

RNAi-based therapeutics—current status, challenges and prospects

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Introduction

Prior to 1980, RNA was viewed as an inert nucleic acid intermediate for protein production. A dramatic change in our perception came about in the early 1980s with Altman's and Cech's Nobel Prize winning discoveries of *catalytic RNAs* (Cech et al, 1981; Guerrier-Takada et al, 1983). This development inspired many researchers to shift their attention towards this nucleic acid. The recognition of RNA as a regulator of gene expression culminated with the discovery of RNAi (Fire et al, 1998) for which Fire and Mello were awarded the Nobel Prize in 2006. This discovery paved the way for many additional findings, including *microRNA* (miRNA) regulation of translation, chromatin remodelling directed by small RNAs and seminal observations in 2001 that small interfering RNA duplexes of 19–23 base pairs (siRNAs) could trigger sequence specific gene inhibition in mammalian cells (Caplen et al, 2001; Elbashir et al, 2001).

This latter property of siRNAs carries an immense therapeutic potential. Cellular genes involved in human diseases can be targeted and silenced by exogenous introduction of siRNAs or by introduction of gene constructs expressing *short hairpin RNAs* (shRNA) that are converted into siRNAs by the RNAi machinery.

RNA interference (RNAi) is a collection of small RNA directed mechanisms that result in sequence specific inhibition of gene expression. The notion that RNAi could lead to a new class of therapeutics caught the attention of many investigators soon after its discovery. The field of applied RNAi therapeutics has moved very quickly from lab to bedside. The RNAi approach has been widely used for drug development and several phase I and II clinical trials are under way. However, there are still some concerns and challenges to overcome for therapeutic applications. These include the potential for *off-target effects*, triggering innate immune responses and most importantly obtaining specific delivery into the cytoplasm of target cells. This review focuses on the current status of RNAi-based therapeutics, the challenges it faces and how to overcome them.

Currently, the RNAi-based drugs under investigation (see Table 1 for summary) are for the most part synthetic small interfering RNAs, although expressed short hairpins and at least one anti-miRNA antisense are in trials. The siRNAs are double stranded molecules, consisting of a guide strand that is perfectly complementary to a target mRNA and a passenger strand. They range in size from approximately 20–30 nucleotides (nt) and suppress target-specific gene expression by promoting mRNA degradation (Elbashir et al, 2001; Hannon & Rossi, 2004). Core components of this siRNA-mediated post-transcriptional silencing (PTGS) include the RNase III enzyme Dicer and its co-factor transactivating response RNA-binding protein (TRBP) along with the Argonaute family of proteins, in particular Argonaute 2 (Ago 2), which is the catalytic engine of the RNA induced silencing complex (RISC). Dicer converts dsRNAs into 21–25 nucleotide duplexes with 3' 2nt overhangs. The siRNA is then incorporated into one or more of the Argonaute proteins in RISC where the RNA serves as a sequence specific guide for complementary base pairing with the target and guides RISC for sequence specific target degradation or translational inhibition (Hammond et al, 2000; Tuschl et al, 1999). In the laboratory and in current trials the siRNAs are most often chemically synthesized, bypassing the Dicer cleavage step for entry into RISC.

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The key challenge for RNAi application: delivery

As with the older oligonucleotide technologies using [antisense DNA](#) or catalytic RNAs delivery to the target cells and tissues is a major challenge for RNAi based drugs. Thus, delivery of siRNAs to specific cells needs to be addressed for safe and effective *in vivo* RNAi drug applications. The negative charge of siRNAs as well as their size makes it difficult for them to cross the cell membrane. Various delivery strategies include, but are not limited to, nanoparticles, cationic lipids, antibodies, cholesterol, aptamers and viral [vectors](#) for short hairpin RNAs (shRNAs) (Fig. 2). These are discussed below and summarized in Table 2.

Tissue delivery

Some of the delivery strategies that have been successfully utilized in animal models during pre-clinical studies have not been tried yet in a patient setting. Various strategies of targeted and non-targeted delivery are presented in Figure 2. The notion of simply attaching a ligand to an siRNA for targeted delivery to specific tissues or cells is very enticing. For instance, the use of

cholesterol-conjugated siRNAs was shown to effectively deliver anti-Apo B siRNAs to the liver and other organs in a rodent model (Soutschek et al, 2004; Zimmermann et al, 2006). Cholesterol has also been successfully applied to deliver siRNAs topically in murine vaginal mucosal tissue for prevention as well as inhibition of a potentially lethal herpes simplex type 2 infection (Wu et al, 2009) and it has been shown to be an effective means for delivering anti-microRNA oligomers or [antagomirs](#) to a variety of tissues in mouse models (Krutzfeldt et al, 2007; Krutzfeldt et al, 2005). ApoB siRNAs conjugated to cholesterol and other fatty acids such as stearyl or docosanyl conjugates mediated cellular siRNA uptake and gene silencing *in vivo* (Wolfrum et al, 2007). The expression of Sid-1 homologues on the cell surface also enhances systemic cellular uptake of siRNA conjugates and gene silencing efficacy (Duxbury et al, 2005; Wolfrum et al, 2007). Sid-1 is a transmembrane protein responsible for systemic uptake of dsRNA in *Caenorhabditis elegans* (Winston et al, 2002). The activity of Sid-1 as an siRNA uptake system in mammals could prove to be beneficial for the delivery of siRNAs conjugated to cell membrane interaction compounds such as cholesterol.

Glossary

Adeno-associated virus

Small single stranded DNA virus used as a vector for gene therapy.

Adenoviruses

Non-enveloped icosahedral viruses containing double stranded DNA genome that are commonly used as vectors for gene therapy.

Antagomirs

Backbone modified antisense oligomers complementary to microRNAs, blocking their function.

Antisense DNA

Short DNA strands complementary to target mRNAs.

ApoB

Apolipoprotein B is the main apolipoprotein of low density lipoproteins that transport cholesterol and triglycerides through the blood stream.

Blood-brain barrier

A selectively permeable barrier between the capillaries and the brain that acts as a filter preventing many substances from entering the central nervous system.

Catalytic RNAs

Ribonucleic acids with enzymatic activity.

Endosome

A membrane-bound organelle that sorts endocytosed material and recycles it back to the cell surface or delivers it to other compartments as the lysosome where such cargo can be degraded.

Lentiviral vectors

Gene therapy vectors engineered from HIV or other members of the lentivirus family (a class of retrovirus).

Locked nucleic acid technology

Backbone modification of nucleic acids that locks the sugars into a single constrained conformation, making the oligomers they are associated with nuclease-resistant and forming thermodynamically stable hybrids with ribonucleic acids.

microRNA

Short RNAs processed from primary transcripts that guide RISC to complementary sequences in 3'-UTR of target messages.

Off-target effects

Undesired down regulation of non-targeted transcripts by miRNAs or siRNAs.

Polycation polymers

Positively charged polymers that interact with negatively charged nucleic acids and can be used as delivery vehicle for gene therapy.

RISC

Proteins associated with the RNA in the RNA interference pathway are termed the RNA induced silencing complex or RISC.

RNA-aptamer

In vitro evolved, 2' Fl backbone modified RNA molecules that take on three-dimensional shapes allowing them to bind with high affinities to targeted proteins.

RNA interference

A cellular process in which small double stranded RNAs are used as precursors for selection of a guide strand that directs sequence specific base pairing to complementary sequences.

short hairpin RNA

Precursors for miRNAs and small interfering RNAs (siRNAs) both of which serve as guides for RISC.

SNALPs

Stable nucleic acid lipid particles that can be modified with targeting ligands and are used as delivery vehicle for gene therapy.

Toll-like receptors

Proteins that recognize pathogen molecules and activate immune cell responses.

Vector

Carrier and delivery vehicle for gene therapy.

Table 1. RNAi-based therapeutics

Drug	Type	Target	Disease	Company	Stage
Bevasirinab	siRNA	VEGF	Age-related macular degeneration (AMD)	Acuity Pharmaceuticals (Philadelphia, PA)	Phase III
			Diabetic macular oedema	Opko Health Inc., (Miami, FL)	Phase II
Sirna-027 (AGN211745)	siRNA	VEGFR1	Age-related macular degeneration (AMD)	Allergan Inc. (Irvine, CA) Merck-Sirna Therapeutics (Whitehouse Station, NJ)	Phase II
ALN-RSV01	siRNA	Nucleocapsid	Respiratory syncytial virus infection	Alnylam Pharmaceuticals (Cambridge, MA)	Phase II
RTP801i-14	siRNA	RTP801 (DDIT4)	Age-related macular degeneration (AMD)	Quark Pharmaceuticals (Fremont, CA), Silence Therapeutics (London, UK)	Phase I
TD101	siRNA	Keratin 6a	Pachyonychia congenita	Trans Derm Inc. (Santa Cruz, CA), PC project (www.pachyonychia.org)	Phase I
CALAA-01	siRNA	M2 subunit of the ribonucleotide reductase (RRM2)	Solid tumours	Calando Pharmaceuticals (Pasadena, CA)	Phase I
SPC3649	LNA-anti miRNA	miR-122	Hepatitis C	Santaris Pharma (Horsholm, Denmark)	Phase I
I5NP (QPI-1002)	siRNA	p53	Acute renal failure	Quark Pharmaceuticals (Fremont, CA)	Phase I
Proteasome siRNAs	siRNA	Immuno-proteasome beta subunits (LMP2, LMP7, MECL1)	Metastatic melanoma	Duke University	Phase I
Anti-tat/rev	shRNA	Tat/rev	AIDS lymphoma	City of Hope National medical center (Duarte, CA), Benitec (Melbourne, Australia)	Pilot feasible study

Another strategy that has been used to lower ApoB levels and hopefully decrease the cardiovascular complications associated with high LDL-cholesterol levels is to deliver α -tocopherol (vitamin E) directly conjugated to a backbone modified ApoB targeted siRNA to the liver with resultant lowering of cholesterol in a rodent model (Nishina et al, 2008). Given the simplicity of conjugating cholesterol or a vitamin to an appropriately backbone modified siRNA and the very encouraging results in animal model testing of these approaches, it is unclear why this approach has not been used in any of the human clinical trials to date.

A second highly effective approach for the delivery of siRNAs to the liver is the use of lipid carriers. Particularly encouraging are results obtained from the delivery of anti-ApoB siRNAs using stable nucleic acid lipid particles or *SNALPs* (Zimmermann et al, 2006). *SNALPs* are lipid nanoparticles that fully encapsulate and systemically deliver a variety of nucleic acid molecules such as siRNAs, shRNAs or aptamers. They are stable and have a long circulation half-life in the blood stream. Intravenous delivery of *SNALP* encapsulated siRNAs targeting ApoB was shown to provide potent knockdown of the ApoB mRNA for over 48 h post delivery, and a reduction in circulating cholesterol for almost two weeks in a non-human primate test (Zimmermann et al, 2006).

Targeted cellular delivery

Targeted delivery is another important goal for siRNA therapeutics. Positively charged protamine peptides have been conjugated to single chain antibodies targeting various cellular receptors, including ERB2, HIV gp120 and the lymphocyte specific LFA1 receptor (Peer et al, 2007; Song et al, 2005). The positively charged peptide also binds the therapeutic siRNAs, which are functionally delivered into target cells both in culture and in animals (Peer et al, 2007; Song et al, 2005). In another example, a CD7 specific antibody conjugated to a nine arginine peptide that bound and delivered to T-lymphocytes siRNAs targeting CD4, CCR5 or viral transcripts was used for their systemic delivery in a humanized mouse model and was effective in blocking CD4+ T cell HIV infection (Kumar et al, 2008; Kumar et al, 2007).

The **blood-brain barrier** constitutes a real challenge for delivering exogenous molecules to the brain. To transmit siRNAs across the blood-brain barrier, Kumar et al (2007) fused a rabies glycoprotein peptide to nine arginines. While the nine arginines bound siRNAs targeting the Japanese encephalitis virus, the rabies peptide selectively binds to and is internalized by the acetylcholine receptor, resulting in retrograde transport of the siRNAs in neuronal axons and protection from the lethal

Table 2. Pros and cons of delivery approaches

Delivery strategy	Advantages	Disadvantages
Naked siRNA	Chemically modified siRNAs are stable, potentially non-immunogenic, easy to manufacture.	Poor cellular uptake, activation of toll-like receptors, not targeted to specific cell types.
Aptamer	Highly target-specific, large scale manufacturing possible, can be backbone modified for stability <i>in vivo</i> .	Large relative to siRNA, repeated treatment might be necessary, need to be modified for enhanced circulation and pharmacodynamics, costly to manufacture.
Antibodies	Highly target-specific, can use monoclonals or antibody fragments.	Costly to produce, repeated treatments might be necessary, possibly immunogenic.
Cholesterol	Non-immunogenic, proven <i>in vivo</i> delivery in non-human primates, potentially low cost.	Possible liver toxicity if used repeatedly, not useful for delivery to most organs other than liver, very large doses required for efficacy in animal model testing.
Synthetic nanoparticles	Specific targeting possible, can be synthesized in large scale, able to accommodate large amounts of siRNAs and can be engineered to escape endosome.	Need to be conjugated to specific ligands for tissue specific delivery, costly manufacturing, repeated administration necessary.
Viral vectors for shRNAs	Infect target cells with high affinity, long-lasting expression, possible to combine multiple RNAi triggers in a single vector.	Possible immune response to vector envelope, integrating vectors can cause gene disruption, potential toxicity from continuous expression of shRNAs, costly to manufacture.

viral infection (Kumar et al, 2007). Another approach breaching the blood–brain barrier for siRNA delivery, was reported by Bonoiu et al. (2009). They used gold nanoparticles (GNP) complexed to siRNA molecules, called nanoplexes, for modulation of the dopaminergic signaling pathway in an *in vitro* model as potential treatment for drug addiction therapy (Bonoiu et al, 2009).

Another means by which therapeutic siRNAs can be delivered to target cells via receptor mediated binding and internalization is to use receptor binding aptamers (Chu et al, 2006; McNamara et al, 2006; Zhou et al, 2008). Aptamers are single stranded, three-dimensional oligonucleotide structures that bind with high affinity to a wide variety of target molecules (Gold et al, 1995). The systematic evolution of ligands by exponential enrichment (SELEX) process generates aptamers from libraries of approximately 10^{15} nucleic acid molecules by *in vitro* selection (McNamara et al, 2006; Tuerk & Gold, 1990). For example, McNamara et al (2006) have shown that an aptamer which binds and is internalized by prostate cancer cells via the prostate specific membrane antigen (PSMA) receptor achieves intratumoural delivery of siRNAs and inhibition of tumour growth in a mouse xenograft. In all the cases published so far where aptamers have been used to deliver RNA molecules, the aptamers provided highly selective delivery only to cells expressing the receptor at the cell surface.

Polycation polymers such as cyclodextrin or dynamic polyconjugates are another promising delivery method for siRNAs. Delivery of siRNA to hepatocytes with siRNA dynamic polyconjugates, a membrane-active polymer, was non-toxic and efficient *in vitro* and *in vivo* (Rozema et al, 2007). Cyclodextrin nanoparticles coupled to transferrin were also used successfully for systemic delivery of siRNAs to tumours in mice (Bartlett et al, 2007; Hu-Lieskovan et al, 2005). The transferrin can bind to the transferrin receptor which is highly expressed on tumour cells due to their high demand of iron. In fact, Calando Pharmaceuticals is currently employing transferrin complexed cyclodextrin particles to deliver therapeutic siRNAs to solid tumours in phase I clinical trials.

Expression of shRNAs that get processed into siRNAs is yet another alternative strategy for RNAi based treatment of disease. For this to be an effective strategy, the shRNA expression units generally need to be incorporated into a viral vector. Systemic delivery of adeno-associated virus (AAV) and lentiviral vectors into animals have been carried out for pre-clinical studies of RNAi treatment of HBV, neurological and other organ-based diseases.

Viral vectors such as those derived from murine retroviruses or lentiviruses stably integrate therapeutic transgenes into the host genome (Boris-Lawrie & Temin, 1994; Samulski et al, 1989). The integration allows long lasting transgene expression, which may have a therapeutic advantage. However, random integration may also cause problems *via* gene disruption or trans-activation of oncogenes. Other viral vectors based upon AAV and adenovirus do not stably integrate, but persist as episomes until diluted out by cell division. A limitation with these viral vectors is the re-targeting to specific tissues *via* genetic modifications of the coat proteins so as not to perturb a virus particle assembly and function. Furthermore, a major proportion of the human population has antibodies against AAVs and adenovirus from previous infections, thus limiting the number of viral vector applications that can be made in a patient setting (Chirmule et al, 1999). To date, there is one known ongoing clinical trial with viral vector introduced shRNAs to treat HIV infection in AIDS/lymphoma patients for HIV being conducted at the City of Hope National Medical Center in California and supported in part by Benitec, Inc. In this trial, patient autologous haematopoietic stem cells are genetically modified with a lentiviral vector harbouring an anti-HIV shRNA along with two other anti-HIV RNAs (Anderson et al, 2007; Li et al, 2005). As virally delivered shRNAs will be expressed for long periods of time, it is critical that the disease being treated will benefit from persistent shRNA expression. There are numerous disease models for which gene expressed shRNAs or microRNA mimics are being tested in animal models. It is reasonable to expect more clinical trials in the near future.

Everything concerning delivery discussed so far requires some form of injection into animals or patients. Ideally, an oral delivery would make development of siRNAs into drugs more widely acceptable from a patient perspective and indeed some groups are exploring oral delivery strategies. Aerosol-mediated delivery is also being tested in animals and in human clinical trials. Aerosol delivery of a minimally modified anti-Akt1 siRNA was performed successfully with polyester amine polymers in mice to treat lung cancer (Xu et al, 2008). In 2006, Alnylam Inc. initiated the first phase 1 clinical study using minimally modified, unencapsulated siRNAs to treat RSV (respiratory syncytial virus) infection using intranasal delivery of their drug ALN-RSV01 (<http://www.alnylam.com>). In summary, there are a variety of already proven delivery options for siRNAs, some of which provide cell and tissue specific delivery. Only a couple of these options are currently being tested in clinical trials. The important considerations for use of a delivery modality are the safety, efficacy and cost of materials. Each of these has to be addressed separately before a vehicle will be commercially viable. Minimal backbone modifications for stabilizing siRNAs and shielding them from activation of toll-like receptors (TLR) do not substantially increase the cost, whereas packaging or complexing the siRNAs into/with delivery vehicles requires separate manufacturing of the siRNAs and the carriers, thereby substantially increasing the price. The important issues of safety are best addressed with the 2'-OME backbone modifications described above. When selectively placed within the siRNA backbone, this modification can prevent off-target miRNA-like functions and prevent the activation of some of the TLRs. Viral delivery strategies for shRNAs or microRNA mimics have the inherent cost of vector manufacturing under FDA approved conditions. This can be costly, and if repeat administrations are required, the expense is increased significantly for patient applications. Thus, the best strategy for delivery has to take into account the disease being treated and the need for long-term expression (viral vectors) *versus* transient target knock-downs (siRNAs).

The endosome

Once delivered to the right tissue or the right cell, another obstacle that has to be overcome with siRNA delivery systems is the endosome. The siRNAs need to be delivered to the cytoplasm to be effective and most of the delivery systems which use receptor mediated endocytosis or even pinocytosis result in endosomal internalization and eventually elimination via the Golgi network. Escape from the endosome is therefore a critical factor for efficient therapeutic applications. A few approaches have been made trying to tackle this issue. Some polymers are designed to escape the endosome by the so-called 'proton-sponge effect' and direct membrane interaction of polycation and sulphoglucanases which enhances the escape (Russ et al, 2008). Receptor ligands, that could also be used as delivery vehicle, can be expressed with His-tags that enables the protein-siRNA complex to escape the endosome (Tarwadi et al, 2008). Viruses such as **adenoviruses** escape the endosome through their ability to lyse lipid bilayer membranes

at a certain pH, which is present in the sorting endosome (Blumenthal et al, 1986; Seth et al, 1984; Wickham et al, 1994). SNALPs are designed to escape the endosome by membrane fusion and releasing their siRNA load directly into the cytoplasm. They undergo an interaction with the endosomal membrane. The lipids of the SNALP bilayer interact with the endosomal membrane and the two combine (<http://www.protivabio.com/snalp/>). Nevertheless, the endosome escape remains a critical factor for RNAi therapeutics and needs to be carefully addressed.

RNAi in the clinic

There are currently only two clinical trials using *ex vivo* delivery, whereas most of the trials employ systemic delivery including injections directly into the target tissues such as the eyes for treatment of age-related macular degeneration (AMD) or directly into tumours, inhalation or infusion with targeted delivery vehicles with incorporated siRNAs (Fig 1).

AMD is the leading cause of legal blindness in people over 55 years in the US. More than 1.75 million are affected and more than 200,000 new cases occur every year. Exudative AMD, the 'wet' form of AMD, causes loss of vision due to abnormal blood vessel growth (choroidal neovascularization) behind the retina and macula. Bleeding or leaking of fluids from these newly formed blood vessels causes the macula to bulge or lift, resulting in irreversible damage and loss of vision if left untreated. Anti-vascular endothelial growth factor (VEGF) agents cause regression of abnormal blood vessel growth and improvement of vision. In 2004 the FDA approved Pegaptanib (Macugen[®], OSI pharmaceuticals, Pfizer), a VEGF targeting **RNA-aptamer**. This drug was the first intravitreal injection to be marketed. It opened the door for patients, their doctors, and scientists to further improve the treatment for AMD. Bevasiranib (Acuity Pharmaceuticals), a VEGF siRNA, has shown promising results in mice (Shen et al, 2006) and also stabilization of patients' condition and improved vision. It is currently being tested in a Phase III clinical trial. In contrast to targeting VEGF mRNA, AGN211745 (Sirna-027) developed by Allergan and Merck's Sirna Therapeutics targets the VEGF receptor (VEGFR1). This siRNA drug is in a Phase II clinical trial. Also, Quark Pharmaceuticals in collaboration with Silence Therapeutics developed an siRNA (RTP801i-14) for the treatment of AMD targeting the hypoxia-inducible RTP801, also known as DNA-damage inducible transcript 4 (DDIT4) that is involved in AMD disease progression. RTP801i-14 is presently being evaluated in a phase I clinical trial.

Another siRNA drug from Quark pharmaceuticals is I5NP (QPI-1002). This drug was developed to protect patients from acute kidney injury after cardiac bypass surgery and to prevent delayed graft function in patients undergoing deceased donor kidney transplantation by inhibiting p53 expression. The renal failure that occurs in 2 percent of patients undergoing heart surgery is caused by a reduction in the blood flow to the kidneys during surgery and hence its tissue can be damaged. Expression of p53 causes the removal of the damaged tissue and can

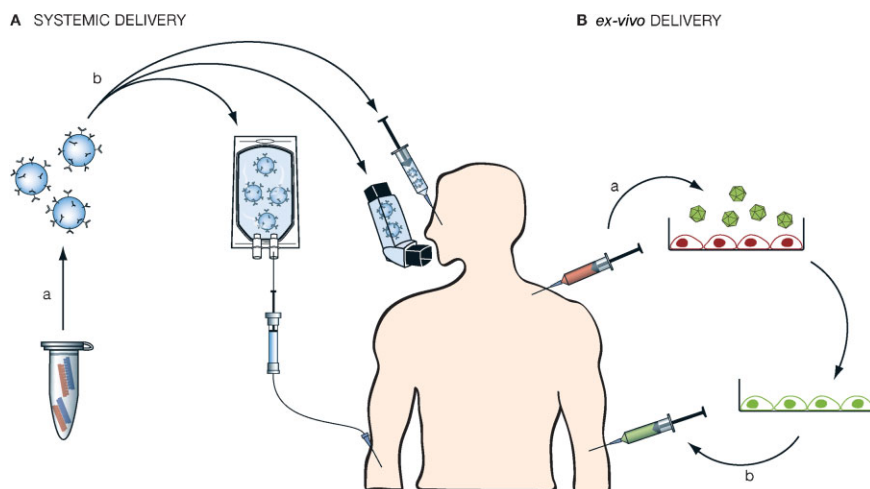


Figure 1. Delivery of therapeutics to patients.

- A. Systemic delivery:** (a) double stranded siRNA is packaged into delivery vehicle (targeted nanoparticles, polymers, liposomes, etc.). (b) It is then given intravenously, via inhalator or direct injection into the target tissue (the eye, tumour, etc.).
- B. *ex-vivo* delivery:** (a) cells (dendritic cells, haematopoietic stem cells, etc.) are extracted from the patient and transduced with a virus containing shRNAs. (b) The genetically modified cells are then re-infused into the patient.

therefore cause kidney failure after surgery. Presently, Quark Pharmaceuticals has enrolled patients for phase I/II dose escalation and safety studies.

Respiratory syncytial virus (RSV) is a single-stranded RNA virus of the family paramyxoviridae, which causes respiratory tract infections in patients of all ages. It affects approximately 300,000 people in the USA and 75,000-120,000 children are hospitalized every year (Falsey, 2005; Shay et al, 1999, 2001). Alnylam Pharmaceuticals' front-running siRNA-based drug ALN-RSV01 targets the nucleocapsid encoding gene of the virus and therefore inhibits viral replication in the lung. In their phase I clinical trial, intranasal siRNA delivery was employed and ALN-RSV01 was well tolerated by adults (<http://www.alnylam.com>). In early 2008, they initiated a Phase II trial in lung transplant patients naturally infected with RSV and they plan to advance ALN-RSV01 into a pediatric patient population.

Pachyonychia congenital disorder (PC) is a rare but very painful disorder that primarily affects the skin, nails and mouth. Symptoms include blistering on the hands and feet, mouth sores and cysts of various types (<http://www.pachyonychia.org/>). It is caused by mutations in one of four keratin (K) genes (K6a, K6b, K16 or K17). The most common mutation in keratin causing PC is K6a. Trans Derm, Inc. has developed an siRNA that selectively inhibits a mutant allele of K6a (TD101) (Leachman et al, 2008). By eliminating the mutant form of keratin, they hope to treat PC in patients harbouring that allelic mutation. Trans Derm K6a is in a phase I clinical trial as a collaborative effort between Trans Derm and Charity PC Project.

Worldwide, an estimated 150-200 million people are infected with Hepatitis C virus (HCV). HCV accounts for approximately 15 percent of acute viral hepatitis, between 60 and 70 percent of chronic hepatitis, and up to 50 percent of cirrhosis, end-stage liver disease and liver cancer. Adult liver cancer is the third leading cause of cancer deaths worldwide. Patients harbouring chronic HCV are treated with a combination of ribovarin, an oral antiviral agent and interferon α , which can have considerable toxicity. A novel approach to treating HCV infection has been developed by Santaris Pharmaceuticals using their **locked nucleic acid (LNA)**

technology for antisense oligomers. They have developed an LNA antisense oligomer (SPC3649), which targets miR-122, an miRNA that enhances HCV replication and translation (Chang et al, 2008; Henke et al, 2008). Such anti-microRNA antisense agents are termed antagomirs and can be potent inhibitors of microRNA function (Krutzfeldt et al, 2005). Incorporated into oligonucleotides, LNA dramatically enhances the binding affinity to complementary RNA sequences and their stability (Elmen et al, 2005; Grunweller et al, 2003; Wahlestedt et al, 2000). The greater potency of LNA in binding RNA means that LNA oligonucleotide drugs can be significantly shorter than conventional antisense phosphorothioate oligomers. These shorter RNA antagomirs are taken up efficiently by cells and tissues, thereby overcoming many of the delivery problems of RNAi to date and can be administered 'naked'. SPC3649, the miR-122 antagomir is the first anti-microRNA being tested for therapeutic application and is currently being investigated in a phase I clinical trial.

In all of the above trials, 'naked RNAs' are being delivered directly to the tissue of interest. Currently, there is only one clinical trial employing systemic delivery via infusion using targeted nanoparticles for siRNA delivery to tumours. Calando pharmaceuticals is currently treating patients with relapsed or refractory solid tumours (such as breast cancer, prostate cancer, lung cancer, sarcomas and lymphomas) in a phase I clinical trial (www.clinicaltrials.gov). They developed CALAA-01, an siRNA that targets the ribonucleotide reductase subunit M2 (RRM2). This reductase catalyses the formation of deoxyribonucleotides from ribonucleotides and inhibition of this pathway results in loss of cell proliferation (Heidel et al, 2007). Over-expression of RRM2 significantly enhances the invasive and metastatic potential of solid tumours and is also implicated in angiogenesis (Zhang et al, 2009). CALAA-01 siRNA is delivered in cyclodextrin nanoparticles coated with transferrin, which directs and enhances uptake into tumour cells that express high levels of the transferrin receptor. These particles have been previously successfully tested for siRNA delivery to tumours in mice (Bartlett et al, 2007). Results from the phase I trial are currently being evaluated.

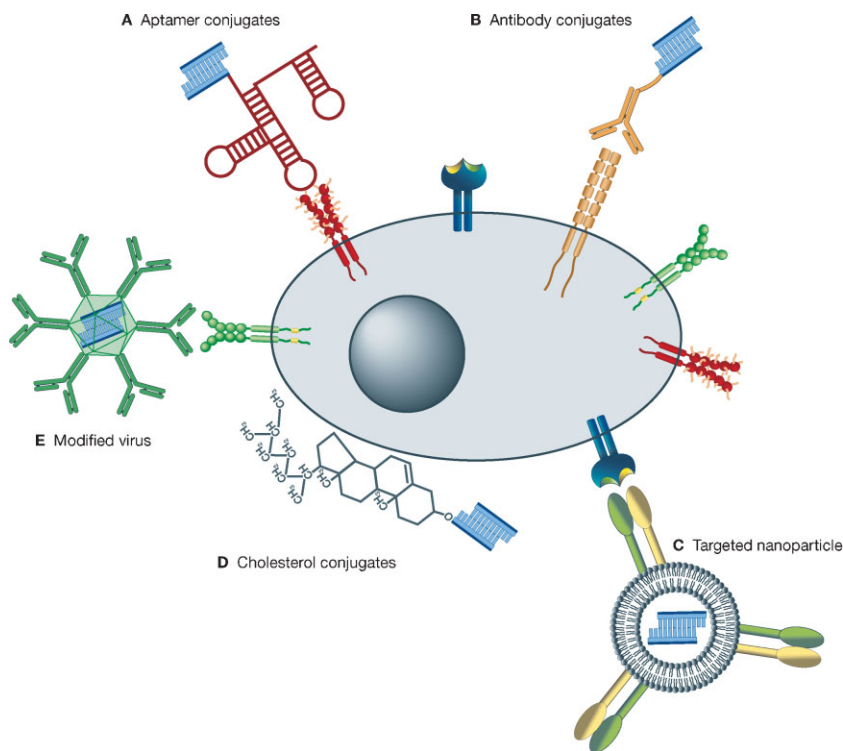


Figure 2. Delivery strategies for RNAi.

The cell (grey ellipse) contains a nucleus (dark circle) and a cell membrane (dark ellipse). Cell surface molecules such as receptors are present on the cell surface (shown in colour). RNAi therapeutics (mainly siRNA (blue)) can be targeted to the cell surface molecules via different delivery vehicles. They can be conjugated to aptamers (A), which can bind specifically to cell surface molecules and be internalized. siRNAs can also be conjugated to cell specific antibodies (B) and be delivered to the target cells via recognition of cell surface molecules by the specific antibody followed by internalization through endocytosis. Targeted nanoparticles (C) transport RNAi therapeutics to specific cells. The modifications of the nanoparticles (targeting ligand) can interact with receptors on the cell surface and the nanoparticle with its load can be internalized. Cholesterol conjugated siRNAs (D) can be delivered to cells and be internalized by the interaction of the cholesterol with the membrane through hydrophobic interactions, triggering Clathrin-dependent endocytosis. Modified viruses (E) can also be used for cell specific delivery of RNAi therapeutics by cell specific cell surface interactions triggering endocytosis.

Ex vivo delivery is addressed by two clinical trials, one treating HIV-1 infection in AIDS lymphoma patients and the other for treatment of metastatic melanoma. The City of Hope National Medical center in collaboration with Benitec, Inc. started a pilot feasibility study for the treatment of HIV-1 infection in AIDS/lymphoma patients. The *tat* and *rev* shared exon of HIV-1 is targeted by an RNA Polymerase III expressed short hairpin RNA which is used in combination with a Pol III expressed TAR decoy and a chimeric VA1-ribozyme targeting CCR5 (Li et al, 2005). Patient derived haematopoietic (blood) stem cells (HSCs) are transduced with a lentiviral vector that incorporates the shRNA, VA1-ribozyme and the TAR decoy into the genomes of the HSCs. These genetically modified cells are then infused into patients whose bone marrow has been ablated for treatment of their AIDS-related lymphoma. To date, four patients have been treated and three of the four patients have persistent expression of the anti-*tat/rev* shRNA.

Duke University is conducting a phase I trial using siRNA for metastatic melanoma, a form of cancer that originates in melanocytes. Patients are being treated with autologous dendritic cells transfected with siRNAs plus a tumour antigen encoding mRNA. The goal of the siRNA therapy is the induction of an anti-melanoma immune response by altering the proteasome-mediated antigen processing (Abdel-Wahab et al, 2005; Dannull et al, 2007). Monocytes of patients will be harvested and transfected *ex vivo* with siRNAs that mediate the downregulation of the inducible immunoproteasome subunits LMP2, LMP7 and MECL1. *In vitro*, these cells are differentiated into dendritic cells (DC). After the induction of maturation, the DCs will be transfected with mRNAs encoding melanoma

antigens MART, MAGE-3, tyrosinase and gp100. Since dendritic cells are powerful antigen presenting cells, the siRNA knock-down of the proteasome will enhance the melanoma antigen presentation with the goal of provoking a strong immune response against the melanoma cells in these patients.

Unexpected problems and possible solutions for RNAi based therapies

Given that RNAi was first described slightly over a decade ago and the mechanisms of this phenomenon are still being unraveled, it is quite amazing that there is such a collection of ongoing clinical trials. Although there is no FDA approved siRNA drug so far, this may change within the next couple of years, and should open the doors for more approved therapeutic siRNAs. As with any fast-moving technology, there are often unforeseen problems and the RNAi field is not recalcitrant to such problems. The first indication or cautionary note came from studies conducted by Merck-Rosetta using gene arrays to look for off-target effects of siRNAs. Their results showed that ectopically applied siRNAs can alter the expression levels of dozens of non-targeted transcripts, and suggested that even short complementary stretches of siRNAs with non-targeted transcripts can affect their expression levels (Jackson et al, 2003). Once it was understood about how microRNAs affect down-regulation of target proteins via binding to the 3'-UTR, which in turn can inhibit protein translation and in some instances trigger non-specific degradation, it was quickly realized that ectopically applied siRNAs were affecting non-

Bridge the Gap

The Gap

RNAi-based therapeutics are currently being tested in various phase I and II clinical trials, however there are still several problems to overcome before their clinical application becomes widespread. Obtaining specific and effective delivery into the cytoplasm of target cells is one of the critical challenges. The enthusiasm with which the RNAi field has explored its clinical applications has led to the identification of several deleterious responses often triggered by these molecules such as potential for off-target effects and triggering host innate immune responses, both of which can lead to damaging secondary side-effects in the patient.

The Bridge

This review describes the current status of RNAi-based therapeutics and summarizes the many approaches the field is taking to tackle the issue of the RNA delivery. Lipid carriers,

viruses and ingenious means to promote selective internalization of the RNA molecules by cellular receptors are some examples. The latter must be further explored in order to allow simple systemic delivery of si, sh and miRNAs. Targeted delivery is a major issue in many other more developed drug delivery fields and considering the approaches developed in other research areas may prove beneficial for RNA delivery.

The incredible leap we have faced in the understanding of the basic mechanisms of RNAi has brought a solution for most of the harmful effects identified previously, e.g., a 2'-OME backbone modifications to prevent off-target effects. Strategies that capitalize upon the endogenous mechanism without disrupting the natural pathway should be used to achieve maximal benefit from RNAi-based therapeutics and fundamental research in this area is bound to continue to bring novel translational ideas and solutions.

targeted gene expression via microRNA-like functions (Jackson et al, 2006b). This off-targeting by siRNAs can be easily controlled by a 2'-OME modification at the second ribose from the 5'-end of the siRNA (Jackson et al, 2006a). This simple solution should be used for all *in vivo* siRNA applications, but surprisingly this is not the case. This may in part be due to the long time it takes to develop a compound for clinical trial, and many of the siRNAs currently in the clinic were developed prior to the publication of the 2'-OME findings.

The next alarming finding with respect to RNAi therapeutic applications came from studies using Pol III expressed shRNAs delivered in an AAV delivered by tail vein injection into mice, which resulted in lethality due to acute liver failure in many of the animals (Grimm et al, 2006). The lesson learnt from this study was that the levels of ectopic expression of therapeutic shRNAs has to be carefully controlled since these are processed into siRNAs which can elicit off target effects and effectively compete with the endogenous microRNAs for the RNAi machinery.

If the above concerns were not enough, two reports in the literature showed that certain sequence motifs in siRNAs can trigger type I interferon production via activation of toll-like receptors (TLRs) 7 and 8, thereby compromising the sequence specific knockdown effects of the RNAi pathway (Hornung et al, 2005; Judge et al, 2005; Robbins et al, 2008). Again, the solution to preventing activation of these two TLRs seems to be simple enough, the inclusion of at least one 2'-OME in either the sense or anti-sense strand of the siRNA (Judge & MacLachlan, 2008; Robbins et al, 2007).

Abrogating the interferon stimulation mediated by a different TLR, TLR3 which recognizes double stranded RNAs is not so simple though, albeit still feasible. A somewhat surprising finding came from a group studying a murine model for siRNA treatment of AMD, presumably mediated by knocking down the expression

of VEGF or the VEGF receptor as described above (Kleinman et al, 2008). This study showed that the inhibition of neo-vascularization was not due to specific knockdown of these targets (almost any siRNA gave the same phenotypic results), but was caused by double stranded RNA activation of TLR3, triggering interferon- γ and interleukin 12-production, with subsequent anti-neo-vascularization effects. Discouragingly, backbone modifications of the siRNAs did not ameliorate this response (Kleinman et al, 2008). The take home lesson from this study is that the use of 'naked' siRNAs *in vivo* is potentially problematic and the use of delivery vehicles, which shields the siRNAs from the cell surface TLR3, needs to be explored and tested. When siRNAs are delivered via some carrier mechanism, including tethering to cholesterol or encapsulation in bilayer lipids, no interferon types of responses to *in vivo* siRNA delivery have been observed (Soutschek et al, 2004; Zimmermann et al, 2006).

As an interesting caveat to the concerns about siRNAs activating interferons, it has recently been shown that activating the immune system can also be exploited for therapeutic purposes. A Bcl-2 siRNA with 5'-triphosphate ends provoked massive apoptosis of melanoma tumour cells in lung metastasis *in vivo* (Poeck et al, 2008). The 5'-triphosphates are recognized by the retinoic acid induced protein I (Rig-I) which activates innate immune cells such as dendritic cells and therefore an interferon response. This interferon response in combination with the Bcl-2 gene knockdown results in the apoptosis of tumour cells (Poeck et al, 2008).

Looking to the future

The promise of RNAi as a powerful new approach for therapeutic treatment of diseases has propelled early stage

clinical testing of siRNAs for a variety of diseases. It is still too soon to evaluate whether or not RNAi based therapeutics will live up to their expectations. The most important aspect of developing a therapeutic strategy such as RNAi is to have a good understanding of the basic mechanisms of RNAi. Since this is a highly evolved endogenous mechanism for regulating gene expression, it is important to fully understand how the various RNAi based mechanisms function. By using strategies that capitalize upon the endogenous mechanism without disrupting the natural pathway, we can expect to achieve maximal benefit from RNAi based therapeutics. In the future, we should see stand alone RNAi therapeutics, but more likely we will see RNAi being used in combination with therapies already in place. The power of sequence specific inhibition of gene expression is of course a goal worth achieving for the treatment of all diseases. Overcoming the obstacles for achieving this is still a formidable task, but great strides are being made.

The authors declare that they have no conflict of interest.

For more information

Clinical trials:

www.clinicaltrials.gov

Pachyonchia charity/patients help:

<http://www.pachyonchia.org/>

Various cancer info:

www.cancer.gov

Center for disease control:

<http://www.cdc.gov/>

US Food and Drug Administration:

<http://www.fda.gov/>

World Health Organization:

<http://www.who.int/>

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