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Original research

# Photorefractive keratectomy for patients with preoperative low Schirmer test value

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#### Abstract

*Purpose*: To compare dry eye signs and symptoms between patients with preoperative low and normal Schirmer test after Photorefractive keratectomy (PRK).

*Methods*: In this prospective, nonrandomized, comparative case series, 76 eyes of 76 patients were preoperatively categorized into two groups according to selected criteria for characterization of tear film status: the low Schirmer test value (STV) group and the normal STV group. For the tear function assessment, we performed a Schirmer test with and without anesthesia, tear break-up time (TBUT) test, and measurement dry eye symptoms using the Farsi translation of Ocular Surface Disease Index (OSDI) questionnaire pre- and 3 months post-operation.

*Results*: Postoperatively, the Schirmer and TBUT values were significantly lower in both groups than preoperatively (all p < 0.05). Deterioration in tear secretion was significantly greater in the low STV group (p = 0.012), but tear stability was more compromised in the normal STV group (p = 0.021). The changes in OSDI score were not significant between the two groups.

*Conclusion*: These results demonstrated that tear function deteriorates after PRK. Therefore, patients with low preoperative Schirmer test values should be thoroughly assessed for dry eye before proceeding with refractive surgery to eliminate postoperative complication.

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Keywords: Schirmer test; Photorefractive keratectomy; Tear function

### Introduction

Dry eye is one of the most common reported and observed findings in the short-term following photorefractive procedures.<sup>1–10</sup> Although it is usually transient, some patients complain of severe symptoms, which may negatively influence

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the quality of life and their satisfaction with the outcome of the procedure.  $^{11-15}$ 

The pathophysiologic mechanisms behind postphotorefractive surgery dry eye have been previously reviewed, and several hypotheses have been proposed.<sup>16–18</sup> Photorefractive surgery compromises the corneal sensory nerve, resulting in impaired corneal sensation. Decreased afferent input to the lacrimal functional unit results in decreased tear secretion, leading to a deficient aqueous component of the tear film.

Furthermore, according to some previous studies, preexisting dry eye disease is a major risk factor for severe post-operative dry eye with lower tear function and more

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severe symptoms.<sup>19–22</sup> Preoperative Schirmer score is of particular importance, and its preoperative value has been reported to statistically significantly correlate with postoperative tear break-up time (TBUT) for up to 9 months in a study.<sup>23</sup> Schirmer 1 of less than 10 mm (at 5 min) was associated with increased risk of postoperative dry eye at one month postoperatively.<sup>22</sup>

Few studies exist in literature investigating the effect of preoperative tear function on development of postoperative dry eye sign and symptoms.<sup>24,25</sup> It is uncertain if preoperative Schirmer test value (STV) is a predictive factor for the development of more dry eye symptoms after surgery.

In this study, we compare the objectively-measured clinical signs and subjective reporting of dry eye symptoms between two groups of patients who underwent Photorefractive keratectomy (PRK) over a period of 3 months.

# Methods

In this prospective, nonrandomized, comparative case series study, 76 eyes of 76 patients (46 female, 30 male) with low-to-moderate myopia and astigmatism who were scheduled for PRK in Persian Eye Clinic were enrolled. One eye (right eye) from each patient was included. The research followed the tenets of the Declaration of Helsinki, and informed consent was obtained from all subjects. The study protocol was approved by the Ethics Committee of Shahid Beheshti University of Medical Sciences.

The eyes were divided into the following 2 groups on the basis of preoperative tear secretion: eyes with low Schirmer test value (low STV group; 36 patients and 36 eyes) and normal Schirmer test value (normal STV group; 40 patients and 40 eyes). Results of Schirmer 1 test were used as selected criteria for classification of patients. The eyes with Schirmer test values between 5 and 10 mm were considered for the low STV group, and eyes with Schirmer test values > 10 mm were considered for the normal STV group. Patients who had any contraindication of corneal refractive surgery and/or severe signs and symptoms of dry eye were excluded from the study.

All patients had a complete ophthalmic examination including uncorrected and corrected distance visual acuity (UDVA and CDVA), manifest and cycloplegic refraction, slit lamp microscopy, corneal topography and pachymetry (Pentacam HD, Oculus Optikgerate GmbH, Wetzlar, Germany), indirect ophthalmoscopy, and tear film function assessment.

To assess tear function, all patients completed the Farsi translation of Ocular Surface Disease Index (OSDI)<sup>26</sup> questionnaire and underwent evaluation of tear secretion with Schirmer test (with and without anesthesia) and tear film stability with TBUT test prior and 3 months after surgery.

Schirmer test was performed without anesthesia (Schirmer 1) and also with anesthesia 5 min after instilling one drop of tetracaine 0.5% into the conjunctival sac (Schirmer 2) for test the paper strips (OPHTECHNICS UNLIMITED, India) were placed over the junction of the temporal and medial one-third

of the lower eyelid margin. The eyes were closed during the test, and the length of the wet portion was measured.

TBUT was assessed with fluorescein paper strips that were wetted with unpreserved saline solution. One drop was instilled in each eye in the lower conjunctival sac, and the patient was instructed to blink several times. The tear BUT was measured as the number of seconds between the last complete blink and the first sign of break in the precorneal tear film. The TBUT was repeated 3 times and averaged.

For the OSDI questionnaire, the total points were multiplied by 25 and then divided by the total number of responses. To study the severity of symptoms, OSDI scores were grouped as normal (0–12), mild (13–22), moderate (23–32), and severe ( $\geq$ 33) as described previously in other studies.<sup>27</sup>

All tear function tests were conducted in a quiet room of relatively constant temperature and humidity. The same experienced observer performed all measurements.

All surgeries were performed by one surgeon (M.G.) using Technolas 217 z100 excimer laser system (Bausch & Lomb, Rochester, NY). After topical anesthesia (Tetracaine 0.5%), an eyelid speculum was inserted. The amount of ablation performed was based on cycloplegic refraction and the patients' age. mitomycin C (MMC) 0.02% was applied to the stromal bed for up to 60 s. The surface was irrigated with a balanced salt solution. After PRK, topical antibiotics were instilled, and a bandage contact lens (Acuvue; Johnson & Johnson Vision Care, Jacksonville, FL) was applied.

All tear function tests were performed at least 5 days before surgery and also we followed the same postoperative eye drop protocol in all eyes. Postoperative treatment included Ciprofloxacin eye drops 4 times and Betamethasone 0.1% eye drops 6 times daily. On day 6 of follow-up, patients were assessed for complete corneal epithelial healing and consequently, contact lenses were removed. Up to 1 month after surgery, Fluorometholone and lubricant eye drops were used 4 times daily, and then Fluorometholone was tapered slowly the following 4 weeks.

Postoperative follow-up evaluation was scheduled 3 months after surgery. A complete ophthalmic examination including visual, refractive, and tear film function assessment was done. The main outcome measures of interest were the Schirmer and TBUT tests as clinical markers for tear film function and OSDI questionnaire as a subjective indicator of patients' experience of dry eye symptoms, with a comparison of these parameters between the two groups.

#### Statistical analysis

We performed statistical analysis using SPSS software version 20.0 for Windows (SPSS, Chicago, IL, USA). The Chi-square test was used to compare the differences between Normal and Low STV groups. The pre- and post-operative values were compared for each group by paired *t* test. Pearson's correlation test was used to assess the relationship between the studied parameters. Results were explained as mean  $\pm$  SD. p < 0.05 was considered statistically significant.

# Results

Preoperatively, there were no significant differences between the two groups in age, gender, spherical equivalent (SE) refraction, and visual acuity (Table 1).

Preoperatively, the mean Schirmer test values were  $8.36 \pm 1.87$  mm and  $29.0 \pm 6.78$  mm in the low and normal STV groups, respectively (p < 0.001). It decreased to  $6.17 \pm 2.44$  mm and  $24.97 \pm 9.21$  mm 3 months after surgery (Fig. 1). The change in the two groups was statistically significant (both p < 0.001), but the change in tear secretion was significantly greater in the low STV group (p = 0.012).

The mean TBUT scores were  $11.17 \pm 2.51$  s and  $18.32 \pm 4.57$  s before, and  $9.03 \pm 1.96$  s and  $13.77 \pm 5.36$  s 3 months after surgery in the low and normal STV groups, respectively (p < 0.001) (Fig. 2). The decrease in TBUT scores was statistically significant in both groups (both p < 0.001). The change was greater in the normal STV group (p = 0.021) (Table 2).

The mean preoperative OSDI scores were  $13.46 \pm 7.11$  and  $14.52 \pm 8.10$  (p = 0.668) which changed to  $16.23 \pm 10.58$  and  $16.00 \pm 10.15$  at 3 months in the low and normal STV groups, respectively (p = 0.947) (Fig. 3). There were no significant differences between the two groups of participants for their

Table 1

Demographic data of the subjects included in this study.

	Low STV	Normal STV	p Value
Age	26.19 ± 3.79	$27.82 \pm 3.42$	0.053
Gender, female/male	26/14	20/16	0.408
Preoperative SE, D	$-3.56 \pm 1.23$ (-1.25 to -6.00)	$-3.17 \pm 1.17$ (-1.25 to -5.25)	0.156
Mean log Mar UDVA	$0.95 \pm 0.39$ (0.2-1.3)	$1.00 \pm 0.38$ (0.15-1.3)	0.435
Mean log Mar CDVA	$0.06 \pm 0.09$ (0.0-0.3)	$0.04 \pm 0.05$ (0.0-0.2)	0.362

SE, spherical equivalent; UDVA, uncorrected distance visual acuity; CDVA, corrected uncorrected distance visual acuity.





tear break-up time test (TBUT)

Fig. 2. Tear film stability (TBUT) before and after photorefractive keratectomy (PRK) in the low and normal STV groups.

OSDI scores and between pre- and postoperative scores in each group.

Correlation between TBUT and Schirmer values were significant (p < 0.001), but there was no significant correlation between OSDI score with TBUT (p = 0.803) and Schirmer values (p = 0.864).

# Discussion

Dry eye is the most common postoperative complication in the vast majority of patients who undergo photorefractive procedures.<sup>1–10</sup> It is also found that dry eye may enhance the corneal haze after PRK.<sup>28</sup> A normal tear film layer plays a significant role in the ocular comfort and patient's satisfaction of operation<sup>11–15</sup> and is important in the healing of the stroma and epithelium.<sup>29</sup> Therefore, tear function assessment is essential before any corneal ablation.

There is still no gold standard model for evaluating tear film function and determining dry eye severity<sup>30-32</sup> Tear

normal STV

Pre-op schirmer 2 Post-op schirmer 2



low STV

Table 2 Postoperative tear function changes in the two study groups.

	Low STV absolute change (variation ratio)	Normal STV absolute change (variation ratio)	p Value
Schirmer 1 change	2.19 ± 1.74 (-0.28)	$4.02 \pm 6.49 \; (-0.15)$	0.012
Schirmer 2 change	$1.47 \pm 1.71 \; (-0.24)$	$4.20 \pm 5.72 (-0.17)$	0.099
TBUT OSDI score	$\begin{array}{l} 2.14 \pm 1.46 \; (-0.18) \\ 2.77 \pm 8.15 \; (0.35) \end{array}$	$\begin{array}{l} 4.55 \pm 3.26 \; (-0.26) \\ 1.48 \pm 7.75 \; (0.20) \end{array}$	0.021 0.558

TBUT, tear break-up time; OSDI, Ocular Surface Disease Index questionnaire.



Fig. 3. Dry eye symptoms (OSDI scores) before and after photorefractive keratectomy (PRK) in the low and normal STV groups.

function status is typically assessed using clinical signs or symptom-based questionnaires. A number of questionnaires have been developed and employed in both epidemiological studies and clinical research. Among the symptom questionnaires available, OSDI is one of the most widely used questionnaires.<sup>26,33,34</sup> Objective markers can be measured with the tear and corneal function tests, consisting of Schirmer test to assess tear secretion (basal and reflex), TBUT to assess tear film stability, and Rose Bengal and corneal fluorescein dye staining to assess corneal epithelial integrity, tear film osmolarity, lysozyme, and lactoferrin assays.<sup>35–37</sup> Although tear osmolarity measurement is currently the most sensitive and specific diagnostic test for dry eye, the Schirmer test, despite its inaccuracy, remains the mainstay among these investigations in the clinical diagnosis of dry eye because of ease and better availability.<sup>35–3</sup>

In this study, we investigated tear secretion, tear film stability, and dry eye symptoms after PRK and compared their values between eyes with preoperative low and normal Schirmer test values. We tried to improve the accuracy of the Schirmer test by performing the test with topical anesthesia (Schirmer 2), eye closure, and proper position of the strips as suggested by Holly et al.<sup>38</sup>

A reduction in Schirmer values was observed for both normal and low STV groups 3 months after surgery. However, deterioration in tear secretion was significantly greater in the low STV group (p = 0.012). Based on these findings, we expected severity of symptoms to be higher in the low STV group postoperatively, as previous studies demonstrated patients with preoperative dry eye exhibited more severe symptoms and ocular surface damage after photorefractive surgery compared with non-preexisting dry eye patients.<sup>20-25</sup> Based on our study, however, 3 months after surgery, no differences in subjective patient experience of dry eye symptoms between patients with preoperative normal and low Schirmer test values as demonstrated by results of OSDI were noted. Moreover, the differences between pre- and post-operative OSDI scores were not significant in either group. These findings conflicted with some previous comparative studies. $^{20-25}$ Additionally. although the decrease in TBUT scores was statistically significant in both groups, tear film stability was more compromised in the normal STV group (p = 0.021).

At present, we do not have a clear explanation for these findings. It is difficult to understand the impact of tear film dysfunction on a patient, as many patients that show early clinical signs of dry eye disease may be asymptomatic, while others may report symptoms greater than their clinical signs. Based on clinical findings of previous studies, no consistent relationship and correlation exist between any of the common signs and symptoms of dry eye and between commonly used clinical tests.<sup>31,39–42</sup>

However, previous studies have also revealed that the severity of dry eye affect on range of observed values of each sign, and patients with mild/moderate dry eye have a dynamic range of test values.<sup>30,43,44</sup> Therefore, the correlation between sign and symptoms is probably stronger in eyes with severe dry eye. This indicates that although preoperative tear function plays an important role in postoperative tear secretion and stability, in eyes with preoperative mild dry eye, it may not lead to more symptoms after surgery.

Moreover, in this study, there was not a significant correlation between Schirmer test and OSDI. Previous studies have found that the Schirmer test may not be a good indicator for symptoms. The reason may be poor repeatability of Schirmer test.<sup>45</sup> Also, the decrease in Schirmer values may not be enough to induce the symptoms.

Clinical signs alone seem insufficient to delineate those who have dry eye and those who do not, especially in the early stages of tear film dysfunction. A positive diagnosis of dry eye is often based heavily on the presence of symptoms, with literature suggesting that symptoms are an essential component of the disease.

This study had some limitations including performing only two clinical indicators of dry eye (Schirmer and TBUT tests) and a follow-up period of 3 months. A more comprehensive combination of assessments would provide a more accurate diagnosis of dry eye status. This would include a measure of tear osmolarity, corneal sensitivity, TBUT, Schirmer test, and corneal staining tests.

In conclusion, this study demonstrated decreased tear secretion after PRK. The authors suggest that patients who receive PRK should be informed of this risk, especially those with preoperative borderline tear secretion. However, any refractive surgery candidate with signs or symptoms of dry eye should be stringently evaluated preoperatively. Additionally, proper management for tear function are required following surgery to eliminate complications.

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