



Overweight Is an Independent Risk Factor for Reduced Lung Volumes in Myotonic Dystrophy Type 1

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Abstract

Background

In this large observational study population of 105 myotonic dystrophy type 1 (DM1) patients, we investigate whether bodyweight is a contributor of total lung capacity (TLC) independent of the impaired inspiratory muscle strength.

Methods

Body composition was assessed using the combination of body mass index (BMI) and fat-free mass index. Pulmonary function tests and respiratory muscle strength measurements were performed on the same day. Patients were stratified into normal (BMI < 25 kg/m^2) and overweight (BMI $\geq 25 \text{ kg/m}^2$) groups. Multiple linear regression was used to find significant contributors for TLC.

Results

Overweight was present in 59% of patients, and body composition was abnormal in almost all patients. In overweight patients, TLC was significantly ($p = 2.40 \times 10^{-3}$) decreased, compared with normal-weight patients, while inspiratory muscle strength was similar in both groups. The decrease in TLC in overweight patients was mainly due to a decrease in expiratory reserve volume (ERV) further illustrated by a highly significant ($p = 1.33 \times 10^{-10}$) correlation between BMI and ERV. Multiple linear regression showed that TLC can be predicted using only BMI and the forced inspiratory volume in 1 second, as these were the only significant contributors.



Conclusions

This study shows that, in DM1 patients, overweight further reduces lung volumes, as does impaired inspiratory muscle strength. Additionally, body composition is abnormal in almost all DM1 patients.

Introduction

Myotonic dystrophy type 1 (DM1) is the most frequent adult-onset muscular dystrophy, with an estimated prevalence of 1 in 8000 individuals [$\underline{1}$]. It is a hereditary neuromuscular disorder with progressive muscle weakness and myotonia, caused by an unstable cytosine-thymine-guanine (CTG) repeat expansion in the 3'-untranslated region of the dystrophia myotonica protein kinase (DMPK) gene [$\underline{2}$, $\underline{3}$]. Also, several other organs can be involved, resulting in internal, cardiac and respiratory pathology [$\underline{4}$].

Aside from effects on daily quality of life due to muscle weakness [4] and fatigue [5], DM1 patients are also at increased risk for physical inactivity and being overweight [6]. In a recent study, overweight, defined as $25 \text{ kg/m}^2 \le \text{body mass index (BMI)} < 30 \text{ kg/m}^2$, was found in 32.5% of DM1 patients, and obesity, defined as BMI $\ge 30 \text{ kg/m}^2$, was found in 21% of DM1 patients [6]. As DM1 patients typically have reduced muscle mass, overweight will therefore result in increased fat mass [7].

Life expectancy is markedly reduced in DM1 patients; the mean age at death is 54 years [8–10]. The most common cause of death is respiratory failure, approximately in 50% of cases, caused by pneumonia and overall progression of the neuromuscular disorder. Factors increasing the risk of respiratory failure described in DM1 patients include reduced lung volumes, respiratory muscle weakness, swallowing problems, (aspiration) pneumonia and obstructive sleep apnea syndrome [8, 11–13].

Lung volumes of DM1 patients are typically described by a restrictive pattern [13], believed to be caused by impaired respiratory muscle strength; however, in the healthy population, overweight and obesity are also associated with reduced lung volumes [14]. Therefore, the aim of our study is to investigate the additional effects of overweight on lung volumes in DM1 patients, independent of impaired respiratory muscle strength.

Methods

In this retrospective observational study, body composition and pulmonary function tests were obtained in DM1 patients who visited a chest physician of the Radboud University Medical Center Nijmegen, the Netherlands, in the period between January 2005 and February 2013. Several tests were performed for clinical use by an analist in the pulmonary function laboratory and collected afterwards by the researchers to analyze the data anonymously.

Retrospectively designed studies do not need approval by the ethics committee, as stated by the ethics committee of the Radboud University Medical Center Nijmegen. Patients who take part in investigations for clinical use automatically agree with the use of their data (anonymized by the main researcher) for clinical research; those patients have to disagree actively if they do not wish that their data will be used for clinical research. Thus, as the present investigations were performed for clinical use, there was no need for the investigators to obtain specific informed consent. Based on the information supplied, the main researcher had access to participant information.



Patients were referred for evaluation of pulmonary function by a neurologist or a multidisciplinary team, which consists of a neurologist, rehabilitation physician, chest physician and cardiologist, as well as several paramedics. Patients are referred to a multidisciplinary team only if they experience limitations in everyday life. Patients with diaphragm paralysis due to neurogenic causes were excluded for this study. DM1 severity was measured by the disease-specific muscular impairment rating scale (MIRS) [15]. A score of 1 reflects no muscular impairment, 2 for minimal signs, 3 for distal weakness, 4 for mild to moderate proximal weakness and 5 for severe proximal weakness.

Body composition

BMI was calculated, and the fat-free mass was measured by bioelectrical impedance analysis (Bodystat, 1500, Douglas, Isle of Man, UK 1997) [16]. The fat-free mass index (FFMI) was calculated using a standardized equation [17]. FFMI is expressed as percentage of cut-off points, where 100% indicates an FFMI of 16 kg/m² for men and 15 kg/m² for women [18]. Patients were stratified by BMI to define overweight and obesity. As criteria for DM1 patients do not exist yet, six different categories of body composition based on criteria used in patients with Chronic Obstructive Pulmonary Disease (COPD) [19] were defined to investigate the existence of an abnormal body composition: cachexia is defined as BMI < 21 kg/m² and FFMI < 100%; semi-starvation as BMI < 21 kg/m² and FFMI \geq 100%; normal weight with muscle atrophy as $21 \text{ kg/m}^2 \leq \text{BMI} < 25 \text{ kg/m}^2$ and FFMI < 100%; overweight with muscle atrophy as 1000%; overweight with normal muscle mass as 1000%; overweight with normal place.

Respiratory function

All pulmonary function tests were performed according to the standards of the American Thoracic Society/European Respiratory Society [20–23]. Patients underwent spirometry; static lung volumes were measured by the helium dilution technique and diffusion capacity corrected for alveolar volume (DLCO/VA). Static inspiratory (PImax) and expiratory mouth pressures (PEmax) were also measured.

Statistical analyses

Data analysis was performed using MATLAB (R2012a, The Mathworks, Natick, MA, USA). Pulmonary function test results have normal distributions and are presented as mean values of predicted (%) ± standard deviation (%). MIRS scores were analyzed using non-parametric tests, and differences in body composition and pulmonary function between patients stratified to their MIRS score were tested using analysis of variance (ANOVA) and t-tests. Differences in pulmonary function between normal-body-weight (BMI < 25 kg/m²) and overweight $(BMI \ge 25 \text{ kg/m}^2)$ patients were examined using t-tests. Associations between body composition, respiratory muscle strength and lung volumes were examined using Pearson's correlation. Multiple linear regression with backward stepwise elimination was used to investigate the importance of body composition and respiratory muscle strength for total lung capacity (TLC). Only patients with measurements for all factors were included in the training model set for multiple linear regression. After the significant contributors had been found, a test validation set was defined as the patients left out of the training set that had measurements for the factors that remained in the model (but had missing data for non-significant factors). The model goodness of fit was assessed using the root-mean-square error of the training set, and the goodness of prediction was assessed using the root-mean-square error of prediction. A significance threshold of p = 0.05 was used for all tests.



Results

Patient characteristics and body composition

In this study, 106 DM1 patients were analyzed, 59.4% of whom were men. One patient was excluded due to phrenic nerve paralysis. Patient characteristics are shown in <u>Table 1</u>. The median MIRS score was 4, indicating mild to moderate proximal weakness. MIRS 1 is present in 1% of patients, MIRS 2 in 4.8%, MIRS 3 in 22.9%, MIRS 4 in 43.8%, MIRS 5 in 18.1% and for 9.5% of patients scores are missing. In <u>Fig 1</u>, the different categories of body composition are visualized in a scatterplot of FFMI versus BMI for 71 patients, since FFMI was not measured in all patients. No impairment of body composition is present in only 4.2% of patients, cachexia is present in 19.7%, muscle atrophy with normal body weight is present in 26.8%, muscle atrophy with overweight is present in 14.1%, overweight with normal muscle mass is present in 35.2% and none of the patients were classified as semi-starvation. Overweight and obesity, without taking into account FFMI, is present in 41% and 18% of our population, respectively.

Analyses of respiratory function

Results of pulmonary function tests are presented in Table 1 for all patients and stratified for BMI < 25 kg/m² and BMI \ge 25 kg/m²; potential missing data are indicated by the number of patients for each variable. In both the normal-weight and BMI \ge 25 kg/m² group, a restrictive pulmonary function pattern is found. Also, respiratory muscle strength, both the inspiratory and expiratory mouth pressures, is decreased significantly compared with their predicted values. The DLCO/VA is normal.

The TLC, with its different components, is visualized for both groups of BMI in Fig 2. The outer columns show the predicted values. Patients with a BMI ≥ 25 kg/m² have a further reduced TLC compared with patients with BMI < 25 kg/m², with similar values for PImax in both groups. The decrease in TLC in the overweight group is mainly due to the decrease in expiratory reserve volume (ERV). BMI has significant inverse correlations to ERV(r = -0.59 and $p = 1.33 \times 10^{-10}$) and functional residual capacity (FRC) (r = -0.61 and $p = 3.53 \times 10^{-11}$). PImax is significantly correlated to forced inspiratory volume in 1 second (FIV1) (r = 0.53 and $p = 1.81 \times 10^{-7}$) and TLC (r = 0.38 and $p = 2.04 \times 10^{-4}$). FIV1 is not correlated to BMI (r = -0.08 and $p = 4.23 \times 10^{-1}$). Respiratory function tests between different groups of MIRS score (scores 2 to 5) showed only differences in PEmax, which is significantly higher in MIRS score 3 than in 4 and 5 (p-values respectively 2.2×10^{-2} and 1.3×10^{-2}). There is no difference in BMI and FFMI between the different MIRS scores.

Multiple linear regression for TLC

Table 2 shows the correlations of body composition and inspiratory muscle strength parameters with TLC. In order to investigate the joint contribution of these factors to TLC, a multiple linear regression model was calculated. Significant contributors are covariates BMI and FIV1. The model is described by: TLC (%pred.) = $44.54-0.55 \times BMI + 0.60 \times FIV1$ (%pred.). In this model, the *p*-values for BMI and FIV1 are 5.53×10^{-4} and 7.14×10^{-23} , respectively. The validation set was defined as the patients left out of the model set who did not have missing data for BMI, TLC and FIV1. The prediction of the validation test set fits well with its expected value (Fig. 3). The root-mean-square error of the model set is 6.51% and the root-mean-square error of prediction is 7.39%. This demonstrates that, for an external independent test set, the TLC can be predicted within 7.4% error using only BMI and FIV1 as predictors.



Table 1. Respiratory function in 105 monotonic dystrophy type 1 (DM1) patients, normal weight (body mass index [BMI] < 25 kg/m²) and overweight (BMI > 25 kg/m²).

	DM1	BMI < 25 kg/m ²		BMI \geq 25 kg/m ²		
Measurement ^a	Mean in % (SD) ^b	Mean in % (SD) ^b	N = 43 ^c	Mean in % (SD) ^b	N = 62 ^c	<i>p</i> -value ^d
Gender (% men)	60.0%	62.8%	43	58.1%	62	6.27 x 10 ⁻¹
Age (years)	46.2 (12.0)	45.5 (12.4)	43	46.7 (11.8)	62	6.14×10^{-1}
BMI (kg/m ²)	26.4 (5.7)	21.7 (2.7)	43	29.6 (5.0)	62	
FFMI (%cut-off)	96.5 (14.3) #	87.0 (10.3)	36	106.3 (10.6)	35	4.96 x 10 ⁻¹¹ ***
FEV1 (%pred.)	82.9 (18.6) ##	87.3 (16.6) ##	42	79.8 (19.3) ##	61	3.72 x 10 ⁻² *
VC (%pred.)	83.9 (18.9) ##	87.7 (17.1)	43	81.2 (19.8)	62	8.58 x 10 ⁻¹
FEV1/VC (%)	101.1 (7.9)	100.9 (8.6)	42	101.4 (7.5)	61	7.55 x 10 ⁻¹
FIV1 (%pred.)	79.6 (21.4) ##	82.4 (20.0) ##	38	78.6 (22.1) ##	60	3.81 x 10 ⁻¹
FRC (%pred.)	72.3 (20.0) ##	86.6 (17.5) ##	39	62.8 (15.4) ##	59	1.52 x 10 ⁻⁹ ***
RV (%pred.)	71.1 (16.9) ##	79.8 (19.2) ##	40	65.3 (12.1) ##	59	8.01 x 10 ⁻⁵ ***
ERV (%pred.)	73.1 (36.9) ##	98.5 (32.5)	39	60.3 (31.7) ##	59	1.53 x 10 ⁻⁷ ***
TLC (%pred.)	79.0 (14.9) ##	84.1 (12.6) ##	41	75.4 (15.4) ##	59	2.40 x 10 ⁻³ **
DLCO/VA (%pred.)	107.4 (16.2) ##	102.8 (13.1)	38	110.6 (17.4) ##	56	1.49 x 10 ⁻² *
PEmax (%pred.)	55.7 (23.5) ##	50.5 (21.3) ##	40	59.6 (24.5) ##	53	5.73 x 10 ⁻²
Plmax (%pred.)	65.0 (23.4) ##	63.5 (23.5) ##	40	66.1 (23.5) ##	51	5.98 x 10 ⁻¹

^a Percentage predicted (%pred.) of FFMI (%cut-off): fat-free mass index (kg/m²) as percentage of cut-off values (normal values for mean FFMI > 16.0 kg/m² and for women FFMI > 15.0 kg/m²); FEV1: forced expiratory volume in 1 second; VC: vital capacity; FIV1: forced inspiratory volume in 1 second; FRC: functional residual capacity; RV: residual volume; ERV: expiratory reserve volume; TLC: total lung capacity; DLCO/VA: diffusion capacity corrected for alveolar volume; PEmax: maximal expiratory mouth pressure; PImax: maximal inspiratory mouth pressure.

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Discussion

The most important findings of this study are that: (1) BMI is a predictor of TLC independent from FIV; (2) TLC is further reduced in the overweight group compared with the normal-weight group due to a reduced ERV, as respiratory muscle strength is equally diminished; and (3) body composition is abnormal in nearly all patients.

In this exceptional large study population of 105 DM1 patients, we found that TLC and FRC are significantly decreased further in overweight patients, compared with normal-weight patients, due to a reduced ERV. This decrease is also found in healthy overweight individuals [14]. Multiple linear regression was used to determine which factors (BMI, FFMI, PImax and/or FIV1) contribute to TLC. Only BMI and FIV1 were found as significant contributors; this means that TLC depends on whole body mass more than on fat-free mass alone. FIV1, which can be seen as a marker of inspiratory strength as it is fully effort dependent, is more accurate in predicting TLC than PImax is. Because we found equally decreased results for PImax and FIV1 in both groups of BMI, the further reduction of TLC in the overweight group is likely to be caused by the additional body weight.

^b SD: standard deviation;

 $^{^{*}}p < 0.05;$

 $^{^{\#\#}}p$ < 0.001 for a paired t-test between measured and predicted values within each group.

^c Number of patients with data available for each measurement.

^d p-values are given for two-sample t-tests (continuous data) or Chi-squared tests (discrete data), as appropriate, between the groups of BMI.

^{*} *p* < 0.05;

^{**} p < 0.01;

^{***} p < 0.001.

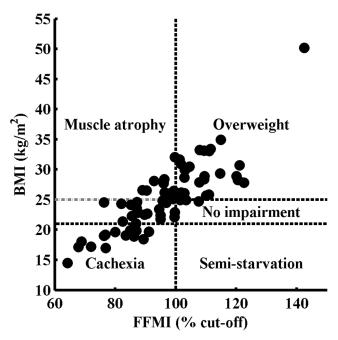


Fig 1. Scatter plot of fat-free mass index (FFMI) and body mass index (BMI) in DM1, n = 71. The x-axis denotes the FFMI, expressed as percentage of gender-specific cut-off points, where 100% indicates FFMI of 16 kg/m² for men and 15 kg/m² for women. The y-axis denotes the BMI, with horizontal lines at 21 and 25 kg/m². The different body compositions are defined as cachexia (BMI < 21 kg/m² and FFMI < 100%), normal weight with muscle atrophy (21 kg/m² \leq BMI < 25 kg/m² and FFMI < 100%), normal weight with muscle atrophy (BMI \geq 25 kg/m² and FFMI < 100%), no impairment (21 kg/m² \leq BMI < 25 kg/m² and FFMI \geq 100%) and overweight (BMI \geq 25 kg/m² and FFMI \geq 100%).

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Overweight in general, and therefore also in DM1, results in an increased work of breathing, based on two physiological mechanisms. First, decreased compliance of the thoracic wall [14]. Second, enlargement of tidal volumes can be achieved only by using the inspiratory reserve volume due to reduced ERV level, which will put the respiratory muscles at a disadvantaged position in the Starling curve, where the inspiratory muscles have to generate more tension for equal lung volume enlargement [24]. If increased work of breathing has to be delivered when inspiratory muscle strength is decreased, patients will develop respiratory failure sooner due to muscle fatigue, because the fatigue threshold (PI/PImax) \times (T_i/T_{tot}) > 0.15 will be reached earlier [25]. PI is the inspiratory muscle strength needed for normal inspiration and PImax is the maximal inspiratory muscle strength; T_i indicates the inspiratory time and the T_{tot} is the total breath-cycle duration. In this study, no difference in PImax between normal-weight and overweight patients was found; thus, the increased work of breathing in overweight patients will result in a higher PI/PImax ratio, and muscle fatigue will develop earlier than in normal weight DM1 patients. To prevent the onset of muscle fatigue and the feelings of dyspnea, patients will decrease tidal volumes, which benefit the work of breathing. However, smaller tidal volumes result in increased dead-space ventilation, early airway closure, atelectasis and a greater likelihood of respiratory infection [26]. Therefore, we argue that overweight DM1 patients are more prone to develop respiratory failure than normal-weight patients.

Losing weight in DM1 patients may possibly result in normalizing lung volumes as is the case in healthy obese individuals [27]. Normalized lung volumes will reduce the work of breathing and might as a result delay the onset of respiratory failure. This hypothesis is valuable for future studies, as respiratory failure is most common cause of death in DM1 [9, 10].

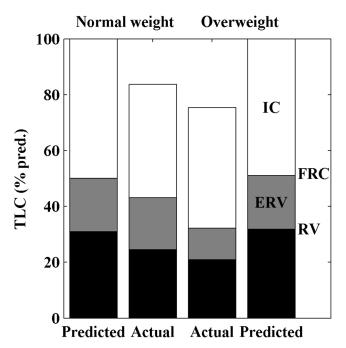


Fig 2. Stacked-bar histogram of total lung capacity (TLC) (% of predicted) in patients with normal weight (body mass index [BMI] < 25 kg/m², n = 43) and overweight (BMI \geq 25 kg/m², n = 62), compared with their predicted values. The black section of the stacked-bar indicates the residual volume (RV), the gray section the expiratory reserve volume (ERV) and the white section the inspiratory capacity (IC). The RV and ERV combined (black plus grey) is the functional reserve capacity (FRC). A restrictive pattern of pulmonary function is shown for both groups, and the TLC is further decreased in overweight compared with normal-weight patients, mainly due to the decreased ERV.

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Before starting interventions for weight loss, it will be necessary to investigate the body composition. We found different types of abnormal body composition in nearly all patients. It is difficult to recognize the different types of abnormal body composition using only BMI values. We stratified patients in six categories for body composition based on BMI and FFMI [19]. An abnormal body composition was found in 95.8% of our study population, of whom more than 50% were overweight or obese. Our results regarding BMI alone are in accordance with the results from Gagnon et al. [6]. Physically inactivity, muscle weakness, fatigue as well as, lower socio-economic status, lack of money, limited sports facilities and lack of motivation are known risk factors for overweight in DM1 patients [6]. Inadequate nutritional intake in DM1

Table 2. Pearson's correlation coefficients for the relation between total lung capacity (TLC) and parameters of body composition and inspiratory muscle strength.

	TLCCorrelation	<i>p</i> -value
BMI (kg/m ²)	-0.27	7.00 x 10 ⁻³ **
FFMI (%norm)	-0.05	7.13×10^{-1}
Plmax (%pred.)	0.38	2.04 x 10 ⁻⁴ ***
FIV1 (%pred.)	0.87	1.97 x 10 ⁻³⁰ ***

BMI, body mass index; FFMI, fat-free mass index; FIV1: forced inspiratory volume in 1 second; PImax: static inspiratory mouth pressure.

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^{**} p < 0.01,

^{***} p < 0.001.

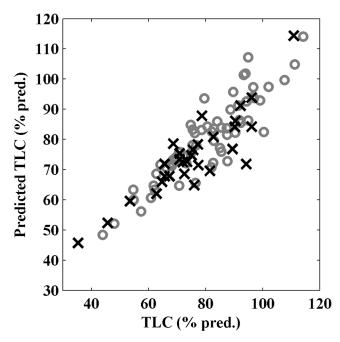


Fig 3. Multiple linear regression model for predicting total lung capacity (TLC) with the significant contributors forced inspiratory volume in 1 second (FIV1) and body mass index (BMI). Patients in the model and validation set are represented by gray circles and black crosses, respectively. The x-axis denotes the TLC expressed as percentage of the predicted value for each individual and the y-axis denotes the calculated predicted TLC (% pred.), based on FIV1 and BMI.

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patients will also contribute to developing overweight, as fat and carbohydrate intake are often above the daily recommended intake [28]. No prospective observational studies examining body composition changes in DM1 patients have been published to date. We did not find a significant difference between the overweight and normal weight DM1 patients for age.

Body composition is defined by a combination of BMI and FFMI values in 71 patients. Missing data of FFMI are mostly from patients who visited our center in the first years of the study time. A striking outcome of FFMI is that only overweight patients appear to have normal values for FFMI; however, patients with overweight can still have muscle atrophy. A possible explanation can be that skeletal muscles are trained by resistance to the excess weight, and therefore normal values for FFMI are found only in patients with BMI \geq 25 kg/m². Muscle atrophy could reflect the progression of muscular involvement in DM1 [7]. Pruna et al. [7] investigated body composition with dual-energy X-ray absorptiometry (DEXA) and found comparable results. However, in their population, only one patient had muscle atrophy with overweight, and they found relatively more patients with normal values for both BMI and FFMI. Only three of our patients (4.2%) were considered to have a non-impaired body composition; however, their BMI was very close to 25 kg/m². Based on the MIRS scores, our patients have greater disease severity than the population of Pruna et al.; this might also explain the differences in body composition. These differences in patient characteristics might potentially be explained by our inclusion criteria (see Methods), as severely affected patients are more likely to be referred to a pulmonologist or multidisciplinary team by a neurologist.

Interventions in reducing body weight are ideally based on patients' individual body composition and must avoid losing muscle mass. A dietitian should ideally be consulted to design a personalized diet, but increasing exercises to lose weight will likely prove to be difficult due to the neuromuscular origin of the disorder. Losing body weight in DM1 patients by increasing



exercises requires further investigations. Cup et al. [29] found in different neuromuscular disorders moderate evidence for a combination of muscle-strengthening and aerobic exercises in participation and activities and also in several body functions; however, body weight was not defined as an outcome. In addition, serious adverse effects of exercise were not reported [29]. Overall, the best strategy in reducing body weight will be to prevent the development of overweight.

This large observational study is limited by some missing data and the lack of sniff nasal inspiratory pressure measurements for evaluation of inspiratory muscle strength, which is easier to perform and therefore more preferable than mouth pressures in neuromuscular disorders [30]. PImax maneuvers are more difficult to perform and, especially in patients with neuromuscular disorders, air leaking around the mouthpiece is a possibility. We took precautions to ensure that PImax measurements were included in our data set only if no leaking of air was reported by our laboratory technicians. Results for sniff nasal inspiratory pressure may in general be preferable to PImax measurements. On the other hand, in the evaluation of patients with greater disease severity, sniff nasal inspiratory pressure will possibly underestimate the inspiratory muscle strength, as patients are unable to produce the initial negative trans-nasal pressure to open the nostril valve [30]. Smokers were not excluded in our study, however as smoking may result in an obstructive instead of a restrictive pulmonary function pattern, the results will not be influenced by smoking.

Conclusion

In DM1 patients, overweight is an independent factor for predicting TLC, and contributes independently of FIV1. Because overweight is related to increased work of breathing and inspiratory muscle strength is reduced in DM1, the fatigue threshold will be reached sooner. Therefore, muscle fatigue and the onset of respiratory failure will develop at an earlier stage in overweight patients, especially during increased ventilator demand. Moreover, over half of DM1 patients are overweight, and nearly all patients have an abnormal body composition. To develop interventional strategies for weight loss, it will be important to categorize the individual type of body composition. Hence, preventing the development of overweight in DM1 patients may result in delaying respiratory failure and mortality in DM1.

Supporting Information

S1 File. Data of body composition and pulmonary function in DM1 patients. (XLSX)

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CGWS takes responsibility for the content of the manuscript including the data and accuracy of the data analysis. The manuscript is written by CGWS with input from all authors. CGWS, GD, BGMvE and YFH designed the study and interpreted results. CGWS and JMP performed statistical analyses. GD, BGMvE and YFH supervised the study. All authors read and approved the manuscript.

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Author Contributions

Conceived and designed the experiments: CGWS GD BGMvE YFH. Performed the experiments: CGWS YFH. Analyzed the data: CGWS JMP. Wrote the paper: CGWS GD JMP BGMvE YFH.



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