

Cardiovascular and Renal Outcomes Associated With Hyperkalemia in Chronic Kidney Disease: A Hospital-Based Cohort Study

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Abstract

Objective: To examine the association between hyperkalemia and long-term cardiovascular and renal outcomes in patients with chronic kidney disease.

Patients and Methods: An observational retrospective cohort study was performed using a Japanese hospital claims registry, Medical Data Vision (April 1, 2008, to September 30, 2018). Of 1,208,894 patients with at least 1 potassium measurement, 167,465 patients with chronic kidney disease were selected based on International Classification of Diseases, Tenth Revision codes or estimated glomerular filtration rate (eGFR) less than 60 mL/min/1.73 m². Hyperkalemia was defined as at least 2 potassium measurements of 5.1 mmol/L or greater within 12 months. Normokalemic controls were patients without a record of potassium levels of 5.1 mmol/L or greater and 3.5 mmol/L or less. Changes in eGFRs and hazard ratios of death, hospitalization for cardiac events, heart failure, and renal replacement therapy introduction were assessed between propensity score-matched hyperkalemic patients and normokalemic controls.

Results: Of 16,133 hyperkalemic patients and 11,898 normokalemic controls eligible for analyses, 5859 (36.3%) patients and 5859 (49.2%) controls were selected after propensity score matching. The mean follow-up period was 3.5 years. The 3-year eGFR change in patients and controls was -5.75 and -1.79 mL/min/1.73 m², respectively. Overall, hyperkalemic patients had higher risks for death, hospitalization for cardiac events, heart failure, and renal replacement therapy introduction than controls, with hazard ratios of 4.40 (95% CI, 3.74 to 5.18), 1.95 (95% CI, 1.59 to 2.39), 5.09 (95% CI, 4.17 to 6.21), and 7.54 (95% CI, 5.73 to 9.91), respectively.

Conclusion: Hyperkalemia was associated with significant risks for mortality and adverse clinical outcomes, with more rapid decline of renal function. These findings underscore the significance of hyperkalemia as a predisposition to future adverse events in patients with chronic kidney disease.

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yperkalemia, characterized by abnor-
mally elevated serum potassium (S-
K) levels, develops when there is
excessive production or inadequate eliminamally elevated serum potassium (S-K) levels, develops when there is excessive production or inadequate elimination of potassium, and it is often found in patients with chronic kidney disease (CKD) during routine outpatient and inpatient care. $1-6$ Recent reports have indicated that hyperkalemia potentially is not merely an electrolyte disturbance but also serves as a marker reflecting patients' general conditions.^{[7](#page-10-1)} Several

studies have documented the increased risks for adverse clinical outcomes in hyperkalemic patients, wherein direct associations between elevated S-K levels and adverse clinical outcomes have been demonstrated in various geographic regions, documenting a U-shaped association between mortality risk and S-K level. $8-11$ A hospital-based cohort study of 923 Korean patients found 31% in-hospital mortality for patients with S-K levels of 6.5 mmol/L or greater.^{[12](#page-10-3)} These findings were consistent regardless of underlying comorbid conditions such as CKD and heart failure $(HF).$ ^{[13,](#page-10-4)[14](#page-10-5)}

However, few studies have examined the association between abnormal S-K levels and long-term cardiovascular and renal outcomes over several years.[15](#page-10-6) Moreover, previous studies primarily examined cardiovascular outcomes and death; therefore, information regarding renal outcomes is limited. Statistically, previous pharmacoepidemiologic studies have estimated event risks based on time-updated or a single S-K measurement and then applied the models such as generalized additive models,^{[8](#page-10-2)} regression models, $9-11$ or generalized estimating equations.[13](#page-10-4),[14](#page-10-5) These models are presumed to be suitable for assessing direct and short-term associations; however, in assessing longterm associations, S-K values vary throughout the clinical course.^{[16](#page-10-8)} Additionally, stratification based on a single S-K measurement may result in the inclusion of patients with temporary S-K level fluctuation or pseudohyperkalemia. Therefore, a rigorous definition of patients with hyperkalemia and normokalemic controls is required to assess long-term associations between hyperkalemia and adverse clinical outcomes.

In this study, we investigated the association between hyperkalemia and long-term adverse clinical outcomes in patients with CKD and continuous medical care in a largescale nationwide administrative data set. To rigorously assess the prognostic effect of hyperkalemia, we required multiple S-K measurements within a 12-month period. The normokalemic control group was based on careful examination of patient characteristics in the pre-index 12 months. Furthermore, we assessed renal outcomes, including the introduction of renal replacement therapy (RRT) and estimated glomerular filtration rate (eGFR) decline in addition to cardiovascular outcomes and death, to evaluate the effect of hyperkalemia on prognosis from a broad clinical perspective.

PATIENTS AND METHODS

Data Source

Data were obtained from Medical Data Vision (MDV), one of the largest hospital claims

registries in Japan. With a diagnostic and procedural coding system, MDV is built from hospital claims data including individual prescriptions, procedures, examinations, and laboratory data. Medical Data Vision uses International Classification of Diseases, Tenth Revision (ICD-10) coding. Data collection began in April 2008. As of September 2018, MDV had collected 25,570,000 individual patient records from 374 hospitals across Japan.

Study Design and Patient Selection

There were 1,208,894 adult patients (aged $>$ 18 years) who had at least 1 S-K measurement during the study period (April 1, 2008, to September 30, 2018). A total of 167,465 patients (13.9%) had either CKD based on ICD-10 codes or an average eGFR less than 60 mL/min/1.73 m².

Hyperkalemia was defined as patients with at least 2 S-K readings of 5.1 mmol/L or greater within a 12-month interval.^{[17](#page-10-9)} A cohort of normokalemic controls included patients without any record of S-K levels of 3.5 mmol/L or less and 5.1 mmol/L or greater. The index date was the first hyperkalemic episode (S-K \geq 5.1 mmol/L) for hyperkalemic patients. Normokalemic controls were followed up from the first visit after 12 months from their initial hospital record to obtain the 12-month pre-index period. Patients were followed up until the date of emigration from the database, date of death, or end of the study period, whichever came first.

For this analysis, patients with hypokalemia or only 1 record of S-K level of 5.1 mmol/L or greater (n=81,000 of 167,465; 48.4%) and patients who were first had CKD diagnosed after the index date $(n=20,334 \text{ of } 167,465; 12.1\%)$ were excluded. We also excluded patients who could not be followed up for at least 12 months $(n=15,673 \text{ of } 167,465; 9.4\%)$, those with a cancer diagnosis $(n=15,948)$ of 167,465; 9.5%), those undergoing dialysis before the index date $(n=3118 \text{ of } 167,465)$; 1.9%), and patients without valid eGFR values (n¼3361 of 167,465; 2.0%). A total of 16,133 hyperkalemic patients and 11,898 normokalemic controls were examined ([Figure 1\)](#page-2-0).

Covariates and Clinical Outcomes

Known high-risk comorbid conditions of
hyperkalemia,^{4,5,18} including CKD, HF, including CKD, HF,

diabetes mellitus (DM), and hypertension, were defined based on ICD-10 codes and eGFR values (Supplemental Table 1, available online at <https://mcpiqojournal.org>). Other comorbid conditions are listed in Supplemental Table 2 (available online at <https://mcpiqojournal.org>) and were used to calculate the Charlson Comorbidity Index score. Drug treatment records were collected for 120 days before the index date based on commonly used intervals of drug prescription in Japanese clinical practice. Information on hyperkalemia treatment including diuretics (thiazide and loop diuretics), glucose injection, calcium gluconate, sodium bicarbonate, and potassium binders was collected. Information on drugs associated with hyperkalemia, including renin-angiotensin-aldosterone system inhibitors (RAASis; ie, angiotensinconverting enzyme inhibitors, angiotensin receptor blockers, mineralocorticoid receptor antagonists (MRAs), and other drugs associated with hyperkalemia, including azole antifungals, b-blockers, calcium channel blockers, cyclosporin, digoxin, heparin,

nonsteroidal anti-inflammatory drugs, potassium supplements, tacrolimus, trimethoprim, and systemic corticosteroids, was also assessed. Moreover, treatment for comorbid conditions including HF, DM, and dyslipidemia were collected.

The outcomes of interest included allcause death; hospitalization for cardiac events as a composite of myocardial infarction, arrhythmia, and cardiac arrest; hospitalization for HF; and introduction of RRT. Definitions of clinical outcomes are listed in Supplemental Table 3 (available online at [https://mcpiqojournal.org\)](https://mcpiqojournal.org). Changes in eGFR values during the 3-year period were also assessed for the eGFR decline.

Statistical Analyses

Continuous variables were reported as mean \pm SD and median. Frequency and percentage were used to document categorical measures of interest. Cumulative incidences of the first occurrence of clinical outcomes were estimated using the cumulative incidence function, with death as a competing risk.

 a ACEi = angiotensin-converting enzyme inhibitor; ARB = angiotensin receptor blocker; CKD = chronic kidney disease; eGFR = estimated glomerular filtration rate; HDL = high-density lipoprotein; HF = heart failure; LDL = low-density lipoprotein; MRA = mineralocorticoid receptor antagonist; RAASi = renin-angiotensin-aldosterone system inhibitor; $S-K =$ serum potassium.

^bSI conversion factors: To convert total, HDL, and LDL cholesterol values to mmol/L, multiply by 0.0259.

c Other drugs associated with hyperkalemia include nonsteroidal anti-inflammatory drugs, azole antifungals, b-blockers, calcium channel blockers, cyclosporin, digoxin, heparin, potassium supplements, tacrolimus, trimethoprim, and systemic corticosteroids.

^dStandardized difference greater than 0.1 was considered a non-negligible difference.

The Kaplan-Meier method was used to estimate the cumulative incidence of death.

A propensity score (PS) for hyperkalemia was developed using covariates including age, sex, index year, length of patient followup, prescription of RAASi and other drugs associated with hyperkalemia, diuretics, drug treatment for HF, and antidiabetic medications. Other covariates included CKD stage; the presence of comorbid conditions including HF, DM, hypertension , dyslipidemia, atrial fibrillation or flutter, valvular heart disease, acute kidney injury, sepsis, and peripheral edema; CCI score; and history of hospitalization for 3 or more consecutive days before the index date. Based on the PS, hyperkalemic patients were matched 1:1 with normokalemic controls, with a caliper width of 0.1. The validity of PS matching was assessed by evaluating standardized differences of patient characteristics. A significant imbalance was considered to be present if a greater than 10% standardized difference was present between the 2 groups after matching.

After PS matching, 5859 hyperkalemic patients and 5859 normokalemic controls

FIGURE 3. Estimated glomerular filtration rate (eGFR) changes in hyperkalemic patients and normokalemic controls after matching. $LS =$ least square.

were selected for the study ([Figure 1](#page-2-0)). We compared the incidence of clinical outcomes between PS-matched patients and controls. The Cox proportional hazard model was used to estimate the hazard ratios with a 95% CI for clinical outcomes. The subgroup of interest included patients with or without HF and analysis by CKD stages. To assess the long-term prognostic effect of hyperkalemia on adverse clinical outcomes, we also compared the incidence of clinical outcomes after 12 months between hyperkalemic patients and normokalemic controls by reindexing the patients' follow-up at 12 months after the first hyperkalemic episode.

To evaluate changes in eGFRs, we built a mixed-effects model for repeated measurements to generate a least square mean change from baseline eGFR. The index date for hyperkalemic patients was the date of the first hyperkalemic episode; however, some patients did not have a 12-month pre-index record. Although this inclusion allowed the assessment of broad patient types with an increased sample size, the difference in pre-index period may cause potential immortal time biases^{[19](#page-10-13)} and underestimation of risk factors, treatments, and medical history. Therefore, we performed a sensitivity analysis including only patients whose pre-index medical records for 12 months were available to confirm the stability of the results. Statistical analyses were performed using SAS, version 9.4 (SAS Institute).

Because patient records were anonymized and deidentified, informed consent was not required. The use of deidentified data was in accordance with local regulations. This study was reviewed and approved by an independent ethics committee (Clinical Research Promotion Network Japan; 2440023).

RESULTS

Baseline Characteristics

[Table 1](#page-3-0) shows characteristics of hyperkalemic patients and normokalemic controls before and after PS matching. After PS matching, baseline characteristics were well-balanced between the 2 groups, with standardized differences of less than 10% in all variables used for PS. The mean age of both groups was 71 years, with a mean of 3.6 years of follow-up. Mean S-K levels were 5.3 ± 0.3 and 4.3 ± 0.3 mmol/L in patients and controls, respectively. Approximately 26% (1548 of 5859) and 49% (2887 of 5859) of patients had HF and DM, respectively. A total of 2718 (46.4%) patients and 2754 (47.0%) controls were on RAASi therapy. Inotropes and MRAs for HF, sodium bicarbonate, and potassium binders were more frequently prescribed in hyperkalemic patients.

Relative Risk for Clinical Outcomes in Hyperkalemic Patients Compared With Normokalemic Controls

[Figure 2](#page-5-0) shows the cumulative incidences of clinical outcomes in hyperkalemic patients and normokalemic controls after PS matching. Compared with controls, hyperkalemic patients had higher risks for death, hospitalization for cardiac events, HF, and RRT introduction than controls, with hazard ratios of 4.40 (95% CI, 3.74 to 5.18), 1.95 (95% CI, 1.59 to 2.39), 5.09 (95% CI, 4.17 to 6.21), and 7.54 (95% CI, 5.73 to 9.91), respectively. The higher incidence of adverse clinical outcomes was still present when clinical outcomes were assessed after 12 months by re-indexingthe patients'follow-up at 12 months after the original index date, with incidence rate

ratios (incidence rate per 100 patient-years in hyperkalemia vs normokalemia) of death, hospitalization for cardiac events, hospitalization for HF, and RRT introduction of 5.48 (2.63; 95% CI, 2.39 to 2.90 vs 0.48; 95% CI, 0.39 to 0.61), 1.66 (0.73; 95% CI, 0.60 to 0.88 vs 0.44; 95% CI, 0.35 to 0.56), 5.19 (1.87; 95% CI, 1.66 to 2.11 vs 0.36; 95% CI, 0.28 to 0.47), and 16.73 (1.84; 95% CI, 1.63 to 2.07 vs 0.11; 95% CI, 0.07 to 0.18), respectively. The mean 3-year change in eGFR in patients was -5.75 mL/min/1.73 m² vs -1.79 mL/ min/1.73 m² in controls ([Figure 3](#page-6-0)).

Sensitivity and Subgroup Analyses

The sensitivity analysis, which was restricted to the 2711 matched pairs whose medical records were available 12 months before the index date, showed consistent trends for all clinical outcomes including death, with a hazard ratio of 5.23 (95% CI, 4.02 to 6.80), hospitalization for cardiac events hazard ratio of 1.52 (95% CI, 1.11 to 2.09), hospitalization for HF hazard ratio of 5.11 (95% CI, 3.73 to 7.01), and introduction of RRT hazard ratio of 7.53 (95% CI, 4.63 to 12.23). In this patient cohort, the mean 3-year change in eGFR was -4.33 mL/min/1.73 m² in patients and -1.89 mL/min/1.73 m² in controls.

The subgroup of hyperkalemic patients with HF had a higher cumulative incidence of death (with HF: 33.5%; 95% CI, 28.2% to 39.5% vs without HF: 16.5%; 95% CI, 14.4% to 19.0%), hospitalization for cardiac events (14.4%; 95% CI, 9.4% to 20.3% vs 6.0%; 95% CI, 4.3% to 8.2%), and hospitalization for HF (35.2%; 95% CI, 29.3% to 41.3% vs 10.4%; 95% CI, 8.3% to 12.7) and an equivalent or slightly lower cumulative incidence of RRT introduction (12.4%; 95% CI, 6.5% to 20.1% vs 15.0%; 95% CI, 12.6% to 17.5%) compared with patients without HF.

In both subgroups of patients with or without HF, higher risks for adverse clinical outcomes in hyperkalemic patients compared with normokalemic controls were consistent with the overall population ([Table 2](#page-8-0)). The association of hyperkalemia with death event was the highest in patients with CKD stage 3a and getting lower toward more advanced

stages of CKD with hazard ratios of 5.35 (95% CI, 3.93 to 7.27) for stage 3a, 3.75 (95% CI, 2.82 to 5.00) for stage 3b, 1.79 (95% CI, 1.18 to 2.70) for stage 4, and 1.04 (95% CI, 0.62 to 1.76) for stage 5.

DISCUSSION

This study assessed associations between hyperkalemia and long-term adverse clinical outcomes in patients with CKD by rigorously defining hyperkalemic patients and PSmatched normokalemic CKD controls. We found significantly increased risks for mortality and adverse clinical outcomes, accompanied by a more rapid decline in renal function in hyperkalemic patients. Higher risks for adverse clinical outcomes associated with hyperkalemia were consistent both in patients with or without HF at baseline. These findings highlight the potential role of hyperkalemia as an independent predictor of adverse cardiovascular and renal outcomes in a contemporary population of patients with CKD recorded from 2008 to 2018.

In our study, the relative risk for mortality was 4.40 and the risk continued to increase over time as determined by the linear tendency of increased mortality risks after 12 months, which is consistent with previously published studies. A Danish registry study with more than 30,000 patients with HF reported a 3.39-fold higher risk for death within 6 months after hyperkalemic episodes when compared with those without hyperkalemia.[20](#page-10-14) Likewise, studies from the United Kingdom reported a 2- to 3-fold increase in mortality with an S-K level of 6.0 mmol/L or greater as compared with the reference S-K level of 4.5 to less than 5.0 mmol/L in both patients with CKD and patients with HF.^{[13](#page-10-4)[,14](#page-10-5)}

In a US cohort study of patients with HF with a median follow-up of 2.79 years, the time-dependent exposure to abnormal S-K levels was assessed. 21 As with the previous reports, [8,](#page-10-2)[13](#page-10-4)[,14](#page-10-5) a nonlinear U-shaped association with mortality risk was observed. In this study, associations between state of S-K level control, that is, normo-, hypo-, or hyperkalemia, and mortality risk were modeled using the multilevel survival analysis and notably, potassium level normalization

^aHF = heart failure.
^bCardiac event includes myocardial infarction, arrhythmia, and cardiac arrest.

was independently associated with lower mortality risk. In the subgroup analysis by CKD stages, the association of hyperkalemia with death event was lower in advanced CKD stages.

Similar findings were reported in a previous study based on a Swedish registry, showing lower relative risks for 90-day mortality associated with hyperkalemia in CKD stage 4 to 5 when compared with CKD stage 1 to $2.^{22}$ A physiologic adaptation to chronic hyperkalemia in these population may partially explain these results but cannot be ascertained from our observational analyses.^{[23,](#page-10-17)[24](#page-10-18)}

Importantly, none of the studies noted investigated renal outcomes. In our study, there was a slight increase in eGFR immediately after the index hyperkalemic episode, which may be partially explained by renal recovery in hospitalized patients with acute conditions. After the initial increase in eGFR, a steeper eGFR decline was observed over 3 years in hyperkalemic patients when compared with normokalemic controls, suggesting the detrimental effect of hyperkalemia on the progression of renal dysfunction. A

previous study that attempted to develop a reference value for eGFR decline rate in Japanese patients reported that eGFR decline in Japanese patients with stage 3a CKD was approximately -0.5 to -0.6 mL/min/1.73 $m²$ per year, which was comparable with the eGFR decline found in normokalemic controls in our study. 25

Although a cause-effect relationship between hyperkalemia and an accelerated eGFR decline remains unclear, the abnormal physiologic effect from a high potassium load may directly and indirectly lead to progression of renal dysfunction. Hyperkalemia is reported to induce renal and cardiotoxicity in animals, $26-29$ whereas findings in animals are not necessarily identical to those in humans and warrant further investigations.^{[30](#page-10-21)} Hyperkalemia may also constitute constraints of the treatment for CKD. Discontinuations of the treatment for renal disease such as RAASi therapy due to hyperkalemia may be associated with the hyperfiltration in a shortterm and more rapid renal function decline for a long-term period.^{[31](#page-10-22)} It should also be noted that the higher proportion of sodium bicarbonate use in hyperkalemic patients

indicates that they were prone to have metabolic acidosis. It is known that hyperkalemia induces metabolic acidosis by impaired renal ammonia excretion due to reduced ammonium production by proximal tubules and ammonium transport in collecting ducts.[29](#page-10-23)[,32](#page-10-24) Therefore, appropriate treatment for hyperkalemia may potentially be a key factor to improve the renal outcome in patients with CKD.

Our study has several strengths, including the large sample size, drawn from a nationwide claims registry representing real-world practice, and a rigorous definition of hyperkalemia, which allowed us to examine its association with long-term adverse clinical outcomes.

Despite these advantages, this study also has several limitations. First, this study used hospital claims data. Hence, the data were not collected for specific research purposes. Sociodemographic factors such as nutritional status, quality of life, socioeconomic status, living conditions, and physical activities could not be retrieved from the database.^{[33,](#page-11-0)[34](#page-11-1)} Hyperkalemia may impede consuming a healthy diet, which potentially may cause worse nutritional status and physical condition and lower quality of life and eventually increase the risk for poor clinical outcomes. The lower cardiovascular and mortality risks associated with higher fruit and vegetable intake have been reported in various populations, including those undergoing maintenance hemodialysis.^{[35](#page-11-2),[36](#page-11-3)} Likewise, studies have reported the association between fruit and vegetable consumption and health-related quality of life.^{[37,](#page-11-4)[38](#page-11-5)} A prospective cohort study design may be suitable to rigorously collect nutritional status and patient-reported outcomes; and this study may address the importance of more strict S-K level control, not only for better clinical outcomes but also for improving quality of life and for longterm clinical management of hyperkalemic patients.

One advantage of hospital claims data is that patient records were collected systematically and electronically as part of routine clinical practice, which helps avoid recall bias in collecting clinical information. Nearly

100% of all prescription information was captured in the data set. Furthermore, data were obtained from 374 hospitals across Japan, which improved the generalizability of the results. Although we tried to adjust for patient background and conditions as much as possible, some covariates had residual imbalances. For instance, the use of inotropes and MRAs was more prevalent in hyperkalemic patients. The selection of variables included in the PS modeling can affect both the validity and efficiency of the effect estimates. $39,40$ $39,40$ Therefore, we cannot be completely positive that the choice of some variables did not affect the outcome. Finally, because this is an observational study, the results need to be interpreted carefully and the associations found cannot be considered indicative of a causal relationship.

CONCLUSION

We reported the association between hyperkalemia and long-term adverse clinical outcomes in patients with CKD under continuous care. Hyperkalemia was associated with a significant risk for mortality and adverse clinical outcomes. The more rapid decline in renal function that we found may be related to the risk for adverse clinical outcomes. Our findings underscore the significance of hyperkalemic condition as a precursor of future adverse events. Continuous S-K level management in high-risk patients with CKD with hyperkalemia would be important for better clinical outcomes.

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SUPPLEMENTAL ONLINE MATERIAL

Supplemental material can be found online at <https://mcpiqojournal.org>. Supplemental material attached to journal articles has not been edited, and the authors take responsibility for the accuracy of all data.

Abbreviations and Acronyms: ACEi = angiotensin-converting enzyme inhibitor; $ARB =$ angiotensin receptor blocker; CKD = chronic kidney disease; DM = diabetes mellitus; $eGFR =$ estimated glomerular filtration rate; $HDL =$ high-density lipoprotein; $HF =$ heart failure; $ICD-10 = In$ ternational Classification of Diseases, Tenth Revision; $LDL =$ low-density lipoprotein; MDV = Medical Data Vision; MRA = mineralocorticoid receptor antagonist; $PS =$ propensity score; $RAASi$ = renin-angiotensin-aldosterone system inhibitor; RRT = renal replacement therapy; $S-K$ = serum potassium

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