



# The Use of Inappropriate Antibiotics in Patients Admitted to Intensive Care Units with Nursing Home–Acquired Pneumonia at a Korean Teaching Hospital

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**Background:** Use of appropriate antibiotics for the treatment of pneumonia is integral in patients admitted to intensive care units (ICUs). Although it is recommended that empirical treatment regimens should be based on the local distribution of pathogens in patients with suspected hospital-acquired pneumonia, few studies observe patients admitted to ICUs with nursing home–acquired pneumonia (NHAP). We found factors associated with the use of inappropriate antibiotics in patients with pneumonia admitted to the ICU via the emergency room (ER).

**Methods:** We performed a retrospective cohort study of 83 pneumonia patients with confirmed causative bacteria admitted to ICUs via ER March 2015–May 2017. We compared clinical parameters, between patients who received appropriate or inappropriate antibiotics using the Mann-Whitney U, Pearson's chi-square, and Fisher's exact tests. We investigated independent factors associated with inappropriate antibiotic use in patients using multivariate logistic regression.

**Results:** Among 83 patients, 30 patients (36.1%) received inappropriate antibiotics. NHAP patients were more frequently treated with inappropriate antibiotics than with appropriate antibiotics (47.2% vs. 96.7%,  $p < 0.001$ ). Methicillin-resistant *Staphylococcus aureus* was more frequently isolated from individuals in the inappropriate antibiotics–treated group than in the appropriate antibiotics–treated group (7.5% vs. 70.0%,  $p < 0.001$ ). In multivariate analysis, NHAP was independently associated with the use of inappropriate antibiotics in patients with pneumonia admitted to the ICU via ER.

**Conclusion:** NHAP is a risk factor associated with the use of inappropriate antibiotics in patients with pneumonia admitted to the ICU via the ER.

**Keywords:** Nursing Home–Acquired Pneumonia; Pneumonia; Intensive Care Unit

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## Introduction

Pneumonia is the most serious of common infections that occur in nursing home residents and is associated with considerable morbidity and mortality<sup>1,3</sup>. The median incidence of nursing home-associated pneumonia (NHAP) is 1 per 1,000 patient-days, many-fold higher than that among persons residing in the community<sup>4</sup>. NHAP patients tend to be more elderly and have greater comorbidity, and severe functional impairment, as compared to community-acquired pneumonia patients<sup>5,6</sup>. In particular, critically ill patients admitted to intensive care units (ICUs) with pneumonia can progress to acute respiratory distress syndrome and acute lung injury, which are associated with a mortality rate of more than 50%<sup>7</sup>. Therefore, it is important to determine the appropriate empirical antibiotics for successful treatment of patients admitted to ICU with NHAP.

The 2016 Infectious Disease Society of America (IDSA) and the American Thoracic Society (ATS) guidelines recommend that each hospital should generate antibiograms to guide healthcare professionals on the optimal choice of antibiotics without the concept of healthcare-associated pneumonia (HCAP) including NHAP<sup>8</sup>. However, few nursing home facilities can generate antibiograms due to a number of challenges and barriers, such as lack of laboratory resources and personnel dedicated to the development and implementation of antibiograms<sup>9</sup>. Therefore, it is still important to broadly study microorganisms to facilitate the appropriate use of empirical antibiotics in NHAP patients. Several studies have shown differences in the presence of antibiotic-resistant organisms in NHAP patients, compared with community-acquired pneumonia (CAP) and hospital-acquired pneumonia patients<sup>4,6,10-12</sup>. However, few studies have been conducted on NHAP patients requiring ICU care<sup>13,14</sup>. In the present study, we aimed to find factors associated with the use of inappropriate antibiotics in patients with pneumonia who were admitted to an ICU via the emergency room (ER).

## Materials and Methods

### 1. Study subjects

In this observational cohort study, we retrospectively reviewed the medical records of patients with pneumonia admitted to an ICU via ER at a teaching hospital between March 2015 and May 2017. We excluded patients who were transferred from other acute care hospitals or had received treatment for pneumonia within the previous 3 months.

Pneumonia was diagnosed based the presence of a new opacity development and at least two of the following four clinical criteria: fever or hypothermia (body temperature  $>38^{\circ}\text{C}$  or  $\leq 35^{\circ}\text{C}$ ), leukocytosis or leukopenia (white blood cell

count  $\geq 10,000/\mu\text{L}$  or  $\leq 4,000/\mu\text{L}$ ), newly developed respiratory symptoms (cough, sputum, pleuritic chest pain, and dyspnea), and altered breath sounds on auscultation<sup>15</sup>. We defined NHAP as pneumonia occurring in a resident of a nursing home or a long-term care facility<sup>1</sup>. All patients were admitted to an ICU for close monitoring or after requiring mechanical ventilation with shock or acute respiratory failure in an ER.

The Institutional Review Board of Inje University Ilsan Paik Hospital approved the study design, including the review and publishing of information obtained from patient records (IRB No. 2017-12-018). The requirement for informed consent was waived for the use of patient medical data because all personally identifying information was removed before analysis.

### 2. Measurement

Patient medical records were reviewed to obtain data on clinical characteristics, clinical parameters, laboratory findings, clinical outcomes, and isolated microorganisms. Comorbidities including diabetes mellitus, degenerative nerve disease, chronic obstructive pulmonary disease, malignant neoplasm, and chronic renal disease were reviewed. Clinical parameters included the CURB-65 (confusion, urea, respiratory rate, blood pressure, age more than 65 years) for assessing the severity of pneumonia<sup>16</sup>, use of vasopressors, and need of mechanical ventilator. Prior antibiotics use within 90 days was arbitrarily defined as use of antibiotics within 90 days for reasons other than pneumonia.

### 3. Microbiology

Microbiological studies were conducted using two sets of blood cultures, gram staining and culture using sputum, tracheal aspirate, and bronchial washing fluids that were obtained by bronchoscopy. Respiratory specimens were cultured in a semi-quantitative manner, and pathogens were identified when a predominant microorganism was detected from group 4 or 5 sputum, according to Murray and Washington's grading system. Blood cultures were considered to be positive if pathogens were present, there were no other infection sources that could explain a positive culture, and the possibility of contamination was excluded. Urinary antigen test for *Streptococcus pneumoniae* was also considered to indicate etiological pathogens. The antibiotic sensitivity of all isolated pathogens was identified using a disc diffusion method. Multidrug-resistant gram-negative bacteria (MDRGNB) for *Pseudomonas aeruginosa*, *Enterococcus* species, Enterobacteriaceae, and *Acinetobacter* species was defined as acquired non-susceptibility to at least one agent in three or more antimicrobial categories<sup>17</sup>.

#### 4. Antibiotic therapy

All patients were initially administered broad empirical antibiotics according to the ATS/IDSA guideline<sup>18</sup>. The detailed antibiotic regimen complied with the attending physician's choice, taking into consideration any risk factors of the patient. In this study, the use of inappropriate antibiotics was defined as the use of empirical antibiotics which were not effective or which were unnecessarily broad against the identified pathogens based on *in vitro* susceptibility testing<sup>6</sup>.

#### 5. Statistical analysis

The data are presented as medians and interquartile ranges for continuous variables and as numbers (%) for categorical variables. The data were compared using the Mann-Whitney U test for continuous variables and Pearson's chi-square test or Fisher exact test for categorical variables. Multivariate logistic regression analysis was used to determine independent factors associated with the use of inappropriate antibiotics in patients with HCAP. All tests were 2-sided and a p-value <0.05 was considered significant. All statistical analyses were performed using IBM SPSS Statistics for Windows version 22.0 (IBM Corp., Armonk, NY, USA).

## Results

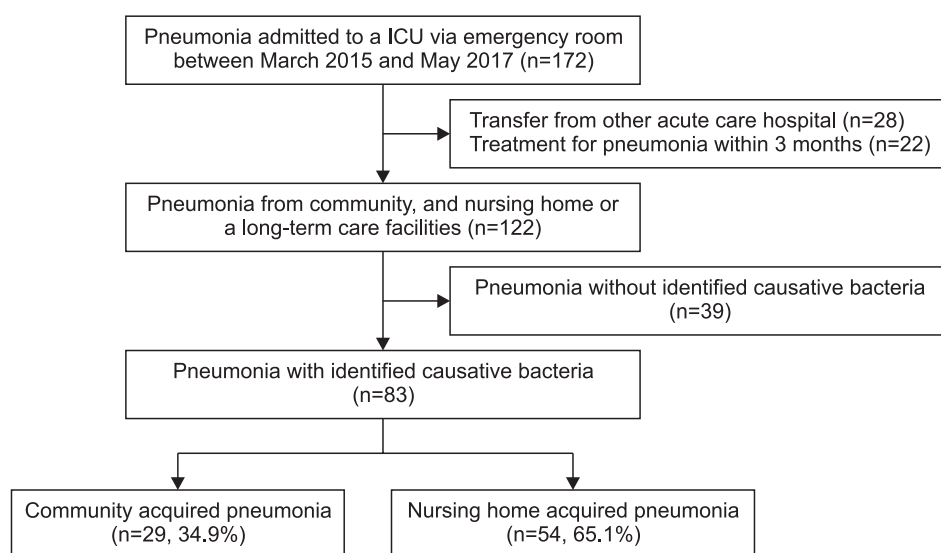
### 1. Baseline characteristics

From the medical records of 172 patients with pneumonia admitted to an ICU via ER, after excluding 28 patients who were transferred from other acute care hospitals and 22 patients who had received treatment for pneumonia within the previous 3 months, a total of 122 patients with CAP and NHAP

were included. Among 122 patients, 83 with identified causative bacteria were included in the present study; 39 patients were excluded because a causative agent could not be identified. Of the 83 patients, 29 patients had CAP (34.9%) and 54 had NHAP (65.1%) (Figure 1). In this study, 50 patients (63.9%) and 30 patients (36.1%) received appropriate and inappropriate antibiotics, respectively. Among the total 88 patients, respiratory viral real-time polymerase chain reaction was performed in 48 (57.8%) and 16 (19.3%) patients were confirmed to have bacterial and viral co-infections. The clinical characteristics of the study subjects are summarized in Table 1. NHAP patients were more frequently treated with inappropriate antibiotics rather than appropriate antibiotics (47.2% [25/53] vs. 96.7% [29/30],  $p < 0.001$ ). A CURB-65 score  $\geq 3$  was more common in the inappropriate antibiotic-treated group than in the appropriate antibiotic-treated group (25.8% [31/53] vs. 70.0% [21/30],  $p = 0.046$ ). There were no significant differences between the clinical parameters, laboratory parameters, and identified microorganisms between the two groups. The differences in clinical outcomes, including duration of overall admission, duration of ICU admission, and 30-day mortality, between the two groups were not significant.

### 2. Initial antibiotics treatment

In 29 CAP patients and 54 NHAP patients admitted to the ICU via ER, the majority of them received combination antibiotic therapy as the initial treatment (CAP 71.4%, NHAP 66.7%) (Table 2). Among the 54 NHAP patients, 25 (46.3%) received appropriate antibiotics. Patients treated with combination antibiotics that included vancomycin were more frequently encountered in the appropriate antibiotics group than in the inappropriate antibiotics group (20.0% [5/25] vs. 0% [0/29],  $p = 0.017$ ).



**Figure 1.** Flow chart for study enrollment. ICU: intensive care unit.

**Table 1.** Baseline characteristics and treatment outcomes of 83 patients admitted to intensive care units with pneumonia

Characteristic	Appropriate antibiotics (n=53)	Inappropriate antibiotics (n=30)	p-value
Age, yr	77 (65–86)	74 (64–83)	0.977
Male	33 (62.3)	19 (63.3)	0.923
Lifetime nonsmoker	31 (58.5)	18 (60.0)	0.893
Comorbidity			
Diabetes mellitus	13 (24.5)	12 (40.0)	0.140
Cardiovascular disease	17 (32.1)	12 (40.0)	0.467
Degenerative nerve disease	16 (30.2)	10 (33.3)	0.767
COPD	12 (22.6)	6 (20.0)	0.779
Malignant neoplasms	8 (15.1)	2 (6.7)	0.257
Chronic renal disease	3 (5.7)	4 (13.3)	0.227
Prior antibiotics use within 90 days	12 (22.6)	12 (40.0)	0.094
NHAP	25 (47.2)	29 (96.7)	<0.001
Clinical parameter			
CURB-65 score	3 (2–4)	3 (3–4)	0.291
CURB-65 score $\geq 3$	31 (58.5)	24 (80.0)	0.046
Use of vasopressors	35 (66.0)	21 (70.0)	0.711
Mechanical ventilation	28 (52.8)	20 (66.7)	0.220
Laboratory finding			
White blood cell, $\times 1,000/\text{mm}^3$	10.18 (5.64–14.93)	9.69 (5.49–15.32)	0.504
Procalcitonin, mg/dL	4.2 (0.5–15.7)	2.1 (0.5–10.1)	0.502
C-reactive protein, mg/dL	13.7 (4.7–21.5)	12.5 (3.4–24.3)	0.824
Platelet, $\times 1,000/\text{mm}^3$	207 (143–264)	211 (152–276)	0.837
Creatinine	1.1 (0.7–1.8)	1.1 (0.6–1.5)	0.633
Clinical outcome			
Duration of admission, day	14 (6–24)	11 (7–23)	0.627
Duration of ICU admission, day	4 (2–9)	7 (2–9)	0.422
30-Day mortality	14 (26.4)	6 (20.0)	0.511

Values are presented as median (interquartile range) or number (%).

COPD: chronic obstructive disease; NHAP: nursing home–acquired pneumonia; ICU: intensive care unit.

### 3. Microorganisms identified in 83 patients

The identified microorganisms in the study subjects are summarized in Table 3. *Streptococcus pneumoniae* was more frequently isolated from subjects in the appropriate antibiotics group than from those in the inappropriate antibiotics group (20.8% [11/53] vs. 0% [0/30],  $p < 0.001$ ). *Staphylococcus aureus* was more frequently isolated from subjects in the inappropriate antibiotics group than from those in the appropriate antibiotics group (28.3% [15/53] vs. 70% [21/30],  $p < 0.001$ ). The prevalence of the other identified microorganisms was not significantly different between the two groups. Methicillin-resistant *Staphylococcus aureus* (MRSA) was more frequently

isolated from subjects in the inappropriate antibiotics group than from those in the appropriate antibiotics group (7.5% [4/54] vs. 70% [21/30],  $p < 0.001$ ). The prevalence of MDRGNB was not significantly different between the two groups.

### 4. Factors associated with the use of inappropriate antibiotics

Candidate variables for logistic regression analysis included prior antibiotics use within 90 days, NHAP, identification of polymicrobial pathogens, and MDRGNB, which were objective variables with  $p < 0.1$  when the appropriate antibiotics group and inappropriate antibiotics group were compared

**Table 2.** Initial antibiotics treatment in 83 patients

Empirical antibiotics	CAP (n=29)			NHAP (n=54)		
	Appropriate antibiotics (n=28)	Inappropriate antibiotics (n=1)	p-value	Appropriate antibiotics (n=25)	Inappropriate antibiotics (n=29)	p-value
Monotherapy	8 (28.6)	1 (100)		9 (36.0)	10 (34.5)	
Fluoroquinolone	2 (7.1)	0 (0)	>0.999	0 (0)	0 (0)	N/A
Anti-pseudomonal $\beta$ -lactamase	5 (17.9)	1 (100)	0.207	4 (16.0)	5 (17.2)	>0.999
Carbapenem	1 (3.6)	0 (0)	>0.999	5 (20.0)	5 (17.2)	0.795
Combination therapy	20 (71.4)	0 (0)		16 (64.0)	19 (65.5)	
$\beta$ -lactamase+macrolide	4 (14.3)	0 (0)	>0.999	1 (4.0)	1 (3.4)	>0.999
Anti-pseudomonal $\beta$ -lactamase+fluoroquinolone	14 (50.0)	0 (0)	>0.999	10 (40.0)	18 (62.1)	0.106
Carbapenem+fluoroquinolone	1 (3.6)	0 (0)	>0.999	0 (0)	0 (0)	N/A
Carbapenem+vancomycin	1 (3.6)	0 (0)	>0.999	5 (20.0)	0 (0)	0.017

Values are presented as number (%).

CAP: community-acquired pneumonia; NHAP: nursing home-acquired pneumonia; N/A: not applicable.

**Table 3.** Microorganisms identified in 83 patients

Microorganism	Appropriate antibiotics (n=53)	Inappropriate antibiotics (n=30)	p-value
Gram-positive bacteria*	26 (49.1)	21 (70.0)	0.064
<i>Streptococcus pneumoniae</i>	11 (20.8)	0 (0.0)	0.007
<i>Staphylococcus aureus</i>	15 (28.3)	21 (70.0)	<0.001
Gram-negative bacteria*	28 (52.8)	11 (36.7)	0.156
<i>Pseudomonas aeruginosa</i>	10 (18.9)	2 (6.7)	0.129
<i>Klebsiella pneumoniae</i>	6 (11.3)	4 (13.3)	0.787
<i>Acinetobacter baumannii</i>	0 (0)	2 (6.7)	0.057
<i>Escherichia coli</i>	5 (9.3)	3 (10.0)	0.933
<i>Haemophilus influenza</i>	2 (3.8)	0 (0)	0.281
<i>Moraxella catarrhalis</i>	2 (3.8)	0 (0)	0.281
Other gram-negative species	4 (7.5)	1 (3.3)	0.438
Polymicrobial pathogens*	1 (1.9)	3 (10.0)	0.097
MRSA	4 (7.5)	21 (70.0)	<0.001
MDRGNB	4 (7.5)	6 (20.0)	0.094
CRGNB	4 (7.5)	3 (10.0)	0.699

Values are presented as number (%).

\*Both gram-positive and gram-negative bacteria were identified in four patients, and two gram-negative bacteria were identified in one patient.

MRSA: methicillin-resistant *Staphylococcus aureus*; MDRGNB: multidrug-resistant gram-negative bacteria; CRGNB: carbapenem-resistant gram-negative bacteria.

(Table 4). In multivariate analysis, identification of NHAP (adjusted odds ratio, 28.66; 95% confidence interval, 3.45–238.12; p=0.002) was independently associated with the use of inappropriate antibiotics.

## Discussion

In the present study, we showed that the use of inappropriate antibiotics was more frequent in NHAP patients. *Strepto-*

**Table 4.** Factors associated with the use of inappropriate antibiotics in 83 patients admitted to the intensive care units with pneumonia

Characteristic	Univariate		Multivariate	
	OR (95% CI)	p-value	OR (95% CI)	p-value
Prior antibiotics use within 90 days	2.28 (0.86–6.03)	0.097	1.02 (0.34–3.08)	0.979
NHAP	32.48 (4.12–256.15)	0.001	28.66 (3.45–238.12)	0.002
Polymicrobial pathogens	5.79 (0.57–58.23)	0.137	2.72 (0.26–23.13)	0.401
Identification of MDRGNB	3.06 (0.79–11.89)	0.106	1.39 (0.33–5.47)	0.686

OR: odds ratio; CI: confidence interval; NHAP: nursing home–acquired pneumonia; MDRGNB: multi-drug resistant gram-negative bacteria.

*coccus pneumoniae* was more frequently isolated from subjects in the appropriate antibiotics group, but *Staphylococcus aureus* was more frequently encountered in the inappropriate antibiotics group. The identification of MRSA was more frequently seen in the inappropriate antibiotics group than in the appropriate antibiotics group. In addition, we found that NHAP was a risk factor for the use of inappropriate antibiotics in patients with pneumonia admitted to ICUs via ER.

In this study, we found that the most common pathogen was *Staphylococcus aureus* (50.0%) in NHAP patients admitted to the ICU via ER. Also, the identification of *Staphylococcus aureus* was associated with inappropriate antibiotics use. Some previous studies that enrolled all cases of NHAP reported that *Streptococcus pneumoniae* was the most common pathogen among NHAP patients, which was similar to CAP patients<sup>1,4,10-12,19,20</sup>. However, studies that enrolled severe cases of NHAP, including our study, consistently showed that the most common pathogen was *Staphylococcus aureus*<sup>13,14</sup>, suggesting that antibiotics for targeting *Staphylococcus aureus* may be considered in NHAP patients admitted to the ICU. From this result, it can be inferred that MRSA is the most common pathogen in ICU admitted patients. In this study, MRSA was identified more frequently in NHAP patients (44.4%) than in CAP patients (3.3%).

We showed that the use of inappropriate antibiotics was significantly different between CAP patients and NHAP patients. This result could be associated with the presence of resistant pathogens in NHAP patients. Shorr et al.<sup>21</sup> already showed that residence in a nursing home could be an independent factor associated with resistant infection. NHAP patients have poor performance status, presence of a nasogastric tube, swallowing difficulties, difficulty with oropharyngeal secretions, increased confusion, and increased agitation<sup>22-25</sup>. Debilitated nursing home residents with a high risk for aspiration are most likely to develop pneumonia<sup>1</sup>. Because nursing home residents are previously exposed to the use of antibiotics for symptomatic urinary infections, lower respiratory infections, wound infections, and infections at other sites<sup>23,24</sup>, NHAP patients who aspirated oral resident flora with antibiotics resistance would have drug-resistant pathogens.

We demonstrated that NHAP was a risk factor for the use

of inappropriate antibiotics in patients with pneumonia admitted to the ICU. In particular, NHAP was 29 times for use of inappropriate antibiotics in multivariate analysis. These results were associated with the identification of MRSA in this study. Because MRSA pneumonia is associated with all-cause mortality of 55.5%, rapid institution of appropriate antibiotic therapy is crucial<sup>26</sup>. MRSA burden and transmission were associated with nursing home care for more residents with chronic illness or indwelling devices<sup>27</sup>. Also, a recent increase in frequent, prolonged ventilatory support of an aging, often chronically ill, population has resulted in a large increase in MRSA pneumonia cases in nursing homes<sup>26</sup>. Therefore, physicians may consider the use of antibiotics against MRSA for the treatment of NHAP patients admitted to ICU.

In this study, interestingly, the identification of MDRGNB was not associated with the use of inappropriate antibiotics. The 2005 ATS/IDSA guidelines recommend the use of antibiotics against MRSA for initial empirical antibiotics therapy for HCAP including NHAP<sup>18</sup>. The prescription according to these guidelines is not likely to be associated with inappropriate antibiotics. However, because these guidelines only recommended that additional anti-MRSA antibiotics, including linezolid or vancomycin, be used as additional coverage for patients with MRSA risk factors<sup>18</sup>, physicians may tend not to consider vancomycin or linezolid, which have common side effects, such as nephrotoxicity, for initial empirical therapy. The 2016 ATS/IDSA guidelines recommend anti-MRSA antibiotics in (1) patients who received intravenous antibiotics during the prior 90 days and (2) in units where the prevalence of MRSA among *Staphylococcus aureus* isolated is not known or is >20% without the concept of NHAP<sup>8</sup>. Therefore, as recommended by these guidelines<sup>8</sup>, physicians should consider antibiotics against MRSA in patients who are at high risk for mortality, such as patients admitted to ICU.

There were several limitations to the present study. First, this was a retrospective study conducted at a single center ICU. Patients who are admitted to the ICU via ER could vary widely by region or country. However, our center is located in a new city between urban and rural areas, suggesting that our sample reflected various conditions of nursing homes. Second, gold standard examination for confirming pathogens is

not equal to all patients. Two patients were confirmed through urine antigens, which cannot be performed via drug sensitivity tests<sup>28</sup>. Although 35% of *Streptococcus pneumoniae* are reported as MDR *Streptococcus pneumoniae* in Korea, the resistance rates to cephalosporins (10%) and fluoroquinolones (0.6%) are relatively low<sup>29</sup>. In the present study, two positive urine antigens for *Streptococcus pneumoniae* were considered as drug-sensitive pathogens. Third, we did not perform molecular technique-based tests for the detection of Panton-Valentine leucocidin (PVL). It is known that PVL is a toxin that causes leukocyte destruction and tissue necrosis commonly associated with community-associated MRSA and severe pneumonia<sup>26</sup>. This study focused on evaluating clinical factors associated with the use of inappropriate antibiotics in NHAP patients. Fourth, this study did not enroll many patients with NHAP admitted to the ICU. Larger population studies, such as multicenter or epidemiological studies are needed.

In conclusion, this study demonstrated that NHAP was a risk factor for the use of inappropriate antibiotics in patients with pneumonia admitted to the ICU via the ER. Identification of MRSA was found in patients who received inappropriate antibiotics. Therefore, physicians may consider antibiotics against MRSA as initial empirical antibiotics in NHAP patients who are admitted to ICUs via the ER.

## Authors' Contributions

Conceptualization: Kang HK. Methodology: Kim DH, Kang HK. Formal analysis: Kim DH, Koo HW, Kang HK. Data curation: Kim DH, Kim HJ, Bae W, Park SH, Koo HK, Park HK, Lee SS, Kang HK. Software: Kim DH, Koo HW, Kang HK. Validation: Kim DH, Kang HK. Investigation: Kim DH, Kang HK. Writing - original draft preparation: Kim DH, Kang HK. Writing - review and editing: Kim DH, Kim HJ, Koo HW, Bae W, Park SH, Koo HK, Park HK, Lee SS, Kang HK. Approval of final manuscript: all authors.

## Conflicts of Interest

No potential conflict of interest relevant to this article was reported.

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