

# Diagnostic and therapeutic potential of the gut microbiota in patients with early hepatocellular carcinoma

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**Abstract:** The gut microbiota is involved in the maintenance of the homeostasis of the human body and its alterations are associated with the development of different pathological conditions. The liver is the organ most exposed to the influence of the gut microbiota, and recently important connections between the intestinal flora and hepatocellular carcinoma (HCC) have been described. In fact, HCC is commonly associated with liver cirrhosis and develops in a microenvironment where inflammation, immunological alterations, and cellular aberrations are dramatically evident. Prevention and diagnosis in the earliest stages are still the most effective weapons in fighting this tumor. Animal models show that the gut microbiota can be involved in the promotion and progression of HCC directly or through different pathogenic mechanisms. Recent data in humans have confirmed these preclinical findings, shedding new light on HCC pathogenesis. Limitations due to the different experimental design, the ethnic and hepatological setting make it difficult to compare the results and draw definitive conclusions, but these studies lay the foundations for a pathogenetic redefinition of HCC. Therefore, it is evident that the characterization of the gut microbiota and its modulation can have an enormous diagnostic, preventive, and therapeutic potential, especially in patients with early stage HCC.

**Keywords:** bile acids, cirrhosis, gut microbiota, hepatocellular carcinoma, immune system, inflammation, microenvironment

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## Background

Hepatocellular carcinoma (HCC) is a heterogeneous tumor usually arising in an inflammatory environment. Indeed, in the vast majority of cases, HCC is diagnosed in patients with chronic liver disease, in whom it represents one of the leading causes of death despite surveillance programs for early diagnosis.<sup>1</sup> Liver cirrhosis is the paradigm of inflammatory diseases, as it frequently develops in association with chronic viral or alcohol-related hepatitis, or in patients with nonalcoholic fatty liver disease (NAFLD); the hepatocellular damage produced by various etiologic agents eventually results in tissue repair up to the development of fibrosis. Persistent hepatocellular proliferation in this inflammatory microenvironment promotes genetic mutations that trigger hepatocarcinogenesis.<sup>2</sup>

The tumor microenvironment (TME), which includes stromal cells, such as angiogenic cells,

immune cells, fibroblasts, and their products, is crucial in the pathogenesis of HCC. Particular importance has been given to the surrounding nontumor tissue. Indeed, oncogenic signals in the cirrhotic liver seem to have a prognostic relevance even more than HCC cells genomic profile, and this has been demonstrated also for early stage tumors.<sup>3–8</sup>

Interestingly, inflammation seems not to be extinguished by the elimination of the pathogenic noxa from the cirrhotic liver. Histological data after the cure of hepatitis C virus (HCV) infection have shown a significant improvement in fibrosis, periportal and lobular inflammation, but with the persistence of damage in the portal area.<sup>9</sup> In addition, HCC can occur in subjects without liver cirrhosis and this is particularly common in patients with NAFLD.<sup>10,11</sup> These findings suggest the existence of a pro-inflammatory mechanism that

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acts regardless of the etiologic agent of liver injury and of the presence of cirrhosis, which may be involved in the development of HCC.

The gut microbiota is a crucial element in the progression of liver disease. Although the overall community of our intestinal bacteria is generally well structured in physiological conditions, being in some way influenced by age, diet, and geography, and resilient to perturbations, it can be strongly affected by various pathological conditions.<sup>12,13</sup> In cirrhotic patients, the gut microbiota fingerprint is characterized by the reduction of beneficial microbes and the increase of those potentially pathogenic, this being associated with a marked systemic inflammation.<sup>14,15</sup> In addition, the gut barrier is deranged leading to the translocation of bacteria and their products to the liver concurring to the persistence of an inflammatory microenvironment.<sup>16</sup> The gut microbiota therefore can act as primer, being involved in the origination or maintenance of the inflammatory background that promotes the development of HCC.

### Features of the TME associated with early HCC

The complex network of interactions that can be found in the HCC microenvironment, even in the early stage, involves humoral factors and cellular components that together concur in fostering inflammation and neoangiogenesis.<sup>17</sup>

The necroinflammatory damage caused by several external agents, such as hepatitis viruses, alcohol or fat accumulation, converges in the activation of molecular pathways and transcription factors leading to the production of cytokines and chemokines, growth factors, and proangiogenic signals, resulting in inflammation, cell regeneration, and fibrosis.<sup>18</sup> Several studies have shown that similar biological processes and genes are dysregulated in liver regenerating tissue and in early stage HCC.<sup>19,20</sup> In particular, liver cirrhosis appears to be linked with the development of HCC due to (a) genetic aberrations triggered by the damage/proliferation mechanism associated with persistent inflammation and (b) abnormal secretion of pro-angiogenic factors such as vascular endothelial growth factor (VEGF), platelet-derived growth factor (PDGF), fibroblast growth factor (FGF), from hepatic stromal cells and fibroblasts, promoted by the capillarization of hepatic sinusoids, which is a consequence of

portal hypertension.<sup>17</sup> The increased expression of VEGF, PDGF, and FGF has been reported even in dysplastic nodules, and is associated with HCC progression in advanced stages.<sup>21,22</sup> Nevertheless, the aberrant vascular structure of the cirrhotic liver is inefficient, causing hypoxia in the tumor tissue and the production of hypoxia-inducible factor 1 (HIF-1), which amplifies angiogenesis and further tumor progression.<sup>23,24</sup>

The most well-characterized molecular pathways that closely associate inflammation with HCC are the nuclear factor  $\kappa$ B (NF- $\kappa$ B) and the interleukin (IL)-6/signal transducer activator of transcription 3 (STAT-3), both involved in the transcription of cytokines and chemokines-related genes, cell survival and proliferation, angiogenesis, tumor invasion, metastasis, and oxidative stress.<sup>25–32</sup> NF- $\kappa$ B can also exert antitumorigenic functions,<sup>33–37</sup> and these two molecular systems are connected by a mutual crosstalk,<sup>35,38–40</sup> making more complex the understanding of their involvement in liver cancer pathogenesis.

Although inflammation is the first hit that promotes the development of HCC lesions and the perpetuation of an oncogenic stimulation, the suppression of the immune system seems to be crucial. The cytokine profile associated with HCC metastatic potential and aggressiveness is related to Th2 lymphocytes (IL-4, IL-8, IL-10, and IL-5) rather than to Th1 ones (IL-1-alpha and beta, IL-2, and tumor necrosis factor (TNF)-alpha).<sup>41–46</sup> T regulatory cells (Tregs),<sup>47</sup> myeloid-derived the suppressor cells (MDSCs),<sup>48</sup> tumor-associated macrophages (TAMs),<sup>49</sup> invariant natural killer T cells (iNKT),<sup>50</sup> and regulatory dendritic cells (DCs), which are involved in immune escape mechanisms, can be found not only in HCC tissue but also in the bloodstream and correlate with tumor progression. In particular, TAMs present in the tumor tissue are M2 macrophages, which have a weak antigen presentation potential and are rather involved in the promotion of angiogenesis, tissue remodeling, and activation of Th2 lymphocytes.<sup>49</sup> The switch from M1 to M2 macrophages can be induced by tumor cells and by TME.

Co-inhibitory molecules are also part of the TME; in particular, the Cytotoxic T-Lymphocyte Antigen 4 (CTLA-4), Programmed death-ligand 1 (PD-L1), and Programmed cell death protein 1 (PD-1) checkpoint inhibitors are the most well-characterized and are associated with immune-system exhaustion and immune tolerance in

HCC.<sup>51–57</sup> Their expression is enhanced in inflammatory conditions such as chronic liver disease, and this may promote oncogenic mechanisms as shown in experimental models.<sup>58–63</sup>

### The role of the gut microbiota in hepatocarcinogenesis

In addition to the consideration that HCC is usually a tumor arising in a diseased organ, it is now clear that it also develops in a diseased intestinal environment. Therefore, the gut microbiota-driven hepatic inflammatory stimulation is additional to that derived from chronic liver disease and, together, they fuel the process of hepatocarcinogenesis.

Translocated bacterial products can trigger an inflammatory response by activating Toll-like receptors (TLRs). In particular, lipopolysaccharides (LPS) from Gram-negative bacteria can bind TLR-4, whereas TLR-9 is a ligand for DNA containing unmethylated CpG motifs and TLR-2 and TLR-5 recognize peptidoglycan and lipoteichoic acid, which are elements of the Gram-positive bacteria cell wall or flagellin, respectively.<sup>64–67</sup> The final downstream effect is the production of inflammatory cytokines, such as TNF- $\alpha$ , IL-1- $\beta$ , and IL-6, via the NF- $\kappa$ B pathway.<sup>27,68</sup> This links the gut microbiota with proliferation and immortalization of HCC cells, either directly or via the Janus kinase (JAK) or the STAT3 pathway, mainly activated by IL-6.<sup>25,69</sup>

Pathogen-associated molecular patterns (PAMPs) derived from the gut microbiota can activate the NADPH oxidase (NOX) 1–NOX4 complex in enterocytes, stimulating the generation of reactive oxygen species (ROS). This leads to the activation of inflammasomes, the release of cytokines such as IL-18 and IL-1- $\beta$  and the degranulation of goblet cells. Furthermore, oxidative signaling may up-regulate the activity of NF- $\kappa$ B interfering with the activation of I $\kappa$ B ubiquitin ligase through neddylation by Ubc12, a Nedd8 ligase, with a covalent modification on the culin-1 (Cul-1) regulatory subunit.<sup>70–72</sup>

The interaction of gut bacteria with intestinal epithelium leads to the blockage of this process.<sup>73</sup>

Overall, this mechanism is protective and necessary for physiological functions, such as cell growth, differentiation, and regulation of ROS-sensitive

enzymatic reactions and transcription of cytoprotective elements.<sup>74–76</sup>

However, an imbalance in the redox status, possibly related to microbial imbalance, can alter this machinery and enhance inflammation, causing DNA damage, lipid peroxidation, and protein oxidation, as well as disrupt the physiological process of cell proliferation and differentiation.<sup>77,78</sup>

Recent data have also shown that gut bacteria can regulate the bioavailability of glycine, which is essential for glutathione synthesis, reducing the antioxidant potential in the small intestine, liver, and colon.<sup>79</sup> Glycine is also involved in DNA/histone methylation and in the metabolism of proteins and purines, playing a key role in the proliferation of cancer cells.<sup>80</sup>

Furthermore, the gut microbiota can modulate the farnesoid X receptor (FXR) activation<sup>81</sup>; mice knocked-out for the FXR gene in both the liver and the intestine spontaneously develop HCC<sup>82</sup> but, most importantly, this can be avoided if FXR expression in the enterocytes is restored, being this effect is dependent on the level of bile acids.<sup>83</sup> The increase in bile acids accumulation in the liver caused by FXR downregulation leads to damage of hepatocyte plasma membrane, triggering an inflammatory response through the protein kinase C (PKC)-p38 mitogen-activated protein kinase (MAPK) pathway and the increased production of ROS, which both eventually activate NF- $\kappa$ B.<sup>84,85</sup> The accumulation of bile acids is further amplified by the inhibitory effect exerted by the heterodimer NF- $\kappa$ B p50/p65 on FXR.<sup>86</sup>

The gut microbiota may also be involved in the suppression of immune surveillance on HCC cells. Chronic inflammation derived from intestinal bacteria, particularly in a dysbiotic condition such as liver cirrhosis, can actually lead to exhaustion of the immune system.<sup>87,88</sup> To confirm this, the chronic activation of TLRs, which can be driven by the gut microbiota, can lead macrophages to M2 polarization with consequent immunosuppressive effects.<sup>89–92</sup> Nevertheless, Th17 lymphocytes are rarely found in the liver and come mainly from the gut, where they are produced through interaction with the microbiota.<sup>93</sup> They have been found in serum and tumor tissue of HCC patients and are associated with poor prognosis, probably due to abnormal IL-17A/IL17A secretion that enhances angiogenesis and the production of inflammatory cytokines.<sup>94–96</sup>

## The gut microbiota and HCC

### Animal models

Several studies on animal models provided evidence that the gut microbiota is involved in the pathogenesis of HCC.

In a pioneering study, Yu and colleagues<sup>97</sup> reported an increase in plasma LPS levels in diethylnitrosamine (DEN)-treated rats during tumor progression, which were reduced by antibiotic treatment. Furthermore, the number and size of tumors, as well as tumor cell proliferation and liver weight were reduced after antibiotic administration. To confirm the role of endotoxin in the development of HCC, rats knocked-out for TLR-4, the LPS receptor, showed a reduction in HCC incidence by 25%, smaller diameters of nodules and more frequent evidence of cell apoptosis. This was associated with a reduced infiltration of macrophages and expression of TNF-alpha and IL-6, along with an attenuation in the detection of NF-kB in the liver tissue. Bone marrow transplantation from the TLR-4 mutant to wild-type rats led the lowest production of inflammatory mediators, whereas the opposite could be observed when wild-type bone marrow was transferred to knock-out animals.

Dapito and colleagues<sup>98</sup> later demonstrated that the number and size of tumors was lower in mice knocked-out for TLR-4 than in wild-type controls, although tumor incidence did not differ significantly, and that this was associated with reduced inflammation. The same result was observed after antibiotic treatment or in germ-free rats. Interestingly, the effects of gut sterilization on HCC development seem to be preemptive: indeed, antibiotic treatment showed the maximum benefit when administered in the late phase of hepatotoxic stimulation and had no efficacy when tumor lesions were already established. Subcutaneous administration of low-dose LPS was also able to induce the development of HCC by triggering the expression of inflammatory genes. TLR-4 mutation in resident liver cells was necessary to reduce the number and size of HCC lesions, regardless of the TLR-4 expression in bone marrow cells.

A subsequent study also sought to elucidate the compositional difference in intestinal bacteria possibly associated with the presence of HCC.<sup>99</sup> The authors started by observing a small group of patients with hepatitis B virus (HBV)-related liver

cirrhosis with or without HCC compared with 16 healthy subjects. Plasma levels of LPS and IL-6 were higher in cirrhotic patients, regardless of the presence of HCC, whereas the anti-inflammatory IL-10 was reduced. Thus, a mice model of DEN-induced hepatocarcinogenesis was reproduced; circulating levels of LPS were high because the intestinal mucosa was damaged, and there was an increased abundance of Gram-negative bacteria (*Escherichia coli*, *Atopobium*, *Collinsella*, *Eggerthella*, and *Coriobacterium*) after DEN treatment. In contrast, beneficial bacteria such as *Lactobacillus*, *Bifidobacterium*, and *Enterococcus* were deficient. Both antibiotic treatment and dextran sulfate sodium (DSS) administration increased LPS levels, the number and size of HCC lesions, and cell proliferation; this was mediated by an increased inflammation, as evidenced by the enhanced expression of NF-kB and phosphorylation of STAT3. Furthermore, in this model the administration of high doses of the probiotic #VSL3 reduced the number and size of tumors, as well as the incidence of lesions, compared with lower doses of probiotic or no treatment. This was associated with the reduction in intestinal permeability, circulating levels of LPS and IL-6, NF-kB translocation, phosphorylation of STAT3, and the abundance of Gram-negative bacteria in the gut. Further data have confirmed that probiotics can reduce the growth, size, and weight of HCC lesions, producing a shift towards bacteria with anti-inflammatory activity (*Prevotella*, *Oscillibacter*), which decreases Th17 polarization and production of IL-17 in the intestine promoting the differentiation of anti-inflammatory Treg/type 1 regulatory T (Tr1) cells.<sup>100</sup>

Yoshimoto and colleagues<sup>101</sup> have further focused on the relationship between the gut microbiota and HCC in the context of obesity. They found that obese mice fed with a high-fat diet (HFD) developed HCC after treatment with dimethylbenz(a)anthracene (DMBA), a chemical carcinogen. In addition, hepatic stellate cells (HSCs) in the proximity of cancerous hepatocytes expressed a senescence associated secretory phenotype (SASP), which was induced by the caspase1/IL-1-beta pathway following the activation of the inflammasome.<sup>102</sup> Antibiotic treatment reduced the development of HCC and SASP HSCs. Interestingly, vancomycin but not TLR-4 gene deletion was able to block the development of HCC, suggesting that in this model the contribution of Gram-positive intestinal bacteria, which are devoid of LPS, the ligand for TLR-4, was the most relevant for the

pathogenesis of HCC. Antibiotic treatment reduced the production of deoxycholic acid (DCA) by intestinal bacteria, which was caused by HFD; DCA is known to induce DNA damage through the production of ROS and this mechanism is a key factor in the development of SASP. Thus, DCA produced by an altered gut microbiota as a consequence of HFD leads to SASP in HSCs, leading to the secretion of inflammatory cytokines and oncogenic factors promoting the development of HCC after the exposure to a chemical carcinogen.

A further study confirmed an altered profile of serum and hepatic bile acids in a mice model of HCC related to nonalcoholic steatohepatitis (NASH).<sup>103</sup> The authors observed an increase of LPS in plasma, liver, and stools as well as a significant alteration in the main bacterial genera involved in bile acid synthesis (*Clostridium*, *Bacteroides*, *Atopobium*, *Desulfovibrio*, *Parasutterella*, *Akkermansia*). Administration of cholestyramine reduced inflammation and incidence and size of HCC tumors. To confirm the link between HFD, gut microbiota derangement, and bile acids toxicity in the liver, the incubation of bile acids with HepG2 cells inhibited the tumor suppressor gene CCAAT Enhancer Binding Protein (CEBP) alpha, thus promoting the development of HCC.

Yamada *et al.*<sup>104</sup> have recently reported on the gut microbiota composition of mice fed with steatohepatitis-inducing HFD (STHD)-01, an experimental model of HCC associated with NASH. In particular, after 41 weeks, they observed an increase in liver weight and the development of HCC in the STHD-01 group, whereas in the STHD-01 mice receiving antibiotic treatment the whole body weight was increased and the occurrence of HCC was reduced. Increased abundance of *Bacteroides* and *Clostridium cluster XVIII* and a reduction in *Bifidobacterium*, *Prevotella*, and *Streptococcus* was observed in STHD-01 mice. Since the STHD-01 diet was enriched with cholesterol, which accumulated in the liver, bile acids synthesis was enhanced with subsequent accumulation in liver, plasma, and feces. Antibiotics did not reduce the accumulation of bile acids, but produced a compositional shift, decreasing the conversion from primary to secondary. In particular, DCA, tauro-DCA (TDCA), and hyo-DCA (HDCA) accumulated in the liver of the STHD-01 group and were reduced in the STHD-01 mice treated with antibiotics; instead the concentration of urso-DCA (UDCA), tauro-UDCA

(TUDCA), and 12-keto lithocholic acid (KLCA) was not affected by antibiotic treatment. When tested on HepG2 cell lines, primary or secondary bile acids showed no toxic effect, although DCA was able to activate the mammalian target of rapamycin (mTOR) pathway, which is known to be activated in HCC cells.<sup>105</sup> Increased phosphorylation of mTOR was also detected in the liver of mice fed with STHD-01 diet, and was attenuated by antibiotic administration.

Interestingly, a role of fermented fibers in the pathogenesis of bile acid-mediated hepatocarcinogenesis has been recently proposed.<sup>106</sup> The authors used the T5KO mouse model that presents the deletion of TLR-5, the flagellin receptor, and develops a dysregulated innate immune response promoting dysbiosis (increased intestinal bacterial load and increased abundance of Proteobacteria), intestinal/systemic inflammation and metabolic syndrome. Feeding the T5KO mice an inulin containing diet (ICD) reduced the incidence of obesity by 40%, but these animals surprisingly developed cholestasis. Mice with hyperbilirubinemia showed higher liver enzymes and fibrosis markers, and reduced synthetic and detoxifying ability of the liver compared with mice fed with ICD, and all of them developed HCC. Histological analyses revealed that mice with high bilirubin developed a chronic liver disorder, characterized by steatosis, inflammation and fibrosis, increased hepatocyte proliferation and cell death. Pattern recognition receptors (PRR) such as Nucleotide-binding and oligomerization domain (NOD)-like receptor family card-containing-4 (NLRC4) and TLR-2 were upregulated as well as TLR-4 and NOD-like receptor pyrin domain-containing-3 (NLRP3) but to a lesser extent. The administration of a diet enriched in other soluble fibers such as pectin and fructo-oligosaccharide recapitulated the occurrence of hyperbilirubinemia, liver injury, and HCC, although at a lower rate (about 13%), whereas this was not observed when cellulose, a nonfermentable fiber, was administered. Feeding HFD enriched with inulin (HFD-I) attenuated the incidence of metabolic syndrome but increased the incidence of HCC from 40 to 65% in T5KO mice, and the same diet induced metabolic syndrome in all except 10% of wild-type animals, which also developed HCC. However, the first tumors were characterized by multinodular diffusion, the latter were small well-differentiated lesions. Mice that developed hyperbilirubinemia upon ICD diet displayed loss in gut bacteria richness and diversity, reduced Tenericutes, and increased abundance of

Proteobacteria and Clostridia, which are capable of producing butyrate and secondary bile acids. Notably, butyrate is involved in the promotion or inhibition of cell proliferation based on the amount and duration of exposure and the type of target cell<sup>107</sup> and excessive doses may exert oncogenic effects, promote liver steatosis and intestinal inflammation,<sup>108–112</sup> whereas secondary bile acids have known hepatotoxic activity.<sup>113</sup> The metabolomic analysis showed that an increase in butyrate cecal content characterized mice with hyperbilirubinemia and HCC. Butyrate administration to T5KO mice induced hyperbilirubinemia, liver inflammation, fibrosis, and upregulation of HCC markers without the development of evident tumor lesions; the depletion of butyrate-producing bacteria by metronidazole was able to reduce the incidence of HCC in T5KO mice fed with ICD and inhibition of bacterial fermentation by beta-acids abolished the development of tumors. Early switching of T5KO mice from inulin to cellulose containing diet when hyperbilirubinemia was initial protected from the development of HCC. Finally, the authors observed that ICD mice with hyperbilirubinemia presented atypical elevation of serum primary and secondary bile acids and reduced fecal excretion; treatment with cholestyramine reduced bilirubin and transaminases, and no tumor lesions were detected. To confirm the close correlation between the development of HCC and gut dysbiosis, specific T5KO mice models not developing dysbiosis and liver-specific T5KO mice, having a normal intestinal expression of TLR-5, did not develop hyperbilirubinemia and HCC. Furthermore, cohousing with T5KO mice induced hyperbilirubinemia and HCC in wild-type mice; in contrast, antibiotics reduced the occurrence of the phenotype in ICD T5KO mice and the same was observed for germ-free T5KO mice fed with an irradiated ICD.

Taken together, the results of this study demonstrate that, in the presence of gut dysbiosis, an excess of soluble fibers can cause butyrate overload and alterations in the bile acid pool, with consequent accumulation of lipids in the liver, inflammation, and tumorigenesis.

Another model of hepatocarcinogenesis involving the gut microbiota and induced by arsenic has been described.<sup>114</sup> Germ-free mice showed higher urinary excretion of arsenic and its metabolites, with an increased monomethylarsonic acid (MMA)/dimethylarsinic acid (DMA) ratio, compared with conventional mice, as well as decrease in the fecal

concentration of arsenic. The authors showed in a culture model that the gut microbiota is involved in the uptake of arsenic and also that germ-free mice present a downregulation of enzymes involved in arsenic methylation. Furthermore, gut microbiota depletion enhanced the toxic effect of arsenic on the liver by altering the expression of genes related to the p53 pathway and others related to hepatocarcinogenesis (StAR-related lipid transfer (START) domain containing 13 (STARD13), VEGF A, antizyme Inhibitor 1 (AZIN1), secreted phosphoprotein 1 (SPP1), HIV-1 Tat interactive protein 2 (HTATIP2), oxidative stress induced growth inhibitor 1 (OSGIN1), activated leukocyte cell adhesion molecule (ALCAM), prothymosin-alpha (PTMA), tribbles homolog 2 (TRIB2), and Atonal BHLH Transcription Factor 8 (ATOH8), suggesting an increased risk of developing HCC in germ-free mice.

Therefore, preclinical data show that intestinal dysbiosis coexists with the process of hepatocarcinogenesis. The gut microbiota could be involved through (a) the exacerbation of inflammation, (b) reduced conversion of primary bile acids with the accumulation of toxic compounds such as DCA, (c) the production of potentially harmful metabolites at high concentrations such as short chain fatty acids, and (d) altered metabolism of xenobiotics with carcinogenic effect. In particular, dysbiosis-related inflammation seems to play a strong pathogenetic role in all the experimental settings, whereas the toxic effect of bile acids and short chain fatty acids has been better studied in HCC models associated with hepatic steatosis. However, it is likely that these mechanisms can act synergistically in the complex process of hepatocarcinogenesis. For all these reasons, the modulation of the gut microbiota using antibiotics or probiotics seems to be a promising tool to interfere with the development or progression of neoplastic lesions.

#### Human studies

There is little evidence on the potential mechanistic relationship between the gut microbiota and HCC in humans.

A small prospective controlled study based on culture techniques analyzed the gut microbiota of 15 cirrhotic patients with HCC awaiting liver transplantation.<sup>115</sup> Higher fecal counts of *E. coli* were significantly associated with HCC and showed a predictive accuracy of 0.742, with a bacterial

count cut-off of 17.728 having a sensitivity of 66.7% and a specificity of 73.3%. However, the study was not based on metagenomic sequencing analyses, and only the stool counts of *Enterococcus*, *E. coli*, *Proteus*, *Klebsiella*, *Enterobacter*, *Citrobacter*, *Serratia*, *Pseudomonas*, *Bifidobacterium*, *Bacteroides*, *Lactobacillus*, *Clostridium*, and yeasts were taken into account.

More recently, the gut microbiota profile was evaluated in 20 Child Pugh A cirrhotic patients with NAFLD and early HCC and compared with counterparts without evidence of liver tumor, matched for age and severity of portal hypertension, and controls.<sup>116</sup> This prospective study recognized an increase in intestinal permeability, measured by high circulating levels of zonulin-1 (ZO-1) and LPS, and in fecal calprotectin, which is a marker of intestinal inflammation, in patients with cirrhosis compared with healthy subjects. However, among cirrhotic patients, those with HCC presented a marked increase in fecal calprotectin without significant differences in permeability and LPS. This was associated with a specific increase in systemic cytokines and chemokines milieu, which was characterized by an increase in IL-8, IL-13, CCL-3, CCL-4, and CCL-5, and correlated with circulating activated monocytes and monocytic MDSC (mMDSC) in the HCC group. Metagenomic analysis showed a lower abundance of *Akkermansia* and an increase in Enterobacteriaceae in NAFLD cirrhotic patients compared with controls, and an additional depletion in *Bifidobacterium* and enrichment in *Bacteroides* and *Ruminococcus* in those affected by HCC compared with those without evidence of tumor. To draw a complete picture of the complex connections between all the microbial, inflammatory, and immunological elements, a correlation network displayed that the beneficial bacteria *Akkermansia* and *Bifidobacterium* were inversely associated with intestinal inflammation, which in turn was related to the expression of cytokines and chemokines, connecting the gut to the immune system compartment and the presence of HCC. The abundance of *Bacteroides* was correlated with pro-inflammatory cytokines such as IL-8 and IL-13, being involved in the development of HCC through inflammation probably due to the Western-type diet that is common in NAFLD cirrhotic patients.

Finally, Ren and colleagues<sup>117</sup> explored the role of the gut microbiota as a potential biomarker for

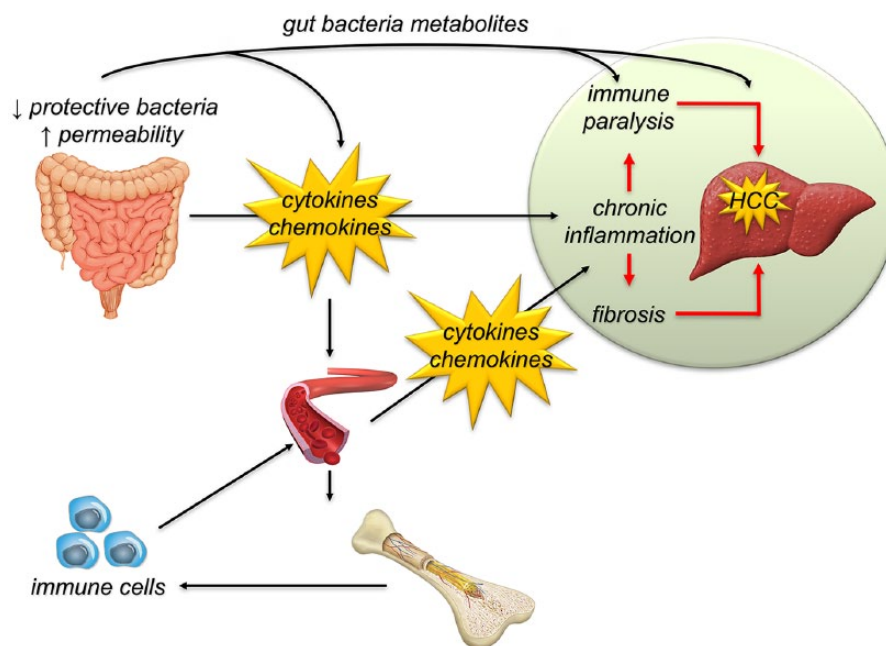
early HCC in a Chinese population of HBV cirrhotic patients. In the training cohort, Actinobacteria phylum and other 13 genera including *Gemmiger*, *Parabacteroides*, and *Paraprevotella* were enriched in cirrhotic patients with early HCC compared with those without. Other differences included a reduction in Verrucomicrobia and an increase in 12 genera including *Alistipes*, *Phascolarctobacterium*, and *Ruminococcus* and the reduction in six other genera, including *Klebsiella* and *Haemophilus* in HCC patients compared to controls. A probability of disease (POD) index was calculated using the best 30 operational taxonomic unit (OTU) markers for early HCC. In the validation phase, the POD showed an AUC of 76.80% between early HCC and controls and of 80.40% between advanced HCC and controls. However, it was not able to differentiate patients with early HCC from those with advanced tumors.

Taken together, the few studies available in humans show that during liver disease the gut microbiota is involved in the process of hepatocarcinogenesis, mainly feeding the pro-inflammatory microenvironment and interfering with the immune system (Figure 1).

### Diagnostic and therapeutic potential of the gut microbiota for HCC

Several attempts have been made to identify key molecular or metabolic alterations able to characterize the transition from regenerating liver tissue to dysplasia and early HCC.<sup>2,118-121</sup> However, HCC is a highly heterogeneous tumor, and this severely compromises our possibility to select the optimal biomarker for its early detection in patients at risk.

The gut microbiota could harbor a high diagnostic and therapeutic potential in this context. This may be associated with both the gut bacteria composition and metabolic functions. In fact, preclinical and clinical studies have shown a direct correlation between Gram-negative bacteria and inflammatory changes related to the development of HCC; in contrast, anti-inflammatory and beneficial bacteria seem to be depleted. These data suggest that the assessment of the proportion of harmful to beneficial bacteria can be considered as a prognostic indicator for the development of HCC. However, as demonstrated in the real-life, the gut microbiota compositional differences between patients with HCC and controls can be blurred when comparisons



**Figure 1.** The lack of beneficial bacteria and the increase of intestinal permeability trigger a condition of chronic inflammation with consequent immunological activation, which in the long term can depress the immune system. Metabolic products of the gut microbiota, such as bile acids and short chain fatty acids, are involved in this process at multiple levels, through direct or indirect effects. This leads to the development of a pro-oncogenic microenvironment that promotes hepatocarcinogenesis and sustains tumor progression. HCC, hepatocellular carcinoma.

are made between cirrhotic patients.<sup>116</sup> As a surrogate marker of the derangement of the gut–liver axis, fecal calprotectin can be used reliably to identify those patients with an enhanced intestinal inflammation and at higher risk of developing HCC. Furthermore, the gut microbiota metabolites can be considered as promising tools in the early diagnosis of HCC. The analysis of biliary acids composition or the quantification of fecal butyrate can help to identify those patients at high risk, and may be integrated with the assessment of the gut microbiota metagenomic profile to further stratify patient’s risk.

However, several limitations affecting the use of the gut microbiota for these purposes should be taken into account. First, cirrhotic patients are often subjected to antibiotic treatments that profoundly alter the composition and function of their gut microbiota.<sup>122–124</sup> Second, comorbidities can affect the gut microbiota<sup>12</sup> and, therefore, make its use unreliable. Finally, ethnic differences<sup>125</sup> and the inclusion of patients with different etiologies of liver disease make it difficult to compare the results of the available studies. It is also to underline that all the studies published so far are very preliminary and need further confirmations

in larger series. Moreover, metagenomic sequencing of the gut microbiota is still a technique available to a limited number of laboratories, and has not yet been implemented in clinical practice. Metabolites, especially bile acids, are very challenging to analyze and this may be expensive and difficult in clinical practice. Therefore, it is not yet clear how all this information can be managed and how it can be integrated into already established diagnostic algorithms. If high-risk patients were identified on the basis of the gut microbial or metabolomic profile, how the patient follow up should be adapted is still unknown.

Important considerations can also be made for therapeutic purposes. It is clear that changes in the gut microbiota, the intestinal environment, and the systemic mediators associated with HCC suggest an inflammatory setting, which can promote the development of neoplastic aberrations directly or, when persisting over time, through the exhaustion of the immune system. In this scenario, different interventions can be hypothesized at different levels. The modulation of the gut microbiota through antibiotics, eubiotics, and probiotics should be aimed at avoiding the development of a proinflammatory bacterial community, and



consequently its negative influence on the hepatic microenvironment and the immune system. Probiotics seem to have a positive effect in animal models of HCC, reducing the tumor burden and the inflammatory milieu.<sup>99,100</sup> Other effects of probiotics in cancer are related to the improvement of intestinal barrier function and immunomodulatory activity.<sup>126</sup> Antibiotic treatment has also been associated with reduced occurrence and progression of HCC in several studies,<sup>97,98,101,104,106</sup> although data on humans are lacking. Rifaximin, an eubiotic compound capable of inducing the overgrowth of beneficial bacteria such as *Bifidobacterium*, *Faecalibacterium*, and *Lactobacillus*, and of exerting an anti-inflammatory effect,<sup>127</sup> has never been tested in preclinical models of HCC and may represent a promising approach, considering the almost ubiquitous depletion of beneficial bacterial species associated with the development of HCC. Finally, fecal microbial transplantation (FMT), which is a recognized therapeutic option for *C. difficile* infection,<sup>128</sup> has been employed with promising results in many other conditions related to gut microbiota derangement, such as restoring its balance after allogeneic hematopoietic stem cell transplantation.<sup>129</sup> Preliminary experiences in the treatment of liver cirrhosis from different etiologies and of its complications have also been published.<sup>130–138</sup> Therefore, its adoption in HCC management deserves further attention.

Another possible approach involves drugs with anti-inflammatory effects, that could be used to reduce the intestinal and systemic inflammatory environment associated with the development of HCC. Various drugs may have an impact on the gut microbiota composition, as demonstrated recently,<sup>139</sup> and some of them may play a role in the prevention and treatment of HCC. Metformin has previously been associated with a reduced incidence of HCC,<sup>140,141</sup> and recent data suggest that it could play a role in decreasing nonresolving inflammation in animal models of NAFLD-related HCC.<sup>142</sup> Metformin alters gut microbiota of healthy mice<sup>143</sup>; Furthermore, it is a potent modulator of the gut microbiota.<sup>144,145</sup> In particular, the relative abundance of *Bifidobacterium*<sup>145</sup> and *Akkermansia*<sup>146</sup> are increased by metformin administration, suggesting that the anti-inflammatory role of this compound may be partially mediated by a favorable change in the gut microbiota composition. Similar effects have been recently shown for aspirin.<sup>147–149</sup> Mesalazine, a

derivative of 5-aminosalicylic acid, can alter the gut microbiota through different mechanisms, acting on the intestinal microenvironment, the mucosa or directly on gut bacteria.<sup>150</sup> Although this drug is mainly used for its anti-inflammatory effects in patients with inflammatory bowel diseases (IBDs), it could be potentially used in other disease conditions, such as HCC associated with liver cirrhosis. In addition statins, which are known modulators of the inflammatory response and of the gut microbiota, and their metabolites<sup>151–156</sup> have been associated with a reduced incidence of HCC in the general population and in high-risk patients.<sup>157–162</sup>

As a final consideration, the lessons from other cancers clearly indicate that a harmful dysbiosis can modulate the immune response and reduce effectiveness of immunotherapy, whereas the opposite is observed when there is a positive balance in the gut microbial community.<sup>163</sup> Therefore, the awakening of antitumor immune response, which can be stunned by persistent chronic stimulation by intestinal antigens, can be adopted as preemptive strategy or therapeutic approach to avoid disease progression or recurrence after treatment in patients with early HCC, and can be achieved through the modulation of the gut microbiota.

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The authors declare that there is no conflict of interest.

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