

The evolving immuno-oncology landscape in advanced lung cancer: first-line treatment of non-small cell lung cancer

Jia Li Low, Robert J. Walsh, Yvonne Ang, Gloria Chan and Ross A. Soo

Abstract: Lung cancer is the most common cancer and leading cause of cancer death. While targeted therapies have redefined treatment options for non-small cell lung carcinoma (NSCLC) with genetic aberrations such as epidermal growth factor and anaplastic lymphoma kinase, many patients do not harbour these oncogenic drivers. Cancer immunology has enabled the development of immune modulators that has dramatically altered the therapeutic landscape of advanced NSCLC. The success of immune-checkpoint inhibitors in pretreated NSCLC has led to the conduct of multiple studies exploring their role in the first-line setting. This article provides an overview of the evolving landscape of immune-checkpoint inhibitors with a focus on the programmed cell-death 1 (PD-1; pembrolizumab, nivolumab) and programmed cell-death ligand 1 (PD-L1; atezolizumab, durvalumab, avelumab) immune-checkpoint inhibitors as single agent or in combination with either chemotherapy or with another immune-checkpoint inhibitor in the treatment of NSCLC, the challenges faced, as well as future perspectives.

Keywords: anti-PD-1, anti-PD-L1, chemotherapy, combination immunotherapy, immunotherapy, non-small cell lung cancer (NSCLC)

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Introduction

Worldwide, lung cancer is the most common cancer and leading cause of cancer death. In 2018, 2.09 million people were diagnosed with lung cancer and there were 1.76 million deaths from lung cancer.¹ Non-small-cell lung carcinoma (NSCLC), divided into two major groups by histology: squamous and nonsquamous, is the most common type of lung cancer, accounting for 84% of all lung cancer diagnoses.² While tyrosine kinase inhibitors (TKIs) have redefined treatment options for patients with genetic aberrations such as epidermal growth factor (EGFR) and anaplastic lymphoma kinase (ALK), many patients do not harbour these oncogenic drivers. Standard treatment for oncogene-negative patients was cytotoxic chemotherapy but prognosis remains poor and novel treatment approaches are needed.

An improvement in understanding the cancer immunology has enabled the development of

immune-checkpoint inhibitors that has dramatically altered the therapeutic landscape of advanced NSCLC.³ In this review, the evolving landscape of immune-checkpoint inhibitors in the first-line treatment of NSCLC and its future perspectives will be discussed (Figure 1).

Mechanism of immune-checkpoint inhibitors

One of the hallmarks of cancer is immune evasion, where the immune system does not effectively eliminate malignant cells.⁴ Programmed cell-death 1 (PD-1) is a negative costimulatory receptor expressed primarily on the surfaces of activated T cells. The binding of PD-1 to one of its ligands, PD-L1 or PD-L2, can inhibit a cytotoxic T-cell response, thus allowing tumours to escape T-cell-induced antitumour activity. Pembrolizumab and nivolumab are humanized monoclonal immunoglobulin G4 (IgG4) kappa isotype antibodies against PD-1. The binding of

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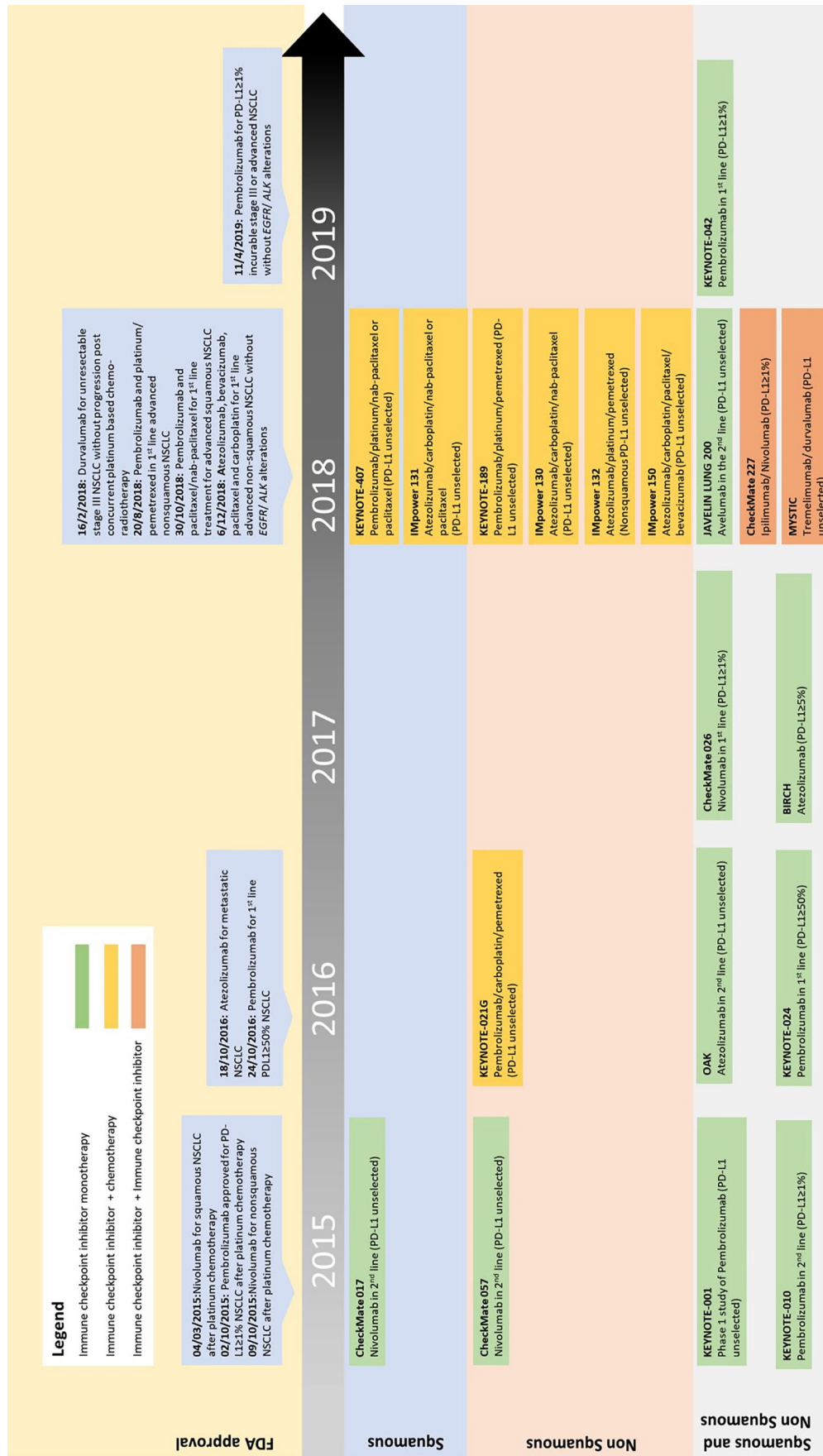


Figure 1. The evolving landscape of immune-checkpoint inhibitors in advanced non-small-cell lung cancer. FDA, US Food and Drug Administration; NSCLC, non-small-cell lung cancer; PD-L1, programmed cell-death ligand 1.

pembrolizumab interrupts the engagement of PD-1 with its ligands. Atezolizumab, avelumab and durvalumab are anti-PD-L1 antibodies which interrupt the binding of PD-L1 to PD-1. The inhibition of PD-L1 to PD-1 results in tumour recognition by cytotoxic T cells.⁵⁻⁷

Cytotoxic T-lymphocyte antigen 4 (CTLA-4), expressed on regulatory T cells, competitively binds CD80 and CD86. CTLA-4 activation leads to the downregulation of helper T-cell activity and increases T regulatory immunosuppressive activity.^{8,9} Ipilimumab, a fully human IgG1 anti-CTLA-4 inhibitor interrupts the binding of CTLA-4 to CD80 and CD86.

Immune-checkpoint inhibitors monotherapy in the first-line treatment in advanced NSCLC

The success of immune-checkpoint inhibitors in the pretreated advanced NSCLC when compared with docetaxel led to the approval of nivolumab and atezolizumab regardless of PD-L1 status and pembrolizumab in PD-L1 positive tumours (PD-L1 $\geq 1\%$; Table 1¹⁰⁻¹⁶). This subsequently led to the conduct of multiple studies exploring the role of immune-checkpoint inhibitors in the first-line setting (Table 2).

First-line pembrolizumab monotherapy

The role of pembrolizumab monotherapy in untreated advanced NSCLC was first explored in a large phase I study, KEYNOTE-001. In the cohort of patients with previously untreated advanced NSCLC, pembrolizumab was reported to show encouraging activity. In patients with tumour-expressing PD-L1 tissue polypeptide-specific antigen (TPS) $\geq 50\%$, the objective response rate (ORR) was 66.7% whereas the ORR was 30.8% in patients with PD-L1 between 1 and 49%. Furthermore, reported progression-free survival (PFS) and overall survival (OS) in tumours with PD-L1 $\geq 50\%$ was promising.^{17,18}

In a phase III study, KEYNOTE-024, patients with advanced NSCLC and a PD-L1 TPS $\geq 50\%$ were randomized to pembrolizumab for 35 cycles *versus* platinum-based chemotherapy for four to six cycles. The primary endpoint was met, with a significant improvement in median PFS seen in pembrolizumab *versus* chemotherapy treated patients and this benefit was evident in all subgroups examined.¹⁹ In an updated analysis, the

OS was 30.0 months in the pembrolizumab group *versus* 14.2 months in the chemotherapy group (Table 2). About 44% of patients who received chemotherapy crossed over to receive pembrolizumab. When adjusted for crossover, the OS still favoured pembrolizumab [hazard ratio (HR) 0.49, 95% confidence interval (CI) 0.34–0.69].²⁰ Grade 3 or more treatment-related adverse events occurred in twice as many patients in the chemotherapy group as in the pembrolizumab group.¹⁹

The results of KEYNOTE-024 led to the US Food and Drug Administration (FDA) approval of pembrolizumab for advanced NSCLC with PD-L1 TPS $\geq 50\%$. However, what remained unknown was whether pembrolizumab was effective in patients with lower PD-L1 expression. Thus, a phase III study, KEYNOTE-042, was conducted to address the role of single-agent pembrolizumab in patients with PD-L1 TPS $\geq 1\%$.

In this study, patients with advanced NSCLC without *EGFR* mutations or *ALK* rearrangement were randomized to receive pembrolizumab or platinum doublet. There were three primary endpoints: OS in patients with a PD-L1 TPS $\geq 50\%$, $\geq 20\%$ and $\geq 1\%$. The median OS was significantly higher across these three subgroups in patients treated with pembrolizumab *versus* chemotherapy (Table 2). In contrast to KEYNOTE-024, in patients with PD-L1 $\geq 50\%$, pembrolizumab treatment was not associated with an improvement in PFS. In a prespecified exploratory analysis of the cohort with PD-L1 expression 1–49%, the OS in patients treated with pembrolizumab or chemotherapy was 13.4 months and 12.1 months, respectively. This suggests the benefit seen in the overall population with a PD-L1 expression $\geq 1\%$ was driven by patients with high PD-L1 expression ($>50\%$). Furthermore, the OS curves crossed, suggesting initial benefit with chemotherapy and subsequently a separate patient group that derived benefit from pembrolizumab. Identifying biomarkers in this group of patients with a PD-L1 TPS 1–49% who obtained benefit from pembrolizumab would therefore be of major interest. Grade 3 or more treatment-related adverse events were more frequent in the chemotherapy arm (Table 2).²⁰

Based on the results from KEYNOTE-042, the FDA recently granted approval for pembrolizumab monotherapy for patients with stage III NSCLC who are not candidates for surgical

Table 1. Randomized phase III studies of immune-checkpoint inhibitors in pretreated advanced non-small-cell lung cancer.

Study	Treatment arms	Phase	Sample size	Histology	RR (%)	PFS (months)	OS (months)	Grade 3 or more adverse effects (%)
CheckMate 017	Nivolumab 3 mg/kg every 2 weeks	III	272	Squamous	20	3.5 versus 2.8 (HR 0.62, 95% CI 0.47–0.81, $p < 0.0001$)	9.2 versus 6 (HR 0.59, 95% CI 0.44–0.79, $p < 0.0001$)	2 versus 21
CheckMate 057	Nivolumab 3 mg/kg every 2 weeks	III	582	Non-squamous	19 versus 12	2.3 versus 4.2 (HR 0.92, CI 0.77–1.1, $p = 0.39$)	12.2 versus 9.4 (HR 0.73, 95% CI 0.59–0.89, $p = 0.002$)	10 versus 54
KEYNOTE-010 (PD-L1 $\geq 1\%$)	Pembroli-zumab 2 mg/kg every 3 weeks Pembroli-zumab 10 mg/kg every 3 weeks	II/III	1034	Squamous and non-squamous	Intention-to-treat (ITT) population: 18 versus 18 versus 9 PD-L1 $\geq 50\%$: 30 versus 29 versus 8	ITT population: 3.9 versus 4.0 (HR 0.88, 95% CI 0.74–1.05, $p = 0.07$) 4.0 versus 4.0 (HR 0.79, 95% CI 0.66–0.94, $p = 0.004$) PD-L1 $\geq 50\%$: 5 versus 4.1 (HR 0.59, CI 0.44–0.78, $p = 0.0001$) 5.2 versus 4.1 (HR 0.59, 95% CI 0.45–0.78, $p < 0.0001$)	ITT population: 10.4 versus 8.5 (HR 0.71, 95% CI 0.58–0.88, $p = 0.0008$) 12.7 versus 8.5 (HR 0.61, CI 0.49–0.75, $p < 0.0001$) PD-L1 $\geq 50\%$: 14.9 versus 8.2 (HR 0.54, 95% CI 0.38–0.77, $p = 0.0002$) 17.3 versus 8.2 (HR 0.50, 95% CI 0.36–0.70, $p < 0.0001$)	13 versus 35 versus 35
OAK	Atezolizumab 1200 mg every 3 weeks	III	1225	Squamous and non-squamous	18 versus 16	2.8 versus 4 (HR 0.95, CI 0.82–1.10, $p = 0.49$)	13.8 versus 9.9 (HR 0.73, 95% CI 0.62–0.87, $p = 0.0003$)	15 versus 43
JAVELIN Lung 200	Avelumab 10 mg/kg every 2 weeks	III	792	Squamous and non-squamous	15	ITT population: 2.8 versus 4.2 (HR 1.16, 95% CI 0.97–1.4, $p = 0.95$)	ITT population: 10.5 versus 9.9 (HR 0.9, CI 0.75–1.08, $p = 0.12$) PD-L1 $\geq 1\%$: 11.4 versus 10.3 (HR 0.90, CI 0.72–1.12, $p = 0.16$) PD-L1 $\geq 50\%$: 13.6 versus 9.2 (HR 0.67, CI 0.51–0.89, $p = 0.0052$) PD-L1 $\geq 50\%$: 17.1 versus 9.3 (HR 0.59, CI 0.42–0.83, $p = 0.0022$)	10 versus 49
Control arm in all trials: Docetaxel 75 mg/m ² every 3 weeks								
CI, confidence interval; HR, hazard ratio; OS, overall survival; PD-L1, programmed death ligand 1; PFS, progression-free survival; RR, response rate.								

Table 2. Immune-checkpoint inhibitors in the first-line treatment in advanced/metastatic NSCLC.

Treatment arms	Phase	Sample size	Histology	RR (%)	PFS (months)	OS (months)	Grade 3 or more adverse effects (%)
Immune-checkpoint-inhibitor monotherapy							
KEYNOTE-001	I	495	Squamous and non-squamous	<p>PD-L1 ≥ 50% 66.7</p> <p>PD-L1 1-49% 30.8</p>	<p>Treatment naïve 10.3</p>	<p>Treatment-naïve PD-L1 ≥ 50% 34.9 [95% CI 20.3-NR] PD-L1 1-49% 19.5 [95% CI 10.7-26.3]</p> <p>Previously treated PD-L1 ≥ 50% 15.4 [95% CI 10.5-18.5] PD-L1 1-49% 8.5 (95% CI 6.0-12.7) PD-L1 < 1% 8.6 (95% CI 5.5-10.6)</p>	12
KEYNOTE-024	III	305	Squamous and non-squamous	<p>44.8 versus 27.8</p>	<p>10.3 versus 6.3 (HR 0.50, 95% CI 0.37-0.68 <i>p</i> < 0.001)</p>	<p>30.0 versus 14.2 (HR 0.63, 95% CI 0.47-0.86 <i>p</i> = 0.002)</p>	26.5 versus 53.3
KEYNOTE-042	III	1274	Squamous and non-squamous	<p>PD-L1 ≥ 50% 39 versus 32</p> <p>PD-L1 ≥ 20% 33 versus 29</p> <p>PD-L1 ≥ 1% 27 versus 27</p>	<p>PD-L1 ≥ 50% 7.1 versus 6.4 (HR 0.81, 95% CI 0.67-0.99 <i>p</i> = 0.017)</p> <p>PD-L1 ≥ 20% 6.2 versus 6.6 (HR 0.94, 95% CI 0.8-1.11)</p> <p>PD-L1 ≥ 1% 5.4 versus 6.5 (HR 1.07, 95% CI 0.94-1.21)</p>	<p>PD-L1 ≥ 50% 20 versus 12.1 (HR 0.69, 95% CI 0.56-0.85 <i>p</i> = 0.003)</p> <p>PD-L1 ≥ 20% 17.7 versus 13.0 (HR 0.77, 95% CI 0.64-0.92 <i>p</i> = 0.002)</p> <p>PD-L1 ≥ 1% 16.7 versus 12.1 (HR 0.81, 95% CI 0.71-0.93 <i>p</i> = 0.0018)</p>	18 versus 41
CheckMate 026	III	541	Squamous and non-squamous	<p>26 versus 33</p>	<p>PD-L1 ≥ 5% 4.2 versus 5.9 (HR 1.15, 95% CI 0.91-1.45, <i>p</i> = 0.25)</p>	<p>PD-L1 ≥ 5% 14.4 versus 13.2 (HR 1.02, 95% CI 0.8-1.30)</p>	18 versus 51
BIRCH	II	Cohort 1 first line:142	Squamous and non-squamous	22	5.4	23.5	9

(Continued)

Table 2. (Continued)

Treatment arms	Phase	Sample size	Histology	RR (%)	PFS (months)	OS (months)	Grade 3 or more adverse effects (%)
FIR Atezolizumab (PD-L1 ≥ 5% of TC or IC)	II	Cohort 1 first line:31	Squamous and non-squamous	32	5.5	14.4	16
Combination immune-checkpoint inhibitor and chemotherapy							
KEYNOTE-021 (Cohort G) Pembrolizumab/chemotherapy versus carboplatin + pemetrexed (PD-L1 unselected)	II	123	Non-squamous	55 versus 29	13 versus 8.9 (HR 0.53, 95% CI 0.33-0.86, <i>p</i> = 0.0049)	OS at 24 months: (HR 0.56, CI 0.32-0.95, <i>p</i> = 0.0151)	39 versus 26
KEYNOTE-189 Pembrolizumab/chemotherapy versus cisplatin/carboplatin + pemetrexed (PD-L1 unselected)	III	616	Nonsquamous	47.6 versus 18.9	8.8 versus 4.9 (HR 0.52, 95% CI 0.43-0.64, <i>p</i> < 0.001)	OS not reached versus 11.3 (HR 0.49, 95% CI 0.38-0.64, <i>p</i> < 0.001)	67.2 versus 65.8
KEYNOTE-407 Pembrolizumab/chemotherapy versus carboplatin + paclitaxel/nab-paclitaxel (PD-L1 unselected)	III	559	Squamous	57.9 versus 38.4	6.4 versus 4.8 (HR 0.56, 95% CI 0.45-0.70, <i>p</i> < 0.001)	15.9 versus 11.3 (HR 0.64, 95% CI 0.49-0.85, <i>p</i> < 0.001)	69.8 versus 68.2
IMpower 130 Atezolizumab/chemotherapy versus carboplatin + nab-paclitaxel	III	723	Nonsquamous	-	7.0 versus 5.5 (HR 0.64, 95% CI 0.54-0.77, <i>p</i> < 0.0001)	18.6 versus 13.9 (HR 0.79, 95% CI 0.64-0.98, <i>p</i> = 0.033)	73.2 versus 69.3
IMpower 131 Arm A: carboplatin/paclitaxel/atezolizumab Arm B: carboplatin/nab-paclitaxel/atezolizumab Arm C: carboplatin/nab-paclitaxel	III	683	Squamous	B: 59.4 C: 51.3	ITT Arm B: 6.3 Arm C: 5.6 (HR 0.71, 95% CI 0.60-0.85, <i>p</i> = 0.0001) PD-L1 negative Arm B: 5.7 Arm C: 5.6 (HR 0.81, 95% CI 0.64-1.03) PD-L1 low Arm B: 6 Arm C: 5.6 (HR 0.70, 95% CI 0.53-0.92) PD-L1 high Arm B: 10.1 Arm C: 5.5 (HR 0.44, 95% CI 0.27-0.71)	ITT Arm B: 14 Arm C: 13.9 (HR 0.96, 95% CI 0.78-1.18, <i>p</i> = 0.6931) PD-L1 negative Arm B: 13.8 Arm C: 12.5 (HR 0.86, 95% CI 0.65-1.25) PD-L1 low B: 12.4 C: 16.6 (HR 1.34, 95% CI 0.95-1.90) PD-L1 high B: 23.6 C: 14.1 (HR 0.56, CI 0.32-0.99)	Arm B: 68 Arm C: 56.9

(Continued)

Table 2. (Continued)

	Treatment arms	Phase	Sample size	Histology	RR (%)	PFS (months)	OS (months)	Grade 3 or more adverse effects (%)
IMpower 132	Atezolizumab/carboplatin or cisplatin + pemetrexed versus carboplatin or cisplatin + pemetrexed	III	578	Non-squamous	47 versus 32	7.6 versus 5.2 (HR 0.60, 95% CI 0.49–0.72, $p < 0.00001$)	Interim analysis: 18.1 versus 13.6 (HR 0.81, 95% CI 0.64–1.03, $p = 0.079$)	69 versus 59
IMpower 150	Atezolizumab + carboplatin/paclitaxel (ACP) atezolizumab/bevacizumab + carboplatin/paclitaxel (ABCP) bevacizumab + carboplatin/paclitaxel (BCP)	III	1202	Non-squamous	ABCP: 63.5 BCP: 48	ABCP: 8.3 BCP: 6.8 (HR 0.62, 95% CI 0.52–0.74, $p < 0.001$)	ABCP: 19.2 BCP: 14.7 (HR 0.78, 95% CI 0.64–0.96, $p = 0.02$)	ABCP: 58.5 BCP: 50
Combination immune-checkpoint inhibitor and immune-checkpoint inhibitor								
CheckMate 012	Nivolumab 1 mg/kg q2 weeks + ipilimumab 1 mg/kg q6 weeks versus nivolumab 3 mg/kg q2 weeks + ipilimumab 1 mg/kg q12 weeks versus nivolumab 3 mg/kg q2 weeks + ipilimumab 1 mg/kg q6 weeks	I	78	Squamous and non-squamous	Ipilimumab q12 weeks: 47 Ipilimumab q6 weeks: 15	-	-	Ipilimumab q12 weeks: 37 Ipilimumab q6 weeks: 33
CheckMate 568	Nivolumab 3 mg/kg q2 weeks + ipilimumab 1 mg/kg q6 weeks	II	288	Squamous and non-squamous	ITT 30 TMB high: 44 TMB low: 12	TMB high 7.1 TMB low 2.6	-	29
CheckMate 227	PD-L1 $\geq 1\%$: nivolumab/ipilimumab versus chemotherapy versus nivolumab PD-L1 $< 1\%$: nivolumab/ipilimumab versus chemotherapy	III	2877	Squamous and non-squamous	TMB high ipilimumab/nivolumab: 45.3 Chemo-therapy: 26.9	TMB high ipilimumab/nivolumab: 7.2 Chemotherapy: 5.5 (HR 0.58, 97.5% CI 0.41–0.81, $p < 0.001$)	-	Ipilimumab/nivolumab: 37 Chemotherapy: 36

(Continued)

Table 2. (Continued)

Treatment arms	Phase	Sample size	Histology	RR (%)	PFS (months)	OS (months)	Grade 3 or more adverse effects (%)
MYSTIC Durvalumab versus durvalumab + tremelimumab versus chemotherapy	III	1118	Squamous and nonsquamous	-	PD-L1 ≥ 25: durvalumab + tremelimumab: 3.9 Chemotherapy: 5.4 (HR 1.05, 95% CI 0.722–1.534)	PD-L1 ≥ 25: durvalumab: 16.3 (HR 0.76, 95% CI 0.56–1.02, <i>p</i> = 0.036) durvalumab + tremelimumab: 11.9 Chemotherapy: 12.9 (HR 0.85, 95% CI 0.61–1.17, <i>p</i> = 0.202) TMB high: durvalumab + tremelimumab: 16.5 Durvalumab: 11 Chemotherapy: 10.5 (HR 0.64) TMB low durvalumab + tremelimumab: 8.5 Durvalumab: 12.2 Chemotherapy: 11.6	-

CI, confidence interval; HR, hazard ratio; IC, immune cell; ITT, intention to treat; NR, not reached; OS, overall survival; PD-L1, programmed cell-death ligand 1; PFS, progression-free survival; RR, response rate; TC, tumour cell; TMB, tumour mutational burden.

resection or definitive chemoradiation, or have metastatic NSCLC. Tumours must express PD-L1 ≥ 1% and not harbour *EGFR* mutations or *ALK* rearrangement.

The efficacy of pembrolizumab monotherapy in *EGFR*-mutant advanced NSCLC was reported recently. In a single-arm phase II study, *EGFR* TKI-naïve patients with advanced NSCLC with PD-L1 ≥ 1% were recruited. Enrolment was halted because of lack of efficacy after 11 of 25 planned patients were treated. Despite being enriched for high PD-L1 expression (73% of patients with a PD-L1 ≥ 50%), the ORR was 9% (1/11). Of the single responder, repeat *EGFR* mutation testing revealed the original report of *EGFR* exon 19 deletion to be erroneous, thus the actual ORR in 10 patients was 0%.²¹ Of the seven patients receiving subsequent *EGFR* TKIs, six patients (86%) developed an adverse event attributed to TKI use, with one case of fatal pneumonitis 89 days after commencing erlotinib. It is unknown whether pembrolizumab contributed to the development of pneumonitis but its manifestation raises issues about the potential risks of sequencing with immune-checkpoint inhibitors and *EGFR* TKIs. Based on this study, pembrolizumab is not an appropriate treatment option for patients with treatment-naïve *EGFR*-mutant NSCLC expressing PD-L1 and concerns remain regarding the safety of sequencing *EGFR* TKIs after immune-checkpoint inhibitors.

First-line nivolumab monotherapy

A phase III study, CheckMate 026, explored the efficacy of nivolumab compared with platinum-based chemotherapy as first-line therapy in patients with advanced NSCLC with PD-L1 ≥ 1%. The primary endpoint was PFS among patients with PD-L1 ≥ 5%. There was no PFS or OS benefit seen with nivolumab (Table 2).²²

The results from CheckMate 026 are inconsistent with first-line nivolumab in phase I and II trials.²³ What could possibly account for this? First, the characteristics of patients in both arms of the study are different. The proportion of patients with PD-L1 ≥ 50% are 47% and 32% in the chemotherapy and nivolumab group, respectively. Second, 43% of patients in the nivolumab arm crossed over to receive subsequent chemotherapy, and 64% of patients who received chemotherapy crossed over to receive immunotherapy. The lower rates of crossover in patients who

received nivolumab might have contributed to the lack of OS benefit. In an exploratory analysis, the authors found higher response rates in patients with a high tumour mutational burden (47% *versus* 28%) and longer PFS.^{24,25}

While KEYNOTE-024 established the role for pembrolizumab as first-line treatment for NSCLC with a PD-L1 TPS $\geq 50\%$, the results from CheckMate 026 were discordant. The factors explaining the differences in results between KEYNOTE-024 and CheckMate 026 are unknown but might be attributable to differences in patient selection. Patient selection was based on a tumour PD-L1 expression cut-off of 1%. In contrast, a PD-L1 cut-off of 50% using a prospectively validated assay (22C3) was used in KEYNOTE-024.

First-line atezolizumab monotherapy

In the multicohort, single-arm phase II trial (BIRCH), a cohort of patients with chemotherapy-naïve advanced NSCLC was treated with first-line atezolizumab. All patients had PD-L1 $\geq 5\%$ on tumour cells (TCs) or immune cells (ICs) using the SP142 immunohistochemistry assay. The ORR, PFS and OS were 22%, 5.4 months and 23.5 months, respectively. In patients with TC3 (TC PD-L1 $\geq 50\%$) or IC3 (IC PD-L1 $\geq 10\%$), ORR was 31%.²⁶

In another multicohort phase II study (FIR), the efficacy and safety of patients with PD-L1 staining on $\geq 5\%$ of TCs or PD-L1 staining on $\geq 5\%$ of ICs were assessed. The cohort of patients who were chemotherapy naïve or more than 6 months between adjuvant chemotherapy and recurrence, were treated with single-agent atezolizumab, the ORR, PFS and OS were 32%, 5.5 months and 14.4 months, respectively. In patients with TC3 or IC3, the ORR was 43%.²⁷

A single-arm phase II trial, BF1RST, enrolled patients with PD-L1 unselected, advanced NSCLC, with high levels of blood tumour mutation burden to receive atezolizumab. The ORR in the overall intention-to-treat population was 14.5%.²⁸ Results based on tumour mutation burden (TMB) status will be discussed in a later section.

Combination first-line immune-checkpoint inhibitor and chemotherapy

Single-agent immune-checkpoint inhibitors have transformed the paradigm of advanced NSCLC

in both front line and after failure of platinum-containing chemotherapy. Despite the improvement, not all patients will benefit from single-agent immune-checkpoint inhibitor with an ORR of 45% *versus* 27% in PD-L1 50% or more and 1% or more, respectively.^{18–20} Historically, it was thought cytotoxic chemotherapy was immunosuppressive but ample evidence has shown chemotherapy can modulate the immune response against tumours and may increase the efficacy of immune-checkpoint inhibitors. The overall goal of combination immune-checkpoint inhibitor and chemotherapy is to achieve additive or synergistic clinical activity. This objective can be achieved by two major approaches. First, by using chemotherapy to induce immunogenic cell death and second, by using chemotherapy to interfere with the mechanisms used by the tumour to evade immune recognition.^{29–32} This has led to the conduct of studies exploring the role of immune-checkpoint inhibitors and chemotherapy in the first-line treatment of advanced NSCLC.

Pembrolizumab and chemotherapy

In a phase II study (KEYNOTE-021G), patients with nonsquamous histology and without *EGFR* mutations or *ALK* rearrangement were randomized to carboplatin–pemetrexed and pembrolizumab or carboplatin–pemetrexed. The study reported an improvement in response rate, PFS and trend towards an improvement in OS (Table 2).^{33,34} The increased activity seen when combining pembrolizumab with chemotherapy in nonsquamous histology was confirmed in a subsequent phase III study, KEYNOTE-189.

KEYNOTE-189 and KEYNOTE-407 enrolled patients with advanced nonsquamous and squamous NSCLC respectively. KEYNOTE-189 randomized patients to platinum–pemetrexed with or without pembrolizumab, followed by maintenance pemetrexed or pemetrexed/pembrolizumab. There was clear OS and PFS benefit with the addition of pembrolizumab to chemotherapy. OS benefit was seen across all patient subgroups including a cohort without PD-L1 expression. It should be noted that the degree of benefit with combination therapy was associated with PD-L1 status with a larger benefit seen with tumours with a higher PD-L1 expression. There was also PFS benefit in most subgroups except in patients aged > 65 years or PD-L1 TPS $< 1\%$. Likewise, in KEYNOTE-407, both OS and PFS were improved in the overall patient population,

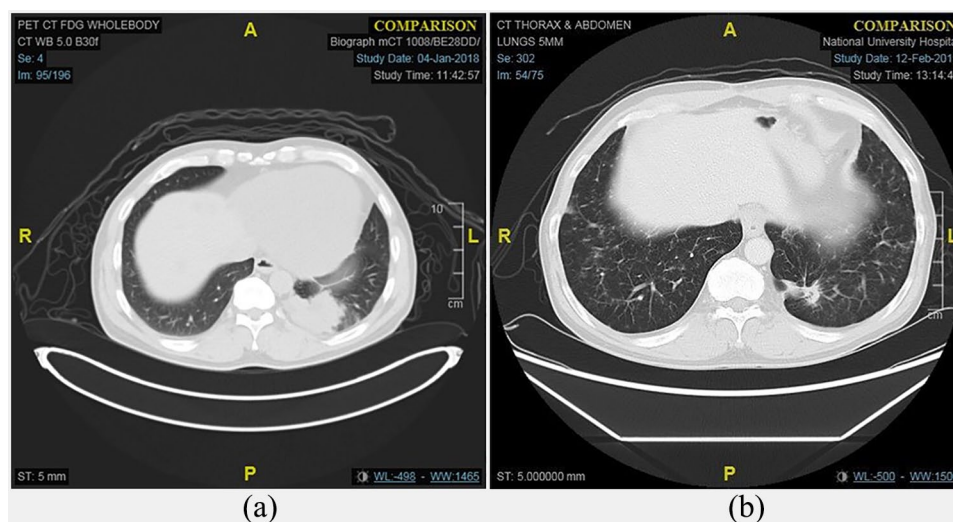


Figure 2. Case of a 57-year-old man, never smoker, who presented with a retrocardiac mass on routine health screening.

Subsequent investigations revealed a non-small-cell lung cancer, adenocarcinoma histologic subtype that was wildtype *EGFR*, and negative for *ALK* and *ROS1* rearrangements. PD-L1 tumour proportion score using 22C3 immunohistochemistry was 30%. A CT PET was reported to show an FDG avid left lower-lobe pulmonary mass, pulmonary nodules, hepatic and bony lesions, and a large pericardial effusion. Carboplatin, pemetrexed and pembrolizumab were subsequently initiated.

(a) Lung window of the CT PET at time of diagnosis and (b) CT thorax after four cycles of carboplatin/pemetrexed and pembrolizumab and 13 cycles of maintenance pemetrexed and pembrolizumab.

CT, computed tomography; FDG, fluorodeoxyglucose; PD-L1, programmed cell-death ligand 1; PET, positron-emission tomography.

as well as all subgroups. With the caveat of cross-trial comparisons, in these studies, the response rates with the combination therapy were higher than seen in monotherapy (47.6% in KEYNOTE-189 and 57.9% in KEYNOTE-407). Toxicities were generally manageable and rates of all-grade toxicities and grade 3–5 toxicities were similar in both arms, with expected rates of immune-related adverse effects in the immunotherapy arms (Table 2; Figure 3).^{35,36} Based on the results from KEYNOTE-189 and KEYNOTE-407, the FDA recently approved pembrolizumab in combination with chemotherapy in previously untreated advanced nonsquamous and squamous NSCLC.

Currently, we believe that in patients without *EGFR* mutations or *ALK* rearrangement and with PD-L1 = 1–49%, combination chemotherapy and pembrolizumab is the best option in the treatment of first-line advanced NSCLC (Figure 2) and single-agent pembrolizumab perhaps considered in patients who are unfit or unwilling to receive platinum-based chemotherapy. For patients without *EGFR* mutations or *ALK* rearrangement with PD-L1 $\geq 50\%$, treatment options include either single-agent pembrolizumab or the combination of chemotherapy and

pembrolizumab. It should be noted no study has compared chemotherapy plus pembrolizumab *versus* pembrolizumab monotherapy. In the absence of direct comparative data for these patients, we believe that single-agent pembrolizumab should be considered for the majority of patients, which would allow the option of using a platinum-based doublet in the second-line setting, whereas first-line combination chemotherapy and pembrolizumab should be considered in patients with symptomatic or rapidly progressive disease. In such patients, early progression with single-agent pembrolizumab may lead to a decline in performance status, precluding a second-line platinum doublet. Studies comparing single-agent pembrolizumab with chemotherapy and pembrolizumab in patients with NSCLC PD-L1 $\geq 50\%$ may provide further clarity on the optimal treatment approach.

Nivolumab and chemotherapy

CheckMate 227 is a multipart phase III trial evaluating different nivolumab-based regimens *versus* chemotherapy in distinct patient populations (PD-L1 <1% and PD-L1 $\geq 1\%$). Patients with PD-L1 <1% were randomized to platinum-based chemotherapy alone, platinum-based chemotherapy

with nivolumab or nivolumab with ipilimumab. Patients with PD-L1 $\geq 1\%$ were randomized to platinum-based chemotherapy alone, nivolumab alone or nivolumab with ipilimumab.³⁷

Part 1 of the CheckMate 227 evaluated PFS and OS of combination immune-checkpoint inhibitor *versus* chemotherapy. In patients with PD-L1 $< 1\%$, PFS was improved with nivolumab and chemotherapy combination *versus* chemotherapy alone (HR 0.74, 95% CI 0.58–0.94). Among histological subgroups, benefit was more pronounced in nonsquamous NSCLC (HR=0.68) relative to squamous NSCLC (HR=0.92). The rates of treatment-related adverse events leading to discontinuation were 13% and 14%, respectively.^{37,38} The ongoing part 2 CheckMate 227 will be evaluating OS in PD-L1% unselected patients receiving chemotherapy with or without the addition of nivolumab.

Atezolizumab and chemotherapy

Multiple phase III studies examining the role of combination atezolizumab with chemotherapy in the first-line treatment of advanced NSCLC have been conducted (Table 2). IMpower 150 randomized patients with advanced, untreated nonsquamous NSCLC to carboplatin and paclitaxel combined with atezolizumab (ACP), atezolizumab plus bevacizumab (ABCP) or bevacizumab (BCP). ABCP demonstrated improved PFS and OS over BCP. Based on these results, ABCP has been approved by the US FDA and the European Medicines Agency (EMA) for first-line treatment of patients with NSCLC without *EGFR* mutation or *ALK* rearrangement.³⁹ Outcomes in a subset of patients harbouring *EGFR* mutations or *ALK* rearrangement (14% of the study population) were also analysed. In this molecularly defined group treated with ABCP *versus* BCP, the PFS and OS was 9.7 months *versus* 6.1 months (HR 0.59, 95% CI 0.37–0.94) and not reached *versus* 17.5 months (HR 0.54, 95% CI 0.29–1.03), respectively.^{39,40} IMpower 150 is the first study to demonstrate an improvement in outcomes in patients with *EGFR* mutations or *ALK* rearrangement treated with the combination of chemotherapy, BCP and atezolizumab. Based on these findings, the EMA has approved the use of ABCP in the treatment of patients with NSCLC harbouring *EGFR* mutations or *ALK* translocation after failure of appropriate targeted therapies.

IMpower 130 evaluated the addition of atezolizumab to carboplatin and nab-paclitaxel in

patients with previously untreated nonsquamous NSCLC. There was a PFS and OS benefit seen in all PD-L1 subgroups in patients treated with atezolizumab, carboplatin and nab-paclitaxel. In contrast to IMpower 150, in this study, where BCP was not part of the treatment, there was no PFS and OS benefit seen in the subset of patients with *EGFR/ALK* genomic alterations. Nab-paclitaxel was chosen because it does not require steroid premedication which may affect response to immune-checkpoint inhibitors.⁴¹

In IMpower 132, patients with advanced nonsquamous NSCLC were randomized to cisplatin or carboplatin plus pemetrexed and atezolizumab followed by maintenance pemetrexed and atezolizumab or to platinum/pemetrexed followed by maintenance pemetrexed. There was a significant PFS benefit and a nonsignificant trend towards an improvement in OS seen.⁴²

IMpower 131 was designed to evaluate the addition of atezolizumab to carboplatin with paclitaxel/nab-paclitaxel in previously untreated, PD-L1 unselected squamous NSCLC. While there is a PFS benefit, the interim OS result was not significantly different with the addition of atezolizumab (Table 2).⁴³

Combination first-line immune-checkpoint inhibitor and immune-checkpoint inhibitor

Dual immune-checkpoint blockade has also shown promise. PD-1 and CTLA-4 modulates the immune system through distinct, complementary mechanisms and enhances antitumour activity.⁴⁴

Nivolumab and ipilimumab

The phase I CheckMate 012 trial combined nivolumab with ipilimumab and found encouraging efficacy with a tolerable safety profile.⁴⁵ The phase II CheckMate 568 trial confirmed this and found that a TMB ≥ 10 mutations/megabase was associated with response, irrespective of tumour PD-L1 expression.⁴⁶

Part 1 of CheckMate 227 had two coprimary endpoints: to evaluate PFS with nivolumab and ipilimumab *versus* chemotherapy based on TMB status with a cut-off of ≥ 10 mutations/megabase as determined from CheckMate 568 and to look at OS based on PD-L1 expression level.^{37–38} TMB was evaluable in 57.7% of the study population

(1004 patients). Out of these patients, 444 (44.2%) had TMB ≥ 10 . In patients with a high TMB, nivolumab/ipilimumab was associated with longer PFS than chemotherapy. The 1-year PFS rate was also significantly higher with nivolumab plus ipilimumab (42.6% *versus* 13.2%).

Of note, there was no association between TMB and PD-L1 expression, suggesting TMB is an independent biomarker predicting benefit from nivolumab and ipilimumab, separate from PD-L1 status (Table 2).^{37,38} In a recent update, there was no difference in OS between patients with high or low TMB levels. In patients with TMB ≥ 10 mutations/megabase treated with ipilimumab and nivolumab, the OS was 23.03 months *versus* 16.72 months for chemotherapy (HR 0.77, 95% CI 0.56–1.06), whereas in patients with a TMB < 10 mutations/megabase, the median OS was 16.20 months *versus* 12.42 months, respectively (HR, 0.78, 95% CI, 0.61–1.00).⁴⁷

In an analysis of patients with low PD-L1 expression ($< 1\%$), in patients with high TMB (≥ 10 mutations/megabase), the combination of nivolumab/ipilimumab was associated with a longer PFS *versus* chemotherapy (HR 0.48, 95% CI 0.27–0.85). In patients with low TMB (< 10 mutations/megabase), there was no difference in PFS for nivolumab/ipilimumab *versus* chemotherapy (HR 1.17, 95% CI 0.76–1.81) or for chemotherapy/nivolumab *versus* chemotherapy (HR 0.87, 95% CI 0.87–1.33).³⁹

Durvalumab

A phase Ib trial showed manageable safety profile of durvalumab and tremelimumab with antitumour activity regardless of PD-L1 expression. As such, the dose of durvalumab 20 mg/kg plus tremelimumab 1 mg/kg every 3 weeks was selected for the phase III studies in the first-line setting.⁴⁸

MYSTIC, a randomized phase III study, assigned patients to durvalumab alone, durvalumab plus tremelimumab or chemotherapy in patients with NSCLC and unselected PD-L1. Durvalumab alone or with tremelimumab *versus* chemotherapy did not improve OS (HR 0.76, 95% CI 0.56–1.02 and HR 0.85, 95% CI 0.61–1.17, respectively). There was also no PFS benefit from durvalumab plus tremelimumab compared with chemotherapy (HR 1.05, 95% CI 0.722–1.534).⁴⁹ In a *post hoc* exploratory analysis, a high blood TMB [≥ 20 mutations/megabase as determined by analysis of

circulating tumour deoxyribonucleic acid (DNA)], was associated with an improved OS with combination durvalumab plus tremelimumab compared with chemotherapy (22 months *versus* 10 months; HR 0.49, 95% CI 0.32–0.74) and a nonsignificant improvement in OS for durvalumab *versus* chemotherapy (13 *versus* 10 months; HR 0.72, 95% CI 0.50–1.05).⁵⁰ The safety and tolerability of durvalumab alone or in combination with tremelimumab were consistent with previously reported studies.

NEPTUNE is a phase III study that randomized patients of any PD-L1 status to durvalumab and tremelimumab *versus* chemotherapy,⁵¹ and POSEIDON randomized patients to platinum-based doublets alone, durvalumab and chemotherapy *versus* durvalumab/tremelimumab and chemotherapy.⁵² Both studies are ongoing and results may provide further clarity on the role of durvalumab and tremelimumab in the first-line setting.

Challenges and future directions in first-line treatment of NSCLC

Since the FDA approval of nivolumab in 2015 in pretreated NSCLC, immune-checkpoint inhibitors have rapidly transited to the first-line setting, with approval obtained for multiple immune-checkpoint inhibitors in a short period of time (Figure 1). Studies of other single-agent immune-checkpoint inhibitors such as atezolizumab, durvalumab and avelumab *versus* chemotherapy in the first-line setting are ongoing (Table 3).

The superiority chemotherapy combined with an immune-checkpoint inhibitor *versus* chemotherapy in the first-line setting has provided greater therapeutic options but has simultaneously created some uncertainty on what is the optimal approach in patients with PD-L1 expression of at least 50% where either single-agent pembrolizumab or combination chemotherapy with an immune-checkpoint inhibitor are both superior to chemotherapy. As discussed earlier, pembrolizumab monotherapy can be considered for most patients, as this would enable the use a platinum-based doublet in the second-line setting and combination chemotherapy and pembrolizumab should be considered in patients with symptomatic or rapidly progressive disease. Further research in identifying biomarkers that predict response and allow selection for monotherapy *versus* combination therapy is required.^{53,54}

Table 3. Selected ongoing phase III studies of immune-checkpoint inhibitor trials in first-line setting.

Drug	Title	Status	ClinicalTrials.gov identifier
Single-agent ICI	Avelumab in first-line non-small-cell lung cancer NSCLC; JAVELIN Lung 100)	Active, not recruiting	NCT02576574
	study of pembrolizumab (MK-3475) <i>versus</i> platinum-based chemotherapy for participants with programmed cell-death ligand 1 (PD-L1)-positive advanced or metastatic NSCLC (MK-3475-042/KEYNOTE-042) China extension study	Active, not recruiting	NCT03850444
	A study of atezolizumab compared with chemotherapy in treatment-naïve participants with locally advanced or recurrent or metastatic NSCLC deemed unsuitable for platinum-containing therapy (IPSOS)	Recruiting	NCT03191786
	A study of atezolizumab (MPDL3280A) compared with a platinum agent (cisplatin or carboplatin) + pemetrexed or gemcitabine in participants with stage IV nonsquamous or squamous NSCLC (IMpower 110)	Active, not recruiting	NCT02409342
	Study of durvalumab alone or chemotherapy for patients with advanced NSCLC	Active, not recruiting	NCT03003962
	A study evaluating the efficacy and safety of tislelizumab <i>versus</i> chemotherapy in advanced nonsquamous NSCLC	Recruiting	NCT03663205
	Chemotherapy/ICI	Study of durvalumab given with chemoradiation therapy in patients with unresectable NSCLC	Recruiting
A phase III study of CS1001 in patients with stage IV NSCLC		Recruiting	NCT03789604
Study of ONO-4538 in nonsquamous NSCLC		Recruiting	NCT03117049
A study of carboplatin-paclitaxel/nab-paclitaxel chemotherapy with or without pembrolizumab (MK-3475) in adults with first-line metastatic squamous NSCLC (MK-3475-407/KEYNOTE-407) China extension study		Active, not recruiting	NCT03875092
Study of pemetrexed + platinum chemotherapy with or without pembrolizumab (MK-3475) in adults with tyrosine kinase inhibitor (TKI)-resistant epidermal-growth-factor-receptor (EGFR)-mutated metastatic nonsquamous NSCLC (MK-3475-789/KEYNOTE-789)		Recruiting	NCT03515837
Early-switch maintenance <i>versus</i> delayed second-line nivolumab in advanced-stage squamous NSCLC Patients (EDEN trial)		Recruiting	NCT03542461
A study of anti-PD-1 AK105 in patients with metastatic nonsquamous non-small cell lung cancer		Recruiting	NCT03866980
A study of anti-PD-1 AK105 in patients with metastatic squamous NSCLC		Recruiting	NCT03866993
Combinations of cemiplimab (anti-PD-1 antibody) and platinum-based doublet chemotherapy in patients with lung cancer		Recruiting	NCT03409614
A study tislelizumab in combination with chemotherapy <i>versus</i> chemotherapy in advanced lung cancer		Recruiting	NCT03594747
Study of durvalumab + tremelimumab with chemotherapy or durvalumab with chemotherapy or chemotherapy alone for patients with lung cancer (POSEIDON)		Recruiting	NCT03164616
A study of nivolumab and ipilimumab combined with chemotherapy compared with chemotherapy alone in first-line NSCLC (CheckMate 9LA)		Recruiting	NCT03215706

(Continued)

Table 3. (Continued)

Drug	Title	Status	ClinicalTrials.gov identifier
	A study to evaluate the efficacy and safety of toripalimab or placebo combined with chemotherapy in treatment-naive advanced NSCLC	Not yet recruiting	NCT03856411
	Phase III trial in squamous NSCLC patients comparing ipilimumab <i>versus</i> placebo in addition to paclitaxel and carboplatin	Completed	NCT01285609
	First-line pembrolizumab alone or in combination with pemetrexed and carboplatin in induction/maintenance or postprogression in treating patients with stage IV nonsquamous NSCLC	Recruiting	NCT03793179
	Immunotherapy with TG4010 in patients with advanced NSCLC	Completed	NCT00415818
	Efficacy and safety of BCD-100 (anti-PD-1) in combination with platinum-based chemotherapy as first-line treatment in patients with advanced nonsquamous NSCLC (DOMAJOR)	Not yet recruiting	NCT03912389
	A study of SHR-1210 in combination with pemetrexed and carboplatin in subjects with nonsquamous NSCLC	Recruiting	NCT03134872
	A study of SHR-1210 in combination with carboplatin + paclitaxel in subjects with squamous NSCLC	Recruiting	NCT03668496
ICI/ICI	An investigational immuno-therapy trial of nivolumab, or nivolumab plus ipilimumab, or nivolumab plus platinum-doublet chemotherapy, compared to platinum-doublet chemotherapy in patients with stage IV NSCLC (CheckMate 227)	Recruiting	NCT02477826
	Randomized phase III study testing nivolumab and ipilimumab <i>versus</i> a carboplatin based doublet in first-line treatment of PS 2 or elderly patients with advanced NSCLC (eENERGY)	Not yet recruiting	NCT03351361
	REGN2810 (anti-PD-1 antibody), platinum-based doublet chemotherapy, and ipilimumab (anti-CTLA-4 antibody) <i>versus</i> pembrolizumab monotherapy in patients with lung cancer	Active, not recruiting	NCT03515629
	Study of first-line therapy study of durvalumab with tremelimumab <i>versus</i> standard of care in NSCLC (NEPTUNE)	Active, not recruiting	NCT02542293
	Double immune-checkpoint inhibitors in PD-L1-positive stage IV NSCLC (DICIPLE)	Recruiting	NCT03469960
	A global study to assess the effects of MEDI4736 (durvalumab), given as monotherapy or in combination with tremelimumab determined by PD-L1 Expression <i>versus</i> standard of care in patients with locally advanced or metastatic NSCLC (ARCTIC)	Active, not recruiting	NCT02352948
	Study of pembrolizumab given with ipilimumab or placebo in participants with untreated metastatic NSCLC (MK-3475-598/KEYNOTE-598)	Recruiting	NCT03302234
	A study of nivolumab + chemotherapy or nivolumab + ipilimumab <i>versus</i> chemotherapy in NSCLC patients with EGFR mutation who failed 1L or 2L EGFR TKI therapy (CheckMate722)	Recruiting	NCT02864251
ICI/targeted therapy	Safety and efficacy study of pemetrexed + platinum chemotherapy + pembrolizumab (MK-3475) with or without lenvatinib (MK-7902/E7080) as first-line intervention in adults with metastatic nonsquamous NSCLC (MK-7902-006/E7080-G000-315/LEAP-006)	Recruiting	NCT03829319

(Continued)

Table 3. (Continued)

Drug	Title	Status	ClinicalTrials.gov identifier
	Efficacy and safety study of pembrolizumab (MK-3475) with or without lenvatinib (MK-7902/E7080) in adults with PD-L1-positive treatment-naïve NSCLC (MK-7902-007/E7080-G000-314/LEAP-007)	Recruiting	NCT03829332
	Study of efficacy and safety of pembrolizumab plus platinum-based doublet chemotherapy with or without canakinumab in previously untreated locally advanced or metastatic nonsquamous and squamous NSCLC patients (CANOPY-1)	Recruiting	NCT03631199
	Phase III study of sitravatinib plus nivolumab <i>versus</i> docetaxel in patients with advanced nonsquamous NSCLC	Not yet recruiting	NCT03906071
ICI/ radiotherapy	PD-L1 inhibitors with concurrent irradiation at varied tumour sites in advanced NSCLC (NIRVANA-LUNG)	Not yet recruiting	NCT03774732
	Phase III trial of LCT after nivolumab and ipilimumab (LONESTAR)	Recruiting	NCT03391869
	Immunotherapy with or without SBRT in patients with stage IV NSCLC	Not yet recruiting	NCT03867175
	PD-L1 inhibitors with concurrent irradiation at varied tumour sites in advanced NSCLC	Not yet recruiting	NCT03774732

ICI, immune-checkpoint inhibitors; LCT, local consolidation therapy; PD-1, programmed cell-death 1; PS 2, performance status 2; SBRT, stereotactic body radiotherapy.

Another challenge involves the issue of treatment after first-line therapy. In patients who have progressed after treatment with an immune-checkpoint inhibitor, standard treatment would be either a platinum-based doublet if the patient was chemotherapy naïve, or if they have received platinum-based chemotherapy with an immune-checkpoint inhibitor, second-line chemotherapy, which would be docetaxel with or without nintedanib¹¹ or ramucirumab¹⁰ and Titanium silicate (TS)-1.⁵⁵ It should be noted in these phase III trials in the pretreated setting, none of the patients have received a prior immune-checkpoint inhibitor. The benefit of immune-checkpoint inhibitors in patients who have progressed after first-line immune-checkpoint-inhibitor treatment represents an unmet need with research, focusing on understanding the mechanisms of resistance^{56–58} and novel combination immunotherapy studies targeting the tumour microenvironment, increasing costimulatory signals and T-cell priming being areas of major therapeutic interest.^{59–61}

While responses have been observed in patients who were rechallenged in the pretreated setting,⁶² in patients who have progressed several months or years after the last dose of first-line immune-checkpoint inhibitor, the role of rechallenge

with immune-checkpoint inhibitor either as monotherapy or in combination with chemotherapy is unknown.

The management approach of patients treated with a first-line PD-1 or PD-L1 inhibitor and have developed disease progression in one or two sites is also unknown. In the pretreated setting, a retrospective study reported local therapy to the sites of progression with radiofrequency ablation, radiotherapy, or surgery with continuation of systemic therapy with immune-checkpoint inhibitor may be effective, with a 2-year survival rate of 92%.⁶³ It should be noted that data supporting this approach is scant and systemic therapy is still standard. Further studies examining the management of oligo-progression in patients with advanced NSCLC treated with first-line immune-checkpoint inhibitors should be explored.

The use of PD-1 and PD-L1 inhibitors in patients with NSCLC harbouring *EGFR* mutations or *ALK* rearrangement after progression with standard targeted therapy remains a challenge for several reasons. First, *EGFR*-mutant and *ALK*-rearranged NSCLC are associated with a lower TMB and an uninflamed and an immunosuppressive tumour microenvironment; factors

associated with reduced sensitivity to immune-checkpoint inhibition.^{64,65} Second, blunted efficacy with single-agent immune-checkpoint inhibitor has been observed in the first-line²¹ and pretreated setting.^{66–68} Third, there is limited randomized data. With the exception of IMpower 150 and IMpower 130, many first-line immune-checkpoint-inhibitor studies excluded patients with *EGFR* mutant and *ALK* rearranged NSCLC. In the treatment-naïve setting, *EGFR* TKIs and *ALK* TKIs remain the standard of care in advanced *EGFR*- and *ALK*-positive NSCLC. In patients who have progressed after *EGFR* TKI therapy, platinum-doublet chemotherapy remains standard of care with atezolizumab/BCP/carboplatin/paclitaxel being an option. The role of immune-checkpoint inhibitors in combination with chemotherapy in patients with *EGFR*-TKI-resistant *EGFR*-mutant NSCLC are being explored in KEYNOTE-789 [ClinicalTrials.gov identifier: NCT03515837] and CheckMate 722 [ClinicalTrials.gov identifier: NCT02864251; (Table 3)]. Studies of potential therapeutic targets including CD73 and the adenosine pathway^{69,70} are ongoing [ClinicalTrials.gov identifiers: NCT03454451, NCT02503774, NCT03381274, NCT03819465, NCT03822351].

Currently, PD-L1 expression using immunohistochemistry is the only approved biomarker in the first-line setting and its expression plays an important role in the selection of treatment for patients with *EGFR*-/*ALK*-negative advanced NSCLC. Pembrolizumab monotherapy was initially approved with a tumour PD-L1 expression $\geq 50\%$ ¹⁸ and was subsequently approved for a PD-L1 expression $\geq 1\%$, based on the results of KEYNOTE-042.²⁰ In KEYNOTE-042, the benefit of pembrolizumab appears to be greater with increasing PD-L1 expression with the HR for OS 0.69, 0.77 and 0.81 for PD-L1 cut-offs of $\geq 50\%$, $\geq 20\%$ and $\geq 1\%$, respectively. Furthermore, in KEYNOTE-042, exploratory analysis found no survival benefit in the subgroup with PD-L1 expression 1–49%, suggesting the benefit seen in the population with PD-L1 $\geq 1\%$ was carried by the cohort expressing $\geq 50\%$ expression. The observation of a higher PD-L1 expression being associated with a greater magnitude of benefit was also seen in studies of combination and pembrolizumab,^{35,36} as well as in the second-line studies.^{12–16} Based on these data, in patients with a PD-L1 expression $\geq 50\%$, we recommend single-agent pembrolizumab and combination chemotherapy and pembrolizumab in selected cases

such as symptomatic or rapidly progressive disease. In patients with PD-L1 1–49%, we suggest chemotherapy and pembrolizumab should be standard and pembrolizumab monotherapy perhaps considered in patients unfit for, or who decline, chemotherapy. In patients with a PD-L1 expression $< 1\%$, chemotherapy and pembrolizumab is recommended.

Although not yet standard clinical practice, TMB as a predictive marker is gaining traction. TMB has been shown associated with improved outcomes in patients with pretreated NSCLC treated with pembrolizumab⁷¹ atezolizumab⁷² and ACB and with nivolumab in the first-line setting.²³ In the BF1RST study, a prospective study evaluating the clinical utility of blood TMB as a predictive biomarker for first-line ACB, in patients with high (≥ 16 mutations/megabase) *versus* low (< 16 mutations/megabase) blood TMB, the ORR was 28.6% and 4.4%, respectively, the PFS was 4.6 months and 3.7 months, respectively (HR 0.66, 90% CI 0.42–1.02) and the OS was not estimable *versus* 13.1 months, respectively (HR 0.77, 90% CI, 0.41–1.43).⁷³ Based on these promising results, the BFAST, a randomized phase III study [ClinicalTrials.gov identifier: NCT03178552], is ongoing to confirm these findings. With combination therapy, nivolumab/ipilimumab⁴⁶ and durvalumab/tremelimumab⁴⁹ was associated with improved outcomes *versus* chemotherapy in patients with high TMB. Despite the emerging promising data, TMB as a predictive marker for combination CTLA-4/PD-1 inhibition is currently not part of routine clinical practice, as this combination has not been shown to improve OS;^{46,47} but it has been suggested as an optional treatment regimen for patients with NSCLC with a high TMB.⁷⁴ Although challenges exist in the use of TMB in routine practice, such as cost of TMB testing, high tumour DNA requirements and long turnaround time,⁷⁵ these potential barriers are being addressed with the rapid technological advances in next-generation sequencing (NGS), the potential utility of plasma TMB⁷³ and the increasing affordability of NGS, to enable the use of TMB in routine clinical practice.⁷⁶

A subset of patients, however, do not benefit from single immune-checkpoint inhibition in the first-line setting, with some patients experiencing early progression in KEYNOTE-024, KEYNOTE-042 and CheckMate-026. Much work remains to identify other, more accurate predictive biomarkers

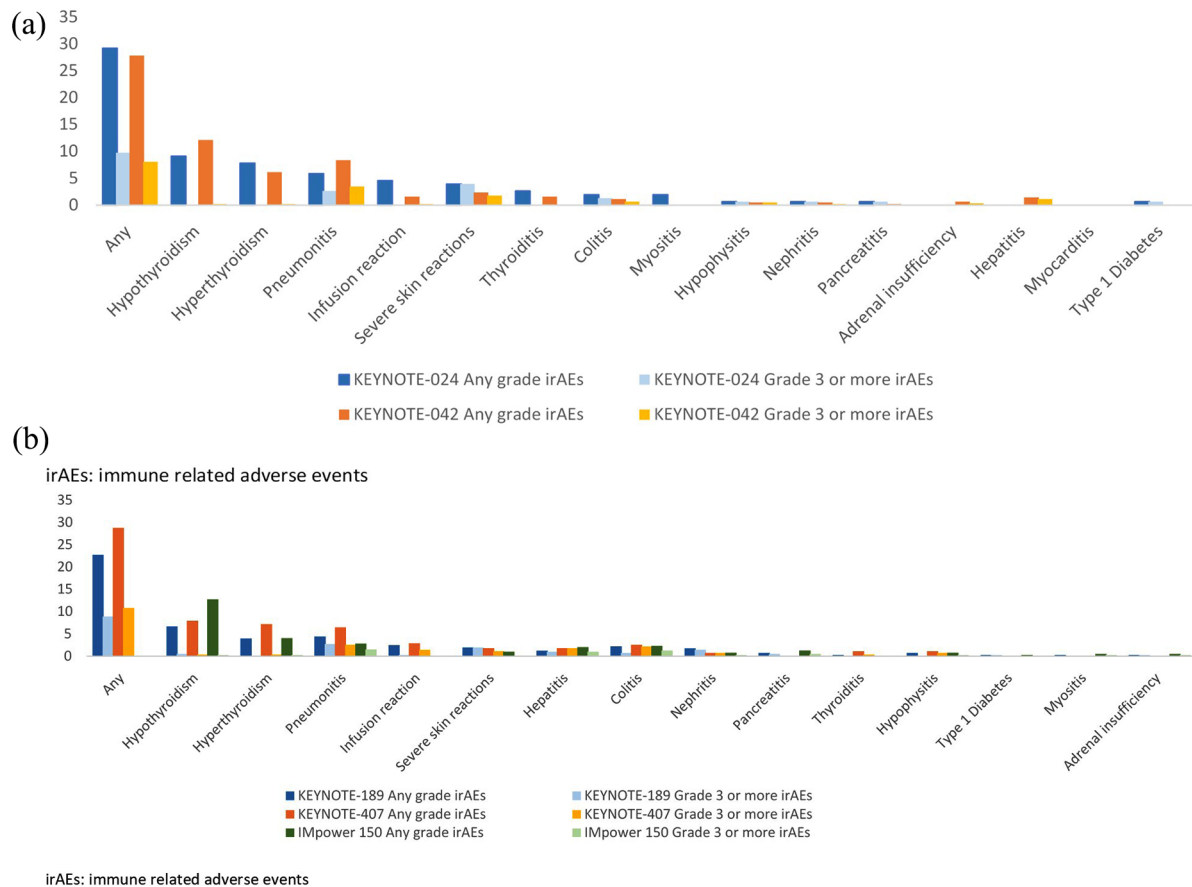


Figure 3. Percentage of immune-related adverse events in selected phase III studies. (a) Percentage of immune-related adverse events (irAEs) in selected phase III studies of single-agent immune-checkpoint-inhibitor studies; (b) percentage of irAEs in selected phase III studies of immune-checkpoint inhibitor and chemotherapy combination studies

that will allow better patient selection, even among patients with high PD-L1 expression.

With regards to immune-related toxicities, while any organ or tissue may potentially be involved, some immune-related adverse events (irAEs) occur much more commonly than others (Figure 3). Hypothyroidism and hyperthyroidism occur frequently (6–12% and 3–7%, respectively) but most are low-grade adverse events. The incidence of grade 3 or more adverse events is highest for pneumonitis (1–3%). The incidence of irAEs is similar in single-agent immune-checkpoint inhibitor and immune-checkpoint inhibitor/chemotherapy combination (22–29%).

Future research should focus on the management of irAEs, attempting to understand why some patients respond better, increasing the response rates to immune-checkpoint inhibitors and lastly, identifying other pathways to target to improve clinical outcomes. Selected ongoing phase III

immune-checkpoint inhibitor trials for first-line treatment of advanced NSCLC are summarized in Table 3.

Conclusion

Treatment of advanced NSCLC with immune-checkpoint inhibitors has evolved over recent years. In the second-line setting, treatment options have previously included docetaxel, with or without ramucirumab, an antivascular endothelial growth factor receptor-2 antibody¹⁰ or nintedanib,¹¹ an oral angiokinase inhibitor. In 2015, the FDA approved nivolumab for use in pretreated advanced NSCLC based on the results of two phase III studies, CheckMate 017 and CheckMate 057.^{12,13} In these studies, nivolumab was superior to second-line docetaxel in terms of response rate (RR) and OS for squamous cell and nonsquamous histology, respectively. Subsequently, pembrolizumab and atezolizumab were also approved for use in patients with advanced NSCLC who have progressed on

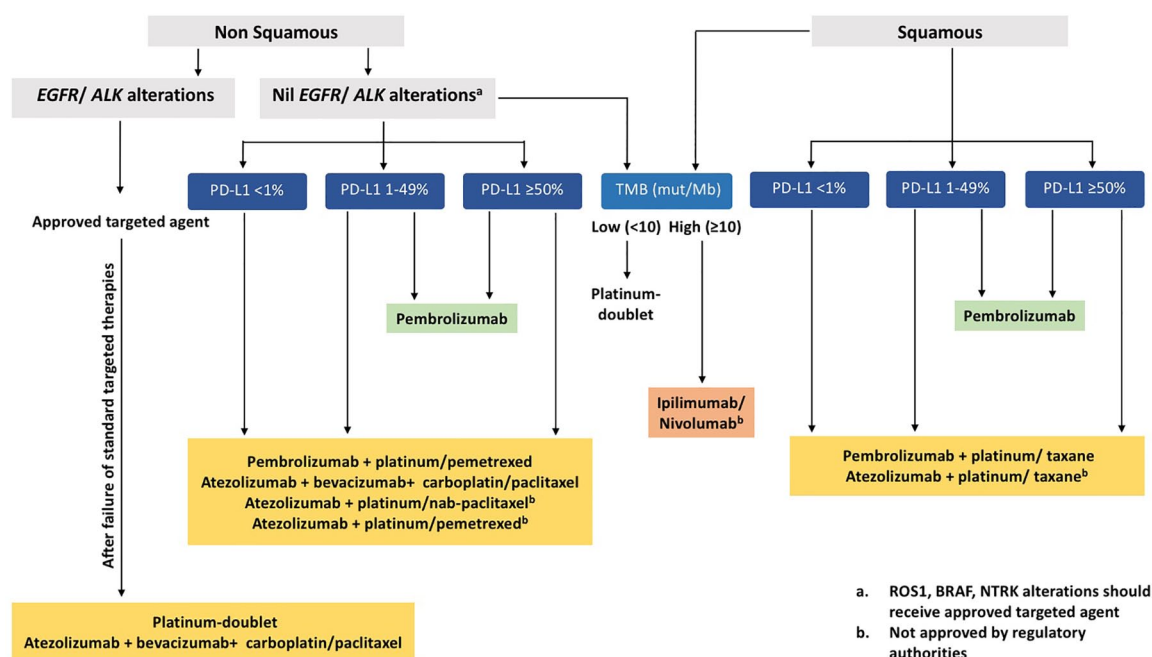


Figure 4. Potential first-line treatment options for advanced non-small-cell lung cancer. For PD-L1 1–49%, we recommend pembrolizumab if the patient was unfit or declined chemotherapy. ALK, anaplastic lymphoma kinase; EGFR, epidermal growth factor receptor; Mb, megabase; mut, mutations; PD-L1, programmed cell-death ligand 1; TMB, tumour mutation burden.

platinum-based therapy, based on an improvement in OS compared with docetaxel^{14,15} (Figure 1; Table 1). Avelumab, in contrast, did not show any OS benefit over docetaxel in the overall population in JAVELIN Lung 200.

Subsequent research, outlined in the review above, has established a role for immune-checkpoint inhibitors in first-line treatment of patients with advanced NSCLC expressing PD-L1 without *EGFR* or *ALK* aberrations, either as a monotherapy or in combination with chemotherapy. In patients with PD-L1 ≥ 50%, options are either single-agent pembrolizumab or the combination of chemotherapy and pembrolizumab; whereas for patients with PD-L1 1–49%, chemotherapy and pembrolizumab should be considered the best option and pembrolizumab monotherapy is an acceptable option for patients who are unfit or unwilling to receive platinum-based chemotherapy (Figure 4). Multiple studies have also shown chemotherapy with atezolizumab, as well as carboplatin/paclitaxel/ABCP, is active in the first-line setting. When compared with cytotoxic chemotherapy, the use of single-agent immune-checkpoint inhibitors is associated with less toxicities and with combination chemotherapy and immune-checkpoint inhibitor, side effects were higher but

tolerable. Ongoing major areas of research include the identification of other biomarkers beyond PD-L1 expression to select patients for combination therapy or immune-checkpoint inhibitor monotherapy, characterization of mechanisms of resistance and determining treatment strategies to overcome resistance and optimise efficacy.

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