

# Efficacy and safety of as-needed albuterol/budesonide versus albuterol in adults and children aged $\geq 4$ years with moderate-to-severe asthma: rationale and design of the randomised, double-blind, active-controlled MANDALA study

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## ABSTRACT

**Introduction** Uncontrolled asthma is associated with substantial morbidity. While fast-acting bronchodilators provide quick relief from asthma symptoms, their use as rescue fails to address the underlying inflammation. Combining a short-acting beta<sub>2</sub>-agonist, such as albuterol (salbutamol), with an inhaled corticosteroid, such as budesonide, in a single inhaler as rescue therapy could help control both bronchoconstriction and inflammation, and reduce the risk of asthma exacerbations.

**Methods and analysis** The Phase 3 MANDALA study was designed to determine the efficacy of albuterol in combination with budesonide (albuterol/budesonide 180/160 µg or 180/80 µg, two actuations of 90/80 µg or 90/40 µg, respectively) versus albuterol (180 µg, two actuations of 90 µg) as rescue therapy in adult, adolescent and paediatric patients with moderate-to-severe asthma. This event-driven study enrolled symptomatic patients (3000 adults/adolescents and 100 children aged 4–11 years) who experienced  $\geq 1$  severe asthma exacerbation in the previous year and were receiving maintenance therapy for  $\geq 3$  months prior to study entry. The primary efficacy endpoint was time-to-first severe asthma exacerbation.

**Ethics and dissemination** The study was conducted in accordance with the ethical principles that have their origin in the Declaration of Helsinki, and that are consistent with International Council for Harmonisation of Technical Requirements for Pharmaceuticals for Human Use and Good Clinical Practice and the applicable regulatory requirements.

**Trial registration** NCT03769090.

## INTRODUCTION

Asthma is a heterogeneous inflammatory airway disease defined by a history of intermittent respiratory symptoms including wheeze and cough, and with variable expiratory airflow

obstruction across all asthma severities. The global prevalence of asthma has increased in the past 60 years; it is estimated that globally over 300 million people are living with asthma, including 11.6% of children aged 6–7 years.<sup>1 2</sup> Despite the availability of effective maintenance treatments, including inhaled corticosteroids (ICS), patients continue to suffer from periodic asthma worsenings, and the incidence of exacerbations in both paediatric and adult patients remains high.<sup>3</sup> All patients are at risk of preventable and potentially serious exacerbations, irrespective of asthma severity.<sup>4 5</sup>

Short-acting beta<sub>2</sub>-agonists (SABA), such as albuterol (salbutamol), are often used as rescue medication for symptom relief by patients with asthma of all severities. SABA induce airway smooth muscle relaxation and provide rapid symptom relief,<sup>6 7</sup> but do not address the underlying airway inflammation. Patients may therefore continue to experience worsening of asthma and remain at risk of exacerbations, regardless of their maintenance therapy.<sup>8 9</sup> ICS, such as budesonide, do treat the inflammation,<sup>6 10 11</sup> and there is evidence of a ‘window of opportunity’ during periods of worsening symptoms, in which a timely administration of ICS can prevent the symptoms developing into an exacerbation.<sup>12–14</sup> This acute protection occurs within hours<sup>15</sup> and may be due to reductions in the late asthmatic response following allergen inhalation, eosinophilic inflammation, bronchial hyperreactivity and/or a reduction in pulmonary blood flow, although the precise mechanism is not fully understood.<sup>15</sup>

The clinical efficacy of concomitant anti-inflammatory ICS and rapid-acting bronchodilators has been demonstrated in patients with moderate-to-severe asthma using ICS–formoterol as rescue on top of ICS–long-acting beta<sub>2</sub>-agonist (LABA) maintenance. This combination reduced the relative risk of severe exacerbations by more than 30% compared with patients using ICS–LABA as maintenance and SABA as rescue.<sup>16–22</sup> Data also show that in mild asthma, patients taking the fixed-dose combination (FDC) of budesonide–formoterol as needed have greater protection from severe exacerbations versus those taking as-needed SABA alone, with no increase in adverse effects.<sup>23–25</sup> These results are reflected in the 2021 Global Initiative for Asthma (GINA) report, where as-needed ICS–formoterol is the preferred (Track 1) rescue therapy at all treatment steps in patients aged ≥12 years, and also as an alternative option for patients aged 6–11 years.<sup>6</sup> The National Asthma Education and Prevention Program 2020 focused updates also recommend the use of as-needed combination low-dose ICS–formoterol for moderate persistent asthma in patients aged ≥4 years.<sup>7</sup> However, despite these clinical recommendations, there is no approved ICS-containing rescue therapy available in the USA, while in Europe it is noted that ICS–formoterol is only indicated for use as rescue therapy as part of an ICS–formoterol maintenance and reliever therapy regimen, leaving those not receiving ICS–formoterol background therapy without an ICS-containing rescue option.

A novel Co-suspension Delivery Technology™ formulation<sup>26</sup> of albuterol and budesonide in a single pressurised metered-dose inhaler (pMDI) is being developed to provide a rescue therapy alternative with the potential to be used alongside any asthma maintenance treatment for the control of acute symptoms, and to provide prevention against worsening of asthma symptoms and severe exacerbations requiring systemic steroids or hospitalisation.

The objective of this paper is to provide the rationale for, and describe the study design of, the pivotal Phase 3 MANDALA study. The study evaluated the efficacy and safety of as-needed albuterol/budesonide in an FDC pMDI as rescue therapy versus as-needed albuterol pMDI in patients with moderate-to-severe asthma receiving maintenance therapy.

## METHODS AND ANALYSIS

### Investigational product

As-needed albuterol/budesonide pMDI was formulated as micronised albuterol and budesonide, co-suspended with spray-dried porous particles in a hydrofluoroalkane propellant. This novel co-suspension formulation (administered as two actuations of albuterol/budesonide pMDI 90/80 µg or 90/40 µg) ensures that patients will receive a consistent delivery of both drugs from each inhalation of the pMDI. Albuterol pMDI was used as a comparator, administered as two inhalations of 90 µg each.

The 180 µg albuterol dose was confirmed in two clinical trials, ANTORA and ASPEN.<sup>27</sup> Dose ranging for budesonide was conducted in study PT008001 in patients with asthma (NCT02105012). The current study included budesonide doses separately investigated in the Single inhaler Maintenance And Reliever Therapy (SMART) trials (ie, 160 µg and 80 µg) in order to provide budesonide dose ranging information for as-needed albuterol/budesonide to reduce the risk of severe asthma exacerbations.

### Design, patients and treatment

MANDALA was a global Phase 3, randomised, double-blind, parallel-group, event-driven asthma exacerbation study. The study compared the effect of two FDCs of albuterol/budesonide (180/160 µg and 180/80 µg, two actuations of albuterol/budesonide pMDI 90/80 µg or 90/40 µg, respectively) versus albuterol for as-needed use in response to symptoms in patients continuing to take their pre-study maintenance medication. The impact of two doses of albuterol/budesonide FDCs on severe asthma exacerbation risk (as measured by time-to-first severe asthma exacerbation) was compared with albuterol in adult, adolescent and paediatric patients with moderate-to-severe asthma receiving maintenance treatment corresponding to GINA Steps 3–5. The study recruited 1000 patients per treatment group for adults/adolescents and 50 patients per treatment group for patients aged 4–11 years (the lower dose of albuterol/budesonide and albuterol). Eligible patients were symptomatic, had experienced ≥1 severe asthma exacerbation within the 12 months prior to screening (Visit 1) and had been receiving regular-scheduled asthma maintenance therapy for ≥3 months, with stable dosing for at least the 4 weeks prior to visit 1. Other key inclusion and exclusion criteria are presented in [table 1](#).

From screening through the randomised treatment period, all patients were to continue their prescribed background therapy. Required asthma maintenance therapies included medium-to-high-dose ICS with or without one additional maintenance therapy (leukotriene receptor antagonists (LTRA), long-acting muscarinic antagonists (LAMA) or theophylline), or low-to-high-dose ICS in combination with LABA with or without one additional maintenance therapy (LTRA, LAMA or theophylline). Adherence to maintenance therapy and use of rescue therapies was monitored daily using an electronic patient-reported outcome device (eDiary).

Adult and adolescent patients (aged ≥12 years) meeting the eligibility criteria were randomised in a 1:1:1 ratio to as-needed rescue albuterol/budesonide 180/80 µg, albuterol/budesonide 180/160 µg or albuterol 180 µg. Patients aged 4–11 years were randomised 1:1 to as-needed rescue albuterol/budesonide 180/80 µg, or as-needed albuterol 180 µg. The maximum daily dosage of treatment in the trial for both age groups did not

**Table 1** Key inclusion and exclusion criteria

Inclusion criteria	Exclusion criteria
Female or male outpatients aged $\geq 4$ years	Other significant lung disease (eg, COPD, emphysema, bronchiectasis, cystic fibrosis, bronchopulmonary dysplasia)
Diagnosis of asthma per GINA criteria $\geq 1$ year prior to screening	SCS use (any dose and any indication) within 6 weeks of screening
Background maintenance therapy of stable medium-to-high dose ICS or low-to-high dose ICS–LABA with/without one other maintenance medication (LTRA, LAMA or theophylline) for $\geq 3$ months with stable dosing for $\geq 4$ weeks prior to screening	Oral corticosteroid use for $\geq 3$ weeks within 3 months of screening
Pre-BD FEV <sub>1</sub> $\geq 40$ – $<90\%$ or $\geq 60\%$ predicted for those aged $\geq 18$ years and 4–17 years, respectively	Having received any marketed (benralizumab, dupilumab, mepolizumab, omalizumab or reslizumab) or investigational biologic within 3 months or 5 half-lives of screening or any other prohibited medication*
Reversible airway obstruction at screening, defined as an increase in FEV <sub>1</sub> $\geq 12\%$ (and $\geq 200$ mL for patients $\geq 18$ years) relative to baseline after inhalation of albuterol	Current or former smokers (former with either $>10$ pack-year history or who stopped smoking $<6$ months prior to screening)
History of $\geq 1$ severe asthma exacerbation in the 12 months prior to screening	Completed treatment for asthma exacerbation or LRTI within 6 weeks of screening or treated unresolved URTI within 7 days of screening
ACQ-7 score $\geq 1.5$ at screening and ACQ-5 score of $\geq 1.5$ at randomisation	History of life-threatening asthma episode(s) within 5 years of screening
BMI $<40$ kg/m <sup>2</sup>	Historical or current evidence of clinically significant disease
Acceptable spirometry performance (ie, meet ATS/ERS acceptability/repeatability criteria)	Pregnant, breast feeding or planned pregnancy
Demonstrate acceptable MDI administration technique as assessed by the investigator	Cancer not in complete remission for at least 5 years
Acceptable and reproducible PEF measurements	Hospitalisation for psychiatric disorder or attempted suicide within 1 year of screening
Use of as-needed Sponsor provided Ventolin medication due to asthma symptoms $\geq 3$ days during the last week of the run-in period before randomisation	History of psychiatric disease, intellectual deficiency, poor motivation or other conditions if their magnitude is limiting informed consent validity
	Significant abuse of alcohol or drugs

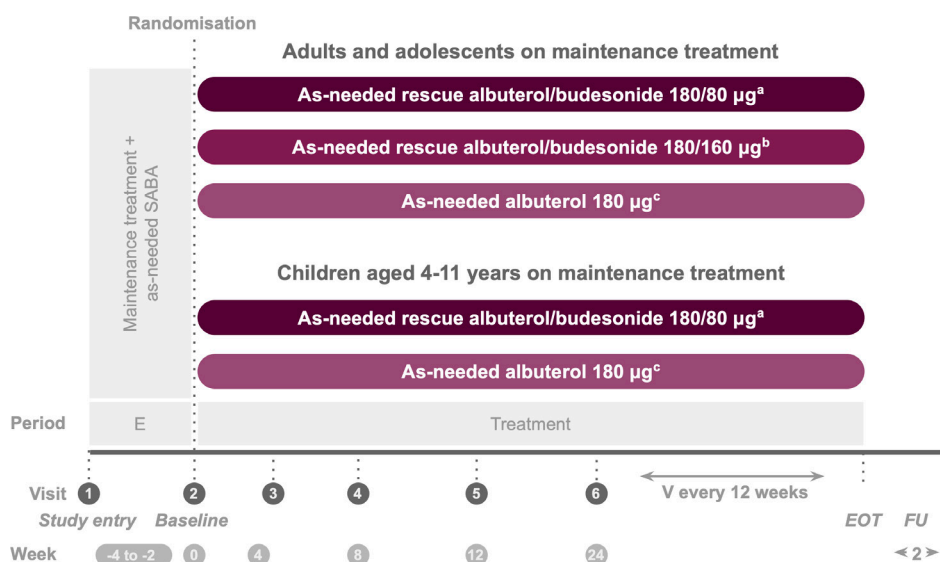
\*Prohibited medications included; oral, parenteral or rectal corticosteroids (except if required to treat severe asthma exacerbation); any other asthma medication except stable doses of maintenance therapy taken at entry into the study and provided by the Sponsor; inhaled disodium cromoglycate or inhaled nedocromil sodium; 5-lipoxygenase inhibitors (ie, zileuton); inhaled anticholinergics; phosphodiesterase inhibitors (ie, roflumilast); beta<sub>2</sub>-adrenergic blockers including eye-drops; systemic treatment with potent cytochrome P3A4 inhibitors (eg, ketoconazole, itraconazole and ritonavir); non-glucocorticoid-containing nasal sprays.

ACQ-5, asthma control 5-point questionnaire; ACQ-7, asthma control 7-point questionnaire; ATS, American Thoracic Society; BD, bronchodilator; BMI, body mass index; COPD, chronic obstructive pulmonary disease; ERS, European Respiratory Society.; FEV<sub>1</sub>, forced expiratory volume in 1 s; GINA, Global Initiative for Asthma; ICS, Inhaled corticosteroid; LABA, long-acting beta<sub>2</sub>-agonist; LAMA, long-acting muscarinic antagonist; LRTI, lower respiratory tract infection; LTRA, leukotriene receptor antagonist; MDI, metered-dose inhaler; PEF, peak expiratory flow; SCS, systemic corticosteroids; URTI, upper respiratory tract infection.

exceed 12 inhalations (ie, six doses of any investigational product) per day.

The study consisted of three phases: a screening period of 14–28 days, a treatment period of at least 24 weeks and a safety follow-up period (figure 1). At visit 1, patients discontinued their usual inhaled rescue medication and began Sponsor-provided albuterol to be used as needed in response to symptoms during the screening period only, while the investigational product (albuterol/budesonide pMDI or albuterol pMDI) was used as needed during the treatment period. The study continued until all the following conditions were satisfied: 570 first severe

exacerbation events were recorded, 3100 patients had been randomised and the last enrolled patient had completed 24 weeks of treatment as well as their 2-week follow-up visit (or premature discontinuation visit; whichever occurred first). Once 570 events were recorded, patients who had reached  $\geq 24$  weeks and completed their 2-week follow-up visit ended their study participation. Patients who discontinued the investigational product early were encouraged to remain in the study for the capture of endpoints through week 24. Patients who withdrew from the treatment attended a premature discontinuation visit; any



**Figure 1** Study design. <sup>a</sup>Two inhalations albuterol/budesonide pMDI 90/40 µg. <sup>b</sup>Two inhalations albuterol/budesonide pMDI 90/80 µg. <sup>c</sup>Two inhalations albuterol pMDI 90 µg. The screening/enrolment period was 2–4 weeks except if a severe exacerbation occurred during this time, in which case it was ≤9 weeks. During screening, patients discontinued their usual rescue medication and used as-needed albuterol sulfate 180 µg. E, enrolment; EOT, end of treatment; FU, follow-up; pMDI, pressurised metered-dose inhaler; SABA, short-acting beta<sub>2</sub>-agonists; V, visit.

adverse events (AEs)/serious adverse events (SAEs) were followed up if medically indicated.

### Patient and public involvement

Neither patients or the public were involved in the study design or conduct, and will not be involved with the reporting or dissemination plans of the research.

### Efficacy estimands/endpoints

The primary estimand of interest was the efficacy estimand, defined as the effect of the randomised treatment in all patients, assuming continuation of randomised treatment for the duration of the study, regardless of actual usage, and assuming that maintenance therapy was not changed. The second estimand of interest was the attributable estimand, defined as the effect of treatment in patients attributable to the randomised treatment, assuming that maintenance therapy was not changed. For this estimand, discontinuation of randomised treatment for tolerability or change in maintenance therapy for lack of asthma control was considered a negative outcome.

The primary efficacy endpoint was time-to-first severe asthma exacerbation, defined as a deterioration of asthma (worsening or new onset of symptoms) leading to at least one of the following: three or more consecutive days' treatment with systemic corticosteroids (SCS) to treat worsening symptoms of asthma (a single depot injection was considered equivalent to a 3-day burst); an emergency room or urgent care visit for less than 24 hours requiring SCS treatment as above or an inpatient hospitalisation for at least 24 hours due to asthma.

Secondary efficacy endpoints included annualised severe exacerbation rate, total SCS exposure for

the treatment of asthma over the treatment period (measured as average mg/patient), and change from baseline and responder analysis at week 24 for Asthma Control Questionnaire (ACQ-5) and Asthma Quality of Life Questionnaire (AQLQ) for patients aged ≥12 years, and Paediatric Asthma Quality of Life Questionnaire (PAQLQ) for patients aged 4–11 years. ACQ-5 and AQLQ were assessed every 4 weeks up until week 24. The study also investigated exploratory endpoints, including lung function (pre-bronchodilator forced expiratory volume in 1 s (FEV<sub>1</sub>) and peak expiratory flow (PEF)), symptoms, rescue medication (ie, investigational product) use, additional ICS exposure, change from baseline and responder analysis at week 24 for Asthma Control Test (ACT) or childhood ACT, deterioration of asthma and symptom-free days. Safety endpoints (AEs and SAEs) were also assessed from date of informed consent/assent through the safety follow-up period.

### Discontinuation

Patients could discontinue study treatment or be withdrawn from the study at any time for any reason at their request, on request of the investigator or by the Sponsor. Possible reasons for treatment discontinuation included the onset of AEs, pregnancy and development of ≥3 severe exacerbations within a 3-month period or ≥5 total severe exacerbation events, or a single severe exacerbation event longer than 20 days. Discontinuation of study treatment was considered if the investigator decided that it was in the best interest of the patient. Moreover, the investigator could discontinue the patient from the study if they were non-compliant with the Clinical Study Protocol (eg, post-randomisation eligibility violation) or



were lost to follow-up and no alternative contact information was available (this implied that at least two documented attempts have been made to contact the patient).

### Statistical analysis

A sample size of 1000 adult and adolescent patients per treatment group and observation of the first 570 severe exacerbation events provided this study with 87% power to observe a 25% reduction in the risk of severe exacerbation in patients who received at least one dose of albuterol/budesonide versus albuterol, assuming the Hochberg procedure<sup>28</sup> for multiple testing and a two-sided significance level of 5%.

The primary analysis was for the time-to-first severe asthma exacerbation under the efficacy estimand. A Cox proportional hazards regression model adjusted for randomisation stratification factors (age group, region) and the number of severe exacerbations in the 12 months before screening (1, >1) was applied. The primary treatment comparisons (albuterol/budesonide 180/160 µg vs albuterol 180 µg; albuterol/budesonide 180/80 µg vs albuterol 180 µg) were two-sided, with the 5% overall alpha level controlled using the Hochberg procedure.

The type I error was controlled for secondary endpoint treatment comparisons via a hierarchical testing procedure. The secondary comparisons were tested under the efficacy estimand in sequential order by dose (first albuterol/budesonide 180/160 µg vs albuterol 180 µg, second albuterol/budesonide 180/80 µg vs albuterol 180 µg) and grouped by secondary endpoint as mentioned above (annualised severe exacerbation rate, total annualised dose of SCS, ACQ-5 and AQLQ responder analysis at week 24).

Statistical tests for the secondary analyses were conducted at the 5% level of significance (two-sided). Inference for a test in the defined order was dependent on statistical significance having been achieved in the preceding tests. If this was not achieved, nominal p values were provided. As per the primary analysis, comparisons of albuterol/budesonide 180/160 µg versus albuterol 180 µg excluded children (aged 4–11 years), while comparisons of albuterol/budesonide 180/80 µg versus albuterol 180 µg included all ages.

Statistical significance could only be claimed on the key secondary endpoints if a statistically significant treatment effect was observed on both albuterol/budesonide 180/160 µg and albuterol/budesonide 180/80 µg versus albuterol 180 µg for the primary endpoint of time-to-first severe exacerbation. Annualised severe asthma exacerbation rate was analysed using a negative binomial regression model to compare treatment groups. The response variable in the model was the number of severe asthma exacerbations. The model adjusted for age group, region and number of severe exacerbations in the 12 months before screening. The total corticosteroid exposure as total annualised dose of corticosteroid (µg/year) was presented descriptively by treatment. A comparison

of total annualised corticosteroid dose for albuterol/budesonide 180/160 µg versus albuterol 180 µg and albuterol/budesonide 180/80 µg versus albuterol 180 µg was analysed using a Wilcoxon rank sum test and associated p-values were presented along with the descriptive summary. Responder variables (ACQ-5 and AQLQ/PAQLQ at week 24) were analysed using a logistic regression model to compare treatment groups.

### ETHICS AND DISSEMINATION

The study was performed in accordance with ethical principles that have their origin in the Declaration of Helsinki and are consistent with the International Council for Harmonisation of Technical Requirements for Pharmaceuticals for Human Use and Good Clinical Practice and applicable regulatory requirements. All patients provided written informed consent.

### DISCUSSION

The focus of long-term asthma management is on improving bronchodilation while also addressing the underlying causes of inflammation,<sup>6</sup> but despite the availability of effective maintenance treatment, uncontrolled disease is still associated with substantial morbidity and mortality.<sup>29</sup> SABA are still the most common rescue therapy for the majority of patients across all severities of asthma, although increased SABA use as rescue therapy may indicate less well-controlled asthma and is associated with a higher risk of exacerbations.<sup>8,9</sup> There is, however, a ‘window of opportunity’ during periods of worsening symptoms in which ICS administered as needed at the appropriate time can prevent exacerbations.<sup>12–14</sup> The use of a fast-acting bronchodilator/ICS combination rescue therapy, such as albuterol/ICS, within this ‘window’ can provide the required rapid symptom relief along with an added boost of anti-inflammatory therapy from the ICS to reduce the risk of asthma worsening and severe exacerbations. Albuterol/budesonide pMDI was designed to provide quick relief from symptoms while simultaneously titrating ICS to address the increasing inflammation, thereby reducing the risk of asthma symptoms progressing to exacerbation; it is intended for use as rescue treatment either alone or in addition to any maintenance therapy.

The MANDALA study was the first Phase 3 trial to compare the as-needed fast-acting bronchodilator/ICS combination therapy of albuterol/budesonide with albuterol in response to symptoms in patients with asthma. The results will provide valuable data on the efficacy and tolerability of this regimen in adults, adolescents and children with moderate-to-severe asthma. This was the first study to evaluate the effect of as-needed albuterol/budesonide when added to various ICS-containing maintenance therapies. Previous studies of rescue use of ICS in combination with a bronchodilator assessed the efficacy and safety of as-needed ICS–formoterol alone or in



patients with the same concomitant ICS–LABA maintenance therapy.<sup>18 20 23 25 30–32</sup> In mild asthma, it has also been shown that the symptom-driven use of an FDC of beclomethasone and albuterol is as effective as regular use of inhaled beclomethasone alone on the primary endpoint of morning PEF and secondary endpoint of all asthma exacerbations.<sup>33</sup> A recent Cochrane systematic review has demonstrated that the use of combination therapy with an as-needed fast-acting beta<sub>2</sub>-agonist, including albuterol and other SABA, and an ICS significantly reduces exacerbations requiring SCS compared with SABA alone.<sup>34</sup>

Albuterol/budesonide was also developed as an alternative rescue treatment approach for younger patients with asthma (aged ≥4 years). This study is therefore important, as there is a need for further data on the effects of as-needed fast-acting bronchodilator/ICS combination in children aged 4–11 years, as rescue therapy with as-needed SABA is still the recommended treatment in these patients.<sup>7</sup>

It is anticipated that the albuterol/budesonide pivotal Phase 3 development programme, including this MANDALA exacerbation study and the DENALI (NCT03847896) lung function study, will provide the evidence needed to help change the current rescue treatment paradigm by reducing the risk of exacerbations in patients with asthma. If successful, as-needed albuterol/budesonide pMDI rescue therapy could be used irrespective of background asthma therapy, and will be the first albuterol/ICS combination inhaler available in the USA.

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