

# Arterial Stiffness Increases Over Time in Relation to Lung Diffusion Capacity: A Longitudinal Observation Study in COPD

This article was published in the following Dove Press journal:  
*International Journal of Chronic Obstructive Pulmonary Disease*

Maurice Roeder<sup>1</sup>  
Noriane A Sievi<sup>1</sup>  
Dario Kohlbrenner<sup>1</sup>  
Christian F Clarenbach<sup>1</sup>  
Malcolm Kohler<sup>1,2</sup>

<sup>1</sup>Pulmonary Division, University Hospital of Zurich, Zurich, Switzerland; <sup>2</sup>Centre for Interdisciplinary Sleep Research, University of Zurich, Zurich, Switzerland

**Background:** Cardiovascular events are, after cancer, the most common cause of death in COPD patients. Arterial stiffness is an independent predictor of all-cause mortality and cardiovascular events. Several cross-sectional studies have confirmed increased arterial stiffness in patients with COPD. Various mechanisms in the development of arterial stiffness in COPD such as reduced lung function or systemic inflammation have been proposed. However, clinical predictors of arterial stiffness that had been reported in cross-sectional studies have not yet been confirmed in a longitudinal setting. We have assessed the course of augmentation index (AIx) - a measure of systemic arterial stiffness - and possible predictors in a cohort of COPD patients over a period of up to 7 years.

**Methods:** COPD patients underwent annual AIx measurement by applanation tonometry for a maximum duration of 7 years. Additionally, we performed annual assessments of lung function, blood gases, systemic inflammation, serum lipids and blood pressure. Associations between the course of AIx and potential predictors were investigated through a mixed effect model.

**Results:** Seventy-six patients (mean (SD) age 62.4 (7.1), male 67%) were included. The AIx showed a significant annual increase of 0.91% (95% CI 0.21/1.60) adjusted for baseline. The change in diffusion capacity (DLco), low-density lipoprotein (LDL), and high-sensitivity c-reactive protein (hsCRP) was independently associated with the increasing evolution of AIx (Coef. - 0.10,  $p < 0.001$ , Coef. 1.37,  $p = 0.003$ , and Coef. 0.07,  $p = 0.033$ , respectively).

**Conclusion:** This study demonstrated a meaningful increase in arterial stiffness in COPD over time. A greater annual increase in arterial stiffness was associated with the severity of emphysema (measured by DLco), systemic inflammation, and dyslipidaemia.

**Clinical Trial Registration:** www.ClinicalTrials.gov, NCT01527773.

**Keywords:** COPD, cardiovascular risk, arterial stiffness, emphysema, longitudinal, inflammation, dyslipidaemia

## Introduction

Patients with chronic obstructive pulmonary disease (COPD) are at an increased risk for cardiovascular morbidity and mortality. Cardiovascular disease is considered to be responsible for up to 30% of the deaths in patients with COPD and represents the second highest cause of death after cancer.<sup>1,2</sup> Chen et al<sup>3</sup> meta-analysed an extensive collection of evidence (29 databases) from large international studies and confirmed that the risk for cardiovascular disease (peripheral artery disease, ischemic heart disease, heart failure, cardiac dysrhythmia, diseases of pulmonary circulation) is increased by up to five times in patients with COPD. The authors pointed out the

Correspondence: Malcolm Kohler  
Pulmonary Division, University Hospital  
Zurich, Raemistrasse 100, Zurich 8091,  
Switzerland  
Email malcolm.kohler@usz.ch

crucial need for the development of strategies to screen for and reduce cardiovascular risk in COPD patients. Global cardiovascular risk scores have been established to improve risk stratification and to predict cardiovascular mortality in COPD patients.<sup>4</sup> Since these risk scores may underestimate the cardiovascular risk, particularly in early prevention, non-invasive assessment techniques such as measurement of arterial stiffness, endothelial function, and carotid intima-media thickness have been investigated to improve early detection of vascular dysfunction before it becomes clinically overt.<sup>5</sup> Arterial stiffness assessed by pulse wave velocity (PWV) and augmentation index (AIx) is a strong predictor of cardiovascular events and all-cause mortality in the general population.<sup>6</sup> Several cross-sectional studies have shown increased arterial stiffness in COPD patients when compared to healthy controls.<sup>7,8</sup> Recently, a longitudinal study of cardiovascular risk in COPD patients (ARCADE-Study) confirmed increasing PWV in COPD patients over a period of 2 years.<sup>9</sup> Various mechanisms in the development of arterial stiffness in COPD have been proposed. They include some classical risk factors for atherosclerosis such as smoking, physical inactivity, and aging. In addition, more COPD specific conditions like airway obstruction, emphysema, oxidative stress, exacerbations, systemic inflammation, and increased sympathetic tone have been incriminated in the process of increased arterial stiffness.<sup>10</sup> Even though findings on the influence of airflow limitation and systemic inflammation on arterial stiffness have been incongruent,<sup>8,11,12</sup> a recent review on arterial stiffness in COPD postulated that these processes may contribute to vascular remodelling and atherosclerosis progression, possibly leading to cardiovascular events.<sup>5</sup> However, most of the studies assessing arterial stiffness in COPD are limited by their cross-sectional study-designs. Longitudinal data on the evolution of arterial stiffness and its possible predictors in COPD patients are scant. In this study, our objective was to investigate the longitudinal course of arterial stiffness measured by AIx in a well-characterized cohort of COPD patients and to evaluate possible predictors that have been described in cross-sectional studies.

## Methods

### Subjects

The Obstructive Pulmonary Disease Outcomes Cohort Study (TOPDOCS) was performed as a prospective, non-interventional cohort project including mild to very severe COPD patients from seven pulmonary outpatient

clinics in Switzerland. Initially, this study was conducted as a cross-sectional study and was later transformed into a longitudinal study design. The patients were recruited during outpatient visits or during hospitalization for initially three annual study visits. Only patients in Zurich, Switzerland, underwent pulse-wave analysis. Patients aged between 40 and 75 years with confirmed COPD according to GOLD-guidelines<sup>13</sup> were assessed for eligibility between October 2010 and April 2016. Patients undergoing exacerbation were included into the study with a delay of at least 6 weeks. Exclusion criteria were defined as such: suffering from physical or intellectual impairment prohibiting informed consent or compliance with the protocol.

The study was conducted in accordance with the declaration of Helsinki and all subjects gave written informed consent to participate. The Ethics Committee of the Canton of Zurich approved the study (EK-ZH-NR: 2011-0106) and the study is registered at [www.ClinicalTrials.gov](http://www.ClinicalTrials.gov), NCT01527773.

### Measurements

All measurements were conducted within 2 hrs on the day of the annual study visits. Patients were asked to follow their usual daily routine including their regular alcohol-, coffee- and medication intake.

### Vital Signs

Blood pressure (BP) and heart rate were measured in triplicate separated by one-minute intervals. Measurements were conducted after resting in supine position for 5 mins in a quiet room of our hospital with a validated, semi-automated oscillometric device (Omron, Omron Healthcare Co, Ltd. Kyoto, Japan). The average of these three blood pressure measurements was used for further analysis.

### Lung Function

All patients underwent standard pulmonary functional testing according to ATS/ERS guidelines.<sup>14,15</sup> Forced vital capacity (FVC), forced expiratory volume in 1 s (FEV1), residual volume to total lung capacity (RV/TLC), and diffusing capacity of the lung for carbon monoxide (DLco) were measured. Only values measured after bronchodilation are reported.

### Exacerbations

Acute exacerbations (AE) were defined as an increase in patient's dyspnea, cough, and/or sputum that led to prescription of antibiotics and/or corticosteroids. Patients were

annually asked about their number of AE and the number of AEs leading to hospitalization during the preceding year. Patient reports were compared to documents from the general practitioner, pulmonologist and hospital to receive most accurate information on AE. "Frequent exacerbators" were defined as patients with  $\geq 2$  AE per year.

### Daily Physical Activity (PA) and Exercise Capacity

Daily physical activity (PA) was measured by a multisensory activity monitor (SenseWear Pro™; Bodymedia Inc., Pittsburgh, PA, USA), valid to assess PA in COPD patients.<sup>16</sup> Physical activity level (PAL) as an objective measure of PA is defined as the total energy expenditure divided by the resting metabolic rate.<sup>17</sup> The monitor was worn on the upper left arm over the triceps muscle for 7 consecutive days once a year. Data were considered valid if the armband was worn at least for 4 days with a minimum of 22.5 hrs/day. Seasonality was considered in the analysis. Exercise capacity was measured by 6-mins walk distance (6MWD).<sup>18</sup>

### Comorbidities and Survival

Comorbidities were evaluated every year through review of the medical history, interviews and physical examination. The International Classification of Diseases - Tenth Revision (ICD-10)<sup>19</sup> was used for classifying comorbidities. Completely resolved conditions were excluded from the report. For this sub-study, only diseases associated with increased cardiovascular risk were analyzed. Follow-up time reached either from the date of baseline visit to time of death, or from baseline visit to the final study end in summer 2016. Furthermore, to assess survival time we either called the patients, their next relatives, or the general practitioner to receive current information on survival and comorbidities in spring 2019.

### Blood Analysis and Blood Gas Analysis

Measurement of high-sensitivity C-reactive protein (hsCRP), cholesterol, low-density lipoprotein (LDL), high-density lipoprotein (HDL), and triglyceride (TG) was performed from fresh blood samples.

Arterial blood gas analysis was used to measure the partial pressure of oxygen (PaO<sub>2</sub>) and carbon dioxide (PaCO<sub>2</sub>). Analysis was performed with ABL 700 series blood gas analyzer (Radiometer Copenhagen).

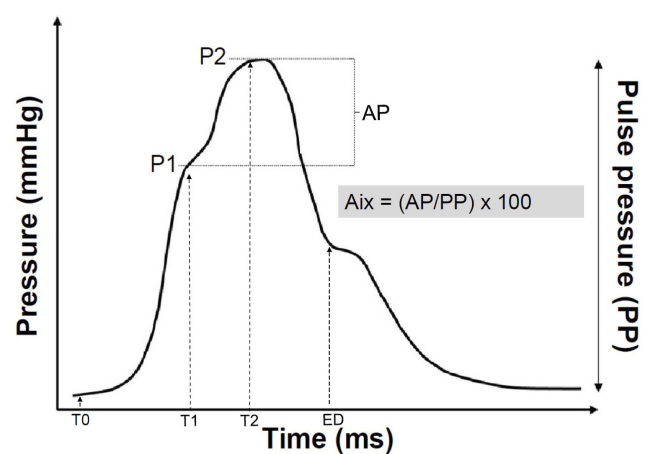
### Pulse Wave Analysis

Subjects had to rest 10 mins in supine position before pulse wave analysis. Subsequently, radial artery pulse waveforms

were recorded using the SphygmoCor System (AtCor Medical, Sydney, NSW, Australia). Approximately 10 radial pulse waves were measured to generate a corresponding central aortic pressure waveform using a validated mathematical transfer function.<sup>20</sup> As shown in Figure 1, the inflection point of the aortic pressure waveform corresponds to the onset of the reflected wave returning from peripheral arteries and divides the aortic pressure wave into an early and late systolic peak. This inflection point can be determined with an algorithm. The augmentation index (AIx) quantifies the augmentation of central aortic pressure, hence representing a measure of peripheral arterial wave reflection. AIx can be calculated as the difference between the second (P2) and the first systolic peak pressure (P1) and is expressed as the percentage of central pulse pressure (PP):  $AIx (\%) = [(P2 - P1)/PP] \times 100$ . Since AIx is influenced by heart rate,<sup>21,22</sup> the index is adjusted to a heart rate of 75 bpm. SphygmoCor Px software adjusts the AIx at an inverse rate of 4.8% for each 10bpm increment. To ensure a high measurement quality, only measurements with an operator index of 70 and above were accepted.

### Data Analysis and Statistics

All results are shown as mean values (standard deviation (SD)) or median (25%/75% quartiles) unless otherwise stated. Statistical analysis was performed with STATA 15.1 (StataCorp, Texas, USA).



**Figure 1** Schematic presentation of the pulse wave curve measured at the A. radialis. After the foot of the pulse (T<sub>0</sub>), indicating the onset of ejection, the pressure wave rises to an initial peak where it forms a shoulder (P<sub>1</sub>). This is the peak of the primary left ventricular ejection pressure. The second shoulder (P<sub>2</sub>) represents the peak of the arterial reflection wave. The difference between P<sub>2</sub> and P<sub>1</sub> is called augmentation pressure (AP). The end of ejection (ED) is the point of the closure of the aortic valve and time of the end of systole. The augmentation index (AIx) is calculated as the difference between the second (P<sub>2</sub>) and first (P<sub>1</sub>) systolic peak pressure and is expressed as a percentage of the central PP:  $AIx (\%) = [(P2 - P1)/PP] \times 100$ .

To analyse the cross-sectional association between AIx at baseline and baseline characteristics, univariate linear regression models were used. To investigate the change of AIx over time and the associations between the change in AIx (dependent variable) and the yearly assessed possible predictors such as BP, lung function, PA, and comorbidities and their annual changes, univariate mixed effect models were used. Furthermore, the independent association between AIx and possible predictors was analysed using a multivariate mixed effect regression model involving variables that showed a univariate regression p-value of  $<0.05$ . Residual analysis of the model was performed to check the regression assumptions. A two-sided p-value of  $<0.05$  was considered statistically significant.

## Results

### Study Participants

Of the 219 patients screened for eligibility in the University Hospital Zurich between 2010 and 2016, 158 patients attended the baseline visit. Data from 76 COPD patients with at least two visits and available AIx measurement were entered for this sub-study (Figure 2). The mean (SD) age at baseline was 62.4 (7.1) years, 67% were male, and 21% of the COPD patients were current smokers. The detailed patient characteristics are shown in Table 1. Mean (min/max) follow-up time was 2.1 (0.53/6.84) years with annual measurements. During the follow-up period, 48 patients were analysed at the second follow-up, 27 at the third follow-up and 15 at the fourth follow-up. Through the observation period, 18 of the patients died, 20 patients dropped out due to worsening health status prohibiting physical presence, and 10 patients underwent lung transplantation. Around one-fifth of patients refused/withdrew their will to participate for the first or second follow-up visit. The baseline AIx did not differ between patients who underwent follow-up and patients who did not ( $p=0.395$ ).

### Arterial Stiffness and Possible Predictors at Baseline

At baseline, mean (SD) AIx was 27.1 (8.9) %. Male patients showed a significantly lower AIx than female patients (Coef.  $-5.23$ ,  $p=0.015$ ). A higher BP was associated with an elevated AIx (systolic BP: Coef.  $0.14$ ,  $p=0.019$ ; diastolic BP: Coef.  $0.27$ ,  $p=0.09$ ). COPD severity expressed by FEV1 % pred. and PaO<sub>2</sub> was significantly associated with a higher AIx (Coef.  $-0.11$ ,  $p=0.019$  and  $-1.35$ ,  $p=0.029$ , respectively). Although missing statistical significance, patients who showed a longer survival time tend to have a lower AIx compared to patients

who died earlier (Coef.  $-0.09$ ,  $p=0.081$ ). Further analysed, possible predictors are shown in Table 2.

### Change in Arterial Stiffness Over Time

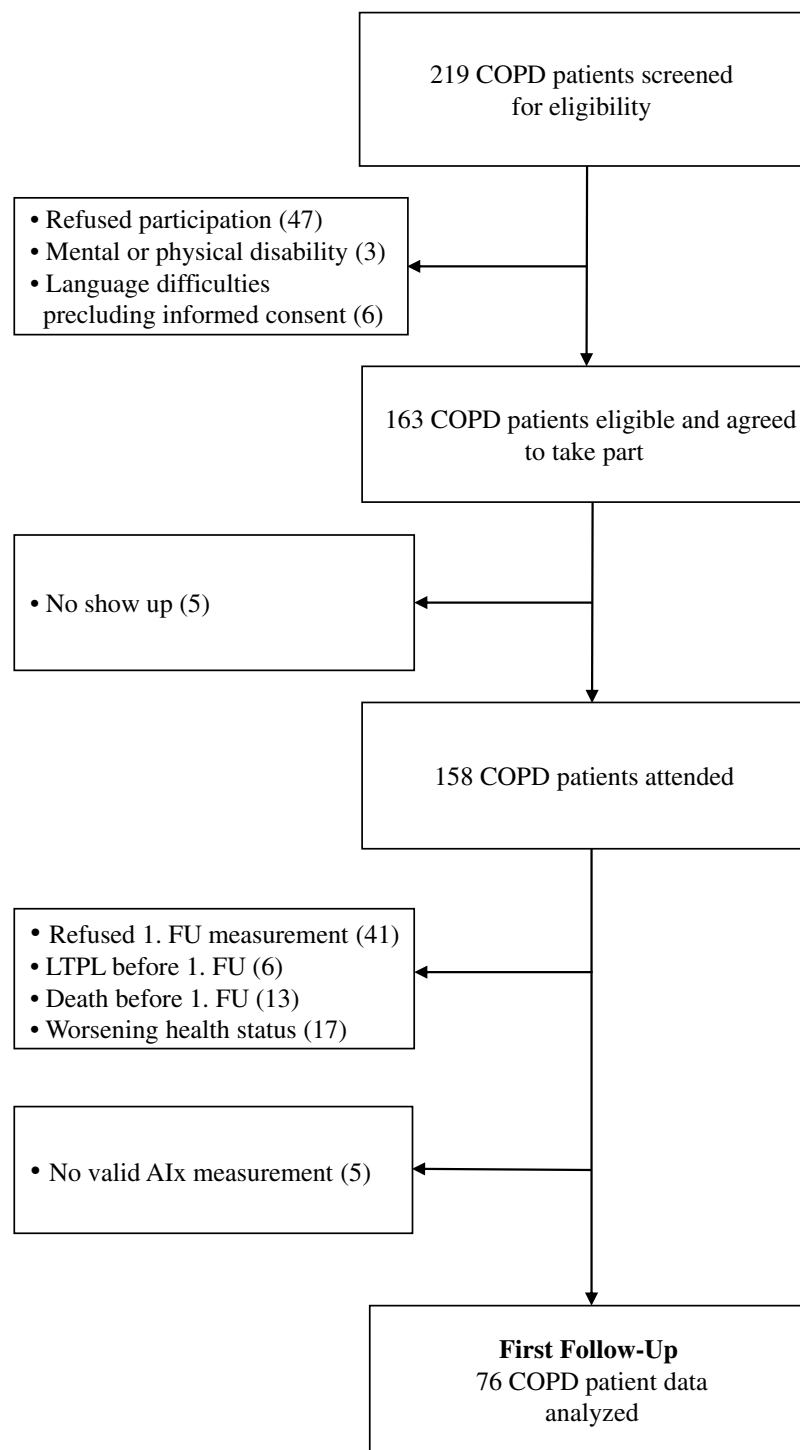
AIx showed a significant mean (95% CI) annual increase of 0.83 (0.28/1.38) %,  $p=0.003$ , even when adjusted for baseline AIx (Coef. (95% CI)  $0.91$  (0.21/1.60) %,  $p=0.011$ ) (Table 3). The annual increase of AIx was independent of the aging of the cohort over time (Coef (95% CI)  $0.74$  (0.14/1.34),  $p=0.015$ ).

### Potential Predictors of Arterial Stiffness Increase Over Time

In the univariate mixed effect model, the amount of annual increase of AIx was positively associated with the change of RV/TLC (Coef.  $0.05$ ,  $p=0.034$ ) and the change of DLco (Coef  $-0.06$ ,  $p=0.036$ ) (Figure 3). On the other hand, changes in FEV1% pred. and blood gases had no effect on the course of AIx. High LDL (Coef.  $0.61$ ,  $p=0.038$ ) and the intake of statins (Coef.  $1.49$ ,  $p=0.039$ ) were positively associated with an increasing AIx over time. In addition, high hsCRP levels, but not the annual change in hsCRP, were positively associated with the AIx course (Coef.  $0.07$ ,  $p=0.017$ ) (Figure 4). A history of coronary artery disease revealed a significant positive association with the annual increase of AIx (Coef.  $2.04$ ,  $p=0.041$ ). In contrast, the course of exacerbations, smoking status, inhalation therapy, and blood pressure did not show any association with the annual increment of AIx. All results are presented in Tables 4 and 5. The multivariate effect model confirmed an independent, positive association of LDL (Coef.  $1.37$ ,  $p=0.003$ ) and hsCRP (Coef.  $0.07$ ,  $p=0.033$ ) with an increased evolution of AIx (Table 6). In addition, the AIx course was independently and negatively associated with the annual change in DLco (Coef  $-0.10$ ,  $p<0.001$ ). A shorter survival time was not associated with a greater increase in AIx over time. Due to the high correlation between LDL and statin intake, as well as between DLco and RV/TLC, statin intake and RV/TCL were removed from the final multivariate model.

## Discussion

This study investigated the longitudinal course of arterial stiffness and its possible predictors in a well-characterized cohort of patients with mild to very severe COPD. We found an annual increase of AIx indicating progressive rigidity of the arterial wall in COPD patients over time. Decreasing DLco, a surrogate marker of emphysema, as



**Figure 2** Study flow chart.

**Abbreviations:** AIx, augmentation index; FU, follow-up; LTPL, lung transplantation.

well as increasing systemic inflammation and dyslipidaemia enhanced the increasing evolution of arterial stiffness over time. Our results add novel information to the field of cardiovascular risk in patients with COPD. By assessing potential predictors on the course of arterial stiffness, these

data provide additional information useful for predicting the cardiovascular disease course of COPD patients in clinical practice.

The mean (SD) AIx ( $27.1 \pm 8.9\%$ ) in our cohort was comparable to former studies assessing arterial stiffness in

**Table 1** Patient Characteristics

<b>N=76</b>	
Age, years	62.4 (7.1)
Male/Female	51/25
BMI, kg/m <sup>2</sup>	25.1 (22.5/27.7)
Current smoker, N (%)	16 (21)
Pack years of smoking, N	40.0 (29.0/58.5)
Systolic blood pressure, mmHg	131.4 (16.9)
Diastolic blood pressure, mmHg	82.1 (9.9)
FVC, % pred.	81.1 (18.3)
FEV1, % pred.	36.0 (27.5/65.0)
RV/TLC, %	54.2 (12.2)
DLco, % pred.	44.0 (35.0/61.0)
PaO <sub>2</sub> , kPa	9.2 (1.7)
PaCO <sub>2</sub> , kPa	5.0 (4.5/5.4)
HCO <sub>3</sub> <sup>-</sup> , mmol/l	24.9 (24.0/26.2)
hsCRP, mg/l	2.0 (1.0/4.5)
HbA1c, %	5.8 (5.5/6.2)
Antihypertensivum, N (%)	38 (50)
Statins, N (%)	22 (29)
LABA+LAMA+ICS, N (%)	171 (54.63)
Arterial hypertension, N (%)	33 (43)
CAD, N (%)	9 (12)
Diabetes, N (%)	12 (16)
Frequent exacerbators, N (%)	25 (33)
PAL	1.4 (1.3/1.5)
Survival time, months	78 (63/94)
Aortic Augmentation Index, %	27.1 (8.9)
Aortic Augmentation Index, % (women)	30.6 (10.4)
Aortic Augmentation Index, % (men)	25.3 (7.5)

**Note:** Values are represented as mean (SD) or median (quartiles) unless otherwise stated.

**Abbreviations:** BMI, body mass index; FEV1, forced expiratory volume in 1 s; FVC, forced volume capacity; RV, residual volume; TLC, total lung capacity; DLco, diffusion capacity; PaO<sub>2</sub>, partial pressure of oxygen in arterial blood; PaCO<sub>2</sub>, partial pressure of carbon dioxide in arterial blood; LABA, Long-acting beta-agonist; LAMA, Long-acting muscarinic antagonist; ICS, inhaled corticosteroids; CAD, coronary artery disease; LDL, low-density lipoprotein; HDL, high-density lipoprotein; hsCRP, high-sensitivity c-reactive protein; PAL, physical activity level.

COPD patients with similar age, gender ratio and BMI.<sup>23</sup> To our knowledge, there are no longitudinal data available describing the course of arterial stiffness measured by AIx, neither in COPD patients nor in healthy individuals. Nevertheless, compared to the suspected annual AIx course in the general population obtained from cross-sectional, unselected population-based studies, our study revealed an elevated annual AIx increase in COPD patients (0.26–0.38%/year vs 0.91%/year).<sup>24</sup> Furthermore, the increase in AIx was independent of aging in our cohort over time. The recently published ARCADE study assessed arterial stiffness measured by PWV in COPD patients and comparators (current or past smokers, FEV1

**Table 2** Univariate Regression Analysis of Possible Baseline Characteristics as Predictors for Baseline Aortic Augmentation Index

<b>N=76</b>	<b>Coef.</b>	<b>95% CI</b>	<b>p-value</b>
Age	0.09	-0.19/0.38	0.517
Male	-5.23	-9.39/-1.06	0.015*
Height, cm	-0.40	-0.63/-0.17	0.001*
Weight, kg	-0.22	-0.30/-0.13	<0.001*
BMI, kg/m <sup>2</sup>	-0.59	-0.87/-0.30	<0.001*
Current smoker	-1.49	-6.48/3.50	0.553
Systolic blood pressure, mmHg	0.14	0.02/0.26	0.019*
Diastolic blood pressure, mmHg	0.27	0.07/0.47	0.009*
FEV1, % pred.	-0.11	-0.20/-0.02	0.019*
PaO <sub>2</sub> , kPa	-1.35	-2.55/-0.14	0.029*
PaCO <sub>2</sub> , kPa	1.71	-1.33/4.76	0.265
Number of exacerbations, N	-0.20	-1.42/1.02	0.743
Arterial hypertension	-2.07	-6.16/2.01	0.315
CRP, mg/l	-0.01	-0.21/0.18	0.903
Survival time, months	-0.09	-0.19/0.01	0.081
PAL	-9.38	-19.46/0.70	0.068
6MWD, m	-0.01	-0.02/0.01	0.357

**Note:** \*p<0.05.

**Abbreviations:** BMI, body mass index; FEV1, forced expiratory volume in 1 s; RV, residual volume; TLC, total lung capacity; DLco, diffusion capacity; PaO<sub>2</sub>, partial pressure of oxygen in arterial blood; PaCO<sub>2</sub>, partial pressure of carbon dioxide in arterial blood; CAD, coronary artery disease; PaO<sub>2</sub>, partial pressure of oxygen in arterial blood; PaCO<sub>2</sub>, partial pressure of carbon dioxide in arterial blood; CRP, c-reactive protein; PAL, physical activity level; 6-MWD, 6-mins walk distance.

**Table 3** Univariate Mixed Effect Model of Annual Change in AIx

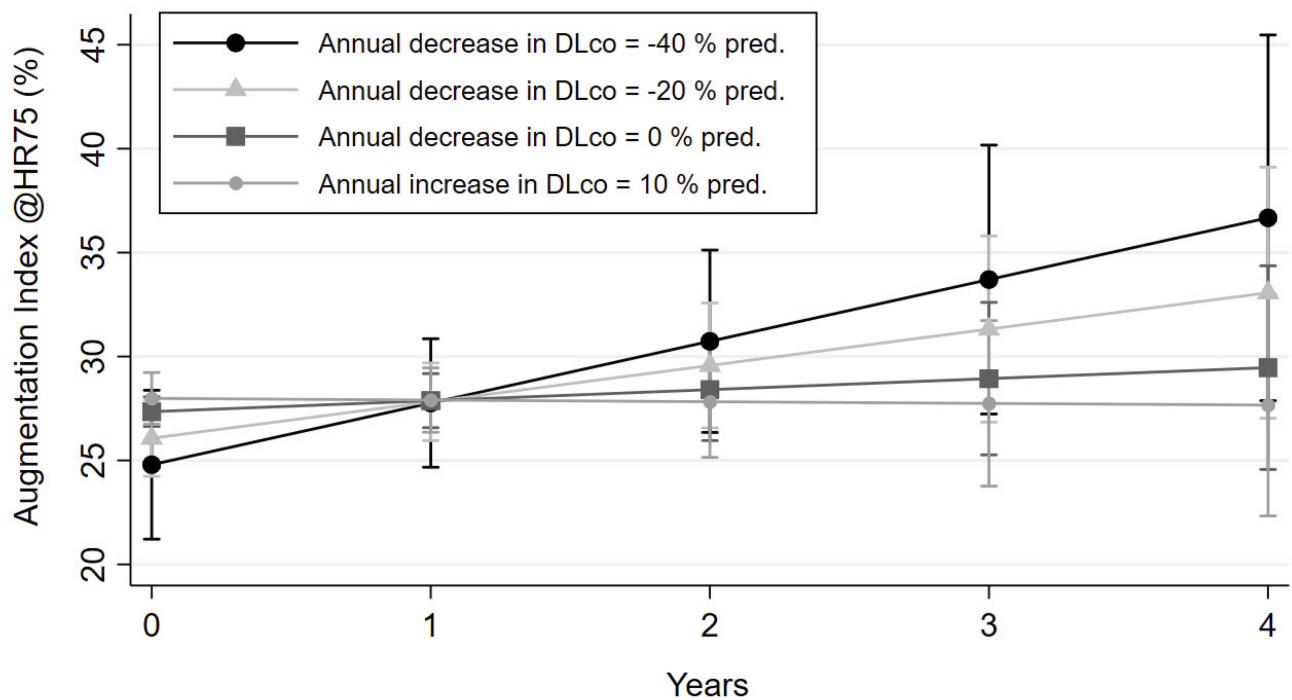
	<b>Coef.</b>	<b>95% CI</b>	<b>p-value</b>
Aortic AIx, (%)	0.83	0.28/1.38	0.003*
Aortic AIx adj. for Baseline, (%)	0.91	0.21/1.60	0.011*

**Note:** \*p-value<0.05.

**Abbreviation:** AIx, augmentation index.

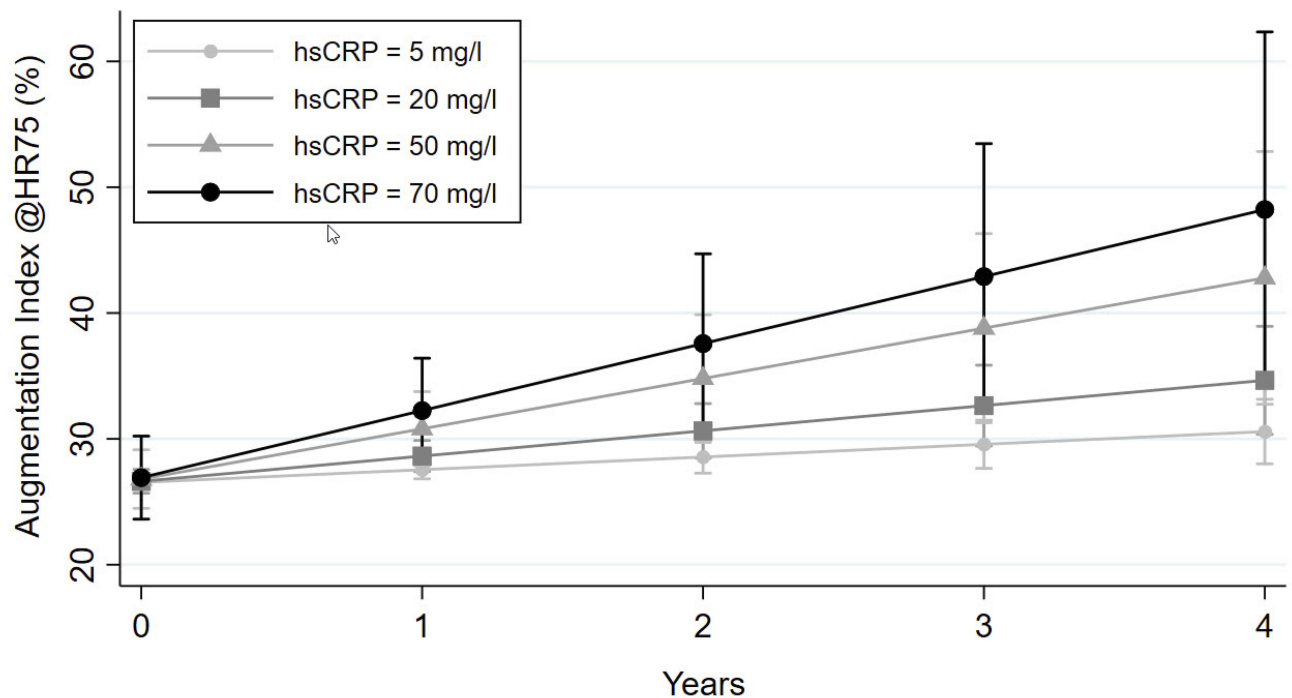
FVC>70%) over 2 years. They found similar increase in the rates of PWV in both groups. However, this study was limited by differences in smoking status, overt cardiovascular disease, and dropout rates between the two groups. In addition, arterial stiffness measured by AIx and PWV are not directly comparable or interchangeable.

Possible mechanisms leading to increased arterial stiffness like aging, elevated BP, dyslipidaemia, and chronic inflammation have been extensively reviewed in general cardiovascular literature.<sup>25–27</sup> In COPD, arterial stiffness has been independently associated with impairment of lung function, hypoxia, systemic inflammation, and increased sympathetic tone; factors that could contribute to the increased cardiovascular risk in COPD patients.<sup>8,11</sup> Further, the severity of emphysema measured by computed tomography scans has been described



**Figure 3** The figure shows the estimation of the course of Alx over time according to the influence of change in DLco % pred based on the unadjusted regression model. The mean (CI) Alx at baseline (0) and follow-up visits (1–4) is estimated for a yearly change in DLco % pred. of –40, –20, 0, and 10.

**Abbreviation:** DLco, diffusion capacity.



**Figure 4** The figure demonstrates the estimated course of Alx over time dependent on hsCRP values based on the unadjusted regression model. The mean (CI) Alx at baseline (0) and yearly follow-up (1–4) is estimated for hsCRP values of 5, 20, 50 and 70 mg/l.

**Abbreviations:** Alx, augmentation index; hsCRP, high-sensitivity C-reactive protein.

to be independently associated with arterial stiffness in COPD patients in cross-sectional studies.<sup>11,28,29</sup> Our data support the idea of a pathomechanical link between the severity of

emphysema and increased arterial stiffness by showing an independently positive association between change in DLco and the course of Alx in COPD patients. Our study does not

**Table 4** Univariate Mixed Effect Model of Possible Predictors for Annual Change in Alx, Adjusted for Alx at Baseline

N=76	Coef.	95% CI	p-value
Age, years	-0.05	-0.13/0.03	0.215
Male	0.58	-0.78/1.94	0.402
Height, cm	0.04	-0.04/0.11	0.368
Weight, kg	0.02	-0.01/0.05	0.168
BMI, kg/m <sup>2</sup>	0.06	-0.04/0.15	0.247
Current smoker	0.99	-0.62/2.560	0.227
Pack years of smoking, N	0.00	-0.02/0.03	0.960
Systolic blood pressure, mmHg	-0.01	-0.04/0.25	0.690
Diastolic blood pressure, mmHg	-0.02	-0.07/0.02	0.264
FEV1, % pred.	0.00	-0.02/0.03	0.849
RV/TLC, %	-0.03	-0.07/0.01	0.201
DLco, % pred.	0.02	-0.01/0.04	0.163
PaO <sub>2</sub> , kPa	0.02	-0.35/0.40	0.907
PaCO <sub>2</sub> , kPa	0.24	-0.51/1.00	0.530
Number of exacerbations, N	-0.04	-0.46/0.38	0.850
Antihypertensivum, N	0.40	-0.89/1.68	0.545
LABA+LAMA+ICS, N	0.23	-0.51/0.98	0.537
Statin, N	1.49	0.08/2.90	0.039*
Arterial hypertension, N	0.52	-0.80/1.84	0.443
CAD, N	2.04	0.09/4.00	0.041*
Diabetes, N	1.43	-0.34/3.20	0.114
hsCRP, mg/l	0.07	0.01/0.12	0.017*
LDL, mmol/l	0.61	0.03/1.18	0.038*
HDL, mmol/l	-0.75	-1.66/0.15	0.104
Cholesterol total, mmol/l	0.20	-0.28/0.68	0.408
Triglyceride, mmol/l	-0.07	-0.55/0.41	0.769
Survival time, months	0.02	-0.02/0.05	0.269
PAL	0.70	-1.57/2.96	0.548
6MWD, m	0.00	-0.00/0.01	0.537

Note: \*p<0.05.

**Abbreviations:** BMI, body mass index; FEV1, forced expiratory volume in 1 s; FVC, forced volume capacity; RV, residual volume; TLC, total lung capacity; DLco, diffusion capacity; PaO<sub>2</sub>, partial pressure of oxygen in arterial blood; PaCO<sub>2</sub>, partial pressure of carbon dioxide in arterial blood; LABA, Long-acting beta-agonist; LAMA, Long-acting muscarinic antagonist; ICS, inhaled corticosteroids; CAD, coronary artery disease; LDL, low-density lipoprotein; HDL, high-density lipoprotein; hsCRP, high-sensitivity c-reactive protein; PAL, physical activity level; 6-MWD, 6-min walk distance.

provide annual CT-scans to describe the course of emphysema. The main reason was the protection of the study participants from unnecessary radiation. Nevertheless, DLco is a surrogate marker of emphysema in COPD is shown to represent the severity of tissue destruction in the process of emphysema development.<sup>30,31</sup> Although the exact pathophysiological mechanisms are not yet completely understood, recent findings suggest that increased systemic elastin degradation by matrix metalloproteinases like MMP-9 may lead to both formation of emphysema and arterial stiffness, depending on the grade of genetic predisposition.<sup>11,32</sup> Maclay et al<sup>32</sup> found increased skin elastin degradation in COPD patients

**Table 5** Univariate Mixed Effect Model of Annual Change in Possible Predictors for Annual Change in Alx, Adjusted for Alx at Baseline

N=76	Coef.	95% CI	p-value
Change in systolic blood pressure, mmHg	-0.02	-0.05/0.01	0.293
Change diastolic blood pressure, mmHg	-0.02	-0.06/0.02	0.373
Change in FEV1, % pred.	-0.04	-0.11/0.03	0.297
Change in RV/TLC, %	0.05	0.00/0.10	0.034*
Change in DLco, % pred.	-0.06	-0.12/-0.00	0.036*
Change in PaO <sub>2</sub> , kPa	0.08	-0.30/0.47	0.664
Change in PaCO <sub>2</sub> , kPa	0.73	-0.33/1.79	0.179
Change in hsCRP, mg/l	-0.02	-0.07/0.03	0.347
Change in LDL, mmol/l	-0.68	-1.36/0.01	0.053
Change in HDL, mmol/l	-0.46	-2.36/1.44	0.634
Change in Cholesterol total, mmol/l	-0.42	-1.09/0.24	0.208
Change in Triglyceride, mmol/l	0.23	-0.42/0.88	0.484
Change in PAL, mmol/l	0.63	-2.18/3.44	0.660

Note: \*p<0.05.

**Abbreviations:** BMI, body mass index; FEV1, forced expiratory volume in 1 s; FVC, forced volume capacity; RV, residual volume; TLC, total lung capacity; DLco, diffusion capacity; PaO<sub>2</sub>, partial pressure of oxygen in arterial blood; PaCO<sub>2</sub>, partial pressure of carbon dioxide in arterial blood; CAD, coronary artery disease; LDL, low-density lipoprotein; HDL, high-density lipoprotein; hsCRP, high-sensitivity c-reactive protein; PAL, physical activity level; 6-MWD, 6-mins walk distance.

**Table 6** Multivariate Mixed Effect Model of Annual Change in Alx, Adjusted for Alx at Baseline

	Coef.	95% CI	p-value
Change in DLco, % pred	-0.10	-0.16/-0.04	<0.001*
LDL, mmol/l	1.37	0.48/2.26	0.003*
hsCRP mg/l	0.07	0.01/0.13	0.033*
CAD	3.43	-0.28/7.13	0.070

Notes: \*p-value<0.05.

**Abbreviations:** DLco, diffusion capacity; LDL, low-density lipoprotein; hsCRP, high-sensitivity c-reactive protein; CAD, coronary artery disease.

when compared to age and smoking matched healthy controls. Noteworthy, the upregulated skin elastolysis was associated with the grade of emphysema and arterial stiffness. In addition, the inhibition of matrix metalloproteinases in animal models reduced vascular calcium deposition; a process that reflects the vascular elastin degradation.<sup>33</sup> Smoke exposure plays a key role in the proteolytic attack against lung tissue, inter alia, through upregulating MMP in the setting of smoke-induced inflammation.<sup>34</sup> Regarding the increase of arterial stiffness over time, it seems to be crucial to prevent the progression of emphysema in COPD patients in clinical practice.

Several cross-sectional studies reported an independent relationship between the severity of airflow limitation measured as FEV1 and arterial stiffness in COPD.<sup>11,23,35</sup> Sabit et al<sup>8</sup> found an inversed relationship between FEV1 and arterial stiffness in 75 clinically stable COPD patients. Even though we



could reproduce this observation at baseline, the course of arterial stiffness was not predicted by FEV1 or the annual change in FEV1 in the longitudinal setting. The same observation was made for PaO<sub>2</sub>. Cinarka et al<sup>36</sup> conducted a prospective, observational study reporting increased arterial stiffness in patients with severe COPD and hypoxic states. In our study, even if low PaO<sub>2</sub> was negatively associated with AIx at baseline, the significance of this observation got lost looking at the course of AIx over time. Interestingly, these two conditions could have played a central role in the pathomechanical connection between emphysema and arterial stiffness. A possible explanation could be found in the different study designs. The cross-sectional nature of the studies mentioned above could not deliver determinants, indicating the direction and time-dependent relationships of obstructive lung function assessments and arterial stiffness. In addition, cross-sectional associations are at higher risk to be influenced by unknown confounders.

Arterial stiffness has been suggested as one pathophysiologic element linking chronic systemic inflammation and increased cardiovascular risk.<sup>37</sup> Several human and animal-based studies have shed light on this complex process on a molecular pathophysiological level. CRP, interleukine-6 (IL-6) and endothelin-1 production can alter NO synthesis, which, in turn, could lead to endothelial dysfunction. In addition, increased IL-6 and TNF- $\alpha$  could increase the expression of adhesion molecules on activated endothelial cells and facilitate the formation of atheromatous plaques.<sup>38,39</sup> In this study, hsCRP was independently associated with the evolution of arterial stiffness over time supporting the hypothesis that systemic inflammation could be an important determinant in the development of increased arterial stiffness and further cardiovascular risk in COPD patients. Although dyslipidaemia is a well-known, independent risk factor for cardiovascular disease, the relationship between serum lipids and arterial stiffness is still under debate. Wang et al<sup>40</sup> conducted a population-based, cross-sectional study with 2375 participants investigating the relationship between serum lipids and PWV. They found LDL to be independently positive and HDL independently inversely associated with arterial stiffness. Our data confirmed these findings in a longitudinal setting, even though the association between HDL and AIx course did not quite reach statistical significance. The intake of statins showed a high correlation to elevated LDL values and was therefore not analysed in our final statistical model. Nevertheless, these findings underline the crucial need to check for dyslipidaemia to initiate aggressive lipid-lowering therapy in COPD patients. Several previous studies determined arterial stiffness not only

as an independent predictor of cardiovascular events but also of all-cause mortality.<sup>6</sup> In this study, shorter survival time was not associated with a steeper rise of AIx over time. Nevertheless, the negative association between survival time and AIx at baseline with barely missed statistical significance ( $p=0.081$ ) could suggest that the period of longitudinal observation might be too short to show an association between survival time and course of AIx. Some classical risk factors like BP, smoking status, and PA showed no association to the course of arterial stiffness, even though these conditions had been described as significantly influencing arterial stiffness. However, the postulated link between these factors and arterial stiffness in COPD is driven by cross-sectional data. Mills et al<sup>41</sup> assessed arterial stiffness in 102 COPD patients and 103 healthy controls matched for age and smoking status. The cross-sectional analysis revealed increased arterial stiffness in COPD patients which was positively associated with diastolic and systolic BP. We could confirm this association through analysing arterial stiffness and BP cross-sectional at baseline. Nevertheless, no statistically significant association was detectable between BP, its changes, and the course of AIx in the longitudinal analysis, thus leading to the conclusion that elevated BP might only play a minor role in the development of arterial stiffness in COPD. In contrast to former cross-sectional studies,<sup>7</sup> increased PA or exercise capacity had no impact on the increase of AIx over time.

## Limitations

There are some limitations to our study. A recent review about aging and arterial stiffness pointed out, that changes of arterial stiffness measured by AIx are more prominent in younger patients, whereas the changes in aortic PWV are more marked in patients older than 50 years.<sup>25</sup> As a result, the increasing arterial stiffness over time might still be underestimated in our cohort. Furthermore, since several patients with very severe COPD were unable to follow our invitations due to worsening health status, the influence of airflow limitation on the course of arterial stiffness could be underestimated; despite the AIx at baseline being no different. In addition, the protocol did not provide the formation and investigation of a control group. Therefore, we could not directly compare the natural increase in AIx with the course of AIx in COPD patients. Nonetheless, little information is available on patterns and determinants of longitudinal change in arterial stiffness in COPD.

## Conclusion

To our knowledge, this is the first longitudinal study illustrating the course of arterial stiffness in COPD measured by AIx

over time. Our data clearly demonstrated an annual increase of arterial stiffness in patients with COPD, which was independently associated with the course of CO diffusion capacity, serum lipids, and inflammation. Further studies are needed to elucidate the complex interactions between these factors in order to understand their clinical implication.

## Abbreviations

AE, acute exacerbation; Aix, Augmentation index; BMI, body mass index; CI, confidence interval; Coef., coefficient; COPD, chronic obstructive pulmonary disease; hsCRP, high-sensitivity c-reactive protein; DLco, diffusing capacity of the lung for carbon monoxide; GOLD, global initiative for chronic obstructive lung disease; FEV1, forced expiratory volume in 1 s; FVC, forced vital capacity; HDL, high-density lipoprotein; HR, hazard ratio; IQR, interquartile range; LDL, low-density lipoprotein; MMP, matrix metalloproteinases; mMRC, modified British Medical Research Council; PA, physical activity; PAL, physical activity level; PWV, pulse-wave velocity; RV/TLC, residual volume to total lung capacity; SD, standard deviation; TG, triglyceride; TOPDOCS, The Obstructive Pulmonary Disease Outcomes Cohort Study; 6MWD, 6-mins walk distance.

## Data Sharing Statement

The datasets used and/or analysed during the current study are available from the corresponding author on reasonable request.

## Acknowledgment

This study was supported by Lunge Zurich.

## Author Contributions

All authors made substantial contributions to conception and design, acquisition of data, or analysis and interpretation of data; took part in drafting the article or revising it critically for important intellectual content; gave final approval of the version to be published; and agree to be accountable for all aspects of the work.

## Disclosure

Prof. Dr. Malcolm Kohler reports grants and personal fees from Bayer, personal fees from Novartis, personal fees from Boehringer, personal fees from GSK, personal fees from Astra Zeneca, grants from Roche, personal fees from CSL Behring, and personal fees from Mundipharma, during the conduct of

the study. The authors report no other conflicts of interest in this work.

## References

- Berry CE, Wise RA. Mortality in COPD: causes, risk factors, and prevention. *COPD*. 2010;7:375–382. doi:10.3109/15412555.2010.510160
- Stone IS, Barnes NC, Petersen SE. Chronic obstructive pulmonary disease: a modifiable risk factor for cardiovascular disease? *Heart*. 2012;98:1055–1062.
- Chen W, Thomas J, Sadatsafavi M, et al. Risk of cardiovascular comorbidity in patients with chronic obstructive pulmonary disease: a systematic review and meta-analysis. *Lancet Respir Med*. 2015;3:631–639. doi:10.1016/S2213-2600(15)00241-6
- Lee HM, Lee J, Lee K, et al. Relation between COPD severity and global cardiovascular risk in US adults. *Chest*. 2012;142:1118–1125. doi:10.1378/chest.11-2421
- Vivodtzev I, Tamisier R, Baguet JP, et al. Arterial stiffness in COPD. *Chest*. 2014;145:861–875. doi:10.1378/chest.13-1809
- Vlachopoulos C, Aznaouridis K, Stefanadis C. Prediction of cardiovascular events and all-cause mortality with arterial stiffness: a systematic review and meta-analysis. *J Am Coll Cardiol*. 2010;55:1318–1327. doi:10.1016/j.jacc.2009.10.061
- Sievi NA, Franzen D, Kohler M, et al. Physical inactivity and arterial stiffness in COPD. *Int J Chron Obstruct Pulmon Dis*. 2015;10:1891–1897. doi:10.2147/COPD.S90943
- Sabit R, Bolton CE, Edwards PH, et al. Arterial stiffness and osteoporosis in chronic obstructive pulmonary disease. *Am J Respir Crit Care Med*. 2007;175:1259–1265. doi:10.1164/rccm.200701-067OC
- Gale NS, Albarrati AM, Munnery MM, et al. Aortic pulse wave velocity as a measure of cardiovascular risk in chronic obstructive pulmonary disease: two-year follow-up data from the ARCADE study. *Medicina (Kaunas)*. 2019;55:89. doi:10.3390/medicina55040089
- Sarkar MJER. Chronic obstructive pulmonary disease and arterial stiffness. *EMJ Respir*. 2016;4:114–121.
- McAllister DA, Maclay JD, Mills NL, et al. Arterial stiffness is independently associated with emphysema severity in patients with chronic obstructive pulmonary disease. *Am J Respir Crit Care Med*. 2007;176:1208–1214. doi:10.1164/rccm.200707-1080OC
- Vanfleteren LE, Spruit MA, Groenen MT, et al. Arterial stiffness in patients with COPD: the role of systemic inflammation and the effects of pulmonary rehabilitation. *Eur Respir J*. 2014;43:1306–1315. doi:10.1183/09031936.00169313
- Rabe KF, Hurd S, Anzueto A, et al. Global strategy for the diagnosis, management, and prevention of chronic obstructive pulmonary disease - GOLD executive summary. *Am J Respir Crit Care Med*. 2007;176:532–555. doi:10.1164/rccm.200703-456SO
- Miller MR, Hankinson J, Brusasco V, et al. Standardisation of spirometry. *Eur Respir J*. 2005;26:319–338. doi:10.1183/09031936.05.00034805
- Macintyre N, Crapo RO, Viegi G, et al. Standardisation of the single-breath determination of carbon monoxide uptake in the lung. *Eur Respir J*. 2005;26:720–735. doi:10.1183/09031936.05.00034905
- Van Remoortel H, Raste Y, Louvaris Z, et al. Validity of six activity monitors in chronic obstructive pulmonary disease: a comparison with indirect calorimetry. *PLoS One*. 2012;7:e39198. doi:10.1371/journal.pone.0039198
- Watz H, Waschki B, Meyer T, et al. Physical activity in patients with COPD. *Eur Respir J*. 2009;33:262. doi:10.1183/09031936.00024608
- Holland AE, Spruit MA, Troosters T, et al. An official European Respiratory Society/American Thoracic Society technical standard: field walking tests in chronic respiratory disease. *Eur Respir J*. 2014;44:1428–1446. doi:10.1183/09031936.00150314

19. WHO. International Classification of Diseases (ICD). 2013. Available from: <http://www.who.int/classifications/icd/en/>. Accessed September 21, 2013.
20. Pauca Alfredo L, O'Rourke Michael F, Kon Neal D. Prospective evaluation of a method for estimating ascending aortic pressure from the radial artery pressure waveform. *Hypertension*. 2001;38:932–937. doi:10.1161/hy1001.096106
21. Wilkinson IB, MacCallum H, Flint L, et al. The influence of heart rate on augmentation index and central arterial pressure in humans. *J Physiol*. 2000;525(Pt 1):263–270. doi:10.1111/j.1469-7793.2000.t01-1-00263.x
22. Wilkinson IB, Mohammad NH, Tyrrell S, et al. Heart rate dependency of pulse pressure amplification and arterial stiffness. *Am J Hypertens*. 2002;15:24–30. doi:10.1016/S0895-7061(01)02252-X
23. Albu A, Fodor D, Bondor C, et al. Carotid arterial stiffness in patients with chronic obstructive pulmonary disease. *Acta Physiol Hung*. 2011;98:117–127. doi:10.1556/APhysiol.98.2011.2.3
24. Janner JH, Godtfredsen NS, Ladelund S, et al. The association between aortic augmentation index and cardiovascular risk factors in a large unselected population. *J Hum Hypertens*. 2012;26:476–484. doi:10.1038/jhh.2011.59
25. Lee H-Y, Oh B-H. Aging and arterial stiffness. *Circ J*. 2010;74:2257–2262. doi:10.1253/circj.CJ-10-0910
26. Wu C-F, Liu P-Y, Wu T-J, et al. Therapeutic modification of arterial stiffness: an update and comprehensive review. *World J Cardiol*. 2015;7:742–753. doi:10.4330/wjc.v7.i11.742
27. Ziemann SJ, Melenovsky V, Kass DA. Mechanisms, pathophysiology, and therapy of arterial stiffness. *Arterioscler Thromb Vasc Biol*. 2005;25:932–943. doi:10.1161/01.ATV.0000160548.78317.29
28. Barr RG, Ahmed FS, Carr JJ, et al. Subclinical atherosclerosis, air-flow obstruction and emphysema: the MESA lung study. *Eur Respir J*. 2012;39:846–854. doi:10.1183/09031936.00165410
29. Duckers JM, Shale DJ, Stockley RA, et al. Cardiovascular and musculoskeletal co-morbidities in patients with alpha 1 antitrypsin deficiency. *Respir Res*. 2010;11:173. doi:10.1186/1465-9921-11-173
30. Gould GA, Redpath AT, Ryan M, et al. Lung CT density correlates with measurements of airflow limitation and the diffusing capacity. *Eur Respir J*. 1991;4:141–146.
31. Scharf SM, Iqbal M, Keller C, et al. Hemodynamic characterization of patients with severe emphysema. *Am J Respir Crit Care Med*. 2002;166:314–322. doi:10.1164/rccm.2107027
32. Maclay JD, McAllister DA, Rabinovich R, et al. Systemic elastin degradation in chronic obstructive pulmonary disease. *Thorax*. 2012;67:606–612. doi:10.1136/thoraxjnl-2011-200949
33. Qin X, Corriere MA, Matrisian LM, et al. Matrix metalloproteinase inhibition attenuates aortic calcification. *Arterioscler Thromb Vasc Biol*. 2006;26:1510–1516. doi:10.1161/01.ATV.0000225807.76419.a7
34. Churg A, Zhou S, Wright JL. Matrix metalloproteinases in COPD. *Eur Res J*. 2012;39:197–209. doi:10.1183/09031936.00121611
35. Zureik M, Benetos A, Neukirch C, et al. Reduced pulmonary function is associated with central arterial stiffness in men. *Am J Respir Crit Care Med*. 2001;164:2181–2185. doi:10.1164/ajrcm.164.12.2107137
36. Cinarka H, Kayhan S, Gumus A, et al. Arterial stiffness measured via carotid femoral pulse wave velocity is associated with disease severity in COPD. *Respir Care*. 2014;59:274–280. doi:10.4187/respcare.02621
37. Dransfield MT, Cockcroft JR, Townsend RR, et al. Effect of fluticasone propionate/salmeterol on arterial stiffness in patients with COPD. *Respir Med*. 2011;105:1322–1330. doi:10.1016/j.rmed.2011.05.016
38. Cortez-Cooper M, Meaders E, Stallings J, et al. Soluble TNF and IL-6 receptors: indicators of vascular health in women without cardiovascular disease. *Vasc Med*. 2013;18:282–289. doi:10.1177/1358863X13508336
39. Hung MJ, Cherng WJ, Hung MY, et al. Interleukin-6 inhibits endothelial nitric oxide synthase activation and increases endothelial nitric oxide synthase binding to stabilized caveolin-1 in human vascular endothelial cells. *J Hypertens*. 2010;28:940–951. doi:10.1097/HJH.0b013e32833992ef
40. Wang F, Ye P, Luo L, et al. Association of serum lipids with arterial stiffness in a population-based study in Beijing. *Eur J Clin Invest*. 2011;41:929–936. doi:10.1111/eci.2011.41.issue-9
41. Mills NL, Miller JJ, Anand A, et al. Increased arterial stiffness in patients with chronic obstructive pulmonary disease: a mechanism for increased cardiovascular risk. *Thorax*. 2008;63:306. doi:10.1136/thx.2007.083493

## International Journal of Chronic Obstructive Pulmonary Disease

Dovepress

### Publish your work in this journal

The International Journal of COPD is an international, peer-reviewed journal of therapeutics and pharmacology focusing on concise rapid reporting of clinical studies and reviews in COPD. Special focus is given to the pathophysiological processes underlying the disease, intervention programs, patient focused education, and self management

protocols. This journal is indexed on PubMed Central, MedLine and CAS. The manuscript management system is completely online and includes a very quick and fair peer-review system, which is all easy to use. Visit <http://www.dovepress.com/testimonials.php> to read real quotes from published authors.

Submit your manuscript here: <https://www.dovepress.com/international-journal-of-chronic-obstructive-pulmonary-disease-journal>