#### PRECLINICAL STUDY



# The frequency and clinical significance of DNA polymerase beta (POLβ) expression in breast ductal carcinoma in situ (DCIS)

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## Abstract

**Background** The prediction of clinical behaviour of breast ductal carcinoma in situ (DCIS) and its progression to invasive disease remains a challenge. Alterations of DNA damage repair mechanisms are associated with invasive breast cancer (BC). This study aims to assess the role of base excision repair (BER) DNA Polymerase Beta (POL $\beta$ ) in DCIS.

**Methods** A cohort of DCIS comprising pure DCIS (n = 776) and DCIS coexisting with invasive BC (n = 239) were prepared as tissue microarrays. POL $\beta$  protein expression was assessed using immunohistochemistry and correlated with clinicopathological parameters and patient outcome. Preclinically, we investigated the impact of POL $\beta$  depletion on stem cell markers in representative DCIS cell line models.

**Results** Reduced POL $\beta$  expression was associated with aggressive DCIS features including high nuclear grade, comedo necrosis, larger tumour size, hormonal receptor negativity, HER2 overexpression and high Ki67 index. Combined low nuclear/low cytoplasmic POL $\beta$  expression showed the strongest association with the features' characteristics of aggressive behaviour. There was a gradual reduction in the POL $\beta$  expression from normal breast tissue, to DCIS, with the lowest expression observed in the invasive BC. Low POL $\beta$  expression was an independent predictor of recurrence in DCIS patients treated with breast conserving surgery (BCS). *POL\beta* knockdown was associated with a significant increase in cell stemness markers including SOX2, NANOG and OCT4 levels in MCF10-DCIS cell lines.

**Conclusion** Loss of POL $\beta$  in DCIS is associated with aggressive behaviour and it can predict recurrence. POL $\beta$  expression in DCIS provides an additional feature for patients' risk stratification for personalised therapy.

Keywords DCIS  $\cdot$  Breast cancer  $\cdot$  POL $\beta$   $\cdot$  DNA damage response  $\cdot$  Prognosis

# Introduction

Breast cancer (BC) carcinogenesis is a multistep process that involves genetic and epigenetic changes which leads to gradually develops invasive breast cancer (IBC). This multistep

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process transforms normal ductal cells pre-invasive lesions and eventually into invasive disease [1]. In breast ductal carcinoma in situ (DCIS) the malignant epithelial cells are morphologically and genetically similar to their invasive BC counterparts, but they are confined within the mammary duct system. Prediction of DCIS progression to invasive disease or recurrence after initial excision remains a challenge [2].

The maintenance of genomic integrity is achieved by DNA protection from damage that could be induced by endogenous or exogenous factors [3]. DNA damage repair (DDR) is a complex mechanism and depends on the interaction between the various pathways [4]. Carcinogenesis is driven by impaired DNA repair [5–7]. DNA polymerase  $\beta$ (POL $\beta$ ) is one of the DNA polymerase groups that appear to have paramount significance in genome integrity preservation [8–10]. Bases that have been damaged by oxidation, alkylation or ring saturation must be removed accurately by the base excision repair (BER) pathway [11]. POL $\beta$  is recruited to the impaired cells by cooperating with the BER scaffold protein (XRCC1) and the DNA damage repair protein (PARP1) [12–14]. POL $\beta$  is localised on chromosome 8p11 and it is a hot spot for chromosomal deletion and alterations associated with different types of cancers including BC [15–19]. Mutation in POL $\beta$  raises the mutation frequency which promotes carcinogenesis [20–22].

In this study, we hypothesised that POL $\beta$  provides prognostic and predictive value in DCIS. We utilised a large well-characterised cohort of DCIS to assess the clinical and molecular significance of POL $\beta$  expression in DCIS and determine its association with the disease progression.

## **Materials and methods**

#### **Study cohort**

This retrospective study was carried out on a successive series of 1015 DCIS cases comprising pure DCIS (n = 776)and DCIS with synchronous invasive BC (DCIS mixed; n = 239) diagnosed and treated at the Breast Institute, City Hospital, Nottingham, United Kingdom. The demographic and histopathological data including age at diagnosis, mode of disease presentation (symptomatic or screen-detected), DCIS size, nuclear grade, the presence of necrosis and postoperative radiotherapy (RT), were collected. Molecular classification based on the expression of hormonal receptors [oestrogen and progesterone receptor (ER&PR)], HER2 status and Ki-67 proliferation index were available as previously described [23]. ER&PR positivity was defined when the positive nuclei of tumour cells were  $\geq 1\%$  [24]. Herceptin test method was used to assess HER2 where IHC score of 0 or 1 considered as negative, 2 + considered as equivocal and 3 + considered as positive [25]. Moreover, the Ki-67 proliferation index was defined as high if its nuclear expression in malignant epithelial cells was more than 14% [23] (Supplementary Table S1). Local recurrence-free interval (LRFI) was defined as the time between the primary surgical excision to the time of development ipsilateral recurrence as DCIS or invasive BC. Cases with contralateral breast cancer were censored at the time of development of the contralateral event.

#### Analysis of POL<sub>β</sub> mRNA in invasive BC

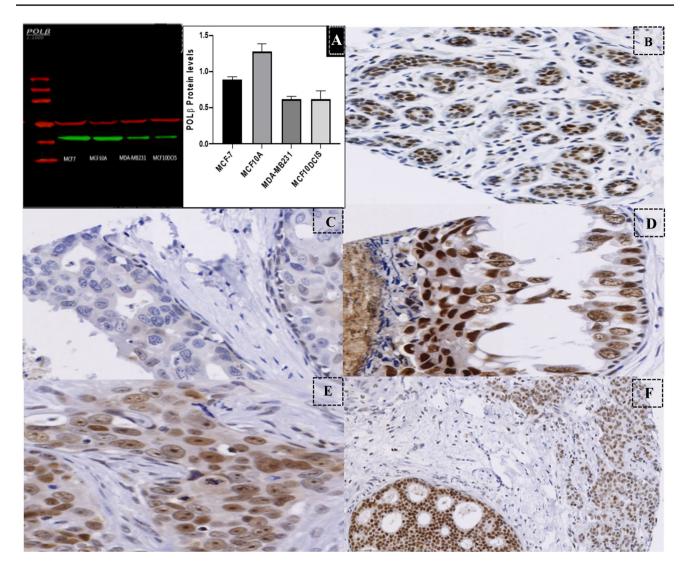
Due to the limited availability of transcriptomic data of the DCIS, the Molecular Taxonomy of Breast Cancer International Consortium (METABRIC) (n = 1980) data were used to validate the clinical and prognostic significance of POL $\beta$  in invasive BC [26].

#### Evaluation of POLβ protein expression

Western blotting was performed to validate the anti-POL $\beta$  antibody specificity (Abcam; rabbit polyclonal ab26343. Lot No. GR 284,224–3). A panel of human cell lysates was used including MCF10DCIS, MCF7, MCF10A and MDA-MB-231. They were purchased from the American Type Culture Collection (Rockville, MD, USA). A single specific band at the predicted size of 38 kDa was achieved using an antibody dilution at 1:1000 and incubated overnight at 4 °C. Anti-beta tubulin (mouse monoclonal anti-beta tubulin antibody Abcam) 55 kDa was included in the Western Blot as a loading control (Fig. 1A).

The assessment of the expression of POL $\beta$  protein in DCIS by immunohistochemistry (IHC) was conducted on 4 µm tissue microarray sections (TMA) and full-face tissue Sects. (10 cases) of formalin-fixed paraffin-embedded (FFPE) blocks using the Novocastra Novolink polymer detection system (Leica, Newcastle, UK) following the manufacturer's guidelines. Subsequently, samples were incubated for 30 min at room temperature with the POL $\beta$  primary antibody optimally diluted in 1:500 in the Leica antibody diluent (Supplementary material and method S1, S2).

The scoring, based on a semi-quantitative histochemical assessment scoring method (H-Score), was conducted on POL<sup>β</sup> nuclear and cytoplasmic expression. H-score took into consideration the staining intensity (negative, weak, moderate and strong expression as 0, 1, 2 and 3, respectively) and the percentage of the stained tumour cells. The result of scoring was gained by multiplying the intensity of staining by the percentage of expression in the nucleus and cytoplasm of the tumour cells. The score was expressed in a range of 0-300 [27]. All cores with less than 15% tumour were excluded from scoring. DCIS component and invasive component in DCIS mixed with invasive were scored separately. Moreover, whenever present in the tissue cores, expression of POL $\beta$  within the adjacent normal terminal ductal lobular unit (TDLUs) was also assessed (n = 50). X-tile (X-tile Bioinformatics software, Yale University, version 3.6.1) was used to dichotomise the nuclear POL $\beta$ expression into high (H-score > 130) and low, and cytoplasmic expression into high (H-score > 60) and low according to patient outcome in the pure DCIS cohort [28, 29]. Scoring has been done blindly to clinicopathological data and patient outcome. Approximately 30% of cases were double scored by another pathologist and the discrepant cases were reviewed by both observers and a final score was agreed.



**Fig. 1** POL- $\beta$  Western blot and IHC protein expression. **A** Western blot for POL- $\beta$  antibody showed a single band at the predicted molecular weight 38 kDa in the cell lysates MCF7, MCF10A, MDA-MB231 and MCF10-DCIS, respectively (green bands). Tubulin used as an internal loading control and shows a single band as a standard control (red band) at the predicted molecular weight 55 kDa in all cell lysates. W.B chart was presented the higher level of POL- $\beta$  protein

POLβ siRNA knockdown (KD) in DCIS cells

MCF10DCIS cells were a gift from prof. Vimla band laboratory. MCF10DCIS BC cell line was previously derived from a xenograft originating from premalignant MCF10AT cells injected into SCID mice [30]. Injection of the MCF10DCIS cells into SCID mice results in a predominantly comedo DCIS phenotype [30, 31]. MCF10A, MCF-7 and MDA -MB231 breast cancer cell lines were purchased from ATCC. MCF10DCIS and MCF10A cell lines were cultured in DMEM-F12 supplemented with 10% horse serum, 5 mg/ml insulin, 1 mg/ml cholera toxin, and 100 µg/mL

was expressed in MCF10A, MCF7, MCF10DCIS, and MDA-MB 231, respectively. **B**–**F** POL- $\beta$  protein expression in DCIS. **B** Normal terminal ductal lobular units, lined by single layer of epithelial cells (X10). **C** Negative IHC expression (X20). **D** Strong IHC expression in DCIS (X20). **E** Weak to moderate IHC expression in IBC component (X20). **F** Mixed DCIS coexistent with IBC (X10)

EGFR, 5 mg/mL hydrocortisone and 1% penicillin–streptomycin. MDA-MB231 and MCF-7 cells were cultured in minimum essential amino acids medium supplemented with 10% FBS, 1% penicillin–streptomycin, 1% L-glutamine and 1% nonessential amino acids. POL $\beta$  siRNA were obtained from Invitrogen, UK (catalogue no. 4390824, ID: S10776). Briefly, 1×10<sup>6</sup> Cells were seeded in T25 cell culture flasks overnight. siRNA constructs were transfected using Lipofectamine 3000 reagent (Invitrogen, UK) (catalogue no. L3000001) as per the manufacturer's protocol in Opti-MEM low serum medium (catalogue no. 11058021). Transfection efficiency was confirmed on day 3, day 5 and day 7 using western blotting. Evaluation of N-cadherin, C-MYC, OCT4, NANOG, SOX2, MMP-9 and ALDH1 protein expression was performed by western blotting on extracts of MCF10D-CIS POL $\beta$  control and MCF10-DCIS POL $\beta$ \_KD.

#### Statistical analysis

Statistical analysis was conducted using SPSS software version 24 (Chicago, IL, USA). Relevant statistical analyses have been carried out based on the distribution of data (parametric or non-parametric). Association between POLB mRNA expression with clinicopathological parameters and outcome was carried out in the METABRIC database. Chi Square, Mann-Whitney and Kruskal Wallis tests were used to evaluate the correlation of clinicopathological parameters with the expression of POL<sub>β</sub> protein level. Wilcoxon signed test was used to compare POL<sup>β</sup> protein level in the TDLUs and DCIS. Whilst Mann-Witney was used to compare POL<sup>β</sup> protein level in pure DCIS and DCIS component coexistent with IBC. To compare between DCIS component and invasive component in mixed cases, Wilcoxon Signed Ranks Test was used. Log rank and Kaplan Meier tests were used to perform the outcome analysis. p-value < 0.05 was considered significant.

Ethical approval was obtained by North West Manchester Ethics Committee under the title "Nottingham Health Science Biobank (15/NW/0685)".

The reporting recommendation for tumour markers prognostic studies (REMARK) criteria was used in this study.

# Results

#### **POLβ** protein expression

Stained full-face sections (n = 10) of DCIS and DCIS coexistent with invasive disease showed a homogenous distribution of POL $\beta$  expression which demonstrated the efficiency of using the TMA sections to evaluate POL $\beta$  expression. POL $\beta$  was expressed in nuclei of the TDLUs and tumour cells with variable intensities. Occasional weak to moderate staining in the cytoplasm of tumour cells was also noticed.

POL $\beta$  protein expression showed unimodal, non-parametric distribution amongst the study cohort where the median *H*-score for the nuclear POL $\beta$  expression was 130 (range 0–270) and for cytoplasmic expression was 60 (range 0–240). Interestingly, these figures were similar to the cutoff points identified by the X-tile software to stratify the cases according to outcome. Based on these cut-off points, high nuclear POL $\beta$  expression was observed in 388/465 cases (83%) whilst low cytoplasmic POL $\beta$  expression was observed in 322/465 cases (69%).

#### POLβ expression in the mixed DCIS cohort

In the DCIS component in the DCIS-mixed cohort, positive nuclear staining was recognised in 189/198 cases (95%). Furthermore, positive cytoplasmic expression was recognised in 179/198 cases (90%). The median *H*-score for nuclear POL $\beta$  expression in the DCIS component was 100 (range 0–220) and the median *H*-score for nuclear POL $\beta$ expression in the invasive component was 90 (range 0–180). For the cytoplasmic expression in the DCIS component, the median *H*-score was 60 (range 0–130). Low POL $\beta$  nuclear expression was observed in 46 out of 194 cases (76%), whilst low cytoplasmic expression was observed in 139/194 cases (72%) in the DCIS component.

Low nuclear expression was observed in 72 out of 222 cases (32%) of invasive component of the mixed cohort. However, 139/194 cases (72%) representing low cytoplasmic expression were seen in the mixed DCIS coexistent with IBC of the mixed cohort (Fig. 1B–F).

POL $\beta$  protein expression levels were higher in the nuclei of TDLUs compared with the pure DCIS (p = 0.002). The level of nuclear POL $\beta$  expression in the pure DCIS was higher than the DCIS component coexistent with invasive cancer (p < 0.001). Moreover, the nuclear POL $\beta$  expression in the DCIS component coexistent with invasive in the mixed cohort was higher than the invasive component (p = 0.002). The nuclear intensity expression was greater in the TDLUs compare with the invasive component (p < 0.001) (Fig. 2).

# The association between POL $\beta$ expression and clinicopathological parameters

Low nuclear POL $\beta$  protein expression in pure DCIS cohort was associated with aggressive clinicopathological parameters, including high nuclear grade (p=0.001), comedo necrosis (p=0.006), negative hormonal status (p < 0.001), positive HER2 status (p=0.005) and with HER2 in molecular subtypes (p < 0.001) (Table 1). There was a significant association of low nuclear POL $\beta$  expression with large DCIS size (p=0.018) and high proliferative index Ki-67 (p=0.008) (Supplementary Table S2). Low cytoplasmic POL $\beta$  expression was significantly associated with larger DCIS size (p=0.017), diffused type of DCIS (p=0.025) high nuclear grade (p=0.015), PR negativity (p=0.046), HER2 positivity (p=0.007) and higher proliferation index (Ki67) (p=0.021) (Table 2 and Supplementary Table S3).

Low POL $\beta$  nuclear expression in DCIS-mixed cohort was significantly associated with larger tumour size (p = 0.022), high nuclear grade (p = 0.025), comedo necrosis (p = 0.002), negative oestrogen receptor (p = 0.001) and with patients treated with mastectomy (p = 0.023). Results were confirmed by continuous data analysis (Table 3). Additionally, low cytoplasmic POL $\beta$  expression was associated

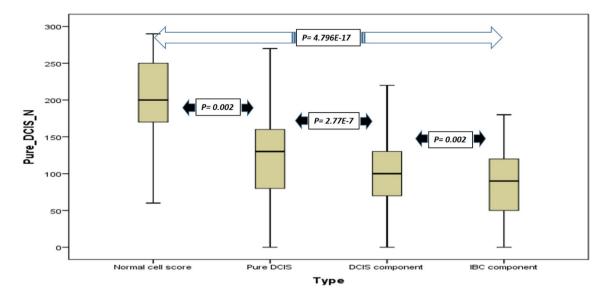


Fig. 2 POL- $\beta$  nuclear protein expression boxplot. POL- $\beta$  nuclear protein expression boxplot showing the highest level of POL- $\beta$  nuclear protein expression in the normal TDLUs, decreased to the lowest level in the IBC component of the mixed IBC series

with high nuclear grade (p = 0.034), presence of comedo necrosis (0.018) and negative oestrogen receptor (p = 0.018). Similar results were obtained by analysis of continuous data (Table 4).

POL $\beta$  nuclear/cytoplasmic (N/C) protein co-expression has been investigated in the DCIS cohort. 29% demonstrated high nuclear/high cytoplasmic expression (H.N/H.C), 54% showed H.N/L.C, 15% with L.N/L.C and 2% demonstrated L.N/H.C.

The L.N/L.C cluster was significantly associated with aggressive behaviour including high nuclear grade (p=0.003), presence of comedo necrosis (p=0.026), and larger size of DCIS (p=0.010). However, the low protein expression of POL $\beta$  (L.N/L.C cluster) was observed more in the ER positive (p=0.001) and HER2 negative (p=0.004) tumours and in the luminal A molecular subgroup compared to other molecular subgroups (p < 0.001) (Table 5).

#### METABRIC cohort

Low *POL* $\beta$  mRNA expression was associated with young patient age (p = 0.001), premenopausal status (p = 0.015), high tumour grade (p < 0.001), negative hormonal status (ER&PR) (p < 0.001), positive HER2 status (p < 0.001) and basal-like breast cancer molecular subtype (p < 0.001) (Supplementary Table S4). Moreover, low *POL* $\beta$  mRNA expression was associated with shorter BCSS (p < 0.001, HR = 0.720, 95% CI 0.604–0.859) (Fig. 3A).

#### Association of POL<sub>β</sub> and patient outcome

Low nuclear expression of POL $\beta$  in the DCIS was significantly associated with poor outcome in the form of shorter LRFI for all recurrences (in situ recurrence and invasive recurrence) (p = 0.041. HR = 0.530, 95% CI 0.287–0.976) (Fig. 3B). A significant association was observed in patients who underwent BCS without adjuvant radiotherapy (RT) (p = 0.018. HR = 0.433, 95% CI 0.217–0.865), as well as in patients treated with BCS and received adjuvant radiotherapy (BCS + RT) (p = 0.041. HR = 0.528, 95% CI 0.287–0.973) (Fig. 3C and D respectively).

Although POL $\beta$  cytoplasmic expression in pure DCIS did not show significant associations with patient outcome, combined analysis of N/C expression revealed that L.N/L.C cluster was associated with shorter LRFI (p=0.022, HR=2.234, 95% CI 1.125–4.436) (Fig. 3E).

Low expression of POL $\beta$  nuclear protein in DCIS was an independent predictor of a poor outcome in DCIS when plotted against patient age, DCIS size, nuclear grade, radio-therapeutic treatment, HER2 status and proliferation index Ki67 (p = 0.031. HR = 0.490, 95% CI 0.256–0.936) (Table 6). Cytoplasmic POL $\beta$  expression but did not reveal any significant association as a predictor of patient outcome.

#### **Functional studies**

POL $\beta$  depletion and stemness phenotype in MCF10-DCIS cell line: The clinical data shown here suggest that downregulation of POL $\beta$  is associated with aggressive breast cancer pathogenesis. Therefore, we hypothesised that POL $\beta$  depletion could be associated with increased stemness in DCIS

<b>Table 1</b> Correlation between nuclear POL- $\beta$ protein expression and	1
clinicopathological parameters in pure DCIS cohort using categorical	1
values	

**Table 2** Correlation between cytoplasmic POL- $\beta$  expression in DCIS with clinicopathological parameters in pure DCIS cohort using categorical values

Age (Years) $\leq 50$ $20 (17.0)$ $96 (83.0)$ $116 (25.0)$ > 50 $57 (16.0)$ $292 (84.0)$ $349 (75.0)$ Size <sup>a</sup> $< 16 \text{ mm}$ $21 (15.0)$ $124 (72.0)$ $145 (31.0)$ $16-40 \text{ mm}$ $30 (16.0)$ $154 (84.0)$ $184 (40.0)$ > 40 mm $26 (19.0)$ $108 (81.0)$ $134 (29.0)$ DCIS presentation $Screening$ $34 (15.0)$ $192 (85.0)$ $226 (49.0)$ Symptomatic $43(18.0)$ $196 (82.0)$ $239 (51.0)$ Nuclear grade $Low$ $4 (7.0)$ $57 (93.0)$ $61 (13.0)$ Moderate $12 (10.0)$ $109 (90.0)$ $121 (26.0)$ High $61 (22.0)$ $222 (78.0)$ $283 (61.0)$	<ul> <li>0.820</li> <li>(1.239)</li> <li>0.538</li> <li>(0.730)</li> <li>0.393</li> <li>(13.391)</li> <li>0.001</li> </ul>
> 50       57 (16.0)       292 (84.0)       349 (75.0)         Size <sup>a</sup> -       -	<ul> <li>0.820</li> <li>(1.239)</li> <li>0.538</li> <li>(0.730)</li> <li>0.393</li> <li>(13.391)</li> <li>0.001</li> </ul>
Size <sup>a</sup> 21 (15.0)       124 (72.0)       145 (31.0)         16-40 mm       30 (16.0)       154 (84.0)       184 (40.0)         > 40 mm       26 (19.0)       108 (81.0)       134 (29.0)         DCIS presentation       34 (15.0)       192 (85.0)       226 (49.0)         Symptomatic       43(18.0)       196 (82.0)       239 (51.0)         Nuclear grade       2000       2000       113.00         Low       4 (7.0)       57 (93.0)       61 (13.0)         Moderate       12 (10.0)       109 (90.0)       121 (26.0)	<ul> <li>(1.239)</li> <li>(0.538)</li> <li>(0.730)</li> <li>(0.730)</li> <li>(0.393)</li> <li>(13.391)</li> <li><b>0.001</b></li> </ul>
< 16 mm	<ul> <li>0.538</li> <li>0.538</li> <li>0.0.000</li> <li>0.0000</li> <li>0.0000</li> <li>0.0000</li> </ul>
16-40 mm       30 (16.0)       154 (84.0)       184 (40.0)         > 40 mm       26 (19.0)       108 (81.0)       134 (29.0)         DCIS presentation       34 (15.0)       192 (85.0)       226 (49.0)         Symptomatic       43(18.0)       196 (82.0)       239 (51.0)         Nuclear grade       200       200       100         Low       4 (7.0)       57 (93.0)       61 (13.0)         Moderate       12 (10.0)       109 (90.0)       121 (26.0)	<ul> <li>0.538</li> <li>0.538</li> <li>0.0.000</li> <li>0.0000</li> <li>0.0000</li> <li>0.0000</li> </ul>
> 40 mm       26 (19.0)       108 (81.0)       134 (29.0)         DCIS presentation       34 (15.0)       192 (85.0)       226 (49.0)         Symptomatic       34 (15.0)       192 (85.0)       226 (49.0)         Nuclear grade       43(18.0)       196 (82.0)       239 (51.0)         Low       4 (7.0)       57 (93.0)       61 (13.0)         Moderate       12 (10.0)       109 (90.0)       121 (26.0)	<ul> <li>(0.730)</li> <li>(0.730)</li> <li>(0.393)</li> <li>(13.391)</li> <li><b>0.001</b></li> </ul>
DCIS presentation         34 (15.0)         192 (85.0)         226 (49.0)           Symptomatic         43(18.0)         196 (82.0)         239 (51.0)           Nuclear grade         200         200         113.00           Low         4 (7.0)         57 (93.0)         61 (13.0)           Moderate         12 (10.0)         109 (90.0)         121 (26.0)	<ul> <li>(0.730)</li> <li>(0.393)</li> <li>(13.391)</li> <li><b>0.001</b></li> </ul>
Screening         34 (15.0)         192 (85.0)         226 (49.0)           Symptomatic         43(18.0)         196 (82.0)         239 (51.0)           Nuclear grade         200         200         210 (13.0)           Low         4 (7.0)         57 (93.0)         61 (13.0)           Moderate         12 (10.0)         109 (90.0)         121 (26.0)	0.393 0) (13.391) 0) <b>0.001</b>
Symptomatic         43(18.0)         196 (82.0)         239 (51.0)           Nuclear grade         230         230 (51.0)         230 (51.0)           Low         4 (7.0)         57 (93.0)         61 (13.0)           Moderate         12 (10.0)         109 (90.0)         121 (26.0)	0.393 0) (13.391) 0) <b>0.001</b>
Nuclear grade         4 (7.0)         57 (93.0)         61 (13.0)           Moderate         12 (10.0)         109 (90.0)         121 (26.0)	0) (13.391) 0) <b>0.001</b>
Low         4 (7.0)         57 (93.0)         61 (13.0)           Moderate         12 (10.0)         109 (90.0)         121 (26.0)	0) 0.001
Moderate 12 (10.0) 109 (90.0) 121 (26.0	0) 0.001
	5)
High 61 (22.0) 222 (78.0) 283 (61.0	))
Comedo necrosis	
No 17 (10.0) 150 (90.0) 167 (39.0	0) (7.676)
Yes 60 (20.0) 238 (80.0) 283 (61.0	<sub>D)</sub> 0.006
Oestrogen status	
Negative 31 (28.0) 81 (72.0) 112 (26.0	0) (13.414)
Positive 41 (13.0) 281(87.0) 322 (74.0	)) <b>&lt;0.001</b>
Progesterone status	
Negative 47 (26.0) 135 (74.0) 182 (41.0	0) (20.463)
Positive 25 (10.0) 234 (90.0) 259 (59.0	)) <b>&lt;0.001</b>
Her2 status	
Negative 43 (13.0) 281 (87.0) 324 (77.0	0) (8.068)
Positive 25 (25.0) 74 (75.0) 99 (23.0	0. <b>005</b> 0.005
Proliferation index (Ki 67)	
Low (<14%) 47 (15.0) 266 (85.0) 313 (77.0	0) (2.191)
High $(\geq 14\%)$ 20 (22.0) 73 (78.0) 93 (23.0)	<sub>0)</sub> 0.139
Molecular classes	
Luminal A 21 (11.0) 170 (89.0) 191 (51.0	0) <b>(18.926)</b>
Luminal B 11 (14.0) 69 (86.0) 80 (21.0	0) <0.001
Her2 16 (37.0) 27 (63.0) 43 (11.0	))
Triple negative 12 (20.0) 84 (80.0) 60 (16.0	))

Significant p-values are in bold

No number,  $\chi^2$  Chi square, POL- $\beta$  DNA polymerase beta, DCIS ductal carcinoma in situ, HER2 human epidermal growth factor receptor 2

<sup>a</sup>Size: based on Van Nuys Prognostic Index (VNPI)

leading to aggressive phenotype. We showed that MCF10-DCIS cells are non-invasive cell line, similar to MCF10A non-cancerous epithelial cells [32]. We performed POL $\beta$ knockdown by siRNA in MCF10-DCIS cells. We observed a robust knockdown of POL $\beta$  in our cells in day 3, 5 and 7, the most depleted level of POLß protein level was observed

Parameters	Low exp No. (%)	High exp No. (%)	Total No. (%)	$(\chi^2)$ p-value
Age (years)				
≤50	86 (74.0)	30 (56.0)	116 (25.0)	(1.736)
> 50	236 (6.0)	113 (32.0)	349 (75.0)	0.188
Size <sup>a</sup>				
<16 mm	88 (61.0)	57 (39.0)	145 (31.0)	(8.169)
16–40 mm	133 (72.0)	51 (28.0)	184 (40.0)	0.017
>40 mm	101 (75.0)	33 (25.0)	134 (29.0)	
DCIS presentation				
Screening	159 (70.0)	67 (30.0)	226 (49.0)	(0.253)
Symptomatic	163 (68.0)	76 (32.0)	239 (51.0)	0.615
Nuclear grade				
Low	37 (61.0)	24 (39.0)	61 (13.0)	(8.379)
Moderate	75 (62.0)	46 (38.0)	121 (26.0)	0.015
High	210 (74.0)	73 (26.0)	283 (61.0)	
Comedo necrosis				
No	109 (65.0)	58 (35.0)	167 (36.0)	(1.936)
Yes	21 (71.0)	85 (29.0)	298 (64.0)	0.164
Oestrogen status				
Negative	84 (75.0)	28 (25.0)	112 (26.0)	(3.013)
Positive	213 (66.0)	109 (34.0)	322 (74.0)	0.083
Progesterone status				
Negative	135 (74.0)	47 (26.0)	182 (41.0)	(3.976)
Positive	169 (65.0)	90 (35.0)	259 (59.0)	0.046
Her2 status				
Negative	212 (65.0)	112 (35.0)	324 (77.0)	(7.290)
Positive	79 (80.0)	20 (20.0)	99 (23.0)	0.007
Proliferation index (Ki 67)				
Low (<14%)	210 (67.0)	103 (33.0)	313 (77.0)	(5.310)
High (≥14%)	74 (80.0)	19 (20.0)	93 (23.0)	0.021
Molecular classes				
Luminal A	127 (66.0)	64 (34.0)	191 (51.0)	(6.852)
Luminal B	55 (69.0)	25 (31.0)	80 (21.0)	0.077
Her2	37 (86.0)	6 (14.0)	43 (12.0)	
Triple negative	39 (65.0)	21 (35.0)	60 (16.0)	

Significant p-values are in bold

No number,  $\chi^2$  Chi square, POL- $\beta$  DNA polymerase beta, DCIS ductal carcinoma in situ, HER2 Human epidermal growth factor receptor 2, LCIS lobular carcinoma in situ, BCS Breast conserving <sup>a</sup>Size: based on Van Nuys Prognostic Index (VNPI)

in day 7 (Fig. 4A). We evaluated the expression of well identified stem cells markers in MCF10DCIS\_POL6\_KD cells compared to controls. Interestingly, MCF10-DCIS\_POL $\beta$ \_ KD cells have a noticeable increase in C-MYC, OCT4, NANOG and SOX2 protein expression (Fig. 4B-E respectively) suggesting that MCF10-DCIS\_POL6\_KD acquired

Table 3Correlation betweenPOL- $\beta$  nuclear protein

expression in DCIS component in mixed cohort

Parameters	Categorical values			Continuous values			
	Low exp No. (%)	High exp No. (%)	Total No. (%)	$(\chi^2)$ <i>p</i> -value	No. of cases	Mean rank	$(\chi^2)$ <i>p</i> -value
Age (years)							
≤50	23 (25.0)	71 (75.0)	94 (48.0)	0.058	94	94.22	0.429
>50	23 (23.0)	77 (77.0)	100 (52.0)	0.810	100	100.58	
Size <sup>a</sup>							
<16 mm	19 (22.0)	69 (78.0)	88 (45.0)	7.678	88	101.53	0.197
16–40 mm	21 (22.0)	75 (78.0)	96 (50.0)	0.022	96	96.88	
>40 mm	6 (60.0)	4 (40.0)	10 (5.0)		10	67.95	
Nuclear grade							
Low	1 (10.0)	9 (90.0)	10 (5.0)	7.355	10	137.75	< 0.001
Moderate	5 (11.0)	41 (89.0)	46 (24.0)	0.025	46	119.10	
High	40 (29.0)	98 (71.0)	138(71.0)		138	87.38	
Comedo necrosis							
No	3 (7.0)	42 (93.0)	45 (23.0)	9.410	45	132.66	< 0.001
Yes	43 (29.0)	106(71.0)	149(77.0)	0.002	149	86.88	
Oestrogen status							
Negative	10 (53.0)	9 (47.0)	19 (10.0)	10.130	19	59.42	0.002
Positive	35 (20.0)	139 (80.0)	174 (90.0)	0.001	174	101.10	
Final operation							
Mastectomy	29 (31.0)	65 (69.0)	94 (48.0)	5.139	94	88.63	0.032
BCS	17 (17.0)	83 (83.0)	100(52.0)	0.023	100	105.84	

Significant p-values are in bold

*No* number,  $\chi^2$  Chi square, *POL-* $\beta$  DNA polymerase beta, *DCIS* ductal carcinoma in situ, *BCS* breast conserving, *BCS* breast conserving surgery

<sup>a</sup>Size: based on Van Nuys Prognostic Index (VNPI)

stemness phenotype associated cancerous self-renewal and increased cell division. However, MCF10-DCIS\_POL $\beta$ \_KD cells has a noticeable decrease in ALDH1 and no changes in N-cadherin and MMP-9 protein levels were observed in MCF10-DCIS\_POL $\beta$ \_KD cells (Fig. 4F–H respectively).

# Discussion

Although breast cancer is a heterogeneous disease, there is a great similarity between DCIS and invasive carcinoma at histological and molecular levels. DNA damage repair is a complex mechanism that depends on the interaction between the various pathways to repair impaired DNA. The mechanisms of DNA repair act as a barrier to maintain genetic stability as well as preventing cancer development. Following DNA damage, one or more repair pathways are activated, such as BER. POL $\beta$  is one of the most important DNA polymerases in BER as it contributes to genome stability maintenance [16, 33]. The role of the POL $\beta$  gene has been studied in many different tumours [16, 17, 27, 34–37]. In this study, POL $\beta$  low expression in the METABRIC cohort showed a significant association with an aggressive phenotype. This indicates the tumour suppressor role of POL $\beta$  in BC.

This study aimed to evaluate the expression of POL $\beta$  in a large DCIS cohort, as well as a DCIS-mixed cohort. The data provide evidence that POL $\beta$  might have a crucial role in DCIS genomic stability. Starcevic et al. [38] reported that one-third of total tumours examined expressed POLB variant proteins and could induce genomic instability. Our data showed an association between low POLβ expression and aggressive DCIS phenotypes such as a high nuclear grade, which is consistent with Chantre-Justino et al. [33]. BER transcript profiling based on grade demonstrated differences in the molecular signature between the high and low-grade tumours, which is referred to as differential transcriptional regulation suggesting that BER dysregulation could promote carcinogenesis. POL $\beta$  gene has a misalignment-mediated mutator activity associated with aggressive mutator phenotype [38, 39]. It has been reported that cells with reduced expression of POL $\beta$  protein accumulate DNA damage and aggressive cancerous phenotype may be driven by mutation and genomic instability that result from impaired BER [40]. Moreover, increased POL $\beta$  expression proved to be

**Table 4** Correlation between POLβ cytoplasmic protein expression in DCIS component in mixed cohort

Parameters	Categorica	l values	·	Continuous values			
	Low exp No. (%)	High exp No. (%)	Total No. (%)	$(\chi^2)$ <i>p</i> value	No. of cases	Mean rank	$(\chi^2)$ <i>p</i> value
Age (years)							
≤50	69 (73.0)	25 (27.0)	94 (48.0)	0.058	94	93.61	0.346
>50	70 (70.0)	30 (30.0)	100(52.0)	0.810	100	101.16	
Size <sup>a</sup>							
<16 mm	59 (67.0)	29 (33.0)	88 (45.0)	2.828	88	100.02	0.364
16–40 mm	71 (74.0)	25 (26.0)	96 (50.0)	0.243	96	97.68	
>40 mm	9 (90.0)	1 (10.0)	10 (5.0)		10	73.55	
Nuclear grade							
Low	6 (60.0)	4 (40.0)	10 (5.0)	6.278	10	113.65	0.013
Moderate	27 (27.0)	19 (41.0)	46 (24.0)	0.034	46	116.45	
High	106(77.0)	32 (23.0)	138(71.0)		138	90.01	
Comedo necrosis							
No	26 (58.0)	19 (42.0)	45 (25.0)	5.550	45	117.66	0.006
Yes	113(76.0)	36 (24.0)	149(75.0)	0.018	149	91.41	
Oestrogen status							
Negative	18 (95.0)	1 (5.0)	19 (10.0)	5.583	19	81.00	0.186
Positive	120(69.0)	54(31.0)	174(90.0)	0.018	174	98.75	
Final operation							
Mastectomy	73 (78.0)	21 (22.0)	94 (48.0)	3.243	94	88.63	0.032
BCS	66(66.0)	34 (34.0)	100(52.0)	0.072	100	105.84	

Significant *p*-values are in bold. Mean rank operated by MannWhitey test and Kurskal test

 $POL-\beta$  DNA polymerase beta, DCIS ductal carcinoma in situ, Her2 human epidermal growth factor receptor 2, BCS breast conserving

<sup>a</sup>Size: based on Van Nuys Prognostic Index (VNPI)

resistant to DNA damage. These results suggest that POLB can act as a caretaker gene where its absence associates with aggressive behaviour [41]. Our results showed that negative ER expression is associated with aggressive behaviour. Mobbley and Brueggemeier [42] reported that oestrogen evolves to carcinogenesis in the breast by creating a link that connects oestrogen-induced BC and an oxidative stress pathway. Moreover, there is a robust relationship between ER responsiveness and oxidative DNA damage that is significantly elevated by approximately ten-fold in the invasive BC compared to normal breast tissue and about three-fold higher in positive ER than negative ER BC [43]. Bhat et al. [44] mentioned that oestrogen could promote carcinogenicity by inducing oxidative stress. Interestingly, our results showed that low nuclear POL $\beta$  level was associated with ER negative cases which probably suggests that non oestrogen mediated oxidative stress pathways trigger DNA damage and particularly involve in DCIS.

Following our result, it has been found that amplified Her2 was associated significantly with aggressive behaviour and poor prognosis in breast cancer [25, 45]. Notably, expression of the HER2 level was different within various stages of BC progression. In general, Her2 in TDLUs was rarely detected in atypical ductal hyperplasia (ADH) but was amplified or overexpressed in high nuclear grade DCIS, especially in types of comedo necrosis and in a high nuclear grade of IBC. A loss of, or undetectable, Her2 protein levels in benign lesions suggests that its amplification or overexpression occurs in the transition from hyperplasia to DCIS, suggesting that overexpression is considered significant in early malignant progression [46]. It is therefore noticeable that the trend of decreasing POL $\beta$  occurs simultaneously with increasing HER2 expression when tumour aggressiveness increases. However, it is hard to conclude whether there is direct or indirect crosstalk between these two proteins as further molecular investigations required.

In this study, we explored the level of POL $\beta$  nuclear protein in a comparative cohort (mixed DCIS/IBC cohort). Our observations showed that a decreasing trend of POL $\beta$  levels was demonstrated starting from TDLUs, pure DCIS series, DCIS component coexisting with invasive disease and invasive component which showed the lowest level of POL $\beta$  protein in the study cohort. This observation supports the notion of our hypothesis which states that the lack, or loss, of the POL $\beta$  protein associates with aggressive DCIS phenotype.

Table 5 The correlation between Nuclear/Cytoplasmic (clustering) POLB expression in pure DCIS cohort with clinicopathological parameters

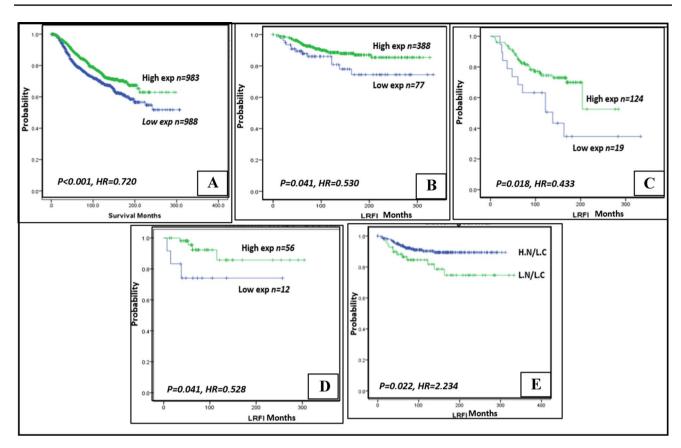
Parameters	H.N/H.C No. (%)	H.N/L.C No. (%)	L.N/L.C No. (%)	L.N/ H.C No. (%)	Total No. (%)	$(\chi 2)$ p value	
		110. (70)	110. (70)			p value	
Age (years)	<b>a</b> a ( <b>a</b> 1 a)		10 (0 ( 0)			(1.00-	
≤50	28 (21.0)	68 (27.0)	18 (26.0)	2 (25.0)	116 (25.0)	(1.827) 0.585	
>50	107 (79.0)	185 (73.0)	51 (74.0)	6 (75.0)	349 (75.0)	0.385	
DCIS size							
$\leq$ 20 mm	74 (56.0)	98 (39.0)	31 (45.0)	5 (62.0)	208 (45.0)	(11.087)	
>20 mm	59 (44.0)	155 (61.0)	38 (55.0)	3 (38.0)	255 (55.0)	0.010	
DCIS presentation							
Screening	63 (47.0)	129 (51.0)	30 (44.0)	4 (50.0)	226 (49.0)	(1.510)	
Symptomatic	72 (53.0)	124 (49.0)	39 (56.0)	4 (50.0)	239 (51.0)	0.673	
Nuclear grade							
Low	24 (18.0)	33 (13.0)	4 (6.0)	0 (0.0)	61 (13.0)	(18.978)	
Moderate	44 (33.0)	65 (26.0)	10 (14.0)	2 (52.0)	121 (26.0)	0.003	
High	67 (50.0)	155 (61.0)	55 (80.0)	6 (75.0)	283 (61.0)		
Comedo necrosis							
No	57 (42.0)	93 (37.0)	16 (23.0)	1 (13.0)	167 (36.0)	(9.173)	
Yes	78 (58.0)	160 (63.0)	53 (77.0)	7 (88.0)	298 (64.0)	0.026	
Oestrogen receptor							
Negative	23 (18.0)	58 (25.0)	26 (41.0)	5 (62.0)	112 (26.0)	(17.354)	
Positive	106 (82.0)	175 (75.0)	38 (59.0)	3 (38.0)	322 (74.0)	0.001	
Her2 status							
Negative	107(86.0)	174 (76.0)	38 (62.0)	5 (71.0)	324 (77.0)	(12.831)	
Positive	18 (14.0)	56 (24.0)	23 (38.0)	2 (29.0)	99 (23.0)	0.004	
Proliferation index (Ki 67							
Low (≤14%)	98 (84.0)	168 (75.0)	42 (69.0)	5 (83.0)	313 (77.0)	(6.455)	
High (>14%)	18 (16.0)	55 (25.0)	19 (31.0)	1 (17.0)	93 (23.0)	0.078	
Molecular classes							
Luminal A	64 (58.0)	106 (52.0)	21 (39.0)	0 (0.0)	191 (51.0)	(33.130)	
Luminal B	24 (22.0)	45 (22.0)	10 (18.0)	1 (17.0)	80 (21.0)	< 0.001	
Her2	5 (5.0)	22 (11.0)	15 (27.0)	1 (17.0)	43 (11.0)		
Triple negative	17 (16.0)	31 (15.0)	8 (15.0)	4 (66.0)	60 (16.0)		

Significant p-values are in bold

POL<sub>β</sub> DNA polymerase beta, DCIS ductai carcinoma in situ, HER2 Enriched human epidermal growth factor receptor 2, H.N/H.C high nuclear/low cytoplasmic expression, H.N/L.C high nuclear/low cytoplasmic expression, L.N/L.C low nuclear/low cytoplasmic expression, L.N/H.C low nuclear/high cytoplasmic expression

Low POL<sup>β</sup> nuclear protein level showed significant association with shorter LRFI. A low level of nuclear POLB was associated with recurrence in patients treated with adjuvant radiotherapy and in patients who did not receive radiotherapeutics treatment after BCS. This is a clear signal suggesting that radio-therapeutic treatment does not provide any advantages in DCIS patients with a low level of POL $\beta$  nuclear protein. More functional studies are required to understand the roles of POL $\beta$  in DCIS, especially with treatment. In clustering N/C survival, our data showed that the L.N/L.C cluster was the worst group in patient outcomes based on LRFI survival, this observation agrees with the aggressive attribute of low POL $\beta$  in DCIS.

Low POL<sup>β</sup> nuclear protein was an independent predictor for all recurrences. Additionally, our preliminary study of POLβ depletion in MCF10-DCIS cells suggests that loss of POL<sub>β</sub> could be associated with increased stemness phenotype in DCIS and hence progression to invasive breast cancer. POLß knockdown cells had a significant increase in NANOG, SOX2, C-MYC and OCT4 which are well-known markers of cancer stem cells. However, overexpression of those markers was not associated with epithelial mesenchymal transition (EMT) as evidence by the no change in N-cadherin and MMP-9 which might have been more significant in a POL $\beta$  stable knock out cell line model. We anticipate that those changes happen over time DCIS. Therefore,



**Fig. 3** Association between POL- $\beta$  expression and outcome. Kaplan-Meier curves showing low expression of POL- $\beta$  nuclear protein expression in tumour breast epithelial cells associated with **A** shorter LRFI in all recurrences of pure DCIS cohort. **B** In patients treated

Table 6 Cox regression analysis of POL- $\beta$  nuclear protein expression in terms of predicting the outcome of local recurrence-free interval (LFRI) in DCIS patients of pure DCIS series treated by breast conserving surgery (BCS)

Parameters	<i>p</i> -value	HR	95% CI	
			Lower	Upper
POL-β Expression	0.031	0.490	0.256	0.936
Age	0.130	1.599	0.871	2.934
Nuclear Grade	0.678	1.096	0.710	1.694
Radiotherapy	0.893	0.942	0.392	2.262
Her2 Status	0.730	0.881	0.429	1.810
Proliferation index (Ki 67)	0.956	0.979	0.453	2.114

All recurrence /Multivariate survival analysis. Significant *p*-values are in bold

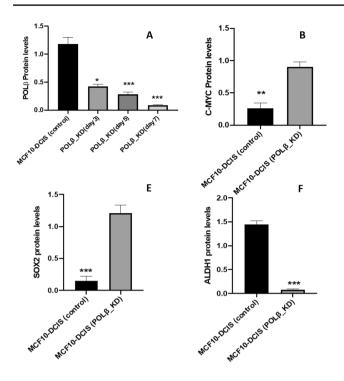
*HR* Hazard ratio, *CI* confidence interval, *POL-\beta* DNA polymerase beta, *DCIS* ductal carcinoma in situ, Her2 human epidermal growth factor receptor 2

with BCS only. C In patients received adjuvant radiotherapy after BCS. D Shorter breast cancer specific survival of IBC in the META-BRIC cohort. E, F Nuclear/cytoplasmic analysis revealed L.N/L.C cluster associated with shorter LRFI

more work is needed to identify the link between  $POL\beta$  and stemness phenotype.

The study has some limitations it was carried out using TMA tissue preparations that may underestimate DCIS intratumour heterogeneity. However, full-face tissue sections revealed complete comparability of the heterogeneity of IHC expression. Moreover, the study included a small number of recurrence cases, limited data of patients with recurrence cases who received systemic therapy.

In conclusion, this study has shown the potential role of POL $\beta$  as a caretaker and tumour suppressor gene. The data provide evidence that loss or reduced expression of POL $\beta$  promotes tumour progression and is most probably associated with the aggressive behaviour of DCIS, which could progress to an invasive stage. Moreover, low POL $\beta$  protein level was also associated with a poor outcome within the DCIS. However, further molecular studies are required to further understand the underlying mechanisms.



**Fig.4** POL- $\beta$  Knockdown and Mechanistic in vitro functional results. Demonstrate POL- $\beta$  gene KD in day 3, 5 and 7 compared with the control (**A**). Expression of C-MYC, OCT4, NANOG, SOX2, ALDH1, N-cadherin and MMP-9 protein levels were demonstrating

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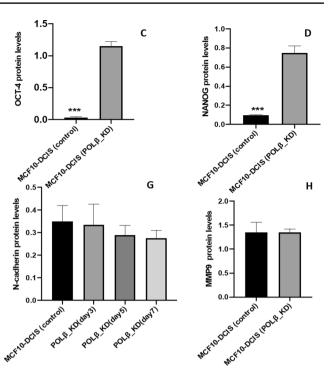
**Data availability** The authors confirm the data that has been used in this work are available on reasonable request.

# Declarations

Conflict of interest The authors have no conflicts of interest to declare.

Ethical approval All patients included in this study were consented. This work obtained ethics approval by the North West—Greater Manchester Central Research Ethics Committee under the title; Nottingham Health Science Biobank (NHSB), reference number 15/NW/0685. We can declare that this study is complying with Helsinki declaration.

**Research involving human participants and/or animals** This article does not contain any studies with human participants or animals performed by any of the authors.



in MCF10-DCIS\_POL $\beta$  \_KD compared with the control MCF10-DCIS (**B**–**H** respectively). *p*-values representation: (\*) equal to *p*-values  $p \le 0.05$ , (\*\*) equal to  $p \le 0.01$  and (\*\*\*) equal to  $p \le 0.001$ 

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