

CASE REPORT

Open Access



Perioperative stroke during carotid endarterectomy: benefits of multimodal neuromonitoring - a case report

D. M. Michels^{1*} , L. C. Van Dijk² and D. L. J. Tavy¹

Abstract

Background: Carotid endarterectomy is routinely performed after ischemic stroke due to carotid stenosis. Perioperative, cerebral blood flow and oxygenation can be monitored in different ways, but there is no clear evidence of a gold standard and a uniform guideline is lacking. Electroencephalography and near-infrared spectroscopy are among the most frequently used methods of neuromonitoring. Clinicians should be aware of their pitfalls and the added value of transcranial doppler.

Case presentation: We present the case of an 85-year old male with perioperative haemodynamic stroke during carotid endarterectomy. Ischemic stroke was caused by suddenly increased carotid stenosis resulting in major neurologic deficit. This was registered only by transcranial doppler, while surface electroencephalography and near-infrared spectroscopy failed to detect any significant change in cerebral perfusion, despite a large perfusion defect on computed tomography. Circulation was restored with endovascular treatment and neurologic deficit quickly resolved.

Conclusion: We strongly advocate the practice of multimodal neuromonitoring including transcranial doppler whenever possible to minimize the risk of persistent neurologic deficit due to perioperative stroke during carotid endarterectomy.

Keywords: Carotid endarterectomy, Monitoring, Electroencephalography, Transcranial doppler, Near-infrared spectroscopy, Case report

Background

Carotid endarterectomy (CEA) for the treatment of significant carotid stenosis after ischemic stroke is routinely performed worldwide. The risk of perioperative complications such as death or disabling stroke ranges from 3 to 7.5%, particularly during clamping of the carotid artery [1]. Therefore, different methods of monitoring cerebral blood supply and oxygenation have been developed, such as electroencephalography (EEG), transcranial doppler

(TCD), stump pressure measurement, near-infrared spectroscopy (NIRS) or somatosensory evoked potentials (SSEP) [2, 3]. However, no conclusive data exist on the optimal combination of monitoring modalities to maximize sensitivity for perioperative cerebral ischemia [2].

Case presentation

We describe the case of an 85-year old, right-handed male with a history of myocardial infarction and peripheral vascular disease. He underwent elective CEA after suffering a minor stroke of the left middle cerebral artery (MCA) territory due to an ipsilateral 60–70% internal carotid artery (ICA) stenosis according to North American Symptomatic Carotid Endarterectomy Trial (NASCET) criteria (Fig. 1). There was no significant

*Correspondence: d.michels@hagaziekenhuis.nl

¹ Department of Neurology, Haga Teaching Hospital, Els Borst-Eilersplein 275, 2545 AA The Hague, The Netherlands

Full list of author information is available at the end of the article



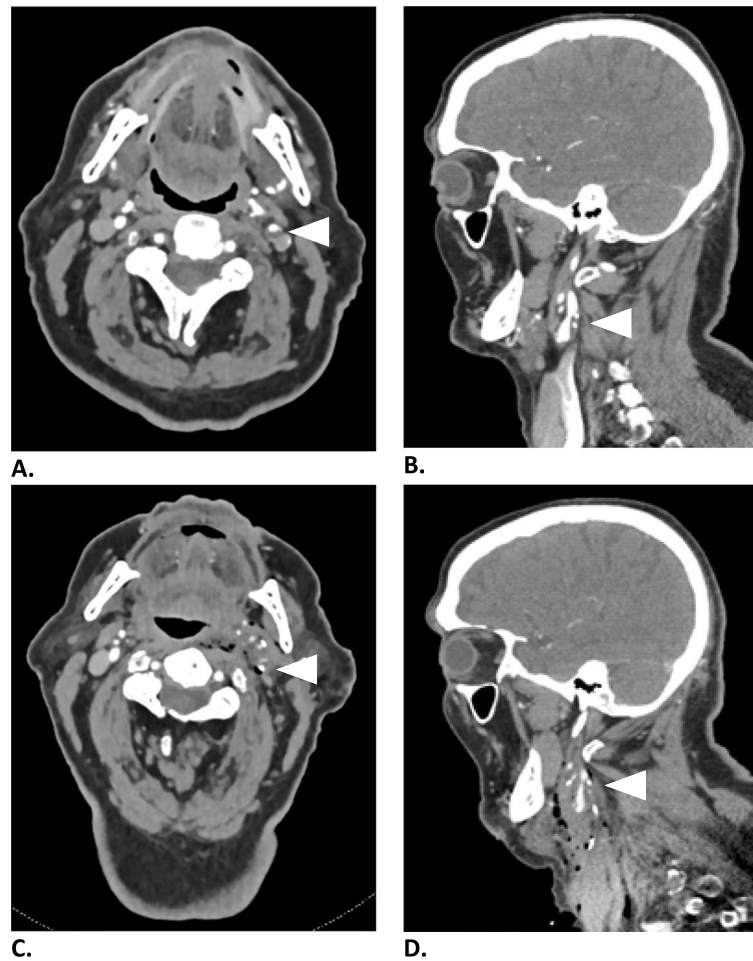


Fig. 1 Pre- and postoperative contrast enhanced computed tomography of left ICA stenosis (white arrow). **A** Pre-operative axial view, graded 60–70% using NASCET criteria. **B** Pre-operative sagittal view. **C** Postoperative near occlusion of the left ICA with postoperative subcutaneous emphysema, axial view. **D** Postoperative sagittal view

contralateral stenosis. Preoperative carotid ultrasound confirmed the 60–70% stenosis and showed no unfavourable plaque characteristics such as instability or adherent thrombus.

At the time of surgery almost 2 weeks later, only minimal neurologic deficit remained. Surgery was performed under general anaesthesia and perioperative monitoring was performed with visual and quantitative EEG, TCD of the left MCA, and NIRS of both hemispheres. During dissection but before clamping of the common and internal carotid artery, a sudden and severe decrease in mean flow velocity of the left MCA was observed (70 to 13 cm/s, > 80% decrease), while the patient was otherwise hemodynamically stable (Fig. 2). Concurrently, NIRS demonstrated only a slight decrease (7%) in oxygenation of the left hemisphere while the right hemisphere

remained stable. Visual and quantitative EEG remained completely unchanged for both left and right hemisphere.

Because of a persistent change in TCD and NIRS parameters there was a strong suspicion of cerebral complications. Since neurologic examination was not possible due to general anaesthesia, the decision was made to terminate the procedure. After sedation effects had worn off the patient was found to have a global aphasia and right-sided paralysis. Computed tomography with angiography of head and neck demonstrated a near occlusion of the left ICA (Fig. 1). This may have been due to intraluminal thrombus, or intraplaque hematoma due to dissection. No embolic occlusion of the middle (MCA) or anterior cerebral artery (ACA) was found. Perfusion imaging showed a large perfusion defect of the entire anterior circulation of the left hemisphere compatible with hypoperfusion (Fig. 3). Subsequently, an emergency carotid

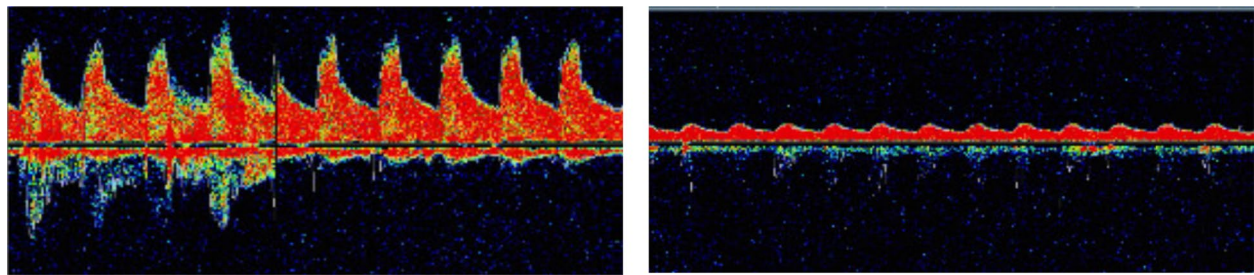


Fig. 2 Perioperative transcranial doppler (TCD) signal of the left middle cerebral artery. **A** Before dissection, mean velocity 70 cm/s. **B** During dissection, mean velocity 13 cm/s (>80% decrease compared to the start of procedure)

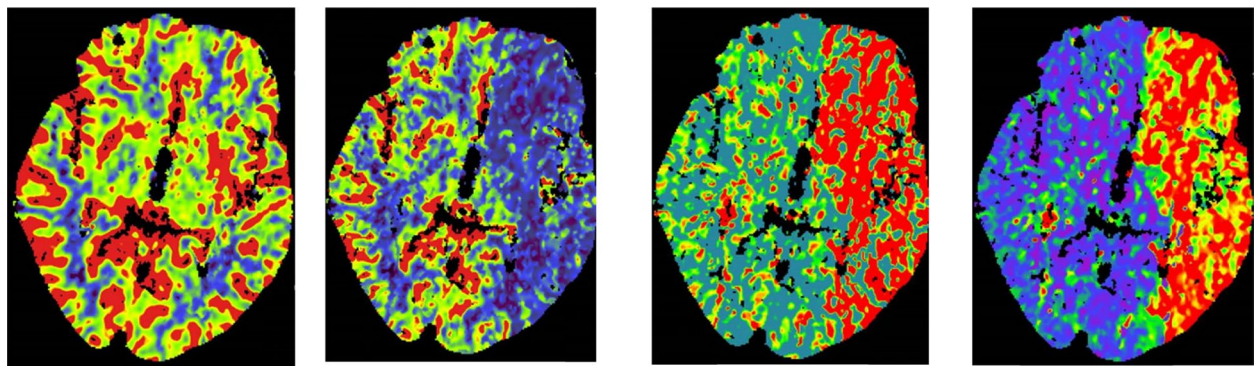


Fig. 3 Postoperative computed tomography perfusion imaging showing a large perfusion defect of the entire anterior circulation of the left hemisphere consistent with hypoperfusion due to severe carotid artery stenosis. **A** Cerebral blood volume. **B** Cerebral blood flow. **C** Time to peak. **D** Mean transit time. Decreased cerebral blood flow with normal cerebral blood volume indicate a small infarct core and large penumbra, e.g. salvageable tissue

artery angioplasty with stenting was performed to restore perfusion, since returning to the operating room to finish the CEA was deemed too time-consuming. Angiography after stent placement demonstrated no embolic occlusions of the MCA or ACA territory (Fig. 4). Perfusion was restored 4 h after the perioperative changes in TCD and NIRS occurred. Full recovery of aphasia and right-sided paralysis was observed immediately after stent placement. At 6 months follow-up, no stroke recurrence has been observed.

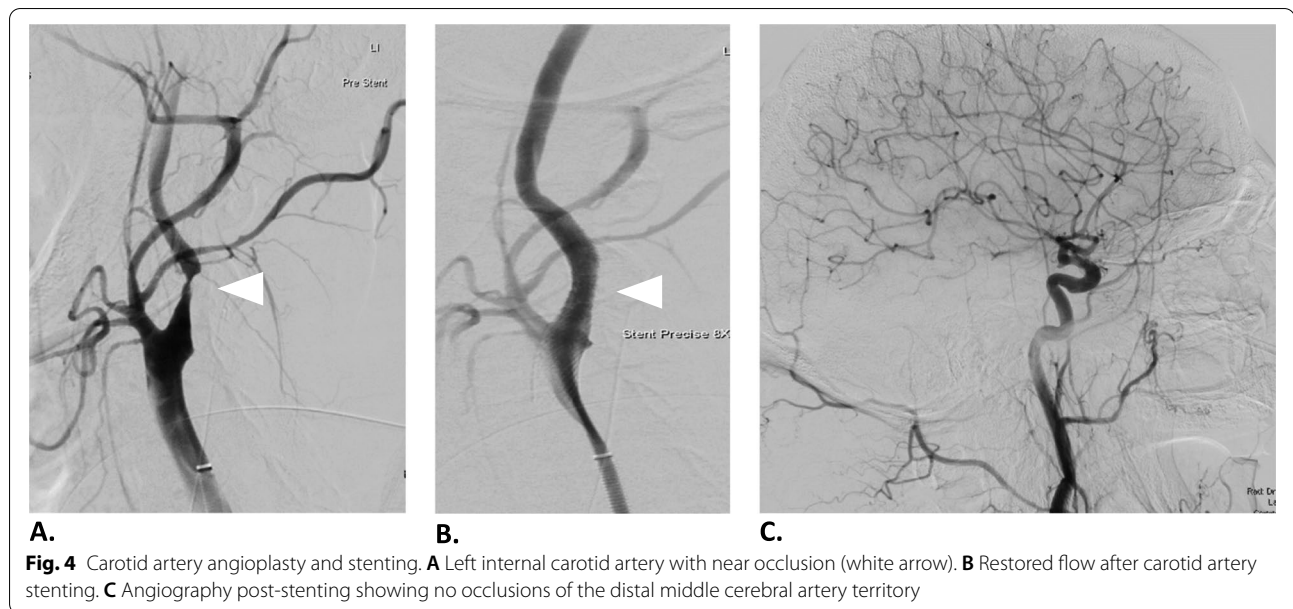
Discussion and conclusions

Clinically significant carotid artery stenosis (defined as ≥50–99% stenosis using North American Symptomatic Carotid Endarterectomy Trial (NASCET) criteria) is a major risk factor for ischemic stroke, with recurrence risks after initial stroke of up to 20–30% within 30 days, depending on severity of stenosis, plaque characteristics and general cardiovascular risk factors [4]. CEA has been proven superior to best medical treatment in reducing

the risk of death or major disability due to recurrent stroke within 5 years, with an absolute risk reduction of 6.7% [5]. The most important risk of CEA is the development of perioperative stroke, the majority of which are caused by embolism, and around 20% due to hemodynamic changes after clamping of the carotid arteries [6].

Different monitoring modalities have been used to detect cerebral ischemia during carotid artery clamping, necessitating shunting. These include surface EEG, TCD, stump pressure measurement, NIRS, somatosensory and motor evoked potentials, or even awake surgery. However, no national or international guideline on the optimal use of neuromonitoring during CEA exists. Few direct comparisons between available tests have been made, and no studies have yet demonstrated any method to be superior [2].

Awake surgery under regional anaesthesia is the most reliable way to determine neurologic deficit, but can be technically challenging and possibly has a higher risk of complications compared to general anaesthesia [7].



The use of EEG for the detection of hemodynamic changes and perioperative stroke due to clamping has been described in several previous studies [8, 9]. Cerebral hypoperfusion during carotid clamping can be identified by asymmetrically increased delta and theta activity or decrease in fast activity. EEG asymmetry is assessed visually but can also be measured quantitatively via automatically calculated parameters, such as the brain symmetry index, aiding in the detection of minor differences [10]. Diagnostic accuracy for hypoperfusion is generally reported to be good [8, 9], although the severity of neurologic deficit in patients with EEG changes is rarely described. It is therefore remarkable to note that in our case a major perfusion defect accompanied by severe deficit was completely undetected by EEG.

Interestingly, both visual and quantitative EEG assessment showed no sign of asymmetry in our patient, while a significant decrease in flow velocity on TCD was seen, accompanied by a slight decrease in ipsilateral NIRS. It remains unclear why no surface EEG abnormalities were found. This could possibly be explained by collateral circulation via the extracranial and leptomeningeal arteries, preventing cortical ischemia thus preserving synaptic function, which is measured with surface EEG. The observed neurologic deficit could be attributed to ischemia of the basal ganglia and internal capsule, as these areas lack proper collateral circulation. However, CT perfusion did demonstrate widespread cortical perfusion defects. It is possible that at the time of scanning, over 90 minutes after the first change in TCD signal was observed, collateral circulation had already been exhausted.

This case highlights the added benefit of TCD alongside other monitoring methods. EEG, NIRS and SSEP measure indirect parameters of cerebral perfusion, such as electric synaptic function and tissue oxygenation. TCD on the other hand measures actual blood flow, making it more sensitive to minor disturbances in cerebral perfusion due to carotid clamping or changes in plaque morphology. Furthermore, TCD can also be used to detect the passage of micro-emboli during surgery, with may predict postoperative stroke [11]. Unfortunately, a suitable temporal bone window for TCD is not always available, which occurs in up to 20% of patients, particularly in elderly [12]. Furthermore, a 2017 meta-analysis determined the sensitivity of TCD alone for detecting perioperative stroke at only 56% [6]. Therefore, additional monitoring with NIRS can be of value. Several studies have investigated its diagnostic accuracy, using varying cut-off values. One large cohort study found a relative decrease of 13% in NIRS during clamping to have a 100% sensitivity of detecting cerebral ischemia, with EEG serving as reference [13]. Other smaller studies have described more lenient cut-off values, ranging from 20 to 41% [14, 15]. Our case illustrates that even a 7% ipsilateral decrease can be associated with severe neurologic deficit. This is in line with a recent meta-analysis on the diagnostic accuracy of NIRS for cerebral ischemia in awake patients, which found a sensitivity of 72% and specificity of 84% when cut-off values of 9–25.8% were used, leading to the authors' conclusion that NIRS is not accurate enough to be used as a sole neuromonitoring modality [16].

With various options and no clear gold standard, the type of neuromonitoring used during CEA will often depend on availability and local expertise, and varies both regionally and internationally. Previously, calls for simultaneous implementation of different monitoring methods have been raised [3]. However, a recent study has shown a variety of monitoring strategies used across different centres in The Netherlands, including awake surgery, EEG, TCD, stump pressure measurement and no monitoring due to routine shunting in all patients. Only 56% of the interviewed centres reported the combined use of EEG and TCD [17]. This study indicates that, although the pitfalls of each monitoring method are generally well-known, the use of combined monitoring is not yet common practice. It is also important to note that routine shunting only addresses the risk of haemodynamic complications due to clamping, and provides no safeguard against embolic stroke.

In conclusion, haemodynamic stroke due to sudden changes in plaque morphology during routine CEA is a rare complication, having not previously been reported in literature. Clinicians should be aware of the pitfalls in diagnostic accuracy of monitoring modalities such as EEG, TCD and NIRS. Our case highlights the benefits of multimodal neuromonitoring, which is not yet the standard of care in the Netherlands.

When perioperative stroke is suspected, urgent angiography can be performed to assess treatable intracranial occlusions. If necessary, cessation of the procedure for clinical assessment should be considered, followed by endovascular treatment (thrombectomy and/or carotid artery stenting) when possible.

Abbreviations

ACA: Anterior cerebral artery; CEA: Carotid endarterectomy; EEG: Electroencephalogram; ICA: Internal carotid artery; MCA: Middle cerebral artery; NASCET: North-American symptomatic carotid endarterectomy trial; NIRS: Near-infrared spectroscopy; SSEP: Somatosensory evoked potential; TCD: Transcranial doppler.

Acknowledgements

Special thanks to dr. M. Voûte, vascular surgeon at Haga teaching hospital, for reviewing the manuscript. The CARE guidelines for case reports have been followed.

Authors' contributions

D.M. and D.T. have contributed to the writing of this manuscript. L.v.D. was responsible for the endovascular treatment and has re-reviewed all imaging presented in this case report. All authors have read and approved of the manuscript.

Funding

No funding was received for this case report.

Availability of data and materials

Not applicable.

Declarations

Ethics approval and consent to participate

Not applicable.

Consent for publication

The patient has provided written consent for publication of this case report.

Competing interests

The authors report no competing interests.

Author details

¹Department of Neurology, Haga Teaching Hospital, Els Borst-Eilersplein 275, 2545 AA The Hague, The Netherlands. ²Department of Radiology, Haga Teaching Hospital, The Hague, The Netherlands.

Received: 31 March 2022 Accepted: 14 August 2022

Published online: 31 August 2022

References

- Ferguson GG, Eliaszim M, Barr HWK, et al. The north American symptomatic carotid endarterectomy trial surgical results in 1415 patients. *Stroke*. 1999;30(9):1751–8.
- Chongruksut W, Vaniyapong T, Rerkasem K. Routine or selective carotid artery shunting for carotid endarterectomy (and different methods of monitoring in selective shunting). *Cochrane Database Syst Rev*. 2014;6.
- Pennekamp CWA, Moll FL, de Borst GJ. The potential benefits and the role of cerebral monitoring in carotid endarterectomy. *Curr Opin Anaesthesiol*. 2011;24:693–7.
- Rothwell PM. Medical and surgical management of symptomatic carotid stenosis. *Int J Stroke*. 2006;1:140–9.
- Cina CS, Clase CM, Haynes BR. Refining the indications for carotid endarterectomy in patients with symptomatic carotid stenosis: a systematic review. *J Vasc Surg*. 1999;30:606–17.
- Udesh R, Natarajan P, Thiagarajan K, et al. Transcranial Doppler monitoring in carotid endarterectomy. *J Ultrasound Med*. 2017;36:621–30.
- Naylor AR, Ricco JB, de Borst GJ, et al. Editor's choice - Management of Atherosclerotic Carotid and Vertebral Artery Disease: 2017 clinical practice guidelines of the European Society for Vascular Surgery (ESVS). *Eur J Vasc Endovasc Surg*. 2018;55:3–81.
- Pinkerton JA. EEG as a criterion for shunt need in carotid endarterectomy. *Ann Vasc Surg*. 2002;16:7.
- Zampella E, Morawetz R, Holt A, et al. The importance of cerebral ischemia during carotid endarterectomy. *Neurosurgery*. 1991;29:5.
- Van Putten MJAM, Peters JM, Mulder SM, et al. A brain symmetry index (BSI) for online EEG monitoring in carotid endarterectomy. *Clin Neurophysiol*. 2004;115:1189–94.
- Verhoeven BAN, De Vries JPPM, Pasterkamp G, et al. Carotid atherosclerotic plaque characteristics are associated with microembolization during carotid endarterectomy and procedural outcome. *Stroke*. 2005;36:1735–40.
- Antipova D, Eadie L, Macaden AS, et al. Diagnostic value of transcranial ultrasonography for selecting subjects with large vessel occlusion: a systematic review. *Ultrasound J*. 2019;11:11–29.
- Pennekamp CWA, Immink RV, Den Ruiten HM, et al. Near-infrared spectroscopy to indicate selective shunt use during carotid endarterectomy. *Eur J Vasc Endovasc Surg*. 2013;46(4):397–403.
- Cho JW, Jang JS. Near-infrared spectroscopy versus transcranial Doppler-based monitoring in carotid endarterectomy. *Korean J Thorac Cardiovasc Surg*. 2017;50:448–52.
- Moritz S, Kasprzak P, Arlt M, et al. Accuracy of cerebral monitoring in detecting cerebral ischemia during carotid endarterectomy: a comparison of transcranial Doppler sonography, near-infrared spectroscopy, stump pressure, and somatosensory evoked potentials. *Anesthesiology*. 2007;107:563–9.
- Duarte-Gamas L, Pereira-Neves A, Sousa J, et al. The Diagnostic Accuracy of Intra-Operative Near Infrared Spectroscopy in Carotid Artery Endarterectomy Under Regional Anaesthesia: Systematic Review and Meta-Analysis. *Eur J Vasc Endovasc Surg*. 2021;62:522–31.

17. Fassaert LMM, Toorop RJ, Petri BJ, et al. Variation in perioperative cerebral and hemodynamic monitoring during carotid endarterectomy. *Ann Vasc Surg.* 2021;77:153–63.

Publisher's Note

Springer Nature remains neutral with regard to jurisdictional claims in published maps and institutional affiliations.

Ready to submit your research? Choose BMC and benefit from:

- fast, convenient online submission
- thorough peer review by experienced researchers in your field
- rapid publication on acceptance
- support for research data, including large and complex data types
- gold Open Access which fosters wider collaboration and increased citations
- maximum visibility for your research: over 100M website views per year

At BMC, research is always in progress.

Learn more biomedcentral.com/submissions

