necessary to reduce background (that is. spontaneous) LFP power, which is elevated following NMDAR-hypofunction. Elevated background LFP power is likely caused by an increase in spontaneous pyramidal cell firing, as indicated by our data demonstrating hyperexcitability of pyramidal cells from NR1^{neo-/-} mice. Elevated spontaneous cell firing reduces the ability of a neural system to synchronize its activity in a temporally precise manner in response to a salient stimulus, such as an auditory tone. As such, enhanced microcircuit 'noise' could, itself, be a pathologic disease state contributing to reduced stimulusevoked synchrony and associated phenotypic deficits,⁶⁷ as supported by our data demonstrating a significant, negative relationship between pre- and post-stimulus oscillatory activity, as well as the significant relationship between gamma abnormalities and phenotypic deficits. Indeed, a recent study demonstrated that induction of pyramidal cell hyperexcitability (for example, elevated microcircuit noise) caused an increase in baseline gamma power, as well as cognitive and social deficits in wild-type mice in vivo.58 We extend these findings in transgenic mice relevant to multiple neurodevelopmental disorders, demonstrate that such deficits are pharmacologically reversible by baclofen and show that gamma synchrony can be used as a preclinical, translational biomarker for novel therapeutic development.

Baclofen mechanism of action. Based on these results, the efficacy of baclofen may relate to its ability to dampen hyperexcitability via pre- and postsynaptic mechanisms. Baclofen stimulates metabotropic GABA_B-receptors, which function as presynaptic autoreceptors to inhibit vesicular release and activate postsynaptic, inward-rectifying potassium channels. Together, these mechanisms serve to tonically hyperpolarize neurons, decrease resting membrane potential and reduce cell firing. This hyperpolarization likely affects gamma SNR in two ways. First, by decreasing intrinsic pyramidal cell excitability, as well as spontaneous and evoked synaptic input into these cells (Figure 3), we would expect that spontaneous firing of these cells ('noise') would be reduced, leading to a reduction in spontaneous LFP power. Likewise, hyperpolarization of pyramidal cells by baclofen would be expected to increase stimulus-evoked gamma-band signal, given the significant negative correlation between pre- and post-stimulus gamma power. Given the dysfunction of FSI, we speculate that such a reduction in membrane potential of postsynaptic neurons may seek to augment the downstream effects of phasic GABA release by these interneurons. Instead of attempting to directly mimic the phasic output of FSI (and other interneurons), as GABA_Areceptor agonists such as L-838,417 would, baclofen places the system in a state where GABA that is released is amplified due to a lower resting membrane potential. As temporally precise GABA release by FSI onto pyramidal cells is crucial for the emergence of oscillatory activity, this amplification of the output from FSI would be expected to facilitate gamma synchrony. As such, the efficacy of tonic GABA_B-agonists but not phasic GABA_A-agonists suggests that elevated microcircuit noise is the primary insult following NMDAR-hypofunction. Baclofen broadly reduces the likelihood of a cell firing, in contrast to the actions of a GABA_Areceptor agonist that would phasically modulate spike timing.

Baclofen clinical trials. In the 1970s, several small clinical trials and/or case studies were conducted with baclofen in schizophrenia patients.^{68–75} Although the general impression was that baclofen was unsuccessful, none of these studies explicitly investigated the efficacy of baclofen on negative symptoms or cognitive measures. Instead, outcomes consisted of subjective clinical impression or measures of psychosis (i.e., positive symptoms). In addition, only three of these studies assessed the effect of baclofen with concomitant, stable neuroleptic treatment.68,73,74 Of these three studies, one found no change,⁷³ whereas two reported improvement, especially in 'symptoms of autism'.68,74 Manv of the remaining studies did not report clinical efficacy, but are confounded by the concurrent withdrawal or lack of antipsychotic treatment. Importantly, all studies reported that baclofen was generally well tolerated in schizophrenia patients. Indeed, our results suggest that baclofen would be most effective in cognitive and negative symptom domains, given that this is the strength of the NR1 model and that gamma synchrony seems most related to these symptoms. Finally, arbaclofen, the R enantiomer of baclofen, is currently in phase 2 and phase 3 clinical trials for social withdrawal in autism and Fragile X Syndrome, respectively (http://clinicaltrials.gov/ct2/show/NCT01288716; http://clinicaltrials.gov/ct2/show/NCT01282268).

Model validity. One potential limitation of the current study centers on the construct validity of NR1^{neo-/-} mice for the clinical populations from a risk gene perspective. Rather than focusing on a single polymorphism that is likely present in a small proportion of patients, this work employed a pathway approach using model that reflects the myriad of mechanisms that can lead to disruptions in NMDAR signaling, likely increasing its translational potential and generalizability relative to single, vulnerability gene approaches. It also seems unlikely that patients with schizophrenia or autism have the same extent of reduced NMDAR activity as that in NR1^{neo-/-} mice. However, a deleterious GRIN1 mutation associated with ID nearly completely abolishes receptor function,¹⁹ suggesting that the model has physiological relevance to a continuum of human conditions. The main challenge in forward translation of novel therapeutics from preclinical settings, a major focus of this study, has been the preponderance of false-positive results in animal studies. For example, more subtle pharmacologic NMDAR disruption often employed as a preclinical disease model can be

reversed with GABA_A-receptor agonists,^{76–78} despite the fact that these drugs do not improve, and often worsen, symptoms of schizophrenia.60,79 In addition, recent studies have investigated selective, interneuron-specific knockout of NMDA receptors as a preclinical disease model, given the GABAergic neuropathology of schizophrenia.51,65,66 However, these models have either failed to recapitulate core clinical phenotypes, including social deficits,⁶⁶ spatial memory deficits,⁶⁵ and working memory deficits at high memory loads,⁶⁵ or have demonstrated complete phenotypic reversal of treatment-resistant symptoms (i.e., working memory deficit) with risperidone.⁵¹ despite the drug's lack of clinical efficacy on this domain.80,81 Thus, although these studies have added important mechanistic insights, we employed a model with clear phenotypic deficits that would favor false-negative predictive validity as a conservative approach to advance a treatment target. The observation that baclofen dose-dependently reversed multiple neural deficits in this model provides more confidence for successful forward translation. In addition, neither risperidone nor the subunit-selective GABA_A-receptor agonist affected target phenotypes in NR1-transgenic mice, consistent with clinical studies, confirming the appropriate predictive validity of this model and highlighting the specificity of the GABA_B findings. We propose that future studies should investigate the effects of baclofen on electrophysiological and behavioral phenotypes in addition to transgenic disease models.

In conclusion, this study demonstrated a relationship between constitutive NMDAR-hypofunction, disrupted excitatory/inhibitory balance, reduced gamma signal-to-noise and the treatment-resistant symptoms of schizophrenia, autism and ID. The GABA_B-receptor agonist baclofen, but not risperidone or the subunit-selective GABA_A-receptor agonist L-838,417, improved gamma-SNR, social function and spatial memory deficits in NR1^{neo-/-} mice. As such, we provide a novel, clinically translatable paradigm based on gamma-band activity for preclinical and clinical therapeutic screening for neurodevelopmental, neuropsychiatric disorders. Finally, we demonstrate that GABA_B-receptors represent an appropriate therapeutic target for restoring circuit and phenotypic abnormalities in diseases characterized by constitutive NMDAR-hypofunction.

Conflict of interest

The authors declare no conflict of interest.

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